

**Draft Recommendation for  
Space Data System Standards**

**PSEUDO-NOISE (PN)  
RANGING SYSTEMS**

**DRAFT RECOMMENDED STANDARD**

**CCSDS 414.1-R-1**

**RED BOOK**  
**August 2008**

**Draft Recommendation for  
Space Data System Standards**

**PSEUDO-NOISE (PN)  
RANGING SYSTEMS**

**DRAFT RECOMMENDED STANDARD**

**CCSDS 414.1-R-1**

**RED BOOK**  
**August 2008**

## AUTHORITY

Issue:	Red Book, Issue 1
Date:	August 2008
Location:	Washington, DC, USA

**(WHEN THIS RECOMMENDED STANDARD IS FINALIZED, IT WILL CONTAIN THE FOLLOWING STATEMENT OF AUTHORITY:)**

This document has been approved for publication by the Management Council of the Consultative Committee for Space Data Systems (CCSDS) and represents the consensus technical agreement of the participating CCSDS Member Agencies. The procedure for review and authorization of CCSDS documents is detailed in the *Procedures Manual for the Consultative Committee for Space Data Systems*, and the record of Agency participation in the authorization of this document can be obtained from the CCSDS Secretariat at the address below.

This document is published and maintained by:

CCSDS Secretariat  
Space Communications and Navigation Office, 7L70  
Space Operations Mission Directorate  
NASA Headquarters  
Washington, DC 20546-0001, USA

## STATEMENT OF INTENT

### (WHEN THIS RECOMMENDED STANDARD IS FINALIZED, IT WILL CONTAIN THE FOLLOWING STATEMENT OF INTENT:)

The Consultative Committee for Space Data Systems (CCSDS) is an organization officially established by the management of its members. The Committee meets periodically to address data systems problems that are common to all participants, and to formulate sound technical solutions to these problems. Inasmuch as participation in the CCSDS is completely voluntary, the results of Committee actions are termed **Recommended Standards** and are not considered binding on any Agency.

This **Recommended Standard** is issued by, and represents the consensus of, the CCSDS members. Endorsement of this **Recommendation** is entirely voluntary. Endorsement, however, indicates the following understandings:

- o Whenever a member establishes a CCSDS-related **standard**, this **standard** will be in accord with the relevant **Recommended Standard**. Establishing such a **standard** does not preclude other provisions which a member may develop.
- o Whenever a member establishes a CCSDS-related **standard**, that member will provide other CCSDS members with the following information:
  - The **standard** itself.
  - The anticipated date of initial operational capability.
  - The anticipated duration of operational service.
- o Specific service arrangements shall be made via memoranda of agreement. Neither this **Recommended Standard** nor any ensuing **standard** is a substitute for a memorandum of agreement.

No later than five years from its date of issuance, this **Recommended Standard** will be reviewed by the CCSDS to determine whether it should: (1) remain in effect without change; (2) be changed to reflect the impact of new technologies, new requirements, or new directions; or (3) be retired or canceled.

In those instances when a new version of a **Recommended Standard** is issued, existing CCSDS-related member standards and implementations are not negated or deemed to be non-CCSDS compatible. It is the responsibility of each member to determine when such standards or implementations are to be modified. Each member is, however, strongly encouraged to direct planning for its new standards and implementations towards the later version of the Recommended Standard.

## FOREWORD

Through the process of normal evolution, it is expected that expansion, deletion, or modification of this document may occur. This Recommended Standard is therefore subject to CCSDS document management and change control procedures, which are defined in the *Procedures Manual for the Consultative Committee for Space Data Systems*. Current versions of CCSDS documents are maintained at the CCSDS Web site:

<http://www.ccsds.org/>

Questions relating to the contents or status of this document should be addressed to the CCSDS Secretariat at the address indicated on page i.

At time of publication, the active Member and Observer Agencies of the CCSDS were:

Member Agencies

- Agenzia Spaziale Italiana (ASI)/Italy.
- British National Space Centre (BNSC)/United Kingdom.
- Canadian Space Agency (CSA)/Canada.
- Centre National d'Etudes Spatiales (CNES)/France.
- China National Space Administration (CNSA)/People's Republic of China.
- Deutsches Zentrum für Luft- und Raumfahrt e.V. (DLR)/Germany.
- European Space Agency (ESA)/Europe.
- Federal Space Agency (FSA)/Russian Federation.
- Instituto Nacional de Pesquisas Espaciais (INPE)/Brazil.
- Japan Aerospace Exploration Agency (JAXA)/Japan.
- National Aeronautics and Space Administration (NASA)/USA.

Observer Agencies

- Austrian Space Agency (ASA)/Austria.
- Belgian Federal Science Policy Office (BFSPPO)/Belgium.
- Central Research Institute of Machine Building (TsNIIMash)/Russian Federation.
- Centro Tecnico Aeroespacial (CTA)/Brazil.
- Chinese Academy of Sciences (CAS)/China.
- Chinese Academy of Space Technology (CAST)/China.
- Commonwealth Scientific and Industrial Research Organization (CSIRO)/Australia.
- Danish National Space Center (DNSC)/Denmark.
- European Organization for the Exploitation of Meteorological Satellites (EUMETSAT)/Europe.
- European Telecommunications Satellite Organization (EUTELSAT)/Europe.
- Hellenic National Space Committee (HNSC)/Greece.
- Indian Space Research Organization (ISRO)/India.
- Institute of Space Research (IKI)/Russian Federation.
- KFKI Research Institute for Particle & Nuclear Physics (KFKI)/Hungary.
- Korea Aerospace Research Institute (KARI)/Korea.
- MIKOMTEK: CSIR (CSIR)/Republic of South Africa.
- Ministry of Communications (MOC)/Israel.
- National Institute of Information and Communications Technology (NICT)/Japan.
- National Oceanic and Atmospheric Administration (NOAA)/USA.
- National Space Organization (NSPO)/Chinese Taipei.
- Naval Center for Space Technology (NCST)/USA.
- Space and Upper Atmosphere Research Commission (SUPARCO)/Pakistan.
- Swedish Space Corporation (SSC)/Sweden.
- United States Geological Survey (USGS)/USA.

## PREFACE

This document is a draft CCSDS Recommended Standard. Its 'Red Book' status indicates that the CCSDS believes the document to be technically mature and has released it for formal review by appropriate technical organizations. As such, its technical contents are not stable, and several iterations of it may occur in response to comments received during the review process.

Implementers are cautioned **not** to fabricate any final equipment in accordance with this document's technical content.

