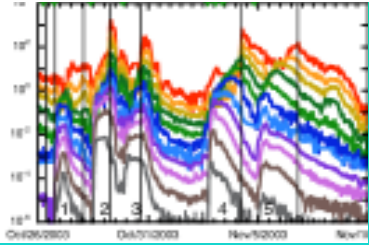


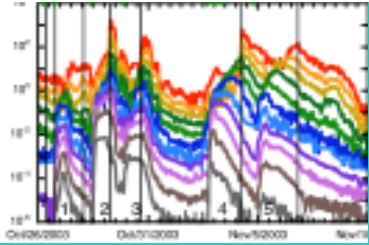
# Introduction to SEPs

Christina Cohen  
*Caltech*



# Outline

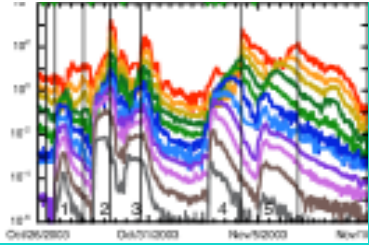
- What are SEPs?
  - › And why do we care?
- How are they measured?
  - › on the ground
  - › in space
- What is the SEP history?
  - › pre-1997
  - › post 1997
- What is new and exciting about SEPs?
  - › in my humble opinion.....



# What are SEPs?

- Solar Energetic Particles
  - › Solar = assumed to originate at the Sun
  - › Energetic = historically above a few hundred keV/nuc
  - › Particles = ions (mostly H, He like the Sun) + electrons
- Seen as increases in counting rates of ions (and/or electrons) of energies usually above 0.1 MeV/nucleon

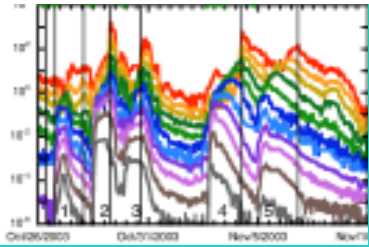
What    measure    history (old/new)    excitement



# Why do we care about SEPs?

- A sample of the Sun
  - › one of the most accurately measured solar samples
  - › if we can just figure out the details of creating them and getting them here
- Earth effects
  - › energetic particles hitting the Earth's atmosphere excite atoms and create aurora

What    measure    history (old/new)    excitement

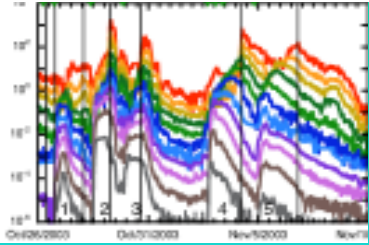


# Aurora Examples

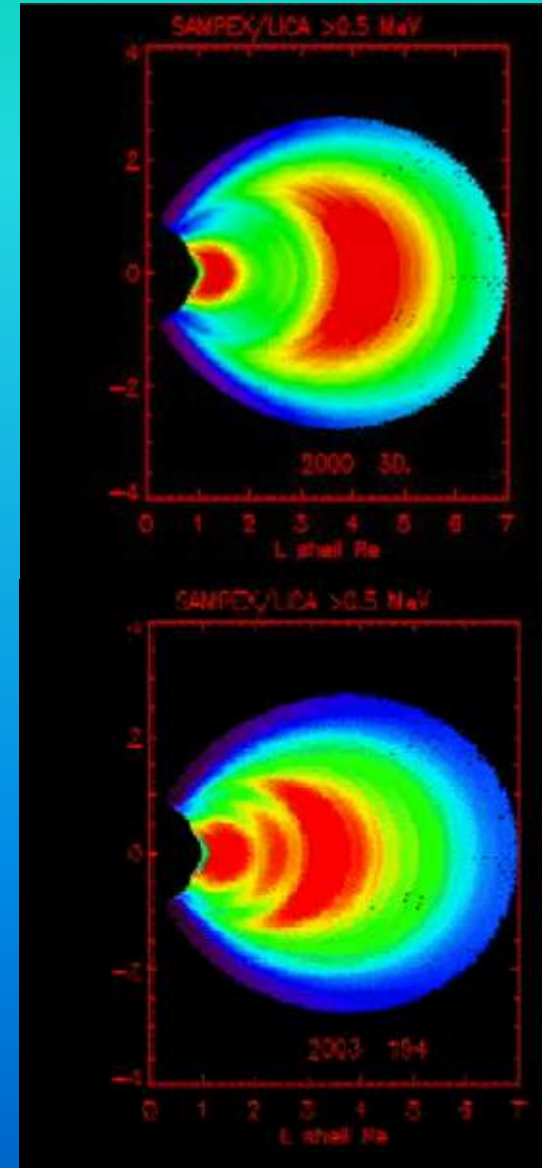
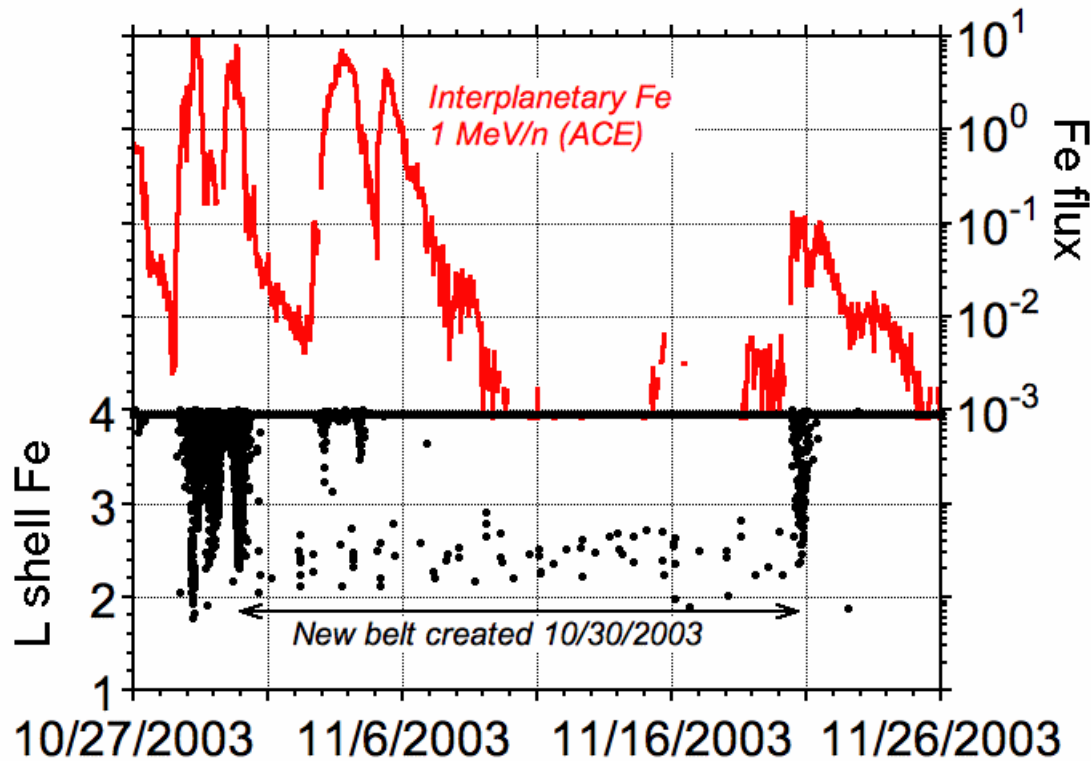
- energetic particles hitting the Earth's atmosphere excite atoms and create a



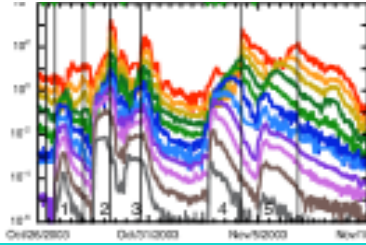
# Earth Radiation Belts



- Energetic particles are trapped in belts around the Earth
- Radiation hazard for Earth-orbiting spacecraft



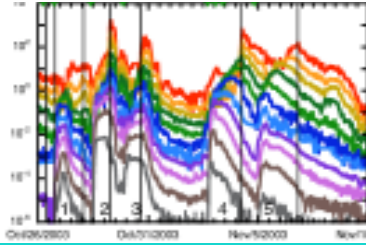
d/new) excitement



# Why do we care about SEPs?

- A sample of the Sun
  - › one of the most accurately measured solar samples
  - › if we can just figure out the details of creating them and getting them here
- Earth effects
  - › energetic particles hitting the Earth's atmosphere excite atoms and create aurora
  - › energetic particles contribute to the radiation belts
  - › part of geomagnetic storms which can cause black outs
    - change in Earth's magnetic field induces strong currents in power system
    - Hydro Quebec lost power grid for 9 hours in March 1989

What    measure    history (old/new)    excitement



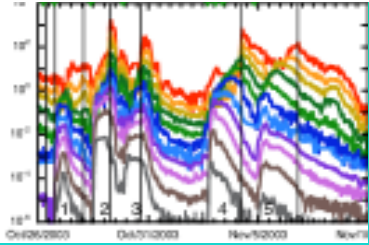
# Why do we care about SEPs?

- Spacecraft Effects

- › Loss of data
- › Spurious signals
  - False alarms, noise strobes, erroneous telemetry values
- › Phantom commands
  - For example gain changes and attitude sensor errors
- › Mission or sensor degradation
- › Solar array degradation
- › Safeholds
- › Latchups
- › Subsystem failure
  - Loss of a redundant system
- › Mission Loss

What measure history (old/new) excitement

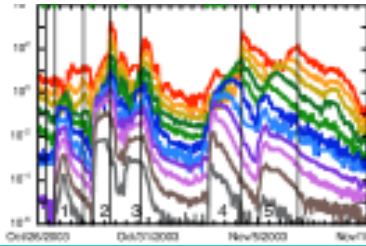




# How are SEPs Measured?

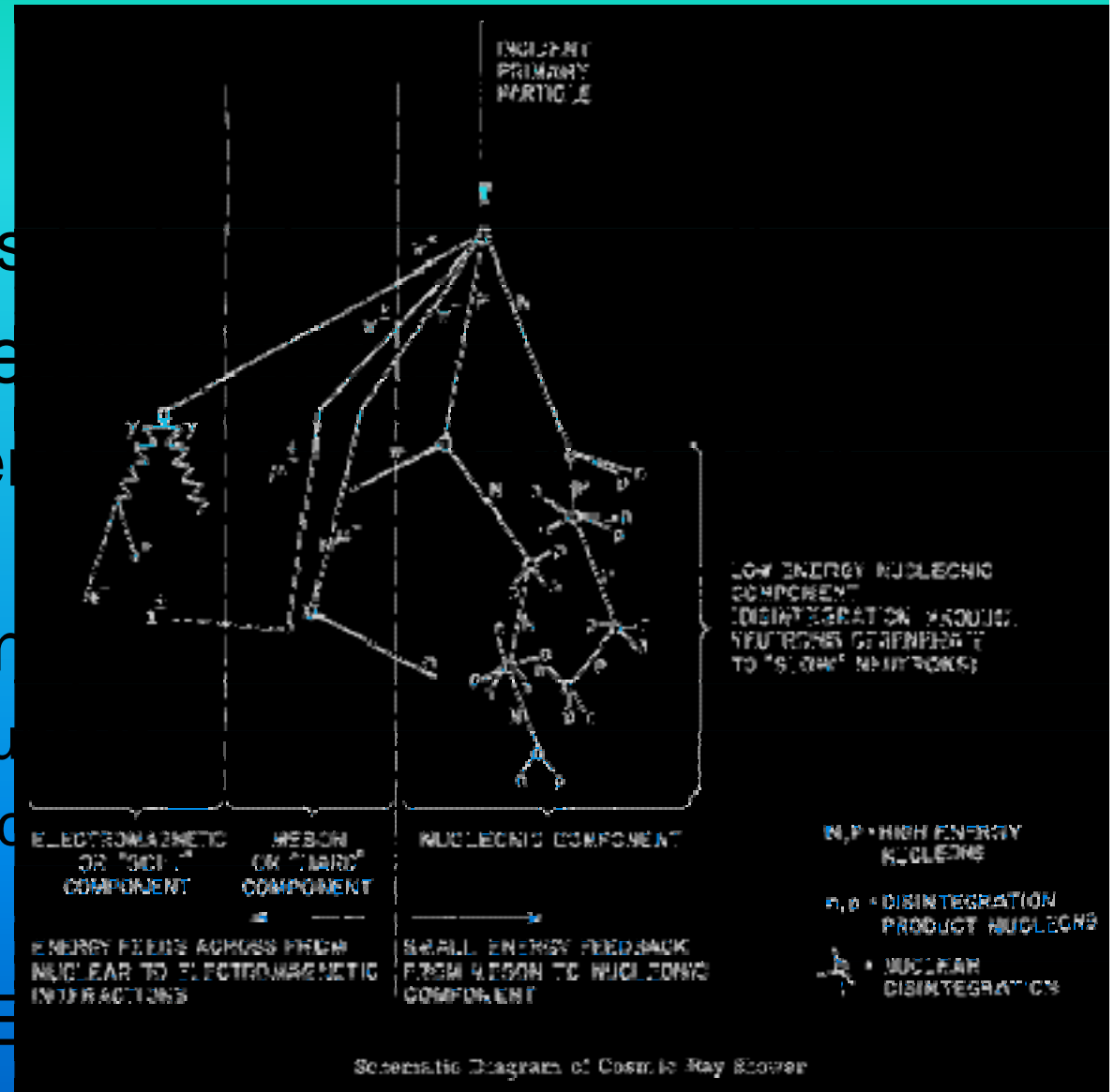
- On the ground
  - › neutron monitors (indirect measurement)
- In space (since early 1960s)
  - › first measurements (scintillation and Geiger counters)
  - ›  $dE/dx$  vs  $E$  technique
    - Proportional counters
    - Solid state detectors
  - › Time of flight
  - ›  $E/q + dE/dx$  vs  $E$  (SEPICA)

What **measure** history (old/new) excitement

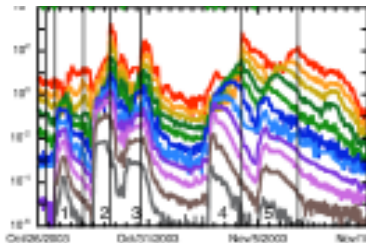


# How are SEPs Measured?

- On the ground
  - › neutron monitors
- In space (since electrons are deflected by Earth's magnetic field)
  - › first measurements by Geiger-Müller counters
  - ›  $dE/dx$  vs  $E$  technique
    - Proportional counter
    - Solid state detector
  - › Time of flight
  - ›  $E/q + dE/dx$  vs  $E$



What    measure    history (old/new)    excitement

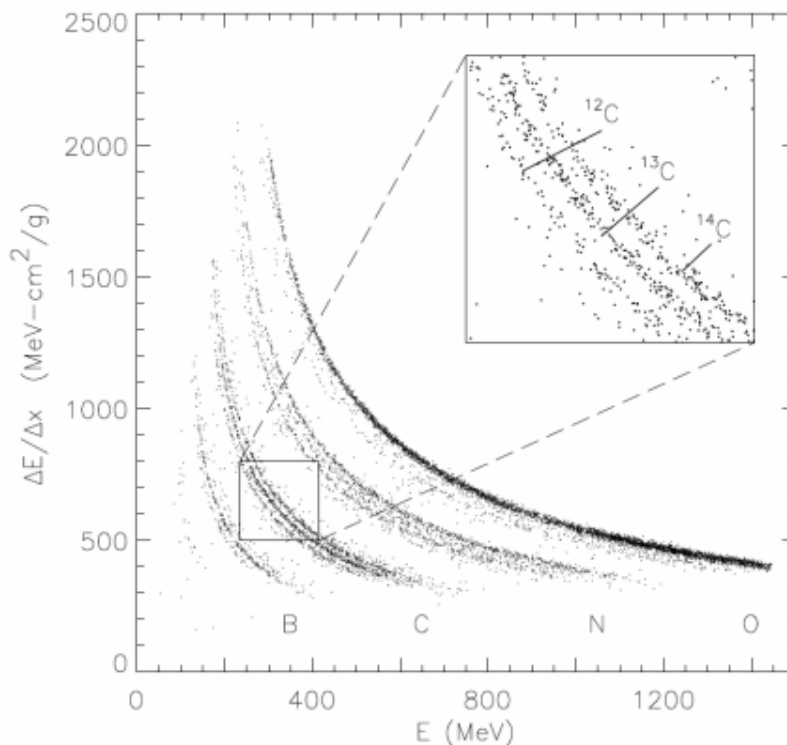
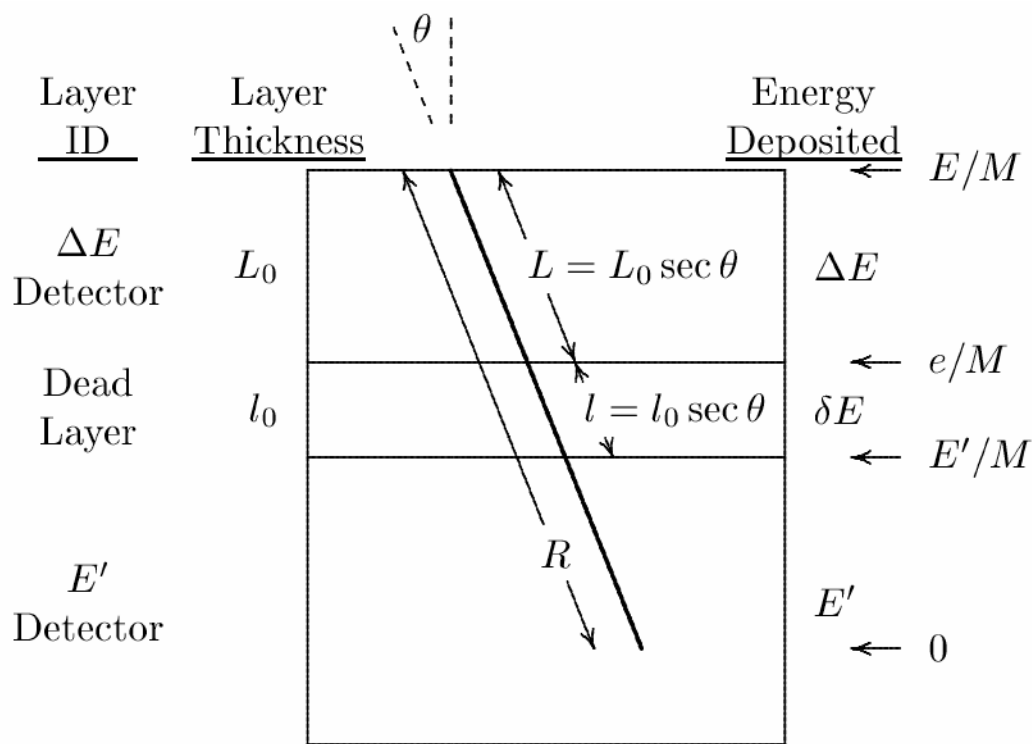


