

# IQI 04, Seminar 10/11

Produced with pdflatex and xfig

- Search problems.
- Unstructured search.
- Grover's algorithm.
- Quantum counting.

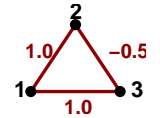
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# Examples of Decision Problems

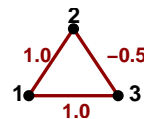
- **EXISTSBIT.**  
Input: Bitstring  $b$ .  
Problem: Does  $b$  have a 1?  
Example:  $b = 0010100$ . Answer: "yes".
- **BELOWISING.**  
Input: Coupling network  $\{J_{i,j}\}$  for  $n$  two-level systems, energy  $E$ .  
Problem: Is there a configuration  $\mathbf{b} = b_1b_2 \dots b_n$  with energy  $\sum_{i,j} J_{i,j}(-1)^{b_i}(-1)^{b_j} < E$ ?  
Example:  $n = 3, J_{1,2} = J_{1,3} = 1, J_{2,3} = -0.5, E = -3$ . Answer: No.
- **BETTERREVNET.**  
Input:  $n$ -gate  $c^2$ not network  $\mathcal{N}$  on  $k$  bits.  
Problem: Is there a smaller network implementing the same function as  $\mathcal{N}$ ?
- **WINCHECKERS.**  
Input: A "checkers" position on an  $n \times n$  gameboard.  
Problem: Does "black" have a winning strategy?



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# Examples of Search Problems

- **BITSEARCH.**  
Input: Bitstring  $b$ .  
Problem: Find the position of a 1 in  $b$  if there is a 1 in  $b$ .  
Example:  $b = 0010100$ . Solution: 3 or 5.  
Input complexity:  $|b| = \text{bitlength}(b)$ .
- **MINISING.**  
Input: Coupling network  $\{J_{i,j}\}$  for  $n$  two-level systems.  
Problem: Find a configuration  $\mathbf{b} = b_1b_2 \dots b_n$  that minimizes the energy  $\sum_{i,j} J_{i,j}(-1)^{b_i}(-1)^{b_j}$ .  
Example:  $n = 3, J_{1,2} = J_{1,3} = 1, J_{2,3} = -0.5$ . Solution: 100 or 011.  
Input complexity:  $\sum_{i,j} \text{bitlength}(J_{i,j})$ .
- **OPTREVNET.**  
Input:  $n$ -gate  $c^2$ not network  $\mathcal{N}$  on  $k$  bits.  
Problem: Find the smallest  $c^2$ not network that implements the same function as  $\mathcal{N}$ .  
Input complexity:  $\text{bitlength}(\mathcal{N})$ .
- **CHECKERSMOVE.**  
Input: A "checkers" position on an  $n \times n$  gameboard.  
Problem: Find a winning move for "black", if such a move exists.  
Input complexity:  $n^2$ .



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# Decision Problems in P, NP

- A *Decision problem or language* is a relation  $R(x, y, \dots)$  of one or more strings.  
Examples:
  - $\text{EXISTSBIT}(x) = [x \text{ has a } 1]$ .
  - $\text{BELOWISING}(x, y) = [x \text{ encodes } \{J_{i,j}\}, E. y \text{ encodes a configuration with energy } \leq E.]$
- $R(x, y, \dots)$  is *polynomial time* (is in **P**) if for some  $k$  there exists a deterministic classical algorithm that computes  $R(x, y, \dots)$  in time  $\leq \text{bitlength}(x, y, \dots)^k$ .  
Examples:  $\text{EXISTSBIT}(x)$  and  $\text{BELOWISING}(x, y)$  are in **P**.
- $R(x)$  is *non-deterministic polynomial time* (is in **NP**) if for some  $k$  and  $Q(x, y)$  in **P**,  $R(x) = \exists y (|y| \leq |x|^k \text{ and } Q(x, y))$ .  
Examples:
  - $\text{BELOWISING}(x) = \exists y \text{BELOWISING}(x, y)$ .
  - $\text{NONPRIME}(x) = \exists y [1 < y < x \text{ and } x = z * y]$ .



