	Rules Aff			ackage	3 Only			
Section	Description	Interim RCE	Final RCE	PBG	PTA	PGPub	Inter Partes	Multiple Packages
	ED Citation	65FR14865 3/20/00	65FR50092 8/16/00	65 FR 54694 9/8/00	65 FR 56366 9/18/00	65 FR 57024 9/20/00	65 FR 76756	
	FR Citation OG Citation	1233 Off. Gaz. Pat. Office 47 4/11/00	1238 Off. Gaz. Pat. Office 13 (9/5/00)	1238 Off. Gaz. Pat. Office 77 (9/19/00)	1239 Off. Gaz. Pat. Office 14 (10/3/00)	1239 Off. Gaz. Pat. Office 63 (10/10/00)	12/07/00 1243 Off. Gaz. Pat. Office 12 (1/02/01)	
1.4	Nature of correspondence and signature requirements.			Х				PBG-IP
1.6	Receipt of correspondence.			Х			Х	PBG-IP
1.7	Times for taking action; expiration on Saturday, Sunday, or Federal holiday.	Х						
1.9	Definitions.			Х		Х		PBG-PGP
1.11	Files open to the public.					Х		
1.12	Assignment records open to public inspection.			Х		X		PBG-PGP
1.13	Copies and certified copies.					X		
1.14	Patent applications preserved in confidence.			Х		X		PBG-PGP
1.17	Patent application processing fees.	Х		Х		Х		RCE(I)-PBG-PGP
1.18	Patent issue fees.				Х	Х		PTA-PGP
1.19	Document supply fees.			X		Х		PBG-PGP
1.20	Post issuance fees.						Χ	
1.22	Fees payable in advance.			Х				
1.24	Coupons.					X		
1.25	Deposit accounts.			X			Х	PBG-IP
1.26	Refunds.			Х			Х	PBG-IP
1.27	Statement of status as small entity.			Х				
1.28	Effect on fees of failure to establish status, or change status, as a small entity.			X				
1.33	Correspondence respecting patent applications, reexamination proceedings, and other proceedings.			Х				
1.34	Recognition for representation.			Х				
1.36	Revocation of power of attorney or authorization; withdrawal of attorney or agent.			х				
1.41	Applicant for patent.			Х				
1.44	Proof of authority.			X				
1.47	Filing when an inventor refuses to sign or cannot be reached.			х				
1.48	Correction of inventorship in a patent application, other than a reissue application.			Х				
1.51	General requisites of an application.			Х				
1.52	Language, paper, writing, margins.			х		Х		PBG-PGP

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Section	Description	Interim RCE	Final RCE	PBG	PTA	PGPub	Inter Partes	Multiple Packages
	·	65FR14865	65FR50092	65 FR 54694	65 FR 56366		65 FR	
	FR Citation	3/20/00	8/16/00	9/8/00	9/18/00	9/20/00	76756 12/07/00	
	OG Citation	1233 Off.	1238 Off.	1238 Off.	4000 0"	1000 0"		
		Gaz. Pat.	Gaz. Pat.	Gaz. Pat.	1239 Off. Gaz. Pat.	1239 Off. Gaz. Pat.	1243 Off. Gaz. Pat.	
		Office 47	Office 13	Office 77	Office 14	Office 63	Office 12	
		4/11/00	(9/5/00)	(9/19/00)	(10/3/00)	(10/10/00)	(1/02/01)	
1.125	Substitute specification.			Х				
1.130	Affidavit or declaration to							
	disqualify commonly owned					X		
	patent as prior art.							
1.131	Affidavit or declaration of							
	prior invention to overcome			Х		Х		PBG-PGP
	cited patent or publication.			, ,		, ,		. 20 . 6.
1.132	Affidavits or declarations							
	traversing grounds of			Х		Х		PBG-PGP
	rejection.			, ,		, ,		. 20 . 0.
1.133	Interviews.			Х				
1.136	Extensions of time.			Х			Х	PBG-IP
1.137	Revival of abandoned							
	application or lapsed			X		Χ		PBG-PGP
	patent.							
1.138	Express abandonment.			X		X		PBG-PGP
1.152	Design drawings.			Х				
1.154	Arrangement of application			Х				
	elements.							
