

Fiscal Operations Report and Application to Participate (FISAP)
Report: Award Period July 1, 1999 - June 30, 2000; Application: Award Period July 1, 2001 - June 30, 2002

Part I. Identifying Information, Certifications and Warning

Section A. Identifying Information

1a. Name and address of institution _____
_____ 1b. Mailing address (if different from 1a)

2a. DUNS# _____ 2b. Serial# _____ 2c. OPEID# _____

3. Type of institution (select one) _____ 4. Length/type of longest program (select one) _____
__ 3.1 public __ 4.1 less than 1 year __ 4.5 4 years
__ 3.2 private/non-profit __ 4.2 1 year but less than 2 years (no higher than a
__ 3.3 proprietary __ 4.3 2 years but less than 3 years baccalaureate degree)
__ a) art __ d) trade & technical __ 4.4 3 years but less than 4 years __ 4.6 5 years or more
__ b) business __ e) other __ 4.7 postbaccalaureate
__ c) cosmetology only

5. Additional locations: _____ We have entered a list of separately _____ yes no
Institutions with separately eligible additional locations which will eligible additional locations included _____
be funded under this application must list these locations and their in this application.
addresses and OPEID#'s on the screen. You may not file a separate
application for any separately eligible institution listed herein.

Section B. Certifications and warning

We certify that the information contained in this electronic FISAP is in compliance with governing legislation and regulations and is true and accurate to the best of our knowledge. We understand that the information is subject to audit and Program review by representatives of the Secretary of Education.

* Warning: any person who knowingly provides false or *
* misleading information on this FISAP will be subject to a *
* fine of up to \$10,000 or imprisonment of up to 5 *
* years or both under provisions of the United States *
* Criminal Code Title 18 Section 1001. *

6. Chief Fiscal Officer or Executive Officer (President, Chancellor, owner, etc.)
Title _____
Signature _____ Date signed _____
Typed Name _____ Telephone no () ___ - ___
E:mail address _____ Fax phone no () ___ - ___

7. Financial aid administrator
Signature _____ Date signed _____
Typed name _____ Telephone no () ___ - ___
E:mail address _____ Fax phone no () ___ - ___

8. Name & address of private financial aid consultant firm
Name: _____
Address 1 _____
Address 2 _____
City: _____ State: _____ Zip: _____

Application, Part II

Name of institution: _____ DUNS# _____
State: ____

Part II. Application to Participate for award year July 1, 2001 through June 30, 2002

Section A. Request for funds for the 2001-2002 award year

- 1. Federal Perkins Loan Level of Expenditures \$ _____
- 2. Federal Perkins Loan Federal Capital Contribution \$ _____
- 3. FSEOG Federal funds \$ _____
- 4. FWS Federal funds \$ _____

Section B. Federal Perkins Loan Program Liquidation Request

(Applies only to certain institutions; see instructions)

- 5. My institution wishes to discontinue participation in the Federal Perkins Loan Program.

yes no

Section C. Waiver Request for the Underuse of Funds

My institution has returned more than 10 percent of its Federal Perkins Loan, FSEOG, or FWS allocation for the 1999-2000 award year.

- 6. My institution wishes to apply for a waiver of the penalty for the underuse of funds and has provided a written explanation of the circumstances on the additional information screen.

yes no

Application, Part II
 Name of institution: _____ DUNS# _____
 State: _____

Section D. Information on Enrollment

My institution has a traditional calendar ___yes ___ no

Institutions with a traditional calendar that had 1999-2000 enrollment, fill in field 7

Institutions with a non-traditional calendar that had 1999-2000 enrollment, fill in fields 9 and 10

	(a)	(b)
	Undergraduate	Graduate/Professional
7. Total number of students, 1999-2000	_____	_____

	(a)	(b)
	Continuing Students	New Starts
9. July 1, 1999	_____	_____
10. Total student months	_____	_____

Institutions that did not have 1999-2000 enrollment, fill in field 8

	(a)	(b)
	Undergraduate	Graduate/Professional
8. Estimated number of students, 2000-2001	_____	_____