## DOCUMENT CONTROL

Document	Title	Date	Status
CCSDS 414.1-R-1	Pseudo-Noise (PN) Ranging Systems, Draft Recommended Standard, Issue 1	August 2008	Current draft



## CONTENTS

<u>Section</u>	<u>Page</u>
<b>1 INTRODUCTION.....</b>	<b>1-1</b>
1.1 PURPOSE.....	1-1
1.2 SCOPE.....	1-1
1.3 APPLICABILITY.....	1-1
1.4 RATIONALE.....	1-2
1.5 CONVENTIONS AND DEFINITIONS.....	1-2
1.6 REFERENCES .....	1-3
<b>2 OVERVIEW .....</b>	<b>2-1</b>
<b>3 REGENERATIVE PSEUDO-NOISE RANGING.....</b>	<b>3-1</b>
3.1 OVERVIEW .....	3-1
3.2 PN CODE STRUCTURE .....	3-1
3.3 GROUND STATION UPLINK PROCESSING .....	3-2
3.4 ON-BOARD PROCESSING.....	3-4
3.5 GROUND STATION DOWNLINK PROCESSING.....	3-7
<b>4 TRANSPARENT PSEUDO-NOISE RANGING .....</b>	<b>4-1</b>
4.1 OVERVIEW .....	4-1
4.2 PN CODE STRUCTURE .....	4-1
4.3 GROUND STATION UPLINK PROCESSING .....	4-2
4.4 ON-BOARD TRANSPARENT PROCESSING .....	4-2
4.5 GROUND STATION DOWNLINK PROCESSING .....	4-4
<b>5 SECURITY .....</b>	<b>5-1</b>
5.1 INTRODUCTION .....	5-1
5.2 SECURITY CONCERNS WITH RESPECT TO THE CCSDS DOCUMENT.....	5-1
5.3 POTENTIAL THREATS AND ATTACK SCENARIOS .....	5-1
5.4 CONSEQUENCES OF NOT APPLYING SECURITY TO THE TECHNOLOGY .....	5-1
<b>ANNEX A SPECIFICATIONS FOR PN RANGING (NORMATIVE) .....</b>	<b>A-1</b>
<b>ANNEX B EXAMPLE OF AVAILABLE CHIP RATES (INFORMATIVE).....</b>	<b>B-1</b>
<b>ANNEX C INFORMATIVE REFERENCES (INFORMATIVE) .....</b>	<b>C-1</b>
<b>ANNEX D ABBREVIATIONS AND ACRONYMS (INFORMATIVE).....</b>	<b>D-1</b>

**CONTENTS (continued)**

<u>Figure</u>	<u>Page</u>
3-1 Regenerative T4B PN Code Generation.....	3-1
3-2 Regenerative T2B PN Code Generation.....	3-2
4-1 Transparent T2B PN Code Generation.....	4-1

Table

3-1 Uplink Chip Rates.....	3-3
3-2 Theoretical Ranging Code Phase Acquisition Time for the On-Board Receiver.....	3-6
3-3 Theoretical (One-Way) Ranging Jitter for the On-Board Receiver.....	3-6
3-4 Theoretical Ranging Code Phase Acquisition Time for the Station Receiver.....	3-9
3-5 Theoretical (One-Way) Ranging Jitter for the Station Receiver .....	3-9
4-1 Theoretical Ranging Code Phase Acquisition Time for the Station Receiver (Transparent Ranging) .....	4-5
4-2 Theoretical (One-Way) Ranging Jitter for the Station Receiver (Transparent Ranging) .....	4-5
A-1 Specifications for On-Board PN Regenerative Ranging .....	A-1
A-2 Specifications for PN Ranging and On-Board Transparent Channel .....	A-2
B-1 Example of Available Chip Rates.....	B-1

# 1 INTRODUCTION

## 1.1 PURPOSE

The purpose of this document is to provide a Recommendation for Space Data System Standards in the area of transparent<sup>1</sup> and regenerative Pseudo-Noise (PN) ranging systems. The PN ranging system is used to measure the round-trip light time between a ground station and a spacecraft. Regenerative ranging is primarily relevant for low Signal-to-Noise Ratio (SNR) cases like those seen in deep space missions; transparent ranging is more suitable for high SNR cases or when high accuracy ranging is not required.

## 1.2 SCOPE

This Recommended Standard defines both transparent and regenerative PN ranging systems. The specification for PN code components and generation, on-board spacecraft regenerative/transparent processing, ground station processing, and uplink and downlink signal modulation are defined in this document. This Recommended Standard does not specify a) individual implementations or products, b) implementation of service interfaces within real systems, or c) the management activities required to configure and control the protocol.

This Recommended Standard does not require that PN ranging be used on all cross-supported missions. However, for those cross-supported missions—excluding data relay satellite users—planning to use PN ranging, the recommended techniques are those described in this document.

## 1.3 APPLICABILITY

This Recommended Standard applies to the creation of Agency standards and to future data communications over space links between CCSDS Agencies in cross-support situations. It applies also to internal Agency links where no cross-support is required. It includes specification of the services and protocols for inter-Agency cross support. It is neither a specification of, nor a design for, systems that may be implemented for existing or future missions.

The Recommended Standard specified in this document is to be invoked through the normal standards programs of each CCSDS Agency and is applicable to those missions for which cross support based on capabilities described in this Recommended Standard is anticipated. Where mandatory capabilities are clearly indicated in sections of the Recommended Standard, they must be implemented when this document is used as a basis for cross support. Where options are allowed or implied, implementation of these options is subject to specific bilateral cross support agreements between the Agencies involved.

---

<sup>1</sup> The term '*transparent ranging*' is used in this standard to mean non-regenerative ranging or turn-around ranging.

## 1.4 RATIONALE

The CCSDS believes it is important to document the rationale underlying the recommendations chosen, so that future evaluations of proposed changes or improvements will not lose sight of previous decisions. Concept and rationale behind the decisions that formed the basis for this Recommended Standard are found in the CCSDS Pseudo-Noise Ranging Systems Green Book (reference [C1]).

## 1.5 CONVENTIONS AND DEFINITIONS

### 1.5.1 DEFINITIONS

The following definitions apply through this Recommended Standard:

**chip rate:** rate at which the PN code bits (or ‘chips’) are transmitted.

**coherent transponder:** transponder for which the downlink carrier is phase-coherent with the received uplink carrier.

**component sequences:** family of shorter length PN sequences used to form the ranging PN code using logic operations.

**range clock:** PN component code with the highest frequency (i.e., shortest period); determines the range resolution.

**regenerative ranging:** type of ranging where the spacecraft demodulates and acquires the ranging code by correlation with a local code replica from the uplink ranging signal, and regenerates the ranging code on the downlink.

**transparent ranging:** type of ranging where the spacecraft frequency-translates the uplink ranging signal to the downlink without code acquisition (i.e., non-regenerative ranging or turn-around ranging).

**one-way jitter:** ranging jitter in meters resulting from measuring the round-trip light time and halving the measurement to compute the distance.

### 1.5.2 NOMENCLATURE

The following conventions apply through this Recommended Standard:

- the words ‘shall’ and ‘must’ imply a binding and verifiable specification;
- the word ‘should’ implies an optional, but desirable, specification;
- the word ‘may’ implies an optional specification;
- the words ‘is’, ‘are’, and ‘will’ imply statements of fact.

### 1.5.3 CONVENTIONS

In this document, the following convention is used:

- A ‘+1’ ranging chip corresponds to a binary 0 value;
- A ‘-1’ ranging chip corresponds to a binary 1 value.

