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GULF OF MEXICO PLANKTIC FORAMINIFER TRANSFER FUNCTION GOM2: PRELIMINARY REPORT

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INTRODUCTION AND BACKGROUND

Multivariate statistical analysis of microfossil census data from marine and terrestrial deposits has proved to be a powerful tool for paleoclimatic and paleoceanographic investigations. Despite the development of a number of proxy indicators of sea surface temperature (SST) (oxygen isotopes, Mg/Ca ratios, alkenones, etc.), the most enduring technique has been the transfer function pioneered by Imbrie and Kipp (1971). The method involves factor analysis and multiple regression to develop equations relating microfossil abundance data in modern (core-top) samples to physical parameters such as SST, salinity, dissolved oxygen content, etc. The equations can then be applied to downcore faunal census data to estimate past oceanographic conditions. The technique has been widely applied in paleoclimate studies using a variety of fossil groups from Pliocene to Holocene sediments (eg., Kipp, 1976; Sancetta, 1979; Thunell, 1979a,b; CLIMAP, 1981, 1984; Ruddiman and Esmay, 1986; Hays et al.,

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1989; Dowsett and Poore, 1990; Cronin and Dowsett, 1990, Dowsett et al., 1996; 1999).

The successful application of the transfer function technique, or any other method of paleontological reconstruction, depends upon two primary factors: (1) the assumption that ecological tolerances of indicator taxa do not change over time and (2) the existence of a taxonomically stable and well-dated calibration data set. The first factor must be assumed in any reconstruction and extends to isotopic and chemical proxy methods, as well as paleontologically based techniques (Dowsett and Robinson, 1998). The second factor, the modern calibration data set, is more problematic. When reconstructing mid Pliocene SST, Dowsett and Poore (1990) were able to use a calibration data set whose samples represented conditions during the last 30 ky. The middle Pliocene temperature signal was large with respect to late Pleistocene variability. In fact, the paleotemperature equations worked remarkably well on North Atlantic last glacial maximum (LGM) and last interglacial maximum (LIM) data sets (Dowsett, 1991).

When reconstructing Holocene SST exhibiting millenial and sub-millenial scale variability, the calibration data set must be isochronous. Dowsett et al. (2002) showed that in general, the last 1500 years of faunal variability in the Gulf of Mexico region is relatively constant. Our goal is to develop and document a factor analytic planktic foraminifer transfer function capable of reconstructing Holocene temperature changes in the Gulf of Mexico. We have selected a suite of accelerator mass spectrometer (AMS) ¹⁴C dated core-top samples (Dowsett et al., 2003) and factor analyzed the associated faunal assemblages. A set of equations were developed (transfer function GOM2) that relate modern physical oceanography to the faunal data. In this paper we outline the development of GOM2 and use it to delineate a record of surface temperature from piston cores in the northern and western Gulf of Mexico.

MODERN CORE-TOP DATA FROM THE GULF OF MEXICO

Core-top samples included in this report (Figure 1) were originally retrieved during Gulf of Mexico cruises of the *RV Vema*, *RV Robert Conrad*, *RV Trident*, *RV Gyre*, *RV Knorr*, and *RV Marion-Dufresne* between 1950 and 2002. Dowsett et al. (2003) did a preliminary culling of nearly 200 core-top samples with goals of (1) simplifying



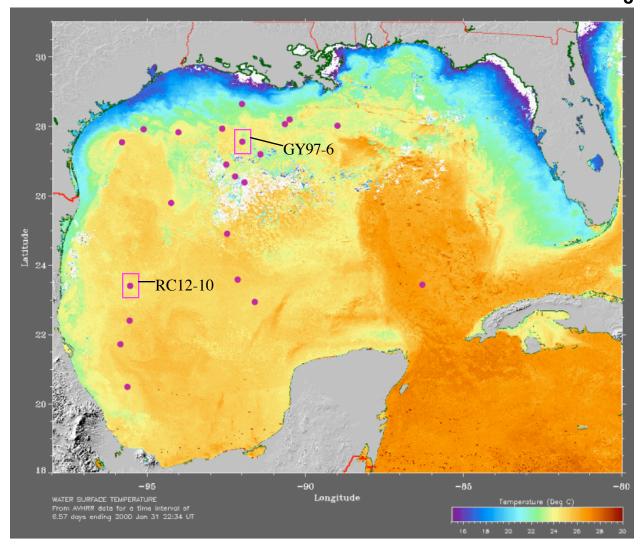


Figure 1. Distribution of Gulf of Mexico core-top samples (bullets) and location of piston cores (rectangles) used in this study displayed on January 2000 sea-surface temperature (SST) map. Advanced Very High Resolution Radiometer (AVHRR) data from a time interval of 6.57 days ending on January 31. Dark orange colors represent warmest SST (~29°C). The shallow near-shore waters of the U.S. Gulf Coast exhibit SST near 16°C. (map provided by Space Oceanography Group, Johns Hopkins University Applied Physics Laboratory)