1.155	Issue of design patents.			X				
1.165	Plant Drawings.					Х		
1.173	Specification.			Х				
1.174	Drawings.			Х				
1.176	Examination of reissue.			Х				
1.177	Reissue in divisions.							
				Х				
1.178	Original patent.			Х				
1.181	Petition to the Commissioner.			Х			Х	PBG-IP
1.191	Appeal to Board of Patent							
	Appeals and Interferences.						Х	
1.193	Examiner's answer and			V				
	reply brief.			Х				
1.198	Reopening after decision.	Χ			-			
1.211	Publication of Applications					Х		
1.213	Nonpublication request					Х		
1.215	Patent application					Х		
	publication					^		
1.217	Publication of redacted					Х		
1.219	copy of an application Early publication					X		
1.219						λ		
1.221	Voluntary publication or republication of patent					Х		
4.004	application publication.							
1.291	Protests by the public against pending					X		
	applications.							
1.292	Public use proceedings.					X		
1.301	Appeal to U.S. Court of							
	Appeals for the Federal]	X	
	Circuit.							

FR Citation	PBG-IP PBG-PGP E(I)-RCE(F)
FR Citation	PBG-IP PBG-PGP
FR Citation	PBG-PGP
1233 Off. Gaz. Pat. Office 14 Office 15 Office 12 Office 15 Office 12 Office 14 Office 16 Office 16 Office 16 Office 17 Office 17 Office 18 Office 19 Office 18 Office 12 Office 18 Offi	PBG-PGP
Caz. Pat. Office 47	PBG-PGP
1.303	PBG-PGP
1.303 Civil action under 35 U.S.C. 145, 146, 306. 1.304 Time for appeal or civil action. 1.311 Notice of Allowance. 1.312 Amendments after allowance. 1.313 Withdrawal from issue. 1.314 Issuance of patent. 1.322 Certificate of correction of Office mistake. 1.323 Certificate of correction of applicant's mistake. 1.324 Correction of inventorship in patent. 1.325 Submission of maintenance fees. 1.417 Submission of translation of international application. 1.446 Refund of international application. 1.494 Entering the national stage in the United States of America as a Designated Office.	PBG-PGP
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1.497 Oath or declaration under	
35 U.S.C. 3/1(c)(4).	
1.501 Citation of prior art in patent files.	
1.502 Processing of prior art	
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reexamination proceeding	
1.510 Request for reexamination.	PBG-IP
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1.515 Determination of the	
request for reexamination. 1.520 Reexamination at the	
initiative of the	
Commissioner.	
1.525 Order to reexamine.	
1.530 Statement; amendment by X	DDC ID
patent owner.	PBG-IP
1.535 Reply by requester.	
1.540 Consideration of	
responses.	l
1.550 Conduct of reexamination proceedings.	
1.552 Scope of reexamination in	PBG-IP
reexamination X	PBG-IP
	PBG-IP

		Interim	Final				Inter	
Section	Description	RCE	RCE	PBG	PTA	PGPub	Partes	Multiple Packages
		65FR14865	65FR50092				65 FR	·
		3/20/00	8/16/00	65 FR 54694 9/8/00	65 FR 56366 9/18/00	65 FR 57024 9/20/00	76756	
	FR Citation	1000 0"			0/10/00	0/20/00	12/07/00	
	OG Citation	1233 Off. Gaz. Pat.	1238 Off.	1238 Off.	1239 Off.	1239 Off.	1243 Off.	
		Office 47	Gaz. Pat. Office 13	Gaz. Pat. Office 77	Gaz. Pat.	Gaz. Pat.	Gaz. Pat. Office 12	
		4/11/00	(9/5/00)	(9/19/00)	Office 14 (10/3/00)	Office 63 (10/10/00)	(1/02/01)	
1.555	Information material to				(10,0,00)	(10,10,00)	(1,02,01)	
	patentability in							
	reexamination						Х	
	proceedings.							
1.560	Interviews in reexamination							
	proceedings.						Х	
4.505								
1.565	Concurrent office			Χ			Х	PBG-IP
1.570	proceedings. Issuance of reexamination							
1.570	certificate after							
	reexamination						Х	
	proceedings.							
1.666	Filing of interference			.,				
	settlement agreements.			X				
1.701	Extension of patent term							
	due to examination delay							
	under the Uruguay Round							
	Agreements Act (original				Х			
	applications, other than				^			
	designs, filed on or after							
	June 8, 1995, and before							
. ====	May 29, 2000).							