### 1.6 REFERENCES

The following documents contain provisions which, through reference in this text, constitute provisions of this Recommended Standard. At the time of publication, the editions indicated were valid. All documents are subject to revision, and users of this Recommended Standard are encouraged to investigate the possibility of applying the most recent editions of the documents indicated below. The CCSDS Secretariat maintains a register of currently valid CCSDS Recommended Standards.

- [1] *Radio Frequency and Modulation Systems—Part 1: Earth Stations and Spacecraft.* Recommendation for Space Data System Standards, CCSDS 401.0-B-19. Blue Book. Issue 19. Washington, D.C.: CCSDS, July 2008.

NOTE – Informative references are provided in annex C.

## 2 OVERVIEW

Several upcoming missions require higher accuracy spacecraft position determination compared to currently supported missions. One solution to cope with these new requirements is the use of regenerative PN ranging systems. *Regenerative Ranging* presents several advantages with respect to the classical *Sequential Ranging*, which is the approach at present used by CCSDS Agencies supporting deep space missions. This technique requires the use of PN codes with important impacts for on-board transponder and Earth station design, different from sequential systems for which transparent transponders are commonly used.

Even though the advantages of regenerative ranging are mainly relevant to the low SNR case (e.g., deep space missions), the use of PN ranging with transparent on-board processing is also possible. This solution is attractive in presence of good link margin or when very accurate ranging is not needed. A transponder based on transparent ranging channel will have reduced complexity compared with the regenerative case. The spacecraft demodulates a large frequency range around the carrier and re-modulates the entire bandpass including the uplink noise onto the downlink carrier. With a transparent system, the ranging SNR at the station is proportional to  $1/r^4$  where  $r$  is the distance to be measured. In a regenerative PN ranging system, a PN ranging code is phase modulated on the uplink carrier and transmitted from the ground station to the spacecraft. This ranging signal is derived using a logical combination of a ranging clock and several component PN codes. Received by the spacecraft, the ranging signal is demodulated by the spacecraft transponder, and the ranging code is acquired. The spacecraft then regenerates the ranging code coherently with the uplink code, and phase modulates the downlink carrier with the locally generated version of the ranging code. Back at the ground station, the station receiver demodulates the downlink and correlates the received ranging signal with a local model of the range clock and component PN codes to determine the round-trip light time. The ranging SNR at the station is therefore proportional to  $1/r^2$  where  $r$  is the distance to be measured.

Selection of the ranging clock frequency determines the range precision. Likewise, the component codes structure and combination logic affect the code acquisition time and probability, range ambiguity, and range precision. The PN codes in this Recommended Standard have been selected to provide high ranging accuracy while maintaining a reasonable code acquisition time.

For transparent PN ranging, the uplink process is exactly the same as in the regenerative ranging case. However, in transparent PN ranging the spacecraft does not attempt to acquire the ranging code; instead, it phase modulates the uplink ranging signal as received on board onto the downlink without further processing. The ground station receiver demodulates the downlink and performs the PN ranging correlation in the same manner as for regenerative ranging. Because any uplink noise is re-modulated onto the downlink, transparent ranging accuracy will generally not be as good as with regenerative ranging; however, transparent ranging requires less complexity in the spacecraft transponder.

This Recommended Standard is divided into two main parts covering regenerative PN ranging and transparent PN ranging. This Recommended Standard contains sections on the selection of PN code structure and modulation scheme, ground station uplink processing, onboard spacecraft processing, and ground station downlink processing.

### 3 REGENERATIVE PSEUDO-NOISE RANGING

#### 3.1 OVERVIEW

This section provides recommendations for Regenerative PN ranging. Specifically, recommendations are made for the PN code structure and modulation scheme, ground station transmit (uplink) processing, on-board regenerative processing, and ground station receive (downlink) processing.

#### 3.2 PN CODE STRUCTURE

##### 3.2.1 OVERVIEW

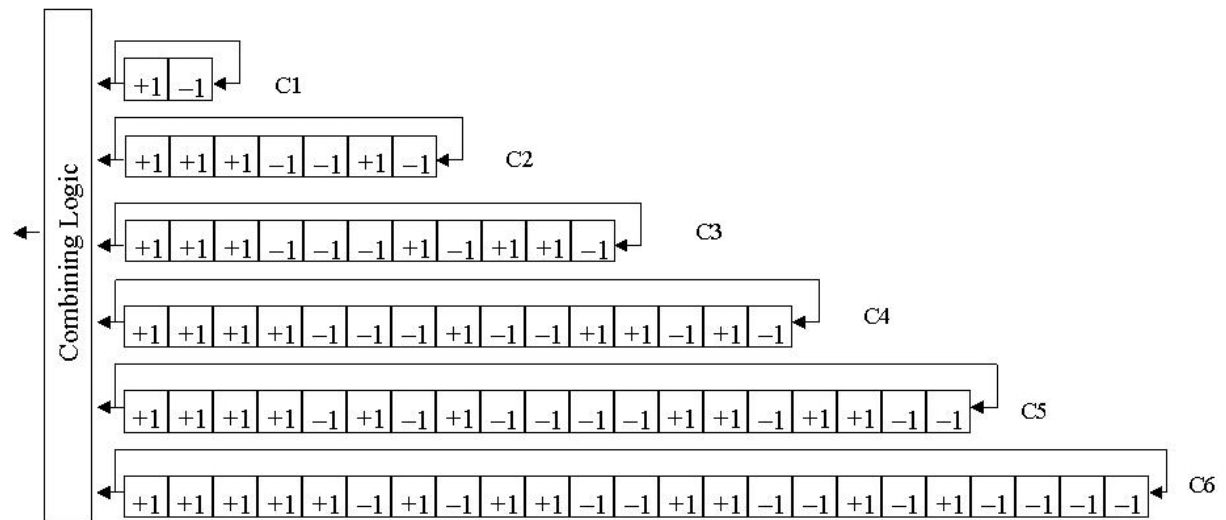
This subsection defines the PN ranging code components and combination logic for generating the regenerative PN ranging codes.

##### 3.2.2 WEIGHTED-VOTING BALANCED TAUSWORTHE, $v=4$

For range measurements where the ranging accuracy is of primary concern, the PN ranging code called Weighted-voting balanced Tausworthe,  $v=4$  (T4B) shall be selected.

The code is made up of six binary ( $\pm 1$ ) periodic ‘component sequences’ with a combination algorithm based on giving  $v=4$  votes to the clock component C1 as shown in figure 3-1.

