Doc Code: STREAM.REQ

PTO/SB/201 (11-07)

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U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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REQUEST FOR STREAMLINED DOCKETING PROCEDURE

Available Only for Certain Continuation Applications (This request is <u>not</u> a filing of an application.)

(This request is <u>not</u> a filing of an application.)	
Attorney Docket Number:	First Named Inventor:
Application Number of the Continuation Application (if known):	Title:
Application Number of the Prior Application:	Filing Date of the Prior Application:

Applicant is requesting that the above-identified continuation application be placed on an examiner's amended (Regular Amended) docket.

- 1. The above-identified continuation application discloses and claims only an invention or inventions that were disclosed and claimed in the prior-filed application.
- 2. Applicant agrees that any election in response to a requirement to comply with the requirement of unity of invention under PCT Rule 13 or a requirement for restriction under 35 U.S.C. 121, including an election of species requirement, in the prior-filed application carries over to the continuation application.
- 3. The prior-filed application must be under a final Office action (37 CFR 1.113) or under appeal at the time of filing of the continuation application.

Applicant is also requesting that the above-identified prior-filed application be expressly abandoned as of the filing date accorded the continuation application filed previously or herewith.

Applicant is concurrently filing (or has filed) a letter of express abandonment under 37 CFR 1.138 (<u>e.g.</u>, PTO/SB/24) in the prior-filed application.

If applicant fails to file a letter of express abandonment in the prior-filed application, applicant hereby authorizes the Office to make a copy of this request and consider it as a letter of express abandonment of the prior-filed application.

Note: If any condition set forth above is not satisfied, the continuation application will be placed on the examiner's new continuing application (New Special) docket.

Signature	Date	
Name (Print/Typed)	Registration Number	
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for signature requirements. If necessary, submit multiple forms for more than one signature, see below*.		
*Total of forms are submitted.		

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The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Instruction Sheet for Request for Streamlined Docketing Procedure

(Not to be Submitted to the USPTO)

The streamlined docketing procedure is available only for continuation applications that meet the following requirements:

- 1. Applicant must file a continuation application that meets the conditions set forth in 35 U.S.C. 111(a) and 37 CFR 1.53(b) to be accorded a filing date (e.g., a specification including at least one claim, and drawings, if required) and must be complete under 37 CFR 1.51(b) or completed under 37 CFR 1.53(f).
- 2. This continuation application discloses and claims only an invention or inventions that were disclosed and claimed in the prior-filed application.
- 3. Applicant agrees that any election in response to a requirement of unity of invention under PCT Rule 13 or a requirement for restriction under 35 U.S.C. 121, including an election of species requirement, in the prior-filed application carries over to the continuation application.
- 4. The prior-filed application must be under a final Office action (37 CFR 1.113) or under appeal at the time of filing of the continuation application.
- 5. Applicant is concurrently filing (or has filed) a letter of express abandonment under 37 CFR 1.138 (<u>e.g.</u>, PTO/SB/24) in the prior-filed application.
- 6. This streamlined docketing procedure is not applicable to design applications.

Note: If any condition set forth above is not satisfied, the continuation application will be placed on the examiner's new continuing application (New Special) docket.

Information on the streamlined docketing procedure:

- 1. The Office will not docket an application for examination until the continuation application is complete (37 CFR 1.51(b) and 1.53(f)) and in condition for publication (37 CFR 1.211). See 37 CFR 1.53(h).
- 2. Any delay in submitting the filing fee and oath or declaration (or copy of the oath or declaration from the prior-filed application under 37 CFR 1.63(d)) will delay the docketing of a continuation application even if the applicant has requested that the continuation application be given streamlined docketing.
- 3. In addition, this optional streamlined continuation application procedure concerns only the placement of the continuation application on an examiner's amended (Regular Amended) docket. The continuation application is otherwise treated as a new application for patent.

For example, (1) the application filing fees including the basic filing fee, search and examination fees, and any required excess claims fees (and not the request for continued examination fee set forth in 37 CFR 1.17(e)) are required; (2) the continuation application will be assigned a new application number and will be published under the eighteen-month publication provisions of 35 U.S.C. 122(b) and 37 CFR 1.211 et seq.; and (3) the continuation application is subject to the patent term provisions of 35 U.S.C. 154(b) and 37 CFR 1.702 et seq. as a new continuation application (and not a request for continued examination in the prior-filed application).

For more information, see final rule "Changes to Practice for Continued Examination Filings, Patent Applications Containing Patentably Indistinct Claims, and Examination of Claims in Patent Applications" available on the USPTO web site at http://www.uspto.gov/

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
 presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
 opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.