taxonomic differences between samples identified by various workers (Brunner and Cooley, 1976; Brunner, 1982) and (2) dating assemblages with AMS ¹⁴C techniques to make the data more attractive as a calibration for Gulf of Mexico paleoenvironmental studies. The resulting database contains 161 samples. The 22 samples used in the present study (Appendix A) provide coverage of the central and western Gulf of Mexico. Samples are derived primarily from piston or gravity cores. Core MD02-2553

is a Calypso square core. Because core-top material is limited, Dowsett et al. (2003) often obtained dates from two samples near the core-top and then extrapolated to obtain a core-top age. In some cases, dates are directly from the highest sample in the core. In one instance (RC10-263), the above methodology was employed on piston core samples, but the fauna was derived from the trigger-weight core-top. The other two trigger-weight samples (RC10-268 and TR126-23) were dated directly. All samples have calendar year dates \leq 1500 years BP (Figure 2). Additional information on faunal and radiocarbon sample processing techniques can be found in Dowsett and Poore (2001) and Dowsett et al. (2003).

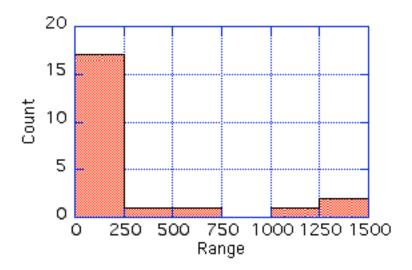
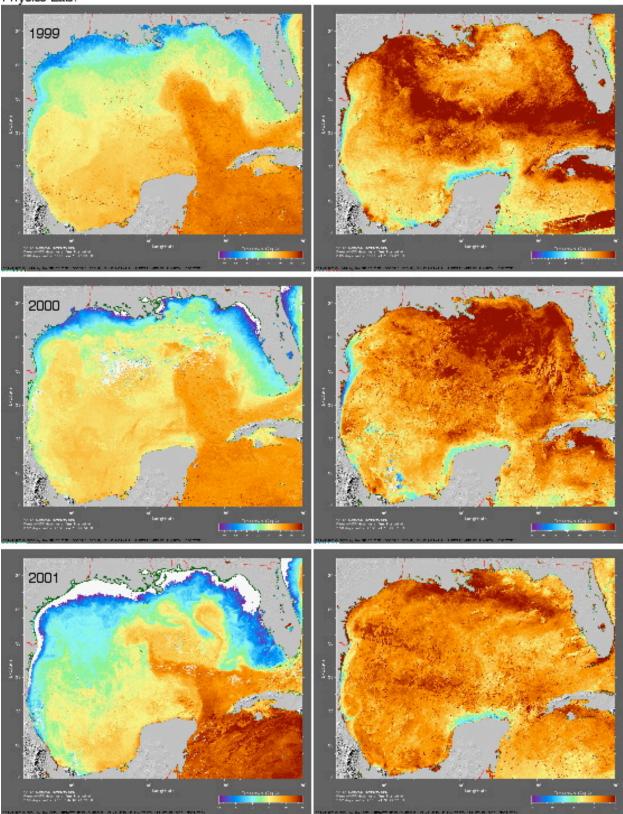


Figure 2. Distribution of sample ages for core-top samples included in study.

