

III. Electronic Filing and Remote Access Instructions, Software Order Form and Seminar Registration Form



III. Electronic Filing and Remote Access Instructions & FCC Seminar/Workshop Information

A. Electronic Filing and Remote Access Information

This section of the Supplemental Bidder Package is broken down into the following functional and technical areas:

- functionality and cost of the FCC Remote Electronic Auction System;
- hardware and software requirements and instructions; and
- auction round results file formats.

Functionality and Cost of the FCC Remote Electronic Auction System

The FCC Remote Electronic Auction System was recently developed to provide auction applicants the opportunity to fully participate in an FCC auction from their offices. The Remote Electronic Auction System allows auction participants to:

1. file an FCC Form 175 application;
2. review other FCC Form 175 applications filed;
3. submit and withdraw bids;
4. receive auction messages/announcements and submit suggestions; and
5. create and download customized round results files.

The FCC recently issued a Report and Order, FCC Docket No. 95-308, 60 FR 38,276 (July 26, 1995) establishing fair and reasonable fees for auction software and on-line access to the Commission's wide area network which can also be used to place and withdraw bids and access other auction information. The Report and Order established the following schedule of fees:

	SOFTWARE	ACCESS PER MINUTE
FCC Form 175 Filing	\$0.00	\$0.00
FCC Form 175 Review	\$0.00	\$2.30
Bid Submission	\$175.00	\$2.30
Messages/Announcements	\$0.00	\$2.30
Suggestion Box	\$0.00	\$2.30
Round Results Viewer	\$0.00	\$2.30

Payments for software must be submitted to Hudson & Marshall, Inc. and may be made by credit card (Visa or Mastercard only) or check made payable to the Federal Communications Commission or "FCC" (see order form). Access charges will appear on the customer's long distance telephone bill.

Hardware and Software Requirements and Instructions for Installing Electronic Filing Software

About Your Software

All FCC Remote Electronic Auction System Software requires **ppp** software that is Winsock v1.1 compliant to access the FCC system. Configuration parameters for Trumpet v2.1f, NetManage Chameleon v4.1 and Wollongong Pathway Access for Windows v3.2 software are included in the appendix.

Recommended Hardware and Software Requirements to Access the FCC Auction System

You will need at minimum the following hardware:

- CPU: Intel® 80386 or above (80486 or faster recommended)
- RAM: 8MB RAM (more recommended if you have multiple applications open)
- Hard Disk: 10MB available disk space
- 1.44MB 3.5" Floppy Drive (to install the FCC Form 175)
- Three blank MS-DOS® formatted 1.44MB floppy disks
- Modem: v.32bis 14.4kbps Hayes® compatible modem
- Monitor: VGA or above
- Mouse or other pointing device
- Microsoft® Windows™ 3.1 or above, or Microsoft® Windows for WorkGroups™ v3.11 or above*
- PPP asynchronous communications package Winsock v1.1 compliant*

*The FCC is in the process of testing Windows95. For further technical information contact the **FCC Technical Support Hotline at (202) 414-1260**. The FCC Form 175 has not been tested on a Macintosh or OS/2 environment. Therefore, the FCC will not support operating systems other than Microsoft Windows 3.1, or Windows for Workgroups v3.11 or above in

an enhanced mode. **This includes any other emulated Windows environment.** If your Windows is in a networked environment, you should check with your local network administrator for any potential conflicts with the ppp software package you will use to connect to the FCC network. This usually includes any TCP/IP installed network protocol.

Installing Your PPP Software

Before you can use the FCC Form 175, you will need to install the ppp software. The ppp software should include documentation sufficient to install their product. Please see the appendix for various ppp software hints and configuration parameters.

Where to get FCC Form 175 Application Software

Applicants who wish to file their FCC Form 175 applications electronically through the FCC Remote Access System must first download the FCC-provided application software from the Internet or the FCC Auction Bulletin Board Service.

Detailed Internet Instruction

To access the FCC ftp server, you will need to have access to the Internet and ftp client software. Graphical ftp interfaces may differ; please check with your local computer specialist.

FTP: The following instructions are for the command line version of ftp.

1. Connect to the FCC ftp server by typing `ftp fcc.gov`
2. At the user name prompt, type `anonymous` **[Enter]**
3. At the password prompt, type your Internet e-mail address **[Enter]**
4. To allow the file to be downloaded type:
`binary` **[Enter]**
5. Change your current directory to the FCC175 directory by typing:
`cd /pub/Auctions/PCS/Broadband/BTA/FCC175` **[Enter]**
6. Use the `get` command to download files from the FCC ftp server by typing:
`get F175V5.EXE` **[Enter]**
7. If you wish to exit, type:
`bye` **[Enter]**

Gopher: `gopher.fcc.gov` or use any gopher to get to "all the gophers in the world"

then 'U.S.' then 'DC' then 'FCC'.

World Wide Web: <ftp://fcc.gov>

Detailed Bulletin Board Service Instructions

The FCC Auction Bulletin Board Service (BBS) provides dial-in access for the FCC-provided application software. In order to access the FCC Auction BBS, use a communications package that can handle at least xmodem protocol (e.g., pcAnyWhere, Telix, Procomm) to dial in to (202) 682-5851. Use the settings of 8 data bits, no parity and 1 stop bit (8,N,1).

For new users follow steps 1-6, otherwise go to step 7:

1. Type New, **[Enter]**. If the word ANSI is blinking, type Y for yes. If the word ANSI is not blinking, type N for No
2. Type in your first and last name and press **[Enter]**. This will be your login name
3. Type in your Telephone number and press **[Enter]**
4. Type in your Fax number and press **[Enter]**
5. Type in what you want your password to be and press **[Enter]**
6. Retype the password for verification and press **[Enter]**

Once the account is generated:

7. Type B for Broadband Auction and press **[Enter]**
8. Type B for BTA and press **[Enter]**
9. Type P for Programs and Applications and press **[Enter]**
10. Move the cursor to the file named F175V5.EXE and type **[Control]-D** (hold the Ctrl key down and press the D key) for Download and press **[Enter]**
11. Type the letter representing the transfer protocol desired and press **[Enter]**. How the file is downloaded and where it gets downloaded depends on the transfer protocol package used.
12. To download additional files move cursor to the filename desired and type

[Control]-D (hold the Ctrl key down and press the D key) for Download and press [Enter]. Then repeat step 11, or press X and [Enter] to Exit the screen.

To Exit:

13. Type X to Exit and press [Enter] and continue to do so until asked if you want to Exit the BBS. Press Y for Yes when asked to verify your leaving.

Creating Installation Disks from Downloaded Files

The FCC-provided application software available through Internet and the FCC Auction BBS will be in a self-extracting compressed file format. Once the compressed file has been downloaded, you will need to generate the installation disks. You will need to have three (3) blank MS-DOS® formatted 3.5" 1.44MB disks. To generate the installation disks, type F175V5.EXE /! and press [Enter].

