



All Transmissions Overview

CMS MMA Transmissions to and from
Medicare Advantage and Prescription Drug Plans

Version 14

December 10, 2007

CMS MMA Transmissions To & From the Plans

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Date

Revision History and Change Description Log

Revision	Date	Revision/Change Description	Pages Affected
DRAFT	10/17/2005	Baseline DRAFT Release	All
V1.0	10/19/2005		All
V2.0	10/30/2005	Correction of file names and reformatting	All
V3.0	12/01/2005	Cover page and approval page added Reformat for 508 compliance Correction of two file names	pgs. ii, 2, 5
V4.0	02/07/2006	Correction of two file names	Pg. 4
V5.0	04/04/2006	Addition of ECRS, PDE, and FERAS, and RAS files and reports. Correction of Risk Adjustment Part D Model Output report names. Addition of new LISPRMD report. Corrections of two file names. Addition of Monthly Full Enrollment File. Changed title to 'All Transmissions Overview' for consistency with the PCUG V. 1.2.	All
V6.0	05/22/2006	Corrections of BCSS file names. Addition of Enrollment Transmission Message, NoRx, LIS/LEP, and CTM files.	Pg. 2,3,4,12
V7.0	11/16/2006	Updates for January, 2007 inbound transmissions	Pg. 1, 5 and 6
V8.0	01/22/2007	Updates for January and February outbound transmissions	Pg. 1, 5, 6, 7 and 8
V9.0	02/28/2007	Added note regarding CTM file	Pg. 4
V10.0	04/04/2007	Updates for April 2007 outbound file transmissions	Pg. 2-4; 6-8; 12-26. 28

CMS MMA Transmissions To & From the Plans

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V11.0	05/03/20	Changed monthly report file names to include a default of "01" in the day field of the date stamp and added an explanation in the description column; changed "PRN" to "as needed" ; changed items # 10 & 11 to a frequency of weekly	1, 2, 4, 5, 6, 13 – 25, 27
V12.0	05/21/2007	Added date node in the new transmission file names effective April 2007 in the BCSS	3
V13.0	08/22/2007	Updates for August 2007 4Rx changes Added RAS Mors Yearly Reports Added Loss of Subsidy (Deemed Status) Data File Removed HPMS Complaints Tracking Module Data file (old #12) – renumbered remaining reports	Pg. 1, 4 , 5, 18-19
V14.0	12/10/2007	Updates for November 2007 release Added PCUG Appendix E Layouts and/or Appendix I Reports references to selected files Added PDP Loss Data File Added PDP Gain Data File	All

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<p>Dataset naming conventions key:</p> <p>[GUID] = 7 character IACS User ID P = Production Data [.ZIP] = Appended if the file is compressed [directory] = optional directory specification from non-mainframe C:D clients (if present, may consist of up to 60 characters). If none exists, directory defaults to the constant "EFTO." for Production files and "EFTT." for Test files.</p> <p>pn = Processing number of varying length assigned to the file by Gentran ccccc = Contract number Pcccc = Plan Contract Number for C:D Uuuu-uuuuuu = 4-7 character transmitter RACF ID xxxxx = 5 character Contract ID yyyymmdd = Calendar year, month & day yymmdd = two digit year, month, day zzzzzzzz = Plan-provided high level qualifier eeee = Year for which final yearly RAS file was produced vvvv = Sequence counter for final yearly RAS files</p> <p>Annnnn & Bnnnnn = MARx batch transaction ID, nnnnnnnnn split into two nodes A...and B ...with leading zeroes as necessary to complete ten-character batch ID hhmm = hour and minute ssssss= Sequentially assigned number mmyyyy = Calendar month & year hlq = High Level Qualifier or Directory per VSAM File freq = Frequency code of file</p>						
Plan Submittals to CMS						
1	<p>MARx Transaction Data File</p> <p>Header Enrollment Transaction (Employer & Plan - 60/61/62) Disenrollment Transaction (51/54) Plan Elections (PBP Change) Transaction (71) Plan Change Transaction (72) Correction (01) Part D Opt-Out (41)</p> <p>PCUG Record Layout – E.7</p>	<p>Enrollment Transaction file to CMS MARx system requesting new enrollment, disenrollment, changes, etc.</p>	MARx	Data File	Batch - Daily PRN	<p>Gentran mailbox: ** [GUID].[RACFID].MARX.D.xxxxx.FUTURE.[P/T].[ZIP] Note: FUTURE is part of the filename and does not change. Connect:Direct: P#EFT.IN.uuuuuuuu.MARXTR.DYMMDD.THHMSST Note: DYMMDD.THHMSST must be coded as shown, as it is a literal</p>
2	<p>Batch Eligibility Query (BEQ) Request File Header Detail Trailer</p>	<p>File of transactions submitted by plans to request eligibility information for prospective Plan enrollees.</p> <p>Used to do initial eligibility checks against CMS MBD system to verify member is Part A./B eligible</p>	MBD	Data File	PRN (Plans can send multiple files in a day)	<p>Gentran mailbox: ** [GUID].[RACFID].MBD.D.xxxxx.BEQ.[P/T].[ZIP] Connect:Direct: P#EFT.IN.PLxxxx.BEQ4RX.DYMMDD.THHMSST Note: DYMMDD.THHMSST must be coded as shown, as it is a literal</p>
3	ECRS Batch Submittal File	<p>File used by plans to submit other healthcare information (OHI) to CMS (<i>rather than submittal through the ECRS on-line system</i>)</p>	ECRS	Data File	Daily	<p>Gentran mailbox: [GUID].[RACFID].ECRS.D.ccccc.FUTURE.[P/T] Connect:Direct: TRANSMITTED TO GHI</p>
4	Prescription Drug Event (PDE) Submittal File	<p>File of transactions submitted by the plans with Prescription Drug Events.</p>	PDE	Data File	Can be daily	<p>Gentran mailbox: [GUID].[RACFID].PDE.D.ccccc.FUTURE.[P/T] Connect:Direct: TRANSMITTED TO PALMETTO</p>

