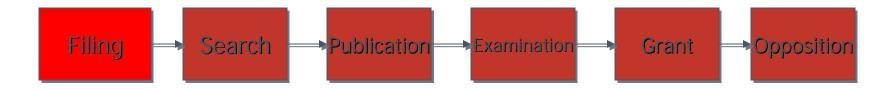


# Life of a File

Lisbon, 20 March 2007







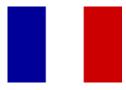
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# **Filing**

Art 14(1): EPO official languages







- language of contracting state
  - own language
  - language of state of residence
  - translation within 3 months of filing date
- Where: EPO Münich, EPO The Hague, EPO Berlin, online

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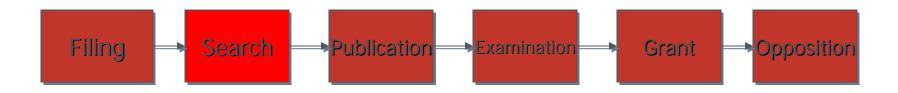
# Contents of EP Patent Application Art. 78 (1) EPC

- Request for grant
- Description of the invention
  - Disclosure of prior art
  - Detailed description
- Claims
- Drawings
- Abstract

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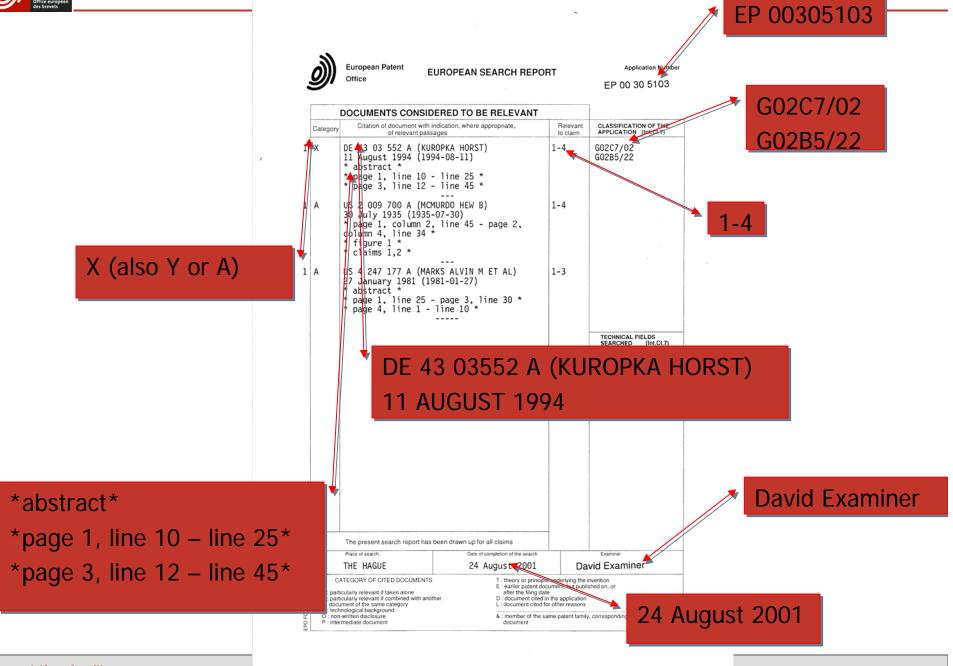


#### **Search Phase**

- Aim of the search:
  - Find the most relevant state of the art
- Source of information: internal, external databases, Internet etc.
- Carried out by an examiner (Search Examiner)
- (Extended European) Search report drafted
  - including relevant documents found, or
    - a Rule 45 EPC declaration
  - non-binding opinion (from 1/7/2003 for European first filings)

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Life of a file

03813346

1504

2006-03-06



#### DECLARATION

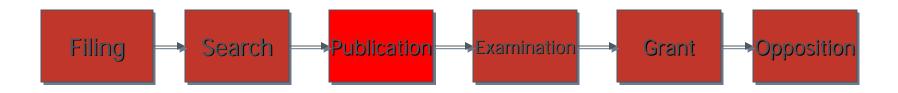
Application Number

which under Rule 45 of the European Patent Convention EP 03 81 3346 shall be considered, for the purposes of subsequent proceedings, as the European search report