Installing FCC Form 175 Disks

If you had previously downloaded and installed the FCC Form 175 application, then, during installation, the setup program will prompt you to update any existing files. **You MUST update all the existing files.**

The extracted files will be executable programs for submitting and reviewing FCC Form 175 applications along with a README.TXT file. The text file will provide instructions for installing the software on the applicant's personal computer. After you create the installation disks, restart Windows and run SETUP.EXE from installation Disk 1 of 3 and follow the instructions on the screen. For technical assistance in downloading, extracting or installing the FCC application software contact the FCC Technical Support Hotline at (202) 414-1260.

Running FCC Form 175

After the FCC Form 175 installation is complete, you will have a new Program Manager group called FCC Auction with two icons: Remote FCC Form 175 Submit and Remote FCC Form 175 Review. You must start the ppp software and be connected before you start either program. To start up either the Remote FCC Form 175 Submit or Remote FCC Form 175 Review, double click the respective icon. When you are finished with either program, be sure to disconnect from the FCC Network via your ppp software.

DETAILED INSTRUCTIONS FOR USING ALL FCC REMOTE ELECTRONIC AUCTION SYSTEM SOFTWARE CAN BE FOUND IN THE README FILE ASSOCIATED WITH THE RESPECTIVE SOFTWARE MODULE AS WELL AS IN THE CONTEXT SENSITIVE HELP FUNCTION ASSOCIATED WITH EACH MODULE.

Appendix

General Setup for Unsupported or Unlisted PPP Software

It is possible to use ppp software that we have not tested. The following information should provide enough information to make your software work. However, if your software cannot confirm/establish the following parameters, you will need to get one of the tested ppp software. The FCC will not provide support for any untested software product.

1. Set the ppp software to ppp mode (do not set for slip)
2. Set the domain name server to 165.135.22.249
3. Set the domain suffix to fcc.gov
4. Set the phone number to: (this number will be provided in the README.TXT file included with the F175V5.EXE). You may need to add a dialing prefix
5. Be sure to set the Baud Rate to the maximum DTE modem speed. This is usually 57600 bps for 14.4 kbps modems
6. Set the modem parameters to 8 data bits, no parity and 1 stop (if needed, set flow control to hardware)

Note: Spry's Internet-in-a-Box failed our testing procedures.

Installing Trumpet v2.1f

Trumpet can be found on the Internet. It can be downloaded via ftp (be sure to download in binary mode). Trumpet v2.1f can be found at ftp.trumpet.com.au in the directory /winsock as twsk21f.zip. You will need PKWare's v2.04g pkunzip.exe to uncompress these files. PKWare v2.04g can be found at oak.oakland.edu in the directory /simtel/msdos/zip as pkz204g.exe. This is a self-extracting file. Type pkz204g.exe to extract the file pkunzip.exe. Please be aware of any licensing issues for these shareware products. The information is included in the respective package.

If you already have some kind of TCP/IP networking package installed, the Trumpet Winsock program may not run. Contact your LAN administrator for assistance. Trumpet version 2.1f has successfully been tested to work with the FCC network.

Copy the files **winsock.dll**, **tcpman.exe**, **hosts**, **services**, **login.cmd**, **bye.cmd**, **setup.cmd**, **sendreg.exe**, and **protocol** to a suitable directory.

e.g., c:\trumpet

The essential files are:

winsock.dll	the core of the Trumpet TCP/IP driver
tcpman.exe	controlling program for the Winsock
sendreg.exe	registration program
hosts	list of host names
services	list of Internet services
protocol	list of Internet protocols
login.cmd	Trumpet script file to connect to the FCC Network
bye.cmd	Trumpet script file to disconnect from the FCC Network
setup.cmd	Trumpet setup file to connect to the FCC Network

Modify the path line in your autoexec.bat to contain a reference to that directory.

e.g., path c:\trumpet;c:\dos;c:\windows

Make sure it is active by rebooting your computer. Now you are ready to start Windows.

From Windows, start up tcpman by selecting File | Run from the file manager, then type tcpman. If this fails, the path is probably not set up correctly. Please fix it before proceeding. Later, you can set up tcpman as an icon so it can be started directly.

Assuming you are a first time user, a setup screen will appear giving you a number of options to fill in. You will need to fill in the following details to enable the TCP package to function. If you are unclear on any of them, try to seek some help from qualified Internet support staff -- it will save you a lot of time.

First click on Internal PPP. The parameters available for your use will be darkened, while the parameters not available will be shaded gray and will be disabled.

Name server

- Enter name server IP address 165.135.22.249 for DNS searches.

Domain suffix

- Enter domain suffix fcc.gov

MTU

- Maximum Transmission Unit, set to 1500. Related to TCP MSS usually TCP MSS + 40 (Numeric).

TCP RWIN

- TCP Receive Window, set to 4096. It is recommended that this value be roughly 3 to 4 times the value of TCP MSS (Numeric).

TCP MSS

- Maximum Segment Size, set to 1460.

SLIP port

- your modem port number 1=com1, 2=com2 etc., (numeric).

Baud rate

- The speed you wish to run at (numeric), set to the maximum modem DTE speed or 57600. Up to 115200 is supported although speeds greater than 19200 require suitable hardware.

Hardware

- Handshake should be checked.

The rest of the details should be grayed out and you need not try to fill them in. When you are done, click on **[OK]**.

Under the Dialler | 1. setup.cmd:

- Set the telephone number to: (this number will be provided in the README.TXT file included with the F175V5.EXE). You may need to add a dialing prefix
- Leave the login username blank (i.e., no username)
- Leave the login password blank (i.e., no password)

Under the File | PPP Options

- Do not enable PAP

If you decide to use the login script login.cmd, you will need to use a text editor to delete line 132 and all following lines to the end of the file:

Line 132:

```
input % logintimeout $userprompt
```

If all goes well, the Trumpet Winsock will be initialized. You are now ready to start using the Winsock.

Remember, before you use the FCC Form 175, you will need to be connected. To connect, select Dialler | Login on the menu bar. **For applications with an associated access charge, the charging begins as soon as the connect is established.** After finishing the FCC Form 175, you should disconnect from the FCC network by selecting Dialler | Bye. **For applications with an associated access charge, the charging continues until the disconnect has been completed.**

Detailed Configuration Information Using NetManage Chameleon v4.1

Install the software as instructed by the NetManage installation routine. Activate the Custom - Connect Here icon in the Program Manager Internet Chameleon group. Setup Chameleon's parameters with the following:

Under the Custom menu Interface | Add:

- Set the Name to FCC
- Set the Type to PPP

Under the Custom menu Setup | Port:

- Set the Baud Rate to the maximum DTE speed of your modem, usually 57600 bps for 14.4 kbps modems
- Set the Data Bits to 8
- Set the Stop Bits to 1
- Set the Parity to none
- Set the Flow Control to Hardware
- Set the Connector to match your modem comm port

Under the Custom menu Setup | Modem:

- Select the modem that most closely matches your modem. Hayes® is the most common choice.

Under the Custom menu Setup | Dial:

- Type in the dial edit box: (this number will be provided in the README.TXT file included with the F175V5.EXE). You may need to add a dialing prefix.

Under the Custom menu Setup | Login:

- Leave User Name blank
- Leave User Password blank

Under the Services | Domain Servers:

- Set an IP address to the number 165.135.22.249

Remember, before you use the FCC Form 175, you will need to be connected. To connect, click on **Connect** on the menu bar. **For applications with an associated access charge, the charging begins as soon as the connect is established.** After finishing the FCC Form 175, you should disconnect from the FCC network by clicking on **Disconnect**. **For applications with an associated access charge, the charging continues until the disconnect has been**

completed.

