# sec news digest

Issue 93-114

LIBRARY

June 16, 1993

CFR1 8 1 MUL

COMMISSIO

ADMINISTRATIVE PROCEEDINGSEXCHANGE COMMISS

PROCEEDINGS AGAINST BRUCE BOWEN AND THOMAS CANON, JR.

On June 1, the Commission issued an amendment to the Order Instituting Public Proceedings in <u>In the Matter of Bruce Bowen and Thomas Canon, Jr.</u>, Administrative Proceeding No. 3-7988). The Amended Order states that Richard L. Warner, co-founder and chairman of the board of Alpnet, Inc., manipulated the price of Alpnet stock by frequently making purchases of Alpnet stock during the trading day, either directly or through nominee accounts, in order to move the price of the stock higher. Warner's purchases were often made at or near the end of the trading day -- a practice known as "marking the close." The Order was also amended to allege that respondents Bruce Bowen and Thomas Canon, Jr., account executives at PaineWebber, Inc. and Wilson-Davis, Inc., respectively, knew or were reckless in not knowing that Warner and his nominees usually entered orders when the price of the latest trade in Alpnet stock was below the ask price and that Warner frequently directed a purchase in one of his nominee accounts for the purpose of causing the stock to trade at a higher price. (Rel. 34-32435)

NASD DISCIPLINARY ACTION AGAINST SACKS INVESTMENT COMPANY, INC. AND RICHARD SACKS SUSTAINED

The Commission has sustained disciplinary action against Sacks Investment Company, Inc. of Novato, California and Richard Lawrence Sacks, its president and sole shareholder. The Commission found that between October 1988 and February 1989 Sacks Investment charged customers unfair and fraudulent markups in sales of three securities. With respect to one of the securities, because the firm's prices for several of the transactions were either at or lower than the market, the Commission reduced the fine imposed by the NASD, which was calculated based on the excessive markups. The Commission further found, as had the NASD, that Sacks Investment failed to report certain trades to NASDAQ, to abide by an agreement with the NASD restricting it from engaging in the purchase and sale of securities on a principal basis, and to employ two registered securities principals, a financial and operations principal and a municipal securities principal. It therefore sustained the findings of violation and the sanctions imposed by the NASD. The NASD had censured the firm and Sacks, and barred Sacks from associating in any capacity with any NASD member. The Commission adjusted the fine assessed against Sacks and Sacks Investment, jointly and severally, to \$159,956.42. (Rel. 34-32436)

## NASD ACTION AGAINST MICHAEL ALAN LEEDS MODIFIED

The Commission has modified NASD disciplinary action against Michael Alan Leeds of Guttenberg, New Jersey. Leeds was president of Brooks, Weinger, Robbins & Leeds, Inc. (Brooks), a former NASD member firm. The Commission affirmed the NASD's censure of Leeds and its decision to bar Leeds from association with any NASD member. However, the Commission reduced the NASD's fine from \$376,396 to \$238,254.

In June 1987, Brooks was the principal underwriter of an offering of 85 million shares of common stock of Advanced Medical Products, Inc. (ADVA). The Commission found that on the first day of aftermarket trading in ADVA (July 1, 1987) Leeds was responsible for charging retail customers excessive markups in 1,159 sales of that stock and excessive markdowns in 590 purchases. The Commission also found that Leeds failed to register as a general securities principal with Brooks from November 1986 to December 31, 1987. The Commission concluded that Brooks dominated and controlled the market for ADVA stock. It also found that Leeds recklessly disregarded indications that he might be charging customers unfair prices, including a specific warning from the firm's compliance officer.

The Commission concluded that the NASD had improperly computed the unfair markdowns charged Brooks' customers. Because the NASD's fine was calculated as a percentage of Brooks' profits, the Commission reduced Leeds' fine from \$376,396 to \$238,254 to reflect the Commission's recalculation of the number and size of excessive markdowns and the profits related thereto. However, the Commission found that the NASD's remaining sanctions were not excessive, noting Leeds' clear disregard for compliance with the NASD's pricing policy. (Rel. 34-32437)

## CIVIL PROCEEDINGS

# SOUTHWEST INTERNATIONAL EXCHANGE PERMANENTLY ENJOINED

The Commission announced that on June 14 U.S. District Judge A. Andrew Hauk granted the Commission's motion for entry of a judgment of permanent injunction and other relief by default against Southwest International Exchange (SIE). The Judgment provides that SIE is permanently enjoined from violating the registration and antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934. The Judgment also provides that SIE is ordered to pay disgorgement, prejudgment interest and civil penalties in amounts to be subsequently determined by the Court. Additionally, the Judgment states that a previously ordered asset freeze against SIE shall remain in effect.