Section E. Assessments and Expenditures

	(a)	(b)
	Undergraduate	Graduate/Professional
11. Total tuition and fees for the award year July 1, 1999 - June 30, 2000	\$ _____	\$ _____
12. Total Federal PELL Grant expenditures for the 1999-2000 Award Year	\$ _____	
13. Total expended for state grants and scholarships made to undergraduates for the Award Year July 1, 1999 to June 30, 2000	\$ _____	

Section F. Information on eligible aid applicants for award year 1999-2000

	D e p e n d e n t		I n d e p e n d e n t		
	(a)	(b)	(c)	(d)	(e)
	Undergraduate/ Baccalaureate		Undergraduate/ Baccalaureate		Graduate/ Professional
	Without 1st Professional	With Degree	Without 1st Professional	With Degree	
14. Students with an "Automatic" Zero EFC	_____	_____	_____	_____	_____

Taxable & Untaxed Income

15. \$ 0 - \$ 2,999	_____	_____
16. \$ 3,000 - \$ 5,999	_____	_____
17. \$ 6,000 - \$ 8,999	_____	_____
18. \$ 9,000 - \$ 11,999	_____	_____
19. \$ 12,000 - \$ 14,999	_____	_____
20. \$ 15,000 - \$ 17,999	_____	_____
21. \$ 18,000 - \$ 23,999	_____	_____
22. \$ 24,000 - \$ 29,999	_____	_____
23. \$ 30,000 - \$ 35,999	_____	_____
24. \$ 36,000 - \$ 41,999	_____	_____
25. \$ 42,000 - \$ 47,999	_____	_____
26. \$ 48,000 - \$ 53,999	_____	_____
27. \$ 54,000 - \$ 59,999	_____	_____
28. \$ 60,000 & over	_____	_____
29. T o t a l	_____	_____

Taxable & Untaxed Income

\$ 0 - \$ 999	_____	_____
\$ 1,000 - \$ 1,999	_____	_____
\$ 2,000 - \$ 2,999	_____	_____
\$ 3,000 - \$ 3,999	_____	_____
\$ 4,000 - \$ 4,999	_____	_____
\$ 5,000 - \$ 5,999	_____	_____
\$ 6,000 - \$ 7,999	_____	_____
\$ 8,000 - \$ 9,999	_____	_____
\$ 10,000 - \$ 11,999	_____	_____
\$ 12,000 - \$ 13,999	_____	_____
\$ 14,000 - \$ 15,999	_____	_____
\$ 16,000 - \$ 17,999	_____	_____
\$ 18,000 - \$ 19,999	_____	_____
\$ 20,000 & over	_____	_____
T o t a l	_____	_____