The resulting ranging sequence C is periodic with length  $L = 2 \times 7 \times 11 \times 15 \times 19 \times 23 = 1,009,470$  chips.



where the combined sequence is  $C = \text{sign}(4 C_1 + C_2 - C_3 - C_4 + C_5 - C_6)$

**Figure 3-1: Regenerative T4B PN Code Generation**

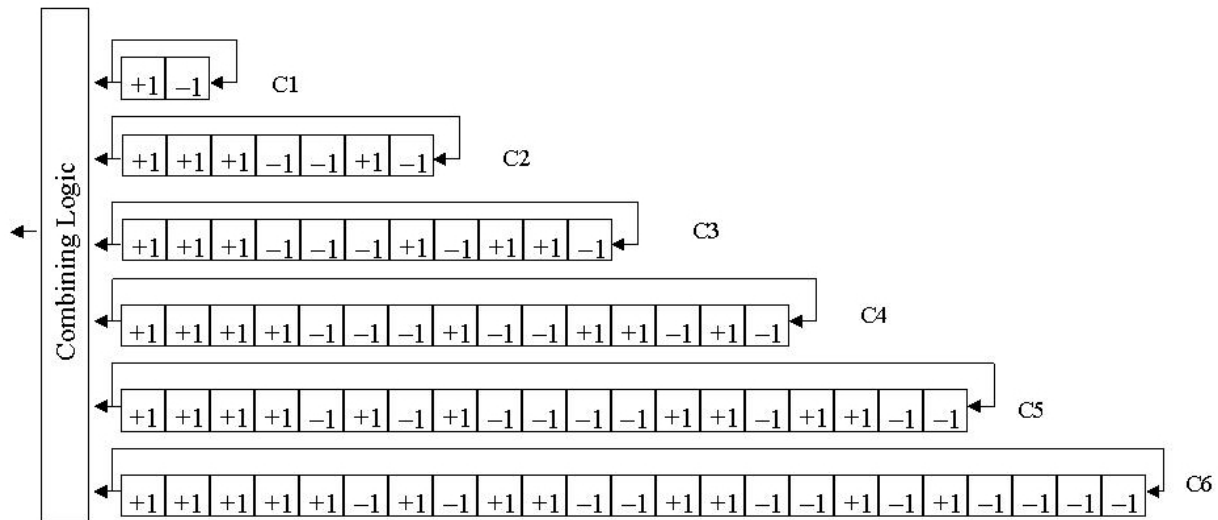


### 3.2.3 WEIGHTED-VOTING BALANCED TAUSWORTHE, $v=2$

For range measurements where the acquisition time is of primary concern, such as for missions where the ranging signal will be very weak, the PN ranging code called Weighted-voting balanced Tausworthe,  $v=2$  (T2B) shall be selected.

The Weighted-voting ( $v=2$ ) Tausworthe ranging code is made up of the same six binary ( $\pm 1$ ) periodic ‘component sequences’ as the T4B code, but with a different combination algorithm based on giving  $v=2$  votes to the clock component C1 as shown in figure 3-2.

The resulting ranging sequence C is periodic with length  $L = 2 \times 7 \times 11 \times 15 \times 19 \times 23 = 1,009,470$  chips.



where the combined sequence is  $C = \text{sign}(2C1 + C2 - C3 - C4 + C5 - C6)$

**Figure 3-2: Regenerative T2B PN Code Generation**

## 3.3 GROUND STATION UPLINK PROCESSING

### 3.3.1 OVERVIEW

This subsection provides recommendations for ground station uplink (transmit) processing for PN ranging.

### 3.3.2 UPLINK SIGNAL MODULATION

The ground station transmitter shall modulate the uplink carrier with the PN code specified in 3.2.

The ranging signal shall be linearly phase modulated on the uplink carrier.

Base-band shaping may be required by mission design on the PN ranging signal to conserve bandwidth at high chip rates. In this case the shaping filter shall have the following impulse response:

$$h(t) = h_{\sin}(t) = \begin{cases} \sin(\pi t / T_c) & t \in [0, T_c] \\ 0 & \text{elsewhere} \end{cases}$$

Ranging according to this standard and telecommand as specified in CCSDS 401.0-B 2.2.4 and 2.2.7 (reference [1]) may be performed at the same time.

### 3.3.3 UPLINK CHIP RATE

The ranging signal chip rate shall be frequency coherent with the uplink carrier as given by the following expression (for  $k=6$  and  $l=\{1,2,\dots,12,16,32, \text{ or } 64\}$  or for  $l=2$  and  $k=\{8,9, \text{ or } 10\}$ ). See also an example of available chip rates in annex B.

**Table 3-1: Uplink Chip Rates**

$F_{chip} = 2F_{clock} = l \cdot \frac{f_{S-band}}{128 \cdot 2^k}$	<i>for S-band uplinks</i>
$F_{chip} = 2F_{clock} = l \cdot \left(\frac{221}{749}\right) \cdot \frac{f_{X-band}}{128 \cdot 2^k}$	<i>for X-band uplinks</i>
$F_{chip} = 2F_{clock} = l \cdot \left(\frac{221}{3599}\right) \cdot \frac{f_{Ka-band}}{128 \cdot 2^k}$	<i>for Ka-band<sup>2</sup> uplinks</i>

where

$F_{chip}$  is the chip rate in Mchip/s

$F_{clock}$  is the ranging clock in MHz

$f_{S-band}$ ,  $f_{X-band}$ ,  $f_{Ka-band}$  are the S-band, X-band, and Ka-band uplink frequencies, respectively, in MHz

For interoperability reasons, the Earth stations shall as a minimum support two chip rate values: the preferred value of approximately 2 Mchip/s obtained by selecting  $l=8$  and  $k=6$  in the equations of table 3-1 and a lower value of approximately 1 Mchip/s obtained by selecting  $l=4$  and  $k=6$  in the equations of table 3-1.

---

<sup>2</sup> 34200-34700 MHz

NOTE – The configuration of some CCSDS Agencies' ground stations may not be able to easily implement the above ratios between chip rate and carrier frequency. In such cases, the offset between the generated value and the theoretical value shall be  $< 10$  MHz. However, the chip rate shall remain locked to the station frequency reference.

## **3.4 ON-BOARD PROCESSING**

### **3.4.1 OVERVIEW**

This subsection defines the on-board spacecraft functions and performances for regenerative ranging.

### **3.4.2 PROCESSING FUNCTIONS**

The on-board transponder shall implement the following ranging functions:

- carrier tracking and ranging signal demodulation;
- chip rate acquisition and tracking;<sup>3</sup>
- code acquisition and tracking;
- coherent retransmission of regenerated<sup>4</sup> code on the downlink signal.

As far as the processing of the ranging signal is concerned, either a frequency coherent or non-coherent transponder can be used.<sup>5</sup> The performance specification in this standard assumes a frequency coherent transponder.

These requirements shall apply to all operational modes like telecommand on/off and telemetry on/off.

---

<sup>3</sup> An uplink carrier coherent with the PN code chip rate allows for the use of an on-board code-aided acquisition/tracking loop; this is particularly useful in case of low SNR.

<sup>4</sup> The same code structure used for the uplink shall be used for the downlink.

<sup>5</sup> In case of carrier coherent turnaround approach, carrier and PN code chip rate received at the ground station are coherent (as in the up-link case); this can be used at the ground station for code-aided acquisition/tracking loop, for instance, in case of low SNR.