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## NP Completeness and Hardness

- $S$  is **NP hard** if for every  $Q$  in **NP**,  $Q$  is in  $P^S$ .  
Def.:  $P^S$  means “polynomial time given an oracle for  $S$ ”.
- $S$  is **NP easy** if for some  $Q$  in **NP**,  $S$  is in  $P^Q$ .
  - Note:  $[R \text{ is NP complete}] \not\Rightarrow [R \text{ is NP hard and NP easy}]$ .
- **MINISING** and **BELOWISING** are **NP hard** and **NP easy**.  
... **BELOWISING** is **NP complete**.
- **BETTERREVN**ET may not be **NP easy**.
- **WINCHECKERS** is “**PSPACE complete**”, hence not expected to be **NP easy**.

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## Classical Algorithms for Unstructured Search

- **Deterministic search.**  
DETSEARCH(BB)  
Input:  $BB : \{0, \dots, 2^n - 1\} \rightarrow \{0, 1\}$   
Output:  $x$  such that  $BB(x) = 1$  or “no” if no such  $x$  exists.  
for  $x = 0$  to  $x = 2^n - 1$   
if  $BB(x) = 1$  then return  $x$   
end  
return “no”
    - Worst-case number of queries is  $2^n$ .
  - **Probabilistic search.**  
PROBSEARCH(BB)  
Input:  $BB : \{0, \dots, 2^n - 1\} \rightarrow \{0, 1\}$   
Output:  $x$  such that  $BB(x) = 1$  or “no” if no such  $x$  exists.  
repeat  
   $x \leftarrow \text{RAND}([2^n] \setminus X); X \leftarrow X \cup \{x\}$   
until  $BB(x) = 1$  or  $X = [2^n]$   
if  $BB(x) = 1$  then return  $x$  else return “no”
    - If a solution exists, expected number of queries  $\leq (2^n + 1)/2$ .
- egin

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## Unstructured Search

- **BBSEARCH.**  $x \in \{s \mid |s| \leq m\}$   
Given: “Black Box” function  $BB(x) \in \{0, 1\}$ .  
Problem: Find an  $x$  such that  $BB(x) = 1$  if such an  $x$  exists.
  - **Examples:**
    - To solve **BELOWISING** using an algorithm  $\mathcal{A}(m, BB)$  for **BBSEARCH**, let  $BB_{\{J_{i,j}\}, E}(C) = 1$  if and only if  $C$  is a configuration with energy below  $E$ . Use  $\mathcal{A}(n, BB_{\{J_{i,j}\}, E})$ .
    - Any problem  $\exists y (|y| \leq |x|^k \text{ and } R(x, y))$  in **NP** can be solved for a given  $x$  by using  $\mathcal{A}$  with  $m = |x|^k$ ,  $BB_x(y) = R(x, y)$ .
- Unstructured:* Does not use prior knowledge about the internals of BB.
- **qBBSEARCH.**  
Given: “Black Box” operator  $qBB|x\rangle|a\rangle = |x\rangle|a + BB(x)\rangle$ .  
Problem: Find an  $x$  such that  $BB(x) = 1$  if such an  $x$  exists.  
...  $x$  and  $a$  are restricted to  $x \in \{0, \dots, N\}$ ,  $a \in \{0, 1\}$ .

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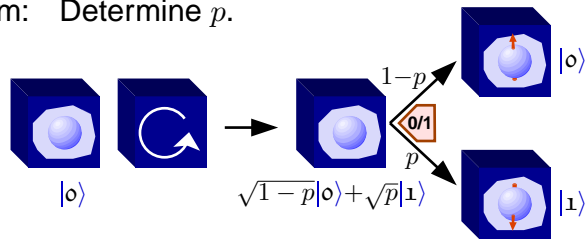
## Probabilities versus Quantum Amplitudes

- Given: Box with bit.  
A shake flips the bit with probability  $p = 0$  or  $p = \epsilon$ .  
Problem: Determine  $p$ .
- 
- Shake  $n$  times: Prob. of  $\geq 1$  flip is  $1 - (1 - p)^n \simeq np$  for  $n \ll 1/p$ .

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## Probabilities versus Quantum Amplitudes

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- Shake  $n$  times: Prob. of  $\geq 1$  flip is  $1 - (1 - p)^n \simeq np$  for  $n \ll 1/p$ .
- Given: Box with qubit.  
A turn applies  $Y_{2 \arcsin(\sqrt{p})}$ ,  $p = 0$  or  $p = \epsilon$ .  
Problem: Determine  $p$ .