Previously, on March 25, 1993, the Court entered a preliminary injunction, an asset freeze and other relief against SIE and another defendant, William L. Telander. Also, on March 17, 1993, a Judgement of Permanent Injunction and Other Relief was entered against the remaining defendant, Roger C. Briggs.

The Commission's complaint alleges that from approximately mid-1990 to at least mid-1992 the defendants engaged in the fraudulent offer and sale of unregistered securities purporting to be limited partnership interests in a foreign currency exchange program. The defendants raised approximately \$7.8 million from about 380 investors nationwide. [SEC v. Southwest International Exchange, Roger C. Briggs and William L. Telander, Civil Action No. 93-1285, AAH, SHx, C.D. Cal.] (LR-13672)

## CRIMINAL PROCEEDINGS

#### CORRECTION

The June 4th issue of the Digest contained an inaccurate notice concerning  $U.S.\ v.$  Alexander V. Stein. The corrected version of the notice is as follows.

#### ALEXANDER STEIN CONVICTED

The Commission and Jack C. Wong, U.S. Attorney for the District of Oregon announced that on May 20, 1993 after a two week trial, a federal jury in Portland Oregon found Alexander V. Stein, formerly of Portland, guilty of thirty five counts of securities fraud, mail fraud, wire fraud and money laundering.

The indictment alleged that Stein, through his company AVS Capital Fund, Ltd., defrauded investors of over \$5 million through an investment scheme which he described as fully hedged arbitrage. Stein failed to disclose practically all trading was in risky call options and diversions of investors' funds for his personal benefit. Stein later lulled his investors into a false sense of security by using a wide variety of forged documents to create the illusion that he had lucrative telecommunication contracts which would allow him to repay all his investors. Sentencing is set for July 26, 1993.

The investigation in this matter was conducted jointly with the United States Attorney's Office and the Criminal Investigation Division of the Internal Revenue Service, with assistance from the Oregon Securities Division. [U.S. v. Alexander V. Stein, No. CR92-150-RE, D. OR.] (LR-13657)

## HOLDING COMPANY ACT RELEASES

## TEXAS UTILITIES COMPANY

An order has been issued authorizing Texas Utilities Company (Texas Utilities), a public-utility holding company exempt from registration by order under Section 3(a)(1) of the Act, to acquire all of the outstanding common shares of Southwestern Electric Service Company, a Texas electric utility company. This order also grants Texas Utilities an exemption under Section 3(a)(1) from all provisions of the Act, except Section 9(a)(2), following the proposed transactions. (Rel. 35-25826)

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

## DELISTINGS GRANTED

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration AM International, Inc., Warrants to Purchase Common Stock, Expiring February 28, 1997. (Rel. 34-32464)

An order has been issued granting the application of the <u>Boston Stock Exchange</u> to strike from listing and registration IVF America, Inc., Common Stock, \$.01 Par Value. (Rel. 34-32466)

## UNLISTED TRADING PRIVILEGES GRANTED

Orders have been issued granting the applications of three exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: <u>Cincinnati Stock Exchange</u> - 6 issues (Rel. 34-32465); <u>Pacific Stock Exchange</u> - 5 issues (Rel. 34-32468).