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5	Front-End Risk Adjustment System (FERAS) Response Reports	File of transactions submitted by the plans with diagnoses for FFS beneficiaries	FERAS	Data File	Daily	Gentran mailbox: [GUID].[RACFID].RAPS.D.ccccc.FUTURE.[P/T] Connect:Direct: TRANSMITTED TO PALMETTO
CMS Transmittals to the Users (Submitters)						
6	Failed Transaction Data File PCUG Record Layout – E.8	Report detailing transactions that cannot be loaded into MARx for processing due to formatting errors. These records are the result of errors with the file header, user authentication, transaction format or incorrect data types for transaction data elements. This report is sent to the user who submitted the batch.	MARx	Data File	Resp. to transaction batch file	Gentran Mailbox: P.uuuuuuu.FAILED.Dyymmdd.Thhmsst.pn Connect:Direct [Mainframe]: zzzzzzzz.uuuuuuu.FAILED.Dyymmdd.Thhmsst Connect:Direct [Non-mainframe]: [directory]uuuuuuu.FAILED.Dyymmdd.Thhmsst
7	Batch Completion Status Summary Data File Summary Record Rejected Records Accepted Records PCUG Record Layout – E.3 PCUG Sample Report – I.16	Data file sent to the submitter once a batch of submitted transactions has been processed. Provides a count of all transactions within the batch and details the number of rejected and accepted transactions. It provides an image of the rejected and accepted transactions. <i>(As of 4/17 one of these will be produced for each submitted batch)</i>	MARx	Data File	Once batch is processed	Gentran Mailbox: P.uuuuuuu.BATCHSTD.Annnnn.Bnnnnn.pn Connect:Direct [Mainframe]: zzzzzzzz.uuuuuuu.BATCHSTD.Annnnn.Bnnnnn Connect:Direct [Non-mainframe]: [directory]uuuuuuu.BATCHSTD.Annnnn.Bnnnnn
8	Enrollment Transmission Message File (STATUS) PCUG Sample Report – I.15	Summary of the batch enrollment transaction file providing counts of transactions by type. It will contain a unique Batch ID that can be used to associate submissions to the Batch Completion Status Summary. Plans should use this file to monitor the successful (or unsuccessful) receipt of their batch transaction files. Plans submitting RETRO batch files will receive two STATUS files. The first, at the time of submission, acknowledges receipt of the RETRO batch file. The second indicates either approval by CMS and subsequent processing or disapproval by CMS and subsequent rejection.	MARx	Report	Response to transaction batch file	Gentran Mailbox: P.uuuuuuu.STATUS.Dyymmdd.Thhmsst.pn Connect:Direct [Mainframe]: zzzzzzzz.uuuuuuu.STATUS.Dyymmdd.Thhmsst Connect:Direct [Non-mainframe]: [directory]uuuuuuu.STATUS.Dyymmdd.Thhmsst