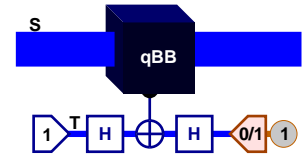
- Turn  $n$  times:  $|0\rangle \rightarrow \cos(n \arcsin(\sqrt{p}))|0\rangle + \sin(n \arcsin(\sqrt{p}))|1\rangle$ .  
Prob. of detecting  $|1\rangle$  is  $\simeq n^2 p$  for  $n^2 \ll 1/p$ .

## Grover's Algorithm: States

- Given:  $q$ BB such that  $x \in \{1, \dots, N\}, b \in \{0, 1\}$   
 $qBB|x\rangle|b\rangle_T = |x\rangle|b+[x=u]\rangle_T$  with  $u$  unknown.

Problem: Determine  $u$ .

- Use phase-kickback to construct  $zBB|x\rangle = (-1)^{[x=u]}|x\rangle$ .



- Idea:  
Apply  $zBB$  in quantum parallel, amplify the amplitude of  $|u\rangle$ .
- How can one "rotate" from  $\frac{1}{\sqrt{N}} \sum_x |x\rangle$  to  $|u\rangle$ ?

## Probabilities versus Quantum Amplitudes

- Given: Box with bit.  
A shake flips the bit with probability  $p = 0$  or  $p = \epsilon$ .  
Problem: Determine  $p$ .
- Shake  $n$  times: Prob. of  $\geq 1$  flip is  $1 - (1 - p)^n \simeq np$  for  $n \ll 1/p$ .
- Given: Box with qubit.  
A turn applies  $Y_{2 \arcsin(\sqrt{p})}$ ,  $p = 0$  or  $p = \epsilon$ .  
Problem: Determine  $p$ .
- Turn  $n$  times:  $|0\rangle \rightarrow \cos(n \arcsin(\sqrt{p}))|0\rangle + \sin(n \arcsin(\sqrt{p}))|1\rangle$ .  
Prob. of detecting  $|1\rangle$  is  $\simeq n^2 p$  for  $n^2 \ll 1/p$ .

- Complexity. Probabilistically:  $\Omega(1/p)$ .  
Quantumly:  $\Omega(1/\sqrt{p})$ .

## Grover's Algorithm: Rotations

- Rotate from  $|\psi\rangle = \frac{1}{\sqrt{N}} \sum_x |x\rangle$  to  $|u\rangle$ .

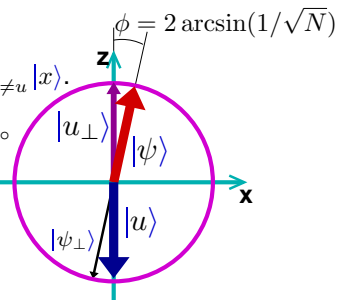
Consider the 2-d subspace  $Q$  spanned by  $|\psi\rangle$  and  $|u\rangle$ .

- Overlap:  $\langle u|\psi\rangle = \frac{1}{\sqrt{N}}$ .
- Bloch sphere picture:

Example:  $N = 3, |u\rangle = |2\rangle$ .

$$|\psi\rangle = \frac{1}{\sqrt{3}}(|0\rangle + |1\rangle + |2\rangle), \quad \langle u|\psi\rangle = \frac{1}{\sqrt{3}}, \quad \phi = 70.53^\circ$$

$$|u_\perp\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle), \quad |\psi_\perp\rangle = \frac{1}{\sqrt{6}}(|0\rangle + |1\rangle - 2|2\rangle)$$



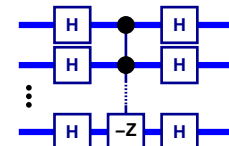
- Operators that leave  $Q$  invariant:

- $zBB$ . Acts as  $Z_{180^\circ}$ .
- $180^\circ$  rotation about  $|\psi\rangle$ :

$$HZH|\psi\rangle \rightarrow -|\psi\rangle$$

$$HZH|\psi_\perp\rangle \rightarrow |\psi_\perp\rangle \text{ if } \langle \psi|\psi_\perp\rangle = 0.$$

Qubit implementation of  $HZH$ :



## Grover's Algorithm

- Bloch sphere picture.