## SELF-REGULATORY ORGANIZATIONS

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission granted accelerated approval to a proposed rule change filed by the <u>Midwest Stock Exchange</u> (SR-MSE-93-14) to extend through March 21, 1994 an MSE pilot program relating to stopped orders in minimum variation markets. (Rel. 34-32457)

The Commission granted accelerated approval to a proposed rule change filed by the International Securities Clearing Corporation (SR-ISCC-93-02) that would effect an amendment to the Linkage Agreement between ISCC and the London Stock Exchange and establish a custody agreement between ISCC and Citibank, N.A., London branch. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-32462; International Series Rel. 554)

## PROPOSED RULE CHANGE

The Options Clearing Corporation filed a proposed rule change (SR-OCC-93-09) to permit OCC to have Friday as the expiration date for certain foreign currency option contracts to be listed in the future. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-32458; International Series Rel. 553)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 GEORGIA POMER CO, 333 PIEDMONT AVE NE, ATLANTA, GA 30308 (404) 526-6526 (FILE 33-49661 JUN. 09) (BR. 13)
- S-4 WHOLE FOODS MARKET INC, 2525 WALLINGWOOD DR, STE 1400, AUSTIN, TX 78746 (512) 328-7541 1,531,250 (\$61,066,250) COMMON STOCK. (FILE 33-63824 JUN. 04) (RR. 1)
- S-4 VENTURA ENTERTAINMENT GROUP LTD, 9150 WILSHIRE BLVD, BEVERLY HILLS, CA 90212 (310) 285-0400 2,260,000 (\$16,898,366) COMMON STOCK. (FILE 33-63834 JUN. 07) (BR. 12)
- S-1 CVD FINANCIAL CORP, 230 EAST COLORADO BLVD, MEZZANINE, PASADENA, CA 91101 (818) 793-7526 11,500 (\$66,125,000) COMMON STOCK. (FILE 33-63836 JUN. 07) (BR. 12 NEW ISSUE)
- S-8 MARVEL ENTERTAINMENT GROUP INC, 387 PARK AVE SOUTH, NEW YORK, NY 10016 (212) 696-0808 3,500,000 (\$64,937,500) COMMON STOCK. (FILE 33-63892 JUN. 04) (BR. 12)
- F-1 EK CHOR CHINA MOTORCYCLE CO LTD, 16 HARCOURT RD, 22ND FL, FAR EAST FINANCE CTR, HONG KONG, K3 (852) 520-1601 4,862,000 (\$87,516,000) FOREIGN COMMON STOCK. UNDERWRITER: BEAR STEARNS & CO INC. (FILE 33-63936 JUN. 07) (BR. 12 NEW ISSUE)
- S-1 QUICKRESPONSE SERVICES INC, 1400 MARINA WAY SOUTH, RICHMOND, CA 94804 (510) 215-5000 4,025,000 (\$44,275,000) COMMON STOCK. UNDERWRITER: ALEX BROWN & SONS INC, ROBERTSON STEPHENS & CO. (FILE 33-63938 JUN. 07) (BR. 9 NEW ISSUE)
- S-8 PYXIS CORPORATION /DE/, 9380 CARROLL PARK DR, SAN DIEGO, CA 92121 (619) 625-3300 500,000 (\$20,687,500) COMMON STOCK. (FILE 33-63942 JUN. 07) (BR. 5)
- S-8 ABATIX ENVIRONMENTAL CORP, 8311 EASTPOINT DR STE 400, DALLAS, TX 75227 (214) 381-1146 125,000 (\$500,000) COMMON STOCK. (FILE 33-63944 JUN. 04) (BR. 9)
- S-1 SUNSHINE MINING CO /DE, 815 PARK BOULEVARD, SUITE 100, BOISES, ID 83712 (204) 345-0660 6,133,333 (\$10,349,999) COMMON STOCK. (FILE 33-63946 JUN. 07) (BR. 6)
- S-3 EDGEMARK FINANCIAL CORP, MERCHANDISE MART PLZ STE 2400, P 0 BOX 3436, CHICAGO, IL 60654 (312) 836-8000 75,000 (\$1,350,00G) COMMON STOCK. (FILE 33-63952 JUN. 07) (BR. 2)
- S-3 CAR MART INC, 370 17TH ST STE 5060, DENVER, CO 80202 (303) 592-4311 584,795 (\$3,581,869) COMMON STOCK. (FILE 33-63954 JUN. 07) (BR. 1)
- S-8 INTERNATIONAL RECTIFIER CORP /DE/, 233 KANSAS ST, EL SEGUNDO, CA 90245 (310) 322-3331 625,000 (\$6,837,500) COMMON STOCK. (FILE 33-63958 JUN. 07) (BR. 3)