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CMS Transmittals to the Plans						
9	Transaction Reply Report (TRR) - of auto enrollments - to PDPs	Report in the same format as the Transaction Reply Activity Report that lists all of the auto enrollments.	MARx	Report	Weekly	<u>Gentran mailbox:</u> P.Rxxxxx.TRWEEKR.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.TRWEEKR.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.TRWEEKR.Dyymmdd.Thhmsst
10	Transaction Reply Report (TRR) Data File - of auto enrollments - to PDPs	Data file version of the Transaction Reply Report of auto enrollments.	MARx	Data File	Weekly	<u>Gentran mailbox:</u> P.Rxxxxx.TRWEEKD.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.TRWEEKD.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.TRWEEKD.Dyymmdd.Thhmsst
11	Coordination of Benefits (Validated Other Insurer Information) Data File Detail Primary Supplemental PCUG Record Layout – E.6	File containing members' primary and secondary coverage that has been validated through COB processing. MARx forwards this report whenever a plan's enrollees are affected. It may be as often as daily. The enrollees included on the report are those newly enrolled who have known Other Health Insurance (OHI) and those plan enrollees with changes to their OHI.	MBD (MARx)	Data File	As Needed (can be daily)	<u>Gentran mailbox:</u> P.Rxxxxx.MARXCOB.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.MARXCOB.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.MARXCOB.Dyymmdd.Thhmsst

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12	<p>MA Full Dual Auto Assignment Notification File PCUG Record Layout – E.24</p>	<p>Monthly file of Full Dual Beneficiaries in an existing plan.</p>	MBD	Data File	Monthly	<p>Gentran mailbox: P.Rxxxx.#ADUA4.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.#ADUA4.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.#ADUA4.Dyymmdd.Thhmsst</p>	
13	<p>PDP Address file from Full Dual & Facilitated Assignments PCUG Record Layout – E.25</p>	<p>Monthly file of addresses of Beneficiaries who have been either Auto Assigned or Facilitated Assigned to PDPs</p>	MBD	Data File	Monthly	<p>Gentran mailbox: P.Rxxxx.#APDP4.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.#APDP4.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.#APDP4.Dyymmdd.Thhmsst</p>	
14	<p>4Rx Notification File Acknowledgment (Accept/Reject) NOTE: This file is no longer being transmitted to Plans as of August 16, 2007 due to Summer Software Changes updating 4Rx data submission. This file is obsolete and Plans may now find this information on the Weekly/Monthly TRR.</p>	<p>MBD will determine if a 4Rx Notification File is Accepted or Rejected. MBD will issue an email acknowledgment of receipt and status to the Sending Entity. If Accepted the file will be processed. If Rejected, the email shall inform the Sending Entity of the first File Error Condition that caused the 4Rx Notification File to be Rejected. A rejected file will not be returned.</p>					

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15	<p>4Rx Response File Header Detail Trailer</p> <p>NOTE: This file is no longer being transmitted to Plans as of August 16, 2007 due to Summer Software Changes updating 4Rx data submission. This file is obsolete and Plans may now find this information on the Weekly/Monthly TRR.</p>	<p>File containing records produced as a result of processing the transactions of accepted 4Rx Notification files. Detail records for all submitted records that were successfully processed will contain Processed Flag = Y. Detail records for all submitted records that were not successfully processed contain Processed Flag = N.</p>				
16	<p>NoRx File PCUG Record Layout – E.21</p>	<p>File containing records identifying those enrollees that do not currently have 4Rx information stored in CMS files. A Detail Record Type containing a value of "NRX" in positions 1 – 3 of the file layout will indicate that this record is a request for your organization to send CMS 4Rx information for the beneficiary.</p>	MBD	Data File	Monthly	<p><u>Gentran mailbox:</u> P.Rxxxxx.#NORX.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.#NORX.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.#NORX.Dyymmdd.Thhmsst</p>
17	<p>Batch Eligibility Query (BEQ) Request File Acknowledgment (Accept/Reject) PCUG Record Layout – E.22 PCUG Sample Report – I.17</p>	<p>MBD will determine if a BEQ Request File is Accepted or Rejected. MBD will issue an email acknowledgment of receipt and status to the Sending Entity. If Accepted the file will be processed. If Rejected, the email shall inform the Sending Entity of the first File Error Condition that caused the BEQ Request File to be Rejected. A rejected file will not be returned.</p>	MBD	E-mail	Response to BEQ	N/A