Example:  $N = 3$ ,  $|u\rangle = |2\rangle$ .

$$|\psi\rangle = \frac{1}{\sqrt{3}}(|0\rangle + |1\rangle + |2\rangle), \langle u|\psi\rangle = \frac{1}{\sqrt{3}}, \phi = 70.53^\circ$$

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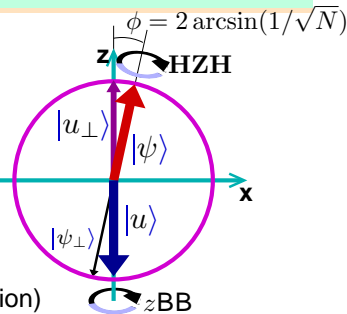
Effect of  $z\text{BB.HZH}$  in Bloch sphere:

$$\begin{aligned} \hat{y} &\xrightarrow{z\text{BB}} -\hat{y} \xrightarrow{\text{HZH}} \hat{y} && \text{(it is a } y\text{-rotation)} \\ \hat{z} &\xrightarrow{z\text{BB}} \hat{z} \xrightarrow{\text{HZH}} \begin{cases} \cos(4 \arcsin(1/\sqrt{N}))\hat{z} \\ + \sin(4 \arcsin(1/\sqrt{N}))\hat{x} \end{cases} && (\dots \text{ by } 4 \arcsin(1/\sqrt{N})) \end{aligned}$$

- Grover's algorithm:

- Prepare  $|\psi\rangle$ .
- $(z\text{BB.HZH})^{(\pi - 2 \arcsin(1/\sqrt{N})) / (4 \arcsin(1/\sqrt{N}))}$
- Measure logical basis. ... repeat, if necessary.

- Complexity:  $\approx \pi\sqrt{N}/4$ .



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## Unstructured Quantum Search

- Given: BB such that  $\text{BB}|x\rangle_S|b\rangle_T = |x\rangle_S|b + [x \in U]\rangle_T$ ,  $|U| = k$ .  
Problem: Find an element of  $U$ .

- Algorithm.

- Construct  $z\text{BB} : |x\rangle \mapsto (-1)^{[x \in U]}|x\rangle$  by phase kickback.  
-  $z\text{BB}$  and  $\text{HZH}$  preserve  $\text{span}(|U\rangle = \frac{1}{\sqrt{k}} \sum_{x \in U} |x\rangle, |\psi\rangle)$ .  
 $\phi = 2 \arcsin(\sqrt{k}/\sqrt{N})$

- Prepare  $|\psi\rangle$

- $(z\text{BB.HZH})^{(\pi - 2 \arcsin(\sqrt{k}/\sqrt{N})) / (4 \arcsin(\sqrt{k}/\sqrt{N}))}$

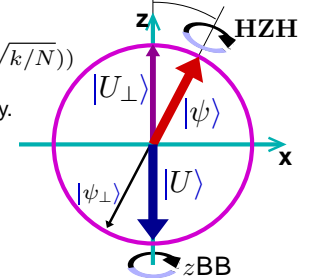
- Measure logical basis. ... repeat, if necessary.

- Complexity:  $\approx \pi\sqrt{N}/4$ .

- If  $k$  is unknown: Binary search on  $k$ .

Try  $k=N/2, k=N/4, k=N/8, \dots$

Check solutions.



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## Quantum Database Search?

- An  $N$ -entry unstructured database is ...  
 $N$  items  $D(i)$  stored at classical memory locations  $1, \dots, N$ .
- A generic query: "Return an index  $i$  such that  $Q(D(i)) = 1$ .  
 $Q(\cdot)$  is a subroutine provided with the query.
- Classical complexity for unique answers. (... sequential)  
Complexity of  $Q(\cdot)$ :  $q$ . Item access complexity:  $a$ .  
- On average, half the items must be accessed.  
- The query function is executed for each item accessed.  
- Total complexity:  $O(N(a + q)/2)$ .
- Quantum complexity with Grover's algorithm. (... sequential)  
Complexity of reversible  $Q(\cdot)$ :  $\tilde{q}$ . Q. access complexity:  $\tilde{a}$ .  
- All items are accessed twice for each use of reversible  $Q$ .  
-  $Q$  may have to be reversibly computed twice in each iteration.  
- Total complexity:  $\Omega(\sqrt{N}(2N\tilde{a} + \tilde{q}))$ .  
Grover can beat classical only if  $q \gg N^{1/2}\tilde{a}$ .