- S-3 STATE BANCORP INC, 699 HILLSIDE AVE, NEW HYDE PARK, NY 11040 (516) 437-1000 250,000 (\$2,531,250) COMMON STOCK. (FILE 33-63960 JUN. 07) (BR. 2)
- S-8 MEDICAL DEVICES INC, 833 THIRD ST SW, ST PAUL, MN 55112 (612) 631-0590 225,000 (\$436,500) COMMON STOCK. (FILE 33-63962 JUN. 07) (BR. 8)
- S-8 MEDICAL DEVICES INC, 833 THIRD ST SW, ST PAUL, NN 55112 (612) 631-0590 200,000 (\$388,000) COMMON STOCK. (FILE 33-63964 JUN. 07) (BR. 8)
- S-8 SEQUENT COMPUTER SYSTEMS INC /OR/, 15450 SW KOLL PKVY, BEAVERTON, OR 97006 (503) 626-5700 1,000,000 (\$19,312,500) COMMON STOCK. (FILE 33-63972 JUN. 07) (BR. 10)
- S-8 DIGITAL BIOMETRICS INC, 5600 ROWLAND RD STE 205, MINNETONKA, MN 55343 (612) 932-0888 935,197 (\$10,754,766) COMMON STOCK. (FILE 33-63984 JUN. 07) (BR. 10)
- S-8 RYDER SYSTEM INC, 3600 NW 82ND AVE, MIAMI, FL 33166 (305) 593-3726 50,000 (\$1,440,625) COMMON STOCK. (FILE 33-63990 JUN. 08) (BR. 4)
- N-2 USIS HIGH INCOME TRUST, 787 SEVENTH AVE, NEW YORK, NY 10019 (212) 492-6000 66,667 (\$1,000,005) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-63998 JUN. 07) (BR. 17 NEW ISSUE)
- S-3 PENNSYLVANIA ENTERPRISES INC, 39 PUBLIC SQ, WILKES BARRE CENTER, WILKES BARRE, PA 18711 (717) 829-8843 - 400,000 (\$11,800,000) COMMON STOCK. (FILE 33-64000 - JUN. 07) (BR. 7)
- S-B BANK OF GREECE, 60 EAST 70TH ST, AMBASSADOR CONSUL GENERAL, NEW YORK, NY 10021 250,000,000 (\$250,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. UNDERWRITER: BEAR STEARN & CO INC, FIRST BOSTON CORP, MERRILL LYNCH & CO, MORGAN STANLEY & CO INC, SALOMON BROTHERS INC. (FILE 33-64002 JUN. 07) (BR. 1 NEW ISSUE)
- S-1 ARCADIAN PARTNERS L P, 6750 POPLAR AVE STE 600, MEMPHIS, TN 38138 (901) 758-5200 340,000,000 (\$340,000,000) STRAIGHT BONDS. (FILE 33-64004 JUN. 07) (BR. 1)
- 8-1 BOYD GAMING CORP, 2950 S INDUSTRIAL RD, LAS VEGAS, NV 89109 (702) 792-7200 12,231,250 (\$220,162,500) COMMON STOCK. UNDERWRITER: DOWNLOSON LUFKIN & JENRETTE SECS CORP, MONGTOMERY SECURITIES, SALOMON BROTHERS INC. (FILE 33-64006 JUN. 07) (BR. 11 NEW ISSUE)
- S-1 CELESTIAL SEASONINGS INC, 1780 55TH ST, BOULDER, CO 80301 (303) 449-3779 2,185,000 (\$38,237,500) COMMON STOCK. (FILE 33-64012 JUN. 07) (BR. 1)
- S-3 NORTHWEST NATURAL GAS CO, 220 NW SECOND AVE, PORTLAND, OR 97209 (503) 226-4211 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-64014 JUN. 07) (BR. 7)
- S-1 SUMMIT TECHNOLOGY INC, 21 HICKORY DR, WALTHAM, MA 02154 (617) 890-1234 1,150,000 (\$26,881,250) COMMON STOCK. (FILE 33-64018 JUN. 07) (BR. 8)
- S-1 CONDUCTUS INC, 969 W MAUDE AVE, SUNNYVALE, CA 94086 (408) 737-6700 1,725,000 (\$20,700,000) COMMON STOCK. UNDERWRITER: TUCKER ANTHONY INC. (FILE 33-64020 JUN. 07) (BR. 3)
- S-3 COMMONWEALTH EDISON CO, ONE FIRST NATIONAL PLZ 37TH FL, P O BOX 767, CHICAGO, IL 60690 (312) 294-4321 900,000,000 (\$900,000,000) STRAIGHT BONDS. (FILE 33-64028 JUN. 08) (BR. 7)

