

Single Family Acquired Asset
Management System (SAMS)
Payee Name and Address

**U.S. Department of Housing
and Urban Development**
Office of Housing
Federal Housing Commissioner

Instructions: See Instructions on back for required attachments. Send completed form to HUD HOC, Attention: Director, Homeownership Center

I. Type of Application: (Items 1a - c)

1a. New Payee (Complete Item Nos. 2 or 3 through 16)
1b. Existing Payee (Complete Item Nos. 2 or 3, 4, and any changes)
1c. New NAID to Existing Payee (Complete Item Nos. 2 or 3, 5, 6 and 8)

II. Payee's Information: (Items 2 or 3 through 16)

Enter **Either** Payee's EIN and Business Name or SSN and Individual Name, **NOT BOTH** (Items 2 - 3)
*1099 information to be forwarded to IRS under EIN/SSN and name shown in Items 2 or 3, and address shown in Item 8.

*2a. EIN		*2b. Business Name for EIN in 2a.		2c. Principal Broker's Name (if applicable)	
*3a. SSN		*3b. Individual Name for SSN in 3a. (Last, First, MI)			
4. Payee's NAID (if existing payee)		5. HOC Area Identifier	6. Payee Type(s)	7. Business Phone Number (Area Code)	
8. Business Address (include City, State, and Zip Code + 4)			Remittance Name and Address (Only if different from Business Name and Address)		
			9. Name		
			10. Address (include City, State, and Zip Code + 4)		
11. Minority-owned? If Yes, check type <input type="checkbox"/> Yes <input type="checkbox"/> No			14. Name of Contact Person		
<input type="checkbox"/> Black American (BL) <input type="checkbox"/> Asian Indian American (AI)			Email		
<input type="checkbox"/> Asian Pacific American (AP) <input type="checkbox"/> Native American (NA)			Phone (Area Code)		
<input type="checkbox"/> Hispanic American (HI) <input type="checkbox"/> Hasidic Jewish American (HS)			Fax (Area Code)		
12. Small Business Owned? <input type="checkbox"/> Yes <input type="checkbox"/> No		13. Woman Owned? <input type="checkbox"/> Yes <input type="checkbox"/> No		16. Family/External Business Relationship to HUD/M&M Contract employees? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, attach an explanation.)	
15. Names of Owners/Principals					

III. For HUD Use Only (Items 17 - 29) Do not send any attachments other than form SF-3881 to SAMS Service Contractor.

The HOC must take whatever measures it deems appropriate to verify that the prospective payee is a legitimate entity prior to approving this form. In addition to the Form W-9, the HOC may require the Form SSA-7028, Notice to Third Party of Social Security Number Assignment, or the IRS Letter 147C (verifying issuance of an EIN) or any other documents it deems appropriate to maintain sound internal controls over the establishment of payees in SAMS. HOC staff must ALWAYS obtain the Form W-9 and must NEVER approve any SAMS payee form until the name/TIN information on both forms matches.

17. Preparer's Signature (M&M Contractor/M&M GTR/M&M GTM/Closing Agent GTR)		18. Title		19. Date (mm/dd/yyyy)	20. Phone (Area Code)
X					
21. Selling Broker's Recertification Date		22. Approved for HOC Area(s):			

Attach ACH Vendor/Miscellaneous Payment Enrollment Form (SF-3881) for Payee Types AP, CA, HA, NP**, PM, and TS.**

23. **Since our office does not intend to make payments to the subject vendor at this time, we have not included a form SF-3881 to enroll the vendor in the Electronic Funds Transfer Program. Should this situation change and it become necessary to make payments to this vendor, our office will immediately submit a completed form SF-3881 to the SAMS Service Contractor for processing.

24. Reviewer's Signature (Supervisory M&M Contractor/ M&M GTR/Closing Agent GTR or Designee)		25. Title		26. Date (mm/dd/yyyy)	27. Phone (Area Code)
X					
28. Approver's Signature (HOC Director or Designee)				29. Date of Approval/Submission to Service Contractor (mm/dd/yyyy)	
X					

This information enables HUD to record and process financial transactions in its automated SAMS to dispose of acquired single-family properties. HUD reimburses M&M Contractors for their services in maintaining, marketing, and selling HUD homes, and HUD collects funds associated with the sales of these properties. The information enables HUD to create and maintain sound financial management practices and effective internal controls over the property disposition program. A response is required to obtain or maintain a benefit.

Privacy Act Statement. The Department of Housing & Urban Development (HUD) is authorized to collect the information on this form by the U.S. Housing Act of 1937, as amended. The Housing & Community Development Act of 1987, 42 U.S.C. 3543, authorizes HUD to collect Social Security Numbers (SSN). The information is being used as Payee reference information, IRS 1099 applicability, minority data collection information, payment remittance instructions and proof of business viability. The SSN is used as a unique identifier. HUD may disclose this information to Federal, State and local agencies when relevant to civil, criminal, or regulatory investigations and prosecutions. It will not be otherwise disclosed or released outside of HUD, except as required and permitted by law. Providing the SSN is mandatory. Failure to provide the information could result in a delay or rejection of your eligibility approval.

Instructions for Completing Form SAMS-1111

Preparer: Complete Items 1 and 2 or 3, and 7 thru 16 legibly in ink.