# How are SEPs Measured?

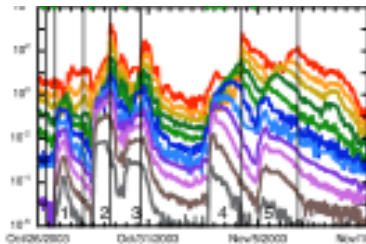
$$dE/dx \propto (Z/V)^2 \propto (MZ^2/E)$$

$$E \, dE/dx \propto Z^2 M$$

$$dE/dx \sim \Delta E / L = \Delta E / (L_0 \sec \theta)$$

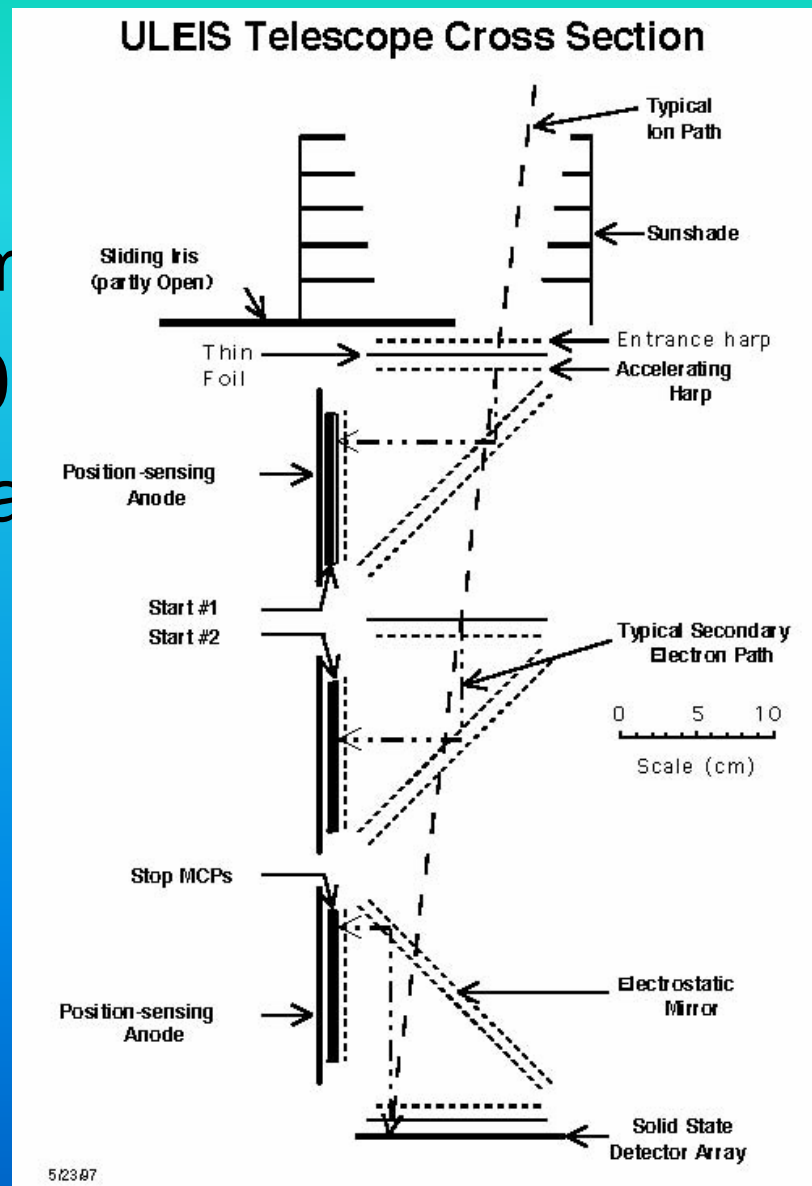


What measure history (old/new) excitement

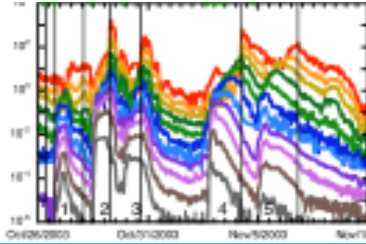


# How are SEPs Measured?

- On the ground
  - › neutron monitors (indirect measurement)
- In space (since early 1960s)
  - › first measurements (scintillation counters)
  - ›  $dE/dx$  vs  $E$  technique
    - Proportional counters
    - Solid state detectors
  - › Time of flight
  - ›  $E/q + dE/dx$  vs  $E$  (SEPICA)

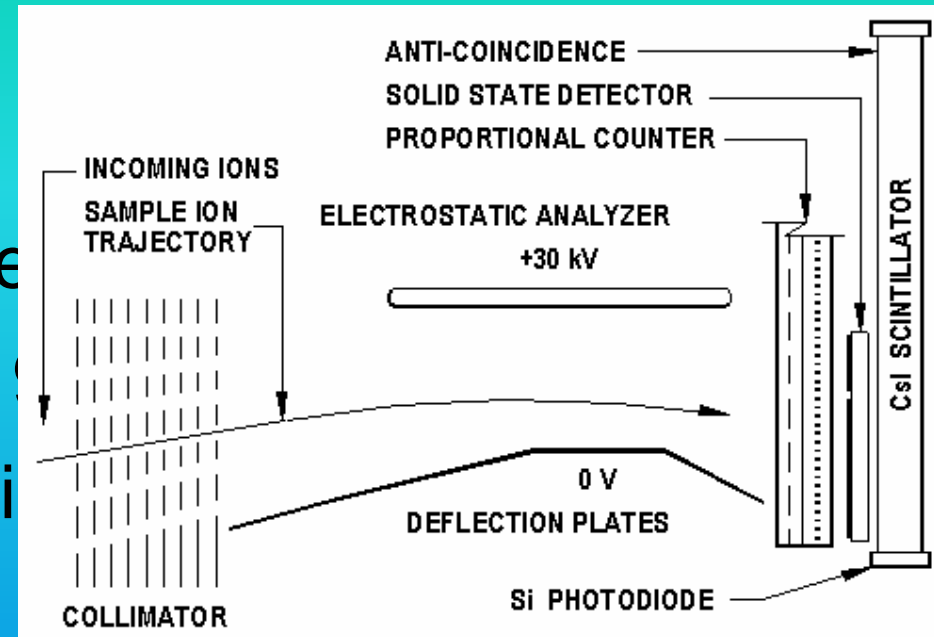


What measure history (old/new) excitement

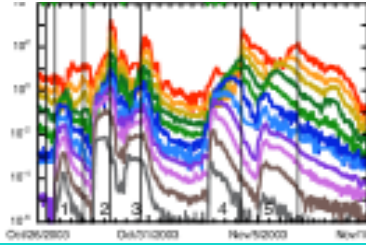


# How are SEPs Measured?

- On the ground
  - › neutron monitors (indirect)
- In space (since early 1960s)
  - › first measurements (scintillation counters)
  - ›  $dE/dx$  vs  $E$  technique
    - Proportional counters
    - Solid state detectors
  - › Time of flight
  - ›  $E/q + dE/dx$  vs  $E$  (SEPICA)



What measure history (old/new) excitement

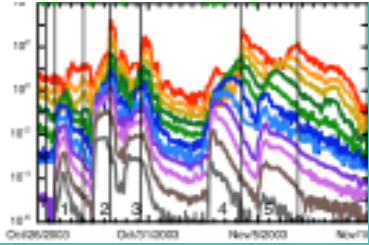


# What is the History of SEPs?

(pre-1997)

- First detection with connection to solar flare observation - Forbush 1946 in neutron monitor
  - Timing related to gamma ray flare 1956 (most well studied)
  - Better in space because can see them directly
    - space age
    - › intensity
    - › energy spectra
    - › composition
- } Categorization

What    measure    history (old/new)    excitement



# What is the History of SEPs?

(pre-1997)

- First detection with connection to solar flare observation - Forbush 1946 in neutron monitor
- Timing related to gamma ray flare 1956 (most well studied)
- Better in space because
  - space age
    - › intensity
    - › energy spectra
    - › composition

Category

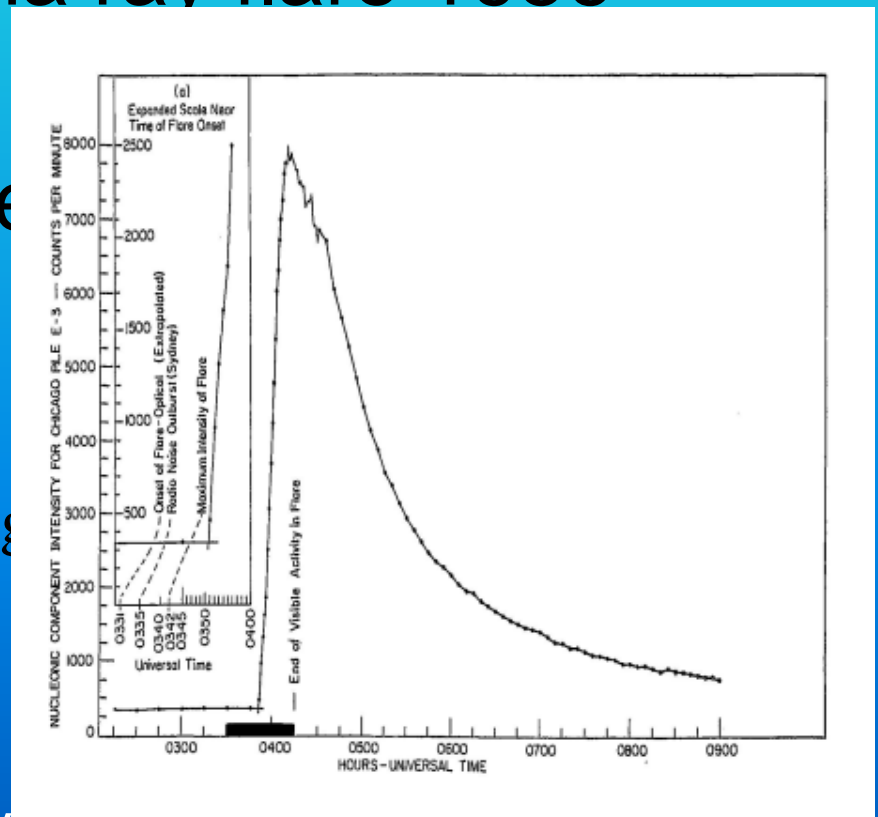
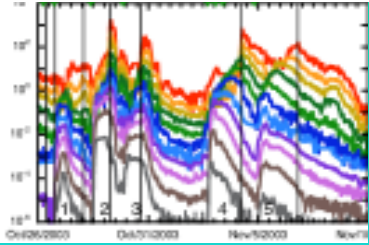


FIGURE 2. Chicago neutron monitor record of the ground level event of 23 February 1956 (adapted from 5).

What measure history



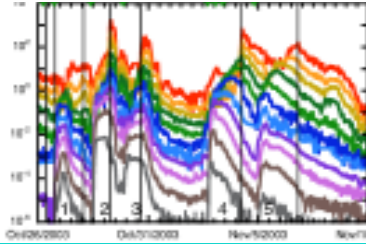
# What is the History of SEPs?

(pre-1997)

- At the same time...
  - › flares are being categorized by size, duration, emission wavelength
  - › radio emission is being categorized
  - › flares and radio emission combined to create...
- Two classes of flares
  - › Impulsive
  - › Gradual

What measure history (old/new) excitement





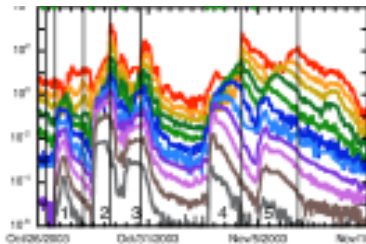
# What is the History of SEPs?

(pre-1997)

- Correlations with SEP characteristics results in a 2 class SEP system:

	Impulsive	Gradual
Flare Characteristic	Short duration Compact/Point Source	Long duration Large Source
<sup>s</sup> Radio Characteristic	Type III/V	Type II/IV
<sup>s</sup> Particle Characteristic <sub>s</sub>	<sup>3</sup> He, e <sup>-</sup> , heavy ion rich short duration, small, limited longitude	SW like composition long duration, large, wide longitude

What measure history (old/new) excitement



# What is the History of SEPs? (pre-1997)

Gradual

Impulsive

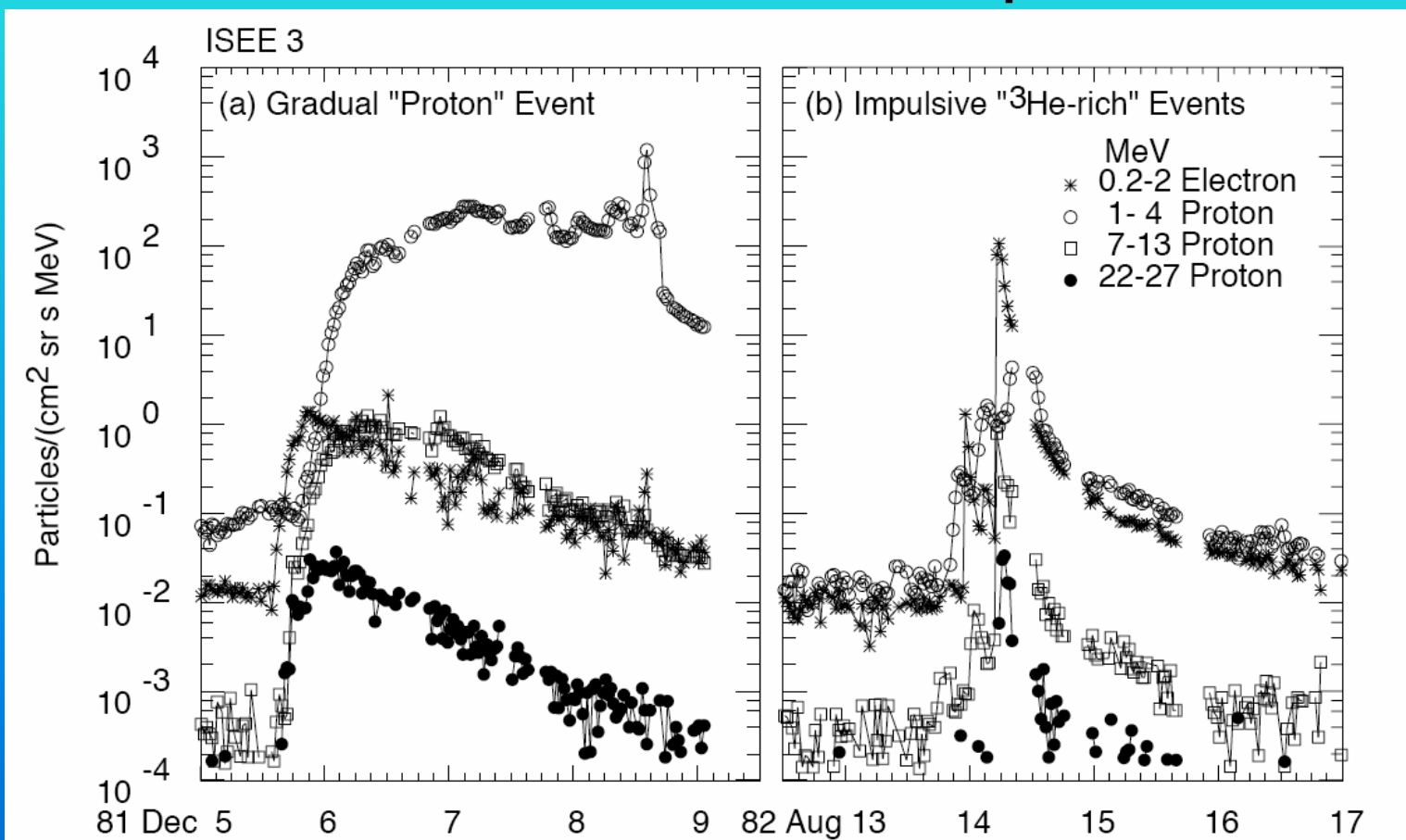
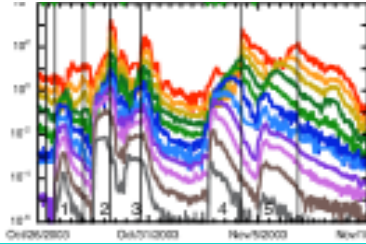


Figure 2.2. Intensity-time profiles of electrons and protons in 'pure' (a) gradual and (b) impulsive SEP events. The gradual event is a disappearing-filament event with a CME but no impulsive flare. The impulsive events come from a series of flares with no CMEs.

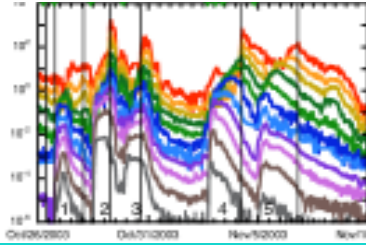


# What is the History of SEPs?

(pre-1997)

- All SEPs created by flares
  - › slight problem with longitude distribution of gradual events
  - › ideas of storage, cross-field transport, lots of scattering in the interplanetary medium (not happy about this)
  - › Not a good correlation between interacting protons and SEP protons (SMM allowed gamma-ray measurements in space 1980)
- Enter Skylab and CME observations (1978)
  - › high correlation (96%) between gradual flares and CMEs
  - › CMEs can drive shocks and shocks can accelerate

What measure history (old/new) excitement

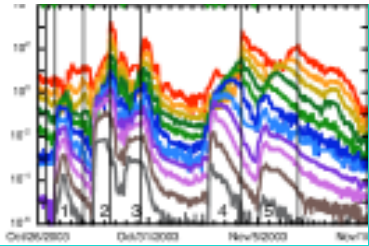


# What is the History of SEPs?

(pre-1997)

- Nice things about CME-shock acceleration for gradual SEP events
  - › CME angular size close to longitude distribution of gradual SEP events
  - › Solves the cross-field transport ‘problem’
  - › Correlation between CME size/speed and SEP size
  - › Found a gradual SEP event *with no flare* but with CME
  - › Found CMEs did *not* occur with impulsive SEP events
  - › Long acceleration in the IPM explained long duration of gradual SEP events (compared to impulsive)

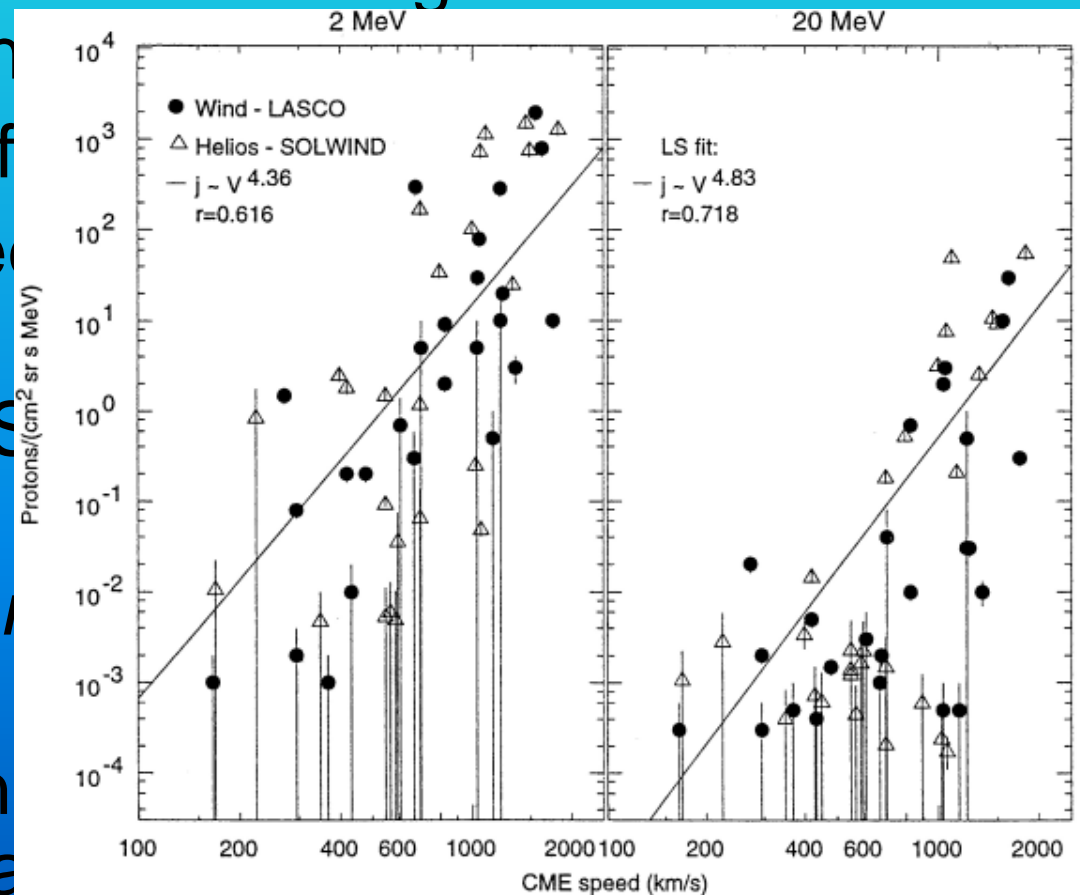
What measure history (old/new) excitement

