NCRAR Workshop

Ototoxicity Early Identification & Monitoring

VA Rehabilitation Research & Development National Center for Rehabilitative Auditory Research



#### Outline

- I. Learner Outcomes
- II. Overview: Basic Principles
- III. Tinnitus Monitoring
- IV. Ototoxicity Monitoring in Adults
- v. Objective Monitoring
- VI. Ototoxicity Monitoring in Children
- VII. Establishing Program

# V. Objective Monitoring

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## **ABR Basic Principles**

Usually elicited by click Absent for severe to profound losses

Correlates best with

Drawing by S. Blatrix from "promenade around the cochlea" EDU website www.cochlea.org by Rémy Pujol et al., INSERM and University Montpellier 1

2-4 kHz hearing thresholds Provides little information about lower (< 1kHz) or higher frequencies (>4 kHz)



#### **Onset Response**



Fig. 9.7 from "Fundamentals of Hearing" Yost (2000) originally by Kiang et al. (1965).

# **ABR Basic Principles**

- Two problems at high stimulus levels
  - Increased spectral splatter (stimulus energy spreads)
  - Response could be due to tails of offfrequency neurons
- Pertains to all measures of auditory function with all kinds of stimuli
  - e.g., evoked potentials, behavioral measures
  - Clicks, tone bursts, pure tones

# **Frequency Specificity**

- At a given place in cochlea...
- Low level tones excite response for a restricted frequency range
- At high levels, broad range of frequencies elicits response
- Less frequency specific at high levels



# **Frequency Specificity**



#### **ABR Basic Principles**

Clicks Tone bursts in quiet Filtered clicks Other techniques Derived-band technique Notched-noise technique



- Clicks activate a broad portion of cochlea
- Activation near the (high-frequency coding) cochlear base
  - Many nerve fibers respond synchronously
- Activation nearer to the apex
  - Nerve fiber responses occur at slightly different times
  - Action potentials don't sum optimally
  - More difficult to detect ABR responses
  - Longer Wave V latencies



#### High-frequency hearing loss

- Provides little information about hearing loss
  > 4 kHz
- Wave V latency may be normal at high levels (large range of cochlea responding)
- Wave V prolonged at low and moderate levels (response due to lower frequencycoding regions of the cochlea)

#### **Tone Bursts**

#### Tone bursts in quiet

- Energy centered at nominal frequency
- Some spread of energy, which increases with level
- Underestimates HF hearing loss because stimulus is not frequency specific due to spectral splatter (Stappells, 1984)
  - Response may come from more normal part of the cochlea
- Wave V amplitude is small compared to clicks and testing time is lengthier (need more averaging)



FIGURE 3. Repeated measures of wave-V latencies as a function of level. Data from an individual subject are shown in each of the four panels. Within each panel, circles ( $\bigcirc$ ) represent data for 500 Hz, squares ( $\square$ ) represent data for 2000 Hz, and triangles ( $\triangle$ ) represent data for 8000 Hz. There are five measurements at each level and frequency combination. The lines are drawn through the means for each of the three stimulus frequencies.

#### TABLE 2. Mean wave V-thresholds and standard deviations for each of 4 subjects at 500, 2000, and 8000 Hz

Subject	Frequency (Hz)							
	500		2000		8000			
	М	SD	M	SD	M	SD		
2	37.5	4.18	25.83	3.76	27.5	4.18		
10	40.0	0	25.83	4.92	35.0	6.12		
20	38.0	4.47	25.0	5.0	35.0	3.54		
	42.5	2.73	35.0	3.16	44.17	3.76		

From Gorga et al. 1988

#### **Tone Bursts**

- Intersession reliability of ABRs to single HF tone bursts (> 8 kHz) (Fausti et al. 1984)
- Reliability of sequenced or trains of tone bursts (Fausti et al. 1995)
- Comparison of reliability to clicks presented singly or high frequency tone bursts presented singly or in trains Mitchell et al., 2004
- Reliability did not vary significantly with stimulus frequencies or intensities tested

#### Table 3.

Across-session Wave V latency and amplitude differences, means, and standard deviations (SDs) for each stimulus used in first method.