HUD Office Staff: Complete Items 4 thru 6, and 17 thru 29 legibly in ink. Sign Items 17, 24, and 28 in ink.

- 1a. **Add New Payee:** Check if new payee and complete items no. 2 or 3 through 16.
- 1b. **Change Existing Payee:** Check if changing information and enter existing payee name address identifier (NAID) in item 4. Items 2a-b or 3a-b and any changes must be completed.
- 1c. **Add New NAID for Existing Payee:** Check if linking a new NAID to an existing payee. Items 2a-b or 3a-b, 4, 5, 6, and 8 must be completed.

Complete items 2a-c or 3a-b, NOT both.

- 2a. **EIN:** Enter the Employer Identification Number for the business.
- 2b. **Business Name:** Enter the name of the business as it should appear on checks or IRS form 1099-Misc.
- 2c. **Principal Broker's Name:** Enter the name of the principal broker as it should appear on checks or IRS Form 1099-Misc.
- 3a. **SSN:** Enter the individual's Social Security Number.
- 3b. **Individual Name:** Enter the name of the individual as it should appear on checks and IRS Form 1099-Misc.
- 4. **For HUD Use Only. Payee's NAID:** Enter the Name/Address Identifier (NAID) if existing payee.
- 5. **For HUD Use Only.** Enter the HOC Area Identifier (e.g., PA for Philadelphia Area A).
- 6. **For HUD Use Only. Payee Type:** Enter type code from below:
 AP = Appraiser NP = Nonprofit organization
 CA = Closing Agent PM = M&M Contractor
 GT = Local/State Government SB = Selling Broker
 HA = Homeowner Association TS = Trade/Service Vendor
 NB = Non-Business/Refund
- 7. **Business Phone Number:** Enter the area code and telephone number.
- 8. **Business Address:** Enter complete mailing address of the company or individual named in item 2b or 3b above.
- 9 and 10. **Remittance Name and Address:** Enter the Name and Address for remittance of compensation **only if different** from Name, Address, City, State and Zip in Item 8 above.
- 11. **Minority-owned?:** Check "Yes" if the company is minority-owned. Check "No" if not. If yes, check the appropriate minority code for the business. Check only one type.
- 12. **Small Business Owned?:** Check "Yes" if the company qualifies as a small business. Check "No" if not.

- 13. **Woman Owned?:** Check "Yes" if the company qualifies as a woman owned business. Check "No" if not.
- 14. **Contact Person:** Enter the name, telephone number, fax number, and email address of the contact person.
- 15. **Names of Owners/Principals:** Enter the name(s) of the company's owner(s) or principal(s). Continue on separate page if necessary.
- 16. **Related Parties:** Enter "Yes" if the payee has either a family relationship or an external business relationship with any HUD/M&M Contract employee. Attach explanation. Enter "No" if no such relationship exists.
- 17-20. **Preparer's Signature:** Enter legible signature, title, date, and phone number of person completing this form.

For HUD Use Only.

- 21. **Selling Broker's Recertification Date:** Date of next scheduled recertification by HUD Office. Enter month and year.
- 22. **Approved for HOC Areas.** Enter the HOC areas in which the Payee is approved for work.
- 23. Check if vendor will never receive a payment from HUD.
- 24-27. **Reviewer's Signature:** Enter legible signature, title, date, and phone number of individual reviewing the form.
- 28-29. **Approver's Signature:** Enter legible signature of the HOC Director or designee approving form and date form is approved and submitted to the Service Contractor.

Note: 48 CFR 2426 sets forth the Department of Housing and Urban Development's policy to promote Minority Business Enterprise participation in its procurement program. Executive Orders 11625 and 12432 require monitoring and evaluation of performance and reporting to Congress and the President.

While completion of this data is not mandatory, we strongly encourage your cooperation. This data will be used only for reporting purposes.

A minority business enterprise is a business which is at least 51 percent owned by one or more minority group members; or, in case of a publicly-owned business, one in which at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily business operations are controlled by one or more such individuals. For this purpose, minority group members are those identified on the face of this form.

Attachments that must accompany this form to establish a new payee. When modifying an existing payee, attach applicable documentation relating to modification, e.g., change of banking institution, attach new Form SF-3881.

	Payee Type								
	AP	CA	GT	HA	NB	NP	PM	SB	TS
For All Payees: Signed IRS Form W-9, Request for Taxpayer Identification Number (TIN) and Certification	√	√	√	√	√	√	√	√	√
In addition, for Payees not under formal contract with HUD:									
Copy of Driver's License	√	√					√	√	√
Copy of first page of a recent telephone bill, utility bill, or bank statement	√	√					√	√	√
Copy of Local or State business license for payee's trade, if applicable	√	√					√		√
Copy of State Real Estate Broker's license								√	
Completed Form SF-3881, ACH Vendor/Misc. Payment Enrollment Form	√*	√		√		√*	√		√
Completed Form SAMS-1111A, Selling Broker Certification								√	
IRS Ruling/Determination Letter						√**			
In addition, for Payees under formal contract with HUD:									
Copy of first page of your signed contract with HUD	√	√					√		√
Copy of first page of a recent telephone bill, utility bill, or bank statement	√	√					√		√

* If the HOC Area Office does not intend to make payments to the vendor, check box in Item 23 and do not include Form SF-3881.

** If nonprofit organization cannot show proof of tax-exempt status, the payee type must be listed as TS.