Fiscal Operations Report, Part III

Name of institution: _____

DUNS # _____

State: ____

Part III. Federal Perkins Loan Program

Section A. - Fiscal Report (cumulative) as of June 30, 2000

Field	Item	(a)	No of Borrowers (b)	Debit Balances (c)	Credit Balances (d)
1.1	Cash on hand and in depository as of 6/30/2000			\$ _____	
1.2	Cash on hand and in depository as of 10/31/2000	\$ _____			
2	Funds receivable from Federal government			\$ _____	
3	Funds receivable from institution			\$ _____	
4	Funds advanced to students			\$ _____	
5	Loan principal collected		_____		\$ _____
6	Loan principal assigned to and accepted by the United States		_____		\$ _____
7	Total loan principal canceled on loans made prior to 07/01/1972 for teaching/military service		_____		\$ _____
	<u>Loan principal cancelled:</u>				
8	- for certain subject matter teaching service (math, science, foreign languages, bilingual education)		_____		\$ _____
9	- for all other authorized teaching service		_____		\$ _____
10	- for military service on loans made 07/01/1972 and after		_____		\$ _____
11	- for volunteer service		_____		\$ _____
12	- for Law Enforcement and Corrections Officer Service		_____		\$ _____
13	- for child/family/early intervention service		_____		\$ _____
14	- for nurse/medical technician service		_____		\$ _____
15	- for death/disability		_____		\$ _____
16	- for bankruptcy		_____		\$ _____
17	- for loans discharged due to closed schools		_____		\$ _____
18	Loan principal adjustments - other		_____		\$ _____
19	Federal Capital Contributions				\$ _____
20	Repayments of fund capital to Federal government			\$ _____	
21	Institutional Capital Contributions				\$ _____
22	Repayments of fund Capital to institution			\$ _____	
23	Interest income on Loans				\$ _____
24	Other income				\$ _____
25	Reimbursements to the fund of amounts canceled on Loans made 07/01/1972 and after				\$ _____
26.1	Administrative cost allowance	\$ _____			
26.2	Collection costs	\$ _____			
26.3	Administrative cost allowance and collection costs (control)			\$ _____	
	<u>Cost of loan principal and interest canceled:</u>				
27	- for teaching/military service on loans made prior to 07/01/72			\$ _____	
28	- for certain subject matter teaching service (math, science, foreign languages, bilingual education)			\$ _____	
29	- for all other authorized teaching service on loans made 07/01/1972 and after			\$ _____	
30	- for military service on loans made 07/01/1972 and after			\$ _____	
31	- for volunteer service in the Peace Corps or under the Domestic Volunteer Service Act of 1973			\$ _____	
32	- for law enforcement and corrections officer service			\$ _____	
33	- for child/family/early intervention service			\$ _____	
34	- for nurse/medical technician service			\$ _____	
35	- because of death/disability			\$ _____	
36	- because of bankruptcy			\$ _____	
37	Cost of loan principal and interest assigned to and accepted by the United States			\$ _____	
38	Cost of loan principal and interest canceled for loans discharged due to closed schools			\$ _____	
39	Other costs or losses			\$ _____	
40	Total debits and credits (sum of fields 1 through 39)			\$ _____	\$ _____

Fiscal Operations Report, Part III

Name of institution: _____ DUNS # _____

State: __

Section B. Fund Activity (annual) during the 1999-2000 Award Year (July 1, 1999 through June 30, 2000)

Amount

- 1. Final adjusted Federal Capital Contribution (FCC) authorization \$ _____
- 2. FCC transferred to:
 - a. FSEOG \$ _____
 - b. FWS \$ _____
- 3. Amount of final adjusted authorized Federal Capital Contribution for award year 1999-2000 not requested from GAPS by June 30, 2000 (your award will be reduced by this amount next spring. see instructions) \$ _____
- 4. Institutional Capital Contribution (ICC) deposited into the Loan Fund between July 1, 1999 and June 30, 2000. \$ _____
- 5. Loans advanced to students from the Loan Fund during the 1999-2000 award year (minus 1999-2000 award year refunds) \$ _____
- 6. Administrative cost allowance claimed for the 1999-2000 award year (see instructions) \$ _____

Number of
Borrowers
(a)

Amount
(b)

- 7. Total principal and interest repaid by borrowers from all sources during the 1999-2000 award year _____ \$ _____

Fiscal Operations Report, Part III

Name of institution: _____ DUNS # _____
 State: _____

Section C - Cumulative Repayment Information as of June 30, 2000

Status of borrowers as of June 30, 2000	Number of Borrowers	Amount Lent	Principal Amount Outstanding
(a)	(b)	(c)	(d)
1.1 Borrowers whose Loans are fully retired	_____	_____	_____
1.2 Loans that have been purchased \$ _____	_____	_____	_____
2. Borrowers whose Loans were assigned to and officially accepted by the Department of Education as of June 30, 2000	_____	_____	_____
3 Total borrowers not in repayment status	_____	_____	_____
4. Borrowers on schedule in repayment status	_____	_____	_____
5.1 In default less than 240 days (monthly installments) or less than 270 days (other installments)	_____	_____	_____
5.2 In default 240 days or more (monthly installments) or 270 days or more (other installments), up to 2 years	_____	_____	_____
5.3 In default more than 2 years, up to 5 years	_____	_____	_____
5.4 In default more than 5 years	_____	_____	_____

Cohort Default Rate

My institution had less than 30 borrowers who entered repayment in the 1998-1999 award year yes no

Section D. Institutions with 30 or more borrowers who entered repayment in the 1998-1999 award year:

1.1 Number of borrowers who entered repayment in 1998-1999	0
1.2 Enter the number of borrowers in field 1.1 above with loans in default by June 30, 2000	0
1.3 Cohort default rate (field 1.2 / field 1.1 * 100)	0.0

Section E. Institutions with less than 30 borrowers who entered repayment in the 1998-1999 award year:

2.1 Number of borrowers who entered repayment in:	2.2 Number of borrowers with loans in default by:
(a) 1996-1997 (07/01/1996 - 06/30/1997) 0	(a) June 30, 1998 (those in 2.1(a) only) 0
(b) 1997-1998 (07/01/1997 - 06/30/1998) 0	(b) June 30, 1999 (those in 2.1(b) only) 0
(c) 1998-1999 (07/01/1998 - 06/30/1999) 0	(c) June 30, 2000 (those in 2.1(c) only) 0
2.3 Total number of borrowers who entered repayment during the three years (2.1(a) + 2.1(b) + 2.1(c))	0
2.4 Total number of borrowers with loans in default (2.2(a) + 2.2(b) + 2.2(c))	0
2.5 Cohort default rate (field 2.4 / Field 2.3 * 100)	0.0

Fiscal Operations Report, Part IV

Name of institution: _____

DUNS # _____

State: __

**Part IV. Federal Supplemental Educational Opportunity Grant (FSEOG) Program
 for Award Year July 1, 1999 through June 30, 2000**

Section A. Federal funds authorized for FSEOG

1. Final adjusted FSEOG authorization \$ _____

Section B. Federal funds available for FSEOG expenditures

2. FWS funds transferred to and spent in FSEOG + \$ _____

3. Federal Perkins FCC funds transferred to
 and spent in FSEOG + \$ _____

4. 2000-2001 funds carried back and spent in 1999-2000 + \$ _____

5. Additional 2000-2001 funds carried back and spent for
 2000 summer enrollment + \$ _____

6. 1998-1999 funds carried forward and spent in 1999-2000 + \$ _____

7. 1999-2000 funds carried forward to be spent in 2000-2001 - \$ _____

8. 1999-2000 funds carried back and spent in 1998-1999 - \$ _____

9. Additional 1999-2000 funds carried back and spent for
 1999 summer enrollment - \$ _____

10. Total Federal funds available for 1999-2000 FSEOG
 (Fields 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 - 9) \$ _____

Section C. Funds to FSEOG recipients

11. Total funds to FSEOG recipients (Fields 12 + 13) \$ _____

12. Non-Federal share of funds to FSEOG recipients
 (25% of Field 11) \$ _____

a. Cash outlay contributed \$ _____

b. Other institutional resources designated \$ _____

Section D. Federal funds spent for FSEOG Program

13. Federal share of funds to FSEOG recipients
 (75% of Field 11) \$ _____

14. Administrative cost allowance claimed + \$ _____

15. Federal funds spent for FSEOG (Fields 13 + 14) \$ _____

Section E. Use of Federal FSEOG authorization

16. Expended FSEOG authorization (Fields 7 + 8 + 9 + 15 - 2 - 3 - 4 - 5 - 6) \$ _____

17. Unexpended FSEOG authorization (Field 1 - Field 16)
 (cannot be negative) \$ _____

DO NOT SEND THESE PAGES TO THE DEPARTMENT

Fiscal Operations Report, Part V

Name of institution: _____ DUNS # _____

State: _____

Part V. Federal Work-Study (FWS) Program for Award Year July 1, 1999 through June 30, 2000