February and August SST were determined for each site using the Reynolds and Smith (1995) adjusted optimum SST data set. These SST values are given in Appendix A. February SST ranges from 18.99 °C to 25.13 °C while August SST has a mean value of 29.51 °C and a range of only 0.63 °C. Figure 3 shows that inter-annual variability of winter and summer SST in the Gulf of Mexico is very low. Summer conditions are warm everywhere except the shallowest nearshore regions. Summer maximum SST approaches 30 °C. During winter, the Loop Current is a persistent feature showing up

Figure 3. AVHRR composite images of winter (left) and summer (right) SST for Gulf of Mexico 1999-2001. Images provided by the Ocean Remote Sensing Group of the Johns Hopkins University Applied Physics Lab.



as an incursion of very warm Caribbean water advecting into the Gulf of Mexico and passing out to the Atlantic through the Florida Strait. Figure 3 further illustrates the fact that summer conditions in the Gulf of Mexico are uniformaly warm with minor geographic variability.

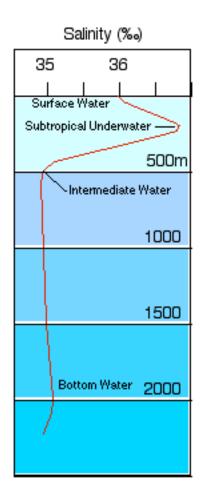


Figure 4. Generalized salinity profile near Yucatan Channel showing Surface Water, Subtropical Underwater core, Intermediate Water core and Botttom Water

A vertical salinity profile (Figure 4) located in the path of the Loop Current in the northern Yucatan Channel identifies the water masses present in the Gulf Basin. The high salinity subtropical underwater originating in the Carribbean is overlain by lower salinity surface water of the Gulf of Mexico Basin. During the summer monsoon season, winds are out of the southeast, and precipitation increases leading to lower salinity surface water due to local precipitation increase and increased runnoff from

southwestern North America. During winter, the intertropical convergence zone (ITCZ) moves south, precipitation decreases, and surface waters become more saline.

For each faunal sample, we tallied the number of individual planktic foraminifers in each of 30 counting categories according to the taxonomic concepts of Parker (1962, 1967), Blow (1969), Kipp (1976), and Kennett and Srinivasan (1983). Those categories with a maximum of one individual in 2 or less samples were deleted from the data set. The resulting 24 categories are given in Table 1.

Table 1. Taxonomic categories

Taxon	Maximum Occurrence		
	(% of sample)	Code	
Orbulina universa	8.47	univ	
Globigerinoides conglobatus	3.33	cglb	
Globigerinoides ruber	72.27	rubr	
Globigerinoides tenellus	3.25	tenl	
Globigerinoides sacculifer	28.37	sacc	
Sphaeroidinella dehiscens	0.46	dhsc	
Globigerinella aequilateralis	10.83	aqui	
Globigerinella calida	7.38	cald	
Globigerina bulloides	12.38	bull	
Globigerina falconensis	8.94	falc	
Globigerina digitata	1.66	digt	
Globigerina rubescens	4.41	rbsc	
Turborotalita quinqueloba	0.46	quin	
Neogloboquadrina dutertrei	14.67	dutr	
Pulleniatina obliquiloculata	19.41	obqa	
Globorotalia inflata	0.71	infl	
Globorotalia truncatulinoides (s)	1.27	trcs	
Globorotalia truncatulinoides (d)	17.00	trcd	
Globorotalia crassaformis	2.85	crss	
Neogloboquadrina pachyderma –			
Neogloboquadrina dutertrei (P - D)	0.99	dupc	
Globorotalia scitula	1.93	scit	
Globorotalia menardii	17.67	mnrd	
Candeina nitida	1.06	nitd	
Globigerinita glutinata	12.91	glut	

Both "pink" and "white" varieties of *Globigerinoides ruber* (d'Orbigny) were combined into one counting category. Likewise, the *Globigerinoides sacculifer* (Brady) category contains specimens of *Globigerinoides quadrilobatus* (d'Orbigny) and *Globigerinoides trilobus* (Reuss).

The Neogloboquadrina pachyderma - Neogloboquadrina dutertrei (P - D) intergrade category contains specimens of right-coiling Neogloboquadrina with more than four chambers in the final whorl, transitional between Neogloboquadrina pachyderma (Ehrenberg) and Neogloboquadrina dutertrei (d'Orbigny). While not a quantitatively significant taxon in the Gulf of Mexico, the category was retained to simplify comparisons with previous North Atlantic work (Imbrie and Kipp, 1971). The Globorotalia menardii (Parker, Jones, and Brady) complex includes specimens of Gl. menardii, Globorotalia tumida (Brady) s.l., and Globorotalia ungulata Bermudez.