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18	<p>Batch Eligibility Query (BEQ) Response File Header Detail Trailer PCUG Record Layout – E.23</p>	<p>File containing records produced as a result of processing the transactions of accepted BEQ Request files. Detail records for all submitted records that were successfully processed will contain Processed Flag = Y. Detail records for all submitted records that were not successfully processed contain Processed Flag = N.</p>	MBD	Data File	Response to BEQ	<p>Gentran Mailbox: P.Rxxxx.#BQN4.Dyymmdd.Thhmsst.pn Connect:Direct [Mainframe]: zzzzzzz.Rxxxx.#BQN4.Dyymmdd.Thhmsst Connect:Direct [Non-mainframe]: [directory]Rxxxx.#BQN4.Dyymmdd.Thhmsst</p>
19	ECRS	<p>File containing errors and statuses of ECRS submissions.</p>	ECRS	Data File	Daily	<p>Gentran mailbox: PCOB.BA.ECRS.ccccc.RESPONSE.ssssss Connect:Direct: TRANSMITTED FROM GHI</p>
20	<p>Prescription Drug Event (PDE) PDFS Response Report</p>	<p>File containing responses if files are accepted or rejected.</p>	PDE	Data File	Daily	<p>Gentran mailbox: RSP.PDFS_RESP_ssssss Connect:Direct: TRANSMITTED FROM PALMETTO</p>
21	<p>Prescription Drug Event (PDE) DDPS Return File</p>	<p>File provides feedback on every record processed in a batch. Up to 10 specific errors are reported for each PDE in the file.</p>	PDE	Data File	Daily	<p>Gentran mailbox: RPT.DDPS_TRANS_VALIDATION_ssssss Connect:Direct: TRANSMITTED FROM PALMETTO</p>

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22	Prescription Drug Event (PDE) DDPS Transaction Error Summary Report	File provides frequency of occurrence for each error code encountered during the processing of a PDE file. The percentage to the total errors is also computed and displayed for each error code.	PDE	Data File	Daily	Gentran mailbox: RPT.DDPS_ERROR_SUMMARY_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
23	Front-End Risk Adjustment System (FERAS) Response Reports	Report indicates that the file was accepted or rejected by the Front-End Risk Adjustment System.	FERAS	Report	Daily	Gentran mailbox: RSP.FERAS_RESP_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
24	Front-End Risk Adjustment System (FERAS) Response Reports	File will contain all of the submitted transactions whether or not the file contains errors.	FERAS	Data File	Daily	Gentran mailbox: RPT.RAPS_RETURN_FLAT_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
25	Front-End Risk Adjustment System (FERAS) Response Reports Transaction Error File	Report lists the transactions that contained errors and identifies the errors that were found.	FERAS	Report	Daily	Gentran mailbox: RPT.RAPS_ERRORRPT_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
26	Front-End Risk Adjustment System (FERAS) Response Reports Transaction Summary Report	Report contains all of the transactions submitted, whether accepted or rejected.	FERAS	Report	Daily	Gentran mailbox: RPT.RAPS_SUMMARY_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO

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27	<p>Front-End Risk Adjustment System (FERAS) Response Reports Duplicate Diagnosis Cluster File</p>	<p>Report identifies diagnosis clusters with 502 error message, clusters accepted, but not stored.</p>	FERAS	Report	Daily	<p>Gentran mailbox: RPT.RAPS_DUPDX_RPT_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO</p>
Weekly Transmittals (Data & Reports)						
28	<p>Transaction Reply Weekly Activity Report (Weekly TRR)</p> <p>PCUG Sample Report – I.14</p>	<p>Report listing all of the transactions that MARx processed for a plan in the week regardless of source, and gives a final disposition code for each transaction.</p>	MARx	Report	Weekly	<p>Gentran mailbox: P.Rxxxxx.TRWEEKR.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.TRWEEKR.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.TRWEEKR.Dyymmdd.Thhmsst</p>
29	<p>Transaction Reply Weekly (Monthly) Activity Data File</p> <p>PCUG Record Layout – E.15</p>	<p>Data file version of the Transaction Reply Weekly Activity Report.</p>	MARx	Data File	Weekly	<p>Gentran mailbox: P.Rxxxxx.TRWEEKD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.TRWEEKD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.TRWEEKD.Dyymmdd.Thhmsst</p>
30	<p>LIS / Part D Premium Data File</p> <p>PCUG Record Layout – E.19</p>	<p>The data in the report reflects LIS info, premium subsidy levels, Low-income co-pay levels, etc. for all beneficiaries who have a low-income designation enrolled in a plan. This data file is produced bi-weekly. It is not automatically transmitted to the plans. Through the MARx UI plans can request this data file.</p>	MARx	Data File	Biweekly	<p>Gentran mailbox: P.Rxxxxx.LISPRMD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.LISPRMD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.LISPRMD.Dyymmdd.Thhmsst</p>