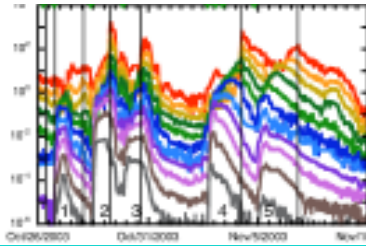


# What is the History of SEPs?

(pre-1997)

- Nice things about CME-shock acceleration for gradual SEP events
  - › CME angular size close to longitude distribution of gradual SEP event
  - › Solves the cross-field transport problem
  - › Correlation between CME size and SEP event size
  - › Found a gradual SEP event associated with a CME
  - › Found CMEs did not always cause SEP events
  - › Long acceleration duration of gradual SEP events

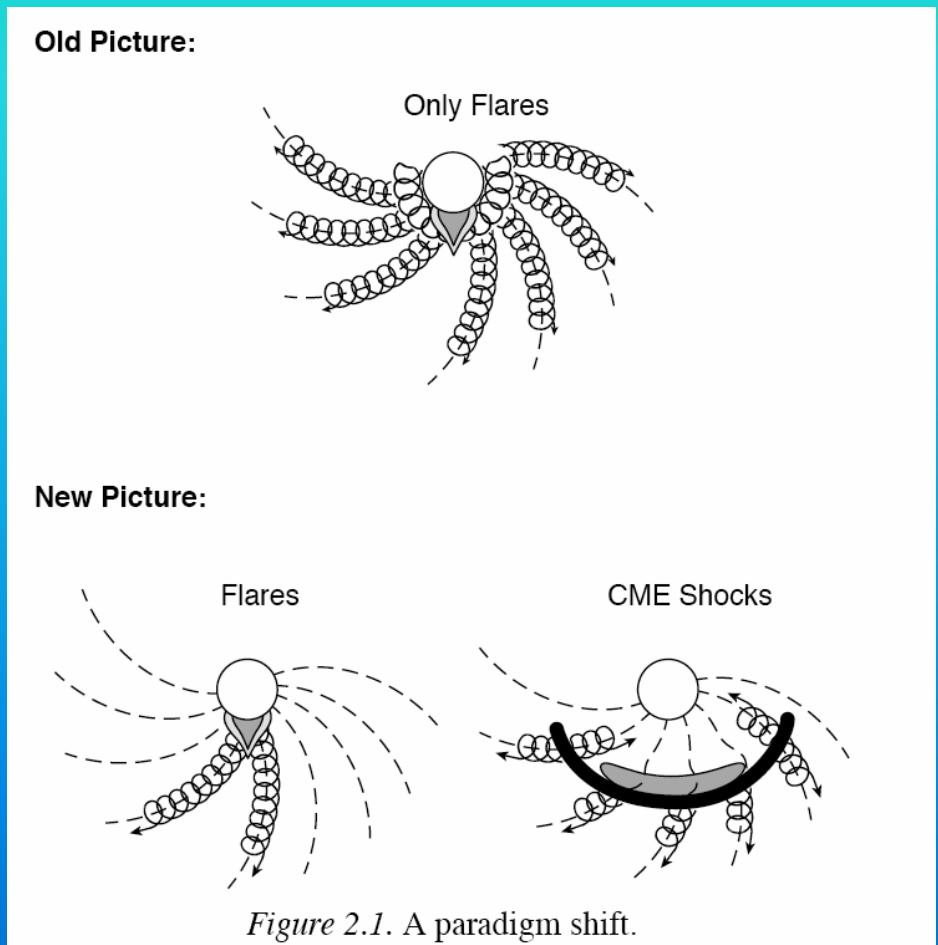




# What is the History of SEPs?

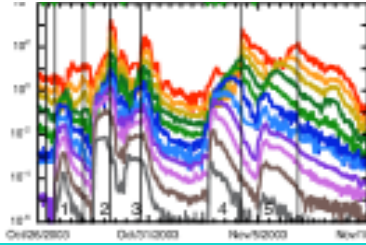
(pre-1997)

- ‘Paradigm Shift’
  - › Had 1 acceleration mechanism for all SEP events
  - › Now have two independent acceleration mechanisms
    - CME-driven shock acceleration => Gradual SEP events
    - Impulsive flare acceleration => Impulsive SEP events



Reames 1999

What measure history (old/new) excitement

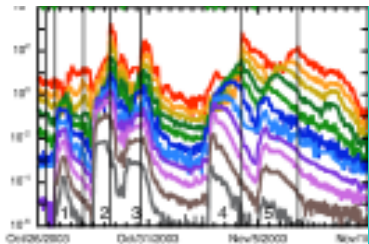


# What is the History of SEPs?

(pre-1997)

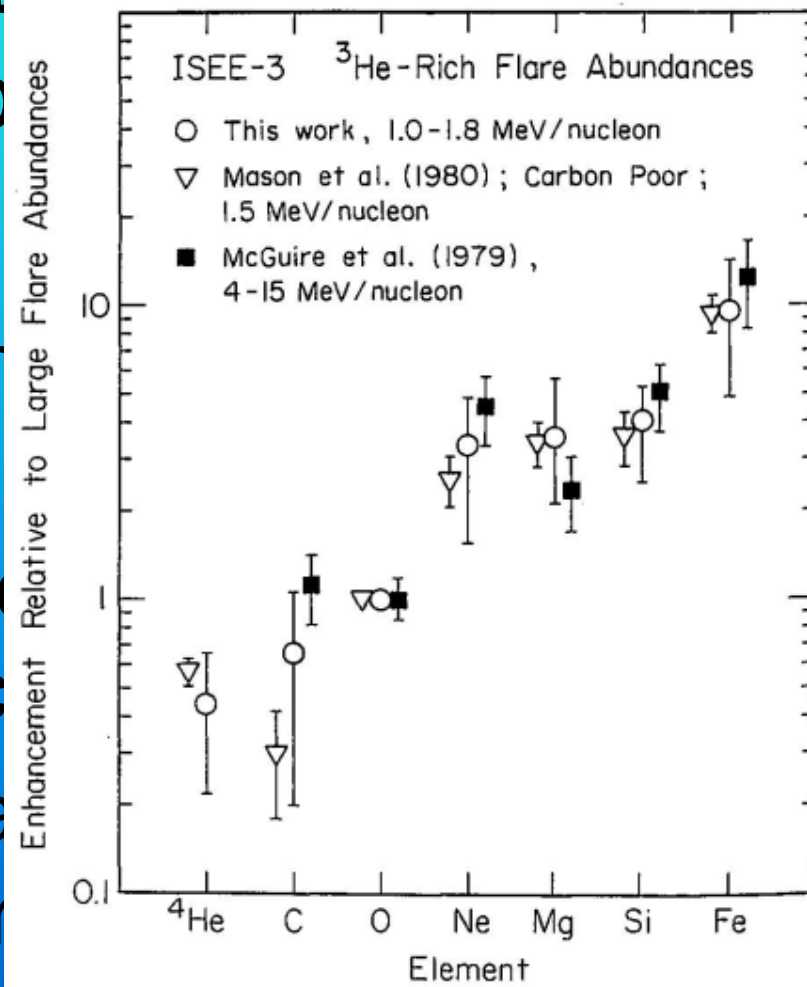
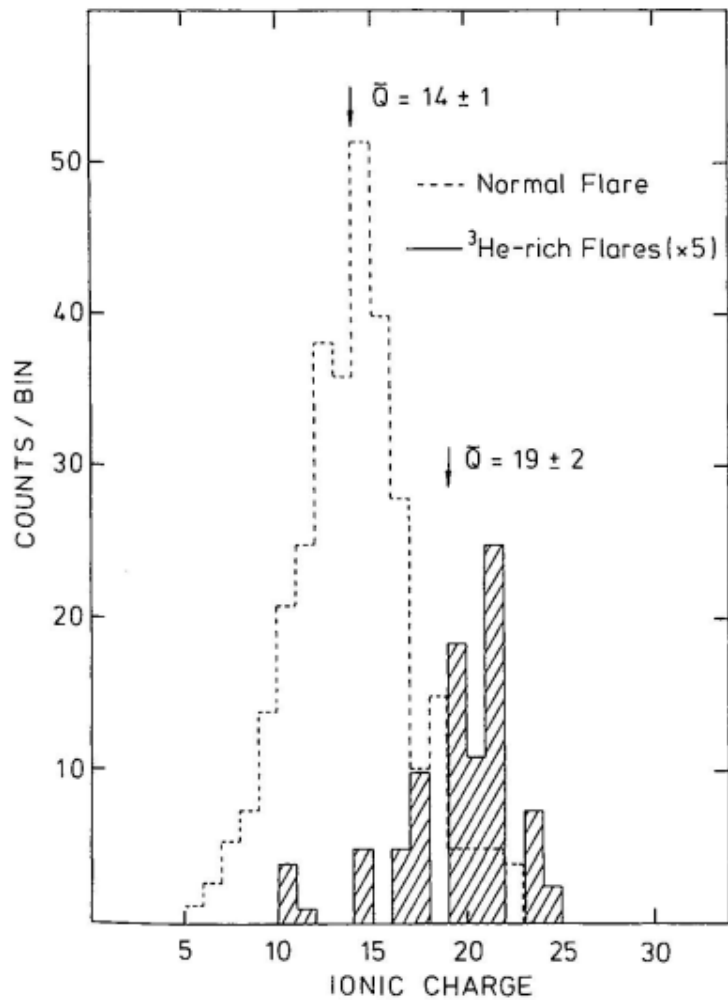
- Flurry of activity in SEP studies to define characteristics of two classes (1980s)
- Impulsive
  - › Klecker et al. 1984 finds charge state difference
  - › Mason et al. 1986 finds systematic composition difference
  - › Reames explains charge and composition characteristics in terms of low altitude

What measure history (old/new) excitement



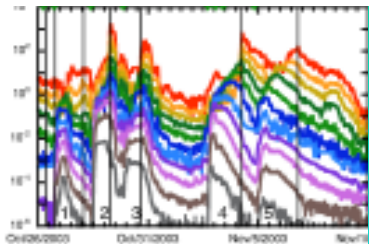
# What is the History of SEPs?

(pre-1997)



What measure history (old/new) excitement





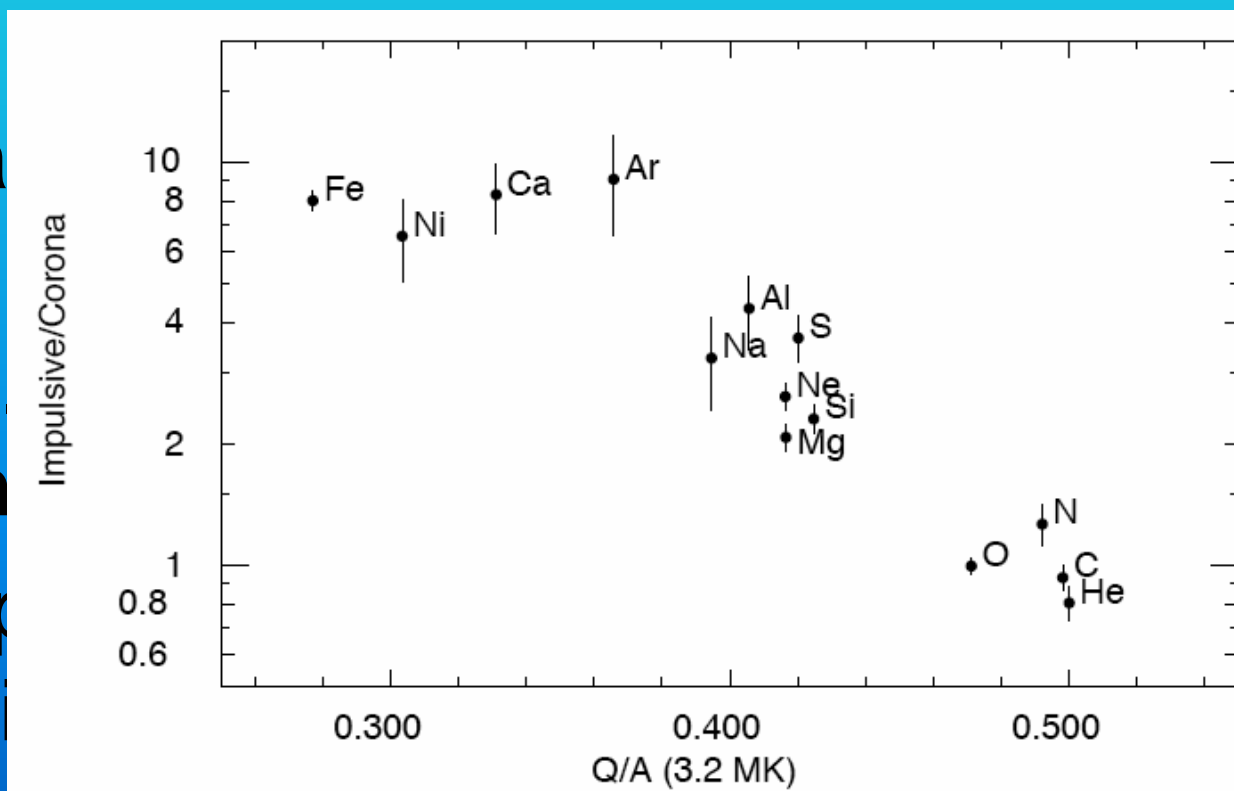
# What is the History of SEPs?

(pre-1997)

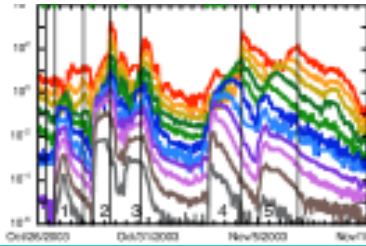
- Flurry of activity in SEP studies to define characteristics of two classes (1980s)

- Impulsive

- › Klecker et al. difference
- › Mason et al. composition
- › Reames experimental characteristics

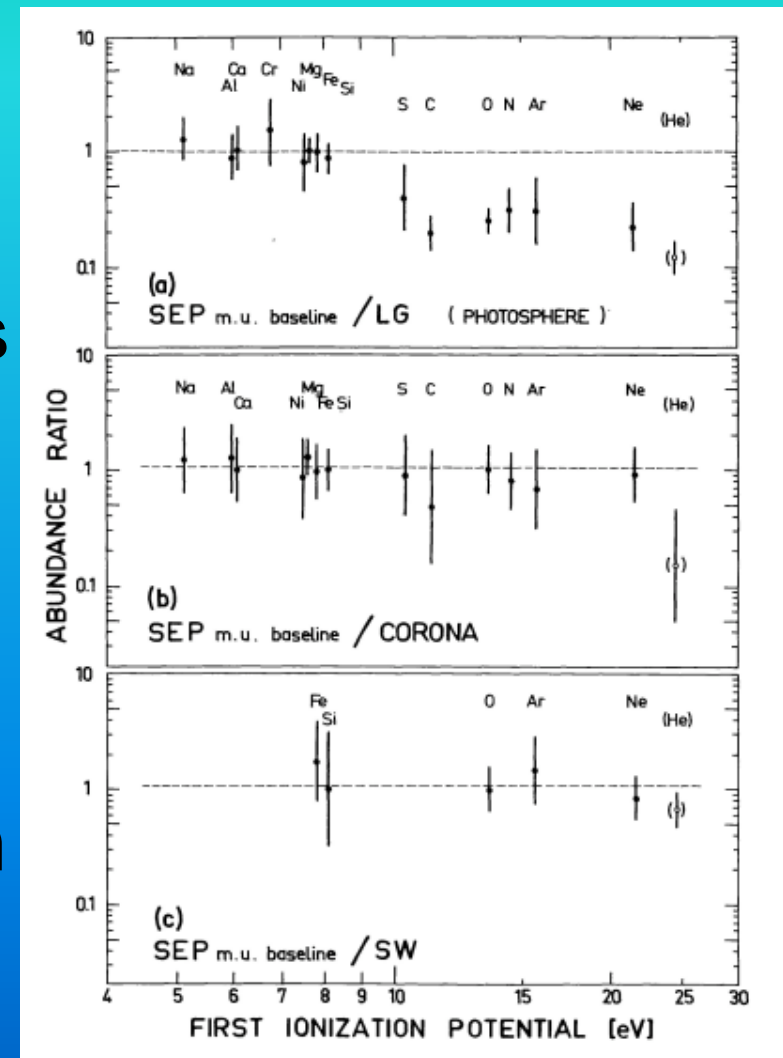


What measure history (old/new) excitement

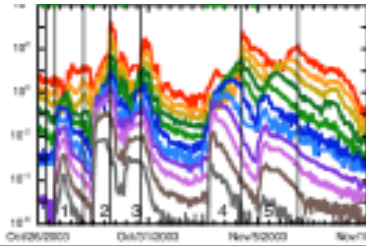


# What is the History of SEPs? (pre-1997)

- Gradual
  - › All flare material is like impulsive SEP material but gradual SEP material looks like the solar wind
    - composition
    - charge states
  - › Roll offs of spectra consistent with diffusion from shock region



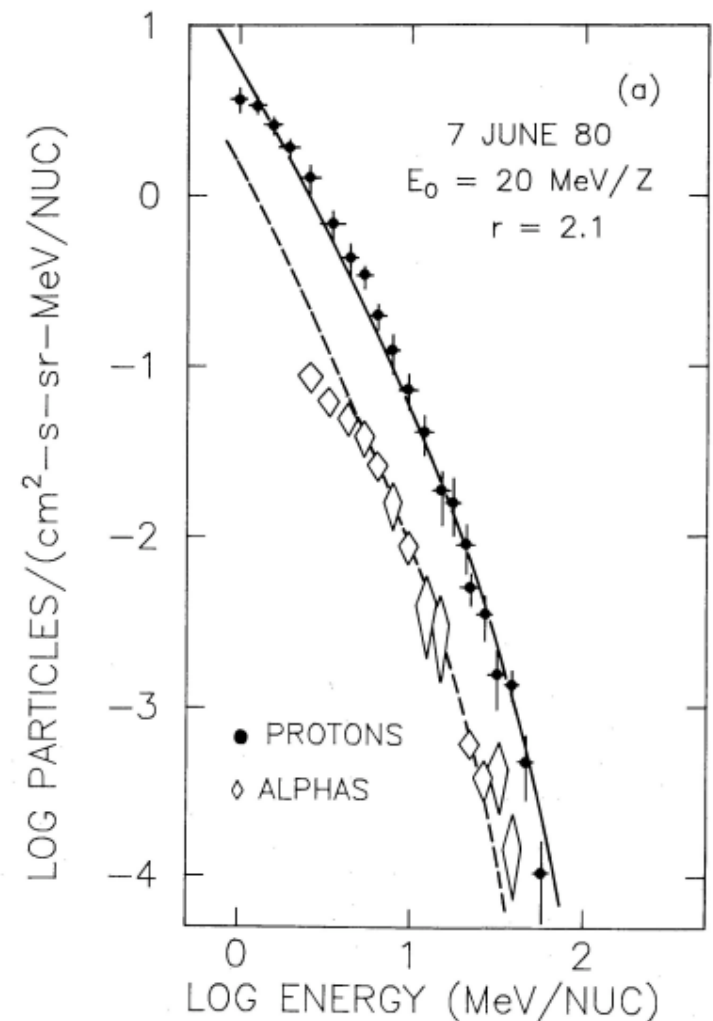
What measure history (old/new) excitement



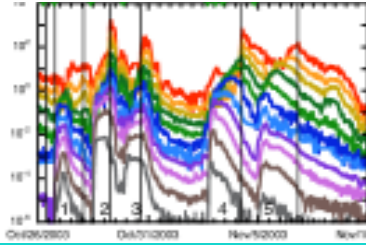
# What is the History of SEPs?