1.702	Grounds for adjustment of							
	patent term due to							
	examination delay under the Patent Term Guarantee							
	Act of 1999 (original				X			
	applications, other than							
	designs, filed on or after							
	May 29, 2000).							
1.703	Period of adjustment of							
	patent term due to				Х			
	examination delay.							
1.704	Reduction of period of							
	adjustment of patent term.				Х			
1.705	Patent term adjustment				Х			
1.720	determination. Conditions for extension of							
1.720	patent term.			X				
1.730	Applicant for extension of							
1.750	patent term.			X				
1.740	Application for extension of							
	patent term.			X				
1.741	Filing date of application.			Х				
1.760	Interim extension of patent							
	term under 35 U.S.C.			X				
	156(e)(2).							
1.780	Certificate of extension of			Х				
	patent term.			^				
1.821	Nucleotide and/or amino							
	acid sequence disclosures			Х				
	in patent applications.							
1.823	Requirements for							
1.023	nucleotide and/or amino							
	acid sequences as part of			X				
	the application papers.							
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Section	Description	Interim RCE	Final RCE	PBG	PTA	PGPub	Inter Partes		Multiple Packages
		65FR14865	65FR50092	65 FR 54694	65 FR 56366		65 FR		
	FR Citation	3/20/00	8/16/00	9/8/00	9/18/00	9/20/00	76756 12/07/00		
	OG Citation	1233 Off.	1238 Off.	4000 0#				++	
	o onanon	Gaz. Pat.	Gaz. Pat.	1238 Off. Gaz. Pat.	1239 Off. Gaz. Pat.	1239 Off. Gaz. Pat.	1243 Off. Gaz. Pat.		
		Office 47	Office 13	Office 77	Office 14	Office 63	Office 12		
		4/11/00	(9/5/00)	(9/19/00)	(10/3/00)	(10/10/00)	(1/02/01)		
1.824	Form and format for								
	nucleotide and/or amino			V					
	acid sequence submissions in computer			X					
	readable form.								
1.825	Amendments to or							+	
	replacement of sequence			V					
	listing and computer			X					
	readable copy thereof.								
1.902	Processing of prior art						1		
	citations during an inter						Х		
	partes reexamination proceeding.						1		
1.903	Service of papers on							\vdash	
1.000	parties in inter partes						Х		
	reexamination.						, ,		
1.904	Notice of inter partes								
	reexamination in Official						X		
	Gazette.								
1.905	Submission of papers by						V		
	public in inter partes reexamination.						X		
1.906	Scope of reexamination in							++	
1.300	inter partes reexamination						.,		
	proceeding.						Х		
1.907	Inter partes reexamination						Х		
	prohibited.						^		
1.913	Persons eligible to file						Х		
	request for inter partes reexamination.						^		
1.915	Content of request for							t	
	inter partes reexamination.						Х		
	·								
1.919	Filing date of request for								
	inter partes reexamination.						X		
1.923	Examiner's determination		-	 				\vdash	
1.923	on the request for inter						Х		
	partes reexamination.						^		
1.925	Partial refund if request for								
	inter partes reexamination						Х		
	is not ordered.						^		
4.007	Detition to review refused	<u> </u>						\vdash	
1.927	Petition to review refusal to order inter partes						Х		
	reexamination.						^		
1.931	Order for inter partes		†				V	\vdash	
	reexamination.						X		
1.933	Patent owner duty of				-				
	disclosure in inter partes]				Х		
	reexamination]				,		
1.935	proceedings. Initial Office action usually		 	 		 		\vdash	
1.555	accompanies order for inter								
	partes reexamination.]				Х		
				<u> </u>		<u></u>			
1.937	Conduct of inter partes						Х		
1.939	reexamination.		 					$\vdash \vdash$	
11 0.30	Unauthorized papers in	1	I		1				
1.939	inter partes reexamination.						X	1	

Section Description RCE RCE PBG PTA PGPub Pactor PGPub Pactor PGPub Pactor PGPub P	nter artes 5 FR 6756 6756 6707/00 43 Off. z. Pat. licioe 12 002/01) X	Multiple Packages
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by patent owner in inter partes reexamination.	х	1
partes reexamination.	X	
1.947 Comments by third-party		
requester to patent owner's	Х	
response in inter partes	A	
reexamination. 1.948 Limitations on submission		
1.948 Limitations on submission of prior art by third-party		
	X	
order for inter partes		
reexamination.		