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Monthly Transmittals (Data & Reports)						
31	Transaction Reply/Monthly Activity Report (Monthly TRR) PCUG Sample Report – I.14	Report listing all of the transactions that MARx processed for a plan in the month, regardless of source, and gives a final disposition code for each transaction. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Report	Monthly	<u>Gentran mailbox:</u> P.Rxxxxx.TRNREPLY.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.TRNREPLY.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.TRNREPLY.Dyymmdd.Thhmsst
32	Transaction Reply/ / Monthly Activity Data File	Data file version of the Transaction Reply/Monthly Activity Report. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Data File	Monthly	<u>Gentran mailbox:</u> P.Rxxxxx.TRNDATA.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.TRNDATA.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.TRNDATA.Dyymmdd.Thhmsst
33	Part C Monthly Membership Detail Report AKA: Monthly Membership Report (MMR) PCUG Sample Report – I.6	Report listing every Part C Medicare member of the contract and providing details about the payments and adjustments made for each. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Report	Monthly	<u>Gentran mailbox:</u> P.Rxxxxx.MONMEMR.Dyymmdd.Thhmsst.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.Rxxxxx.MONMEMR.Dyymmdd.Thhmsst <u>Connect:Direct (Non-Mainframe):</u> [directory]Rxxxxx.MONMEMR.Dyymmdd.Thhmsst

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34	Part D Monthly Membership Detail Report AKA: Monthly Membership Report (MMR) PCUG Sample Report – I.5	Report listing every Part D Medicare member of the contract and providing details about the payments and adjustments made for each. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Report	Monthly	Gentran mailbox: P.Rxxxxx.MONMEDR.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.MONMEDR.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.MONMEDR.Dyymmdd.Thhmsst
35	Monthly Membership Detail Data File PCUG Record Layout – E.9	Data file version of the Monthly Membership Detail Reports. This file contains the data for both Part C and Part D members. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Data File	Monthly	Gentran mailbox: P.Rxxxxx.MONMEMD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.MONMEMD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.MONMEMD.Dyymmdd.Thhmsst
36	Monthly Membership Summary Report PCUG Sample Report – I.7	Report summarizing payments to a plan for the month, in several categories, and adjustments, by all adjustment categories. This report contains data for both Part C and Part D members. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Report	Monthly	Gentran mailbox: P.Rxxxxx.MONMEMSR.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.MONMEMSR.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.MONMEMSR.Dyymmdd.Thhmsst
37	Monthly Membership Summary Data File PCUG Record Layout – E.10	Data file version of the Monthly Membership Summary Report for both Part C and Part D members. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Data File	Monthly	Gentran mailbox: P.Rxxxxx.MONMEMSD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.MONMEMSD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.MONMEMSD.Dyymmdd.Thhmsst

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38	<p>RAS RxHCC Model Output Report</p> <p><i>AKA: Part D Risk Adjustment Model Output Report</i></p> <p>PCUG Sample Report – I.10</p>	<p>Report showing the Part D risk adjustment factors for each beneficiary. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	RAS (MARx)	Report (.pdf)	Monthly	<p>Gentran mailbox: P.Rxxxxx.PTDMODR.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PTDMODR.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PTDMODR.Dyymmdd.Thhmsst</p>
39	<p>RAS RxHCC Model Output Data File</p> <p><i>AKA: Part D Risk Adjustment Model Output Data File</i></p> <p>PCUG Record Layout – E.14</p>	<p>Data file version of the RAS RxHCC Model Output Report. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	RAS (MARx)	Data File	Monthly	<p>Gentran mailbox: P.Rxxxxx.PTDMODD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PTDMODD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PTDMODD.Dyymmdd.Thhmsst</p>
40	<p>Auto and Facilitated Enrollment Address Data File</p> <p>PCUG Record Layout – E.2</p>	<p>Data file containing the addresses for beneficiaries who are facilitated or auto-enrolled each month. The plans receive the information on these enrollments through their Transaction Reply Reports.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Data File	Monthly	<p>Gentran mailbox: P.Rxxxxx.AUTENRLD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.AUTENRLD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.AUTENRLD.Dyymmdd.Thhmsst</p>

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41	<p>Part C Risk Adjustment Model Output Report</p> <p>PCUG Sample Report – I.9</p>	<p>Report showing the Hierarchical Condition Codes (HCCs) used by the Risk Adjustment System (RAS) to calculate Part C risk adjustment factors for each beneficiary. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	RAS (MARx)	Report	Monthly	<p>Gentran mailbox: P.Rxxxx.HCCMODR.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.HCCMODR.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.HCCMODR.Dyymmdd.Thhmsst</p>
42	<p>Part C Risk Adjustment Model Output Data File</p> <p>PCUG Record Layout – E.13</p>	<p>Data file version of the Risk Adjustment Model Output Report</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	RAS (MARx)	Data File	Monthly	<p>Gentran mailbox: P.Rxxxx.HCCMODD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.HCCMODD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.HCCMODD.Dyymmdd.Thhmsst</p>
43	<p>Benefits Improvement & Protection Act of 2000 (BIPA) 606 Payment Reduction Report</p>	<p>Report listing members for whom the plan is paying a portion of the Part B premium. Generated only if there are pre-2006 adjustments that involve BIPA 606 premium reductions.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Report	Monthly, if applicable	<p>Gentran mailbox: P.Rxxxx.BIPA606R.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.BIPA606R.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.BIPA606R.Dyymmdd.Thhmsst</p>