(pre-1997)

- Gradual
  - › All flare material is like impulsive SEP material but gradual SEP material looks like the solar wind
    - composition
    - charge states
  - › Roll offs of spectra consistent with diffusion from shock region



What measure history (old/new) excitement

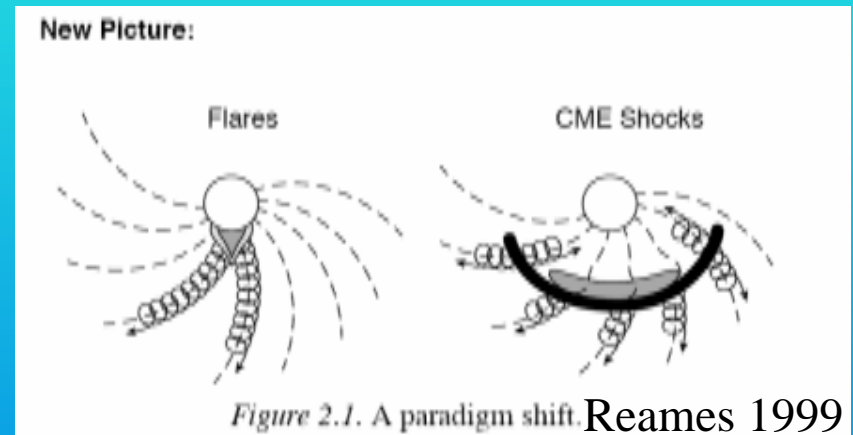


# What is the History of SEPs?

(pre-1997)

- The 1990s standard 2 class system table

Two Groups =>	Impulsive Flare acceleration	Gradual Shock acceleration
$^3\text{He}/^4\text{He}$	~1	~0.0005
Fe/O	~1	~0.1
$Q_{\text{Fe}}$	~20	~14
Duration	Hours	Days
X-rays	Impulsive	Gradual
Coronagraph	--	CME (96%)

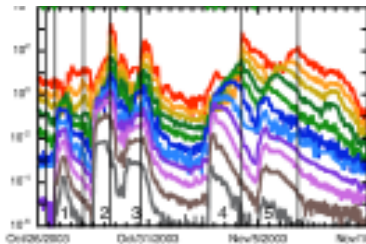


Big Point to remember:

» the two classes are *exclusive*

Flare particles in gradual events do not escape into the IPM because of closed field lines behind the CME

What measure history (old/new) excitement

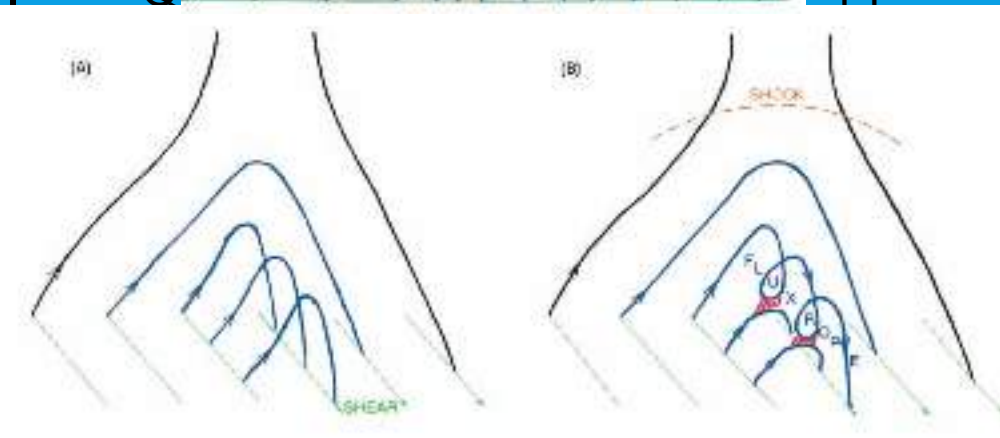
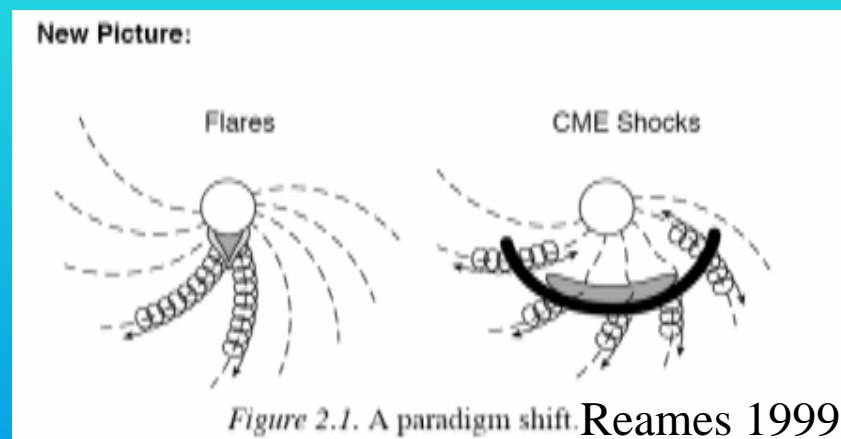


# What is the History of SEPs?

(pre-1997)

- The 1990s standard 2 class system table

Two Groups	Impulsive	Gradual
=		shock acceleration
$^3\text{He}$		0.0005
Fe		0.1
Q		~14

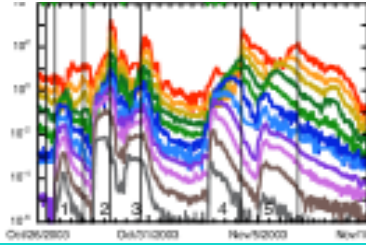


Big Point to remember:

» the two classes are *exclusive*

Flare particles in gradual events do not escape into the IPM because of closed field lines behind the CME

What measure history (old/new) excitement

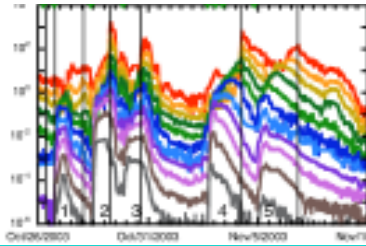


# What is the History of SEPs?

(post-1997)

- ACE launches August 1997
  - › Suite of high-tech instruments to study heavy ions in SEP events over 3 orders of magnitude in energy (.1-100 MeV/n)
    - Elemental Composition (ULEIS+SIS)
    - Isotopic Composition (ULEIS+SIS)
    - Charge State Composition (SEPICA)
  - › In November 1997, ACE observes first gradual SEP events
    - Composition does not look as it should...

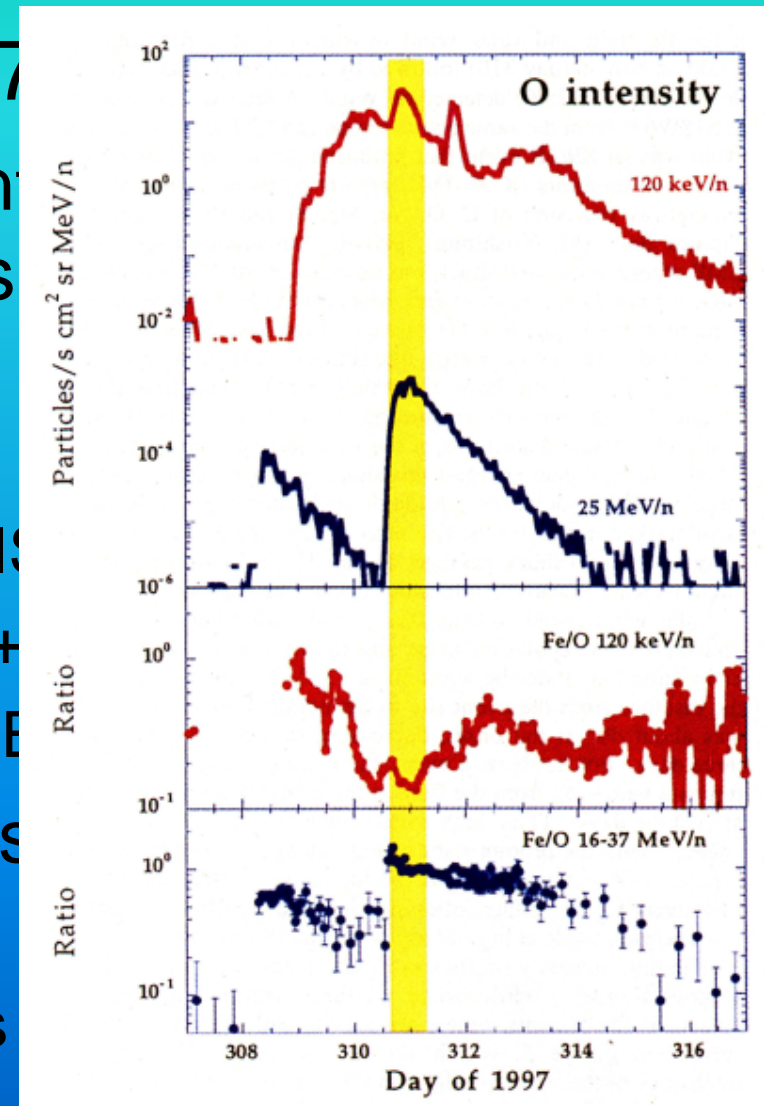
What measure **history** (old/new) excitement



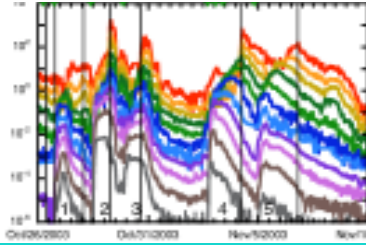
# What is the History of SEPs?

(post-1997)

- ACE launches August 1997
  - › Suite of high-tech instruments in SEP events over 3 orders of energy (.1-100 MeV/n)
    - Elemental Composition (ULEIS)
    - Isotopic Composition (ULEIS+)
    - Charge State Composition (SEP-ICA)
  - › In November 1997, ACE observes SEP events
    - Composition does not look as



What measure history (old/new) excitement



# What is the History of SEPs?

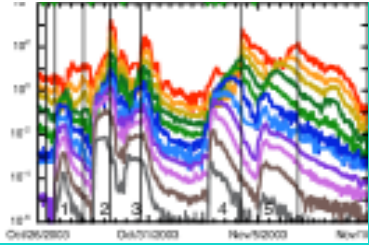
(post-1997)

- Within the first year, ACE observes many more of these enriched-Fe events
  - › Composition from C-Ni looks impulsive (12-60 MeV/n)
  - › Enhancements of  $^3\text{He}$  (not at impulsive levels)
- SAMPEX measures charge states with geomagnetic cutoff technique
  - › At 30 MeV/n  $Q_{\text{Fe}}$  is  $\sim 20$  (like impulsive)
  - ›  $Q_{\text{Fe}}$  is *energy dependent*

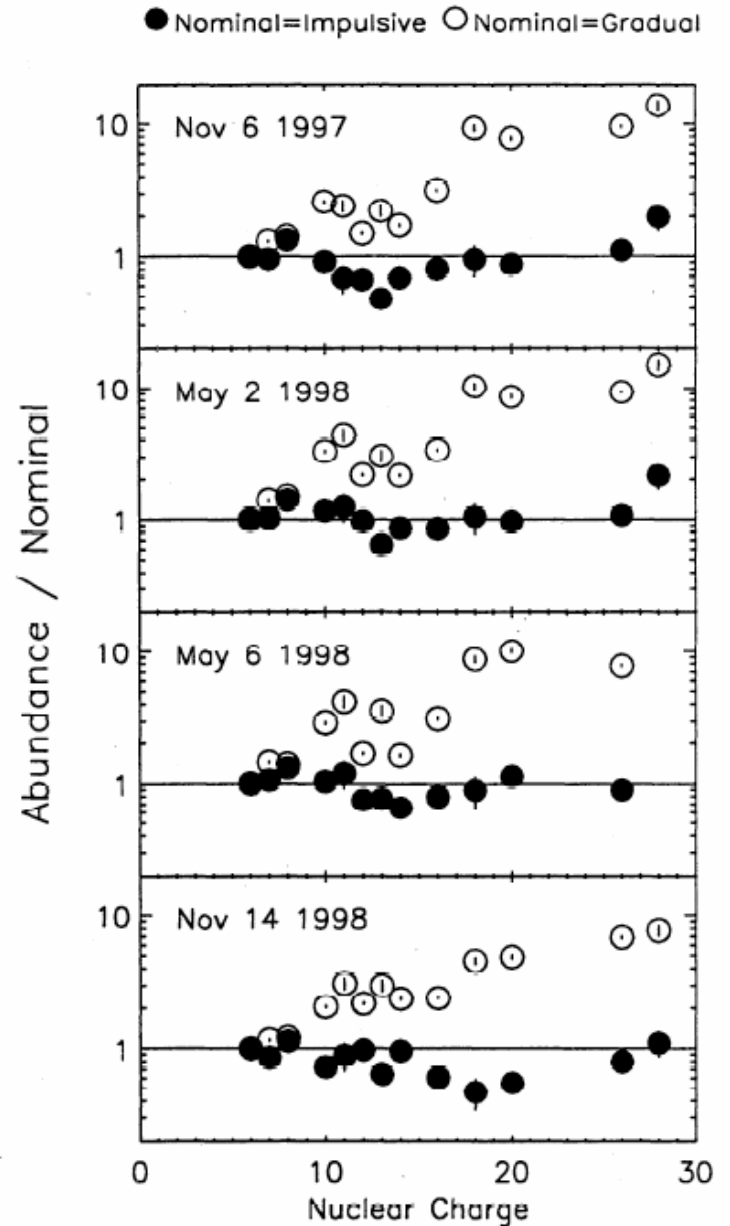
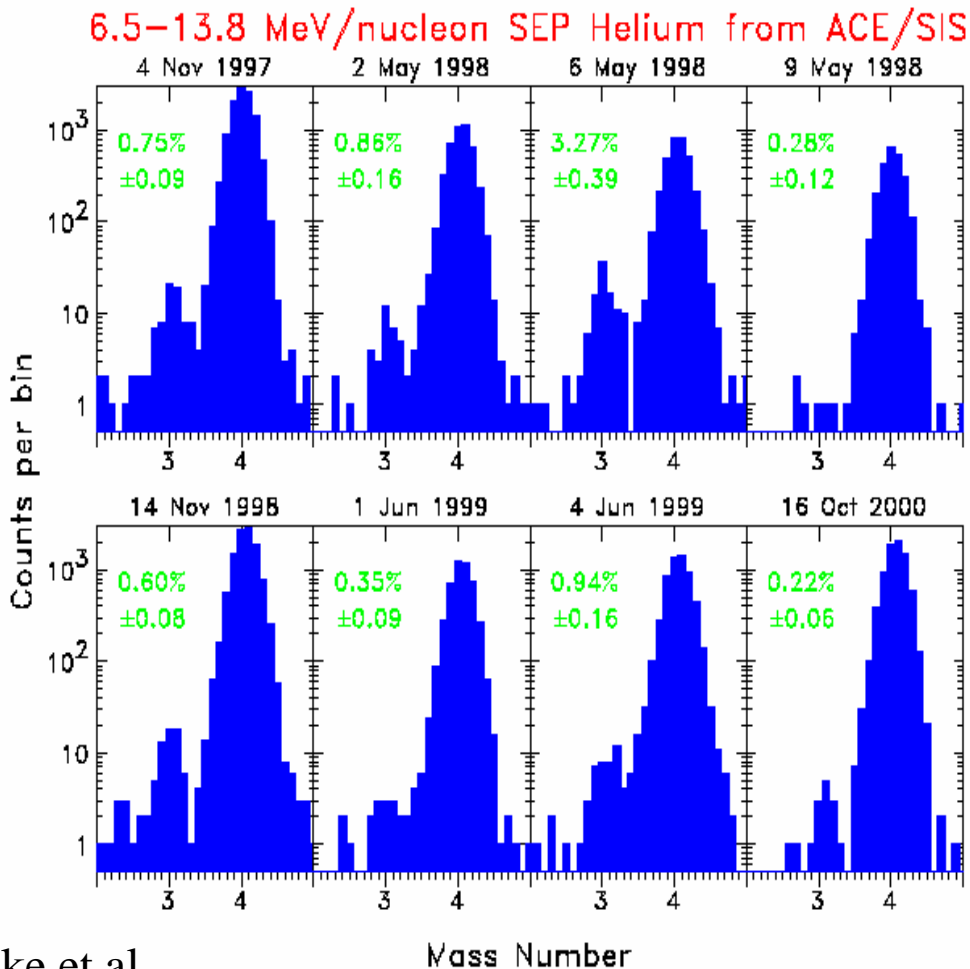
What measure history (old/new) excitement