Section A. Federal funds authorized for FWS

1. Final adjusted FWS authorization \$ _____

Section B. Federal funds available for FWS expenditures

2. Federal Perkins FCC funds transferred to and spent in FWS + \$ _____

3. FWS funds transferred to and spent in FSEOG - \$ _____

4. 2000-2001 funds carried back and spent in 1999-2000 + \$ _____

5. Additional 2000-2001 funds carried back and spent for 2000 summer employment + \$ _____

6. 1998-1999 funds carried forward and spent in 1999-2000 + \$ _____

7. 1999-2000 funds carried forward to be spent in 2000-2001 - \$ _____

8. 1999-2000 funds carried back and spent in 1998-1999 - \$ _____

9. Additional 1999-2000 funds carried back and spent for 1999 summer employment - \$ _____

10. Total Federal funds available for 1999-2000 FWS \$ _____
(Fields 1 + 2 - 3 + 4 + 5 + 6 - 7 - 8 - 9)

Section C. Total compensation for FWS

11. Total earned compensation for FWS Program \$ _____

a. On-campus expenditures \$ _____

b. Off-campus expenditures at public or private non-profit agencies \$ _____

c. Off-campus expenditures in the private (for profit) sector \$ _____

12. Total institutional share of earned compensation (see instructions) \$ _____

Section D. Funds spent from Federal share of FWS

13. Total Federal share of FWS earned compensation \$ _____

a. Compensation at Federal share not to exceed 75% (see instructions) \$ _____

b. Off-campus private (for profit) sector compensation at Federal share not to exceed 50% \$ _____

14. Administrative cost allowance claimed + \$ _____

15. Federal share of Job Location and Development Program expenditures + \$ _____

16. Total Federal funds spent for FWS (sum of Fields 13 through 15) \$ _____

Fiscal Operations Report, Part V

Name of institution: _____ DUNS # _____

State: __

Section E. Use of Federal FWS authorization

17. Expended FWS authorization (Fields 3 + 7 + 8 + 9 + 16) minus (Fields 2 + 4 + 5 + 6) \$ _____

18. Unexpended FWS authorization (Field 1 - Field 17) \$ _____

Section F. Information about the Job Location and Development Program (JLD)

19. Total expenditures for the JLD Program \$ _____

20. Institutional expenditures for the JLD Program (see instructions) \$ _____

21. Number of students for whom jobs were located or developed _____

22. Total earnings of the students in Field 21 above \$ _____

Section G. Information about FWS community service activities

23. Number of students in community service employment _____

24. Federal share of community service earned compensation \$ _____

25. Non-Federal share of community service earned compensation \$ _____

Section H. Information about FWS reading tutoring and family literacy activities

26. Number of students employed as FWS reading tutors of children and in family literacy activities _____

27. Federal share of earned compensation for FWS students employed as reading tutors of children and in family literacy activities \$ _____

28. Total earned compensation for FWS students employed as reading tutors of children and in family literacy activities \$ _____

Section I. Information about FWS mathematics tutors of children

29. Number of students employed as FWS mathematics tutors of children _____

30. Federal share of earned compensation for FWS mathematics tutors of children \$ _____

31. Total earned compensation for FWS mathematics tutors of children \$ _____

Fiscal Operations Report, Part VI

Name of institution: _____
 State: _____

DUNS # _____

Part VI. Program Summary for Award Year July 1, 1999 through June 30, 2000

Section A. Distribution of Program Recipients and Expenditures by Type of Student

Taxable & Untaxed Income Category Student Type	Federal Perkins Loan		F S E O G		F W S		
	(a) Recipients	(b) Funds	(c) Recipients	(d) Funds	(e) Recipients	(f) Funds Recipients	(g) Unduplicated Recipients
Undergraduate Dependent							
1. \$ 0 - \$ 5,999	_____	_____	_____	_____	_____	_____	_____
2. \$ 6,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____
3. \$12,000 - \$23,999	_____	_____	_____	_____	_____	_____	_____
4. \$24,000 - \$29,999	_____	_____	_____	_____	_____	_____	_____
5. \$30,000 - \$41,999	_____	_____	_____	_____	_____	_____	_____
6. \$42,000 - \$59,999	_____	_____	_____	_____	_____	_____	_____
7. \$60,000 and over	_____	_____	_____	_____	_____	_____	_____
Undergraduate Independent							
8. \$ 0 - \$ 1,999	_____	_____	_____	_____	_____	_____	_____
9. \$ 2,000 - \$ 3,999	_____	_____	_____	_____	_____	_____	_____
10. \$ 4,000 - \$ 7,999	_____	_____	_____	_____	_____	_____	_____
11. \$ 8,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____
12. \$12,000 - \$15,999	_____	_____	_____	_____	_____	_____	_____
13. \$16,000 - \$19,999	_____	_____	_____	_____	_____	_____	_____
14. \$20,000 and over	_____	_____	_____	_____	_____	_____	_____
15. Graduate/Professional	_____	_____	does not apply	does not apply	_____	_____	_____
16. T o t a l	_____	_____	_____	_____	_____	_____	_____
17. Total less than full time students	_____	_____	_____	_____	_____	_____	_____
18. Total "Automatic" Zero EFC students	_____	_____	_____	_____	_____	_____	_____