	Latency (ms)		Amplitude (µV)	
Stimulus -	Mean (S2-S1)	SD	Mean (S2-S1)	SD
Conventional Click	0.03	0.14	0.01	0.05
Flat HF Click	-0.03	0.18	-0.01	0.03
Sloped HF Click	-0.07	0.23	0.00	0.04
8 kHz	-0.02	0.22	0.00	0.05
10 kHz	-0.05	0.22	-0.01	0.04
12 kHz	-0.03	0.24	0.00	0.03
14 kHz	0.01	0.25	0.01	0.03

From Mitchell et al. 2004

# Is it important (or even possible) to have frequency specificity at high levels in the cochlea?

Maybe we can get by with stimulating broad range of high frequencies.

#### Filtered Clicks

#### • Mitchell et al., 2004

- Stimulus was narrow-band filtered with broad spectrum
- Response from broader portion of cochlea compared to tone bursts
- Wave V amplitude robust compared to tone bursts and testing time shorter
- Clicks presented singly, high frequency tone bursts presented singly or in trains shows similar test-retest reliability

- Gating
  - Spectral splatter may excite broad cochlear region
  - Spread of energy reduced by windowing functions (e.g., Blackman, cosine-squared)
- Plateau
  - No plateau, less frequency specific, ABR is onset response only
- Level
  - Input-output functions, 75, 85, 95, & 105 dB peSPL
- Frequency
  - Limited frequency specificity, HF output limited by transducer

#### **ABR Sensitivity**

- Significant elongation of latency and/or disappearance of click-evoked wave V following administration of ototoxic drugs (Bernard et al., 1980; Piek et al., 1985)
- Ultra-high frequency tone bursts (8-14 kHz) more sensitive to early identification of ototoxic (high-frequency) hearing loss than clicks
  - Sensitivity was 84% in Fausti et al., 1992
  - Latency changes found
  - However, 60% of all initial changes were from scorable at baseline to non-scorable

- No broadly accepted ABR latency change criteria
- In veterans receiving cisplatin, shift of 0.3 ms for wave I or wave V or change of a previously scoreable response to non-scoreable (Fausti et al., 1992) was used
- In neonates, latency delay greater than mean test-retest variability in non-drug exposed neonates plus 2 standard deviations, was 1.8 <u>+</u> 0.8ms for wave I and 5.7 <u>+</u> 0.8ms for wave V (De Lauretis, De Capua, Barbieri, Bellussi, Passali, 1999)

## **ABR** Advantages

- Good test-retest reliability
- Can be performed at bedside
- Can estimate thresholds (magnitude of ototoxicity-induced hearing loss)
- Can obtain in patients with substantial pre-existing hearing loss (up to severe to profound)

# **ABR Disadvantages**

- Time consuming
- Limited frequency specificity (depending on how performed)
- Limited high-frequency output
- Response interpretation at high frequencies
- Subject noise, hearing loss may preclude measurement

Infants & children may require sedation



# **OAE Basic Principles**

- OAEs are byproducts of active basilar membrane biomechanical processes
- Sources of "active processes" include OHC system
- OHCs are physiologically vulnerable
- Decreased OAE amplitudes indicates OHC damage, which indicates hearing change

#### **OAE Basic Principles**



- Acoustic response measured in the ear canal
- Evoked using two-tone stimulation (f1 < f2)</li>

## **OAE Basic Principles**

- Link between ototoxic DPOAE changes and OHC changes (for review see Whitehead et al., 1996)
- Conventional audiometric changes occurred later relative to OAE, or not at all (AMG: Katbamna et al., 1999; Stravroulaki et al., 2002; Mulheran & Degg, 1997; CDDP: Ress et al., 1999)
- Compared to behavioral testing within the high frequency (> 8000 Hz) range, DPOAEs showed effects of ototoxicity in similar proportion of ears (Ress et al., 1999)

#### 1. DP-gram

- Plot DPOAE level as a function of f2 frequency, while primary levels are held constant
- Use moderate level, e.g., L1, L2 in dB SPL= 65, 65 or 63,60
- Question: Should we vary f2 in small frequency steps (e.g., 1/3<sup>rd</sup>, 1/5<sup>th</sup> or 1/6<sup>th</sup> -octave)?
  - Increasing frequency resolution may be particularly important in patients with good hearing (e.g., children) in which DPOAE fine structure could be present
  - Could increase false positive rates
  - No published research looking at different f2 step sizes

- Input/Output (I/O) functions near highest measurable DPOAE frequency
  - Plot DPOAE level as a function of primary level while primary frequencies are held constant
  - Vary L2 in 5-dB steps