# What is the History of SEPs?



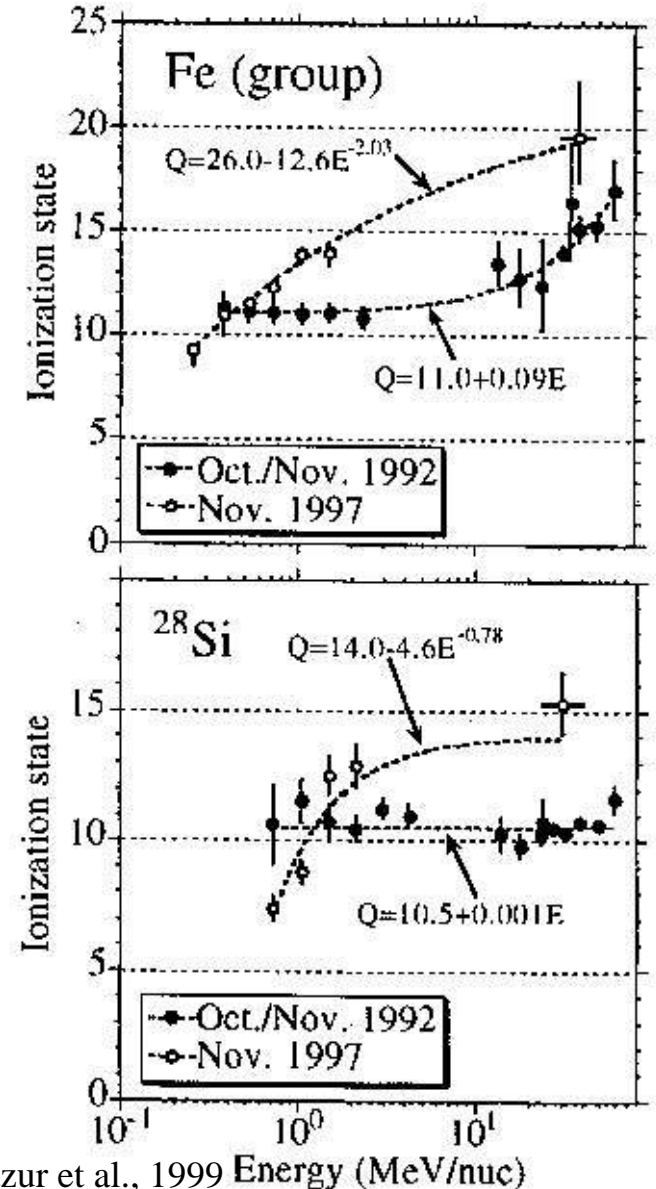
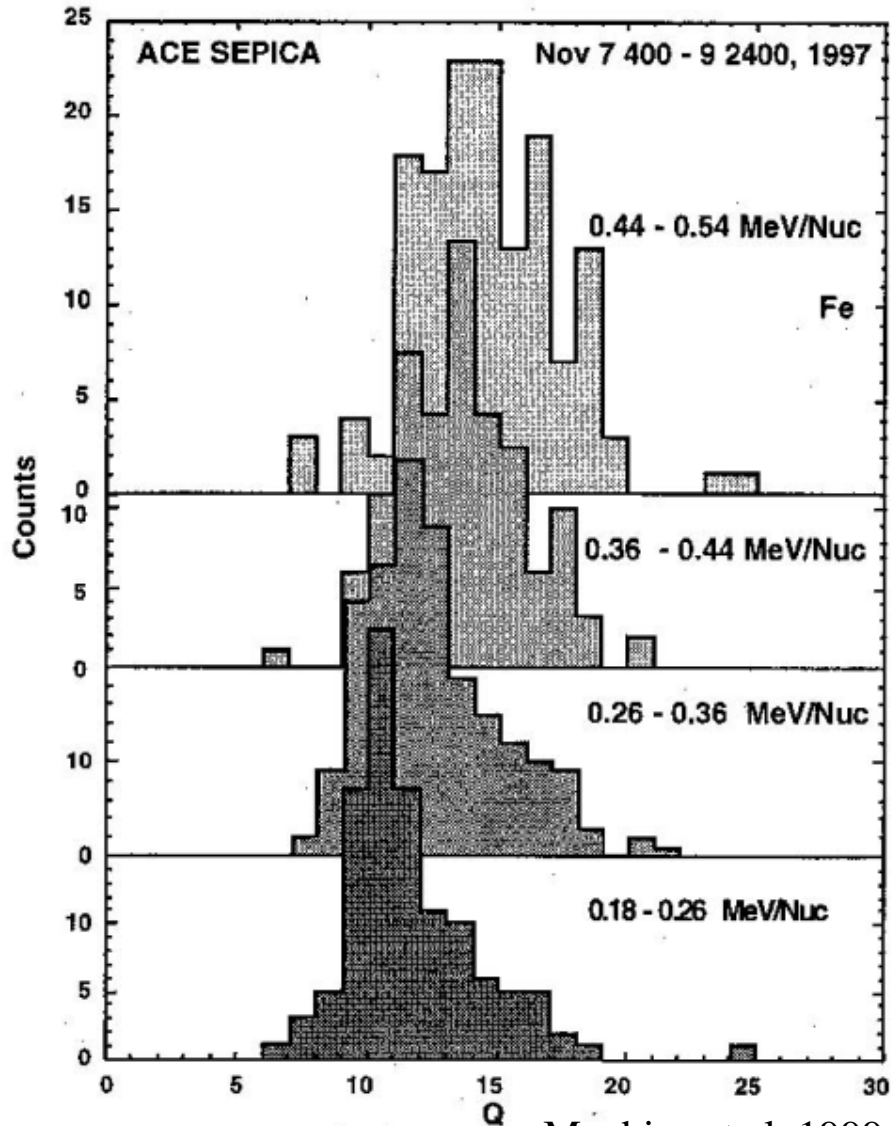
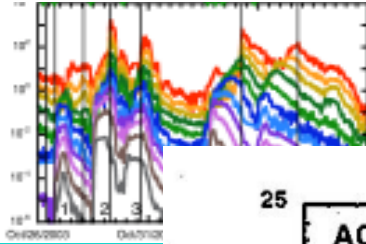
- Within the first year, ACE observed more of these enriched Fe



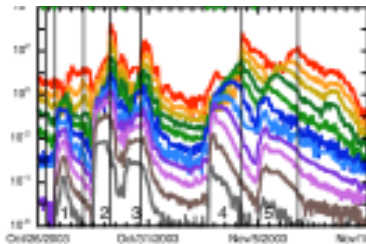
Leske et al.

C. Cohen et al. 1999

# What is the History of SEPs?



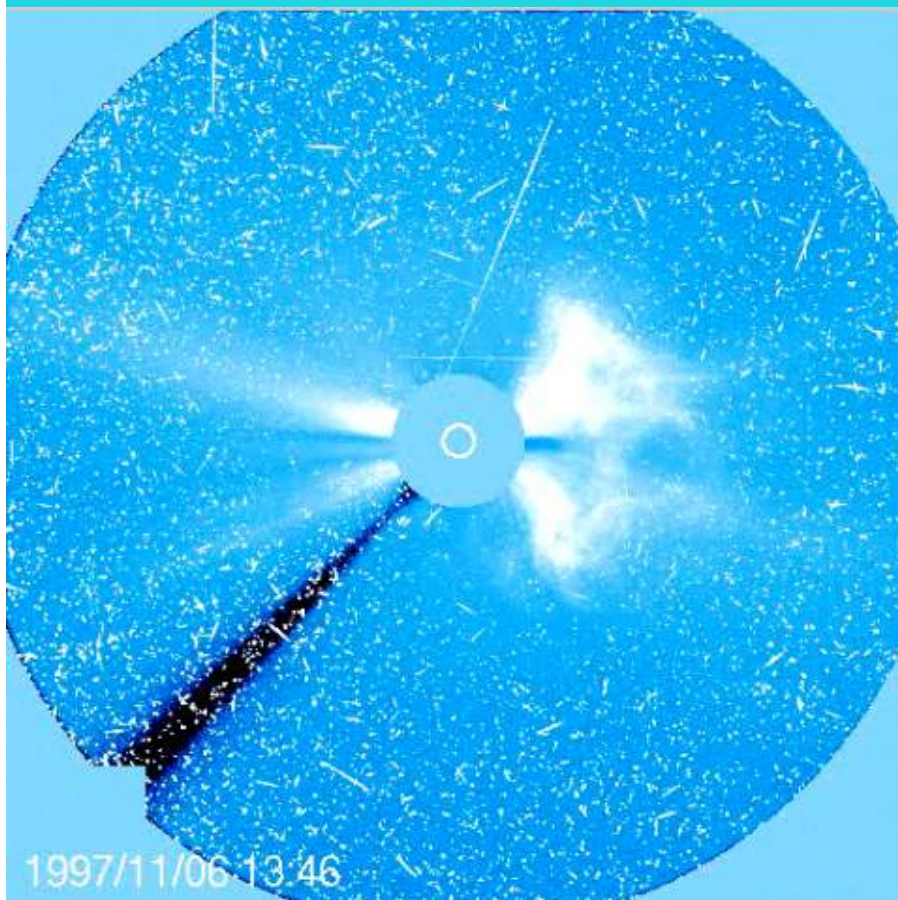
What measure history (old/new) excitement



# What is the History of SEPs?

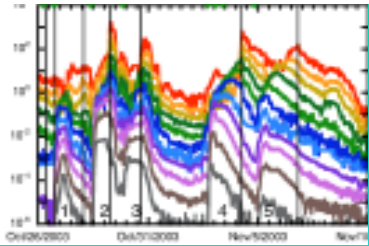
(post-1997)

- How should we classify these events?



Two Groups =>	Impulsive Flare acceleration	Gradual Shock acceleration
$^3\text{He}/^4\text{He}$	~1	~0.0005
Fe/O	~1	~0.1
$Q_{\text{Fe}}$	~20	~14
Duration	Hours	Days
X-rays	Impulsive	Gradual
Coronagraph	--	✓ CME (96%)

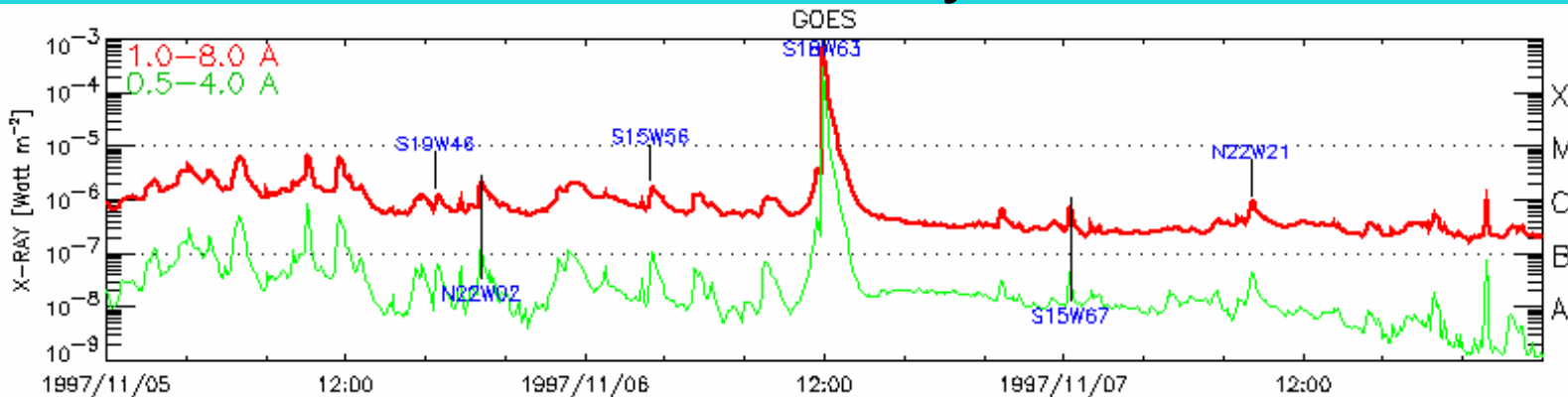
What measure history (old/new) excitement



# What is the History of SEPs?

(post-1997)

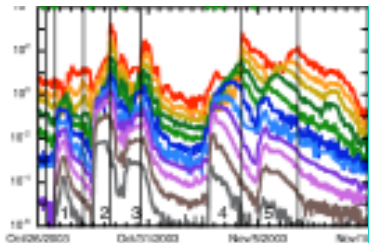
- How should we classify these events?



Gradual  
Shock  
acceleration  
~0.0005

Fe/O	~1	~0.1
$Q_{Fe}$	~20	~14
Duration	Hours	Days
X-rays	Impulsive ✓	Gradual
Coronagraph	--	✓ CME (96%)

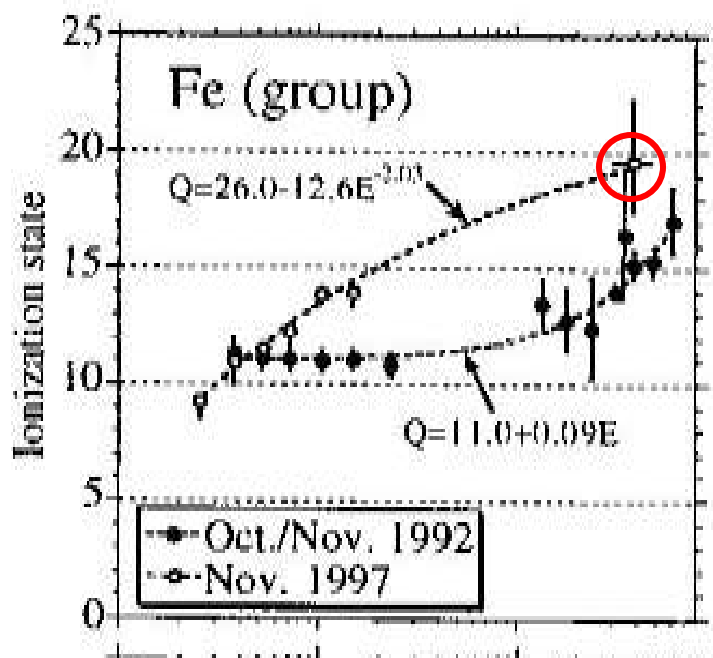
What measure history (old/new) excitement



# What is the History of SEPs?

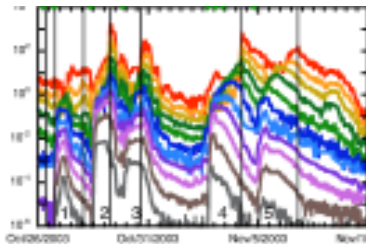
(post-1997)

Classify these events?



Two Groups =>	Impulsive Flare acceleration	Gradual Shock acceleration
$^3\text{He}/^4\text{He}$	~1	~0.0005
Fe/O	~1	~0.1
$Q_{\text{Fe}}$	✓ ~20	~14
Duration	Hours	Days
X-rays	Impulsive ✓	Gradual
Coronagraph	--	✓ CME (96%)

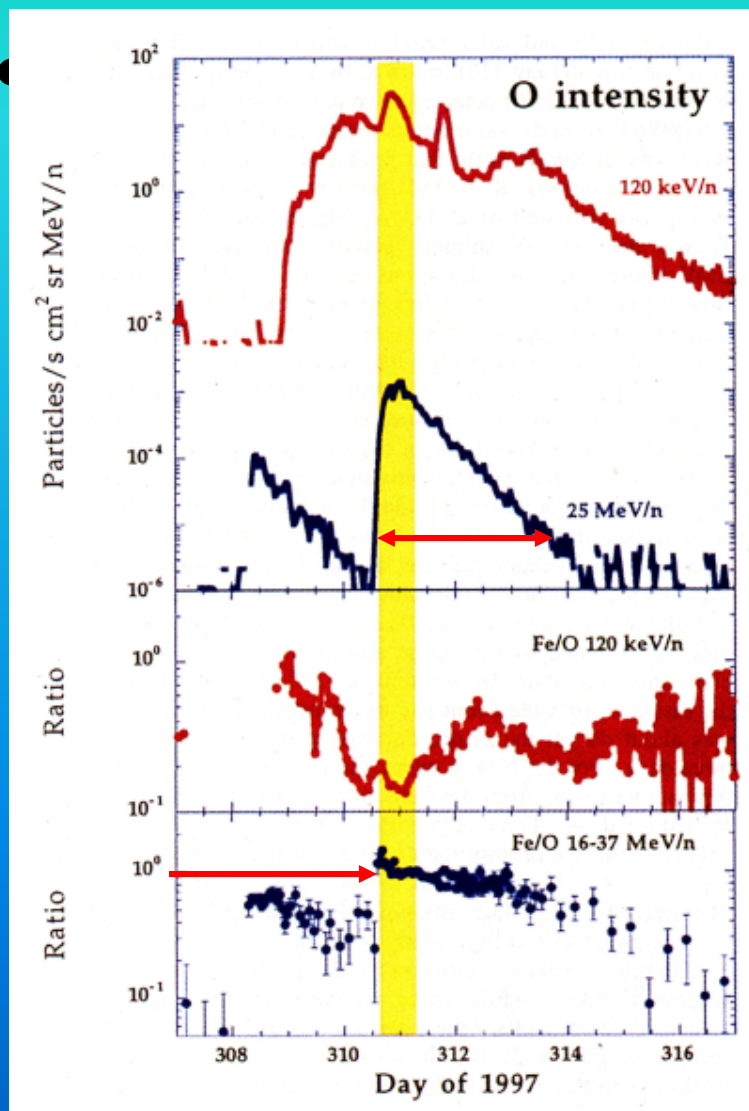
What measure history (old/new) excitement



# What is the History of SEPs?

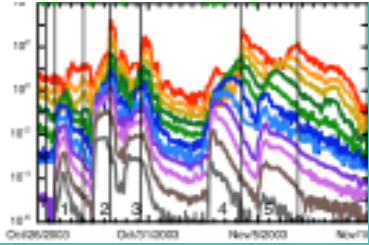
(post-1997)

Classify these events?



Two Groups =>	Impulsive Flare acceleration	Gradual Shock acceleration
$^3\text{He}/^4\text{He}$	~1	~0.0005
Fe/O	~1	~0.1
$Q_{\text{Fe}}$	~20	~14
Duration	Hours	Days
X-rays	Impulsive	Gradual
Coronagraph	--	CME (96%)

What measure history (old/new) excitement

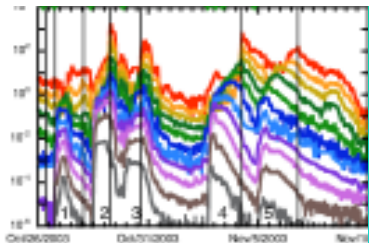


# What is the History of SEPs?

(post-1997)

- What happens when new results challenge old beliefs?
  - › Q/M effect
  - › Velocity dispersion effect
- Grudging acceptance into existing framework (shock acceleration)
  - › Diffusion from shock region
  - › Suprathermal flare material (small amounts from *preceding* flares)

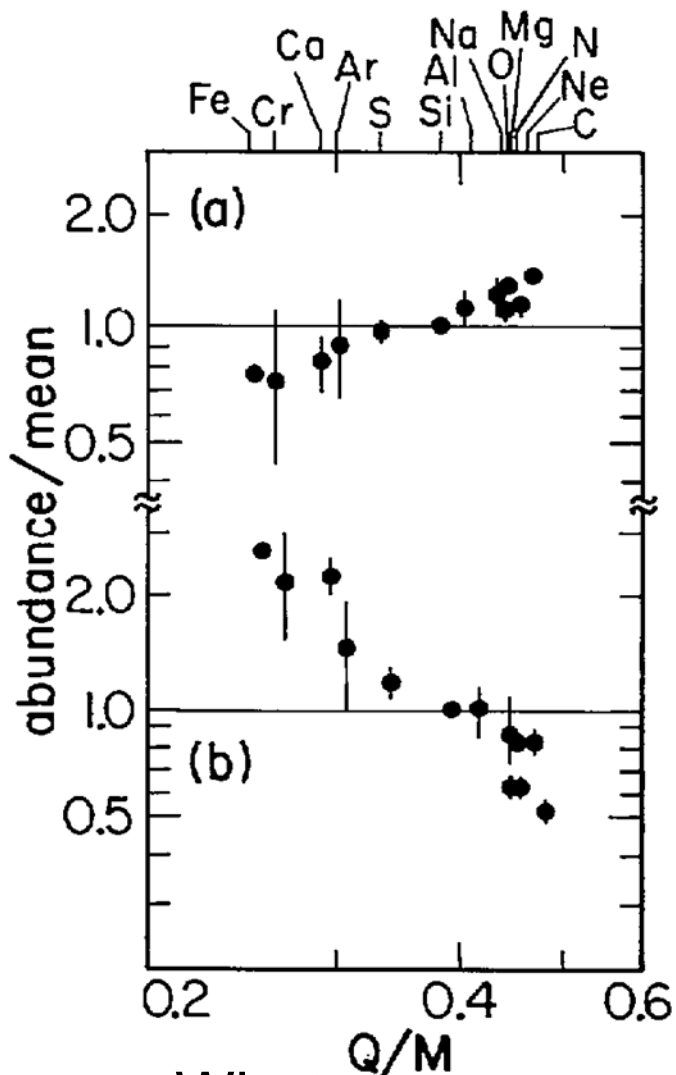
What measure history (old/new) excitement