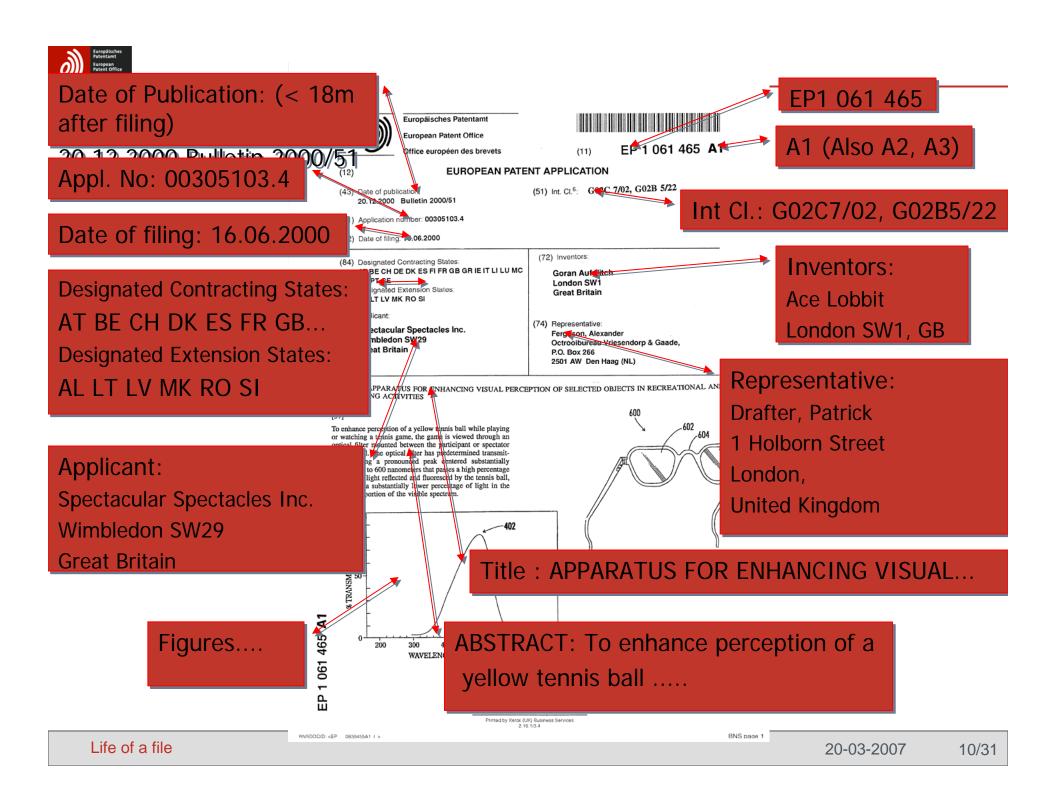
The Search Division considers that the present application, does not comply with the provisions of the EPC to such an extent that it is not possible to carry out a meaningful search into the		1
state of the art on the basis of all claims		G06F17/60
The claims of the application formulated to merely specificatures relating to mat patentability under Art. and its technological im to the attendant lack of technical definition, the	cify commonplace ter excluded from 52(2) and (3) EPC plementation. Due resolution of	
could not establish a te addressed in order to be a meaningful search into art (Rule 45 EPC). See a Part B Chapter VIII. Acc has been carried out.	ichnical problem able to carry out the state of the also Guidelines cordingly no search	
The applicant's attention fact that a search may be during examination follow of no search under Rule problems which led to the being issued be overcome Guideline C-VI, 8.5).	pe carried out owing a declaration 45 EPC, should the he declaration	
Flage of ecorch	. Date	Examiner
The Hague	27 February 2006	FERNANDEZ FERREIRA