#### REGISTRATIONS CONTINUED

- S-3 MAGMA COPPER CO, 7400 N ORACLE RD STE 200, TUCSON, AZ 85704 (602) 575-5600 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 33-64030 JUN. 08) (BR. 6)
- S-8 FISCHER IMAGING CORP, 12300 NORTH GRANT ST, DENVER, CO 80241 (303) 452-6800 100,000 (\$775,000) COMMON STOCK. (FILE 33-64032 JUN. 08) (BR. 8)
- S-8 BGS SYSTEMS INC, 128 TECHNOLOGY CENTER, WALTHAM, MA 02254 (617) 891-0000 150,000 (\$4,800,000) COMMON STOCK. (FILE 33-64034 JUN. 08) (BR. 9)
- S-8 BGS SYSTEMS INC, 128 TECHNOLOGY CENTER, WALTHAM, MA 02254 (617) 891-0000 40,000 (\$1,280,000) COMMON STOCK. (FILE 33-64036 JUN. 08) (BR. 9)
- S-2 DUKE REALTY INVESTMENTS INC, 8888 KEYSTONE CROSSING STE 1150, INDIANAPOLIS, IN 46240 (317) 574-3531 14,000,833 (\$360,521,449.75) COMMON STOCK. UNDERWRITER: EDWARDS A G & SONS INC, MERRILL LYNCH & CO. (FILE 33-64038 JUN. 08) (BR. 5)
- S-8 CMS ENERGY CORP, FAIRLANE PLZ S STE 1100, 330 TOWN CENTER DR, DEARBORN, MI 48126 (313) 436-9261 1,850,000 (\$45,209,375) COMMON STOCK. (FILE 33-64044 JUN. 08) (BR. 8)
- S-3 NATIONAL HEALTH INVESTORS INC, 100 VINE ST STE 1402, MURFREESBORO, TN 37130 (615) 890-9100 1,007,477 (\$27,957,486.75) COMMON STOCK. (FILE 33-64060 JUN. 08) (BR. 5)
- S-8 INTERPUBLIC GROUP OF COMPANIES INC, 1271 AVE OF THE AMERICAS, NEW YORK, NY 10020 (212) 399-8000 750,000 (\$21,346,875) COMMON STOCK. (FILE 33-64062 JUN. 08) (BR. 5)

# ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STO	CK /UMNEB	FORM	EVENT Date	SHRS(000)/	CUSIP/ PRIOR%	_
ACTON CORP	COM				00505530	
CONSOL ELEC DIST	EMP RET PL	13D	5/24/93	4.9	0.0	NEW