Configuration Information For Using Wollongong Pathway for Windows v3.2

Install the Pathway Runtime for Windows v4.0 software using the Wollongong installation routine. During setup, you will be required to provide the following parameters: (you may enter anything for information not listed):

- Set the Adapter to SLIP/CSLIP/PPP connection
- Set the Domain Name to fcc.gov
- Set the IP Address to 0.0.0.0
- Set the Subnet Mask to 255.255.0.0
- Set the DNS Server to the number 165.135.22.249

After the installation, start Dialer found in the Pathway Access Program Manager group. Enter a new profile (File | New) and supply the following relevant information:

- Set the Telephone Number to: (this number will be provided in the README.TXT file included with the F175V5.EXE). You may need to add a dialing prefix.
- Set the Port to match your modem comm port
- Set the Baud Rate to the maximum DTE speed of your modem
- Check Driver Parameters' Flow Control
- Under Protocol, select PPP
- In the script text box, have only the following command:

SEND:

When you are finished, click on [Save] and provide a filename for your new profile.

Before you use the FCC Form 175 programs, you must be connected. To connect, click on **Dial** on the tool bar. **For applications with an associated access charge, the charging begins as soon as the connect is established.** After you are connected, Dial will gray out and **Disconnect** will be made available. After finishing the FCC Form 175 programs, you should disconnect from the FCC Network by clicking on **Disconnect**. **For applications with an associated access charge, the charging continues until the disconnect has been completed.**

Round Results File Formats

The Commission will be providing electronic files containing round results that can be accessed through the Round Results Viewer software, Internet and the FCC Auction Bulletin Board Service (BBS). Since this auction and future auctions will contain significantly more licenses and more bidders, the Commission has modified these file formats to make them smaller to minimize download times. The following file formats are the default file formats and will be provided in both .DBF and ASCII text formats. Anyone accessing round results from the FCC Remote Electronic Auction System Round Results Viewer software can create customized file formats. The naming conventions of the files appear above the file format.

The All Bids, High Bids Before Withdrawal, Withdrawals and High Bids After Withdrawal file formats are identical:

<p>Format of File Name:</p> <p>XXX_NNNT.FFF</p> <p>For example: 5_110S.DBF 5_110W.DBF</p>	<p>Where:</p> <p>XXX = Auction ID NNN = Round Number T = S - All Bids entered during Bid Submission X - High Bids Before Withdrawal Y - High Bids After Withdrawal W - Withdrawn Bids</p> <p>FFF = txt or dbf format</p>
--	--

The valid values for bid_type are: S, W, and H which represent Submitted Bid, Withdrawn Bid, and High Bid respectively.

<u>Field</u>	<u>Length</u>
bid_type	1
market	4
freq_block	2
round_num	3
bid_amt	11
bidder_num	4
date	8
time	8
Total Record Size:	41

Maximum Eligibility:

Format of File Name: XXX_NNNT.FFF For example: 5_110E.DBF	Where: XXX = Auction ID NNN = Round Number T = E - Maximum Eligibility FFF = txt or dbf format
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<u>Field</u>	<u>Length</u>
round_num	3
bidder_num	4
max_elig	11
rmng_waivr	3
Total Record Size:	21

Minimum Bid:

Format of File Name: XXX_NNNT.FFF For example: 5_110M.DBF	Where: XXX = Auction ID NNN = Round Number T = M - Minimum Bid FFF = txt or dbf format
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<u>Field</u>	<u>Length</u>
market	4
freq_block	2
round_num	3
previous_h	11
min_accept	11
Total Record Size:	31

The Commission will provide an additional file to provide the mapping of the bidder number to the FCC account number and name. This file will only have to be downloaded once.

Bidder Cross Reference:

<p>Format of File Name:</p> <p>XXX_T.FFF</p> <p>For example: 5_XREF.TXT</p>	<p>Where:</p> <p>XXX = Auction ID T = XREF - Cross Reference</p> <p>FFF = txt or dbf format</p>
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<u>Field</u>	<u>Length</u>
bidder_num	4
fcc_account_num	10
co_name	50

Total Record Size: 64

In the original Bid Information Package, extensive instructions for using the FCC software package was included. These instructions will be included in the readme.txt file in each new software package.

FCC Remote Bidding Software

Order Form

Please return this Order Form no later than 5:30 p.m., Friday, December 1, 1995 to Hudson and Marshall, 1225 I Street, NW, Suite 500, Washington D.C., 20005, or fax to (202) 789-1538.

The cost of the FCC Remote Bidding Software is \$175.00. Payment may be made by credit card (Visa or Mastercard only) or check made payable to the "Federal Communications Commission" or "FCC". Only those qualified bidders that filed their FCC Form 175 applications electronically have the option of using the FCC Remote Bidding Software to participate in the auction bidding process.

Name _____

Company _____

FCC Account # _____

Address* _____

Phone Number _____

Fax Number _____

Fax Modem Number _____

Credit Card Visa Mastercard

Card Number _____ Expiration Date _____

Name on Card _____

Amount \$175.00

Signature _____ Date _____

The software is Microsoft Windows compatible and will be provided in 3.5 inch high density diskettes. See hardware and software requirements for installation of electronic filing software in this Supplemental Bidder Package. A user manual will accompany your software package which will include detailed instructions for installing and using the FCC remote bidding software.

* Software packages will be delivered to the contact person at the address specified on the FCC Form 175 application filed with the Commission.



FCC C BLOCK Applicant Seminar

The Federal Communications Commission will sponsor a one day seminar for those applicants whose FCC Form 175 have been filed. The bidding procedures, auction rules, and activity rules will be discussed at the seminar. A mock auction will also be conducted. In addition, the Commission will provide instruction and assistance in electronic and manual filing the FCC Remittance Advice Form (FCC Form 159) which is required with all upfront payments.

Two representatives per company may attend. **Space is limited.**

The seminar will be held: Thursday, November 16, 1995 9:00 a.m. - 5:00 p.m. ET

The seminar location is: Washington, D.C.
(The exact location will be included in the confirmation letter sent to seminar registrants.)

Please fill out the information outlined below and return by mail or fax to:

Hudson & Marshall, Inc.
1221 I Street, NW
Suite 500
Washington, D.C. 20005
Telephone Number: (202) 408-1322
Fax number: (202) 789-1538

**** The registration form must be received no later than Thursday, November 9, 1995.**

I/We will attend the Auction Seminar on Thursday, November 16, 1995.