FACTOR ANALYSIS

The 24 taxonomic categories were normalized in each of the 22 samples to give each sample equal weight. Q-mode factor analysis was used to reduce the 24 original taxonomic categories to 5 varimax factors (assemblages). Modern assemblages can then be expressed as proportions of assemblages. The contributions of each of the factors to each of the modern samples, as well as sample communalities, are given in the factor loading matrix (Table 2).

Table 2. Varimax factor loadings

Sample	Comm.	F1	F2	F3	F4	F5
1	.9931	.4419	5482	.6902	1195	.0812
2	.9906	.4438	6548	.5650	1056	.1861
3	.9944	.3544	3728	.8235	0691	2164
4	.9819	.2486	8460	.4454	.0725	.0262
5	.9741	.6214	5563	.4988	1325	.1107
6	.9949	.5071	6397	.5235	2180	.0835
7	.9883	.5927	5453	.5347	2285	.0376
8	.9775	.4567	4442	.7062	1453	.2277
9	.9926	.5556	6044	.5026	2534	.0412

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10	.9958	.5455	6714	.4606	1832	.0398
11	.9775	.5617	6975	.3843	1601	0460
12	.9881	.7013	3378	.6035	1307	.0291
13	.9897	.7323	3430	.5734	0267	.0794
14	.9547	.6413	3933	.6103	1202	.0430
15	.9833	.7287	4788	.4681	0298	0549
16	.9937	.6508	6511	.2604	2786	0274
17	.9935	.5079	4556	.7177	1059	.0401
18	.9956	.6564	5194	.4940	2160	.0656
19	.9819	.6579	3532	.5970	2533	.0623
20	.9855	.5565	5187	.6223	0963	.1010
21	.9658	.7405	5024	.3992	.0522	.0545
22	.9607	.4954	4115	.7162	0352	.1781
% Varian	ice	33.290	29.240	32.362	2.469	1.064
% Cum. \	Variance	33.290	62.529	94.891	97.360	98.424

The 5 factor model accounts for 98.9 % of the original Gulf of Mexico data. The first three factors are all of equal importance and account for ~95% of the cumulative variance. For this reason, only the first three factors are interpreted below but all are included for completeness. The relative importance of each of the 24 counting categories to each factor are presented in the factor score matrix (Table 3).

Table 3. Varimax assemblage description matrix

Taxon	F1	F2	F3	F4	F5
univ	0873	1101	.0845	.0748	.2110
cglb	0102	.0102	.0837	.0641	0755
rubr	.5880	6535	.2609	3937	0088
tenl	.0570	0112	0356	.0425	0170
sacc	3123	5761	.1166	.5067	.1218
dhsc	0081	0086	.0062	.0067	0149
aqui	.1555	.0599	.0738	.2492	.1012
cald	.0765	0281	.1055	.2564	.0722
bull	.4071	.1635	0504	.2811	1526
falc	.2727	.0191	1219	.2846	0318
digt	.0261	.0011	0042	.0566	.0304
rbsc	.0131	0241	0218	.0031	0418
quin	.0023	0018	0014	.0007	0014
dutr	.1227	.1786	.3685	.1242	4438

obqa	.1170	.2040	.3861	.0851	.7578	
infl	0022	0101	.0014	.0237	0029	
trcs	0046	0072	.0098	0104	0232	
trcd	.0623	.3189	.5694	1221	0377	
crss	.0158	.0102	.0320	0080	0733	
dupc	.0092	0059	0012	.0379	0093	
scit	.0023	.0007	.0048	.0071	.0206	
mnrd	3148	1421	.4955	.1634	3300	
nitd	0101	0196	0012	.0198	.0022	
glut	.3763	.0148	1128	.4674	0624	
othr	.0309	.0156	.0032	.0169	0068	

Insight into the interpretation of factor 1 (F1) can be gained by looking at the factor loadings (Table 2). Most samples have fairly consistent loadings on F1 except for sample 4 (=RC10-262) located furthest east, in the path of the Loop Current, which has a low loading. This is the only site that monitors the full effect of the advection of Carribean water into the Gulf of Mexico. Caribbean surface water transports *Globigerinoides sacculifer* into the Gulf of Mexico, and the planktic assemblage from RC10-262 contains nearly 39% *Globigerinoides sacculifer*. We interpret FI to represent normal warm GOM conditions. Table 3 shows that *Globigerinoides ruber*, *Globigerinita glutinata*, and *Globigerina bulloides* all make significant contributions to this assemblage.