Fiscal Operations Report, Part VI

Name of institution: _____

DUNS # _____

State: _____

Administrative Cost Allowance Worksheet (worksheet must be retained for audit and Program reviews)

Section B. Calculating the administrative cost allowance

Step 1 Calculate the amount spent in 1999-2000 on which the administrative cost allowance is based:

- | | | |
|--|---|----------|
| 1. Total compensation in FWS (amount from Part V, Section c, Field 11) | | \$ _____ |
| 2. Amount of Federal Perkins Loan funds advanced to students
(amount from Part III, Section B, Field 5) | + | \$ _____ |
| 3. Total funds to FSEOG recipients
(amount from Part IV, Section C, Field 11) | + | \$ _____ |
| 4. Total amount spent (Fields 1 + 2 + 3) | | \$ _____ |

Step 2 Calculate the administrative cost allowance (complete only one subsection):

Institutions whose total amount spent was \$2,750,000 or less

- | | | |
|--|---|-------------|
| 5. Enter total amount spent (Field 4) | | \$ _____ |
| 6. Multiply | x | <u>0.05</u> |
| 7. Total administrative cost allowance
(go to step 3) | | \$ _____ |

Institutions whose total amount spent was more than \$2,750,000 but less than \$5,500,000

- | | | |
|---|---|---------------------|
| 8. Enter total amount spent (Field 4) | | \$ <u>n/a</u> |
| 9. Subtract | - | \$ <u>2,750,000</u> |
| 10. Expenditures over \$2,750,000 (Field 8 - Field 9) | | \$ <u>n/a</u> |
| 11. Multiply | x | <u>0.04</u> |
| 12. Administrative cost allowance on expenditures over
\$2,750,000 (Field 10 x Field 11) | | \$ <u>n/a</u> |
| 13. Add administrative cost allowance on expenditures of
\$2,750,000 | + | \$ <u>137,500</u> |
| 14. Total administrative cost allowance (Field 12 + Field 13)
(go to step 3) | | \$ <u>n/a</u> |

Institutions whose total amount spent was \$5,500,000 or more

- | | | |
|---|---|---------------------|
| 15. Enter total amount spent (Field 4) | | \$ <u>n/a</u> |
| 16. Subtract | - | \$ <u>5,500,000</u> |
| 17. Expenditures over \$5,500,000 (Field 15 - Field 16) | | \$ <u>n/a</u> |
| 18. Multiply | x | <u>0.03</u> |
| 19. Administrative cost allowance on expenditures over
\$5,500,000 (Field 17 x Field 18) | | \$ <u>n/a</u> |
| 20. Add administrative cost allowance on expenditures
of \$5,500,000 | + | \$ <u>247,500</u> |
| 21. Total administrative cost allowance (Field 19 + Field 20)
(go to step 3) | | \$ <u>n/a</u> |

Step 3 Decide how much administrative cost allowance the institution claimed:

22. How much administrative cost did the institution claim
(the amount may be the same or less than the amount calculated in step 2)

\$ _____

23. How much administrative cost did the institution claim in each Program ?

- | | |
|--|----------|
| a. Federal Perkins Loan (must be same as Part III, Sect. B, Field 6) | \$ _____ |
| b. FSEOG (must be the same as Part IV, Section d, Field 14) | \$ _____ |
| c. FWS (must be the same as Part V, Section d, Field 14) | \$ _____ |

Fiscal Operations Report

Name of institution: _____ DUNS # _____
State: __

Additional information page for Fiscal Operations Report 1999-2000 and application to Participate for 2001-2002