1. Name of Attendee (1): _____

2. Name of Attendee (2): _____

Company Name: _____

Address: _____

City/State: _____ Zip: _____

Phone: _____ Fax: _____

(Upon receipt of this registration a confirmation letter and program outline will be faxed to the contact person on your FCC Form 175 Application)



IV. FCC Forms and Instructions



Special Use				
FCC Use Only				

Application to Participate in an FCC Auction
(Read Instructions on Back Before Completing)

1. Applicant			8. Applicant Classification:	
			<input type="checkbox"/> Individual	<input type="checkbox"/> Partnership
			<input type="checkbox"/> Trust	<input type="checkbox"/> Corporation
			<input type="checkbox"/> Other	
2. Mail Address (No P.O. Boxes)			9. Financial Eligibility (if applicable)	
			<input type="checkbox"/> Gross revenues do not exceed the maximum dollar amount specified in the FCC rules governing the auctionable service. <input type="checkbox"/> Total Assets (if applicable) do not exceed maximum dollar amount specified in the FCC Rules governing the auctionable service.	
3. City		4. State	5. ZIP Code	
6. Auction Number		7. FCC Account Number		
			10. Applicant Status:	
			<input type="checkbox"/> Small Business _____ % Bidding Credit Eligibility Installment Payment Plan Type _____ <input type="checkbox"/> Rural telephone company <input type="checkbox"/> Minority owned business <input type="checkbox"/> Woman owned business <input type="checkbox"/> None of the above	

11. Markets and Frequency Blocks /Channels for which you want to bid. If more than 5 markets, use supplemental form (FCC 175-S).

Market No.	Frequency Block/Channel No.
ALL <input type="checkbox"/>	Enter Frequency Block /Channel Number(s) or Letter(s) or Check All ALL <input type="checkbox"/>
(a)	
(b)	
(c)	
(d)	
(e)	

Check here if supplemental forms 175-S are attached. Indicate number of supplemental forms 175-S attached: _____

Check here if exhibits are attached. Indicate number of supplemental exhibits attached: _____

12. Person(s) authorized to make or withdraw a bid (Typed/Printed Name)

(a)	(b)	(c)
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Certification: I certify the following:

- that the applicant is legally, technically, financially and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.
- that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application (see Instructions for certification), which provide that someone other than the applicant shall have an interest in the license.
- that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.
- that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.
- that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.
- that, if financial eligibility block or applicant status is claimed in block 9 or 10, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.
- that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.

I declare, under penalties of perjury, that I am an authorized representative of the above-named applicant for the license(s) specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application and attachments, including exhibits, are true and correct.

Typed/Printed Name of Person Certifying	Title of Person Certifying	Date
	Contact Person	Telephone No.
Signature of Person Certifying (Blue Ink ONLY)	E-mail address	FAX No.

Instructions

Item 1. **Applicant Name:** Enter the legal name of the person or entity applying to participate in an auction. If other than an individual, insert the exact name of the entity as it appears on the legal document(s) establishing the entity such as the Articles of Incorporation.

[NOTE: Applicants who have entered into an arrangement(s) of any kind relating to the license(s) specified in this application must provide additional information. See certification instructions below.]

Item 2. **Applicant Mailing Address:** Enter the street address to which the entity wants future correspondence relating to this application to be mailed. Indicate street numbers or rural route numbers as appropriate.

Item 3. **City:** Enter the city name for the applicant mailing address.

Item 4. **State:** Enter the two-letter state abbreviation for the applicant address.

Item 5. **ZIP Code:** Enter the ZIP Code for the applicant address.

Item 6. **Auction Number:** Enter the appropriate auction number. This number will be supplied by the Commission in the Public Notice announcing the auction.

Item 7. **FCC Account No.:** Enter your personal identification number. This number must consist of ten digits. You have two options to create this FCC Account Number. Option 1-you must use your taxpayer identification number (TIN) with a prefix of "0", e.g., 0123456789, if you have a TIN. Option 2-if you do not have a TIN, use your ten-digit telephone number (e.g., 5552345678). You should use this same number when submitting additional information/material regarding this application, including any required fees submitted to the Commission on FCC 159, FCC Remittance Advice, (i.e., use the same number for this form and the FCC Account Number on FCC 159).

Item 8. **Applicant Classification:** Place an [X] in the appropriate box preceding the type of entity to indicate the type of legal entity applying. If an [X] is placed in the "Other" box indicate the type of entity applying in the space provided (e.g., governmental entity, association, etc.). Limited liability companies should check the "Partnership" box.

Item 9. **Financial Eligibility (if applicable):** Applicants that must meet financial eligibility requirements should complete this item (e.g., to participate in an entrepreneurs' block auction or to be eligible as a small business). Place an [X] in the boxes provided if the applicant's gross revenues or total assets (if applicable) do not exceed the dollar amount specified in the Commission's Rules governing the auctionable service. If the applicant places an [X] in the gross revenues box, it will be certifying its eligibility to bid on these licenses and that its gross revenues do not exceed the required maximum. If the applicant places an [X] in the total assets box, it will be certifying that its total assets do not exceed the required maximum.

Item 10. **Applicant Status:** Place an [X] in the appropriate box or boxes preceding the appropriate designated entity type. This information will be used for purposes of determining the applicant's eligibility for any special provisions available for designated entities. See Part 1 and the specific Commission Rules governing the auctionable service for definitions of the different types of designated entities. Designated entities who qualify for bidding credits and/or installment payment plans should specify which bidding credit percentage and installment payment plan (e.g., payment plan A or B) applies. See the Public Notice or Bidder Information Package of the auctionable service for the definitions of the payment plan types. Information concerning designated entities that are ineligible for bidding credits and/or installment payment plans will be collected for statistical purposes only.

Item 11. **Markets/Frequency Blocks:** Enter the code for the market(s) on

which you want to bid in the column under Market No. The codes will be provided by the Commission in a Public Notice. Use a separate line (a-e) for each different market. If you want to be eligible to bid on licenses in more than five markets, you must use Supplemental Form, FCC 175-S. Place an [X] in the box below the table to indicate there are supplementary forms attached, and specify the number of supplemental forms. If, however, you want to be eligible to bid on licenses in all markets, you should place an [X] in the box marked "ALL", and you need not submit supplementary forms. After each market, list the letter(s) or number(s) for the frequency block(s) or channel(s) on which you want to bid. These codes will be provided by the Commission in a Public Notice. For example, if you wanted to bid on two frequency blocks in market (a) and one block in market (b), to be eligible you would enter the codes for the two desired frequency block(s) in market (a) in columns 1 and 2 on line (a) and leave the remaining columns on that line blank. On line (b) you would enter in column 1 the code for the frequency block you want in market (b) and leave the remaining columns on the line blank. If you want to be eligible to bid on all frequency blocks in all the markets you specified, you should place an [X] in the box marked "ALL". Exhibits: If exhibits are attached to the application, check the box provided and indicate the number of exhibits.

Item 12. **Certification:** Type or print the name(s) of the person(s) you wish to designate as an authorized representative(s). Only authorized representatives will be allowed to make or withdraw bids at an auction. You may list a maximum of three (3) authorized representatives. Enter the typed/printed name of the individual authorized to sign the application, his/her title, date signed, authorized individual's signature, the name of a person familiar with the application (contact person) and the phone number, fax number (including area code), and e-mail address of the contact person. All applications must bear an original signature of a person authorized to sign on behalf of the applicant. List in the space provided below or in an exhibit the name, citizenship and address of all partners, if the applicant is a partnership; of a responsible officer or director, if the applicant is a corporation; of the trustee, if the applicant is a trust or, if the applicant is none of the foregoing, list the name and address of a principal or other responsible person. In addition, for applicants claiming eligibility for bidding credits and/or installment payment plans, compute the applicant's gross revenues, and its affiliates' gross revenues in accordance with the Commission's Rules governing the auctionable service. For applicants claiming eligibility for an entrepreneur's block or for designated entity status, list information on the applicant's control group (if applicable), affiliates, gross revenues and assets, if applicable. See the Commission's Rules governing the auctionable service.