### 3.4.3 RANGING SIGNAL ACQUISITION PERFORMANCES

#### 3.4.3.1 General

The on-board receiver shall acquire the PN code for the whole dynamic range of input signal power (down to the minimum ranging power over noise spectral density,  $P_r/N_o$ ), frequency shift ( $\Delta f/f$ ) and Doppler rate ( $R$ ). These values depend on the selected mission. The following two operating regions are foreseen:<sup>6</sup>

- $10 \text{ dBHz} \leq P_r/N_o \leq 30 \text{ dBHz}$ ;  $\Delta f/f \leq 30 \text{ ppm}$ ;  $R < 0.01 \text{ ppm/sec}$ ;
- $P_r/N_o > 30 \text{ dBHz}$ ;  $\Delta f/f \leq 60 \text{ ppm}$ ;  $R < 0.1 \text{ ppm/sec}$ .

The transponder shall be able to acquire and track a chip rate offset of up to 10 mHz.

NOTE – An aided acquisition strategy (using the carrier frequency to estimate the chip rate value) can help keep the ranging signal in the loop pull-in when a narrow code loop bandwidth is used. This is particularly useful in case of low  $P_r/N_o$ .

#### 3.4.3.2 On-Board Nonlinearities

The phase response shall not deviate more than  $\pm 5$  degrees from a linear phase-frequency relationship over the frequency range of  $\pm 1.5 * F_{\text{chip}}$ .

The transmit in-band gain deviation from an ideally flat gain shall be constant to within  $\pm 0.5$  dB over  $\pm 1.5 * F_{\text{chip}}$ .

#### 3.4.3.3 Acquisition Time and Probability

The on-board receiver shall acquire the ranging code phase in a time ( $T_{\text{acq}}$ ) corresponding to an SNR degradation of less than 2 dB relative to the theoretical acquisition time given in table 3-2 for a probability of acquisition greater than 99.9%. The acquisition performances are related to the ranging power over noise spectral density ( $P_r/N_o$ ) and to the selected ranging code (code family and chip rate) given in 3.2 and 3.3.3. For other  $P_r/N_o$  ratios, the maximum acquisition time shall be computed by dividing the value in table 3-2 by  $10^{(P_r/N_o - 30)/10}$ .

---

<sup>6</sup> The frequency shifts and rates given here correspond to typically expected values. The  $P_r/N_o$  ratios are the currently expected lower limits of typical deep space missions; the PN ranging equipment may be able to operate at a lower threshold.

**Table 3-2: Theoretical Ranging Code Phase Acquisition Time for the On-Board Receiver**

Sequence	Theoretical acquisition time <sup>7</sup> $T_{acq}$ at $P_r/N_0=30$ dBHz
Balanced Weighted-voting Tausworthe, $v=4$	85.7 s
Balanced Weighted-voting Tausworthe, $v=2$	5.2 s

### 3.4.4 ON-BOARD RANGING DELAY STABILITY

For the purpose of ranging measurement, the on-board ranging delay shall meet the following requirements:

- the average ranging delay shall be constant to within  $\pm 1/(30 * F_{chip})$  or  $\pm 20$  ns, whichever is larger;<sup>8</sup>
- it shall be possible to calibrate the transponder delay from engineering status telemetry such as uplink frequency and power level, power supply voltage, and temperature to an accuracy of  $\pm 1/(500 * F_{chip})$  or  $\pm 1$  ns, whichever is larger.

### 3.4.5 ON-BOARD RANGING JITTER PERFORMANCE

The on-board receiver shall track the ranging chip rate with a jitter corresponding to an SNR degradation of less than 2 dB relative to the theoretical jitter given in table 3-3 for a chip loop tracking bandwidth  $B_L=1$  Hz and a chip rate of 2.068 Mchip/s. The tracking performance is related to the ranging power over noise spectral density ( $P_r/N_0$ ), and to the selected ranging code (code family and chip rate) given in 3.2 and 3.3.3.

**Table 3-3: Theoretical (One-Way) Ranging Jitter for the On-Board Receiver**

Sequence	Theoretical jitter <sup>9</sup> at $P_r/N_0=30$ dBHz
Balanced Weighted-voting Tausworthe, $v=4$	0.87 m
Balanced Weighted-voting Tausworthe, $v=2$	1.29 m

<sup>7</sup> Assuming six parallel correlators under ideal conditions and with soft quantization of the chip detection filter output.

<sup>8</sup> This specification applies for any values within the nominal range of carrier frequency (taking into account Doppler shift), input level, modulation index, power supply, temperature, and lifetime.

<sup>9</sup> Assuming uplink baseband shaping or on-board filtering, and on-board chip tracking loop under ideal conditions.

### 3.4.6 DOWNLINK MODULATION

#### 3.4.6.1 General

The regenerated ranging signal shall be applied to the downlink modulator using linear phase modulation.

Base-band shaping may be required by mission design on the PN ranging signal to conserve bandwidth at high chip rates. In this case, the shaping filter shall have the following impulse response:

$$h(t) = h_{\sin}(t) = \begin{cases} \sin(\pi t / T_c) & t \in [0, T_c] \\ 0 & \text{elsewhere} \end{cases}$$

#### 3.4.6.2 On-Board Nonlinearities

The phase response shall not deviate more than  $\pm 5$  degrees from a linear phase-frequency relationship over the frequency range of  $\pm 1.5 * F_{\text{chip}}$ .

The transmit in-band gain deviation from an ideally flat gain shall be constant to within  $\pm 0.5$  dB over  $\pm 1.5 * F_{\text{chip}}$ .

#### 3.4.6.3 Downlink Chip Rate

The downlink chip rate shall be frequency coherent with the up-link chip rate. When the transponder is in coherent mode, the downlink chip rate shall also be frequency coherent with the downlink carrier. The phase of the transmitted code shall also be coherent with the received code phase.

## 3.5 GROUND STATION DOWNLINK PROCESSING

### 3.5.1 OVERVIEW

This subsection provides recommendations for ground station downlink (receive) processing for PN ranging.

### 3.5.2 RECEIVER DOWNLINK PROCESSING

The ground station receiver shall implement the following ranging functions:

- carrier tracking and ranging signal demodulation when the downlink modulation is as specified in 3.4.6;

- chip rate acquisition and tracking;<sup>10</sup>
- code acquisition and tracking;
- comparison of transmit and receive code epochs for ranging delay evaluation.

NOTE – Ranging and telemetry functions are typically performed at the same time.

### 3.5.3 STATION PERFORMANCE

The station receiver should acquire the PN code for the whole dynamic range of input signal power (down to the minimum  $P_r/N_o$ ), frequency shift ( $\Delta f/f$ ), and Doppler rate ( $R$ ). These values will depend on the selected mission. The following two operating regions are foreseen:<sup>11</sup>

- $-10 \text{ dBHz} \leq P_r/N_o \leq 30 \text{ dBHz}$ ;  $\Delta f/f \leq 60 \text{ ppm}$ ;  $R < 0.02 \text{ ppm/sec}$ ;
- $P_r/N_o > 30 \text{ dBHz}$ ;  $\Delta f/f \leq 120 \text{ ppm}$ ;  $R < 0.2 \text{ ppm/sec}$ .

NOTE – The aided acquisition strategy (using the carrier frequency to estimate the chip rate value) helps keep the ranging signal within the loop pull-in range when using a narrow code loop bandwidth. This is particularly useful in case of low  $P_r/N_o$ .