1.949 Examiner's Office action		
closing prosecution in inter partes reexamination.	X	
parces recontinuation.		
1.951 Options after Office action		
closing prosecution in inter	X	
partes reexamination.		
1.953 Examiner's Right of		
	X	
partes reexamination.		
1.955 Interviews prohibited in	Х	
inter partes reexamination proceedings.	^	
1.956 Patent owner extensions		
of time in inter partes	X	
reexamination.		
1.957 Failure to file a timely, appropriate or complete		
	X	
inter partes reexamination.		
A OFO Deliting to survive		
1.958 Petition to revive terminated inter partes		
	X	
terminated for lack of		
patent owner response.		
1.959 Notice of appeal and cross		
appeal to Board of Patent Appeals and Interferences	Χ	
in inter partes		
reexamination.		
1.961 Jurisdiction over appeal in	V	
inter partes reexamination.	X	
1.962 Appellant and respondent		
in inter partes	X	
reexamination defined.		
1.963 Time for filing briefs in inter partes reexamination.	Х	
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Section	Description	Interim RCE	Final RCE	PBG	PTA	PGPub	Inter Partes	Multiple Packages
		65FR14865 3/20/00	65FR50092 8/16/00	65 FR 54694 9/8/00	65 FR 56366 9/18/00	65 FR 57024 9/20/00	65 FR 76756	
	FR Citation			0, 0, 0	0, 10, 00	0, 0, 0	12/07/00	
	OG Citation	1233 Off. Gaz. Pat. Office 47 4/11/00	1238 Off. Gaz. Pat. Office 13 (9/5/00)	1238 Off. Gaz. Pat. Office 77 (9/19/00)	1239 Off. Gaz. Pat. Office 14 (10/3/00)	1239 Off. Gaz. Pat. Office 63 (10/10/00)	1243 Off. Gaz. Pat. Office 12 (1/02/01)	
1.965	Appellant brief in inter partes reexamination.						Х	
1.967	Respondent brief in inter partes reexamination.						Х	
1.969	Examiner's answer in inter partes reexamination.						Х	
1.971	Rebuttal brief in inter partes reexamination.						Х	
1.973	Oral hearing in inter partes reexamination.						Х	
1.975	Affidavits or declarations after appeal in inter partes reexamination.						Х	
1.977	Decision by the Board of Patent Appeals and Interferences; remand to examiner in inter partes reexamination.						Х	
1.979	Action following decision by the Board of Patent Appeals and Interferences or dismissal of appeal in inter partes reexamination.						Х	
1.981	Reopening after decision by the Board of Patent Appeals and Interferences in inter partes reexamination.						Х	
1.983	Patent owner appeal to the United States Court of Appeals for the Federal Circuit in inter partes reexamination.						Х	
1.985	Notification of prior or concurrent proceedings in inter partes reexamination.						Х	
1.987	Suspension of inter partes reexamination proceeding due to litigation.						Х	
1.989	Merger of concurrent reexamination proceedings.						Х	
1.991	Merger of concurrent reissue application and inter partes reexamination proceeding.						Х	
1.993	Suspension of concurrent interference and inter partes reexamination proceeding.						Х	
1.995	Third-party requester's participation rights preserved in merged proceeding.						Х	
1.997	Issuance of inter partes reexamination certificate						Х	

		Interim	Final				Inter	
Section	Description	RCE	RCE	PBG	PTA	PGPub	Partes	Multiple Packages
	FR Citation	65FR14865 3/20/00	65FR50092 8/16/00	65 FR 54694 9/8/00	65 FR 56366 9/18/00	65 FR 57024 9/20/00	65 FR 76756 12/07/00	
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3.27	Mailing address for submitting documents to be recorded.			Х				
3.71	Prosecution by assignee.			Х				
3.73	Establishing right of assignee to take action.			Х				
3.81	Issue of patent to assignee.			Х				
5.1	Correspondence.			Х		Х		PBG-PGP
5.2	Secrecy order.			Х				
5.12	Petition for license.			Х				
10.23	Misconduct.			Х				