Also, list in the space provided below or in an exhibit all parties with whom the applicant has entered into an agreement(s), of any kind, relating to the licenses being auctioned including such agreements(s) relating to the post-auction market structure. See Part 1, Subpart Q of the Commission's Rules.

NOTE: The Commission's Public Notice announcing the auction for the licenses on which you are interested in bidding contains information essential to completing this form correctly. You should also consult the specific rules governing the service in which you are applying. Forms which are completed incorrectly may be dismissed without an opportunity for resubmission.

If additional space is needed for listing additional information required by the Certification, attach a separate sheet(s).

The solicitation of personal information requested in this form is authorized by the Communications Act of 1934, as amended. The Commission will use the information to determine whether grant of this application is in the public interest. In reaching that determination, or for law enforcement purposes, it may become necessary to refer personal information contained in this form to another government agency. In addition, all information provided in this form will be available for public inspection. If information requested on this form is not provided, processing may be delayed or the application may be returned without action pursuant to the Commission's rules. Your response is required to obtain the requested authority.

Public reporting burden for this information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data needed, gathering and maintaining the data needed, and completing and reviewing the collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Federal Communications Commission, Paperwork Reduction Project (3060-0600), Records Management Branch, Washington, D.C. 20554. **DO NOT SEND COMPLETED APPLICATION FORMS TO THIS ADDRESS!**

THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, P.L. 93-579, DECEMBER 31, 1976, 5 U.S.C. 522a(e)(3) AND THE PAPERWORK REDUCTION ACT OF 1980, P.L. 96-511, DECEMBER 11, 1980, 44 U.S.C. 3507.

**Application to Participate in an FCC Auction
Supplemental Form**

(This form is to be used in conjunction with FCC 175)

Applicant	Auction No.	FCC Account No.	Page _____ OF _____
Street Address/City (No P.O. Boxes)		State	ZIP Code

	Market No.	Frequency Block/Channel No.										
		1	2	3	4	5	6	7	8	9	10	11
(f)												
(g)												
(h)												
(i)												
(j)												
(k)												
(l)												
(m)												
(n)												
(o)												
(p)												
(q)												
(r)												
(s)												
(t)												
(u)												
(v)												
(w)												
(x)												
(y)												

The solicitation of personal information requested in this form is authorized by the Communications Act of 1934, as amended. The Commission will use the information to determine whether grant of this application is in the public interest. In reaching that determination, or for law enforcement purposes, it may become necessary to refer personal information contained in this form to another government agency. In addition, all information provided in this form will be available for public inspection. If information requested on this form is not provided, processing may be delayed or the application may be returned without action pursuant to the Commission's rules. Your response is required to obtain the requested authority.

Public reporting burden for this information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Federal Communications Commission, Records Management Branch, Washington, DC 20554 Paperwork Reduction Project (3080-0800). **DO NOT SEND COMPLETED APPLICATION FORMS TO THIS ADDRESS:**

THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, P.L. 93-579, DECEMBER 31, 1976, U.S.C. 522(e)(3) AND THE PAPERWORK REDUCTION ACT OF 1980, P.L. 96-511, DECEMBER 11, 1980, 44 U.S.C. 3607

FCC Form 175

Organizational Guidelines and Checklist for Attachments

In addition to completing the FCC Form 175 (short form), applicants are encouraged to submit the information required by the Commission's rules as exhibits to the short form using the format and checklist indicated below. It is our intent that applicants may use this format for both manual and electronic filing of the FCC Form 175. Although our suggested organizational approach to these exhibits is discretionary, submission of the required materials in the manner described below is expected to facilitate the processing of short form applications. Applicants bear full responsibility for submission of timely and complete short form applications. Applicants should read the instructions on the FCC Form 175 carefully and should consult our rules to ensure that, aside from the materials described below, all the information that they are required to submit under our regulations is included with their FCC Form 175s. Incomplete or defective applications will be returned. 47 C.F.R. §§ 1.12105(b), 24.820. Also, pursuant to Section 1.65 of the Commission's Rules, 47 C.F.R. § 1.65, each applicant is responsible for the continuing accuracy and completeness of information furnished in the FCC Form 175. Applicants are reminded that they must certify on the FCC Form 175 that they consent to be audited. 47 C.F.R. § 24.709(d).

I. Information Required of All Applicants

EXHIBIT A: Applicant Identity and Ownership Information. Attach as Exhibit A the information, certified as truthful, that is required pursuant to 47 C.F.R. §§ 1.2105(a)(2) and 24.813(a) of the Commission's rules concerning the identity of the applicant (i.e., disclosure of the real party or parties-in-interest) and ownership interests held in the applicant and in investors in the applicant. A suggested format for listing ownership held directly in the applicant is provided below as an appendix to this document.

EXHIBIT B: Agreements With Other Parties/ Joint Bidding Arrangements. Attach as Exhibit B the information, certified as truthful, that is required pursuant to 47 C.F.R. § 1.2105(a)(2)(viii). This information should identify all parties with whom the applicant has entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure.

Be aware that pursuant to Certification (4) on the FCC Form 175 the applicant certifies that it will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in the application regarding the amount to be bid, bidding strategies or the particular licenses on which the applicant will or will not bid. See 47 C.F.R. §§ 1.2105(a)(2)(ix). To prevent collusion, the Commission's rules generally prohibit communications during the course of the auction among applicants for the same license areas

when such communications concern bids, bidding strategies, or settlements. 47 C.F.R. § 1.2105(c)

EXHIBIT C: Status as an Eligible C block Auction Applicant. Attach as Exhibit C information, certified as truthful, concerning the gross revenues and total assets of the applicant, its affiliates, persons or entities that hold attributable interests in the applicant and their affiliates, as specified in 47 C.F.R. § 24.709(a). See also 47 C.F.R. § 24.709(c)(1)(i)-(iii). The information contained in this exhibit should demonstrate that the applicant is eligible to participate in the C block auction, either because it satisfies the general rule set forth in 47 C.F.R. § 24.709(a) or because it satisfies an exception set forth in 47 C.F.R. § 24.709(b).

[1] Applicants claiming to satisfy eligibility under the general rule (47 C.F.R. § 24.709(a)) as an entrepreneur should submit as Exhibit C the following information:

- * The identity of all affiliates as required by 47 C.F.R. § 24.709(c)(ii)(D).
- * The applicant's gross revenues and total assets computed in accordance with 47 C.F.R. § 24.709(a) and (b).

[2] Applicants claiming to satisfy eligibility under 47 C.F.R. § 24.709(b)(2) as a publicly traded corporation with widely dispersed voting power should submit Exhibit C the following information:

- * A certified statement that the applicant complies with the requirements of the definition of publicly traded corporation with widely dispersed voting power as set forth in 47 C.F.R. § 24.720(m). See 47 C.F.R. § 24.709(c)(1)(i)(A).
- * The identity of all affiliates as required by 47 C.F.R. § 24.709(c)(ii)(D).
- * The applicant's gross revenues and total assets computed in accordance with 47 C.F.R. § 24.709(a) and (b).