Life of a file

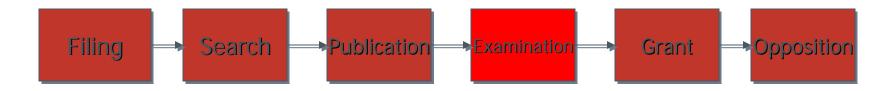




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#### **Examination: Examining Division**

#### First Examiner

- analyses application
- writes communications and answers replies
- recommends grant or refusal or call to oral proceedings

#### Second Examiner

- Checks votum or refusal or call to oral proceedings
- Checks form of final texts for grant /refusal
- Minute writer in Oral Proceedings

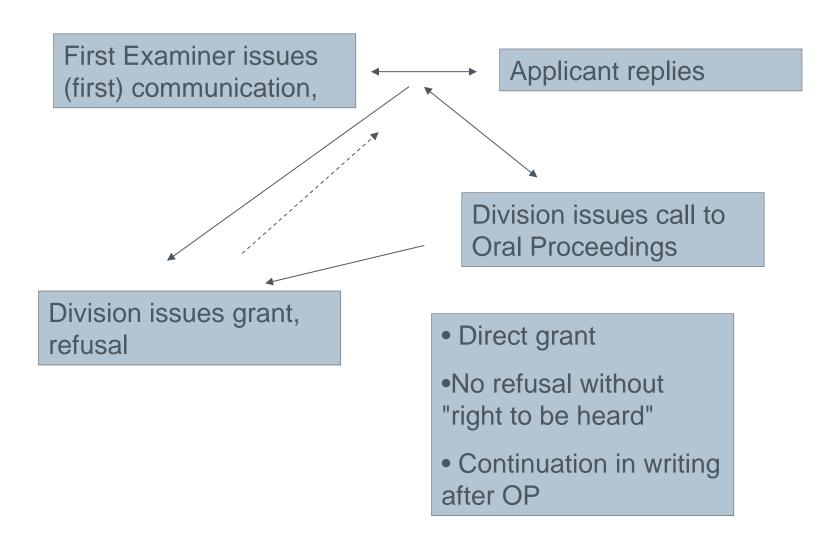
#### Chairperson

- Checks legal and technical reasoning of votum/refusal/call for oral proceedings
- Leads the Oral proceedings
- Decision by majority

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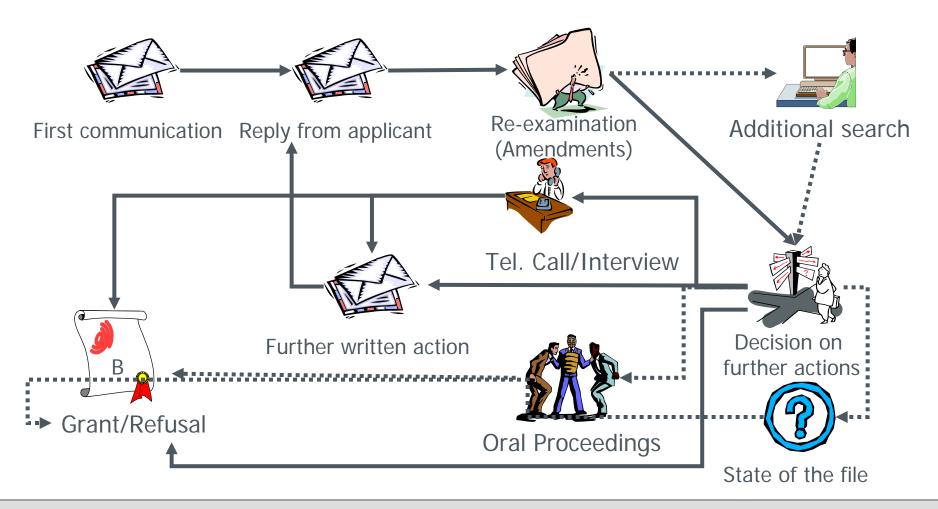
# **Examination (cont.)**