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## Quantum Counting

"implementable as a quantum controlled operation"

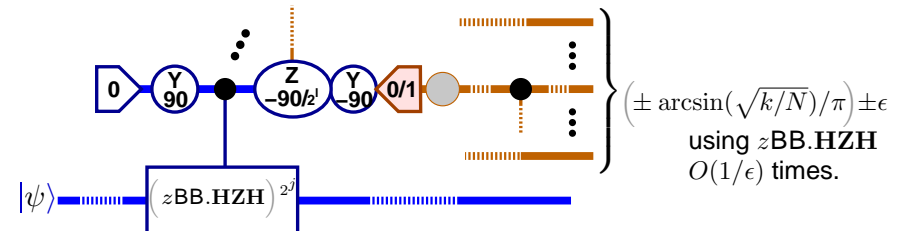
- Given: (c-)BB such that  $\text{BB}|x\rangle_S|b\rangle_T = |x\rangle_S|b + [x \in U]\rangle_T$ .  
Problem: Determine  $|U|/N$  to within  $\epsilon$ . ... let  $k = |U|$ .

- A Grover iterate  $z\text{BB.HZH}$  is a Bloch-sphere rotation by  $4 \arcsin(\sqrt{k}/\sqrt{N})$  in the 2-d space containing  $|\psi\rangle$  and  $|U\rangle$ .

- Idea: Measure an eigenvalue of  $z\text{BB.HZH}$ .

The eigenvalues are

$$-e^{\pm 2 \arcsin(\sqrt{k}/\sqrt{N})i} = -(\sqrt{(N-k)/N}) \pm i\sqrt{k/N}$$



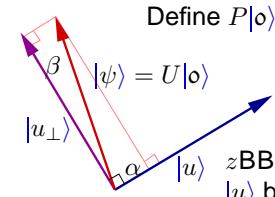
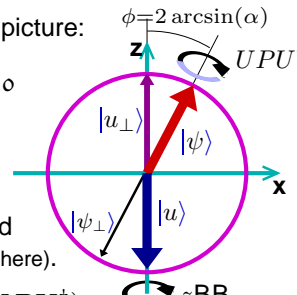
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## Quantum versus Classical Counting

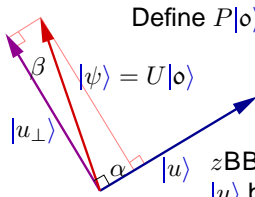
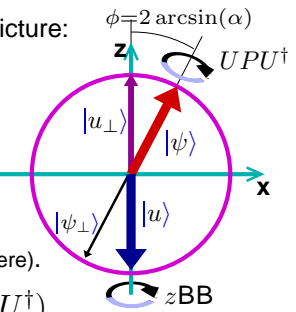
Let  $u = |U|/N$ .

- Quantum: Given: (c-)BB such that  $\text{BB}|x\rangle|b\rangle_T = |x\rangle|b+[x \in U]\rangle_T$ .  
Problem: Determine  $u$  to within  $\epsilon$ .
  - $\frac{d}{dt} \arcsin(\sqrt{t}) = \frac{1}{2\sqrt{t(1-t)}} \geq 1$ .
  - Determine  $\arcsin(\sqrt{u})$  within  $\delta = \epsilon/(2\sqrt{(u+\epsilon)(1-u+\epsilon)})$ .
  - Effort required:  $O(\sqrt{(u+\epsilon)(1-u+\epsilon)}/\epsilon)$  uses of  $z\text{BB.HZH}$ .
- Classical: Given: BB such that  $\text{BB}(x) = [x \in U]$ .  
Problem: Determine  $u$  to within  $\epsilon$ .
  - Randomly choose  $l$  distinct elements.  $r$  is the fraction in  $U$ .
  - $\langle r \rangle = u$ ,  $\text{std}(r) = \sqrt{u(1-u)(1-\frac{l-1}{n-1})/l}$ .
  - So set  $l > u(1-u)(1-\frac{l-1}{n-1})/\epsilon^2 \approx u(1-u)/\epsilon^2$  for  $l \ll n$ .
  - Effort required:  $l = O(u(1-u)/\epsilon^2)$  for  $l \ll n, u > 0$ .
- With these methods: Quantum counting is quadratically more efficient than classical probabilistic counting.