[3] Applicants claiming to satisfy eligibility under 47 C.F.R. § 24.709(b)(3)-(6) using a control group structure should submit as Exhibit C the following information (as applicable):

- * The identity of each member of the applicant's control group, regardless of each member's total interest in the applicant, and the percentage and type of interest held by each member in the applicant (e.g., voting and non-voting stock, preferred stock, warrants). 47 C.F.R. § 24.709(c)(1)(ii)(A).
- * The status of each control group member that is an institutional investor, an existing investor, and/or a member of the applicant's management team. 47 C.F.R. § 24.709(c)(1)(ii)(C). See also 47 C.F.R. §§ 24.720.
- * The identity of all affiliates as required by 47 C.F.R. § 24.709(c)(1)(ii)(D).
- * A certification that the applicant's sole control group member is a pre-existing entity, if the applicant is making that election under 47 C.F.R. § 24.709(b)(5)(ii) or (b)(6)(ii). See also 47 C.F.R. § 24.720.
- * The applicant's gross revenues and total assets computed in accordance with 47 C.F.R. § 24.709(a) and (b).

[4] Each applicant claiming to satisfy eligibility under the small business consortia exception to the general rule (47 C.F.R. § 24.709(b)(1)), should submit as Exhibit C any applicable information in [3] above (specified in 47 C.F.R. § 24.709(c)(1)(ii)) for each member of a consortium. The term "consortium of small businesses" is defined in 47 C.F.R. § 24.720.

Basic eligibility requirements for participation in the C block auctions are contained in Section 24.709 of the Commission's Rules, 47 C.F.R. § 24.709. All applicants must certify under Item 9 on the FCC Form 175 that they are eligible to participate in the C block auction. Only applicants that are able to meet certain financial thresholds described in our rules are eligible to participate in the C block auction. 47 C.F.R. § 24.709(a). Applicants need to evaluate whether they can satisfy the financial thresholds in our rules before certifying that they are eligible to participate in the auction. Applicants must also certify under Item 9 of the FCC Form 175 whether their gross revenues exceed \$75 million pursuant to 47 C.F.R. §§ 24.711(b), 24.720(f). This is because different installment payments are available to entrepreneurs with gross revenues that do not exceed \$75 million. The special provisions available to C block applicants are specified in 47 C.F.R. § 24.711. Important definitions (such as how "affiliate" is defined) are contained in 47 C.F.R. § 24.720.

II. Information Required of Designated Entities

EXHIBIT D: Applicant status. Attach as Exhibit D information, certified as truthful, concerning the applicant's status as a small business or rural telephone company required pursuant to 47 C.F.R. § 24.709. The definitions of these entities are specified in 47 C.F.R. § 24.720. The status of the applicant as a minority-owned or women owned business is required for statistical purposes only.

[1] Applicants claiming status as a small business must compute gross revenues in accordance with 47 C.F.R. § 24.720(b)(1) to demonstrate status as a small business under our rules.

[2] Applicants claiming status as a rural telephone company must certify that they meet the definition set forth in 47 C.F.R. § 24.720(e).

Applicants certify that they qualify for special bidding credits and/or installment payment plans in Item 10 of the FCC Form 175. Before claiming a bidding credit and/or an installment payment plan, applicants should evaluate whether they meet the definitions and eligibility criteria set forth in our rules. 47 C.F.R. §§ 24.709, 24.720.

FCC FORM 175 CERTIFICATION AND ATTACHMENT CHECKLIST

- Have you attached applicant identity and ownership information as Exhibit A?
- Have you attached information concerning agreements with other parties and joint bidding arrangements as Exhibit B?
- Have you attached the information that establishes the applicant's eligibility to participate in the entrepreneurs' block auction as Exhibit C?
- Have you attached the information that establishes the status of the applicant as Exhibit D?

FEDERAL COMMUNICATIONS COMMISSION
FCC REMITTANCE ADVICE

Approved by OMB
3060-0589
Expires 2/28/97

PAGE NO. 1 OF _____

(RESERVED)

SPECIAL USE

FCC USE ONLY

(Read instructions carefully BEFORE proceeding.)

PAYOR INFORMATION

(1) FCC ACCOUNT NUMBER	Did you have a number prior to this? Enter it.	(2) TOTAL AMOUNT PAID (dollars and cents)
		\$.

(3) PAYOR NAME (If paying by credit card, enter name exactly as it appears on your card)

(4) STREET ADDRESS LINE NO. 1

(5) STREET ADDRESS LINE NO. 2

(6) CITY (7) STATE (8) ZIP CODE

(9) DAYTIME TELEPHONE NUMBER (Include area code) (10) COUNTRY CODE (if not U.S.A.)

ITEM #1 INFORMATION

(11A) NAME OF APPLICANT, LICENSEE, REGULATEE, OR DEBTOR	FCC USE ONLY
---	--------------

(12A) FCC CALL SIGN/OTHER ID	(13A) ZIP CODE	(14A) PAYMENT TYPE CODE	(15A) QUANTITY	(16A) FEE DUE FOR PAYMENT TYPE CODE IN BLOCK 14
				\$

(17A) FCC CODE 1 (18A) FCC CODE 2

(19A) ADDRESS LINE NO. 1 (20A) ADDRESS LINE NO. 2 (21A) CITY/STATE OR COUNTRY CODE

ITEM #2 INFORMATION

(11B) NAME OF APPLICANT, LICENSEE, REGULATEE, OR DEBTOR	FCC USE ONLY
---	--------------

(12B) FCC CALL SIGN/OTHER ID	(13B) ZIP CODE	(14B) PAYMENT TYPE CODE	(15B) QUANTITY	(16B) FEE DUE FOR PAYMENT TYPE CODE IN BLOCK 14
				\$

(17B) FCC CODE 1 (18B) FCC CODE 2

(19B) ADDRESS LINE NO. 1 (20B) ADDRESS LINE NO. 2 (21B) CITY/STATE OR COUNTRY CODE

CREDIT CARD PAYMENT INFORMATION

(22) MASTERCARD/VISA ACCOUNT NUMBER: Mastercard Visa

EXPIRATION DATE: Month Year

(23) I hereby authorize the FCC to charge my VISA or Mastercard for the service(s)/authorization(s) herein describe.

AUTHORIZED SIGNATURE _____ DATE _____

**NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND THE PAPERWORK
REDUCTION ACT**

Section 9 of the Communications Act authorizes the FCC to request the information on this form. The information requested is required to recover costs incurred in carrying out its enforcement activities, policy and rulemaking activities, user information services, and international activities. The form will be used primarily to capture paper information in order to speed the refund process and maintain required accounts receivable information. It will also be used to collect fines and debts due the Commission.

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden to the Federal Communications Commission, Records Management Division, AMD-PIRS, Washington, DC 20554, and to the Office of Management and Budget, Office of Information and Regulatory Affairs, Paperwork Reduction Project (3060-0589), Washington, DC 20503.