- Noise floor
  - Subject noise
  - Ambient noise
- System distortion
- Frequency
- Probe fit
  - Affects both noise floor and system distortion
- Middle ear function

#### Noise floor

- Usually the average amplitude in several frequency bins above and below 2f1-f2 bin
- Greatest at low frequencies
- Can reduce noise floor by increasing number of averages
- Keep test ear away from noise sources in the sound booth (e.g., OAE system, air vents, computers, monitors)
- SLM measurements for ward testing

#### Signal-to-noise ratio (SNR)

- dB difference between SPL at 2f1-f2 and the estimated noise
- To be valid, a DPOAE should have a favorable SNR (e.g., 6 dB, or even 10 dB if conditions are noisy)

#### System distortion levels

- Greatest at high frequencies
- Average until noise floor is the level of your system distortion (e.g., -20 dB SPL) or artifact-free averaging time reaches 32 seconds
- Repeat system distortion measurements to assess system performance

- To estimate system distortion, make measurements <u>using testing protocol</u>
- <u>Test using a coupler</u> that mimics the volume and impedance characteristics of the average human ear canal (e.g., 2-cc coupler meeting IEC 711 specifications, such as the 4157 Bruel and Kjaer)

# DPOAE must meet some criteria to be valid test of cochlear function

#### **DPOAE** Validation

#### Criteria for a valid response Favorable SNR (e.g., 6 dB, or 10 dB in noisy environment) OAE amplitude is larger compared to conservative estimate of YOUR system distortion Middle ear function stable

#### Probe Fit

Consistent probe placement critical (both within and across testers)

- Firm vs loose placement
- Ports facing tympanic membrane vs ports blocked
- Sound delivery tubes straight
- Cable from microphone immobile, placed where patient won't accidentally wiggle it

- 1. Construct confidence intervals using
  - 1a. Standard error of measurement, SEM (see Franklin et al., 1992 and Beattie et al., 1993), or
  - 1b. Average test-retest difference plus standard deviation (SD)
  - ~68% chance that change is not due to random variability > 1 SEM or 1 SD
    - ~95% chance change > 2 X SEM or 2 SD
  - 2. Construct cumulative distributions2a. 95% of subjects had a change of X or less

- Standard error of measurement (SEM)
  - Typically 2 X SEM is about 5 dB for frequencies between 1 and 4 kHz (Franklin et al. 1992; Beattie et al., 2003)
- Average amplitude difference plus 2 SD
  - 6 dB for most frequencies between 1 and 6 kHz (Roede et al., 1993)
- Cumulative distributions
  - Our preliminary data show > 90% of ears had test-retest change of 5 dB or less between 1 and 10,000 Hz



#### $\geq$ 6 dB change

- Based on test-retest variability in normal subjects
- 6 dB change was more than variability in about 95% of subjects tested--so likely to be real change
- Confirm by re-test to decrease false positive rates
  - Change at two adjacent frequencies would decrease false positive rates
    - Verify YOUR own test-retest reliability

#### **OAE** Sensitivity



## **OAE** Sensitivity



94% of the DPOAE that reflect change, did so within octave of highest DP frequency able to elicit a response

#### Example SRO Below 8 kHz



#### Example SRO Below 8 kHz



## **OAE** Sensitivity

- Top DP frequency closer to behavioral SRO (p < 0.05)</li>
- Higher Top DPOAE Frequency (p < 0.01)</li>
- Better Behavioral Thresholds (p < 0.01)

DPOAEs more sensitive to early ototoxic change when DPOAE and behavioral SRO overlap and in ears with better hearing

# **DPOAE** Advantages

- Earliest ototoxicity detection (???)
- Frequency specific and can measure over a wide frequency range
- Good test-retest reliability
- Rapid
- Can be performed at bedside

#### **DPOAE** Disadvantages

- Limited high-frequency (> 6 kHz) measurements
- DPOAE amplitudes linked to hearing sensitivity only for losses < 50-60 dB</li>
- Hearing loss may preclude measurable responses at baseline
- Depends on normal middle ear function

# **Current NCRAR Research**

Auditory brainstem response (ABR)
 High frequency stimulus trains

- Otoacoustic emission (OAE)
  DPOAE and SFOAE
  - high frequency measurements
  - emission fine structure
  - input-output functions
  - estimates of gain