

**§ 269.9 Form T-6 for application under section 310(a)(1) of the Trust Indenture Act for determination of the eligibility of a foreign person to act as institutional trustee.**

This form shall be used for the filing of an application pursuant to rule 10a-1 [§ 260.10a-1 of this chapter] to obtain authorization for a corporation or other person organized and doing business under the laws of a foreign government to act as sole trustee under an indenture qualified or to be qualified under the Act.

[56 FR 22321, May 15, 1991]

EDITORIAL NOTE: FOR FEDERAL REGISTER citations affecting Form T-6, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

**§ 269.10 Form TH—Notification of reliance on temporary hardship exemption.**

Form TH shall be filed by any electronic filer who submits to the Commission, pursuant to a temporary hardship exemption, a document in paper format that otherwise would be required to be submitted electronically, as prescribed by Rule 201(a) of Regulation S-T (§ 232.201(a) of this chapter).

[58 FR 14687, Mar. 18, 1993]

EDITORIAL NOTE: FOR FEDERAL REGISTER citations affecting Form TH, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

**PART 270—RULES AND REGULATIONS, INVESTMENT COMPANY ACT OF 1940**

Sec.

- 270.0-1 Definition of terms used in this part.
- 270.0-2 General requirements of papers and applications.
- 270.0-3 Amendments to registration statements and reports.
- 270.0-4 Incorporation by reference.
- 270.0-5 Procedure with respect to applications and other matters.
- 270.0-8 Payment of fees.
- 270.0-9 [Reserved]
- 270.0-10 Small entities under the Investment Company Act for purposes of the Regulatory Flexibility Act.
- 270.2a-1 Valuation of portfolio securities in special cases.

- 270.2a-2 Effect of eliminations upon valuation of portfolio securities.
- 270.2a3-1 Investment company limited partners not deemed affiliated persons.
- 270.2a-4 Definition of “current net asset value” for use in computing periodically the current price of redeemable security.
- 270.2a-6 Certain transactions not deemed assignments.
- 270.2a-7 Money market funds.
- 270.2a19-2 Investment company general partners not deemed interested persons.
- 270.2a19-3 Certain investment company directors not considered interested persons because of ownership of index fund securities.
- 270.2a41-1 Valuation of standby commitments by registered investment companies.
- 270.2a51-1 Definition of investments for purposes of section 2(a)(51) (definition of “qualified purchaser”); certain calculations.
- 270.2a51-2 Definitions of beneficial owner for certain purposes under sections 2(a)(51) and 3(c)(7) and determining indirect ownership interests.
- 270.2a51-3 Certain companies as qualified purchasers.
- 270.3a-1 Certain prima facie investment companies.
- 270.3a-2 Transient investment companies.
- 270.3a-3 Certain investment companies owned by companies which are not investment companies.
- 270.3a-4 Status of investment advisory programs.
- 270.3a-5 Exemption for subsidiaries organized to finance the operations of domestic or foreign companies.
- 270.3a-6 Foreign banks and foreign insurance companies.
- 270.3a-7 Issuers of asset-backed securities.
- 270.3c-1 Definition of beneficial ownership for certain section 3(c)(1) funds.
- 270.3c-2 Definition of beneficial ownership in small business investment companies.
- 270.3c-3 Definition of certain terms used in section 3(c)(1) of the Act with respect to certain debt securities offered by small business investment companies.
- 270.3c-4 Definition of “common trust fund” as used in section 3(c)(3) of the Act.
- 270.3c-5 Beneficial ownership by knowledgeable employees and certain other persons.
- 270.3c-6 Certain transfers of interests in section 3(c)(1) and section 3(c)(7) funds.
- 270.5b-1 Definition of “total assets.”
- 270.5b-2 Exclusion of certain guarantees as securities of the guarantor.
- 270.5b-3 Acquisition of repurchase agreement or refunded security treated as acquisition of underlying securities.
- 270.6b-1 Exemption of employees’ securities company pending determination of application.