ADVICE (CONTINUATION SHEET)

PAGE NO. _____ OF _____

ITEM # _____ INFORMATION				
FCC ACCOUNT # 		NAME OF APPLICANT, LICENSEE, REGULATEE, OR DEBTOR		FCC USE ONLY
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
				FEE DUE FOR PAYMENT TYPE CODE \$

ITEM # _____ INFORMATION				
FCC ACCOUNT # 		NAME OF APPLICANT, LICENSEE, REGULATEE, OR DEBTOR		FCC USE ONLY
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
				FEE DUE FOR PAYMENT TYPE CODE \$

ITEM # _____ INFORMATION				
FCC ACCOUNT # 		NAME OF APPLICANT, LICENSEE, REGULATEE, OR DEBTOR		FCC USE ONLY
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
				FEE DUE FOR PAYMENT TYPE CODE \$

ITEM # _____ INFORMATION				
FCC ACCOUNT # 		NAME OF APPLICANT, LICENSEE, REGULATEE, OR DEBTOR		FCC USE ONLY
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
FCC CALL SIGN/OTHER ID		ZIP CODE	PAYMENT TYPE CODE 	QUANTITY
FCC CODE 1		FCC CODE 2		
ADDRESS LINE NO. 1		ADDRESS LINE NO. 2)		CITY/STATE OR COUNTRY CODE
				FEE DUE FOR PAYMENT TYPE CODE \$

**FEDERAL COMMUNICATIONS COMMISSION
INSTRUCTIONS FOR USING FCC FORM 159 (REMITTANCE ADVICE)
AND FCC FORM 159-C (Continuation Sheet)**

FCC FORM 159 — FCC Remittance Advice Form

The FCC Form 159, "Remittance Advice" is a multi-purpose form that generally accompanies (see chart below for specific instructions) any payment to the Federal Communications Commission (e.g., Regulatory Fees, Processing Fees, Fines, Forfeitures, Freedom of Information Act (FOIA) Billings, or any other debt due to the FCC). The information on this form is collected to ensure credit for full payment, to expedite any refunds due and to service public inquiries.

What Form Do I File?

If you are:	Then:
Paying a Regulatory Fee to the Private Radio Bureau,	You do not need to submit FCC Remittance Advice, FCC Form 159. However, you must pay your regulatory fee along with your processing fee, at the time of renewal or at the time of original license application.
Paying a Processing Fee by money order or credit card to any FCC Bureau,	You must submit FCC Remittance Advice, FCC Form 159.
Paying a Processing Fee and paying for more than one action with a single payment,	You must submit FCC Remittance Advice, FCC Form 159.
Paying a Processing Fee for a service that does not require a specific FCC Form, (e.g. Request for Special Temporary Authority),	You must submit FCC Remittance Advice, FCC Form 159.
Paying a Processing Fee to the Private Radio Bureau for a service that requires FCC Form 155,	You must submit FCC Remittance Advice, FCC Form 159 instead of Form 155.
Paying a Regulatory Fee to any one of the Mass Media, Common Carrier or Cable Services Bureau,	You must submit FCC Remittance Advice, FCC Form 159.
Paying for Fines/Forfeitures, Freedom of Information Act Fees or any other debts.	All customers paying for any of these categories must submit a FCC Remittance Advice, FCC Form 159 and a copy of their notice or invoice to the appropriate lockbox. Please refer to the specific instructions accompanying your billing document.
Paying for an Auction,	You must submit FCC Remittance Advice, FCC Form 159. Consult the FCC's Public Notice for specific instructions.
Paying by wire transfer,	You must submit FCC Remittance Advice, FCC Form 159.
Paying by Western Union Quick Collect,	You must submit FCC Remittance Advice, FCC Form 159.

Specific Form Instructions

(1) **FCC Account No.** — This is a self-assigned personal identification number that consists of ten digits. You **must** use your taxpayer identification number (TIN) with a prefix of “0” (e.g., 0123456789). **Only if you do not have a TIN**, you may use your ten-digit telephone number (e.g., 3012224567). **There are no other options available to you to create your FCC Account No.** This number will eventually be all you will need to file an application with the FCC, so once you have determined your FCC account number you must be sure to use this same number every time you send a payment to the FCC.

(2) **Total Amount Paid** — Enter the total amount of your remittance.

(3) **Payor Name** — Enter the name of the person or company (i.e., maker of the check) responsible for payment. Enter an individual name (last, first, middle initial). If a company, enter the name which is used commercially. If paying by credit card, complete this section with the full name of the cardholder.

(4) **Street Address (Line 1)** — The street address or post office box number to which correspondence should be sent.

(5) **Street Address (Line 2)** — This line may be used if further identification of the address is required.

(6) **City** — The name of the city associated with the street address given in (4).

(7) **State** — If the payor has a United States mailing address enter the appropriate two-digit state abbreviation as prescribed by the U.S. Post Office. If the payor has a mailing address outside the United States, leave this section blank.

(8) **ZIP Code** — Enter the appropriate five or nine-digit ZIP code prescribed by the U.S. Post Office. If address is foreign, enter the appropriate ZIP (postal) code.

(9) **Daytime Telephone Number** — Enter the payor’s ten-digit daytime telephone number, including area code. For foreign telephone numbers include the appropriate country dialing access code, as if you were calling from the United States. [For example a United Kingdom number would have the prefix (011-44) followed by the number within the UK.] This daytime telephone number should tell us where you can be reached during normal business hours if necessary. If we cannot reach you at this number during normal business hours to resolve a problem, your filing may be returned.

(10) **Country Code** — This section is for those payors who have an address outside the United States of America. Enter the appropriate code here. To obtain country code information contact the Mailing Requirements Dept. of the U.S. Postal Service.

Read this before proceeding — IT MAY SAVE YOU TIME

If the Applicant, Licensee, Regulatee or Debtor is the same as the Payor, it is not necessary to reenter your name and address in blocks 11, 13, 19, 20, & 21. However, you must complete all information in blocks 12, 14, 15, & 16. (FCC codes in blocks 17 & 18 will only be completed in special circumstances as described in a Public Notice or in your Fee Filing Guide).

(11) **Name of Applicant, Licensee, Regulatee or Debtor** — Enter the name (last, first, middle initial) as it appears on the original application or filing being submitted. If this is a company, enter name which is used commercially. Each unique applicant, licensee, regulatee or debtor must be listed separately if multiple applications or filings are submitted. If this name is the same as the payor, (block 3), it is not necessary to fill out this section.

(12) **FCC Call Sign/Other Identifier** — Enter an applicable call sign or unique FCC identifier, if any, as prescribed by the appropriate FCC Fee Filing Guide or Public Notice that applies to you.

(13) **ZIP Code** — It is not necessary to complete this section if the Payor, (block 3), is the same as the Applicant, Licensee, Regulatee or Debtor, (block 11). Enter the five or nine-digit ZIP code prescribed by the U.S. Post Office. If address is foreign, enter the appropriate country code here.