Factor 2 appears to contrast dextral coiling *Globorotalia truncatulinoides* and *Pulleniatina obliquiloculata* with *Globigerinoides ruber* and *Globigerinoides sacculifer*. This factor may represent the contrast between a shoaling or diving thermocline. When positive, F2 repesents "normal" conditions with either *Globigerinoides* species in abundance. When the thermocline shoals, deeper dwelling planktics like *Pulleniatina obliquiloculata* and *Globorotalia truncatulinoides* make greater contributions to the assemblage.

Some of the heavier, deeper dwelling planktic species have significant contributions to Factor 3. *Globorotalia menardii, Pulleniatina obliquiloculata,* and *Neogloboquadrina dutertrei and Globorotalia truncatulinoides* are the most important of this group.

Factors 4 and 5 together account for a small percentage of the variance and are

DERIVATION OF TRANSFER FUNCTION GOM2

Transfer functions are sets of equations which relate physical oceanographic parameters to faunal data. Transfer function F13 (Kipp, 1976) contained 16 equations estimating temperature and salinity at the sea-surface and 100m depth. Transfer function GSF18 (Dowsett and Poore, 1990; Dowsett, 1991) was a set of two equations that related 5 varimax assemblages derived from a revised and simplified North Atlantic modern core-top data to winter and summer SST. Brunner (1979) developed a transfer function for the Gulf of Mexico based upon the North Atlantic core-top data from CLIMAP in combination with her own database from the Gulf of Mexico.

During the development of the present transfer function, we experimented with several different taxonomic groupings. One early experiment (hereafter called GOM1) utilized 30 counting categories and was based upon the Gulf of Mexico portion of the Brunner (1979) work. Transfer function GOM1 related 5 factors or assemblages to winter and summer SST. The primary difference between GOM1 and GOM2 lies in the age calibration of samples and number of samples used.

Transfer function GOM2, derived in this study, utilizes taxonomic categories and relates 5 varimax assemblages to winter and summer SST in the Gulf of Mexico Basin. Standard multiple regression techniques have been applied to write paleoecological equations of the form

$$Y_{est} = B_{ct}^2 K + k_0$$

where Y_{est} = the paleoecological estimates, B_{tt}^2 = the cross product matrix formed by arranging the varimax factors, their squares and cross products into a matrix, K = a vector of regression coefficients corresponding to the columns of B_{tt}^2 , and k_0 is the intercept of the equation.

Table 4. Coefficients of terms and intercept of equation GOM2, multiple correlation coefficients, and standard errors of estimate for February and August.

	$\mathrm{SST}_{\mathrm{FEB}}$	SST_{AUG}	
Multiple correlation coefficient	0.95	0.87	
(adjusted for degrees of freedom)			
Standard error of estimate (°C)	1.76	0.06	
(adjusted for degrees of freedom)			
Factor 1	-16.47	-6.32	
Factor 2	3.12	7.32	
Factor 3	-1.09	-7.79	
Factor 4	-10.26	0.81	
Factor 5	-1.11	2.30	
Factor 1 x Factor 2	-7.93	-3.89	
Factor 1 x Factor 3	6.37	4.22	
Factor 1 x Factor 4	7.52	-0.47	
Factor 1 x Factor 5	1.06	-1.28	
Factor 2 x Factor 3	1.32	-5.01	
Factor 2 x Factor 4	-5.63	0.56	
Factor 2 x Factor 5	-1.60	1.32	
Factor 3 x Factor 4	3.82	-0.56	
Factor 3 x Factor 5	1.13	-1.56	
Factor 4 x Factor 5	6.26	0.66	
Factor 1 squared	8.61	1.66	
Factor 2 squared	0.27	2.17	
Factor 3 squared	-1.02	2.46	
Factor 4 squared	-1.06	-0.17	
Factor 5 squared	-1.38	0.33	
Intercept	5.18	6.21	
•			

The statistics of GOM2 are given in Table 4. The multiple correlation coefficients for February and August SST are .95 and .87 respectively. Analysis of the residuals (measured as observed SST minus estimated SST) shows that they are generally randomly distributed with respect to observed temperature (Figure 5). The standard

error of estimate for February temperatures (1.76 $^{\circ}$ C) is higher and for summer temperatures (0.06 $^{\circ}$ C) is lower than the standard errors associated with GSF18 or F13 (Dowsett, 1991). The small range of August SST in the calibration data set makes GOM2 unreliable for down-core August SST reconstruction.