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44	<p>BIPA 606 Payment Reduction Data File</p> <p>PCUG Record Layout – E.4 PCUG Sample Report – I.1</p>	<p>Data file version of the BIPA 606 Reduction Report.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Data File	Monthly, if applicable	<p>Gentran mailbox: P.Rxxxxx.BIPA606D.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.BIPA606D.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.BIPA606D.Dyymmdd.Thhmsst</p>
45	<p>Bonus Payment Report</p> <p>PCUG Sample Report – I.2</p>	<p>Report listing members for whom the plan is to be paid a bonus. (Plans are paid a bonus for extending services to beneficiaries in some underserved areas.) Generated only if there are pre-2006 adjustments that involve bonus payments.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Report	Monthly, if applicable	<p>Gentran mailbox: P.Rxxxxx.BONUSRPT.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.BONUSRPT.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.BONUSRPT.Dyymmdd.Thhmsst</p>
46	<p>Bonus Payment Data File</p> <p>PCUG Record Layout – E.5</p>	<p>Data file version of the Bonus Payment Report</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Data File	Monthly, if applicable	<p>Gentran mailbox: P.Rxxxxx.BONUSDAT.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.BONUSDAT.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.BONUSDAT.Dyymmdd.Thhmsst</p>

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47	<p>Demographic Report PCUG Sample Report – I.3</p>	<p>Summary, by state and county, of the membership of the plan. Members are counted in categories that parallel the factors used in calculating the demographic payment, as well as ESRD and hospice status.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Report	Monthly	<p>Gentran mailbox: P.Rxxxx.DEMOGRPH.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.DEMOGRPH.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.DEMOGRPH.Dyymmdd.Thhmsst</p>	
48	<p>Monthly Summary of Bills Report PCUG Sample Report – I.8</p>	<p>Report summarizing all Medicare fee-for-service activity, both Part A and Part B, for beneficiaries enrolled in the contract</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Report	Monthly	<p>Gentran mailbox: P.Rxxxx.SUMBILLS.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.SUMBILLS.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.SUMBILLS.Dyymmdd.Thhmsst</p>	
49	<p>HMO Bill Itemization Report PCUG Sample Report – I.4</p>	<p>Report listing the Part A bills that were processed under Medicare fee-for-service for beneficiaries enrolled in the contract.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Report	Monthly	<p>Gentran mailbox: P.Rxxxx.BILLITEM.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.BILLITEM.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.BILLITEM.Dyymmdd.Thhmsst</p>	

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50	<p>Part B Claims Data File</p> <p>PCUG Record Layout – E.12</p>	<p>Data file listing the Part B physician and supplier claims and Part B home health claims that were processed under Medicare fee-for-service for beneficiaries enrolled in the contract.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Data File	Monthly	<p>Gentran mailbox: P.Rxxxxx.CLAIMDAT.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.CLAIMDAT.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.CLAIMDAT.Dyymmdd.Thhmsst</p>
51	<p>Payment Records Report</p> <p>PCUG Sample Report – I.11</p>	<p>Report listing the Part B physician and supplier claims that were processed under Medicare fee-for-service for beneficiaries enrolled in the contract.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	MARx	Report	Monthly	<p>Gentran mailbox: P.Rxxxxx.PAYRECDS.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PAYRECDS.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PAYRECDS.Dyymmdd.Thhmsst</p>
52	<p>Monthly Premium Withholding Report Data File (MPWR)</p> <p>Header Detail Trailer - T1 - Total at segment level Trailer - T2 - Total at PBP level Trailer - T3 - Total at contract level</p> <p>PCUG Record Layout – E.11</p>	<p>Monthly reconciliation file of premiums withheld from SSA, RRB, or OPM checks. Includes Part C and Part D premiums and any Part D Late Enrollment Penalties. This file is produced by the Premium Withhold System (PWS). This report is available to Plans as part of the month-end processing.</p> <p>Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	PWS (MARx)	Data File	Monthly	<p>Gentran mailbox: P.Rxxxxx.MPWRD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.MPWRD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.MPWRD.Dyymmdd.Thhmsst</p>