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ XOUNED	CUSIP/ PRIORX	FILING STATUS
ALASKA APOLLO GOLD MINES LTD GRACECHURCH SECURITIES ET		130	6/14/93	1,103 27.0		) UPDATE
AMERCO SHOEN EDWARD J ET AL	COM	130	5/25/93	19,348 50.1	02359110 50.2	UPDATE
AMERCO SHOEN EDWARD J ET AL	COM	130	5/25/93	19,348 50.1	02359110 50.2	RVSION
BAROID CORP NEW GOLDMAN SACHS & CO ET AL	COM	13D	6/ 7/93	7,337 8.0	06827710 9.6	UPDATE
BIG B INC FIDELITY INTL LTD	COM	130	6/ 3/93	596 7.8	<b>0888</b> 9110 9.6	UPDATE
BIG B INC FMR CORP	COM	13D	6/ 3/93	596 7.8	08889110 9.6	UPDATE
CEL COMMUNICATIONS INC DABNEY/RESNICK ASSET MGMT	COM NE	13D	5/28/93	561 7.8	12515620 0.0	NEV
CEL COMMUNICATIONS INC DABNEY/RESNICK ASSET MGMT	COM NE	130	5/28/93	561 7.8	12515620 0.0	RVSION
C TEC CORP GAMCO INVESTORS INC ET AL	COH	130	6/11/93	2,404 14.9		UPDATE
CST ENTMT IMAGING INC SCHEUER WALTER ET AL	COM PAI	8 \$0.15 13D	6/ 3/93	1,308 6.9	12899410 0.0	NEW
CST ENTMT IMAGING INC SCHEUER WALTER ET AL	COM PAI	8 \$0.15 130	6/ 3/93	1,308 6.9		RVSION
CELLEX BIOSCIENCES INC VERAX CORP	COM	130	6/ 7/93	1,467 4.2	15092330 6.5	UPDATE
CELLEX BIOSCIENCES INC VERAX CORP	COM	130	6/ 7/93	1,467 4.2	15092330 6.5	RVSION
CIRCA PHARMACEUTICALS INC FMR CORP	COM	130	5/26/93	2,141 9.7	17299510 10.8	UPDATE
CIRCA PHARMACEUTICALS INC FMR CORP	COM	130	5/26/93	2,141 9.7	17299510 10.8	RVSION
CROWNAMERICA INC HAMILTON DAVID D ET AL	COM	13D	5/24/93	276 50.5	22867810 0.0	RVSION
CROWNAMERICA INC HAMILTON DAVID D ET AL	COM	130	5/24/93	276 50.5	22867810 0.0	RVSION

NAME AND CLASS OF STOCK/OWNER	•	FORM	EVENT DATE	SHRS(000)/	CUSIP/ PRIOR% S	
	_			_		
ENRON CORP	PFD C	UN CONV	F + 2 +07	-	29356160	IDDATE
BELFER ARTHUR B		13D	5/ 2/93	0.0	R/A	UPDATE
GOODY PRODS INC	COM			873	38248610	
NEWELL CO		130	6/12/93	13.1	13.1	UPDATE
HANSEN NAT CORP	COH	470	7/31/92	_	41131010	UPDATE
SCHLOSBERG HILTON HILLER	ti AL	13D	1/31/92	00.0	30.0	OFDAIL
HANSEN NAT CORP	COM			5,746	41131010	
SCHLOSBERG HILTON HILLER	ET AL	130	7/31/92	66.8	86.0	RVSION
		ppr -	<b></b>	244	46057560	
ENRON CORP	CUM S	EC PRF C 13D	UNV 5/17/93		0.0	NEU
BELFER ROBERT A		1.30	37 (1793	13.3	0.0	
INTERFIRST BANKCORP INC	COM			247	46799710	
STANDARD FEDERAL BANK		130	5/ 6/93	9.9	0.0	NEA
		.F.		1 207	47916920	
JOHNSON PRODS INC DEL JOHNSON JOAN B	COM N	13D	6/14/93			UPDATE
JUNISON JOHN B			0, 11, 75			
JOHNSON PRODS INC DEL	COM N	ÆW			47916920	
JOHNSON JOAN B		13D	6/14/93	54.0	54.0	RVSION
	C044			300	50090910	ı
KRANTOR CORP PANNEWS SACOMER INC	COM	130	4/15/93		-	NEW
PARRENS SACONER INC			.,,			
LINCOLN N C RLTY FD INC	COM				53404010	
WHITAKER DON C		130	6/ 4/93	10.0	8.9	UPDATE
u c ni vy cn tuc	COM			197	53404010	)
LINCOLN N C RLTY FD INC WHITAKER DON C	CUH	130	6/ 4/93			RVSION
WIIIIAKEN DON O						
MAGIC RESTAURANTS INC	CON	NEW			55916430	
DAVSTAR MANAGED INVMT CO	RP	130	5/ 7/92	21.7	0.0	NEW
	COM	METLI		500	55916430	)
MAGIC RESTAURANTS INC DAVSTAR MANAGED INVMT CO		NEW 13D	5/ 7/92	•	-	RVSION
DATSTAR PARAGED INVITED	•••					
MASCO INDS INC	COM			• -	57460110	
MANOOGIAN RICHARD A		130	5/20/93	9.3	10.4	UPDATE
MACON INDO INC	COM			5.639	57460110	)
MASCO INDS INC MANOOGIAN RICHARD A	cun	130	5/20/93	·		RVSION
THROODIN NIGHT						_
MEDGROUP INC	COM				5843642	
FEY STEPHEN R		130	5/18/93	5.9	7.7	UPDATE
MCD COOLID TAKE	COM			176	5843642	0
MEDGROUP INC FEY STEPHEN R	CUM	130	5/18/93			RVSION
LEI SIELHEM W						