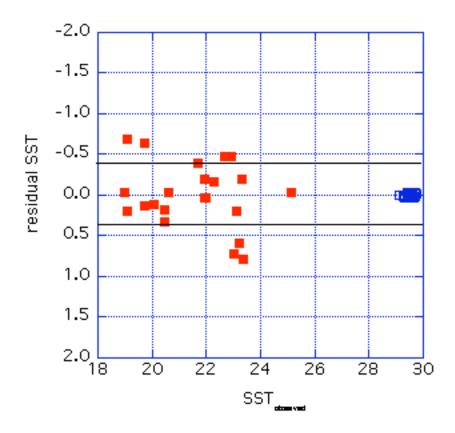


Figure 5. Plot of residuals (observed minus estimated) for winter (red) and summer (blue) season from equation GOM2. Horizontal lines represent ±1 for February.

PISTON CORES RC12-10 AND GYRE97-6PC20

Faunal assemblages from two piston cores in the northern and westen Gulf of Mexico were selected to test GOM2 (Figure 1). Faunal data from both cores are found in Appendix B. Stable isotope data from RC12-10 are in Appendix C. Sampling, processing, and dating of these sequences are discussed in Poore et al. (in press).

Overall, the application of GOM2 to both cores was successful (Table 5). Tranformation of the faunal data into factors determined from the core-top factor analysis (B_{t}) was accomplished by post-multiplication of the row normalized percent faunal data (U) by the factor description matrix (F). The coefficients from the regression analysis (Table 4) were then applied to the estimated factors to produce SST estimates for both February and August for each core (Appendix C.) Communality estimates suggest that the core-top factor model does an adequate job of accounting for the variability found in the down-core assemblages.

Table 5. Transfer function GOM2 performance

RC1210		February	August
	Minimum		27.7
	Maximum	25.5	29.7
	Range	9.4	2.0
	Mean	22.6	29.2
GYRE97-6, PC20		February	August
	Minimum	20.4	28.6
	Maximum	25.6	29.7
	Range	5.2	1.2
	Mean	22.9	29.4

As expected, August SST estimates have a smaller standard deviation than the February estimates due to the small range in the August calibration data. February temperature at RC12-10 ranged between 16°C and 25°C with a mean of 22.6°C. August temperature ranged from 27.7°C to 29.7°C with a mean of 29.2°C. February temperature for the GYRE97-6, PC20 core ranged from 20.4°C to 25.6°C with a mean of 22.9°C. August temperature ranged from 28.6°C to 29.7°C with a mean of 29.4°C. In those GYRE97-6, PC20 samples where February temperature ranged higher than the calibration data, there was a definite decrease in communality, suggesting a less than ideal fit between the downcore assemblages and the core-top factor model (see discussion).

DISCUSSION

Development of GOM2 went through a number of phases with various core-top sample groupings and taxonomic schemes. All previous attempts at creating a transfer function for the Gulf of Mexico involved using core-top data that was not well dated. Inclusion of these data in the factor analysis led to greater faunal variability at any one geographic location (due to mixing of Holocene and glacial age faunas). Greater core-top variability led to a noiser factor solution and less than desirable correlation of those factors to modern oceanographic data. However, these experimental solutions were robust in that they could account for down-core variability. Thus, SST estimates were obtained that appeared realistic yet were not accurate.

GOM2 has a better correlation coefficient than the earlier attempts. However, the regression is very sensitive to small faunal changes outside the variability encountered in the core-top calibration data. Further work needs to be done to make GOM2 more robust.

CONCLUSION

This preliminary analysis of equation GOM2 is encouraging. The single biggest strength of GOM2 is that the calibration data have been dated using AMS ¹⁴C, thereby removing a major pitfall associated with previous planktic foraminifer transfer functions. The number of samples in the calibration data set is small and should be increased. Inclusion of well dated samples from outside the Gulf of Mexico should increase the performance of GOM2. The low variability in inter-anual summer temperatures makes GOM2 highly sensitive and not useful for estimating August SST. Using the transfer function as a semiquantitative indicator of warming and cooling is more appropriate. Further analysis of the assemblages that lead to low communality estimates should provide insight into creating a more robust method for estimating Gulf of Mexico SST.

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