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53	<p>Plan Payment Report (APPS Payment Letter) PCUG Sample Report – I.12</p>	<p>Report itemizing the final monthly payment to the plan. This report is produced by the Automated Plan Payment System (APPS) when final payments are calculated. This report is available to Plans as part of the month-end processing. Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	APPS	Report	Monthly	<p>Gentran mailbox: P.Rxxxxx.PLANPAY.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PLANPAY.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PLANPAY.Dyymmdd.Thhmsst</p>
54	<p>Interim APPS Plan Payment Report to Plans PCUG Sample Report – I.13</p>	<p>When a Plan is approved for an interim payment outside of the normal monthly process, an interim Plan Payment Report will be distributed to that Plan. The report will contain the amount and reason for the interim payment. This report is available to Plans as part of the month-end processing.</p>	APPS	Report	As needed	<p>Gentran mailbox: P.Rxxxxx.PLNPAYI.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PLNPAYI.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PLNPAYI.Dyymmdd.Thhmsst</p>
55	<p>820 Format Payment Advice PCUG Record Layout – E.1</p>	<p>HIPAA-Compliant version of the Plan Payment Report. This data file itemizes the final monthly payment to the plan. <i>This data file is not available through MARx.</i> Note: The date in the file name will default to "01" denoting the first day of the current payment month</p>	APPS	Data File	Monthly	<p>Gentran mailbox: P.Rxxxxx.PLAN820D.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PLAN820D.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PLAN820D.Dyymmdd.Thhmsst</p>

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56	Monthly Full Enrollment Data File PCUG Record Layout – E.16	File includes all active membership for a plan on the date the file was run. This file is considered a definitive statement of current plan enrollment. This file uses the same format as the weekly TRR. CMS will announce the availability of each month's file. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Data File	Monthly	Gentran mailbox: P.Rxxxx.FEFD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxx.FEFD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxx.FEFD.Dyymmdd.Thhmsst
57	Prescription Drug Event (PDE) DBC Cumulative Beneficiary Summary Report	File includes summary for the beneficiary of accumulated overall totals in PDE amount fields with accumulated totals for covered drugs.	PDE	Data File	Monthly	Gentran mailbox: RPT.DDPS.CUM_BENE_ACT_COV_ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
58	Prescription Drug Event (PDE) DBC Cumulative Beneficiary Summary Report	File includes summary for the beneficiary of accumulated overall totals in PDE amount fields with accumulated totals for enhanced drugs.	PDE	Data File	Monthly	Gentran mailbox: RPT.DDPS_CUM_BENE_ACT_ENH_ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
59	Prescription Drug Event (PDE) DBC Cumulative Beneficiary Summary Report	File includes summary for the beneficiary of accumulated overall totals in PDE amount fields with accumulated totals for over-the-counter drugs.	PDE	Data File	Monthly	Gentran mailbox: RPT.DDPS_CUM_BENE_ACT_OTC_ssssss Connect:Direct: TRANSMITTED FROM PALMETTO

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60	Front-End Risk Adjustment System (FERAS) Response Reports Monthly Plan Activity Report	Report provides monthly summary of the status of submissions by submitter and plan number.	FERAS	Report	Monthly	Gentran mailbox: RPT.RAPS_MONTHLY_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
61	Front-End Risk Adjustment System (FERAS) Response Reports Cumulative Plan Activity Report	Report provides cumulative summary of the status of submissions by Submitter ID and plan number.	FERAS	Report	Monthly	Gentran mailbox: RPT.RAPS_CUMULATIVE_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
62	Front-End Risk Adjustment System (FERAS) Response Reports Frequency Report Monthly Report	Report provides monthly summary of all errors on all file submissions within the month.	FERAS	Report	Monthly	Gentran mailbox: RAPS_ERRORFREQ_MNTH_ ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
63	LIS/LEP Data File PCUG Record Layout – E.17	This report provides information on low-income subsidized beneficiaries and on direct-billed beneficiaries with late enrollment penalties. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Data File	Monthly	Gentran mailbox: P.Rxxxxx.LISLEPD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.LISLEPD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.LISLEPD.Dyymmdd.Thhmsst
64	LIS History Data File (LISHIST) PCUG Record Layout – E.20	This file supplements existing files that provide LIS notifications. It provides a complete picture of a beneficiary's LIS eligibility over a period of time not to exceed 36 months. Note: The date in the file name will default to "01" denoting the first day of the current payment month	MARx	Data File	Monthly	Gentran mailbox: P.Rxxxxx.LISHIST.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.LISHIST.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.LISHIST.Dyymmdd.Thhmsst