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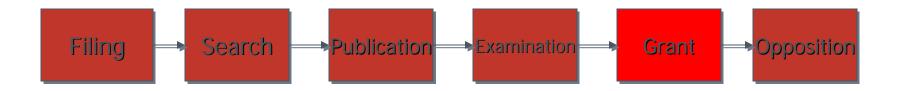


# **Examination (detailed)**



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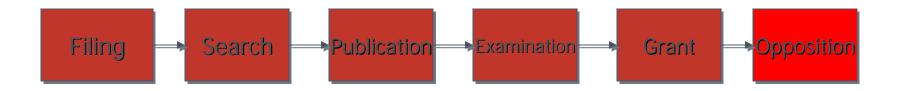


# Grant Art. 97(2), Rule 51(4) EPC

- Approval of final text
- Fees for grant and printing
- Translation of claims in other two languages
- Before national offices
  - Translation of specification
  - appointment of representative
  - additional fees, etc.

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#### **Opposition**

- Opposition is a centralized EPO procedure for challenging the validity of a granted European Patent
- Art 99 EPC
  - grounds filed within 9 months of the mention of grant being published
  - It can be filed by any person except proprietor
- Why needed
  - allows introduction of disclosures not available to Examining Division (proprietary disclosures, prior use, etc)
  - Public can challenge EPO assessment of patentability (eg. Greenpeace opposition to "Edinburgh Patent" in 2000)

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#### **Opposition: Grounds**

- Art. 100 EPC
  - subject-matter not patentable
  - insufficiency or lack of clarity of disclosure
  - subject-matter of the patent extends beyond the contents of the application as filed

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# **Opposition (cont.)**

- Participants:
  - Opposition Division
  - Opponents
  - Patent proprietor
- Oppositions are adversarial:
  - the patent proprietor and the opponent battle it out amongst themselves
  - the Opposition Division arbitrates and takes the final decision

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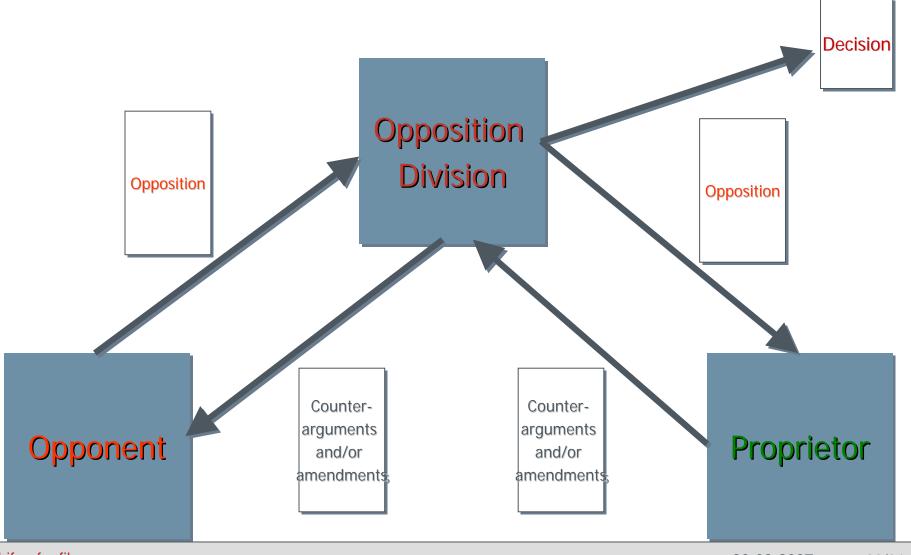
# **Opposition (cont.)**

- Opposition Division
  - 3 members
  - at least 2 did not take part in the Examination Proceedings usually first examiner plus two other technically qualified
- Opposition procedure
  - similar to examination
    - written procedure
    - eventually end: Oral Proceedings

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# Opposition: Procedure Art. 101(2) EPC



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# **Opposition: Outcome**

- Revoke patent in its entirety
  - patent no longer exists
- Maintain patent in amended form
  - claims restricted
- Maintain patent in unamended form
  - claims as granted