# What is the History of SEPs?

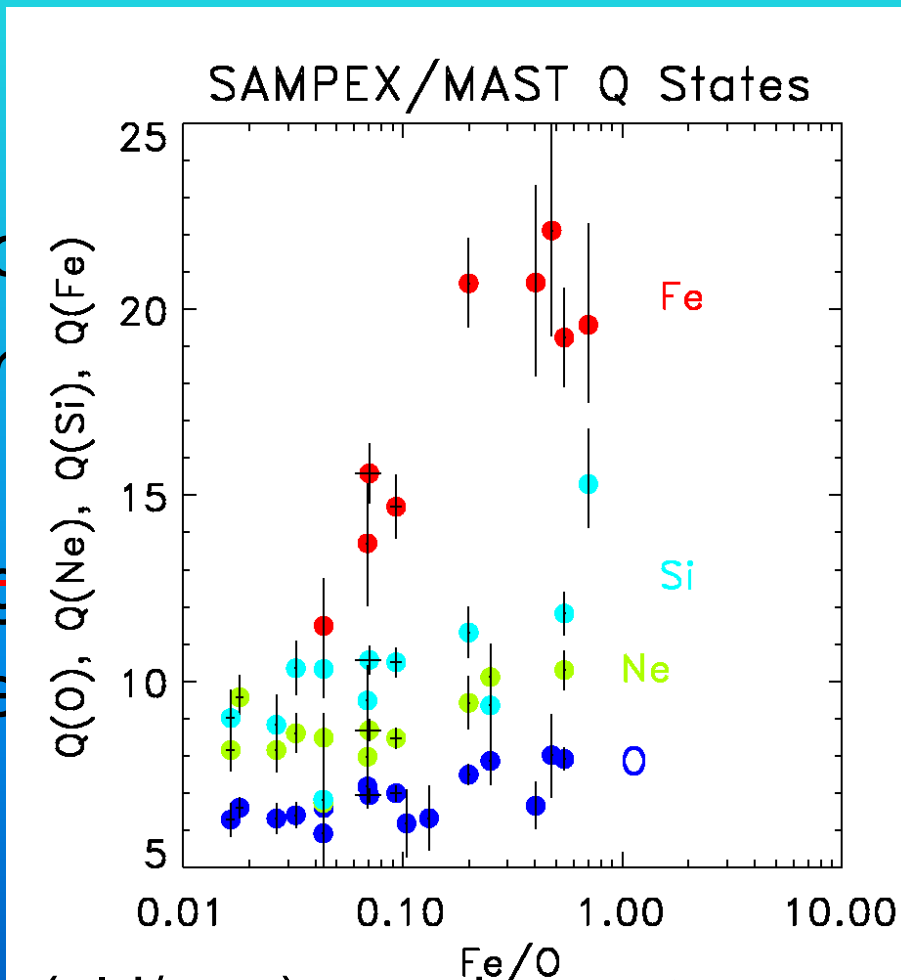
(post-1997)

new results challenge old



What measure

effect  
ce in  
)  
k reg  
mate  
ways

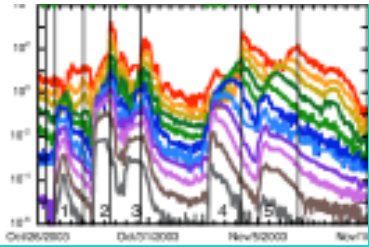


history (old/new) excitement

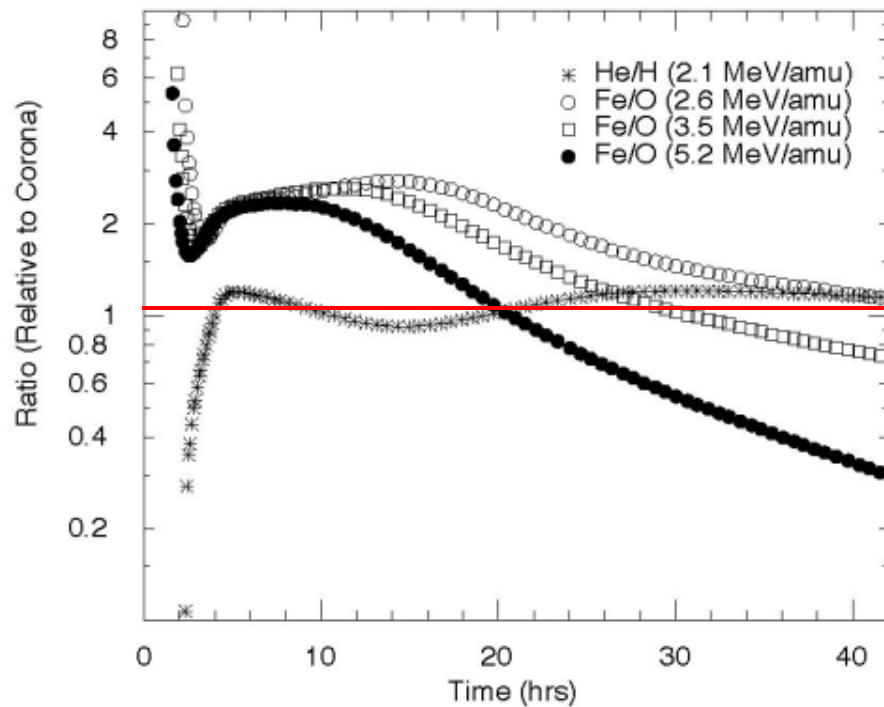


# What is the History of SEPs?

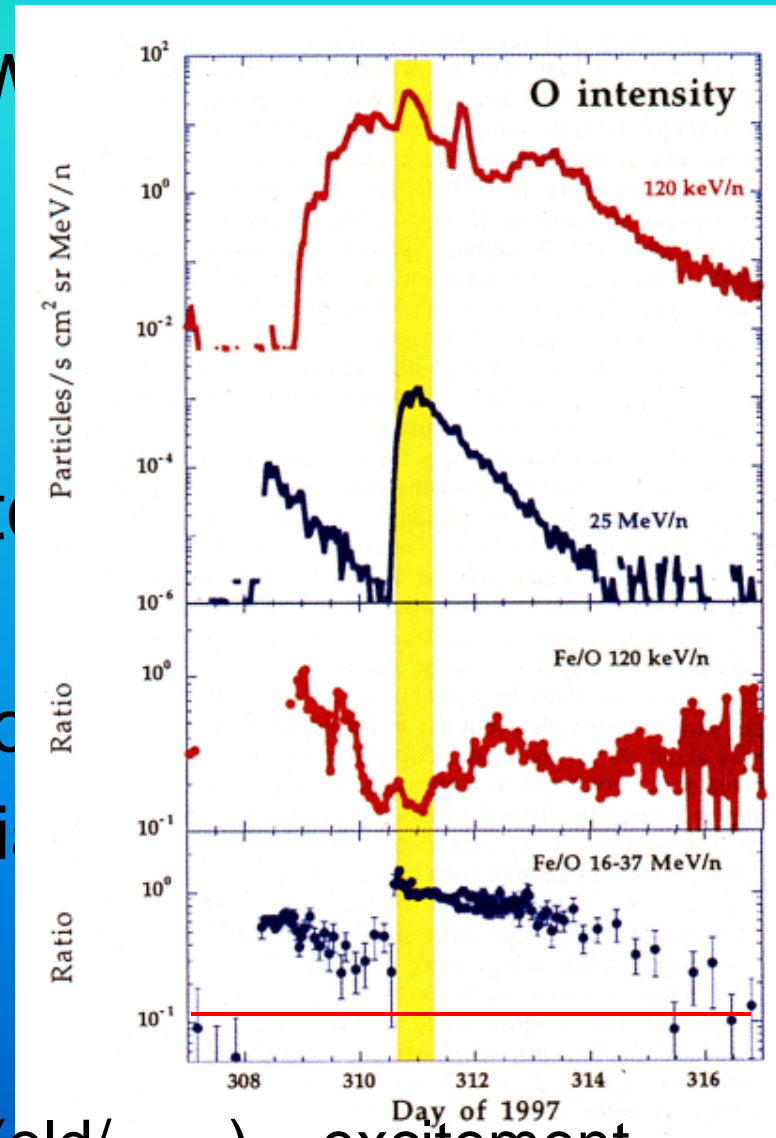
(post-1997)



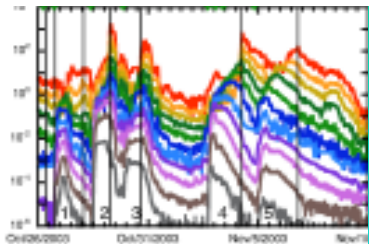
- What happens when new



*preceding flares* **Not always**



What measure history (old/new) excitement



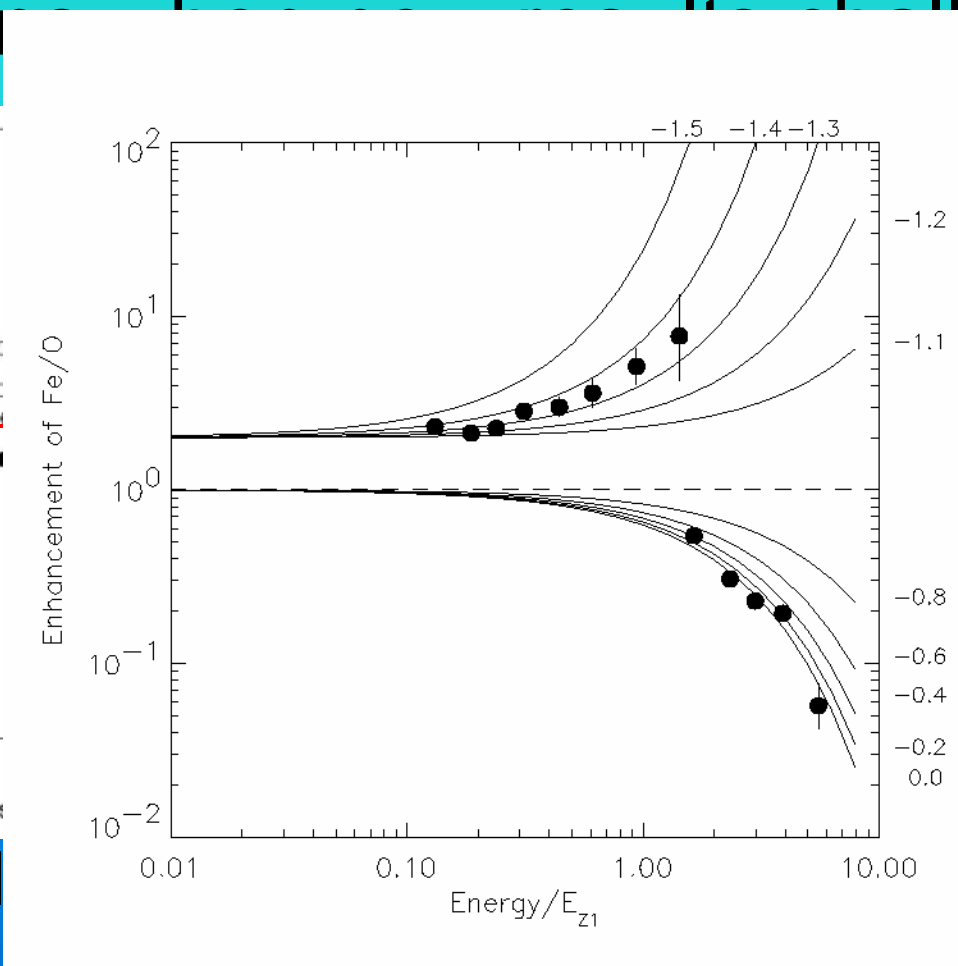
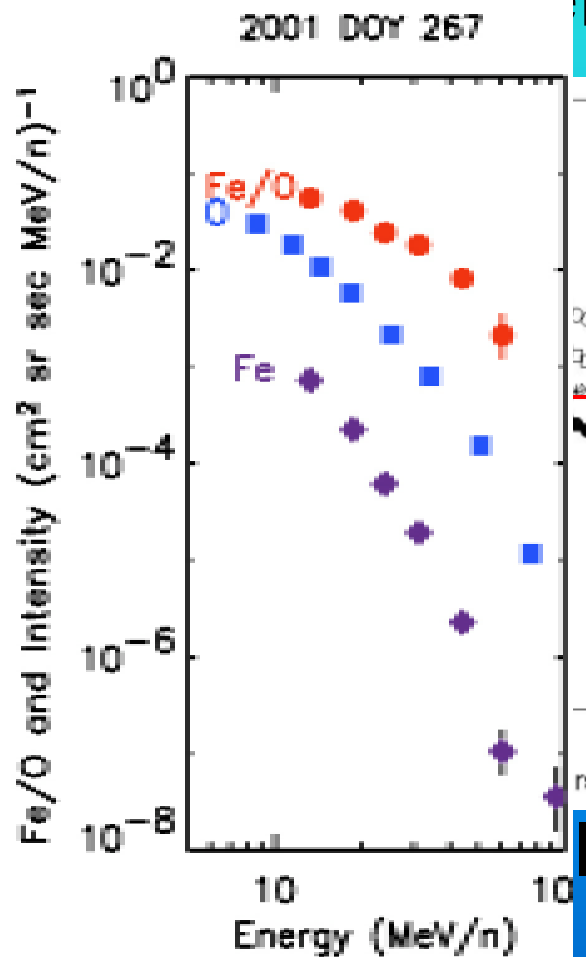
# What is the History of SEPs?

(post-1997)

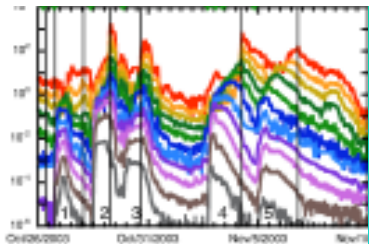
Challenge old

network

nts from



What measure history (old/new) excitement



# What is the History of SEPs?

(post-1997)

- What happens when new results challenge old

be

>

>

- Gr (sl

>

>

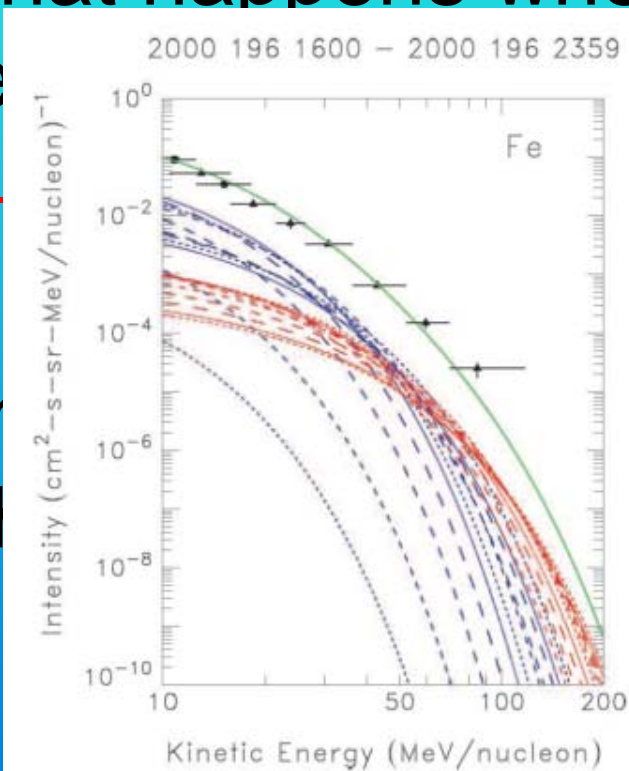
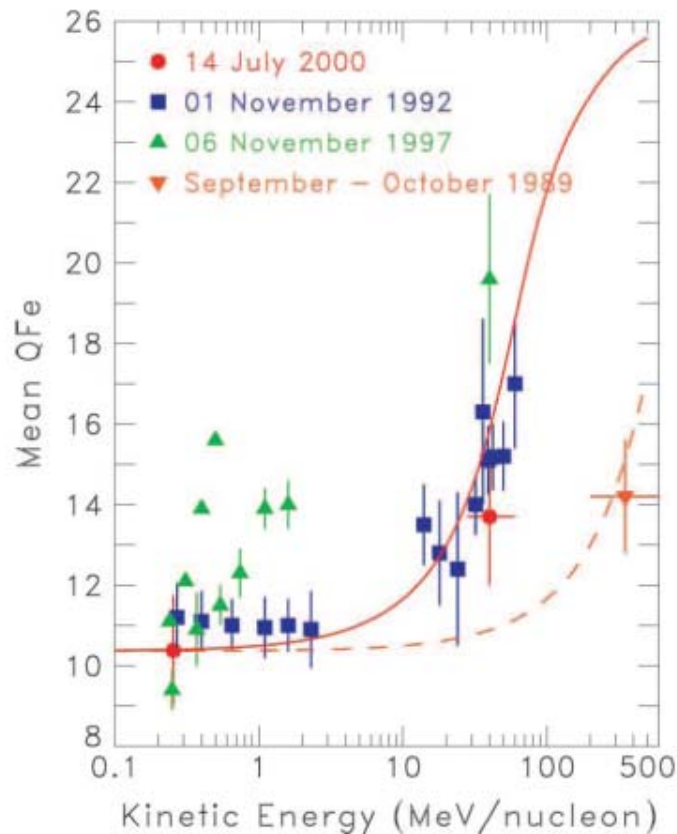


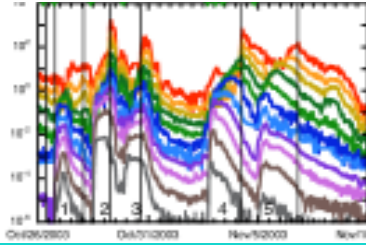
FIG. 2.—Contributions of various ionic charge states to the Fe spectrum. Blue curves are  $Q_{Fe} = 6-16$ , which arise primarily from the solar wind; red curves are  $Q_{Fe} > 16$  from the remnant flare suprathermal component. The green curve is the sum.



ework

s from

What measure history (old/new) excitement

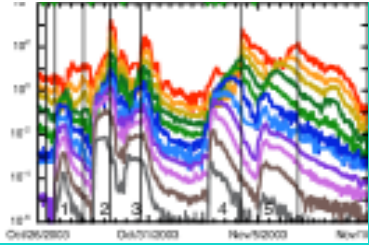


# What is the History of SEPs?

(post-1997)

- What happens when new results challenge old beliefs?
  - › ~~Q/M effect~~
  - › ~~Velocity dispersion effect~~
- Grudging acceptance into existing framework (shock acceleration)
  - › ~~Diffusion from shock region~~
  - › Suprathermal flare material (small amounts from *preceding flares*) Not always

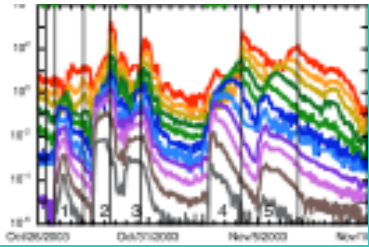
What    measure    history (old/new)    excitement