NAME AND CLASS OF STOCK/OWNER	· ·	FORM	EVENT S	SHRS(000)/ XOUNED	CUSIP/ PRIOR% S	
				4 053	T-701010	
RAPITECH SYS INC COHEN MARGARET	COM	13D	6/ 2/93	21.3	75381010 0.0	NEW
RAPITECH SYS INC	COM			1,857	75381010	
COHEN MARGARET		130	6/ 2/93	21.3		RVSION
ROBERN APPAREL INC	COM			624	77029310	
NAGELBERG DAVID S ET AL		130	6/10/93	8.8	2.7	UPDATE
ROBERN APPAREL INC	COM			624	77029310	
NAGELBERG DAVID S ET AL		13b	6/10/93	8.8	2.7	RVSION
SEVEN OAKS INTL INC	COM			391	81787510	
MAY & CAMP INC ET AL		1 <b>3</b> b	6/ 3/93	6.1	0.0	NEW
SEVEN OAKS INTL INC	COM			391	81787510	
MAY & CAMP INC ET AL		1 <b>3</b> D	6/ 3/93	6.1	0.0	RVSION
SOLITRON DEVICES INC	COM		-	488	83425610	
SARAF SHEVACH		1 <b>3</b> D	6/ 2/93	11.1	N/A	UPDATE
STERLING BANCORP	COM			481	<b>8</b> 5915810	
NEUMAN LAURENCE		130	4/26/93	7.6	11.1	UPDATE
STERLING BANCORP	COM			481	<b>8</b> 5915810	
NEWMAN LAWRENCE		130	4/26/93	7.6	!1.1	RVSION
TAJ MAHAL HLDG CORP	COM C	L B		0	87404910	ı
ICAHN CARL C ET AL		130	6/ 1/93	0.0	N/A	UPDATE
THREE D DEPTS INC	CL B			474	88553910	
ABRAMS BERNARD		13D	6/ 2/93	16.5	18.9	UPDATE
THREE D DEPTS INC	CL B			474		
ABRAMS BERNARD		130	6/ 2/93	16.5	18.9	RVSION
THREE D DEPTS INC	CL A			548	88553920	
ABRANS BERNARD		1 <b>3</b> D	6/ 2/93	38.7	33.4	UPDATE
THREE D DEPTS INC	CL A			548		
ABRAMS BERNARD		130	6/ 2/93	38.7	33.4	RVSION
3-D SYS INC	COM				88599210	
FREED RAYMOND S ET AL		130	3/ 3/93	48.2	49.8	UPDATE
TRINET CORP RLTY TR INC	COM			606	8962871	
SHIDLER JAY H ET AL		130	6/ 3/93	9.7	0.0	MEM
TRINET CORP RLTY TR INC	COM				8962871	
SHIDLER JAY H ET AL		130	6/ 3/93	9.7	0.0	RVSION

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ XOLMED	CUSIP/ PRIORX	
UNIVERSAL MEALTH SVCS INC	CLASS I			1,517	91390310	)
BASS PERRY R ET AL		130	6/11/93	11.2	10.3	UPDATE
VARITY CORP	COM NE	צ		3,213	92299260	)
GAMCO INVESTORS INC ET AL		13D	6/11/93	9.2		<b>UPDATE</b>
WPP GROUP PLC	CONV C	UN RED	PREF	3.842	92930496	3
ODYSSEY PARTNERS		13D	6/ 9/93	•	16.2	
WELDOTRON CORP	COM			418	94939110	<b>)</b>
SIEGEL MARTIN		130	6/ 4/93	22.9	0.0	NEV
ZEUS COMPONENTS INC	COM			168	98999010	)
GREENFIELD JESSE		130	6/10/93	6.2		UPDATE
ZEUS COMPONENTS INC	COM			168	98999010	)
GREENFIELD JESSE		130	6/10/93	6.2		RVSION