### 3.5.4 ACQUISITION TIME AND PROBABILITY

The station receiver shall acquire the ranging code phase in a time ( $T_{acq}$ ) corresponding to an SNR degradation of less than 0.5 dB relative to the theoretical acquisition time given in table 3-4, for a probability of acquisition greater than 99.9%. The acquisition performances are related to the received  $P_r/N_o$ , and to the selected ranging code (code family and chip rate) given in 3.2 and 3.3.3. For other  $P_r/N_o$  ratios, the maximum acquisition time shall be computed by dividing the value in table 3-4 by  $10^{(P_r/N_o - 30)/10}$ .

<sup>10</sup> Coherent carrier and code down-link signal allows the use of on-ground code-aided acquisition/tracking loop; this is particularly useful in case of low SNR

<sup>11</sup> The frequency shifts and rates given here correspond to typically expected values. The  $P_r/N_o$  ratios are the currently expected lower limits of typical deep space missions; the PN ranging equipment may be able to operate at a lower threshold.

**Table 3-4: Theoretical Ranging Code Phase Acquisition Time for the Station Receiver**

Sequence	Theoretical acquisition time <sup>12</sup> $T_{\text{acq}}$ at $P_r/N_o=30$ dBHz
Balanced Weighted-voting Tausworthe, $v=4$	4.3 s
Balanced Weighted-voting Tausworthe, $v=2$	0.26 s

### 3.5.5 STATION GROUP DELAY STABILITY

The station group delay shall be constant to within  $\pm 2$  ns over a period of 12 hours.

### 3.5.6 STATION RANGING JITTER PERFORMANCE

The station receiver shall track the ranging chip rate with a jitter<sup>13</sup> corresponding to an SNR degradation of less than 1 dB relative to the theoretical jitter given in table 3-5 for a chip loop tracking bandwidth  $B_L=1$  Hz and a chip rate of 2.068 Mchip/s. The tracking performance is related to the ranging power over noise spectral density ( $P_r/N_o$ ), and to the selected ranging code (code family and chip rate) given in 3.2 and 3.3.3.

**Table 3-5: Theoretical (One-Way) Ranging Jitter for the Station Receiver**

Sequence	Theoretical jitter <sup>14</sup> at $P_r/N_o=30$ dBHz
Balanced Weighted-voting Tausworthe, $v=4$	0.78 m
Balanced Weighted-voting Tausworthe, $v=2$	1.17 m

<sup>12</sup> Assuming 76 parallel correlators under ideal conditions and with soft quantization of the matched filter output.

<sup>13</sup> The worst-case end-to-end ranging jitter performance is given by the Root Sum Squared (RSS) of the on-board and station contributions. Depending on the ratio of on-board and station loop bandwidths, the actual performance can be better than the RSS value.

<sup>14</sup> Assuming downlink baseband shaping and station matched receiver under ideal conditions. In case of open loop receiver based on I-Q correlator, the same performance is obtained by setting the integration time  $T$  equal to  $1/(2B_L)$  or 0.5 sec for  $B_L=1$  Hz.



## 4 TRANSPARENT PSEUDO-NOISE RANGING

### 4.1 OVERVIEW

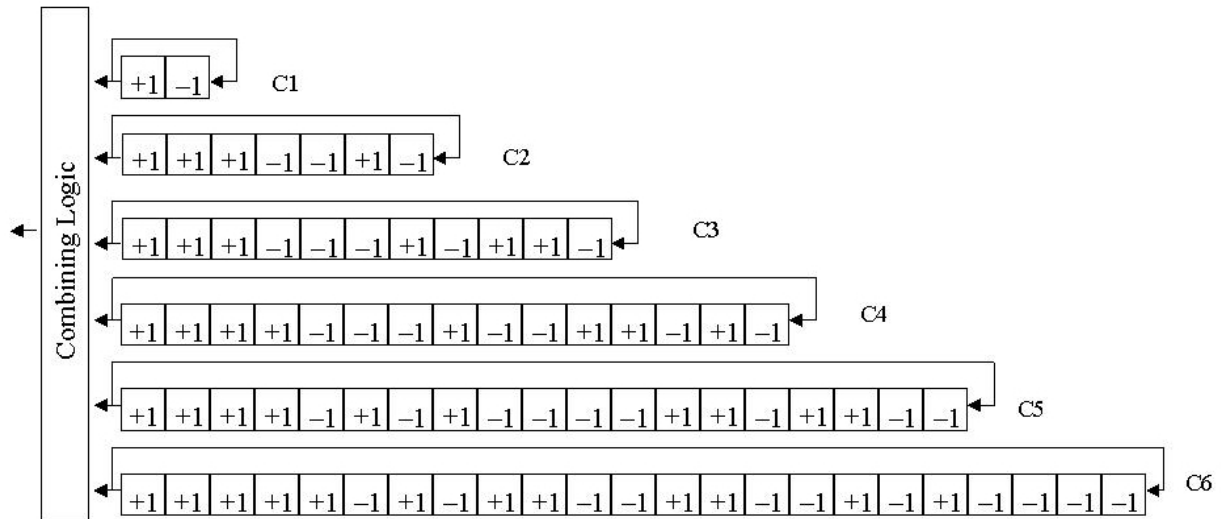
This section provides recommendations for transparent PN ranging. Specifically, recommendations are made for the PN code structure, ground station transmit (uplink) processing, on-board transparent processing, and ground station receive (downlink) processing.

### 4.2 PN CODE STRUCTURE

For transparent range measurements, the PN ranging code called Weighted-voting balanced Tausworthe,  $v=2$  (T2B) shall be selected.

The code is made up of six binary ( $\pm 1$ ) periodic ‘component sequences’ with a combination algorithm based on giving  $v=2$  votes to the clock component C1 as shown in figure 4-1.

The resulting ranging sequence C is periodic with length  $L = 2 \times 7 \times 11 \times 15 \times 19 \times 23 = 1,009,470$  chips.



where the combined sequence is  $C = \text{sign}(2C1 + C2 - C3 - C4 + C5 - C6)$

**Figure 4-1: Transparent T2B PN Code Generation**

### 4.3 GROUND STATION UPLINK PROCESSING

#### 4.3.1 OVERVIEW

This subsection provides recommendations for ground station uplink (transmit) processing for PN ranging.

#### 4.3.2 UPLINK SIGNAL MODULATION

The ground station transmitter shall modulate the uplink carrier with the PN code specified in 4.2.

The ranging signal shall be linearly phase modulated on the uplink carrier.

Base-band shaping may be required by mission design on the PN ranging signal to conserve bandwidth at high chip rates. In this case the shaping filter shall have the following impulse response:

$$h(t) = h_{\sin}(t) = \begin{cases} \sin(\pi t / T_c) & t \in [0, T_c] \\ 0 & elsewhere \end{cases}$$

Ranging according to this standard and telecommand as specified in CCSDS 401.0-B 2.2.4 and 2.2.7 (reference [1]) may be performed at the same time.