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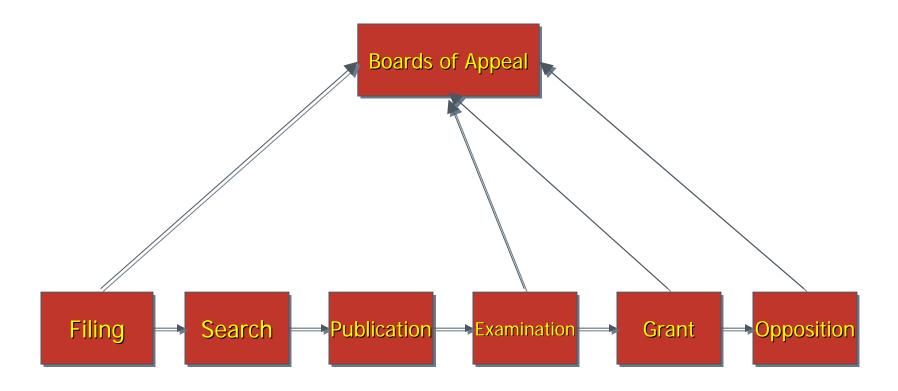
# **Opposition: Advantages**

- Patent is revoked for all designated states
- Cheap compared to proceedings before national courts (613 Euros)
- Possibility of appeal
- 2634 oppositions filed in year 2003
  - only 4,3 % of granted patents opposed

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# **Applealable Decisions**



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### **Appeal Procedures Arts 106-111 EPC**

- From decisions of
  - Receiving section
  - Examining division
  - Opposition division
- Any party adversely affected
- Examination of Appeal
  - Suspensive effect
- Decision

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#### **Appeals: Boards**

- Legal Board
  - Appeals relating to legal matters e.g. refund of fees
- Technical Board
  - Technical matters in examination e.g. refusal for lack of novelty
  - Decisions not binding for other similar cases
- Enlarged Board of Appeals
  - special matters

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#### **Boards of Appeal: Outcome**

First instance decision upheld

- First instance decision rectified
  - Possible remittal to first instance with instructions

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#### **Enlarged Board of Appeal**

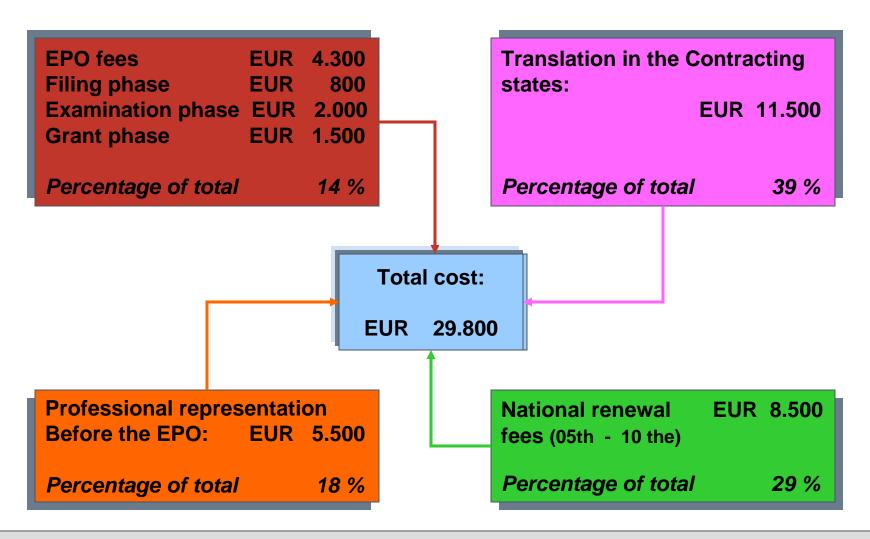
- Important legal questions from Boards of Appeal
  - During appeal proceedings
- Points of law referred by President
  - Conflicting decisions given by different Boards of Appeal
- Not a further instance
- Decisions are binding

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# Approximate Cost of an average European Patent (as at: 01.07.2003)

(8 states; 10-year term)



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# Thank you for your attention

More information

www.epo.org

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