## Algorithms for Amplitude

- Given:  $z\text{BB}$  with eigenvalues in  $\{-1, +1\}$  and  $U$  such that  $U|o\rangle$  is not in the  $+1$  eigenspace of  $z\text{BB}$ .  
Problem: Prepare a state in the  $-1$  eigenspace of  $z\text{BB}$ .
  - Write  $U|o\rangle = \alpha|u\rangle + \beta|u_\perp\rangle$ ,  
with  $z\text{BB}|u\rangle = -|u\rangle$ ,  $z\text{BB}|u_\perp\rangle = |u_\perp\rangle$ ,  $\alpha, \beta$  non-negative real.
- Hilbert space picture:  Define  $P|o\rangle = -|o\rangle$ ,  $P|b\rangle = |b\rangle$  for  $b \neq o$   
 $UPU^\dagger|\psi\rangle = -|\psi\rangle$   
 $UPU^\dagger|\psi_\perp\rangle = |\psi_\perp\rangle$
- Bloch sphere picture:   $\phi = 2 \arcsin(\alpha)$   
 $UPU^\dagger$  rotates  $|\psi\rangle$  toward  $|u\rangle$  by  $4 \arcsin(\alpha)$  (in the Bloch sphere).  
 $z\text{BB}$  rotates  $|\psi\rangle$  toward  $|u\rangle$  by  $2 \arcsin(\alpha)$ .
- “Estimate” overlap of  $|\psi\rangle$  with  $|u\rangle$  by  $z\text{BB} \cdot (UPU^\dagger)$ .  
Measure an eigenv. of  $z\text{BB} \cdot (UPU^\dagger)$  on  $|\psi\rangle$ , get  $\arcsin(\alpha) \pm \epsilon$ .

## Algorithms for Amplitude

- Given:  $z\text{BB}$  with eigenvalues in  $\{-1, +1\}$  and  $U$  such that  $U|o\rangle$  is not in the  $+1$  eigenspace of  $z\text{BB}$ .  
Problem: Prepare a state in the  $-1$  eigenspace of  $z\text{BB}$ .
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- “Amplify” overlap of  $|\psi\rangle$  with  $|u\rangle$  by  $z\text{BB} \cdot (UPU^\dagger)$ .  
 $(z\text{BB} \cdot (UPU^\dagger))^{\pi/(4 \arcsin(\alpha)) - 1/2} |\psi\rangle$  to come closest to  $|u\rangle$ .

## Quantum Summing

- Given: Alg. for  $f : \{0, \dots, N=2^{n-1}\} \rightarrow \{0, \dots, M=2^{m-1}\}$   
Problem: Determine  $\langle f \rangle = \frac{1}{N} \sum_x f(x)$  with error less than  $e$ .
  - Classical probabilistic algorithm.
    - Choose  $k$  random, distinct inputs  $x_1, \dots, x_k$ .
    - Compute  $E_k = \frac{1}{k} \sum_j f(x_j)$ .
- Properties:  $\langle E_k \rangle = \langle f \rangle$   
 $\text{std}(E_k) \leq \sqrt{\langle f^2 \rangle - \langle f \rangle^2} / \sqrt{k}$
- Applications.
    - Numerical integration in many dimensions.
    - Monte Carlo path integration.
  - Goal. Double the number of digits of precision for similar effort.

# Quantum Summing

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 Problem: Determine  $\langle f \rangle = \frac{1}{N} \sum_x f(x)$  with error less than  $e$ .

- Classical probabilistic algorithm.  $\text{std}(E_k) \leq \sqrt{\langle f^2 \rangle - \langle f \rangle^2} / \sqrt{k}$

- Quantum algorithm: Direct amplitude estimation.

$$z\text{BB}|x\rangle|b\rangle = (-1)^b|x\rangle|b\rangle$$

$$|\psi\rangle = \frac{1}{\sqrt{N}} \sum_x |x\rangle \left( \sqrt{f(x)/M}|1\rangle + \sqrt{1-f(x)/M}|0\rangle \right) = U_f|0\rangle|0\rangle$$

$$|u\rangle = \frac{1}{\sqrt{N}} \sum_x |x\rangle \sqrt{f(x)/M}|1\rangle, |u_\perp\rangle = \frac{1}{\sqrt{N}} \sum_x |x\rangle \sqrt{1-f(x)/M}|0\rangle$$

$$\alpha = \langle u|U_f|0\rangle|0\rangle = \frac{1}{N} \sum_x f(x)/M$$

Use amplitude estimation to obtain  $M\alpha = \langle f \rangle$  with error  $e$ .

Error with  $k$  uses of cond.  $z\text{BB}.(U_f P U_f^\dagger)$ :  $O(M\sqrt{1-(\alpha+\epsilon)^2}/k)$ .

- Better than classical if  $\sqrt{\langle f^2 \rangle - \langle f \rangle^2} \gg M/\sqrt{k}$ .

# References

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