#### 4.3.3 UP-LINK CHIP RATE

The ranging signal chip rate shall be frequency coherent with the up-link carrier as given in 3.3.3.

### 4.4 ON-BOARD TRANSPARENT PROCESSING

#### 4.4.1 OVERVIEW

This subsection defines the on-board spacecraft functions and performances for transparent ranging.

#### 4.4.2 PROCESSING FUNCTIONS

The on-board transponder shall implement the following ranging functions:

- carrier tracking and ranging signal demodulation;
- video ranging signal filtering and Automatic Level Control (ALC);
- downlink signal modulation.

As far as the processing of the ranging signal is concerned, either a frequency coherent or non-coherent transponder can be used.<sup>15</sup> The performance specification in this standard assumes a frequency coherent transponder.

These requirements shall be applied in all the operational modes such as telecommand on/off and telemetry on/off.

#### 4.4.3 RANGING CHANNEL NON-LINEARITIES

##### 4.4.3.1 In-Band Group Delay Variation

The end-to-end in-band group delay variation of the ranging channel shall be constant to within  $\pm 1/(30 * F_{chip})$  in the range from  $(F_{chip}/4)$  to  $(1.5 * F_{chip})$ .

##### 4.4.3.2 Gain Flatness

The end-to-end in-band gain deviation from an ideally flat gain shall be constant to within  $\pm 0.5$  dB in the range from  $(F_{chip}/2)$  to  $(1.0 * F_{chip})$ .

#### 4.4.4 3-DB BANDWIDTH

The  $-3$  dB frequencies shall be below  $(F_{chip}/50)$  or 3 kHz, whichever is larger, and above  $(1.5 * F_{chip})$  from the carrier.

#### 4.4.5 ONE-SIDED NOISE BANDWIDTH

The one-sided noise bandwidth shall be  $\leq 1.25 * F_{chip}$ .

#### 4.4.6 ON-BOARD RANGING DELAY STABILITY

For the purpose of ranging measurement, the on-board ranging delay shall meet the following requirements:

- the average ranging delay shall be constant to within  $\pm 1/(30 * F_{chip})$  or  $\pm 20$  ns, whichever is larger;<sup>16</sup>
- it shall be possible to calibrate the transponder delay from engineering status telemetry such as uplink frequency and power level, power supply voltage, and temperature to an accuracy of  $\pm 1/(500 * F_{chip})$  or  $\pm 1$  ns, whichever is larger.

<sup>15</sup> In case of coherent approach, carrier and PN code chip rate received at the ground station are coherent (as in the up-link case); this can be used at the ground station for code-aided acquisition/tracking loop, for instance, in case of low SNR.

<sup>16</sup> This specification applies for any values within the nominal range of carrier frequency (taking into account Doppler shift), input level, modulation index, power supply, temperature, and lifetime.

#### 4.4.7 DOWNLINK MODULATION

The baseband ranging signal after filtering and ALC control shall be applied to the downlink modulator using linear phase modulation.

### 4.5 GROUND STATION DOWNLINK PROCESSING

#### 4.5.1 OVERVIEW

This subsection provides recommendations for ground station downlink (receive) processing for PN ranging.

#### 4.5.2 RECEIVER DOWNLINK PROCESSING

The ground station receiver shall implement the ranging function according to the following requirements:

- carrier tracking and ranging signal demodulation;
- chip rate acquisition and tracking;<sup>17</sup>
- code acquisition and tracking;
- comparison of transmit and receive code epochs for ranging delay evaluation.

NOTE – Ranging and telemetry functions are typically performed at the same time.

#### 4.5.3 STATION PERFORMANCES

The station receiver shall acquire the PN code for the whole dynamic of input signal power (down to the minimum ranging power over noise spectral density,  $P_r/N_o$ ), frequency shift ( $\Delta f/f$ ) and Doppler rate ( $R$ ). These values depend on the selected mission. The following two operating regions are foreseen:<sup>18</sup>

- $10 \text{ dBHz} \leq P_r/N_o \leq 30 \text{ dBHz}$ ,  $\Delta f/f \leq 30 \text{ ppm}$ ,  $R < 0.01 \text{ ppm/sec}$ ;
- $P_r/N_o > 30 \text{ dBHz}$ ,  $\Delta f/f \leq 60 \text{ ppm}$ ,  $R < 0.1 \text{ ppm/sec}$ .

NOTE – The aided acquisition strategy (using the carrier frequency to estimate the chip rate value) allows keeping the ranging signal in the loop pull-in also in case of narrow code loop bandwidth. This is particularly useful in case of low  $P_r/N_o$ .

<sup>17</sup> Coherent carrier and code down-link signal allows the use of on-ground code-aided acquisition/tracking loop; this is particularly useful in case of low SNR.

<sup>18</sup> The frequency shifts and rates given here correspond to typically expected values. The  $P_r/N_o$  ratios are the currently expected lower limits of typical L1/L2 Lagrangian point missions; the PN ranging equipment may be able to operate at a lower threshold.

#### 4.5.4 ACQUISITION TIME AND PROBABILITY

The station receiver shall acquire the ranging code phase in a time ( $T_{\text{acq}}$ ) corresponding to an SNR degradation of less than 0.5 dB relative to the theoretical acquisition time given in table 4-1 for a probability of acquisition greater than 99.9%. The acquisition performances are related to the input signal power ( $P_r/N_o$ ), and to the selected ranging code (code family and chip rate) given in 4.2 and 4.3.3. For other  $P_r/N_o$  ratios, the maximum acquisition time shall be computed by dividing the value in table 4-1 by  $10^{(P_r/N_o-10)/10}$ .

**Table 4-1: Theoretical Ranging Code Phase Acquisition Time for the Station Receiver (Transparent Ranging)**

Sequence	Theoretical acquisition time <sup>19</sup> $T_{\text{acq}}$ at $P_r/N_o=10$ dBHz
Balanced Weighted-voting Tausworthe, $v=2$	26.2 s

#### 4.5.5 STATION GROUP DELAY STABILITY

The station delay shall be constant to within  $\pm 2$  ns over a period of 12 hours.

#### 4.5.6 STATION RANGING JITTER PERFORMANCE

The station receiver shall track the ranging chip rate with a jitter corresponding to an SNR degradation of less than 1 dB relative to the theoretical jitter given in table 4-2 for a chip loop tracking bandwidth  $B_L=1$  Hz and a chip rate of 2.068 Mchip/s. The tracking performance is related to the ranging power over noise spectral density ( $P_r/N_o$ ), and to the selected ranging code (code family and chip rate) given in 4.2 and 4.3.3.

**Table 4-2: Theoretical (One-Way) Ranging Jitter for the Station Receiver (Transparent Ranging)**

Sequence	Theoretical jitter <sup>20</sup> at $P_r/N_o=10$ dBHz
Balanced Weighted-voting Tausworthe, $v=2$	11.7 m

<sup>19</sup> Assuming 76 parallel correlators under ideal conditions and with soft quantization of the matched filter output.