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Quarterly Report						
65	Front-End Risk Adjustment System (FERAS) Response Reports Frequency Report Quarterly Report	Report provides quarterly summary of all errors on all file submissions within the 3-month quarter.	FERAS	Report	Quarterly	Gentran mailbox: RAPS_ERRORRFREQ_QTR_ssssss Connect:Direct: TRANSMITTED FROM PALMETTO
Yearly Reports						
66	RAS Final Yearly Model Output Report, Part D	Report showing the year end Part D risk adjustment factors for each beneficiary. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.	RAS (MARx)	Report (.pdf)	Yearly	Gentran mailbox: P.Rxxxxx.PTDMOFR.Yeeee.Cvwww.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PTDMOFR.Yeeee.Cvwww Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PTDMOFR.Yeeee.Cvwww
67	RAS Final Yearly Model Output Data File, Part D	Data file version of the year end Part D RAS Model Output Report. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.	RAS (MARx)	Data File	Yearly	Gentran mailbox: P.Rxxxxx.PTDMOFD.Yeeee.Cvwww.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.PTDMOFD.Yeeee.Cvwww Connect:Direct (Non-Mainframe): [directory]Rxxxxx.PTDMOFD.Yeeee.Cvwww
68	RAS Final Yearly Model Output Report, Part C	Report showing the year end Part C risk adjustment factors for each beneficiary. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.	RAS (MARx)	Report (.pdf)	Yearly	Gentran mailbox: P.Rxxxxx.HCCMOFR.Yeeee.Cvwww.pn Connect:Direct (Mainframe): zzzzzzz.Rxxxxx.HCCMOFR.Yeeee.Cvwww Connect:Direct (Non-Mainframe): [directory]Rxxxxx.HCCMOFR.Yeeee.Cvwww

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69	RAS Final Yearly Model Output Data File, Part C	Data file version of the year end Part C RAS Model Output Report. MARx forwards this report that is produced by RAS to plans as part of the month-end processing.	RAS (MARx)	Data File	Yearly	<p>Gentran mailbox: P.Rxxxxx.HCCMOFD.Yeeee.Cvvvvv.pn Connect:Direct (Mainframe): zzzzzzzz.Rxxxxx.HCCMOFD.Yeeee.Cvvvvv Connect:Direct (Non-Mainframe): [directory]Rxxxxx.HCCMOFD.Yeeee.Cvvvvv</p>
70	Loss of Subsidy (Deemed Status) Data File <p>Note: For 2007 this file will be sent using the format of a Special Full Enrollment File. Instead of a fixed '01' in the day of month position, the date node will reflect the actual date the file is created for transmission.</p> PCUG Record Layout – E.18	Once a year notification file, sent to notify Plans about beneficiaries' loss of low-income subsidy deemed status for the following calendar year based on CMS' annual re-determination of deemed status. The file is informational only, to assist Plans in reaching out to the affected population and encouraging them to file an application to qualify for the upcoming calendar year. The data file is sent using the format of the special Full Enrollment File. The TRC used for this special file type is 996. TRC 996 indicates the loss of deeming, which means the beneficiary will not be redeemed for the upcoming period.	MARx	Data File	Yearly	<p>Gentran mailbox: P.Rxxxxx.FEFD.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzzz.Rxxxxx.FEFD.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.FEFD.Dyymmdd.Thhmsst</p>
71	PDP Loss Data File	Once a year notification file, sent by CMS providing a preliminary listing of LIS-eligible beneficiaries whom CMS will reassign to a new PDP or to a new PBP within the same plan sponsor effective January 1, 2008. The LOSS file will notify PDPs of their members who will be reassigned to other Plans. These members are classified as losing members.	MDB	Data File	Yearly	<p>Gentran mailbox: P.Rxxxxx.APDP5.LOSS.Dyymmdd.Thhmsst.pn Connect:Direct (Mainframe): zzzzzzzz.Rxxxxx.APDP5.LOSS.Dyymmdd.Thhmsst Connect:Direct (Non-Mainframe): [directory]Rxxxxx.APDP5.LOSS.Dyymmdd.Thhmsst</p>

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72	PDP Gain Data File	<p>Once a year notification file, sent by CMS providing a preliminary listing of LIS-eligible beneficiaries whom CMS will reassign to a new PDP or to a new PBP within the same plan sponsor effective January 1, 2008. The GAIN file will notify PDPs of members they will gain as a result of the yearly reassignment. These members are classified as gaining members.</p>	MDB	Data File	Yearly	<p>Gentran mailbox: P.Rxxxxx.APDP5.GAIN.Dyymmdd.Thhmsst.pn</p> <p>Connect:Direct (Mainframe): zzzzzzzz.Rxxxxx.APDP5.GAIN.Dyymmdd.Thhmsst</p> <p>Connect:Direct (Non-Mainframe): [directory]Rxxxxx.APDP5.GAIN.Dyymmdd.Thhmsst</p>