# What is New and Exciting About SEPs?

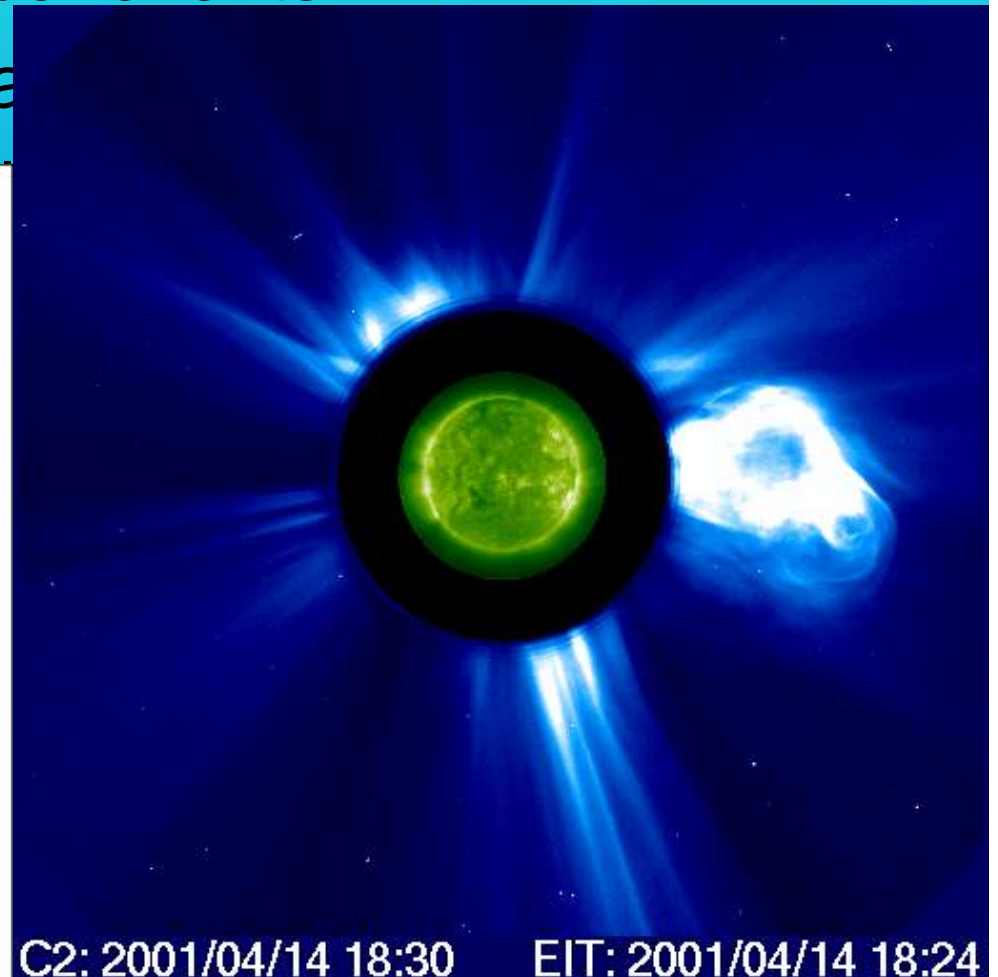
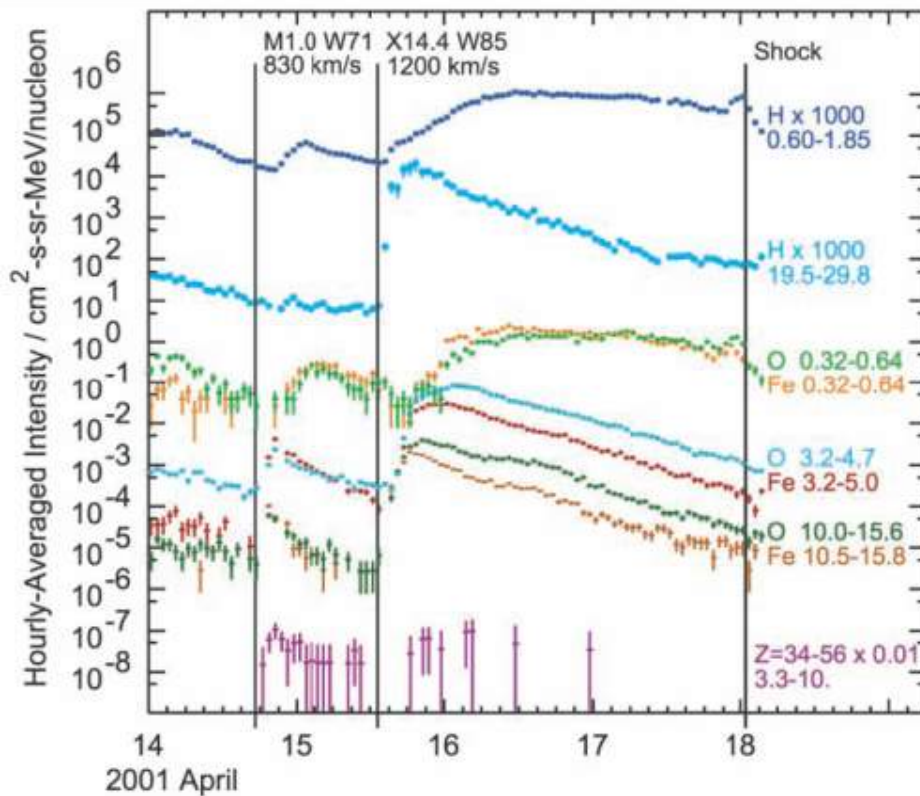
- How do we explain these results?
  - › Flare material in gradual events
  - › Energy dependent charge states and composition
  - › CMEs seen with impulsive SEP events
  - › Type IIIs seen with gradual SEP events
  - › gradual SEP events don't look like solar wind

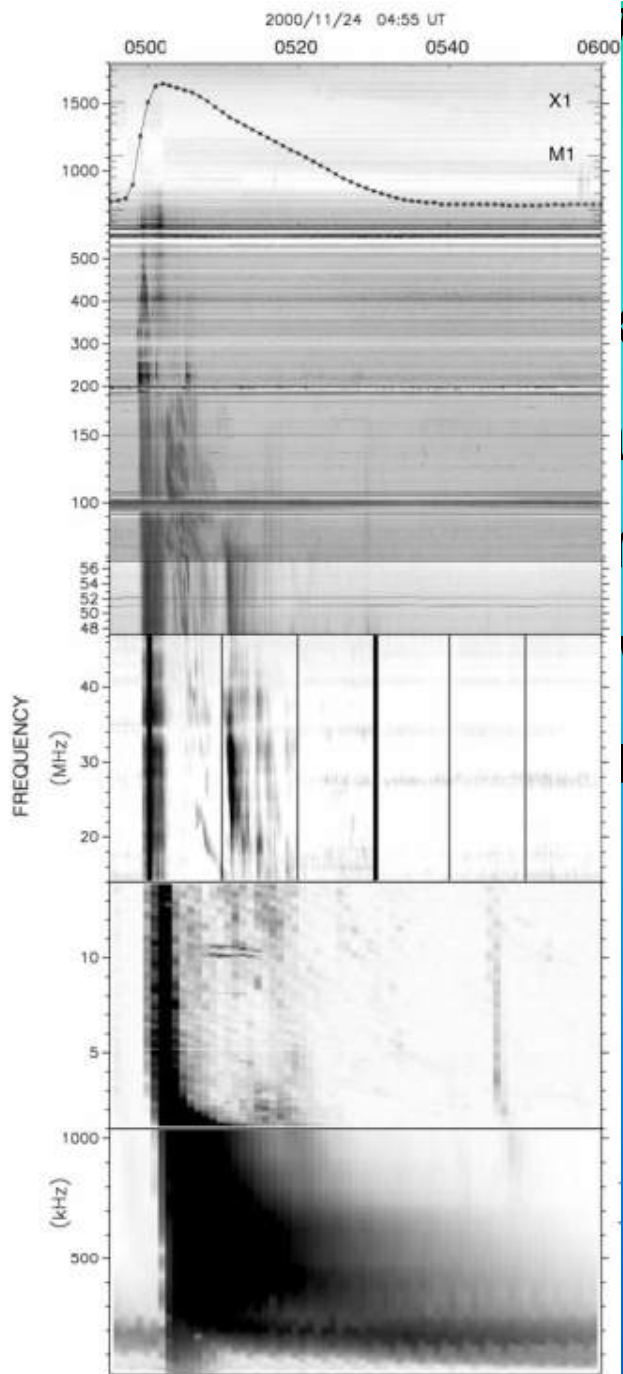
What    measure    history (old/new)    excitement



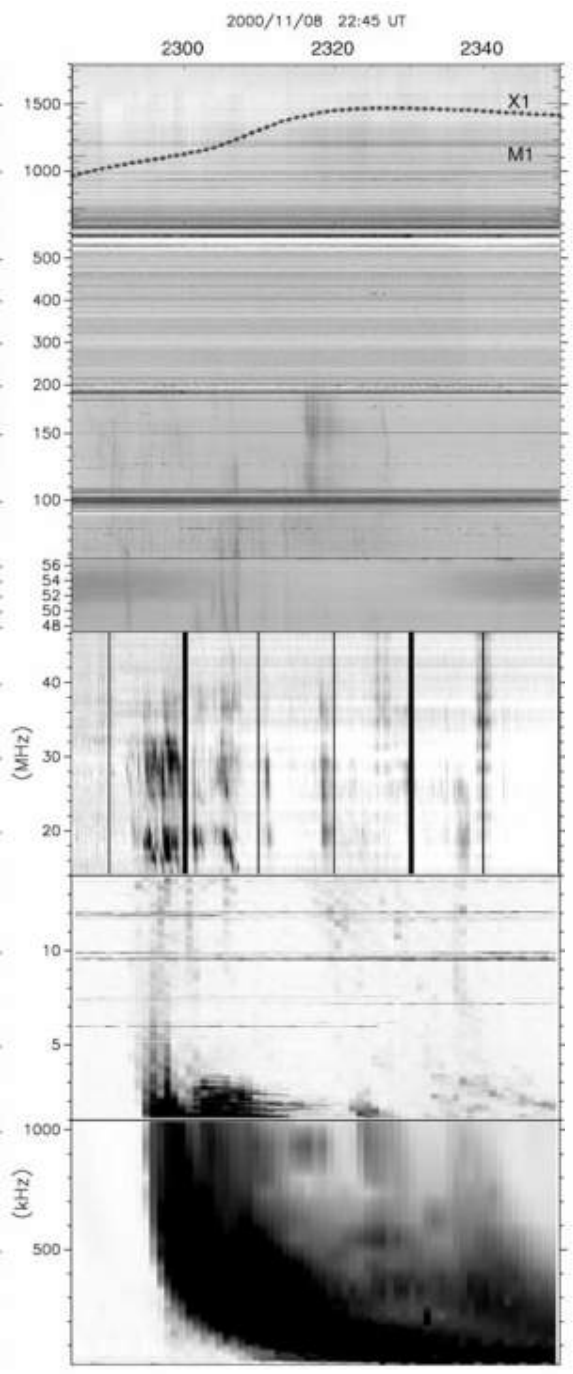
# What is New and Exciting About SEPs?

- How do we explain these results?
  - › Flare material in gradual events
  - › Energy dependent changes

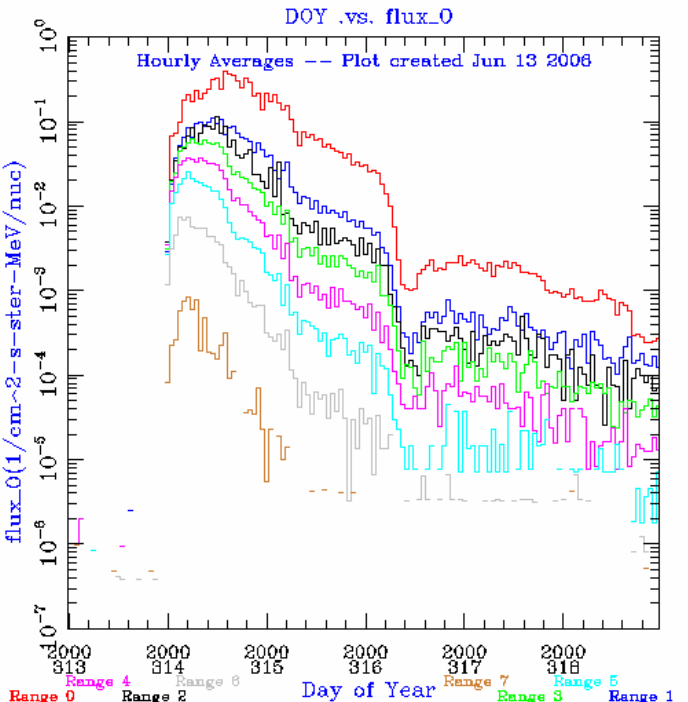




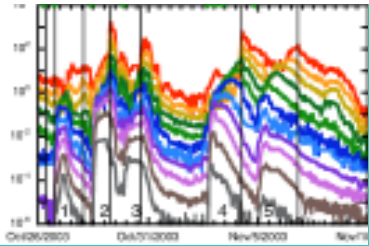
(a)



and Exciting  
About SEPs?  
ults?  
s

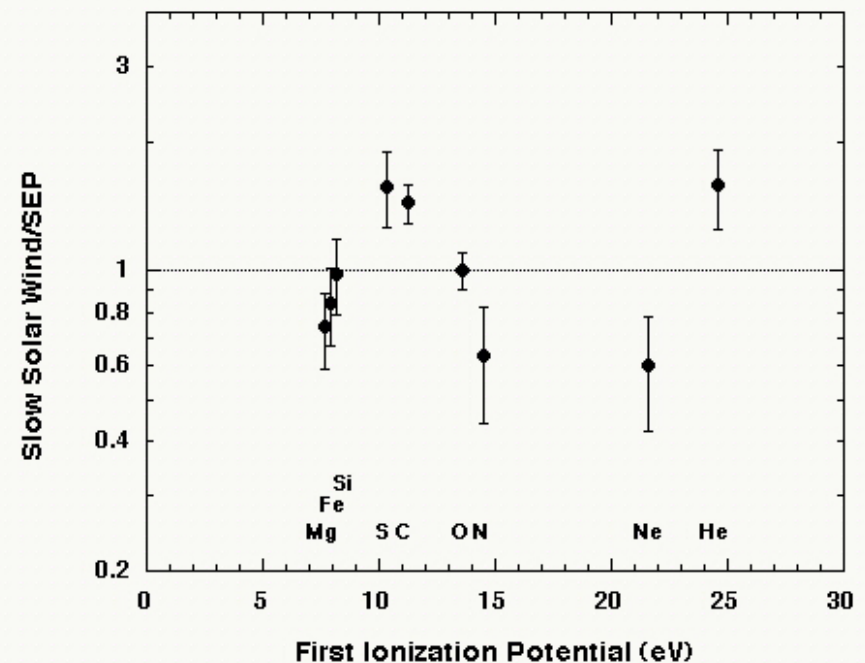
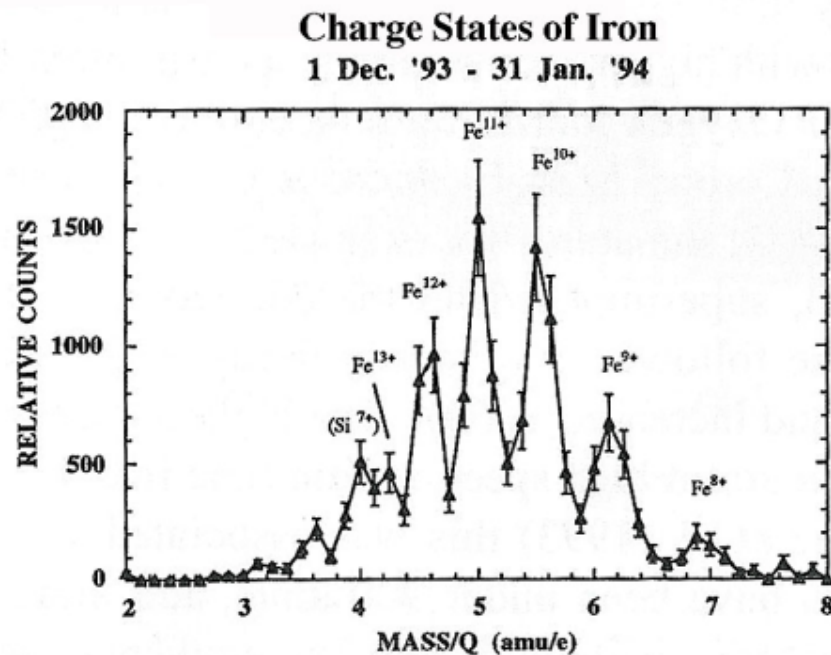


sure history (old/new) excitement



# What is New and Exciting About SEPs?

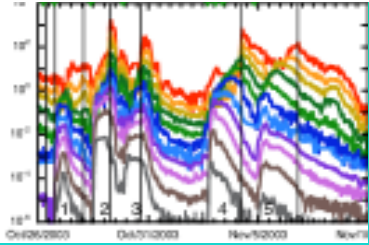
- How do we explain these results?
  - › Flare material in gradual events



Mewaldt et al. 2000

What measure history (old/new) excitement

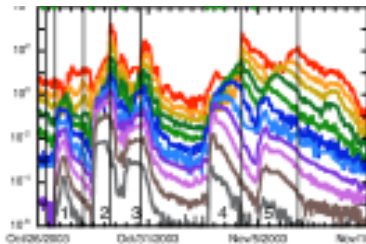




# What is New and Exciting About SEPs?

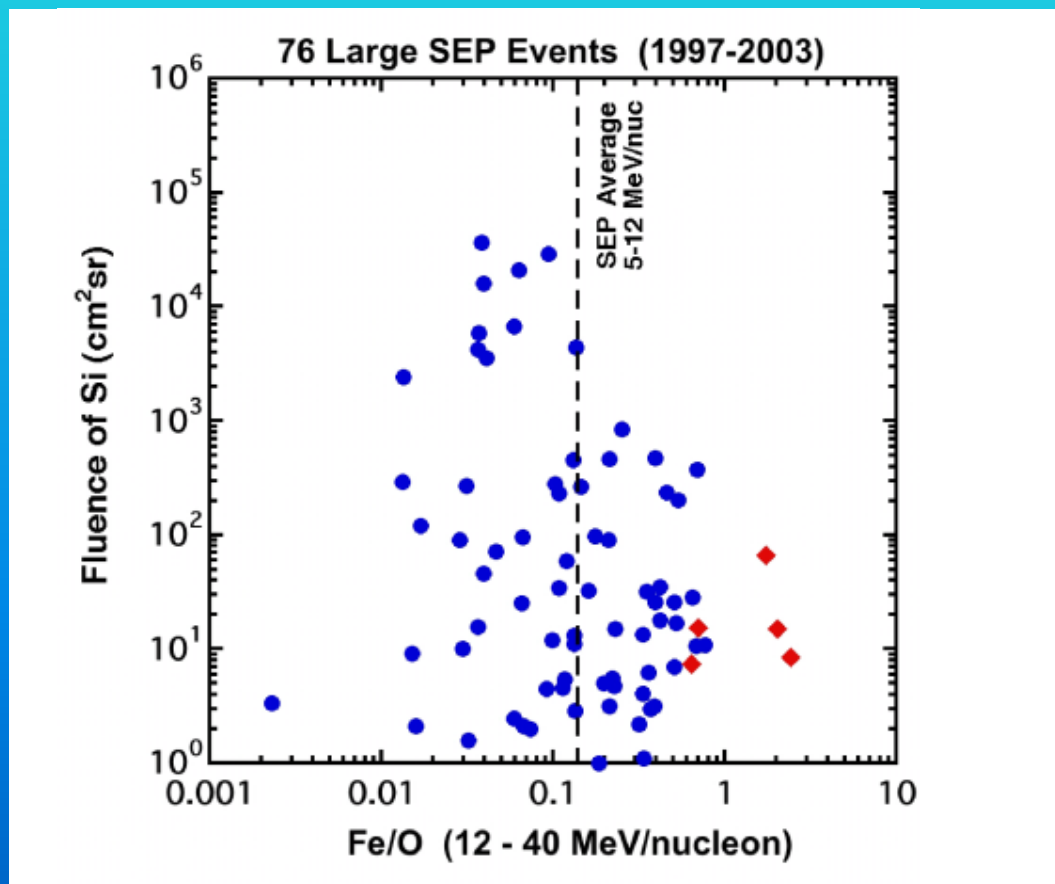
- Back to the big questions
  - › Are there 2 distinct classes of SEP events??
  - › What is being accelerated?
  - › How is it being accelerated?

What    measure    history (old/new)    excitement

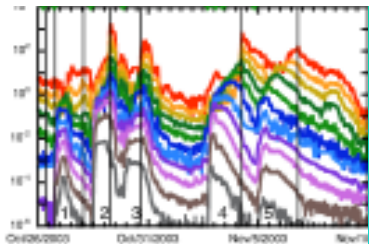


# What is New and Exciting About SEPs?

- Back to the big questions
  - › Are there 2 distinct classes of SEP events??