<sup>20</sup> Assuming downlink baseband shaping and station matched receiver under ideal conditions. In case of open loop receiver based on I-Q correlator the same performance is obtained by setting the integration time  $T$  equal to  $1/(2B_L)$  or 0.5 sec for  $B_L=1$  Hz.

## **5 SECURITY [TO BE COMPLETED]**

### **5.1 INTRODUCTION**

### **5.2 SECURITY CONCERNS WITH RESPECT TO THE CCSDS DOCUMENT**

#### **5.2.1 DATA PRIVACY**

#### **5.2.2 DATA INTEGRITY**

#### **5.2.3 AUTHENTICATION OF COMMUNICATING ENTITIES**

#### **5.2.4 CONTROL OF ACCESS TO RESOURCES**

#### **5.2.5 AVAILABILITY OF RESOURCES**

#### **5.2.6 AUDITING OF RESOURCE USAGE**

### **5.3 POTENTIAL THREATS AND ATTACK SCENARIOS**

### **5.4 CONSEQUENCES OF NOT APPLYING SECURITY TO THE TECHNOLOGY**

# ANNEX A

## SPECIFICATIONS FOR PN RANGING

### (NORMATIVE)

**Table A-1: Specifications for On-Board PN Regenerative Ranging**

	Parameter Value
<b>1 - Earth-to-space Link (signal received on-board)</b>	
1.1- Carrier frequency	2.1 GHz, 7.1 GHz, or 34.0 GHz bands
1.2 - Ranging signal to noise spectral density <sup>21</sup>	$P_r/N_o \geq 10$ dBHz
1.3 - Chip Rate ( $F_{\text{chip}}$ )	1 and 2 Mchip/s
1.4 - Carrier frequency and chip rate	Frequency coherent
<b>2 - Spacecraft Transponder</b>	
2.1 - Ranging signal acquisition performance degradation	< 2 dB from theoretical $T_{\text{acq}}$ for Prob_acq > 99.9 %
2.2 - Transmitted carrier frequency ( $F_t$ )	2.2 GHz, 8.4 GHz or 32.0 GHz bands
2.3 - Transmitted carrier frequency and chip rate	Frequency coherent
2.4 - Ranging jitter performance degradation	2 dB from theoretical
<b>3 - Space-to-earth Link (signal received at the ground station)</b>	
3.1 - Ranging signal to noise spectral density <sup>22</sup>	$P_r/N_o \geq -10$ dBHz
3.2 - Ranging signal acquisition performance degradation	< 0.5 dB from theoretical $T_{\text{acq}}$ for Prob_acq > 99.9 %
3.3 - Ranging jitter performance degradation	1 dB from theoretical

<sup>21</sup> These are currently expected lower  $P_r/N_o$  limits of typical deep space missions; the PN ranging equipment may be able to operate at a lower threshold.

**Table A-2: Specifications for PN Ranging and On-Board Transparent Channel**

	Parameter Value
1 - Earth-to-space Link (signal received on-board)	
1.1 - Carrier frequency	2.1 GHz, 7.1 GHz, or 34.0 GHz bands
1.2 - Ranging signal to noise spectral density <sup>22</sup>	$P_r/N_o \geq 10$ dBHz
1.3 - Chip Rate ( $F_{\text{chip}}$ )	1 and 2 Mchip/s
1.4 - Carrier frequency and chip rate	Frequency coherent
2 - Space-to-earth Link (signal received at the ground station)	
2.1 - Carrier frequency	2.2 GHz, 8.4 GHz, 32.0 GHz bands
2.2 - Transmitted carrier frequency and chip rate	Frequency coherent
2.3 - Effective ranging modulation index	As per link budget
2.4 - Carrier (unmodulated) signal to noise spectral density	$C/N_o > 21$ dBHz
2.5 - Ranging signal to noise spectral density <sup>23</sup>	$P_r/N_o \geq +10$ dBHz
2.6 - Ranging signal acquisition performance degradation	$< 0.5$ dB from theoretical $T_{\text{acq}}$ for Prob_acq $> 99.9$ %
2.7 - Ranging jitter performance degradation	1 dB from theoretical

<sup>22</sup> These are currently expected lower  $P_r/N_o$  limits of typical L1/L2 Lagrangian point missions; the PN ranging equipment may be able to operate at a lower threshold.



## ANNEX B

### EXAMPLE OF AVAILABLE CHIP RATES

#### (INFORMATIVE)

An example of available chip rates for an uplink frequency of 7179.000 MHz is shown in table B-1. The highlighted chip rates (obtained by using  $l=4, k=6$  and  $l=8, k=6$ ) correspond to the cross support (interoperability) rates.

**Table B-1: Example of Available Chip Rates**

$l$	$k$	$F_{\text{chip}}$ , kHz
2	10	32.322
2	9	64.643
2	8	129.287
1	6	258.574
2	6	517.148
3	6	775.721
4	6	1034.295
5	6	1292.869
6	6	1551.443
7	6	1810.016
8	6	2068.590
9	6	2327.164
10	6	2585.738
11	6	2844.311
12	6	3102.885
16	6	4137.180
32	6	8274.361
64	6	16548.721

## ANNEX C

### INFORMATIVE REFERENCES

#### (INFORMATIVE)

- [C1] *Pseudo-Noise (PN) Ranging Systems*. Draft Report Concerning Space Data System Standards, CCSDS 414.0-G-0. Draft Green Book. Issue 0. Washington, D.C.: CCSDS, August 2008.<sup>23</sup>
- [C2] J. B. Berner, et al. “Regenerative Pseudo-Noise Ranging for Deep-Space Applications.” *TMO Progress Report* 42-137 (May 15, 1999). <[http://tmo.jpl.nasa.gov/progress\\_report/42-137/137G.pdf](http://tmo.jpl.nasa.gov/progress_report/42-137/137G.pdf)>
- [C3] “Module 214, Pseudo-noise and Regenreative Ranging.” In *DSN Telecommunications Link Design Handbook*. Rev. E. DSN No. 810-005. Pasadena California: JPL, January 15, 2001. <<http://eis.jpl.nasa.gov/deepspace/dsndocs/810-005/>>
- [C4] R. C. Tittsworth.<sup>24</sup> “Optimal Ranging Codes.” *IEEE Transactions on Space Electronics and Telemetry* 10, no. 1 (March 1964): 19-30.
- [C5] J. L. Massey, “Study on PN Ranging Codes for Future Missions,” Final Report, ESA/ESOC Contract No. 17954/03/D/CS(SC), November 2004.
- [C6] J. L. Massey, “Study on PN Ranging Codes for Transparent Channels,” Final Report, ESA/ESOC Contract No. 20432/07/D/CS(SC), November 2007.

NOTE – Normative references are provided in 1.6.

---

<sup>23</sup> Book under development at the time of publication of the present Recommended Standard.

<sup>24</sup> Subsequent to publication of this paper, the author changed his surname to Tausworthe

## ANNEX D

### ABBREVIATIONS AND ACRONYMS

#### (INFORMATIVE)

<u>Term</u>	<u>Definition</u>
ALC	automatic level control
PN	pseudo-noise
ppm	parts per million
RSS	root sum squared
SNR	signal-to-noise ratio