(14) **Payment Type Code** — This section tells us what you are paying for. Beginning with the first box, enter the correct 3 or 4 character alphabetic Payment Type Code. This code can be found in the FCC Fee Filing Guide or Public Notice appropriate to your payment. **Incorrect Payment Type Codes may result in your application or filing, if applicable, being returned to you without further processing.** You are allowed to file multiple actions. There are three ways “multiple actions” are defined. The following examples provide instructions on how multiple actions should be filed when using FCC Forms 159 & 159-C:

(i) If a single service allows for a quantity of more than one of the same action, as defined in the appropriate Fee Filing Guide or Public Notice, complete only blocks 12, 13, 14, 15 & 16. Only

enter your name and address if different than "Payor Name" (block 3). Blocks 17 & 18 are only to be completed when required by Public Notice.

(ii) If you are filing concurrent actions (not the same actions) in the same lockbox, on the same application, refer to the Fee Filing Guide or Public Notice for specific instructions as to the number of quantities allowed. Complete only blocks 12, 13, 14, 15, & 16. Complete a separate "Item Information" section for each additional action required. Only enter your name and address if different than the "Payor Name" (block 3). Blocks 17 & 18 are only to be completed when required by public notice.

(iii) If a single Remittance Advice is used to pay for more than one applicant, licensee, regulatee or debtor, and action to the same lockbox, then a separate "Item Information" section must be completed for each one. For each "Item Information" section all blocks must be completed, except Blocks 17 & 18 which are only to be completed when required by Public Notice. **Remember, if any of these applications fall into category (i) or (ii) above, you must follow those instructions as well.**

(15) **Quantity** — Enter the number of actions required with this submission. Refer to the FCC Fee Filing Guide or Public Notice for information concerning multiple requests.

(16) **Amount Due** — Enter the amount of the fee required for the Payment Type Code used in (14) above.

(17) **FCC Code 1** — This section is used for special filing codes as required by the Bureau/Office

you are filing your application with. Applicant will receive specific instructions from the Bureau/Office if this block is to be used. Do not complete this block unless instructed to do so.

(18) **FCC Code 2** — (See instructions for item 17).

(19, 20, 21) **Address** — If the same as Payor address, in blocks (4) and (5), leave blank. If multiple payment codes have been used for the same Applicant, Licensee, Regulatee or Debtor, only fill out this section one time. If different from Payor Address, in blocks (4) and (5), complete these lines with the appropriate street address.

(22) **Credit Card Data** — If remitting payment by credit card place an "x" in the appropriate block for the type of credit card being used — MasterCard or Visa only. Enter your credit card number and expiration date. **If any area required for credit card approval is incomplete, the application will be returned unprocessed.**

(23) **Authorized Signature** — Sign and date the Remittance Advice Form to authorize all credit card payments. **The action will not be processed if it is not signed and dated here.**

FCC Remittance Advice Continuation Sheet (FCC Form 159-C) — Use this form for any additional services pertaining to this filing.

Checks must be denominated in U.S. currency and deposited in a U.S. financial institution. No checks drawn on a foreign bank will be accepted.

Where Do I File?

If you are paying a:	Then:
Regulatory Fee or Processing Fee	Consult the specific FCC Bureau Fee Filing Guide (i.e., Common Carrier Bureau Fee Filing Guide, Private Radio Bureau Fee Filing Guide, Mass Media Bureau Fee Filing Guide, Cable Services Bureau Fee Filing Guide, Field Operations Bureau Fee Filing Guide, Office of Engineering and Technology Fee Filing Guide)
Fine or Forfeiture	Pay to the address designated on the notice or invoice you received
Freedom of Information Act Fee	Pay to the address designated on the invoice you received
Other Debts	Pay to the address designated in the correspondence you received

Note: Fee Filing Guides can be obtained by calling Forms Distribution — 202/632-FORM

**FEDERAL COMMUNICATIONS COMMISSION
BROADBAND PCS C BLOCK AUCTION**

**AUCTION SPECIFIC INSTRUCTIONS
FCC REMITTANCE ADVICE, FCC FORM 159**

UPFRONT PAYMENTS

The following instructions are specifically written for the C block auction, number "5". These instructions are intended as a supplement to the standard instructions issued by the FCC's Billings and Collections Branch, at telephone (202) 418-1995. Bidders should ensure that they complete the FCC Form 159 accurately, since mistakes may affect their bidding eligibility. Please note that it is vital that all forms, applications, correspondence, etc. submitted to the Commission by an applicant contain identical information necessary for verification purposes. To this end, appropriate references between the FCC Form 159 Remittance Advice and the FCC Form 175 Short Form Application have been provided below:

<u>Block Number</u>	<u>Required Information</u>
1	<u>FCC Account Number</u> - Same as FCC Form 175, block "7". This is a self-assigned ten-digit personal identification number. If you have a taxpayer identification number (TIN), you must add a zero (0) prefix and place number here (i.e. "0"123456789). If you do not have a TIN you may use your current ten-digit telephone number (including area code - i.e. 214 335 3456).
2	<u>Total Amount Paid</u> - Enter the total remittance based on the payment of \$0.015 times 30 MHz times total BTA(s) population (i.e. upfront payment on BTA markets with a total population of 2,569,562 is \$0.015 x 30 x 2,569,562 or <u>\$1,156,303.00</u>).
3	<u>Payor Name</u> - Same as FCC Form 175, block "1". Enter the full name of the person or company (i.e. maker of the check) responsible for payment.
4	<u>Street Address (Line 1)</u> - Same as FCC Form 175, block "2". The street address to which correspondence should be sent.
5	<u>Street Address (Line 2)</u> - Same as FCC Form 175, block "2". This line may be used if further identification of the address is required.

- 6 City - Same as FCC Form 175, block "3". The name of the city associated with the street address given in block (4).
- 7 State - Same as FCC Form 175, block "4". Enter the appropriate two-digit abbreviation here.
- 8 Zip Code - Same as FCC Form 175, block "5". Enter the appropriate five or nine-digit code here.
- 9 Daytime Telephone Number - Same as FCC Form 175, lower right hand corner of the page. Enter the "payor's" ten-digit telephone number here.
- 10 Country Code - Used for payors who have addresses outside the U.S. Proper codes may be obtained from the Mailing Requirements Dept. of the U.S. Postal Service.

NOTE - IF APPLICANT, LICENSEE, REGULATEE OR DEBTOR IS THE SAME AS THE PAYOR, DO NOT COMPLETE BLOCKS 11, 13, 18, 19, 20, & 21. THIS AUCTION DOES NOT INVOLVE MULTIPLE APPLICATIONS OR FILINGS, SO WE ARE ONLY CONCERNED WITH THE REMAINING BLOCKS 12A, 14A, 15A, 16A & 17A.

ITEM # "1" INFORMATION

- 12A FCC Call Sign/Other Identifier - Leave blank.
- 14A Payment Type Code - Enter " A C H U ".
- 15A Quantity - Enter the number "1".
- 16A Amount Due - Enter total upfront payment indicated in block (2).
- 17A FCC Code 1 - Enter the number "5".

NOTE - IN THE UPPER LEFT HAND CORNER OF THE FCC FORM 159 IS A RECTANGLE WITH THE WORD "(RESERVED)" TYPED IN THE MIDDLE OF IT. PLEASE ENTER THE NUMBER "358850" SOMEWHERE IN THIS RECTANGLE.

Downpayment & Installment Payment Information

Specific information regarding downpayments and installment payments will be included in the Public Notice (released at the conclusion of the auction) which announces the winning bidders.