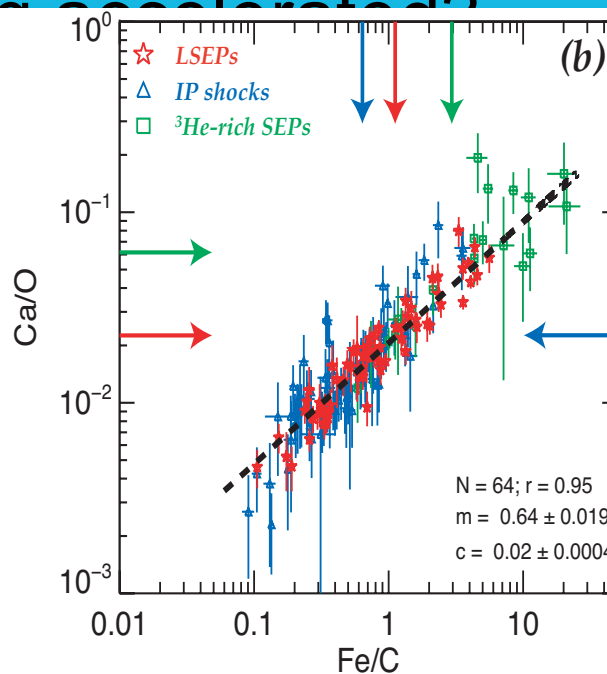
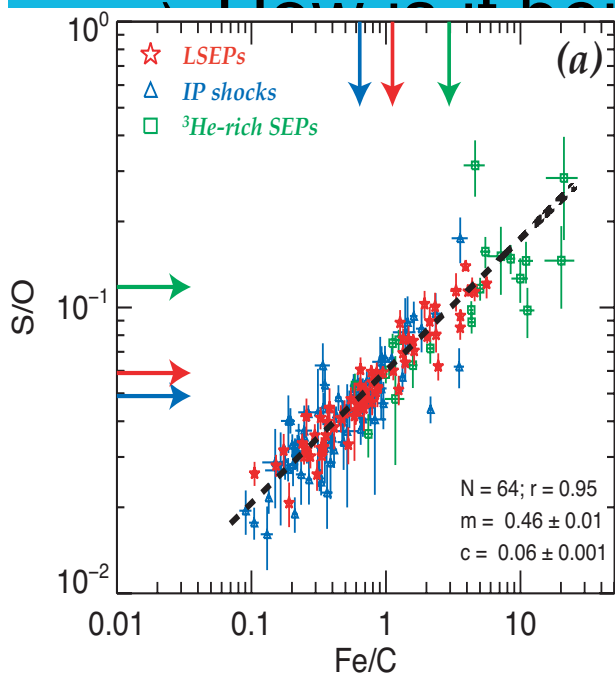


What measure history (old/new) excitement



# What is New and Exciting About SEPs?

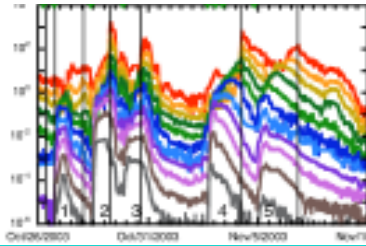
- Back to the big questions
  - › Are there 2 distinct classes of SEP events??
  - › What is being accelerated?



Probably not 2 separate classes of events

But 2 acceleration mechanisms (the hard part is distinguishing them in SEP observations)

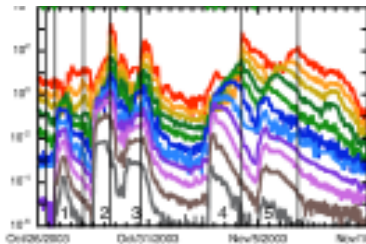
What measure history (old/new) excitement



# What is New and Exciting About SEPs?

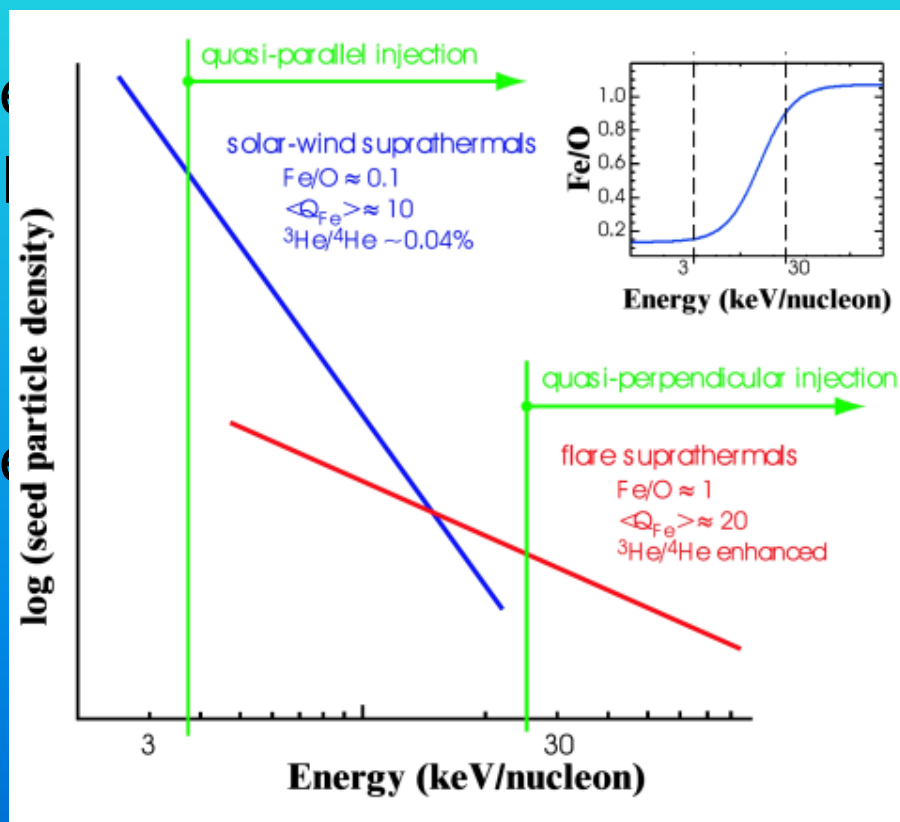
- Two competing theories
  - › Shock orientation
    - flare suprathermals present → energy-dependent composition of the seed population
    - perpendicular vs parallel shock difference
  - › Direct flare contribution
    - flare particles can escape
    - observation depends on
      - » connection to flare
      - » strength of shock
      - » size of flare

What    measure    history (old/new)    excitement



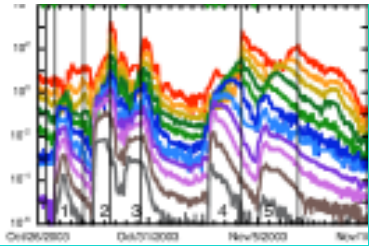
# What is New and Exciting About SEPs?

- Two competing theories
  - › Shock orientation
    - flare suprathermals preserve composition of the seed population
    - perpendicular vs parallel
  - › Direct flare contribution
    - flare particles can escape
    - observation depends on
      - » connection to flare
      - » strength of shock
      - » size of flare



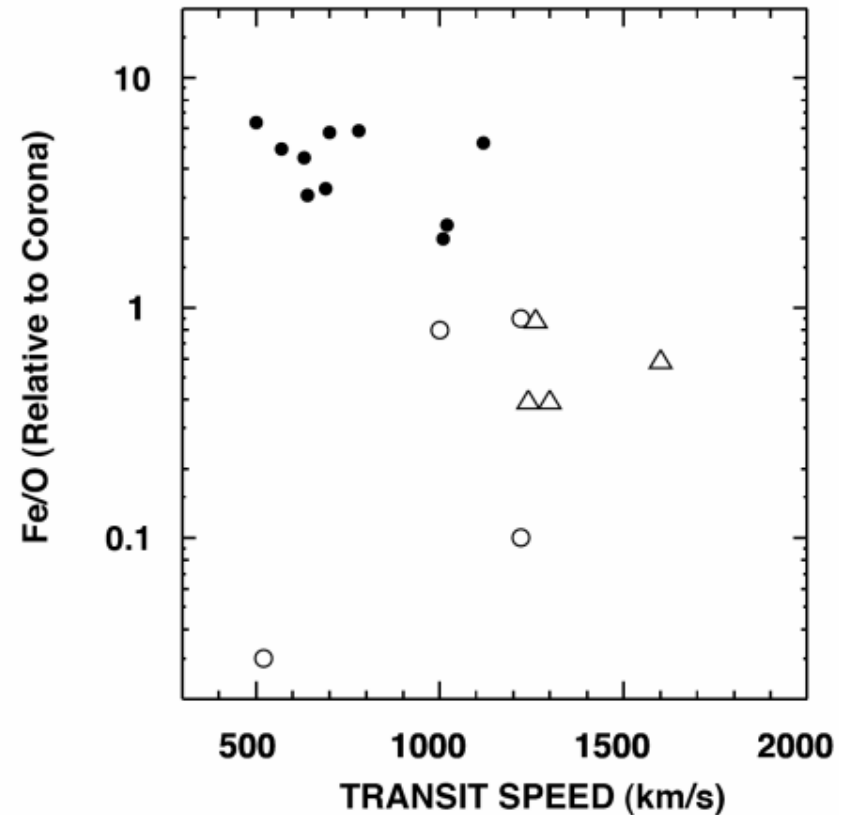
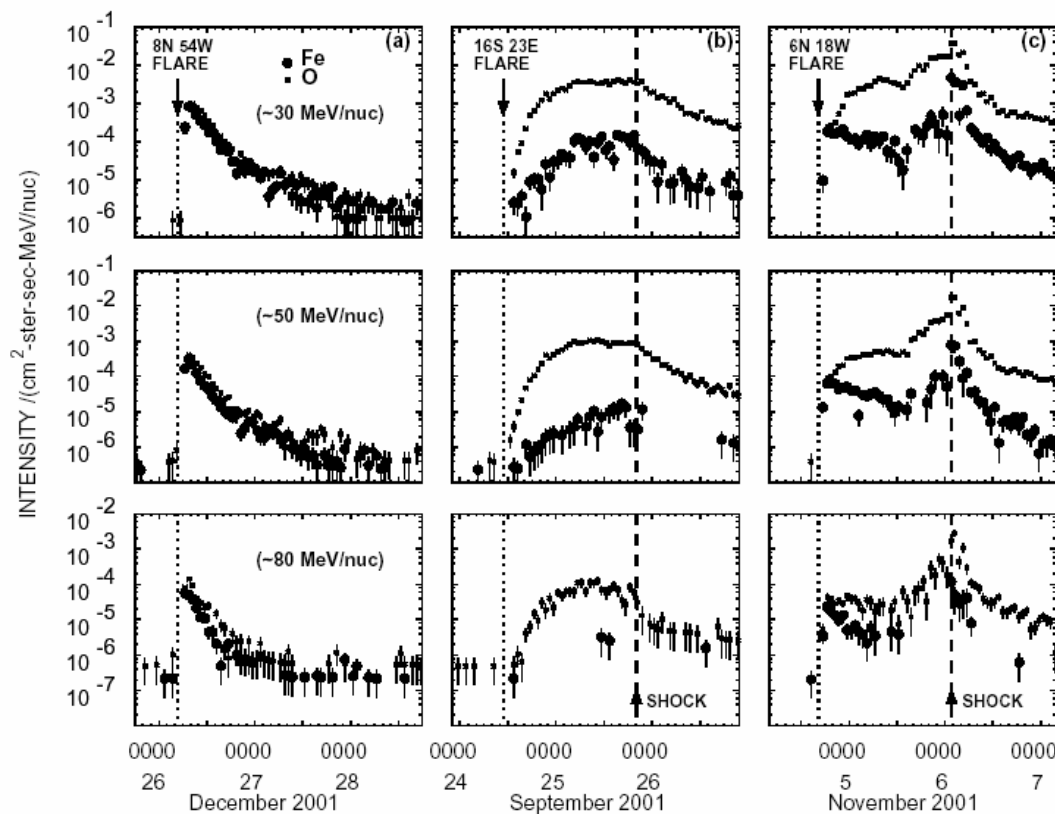
Tylka et al. 2005

What measure history (old/new) excitement



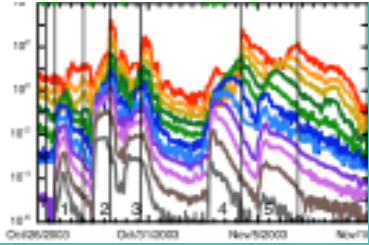
# What is New and Exciting About SEPs?

- Two competing theories



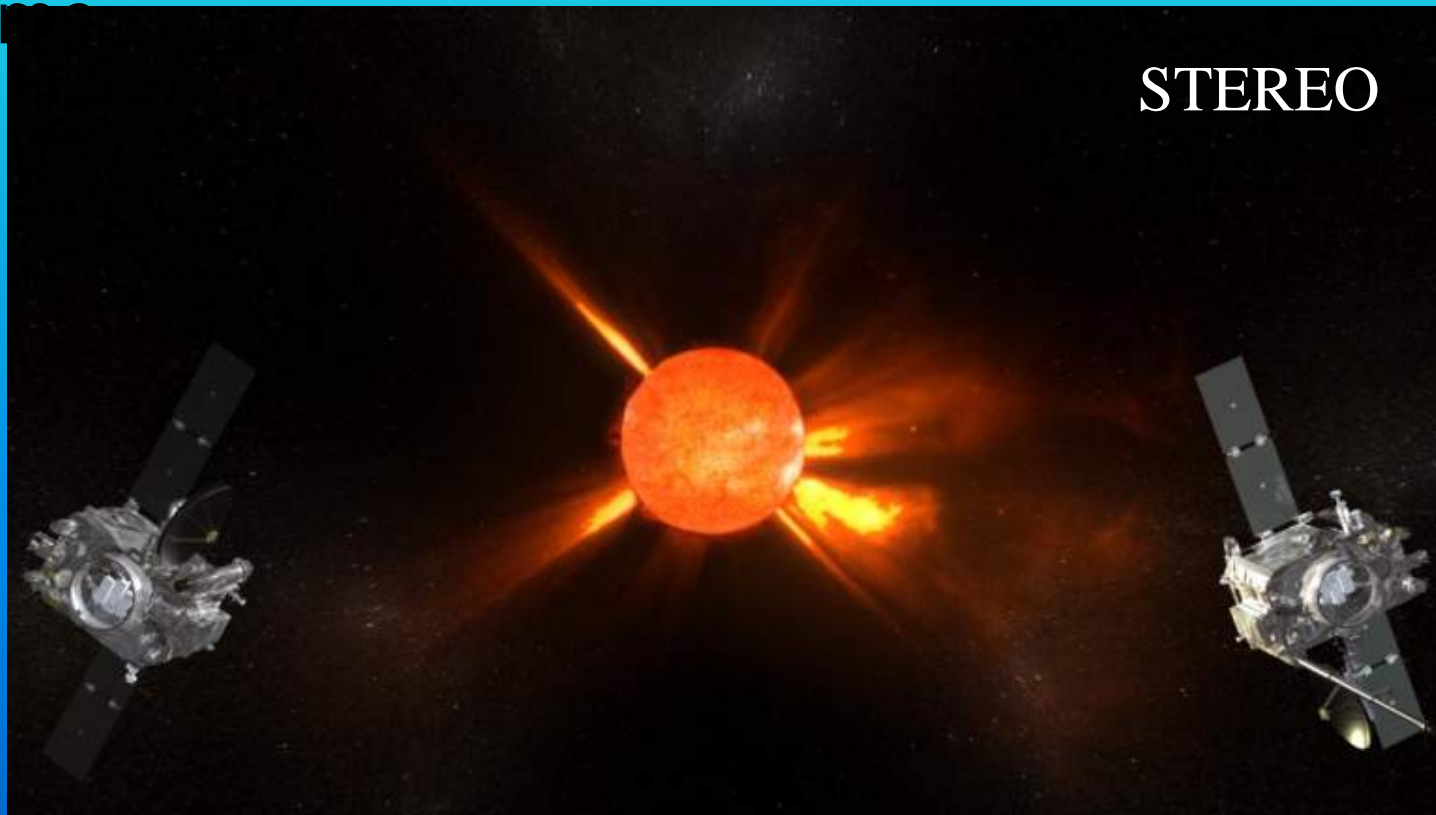
Cane et al. 2003

What measure history (old/new) excitement

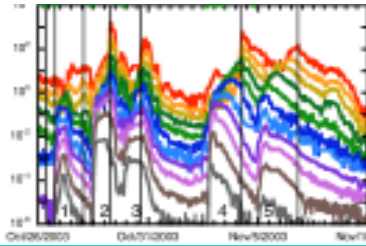


# What is New and Exciting About SEPs?

- Two competing theories - How to decide?
  - › Measurements at different longitudes at the same time

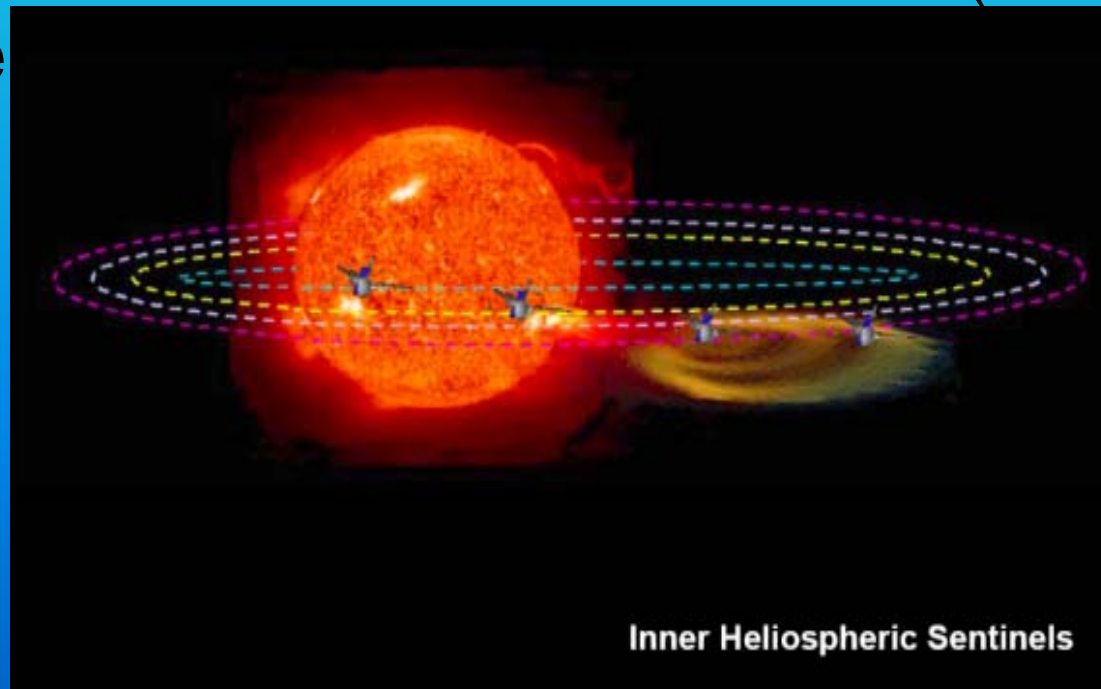


What    measure    history (old/new)    excitement



# What is New and Exciting About SEPs?

- Two competing theories - How to decide?
  - › Measurements at different longitudes at the same time
  - › Measurements closer to the Sun (and/or at different



What measure history (old/new) excitement