

Use of Advanced Borehole Geophysical Techniques to Delineate Fractured-Rock Ground-Water Flow and Fractures Along Water-Tunnel Facilities in Northern Queens County, New York

Advanced borehole geophysical methods were used to assess the geohydrology of crystalline bedrock along the course of a new water tunnel for New York City. The logging methods include natural gamma, spontaneous potential, single-point resistance, mechanical and acoustic caliper, focused electromagnetic induction, electromagnetic resistivity, magnetic susceptibility, borehole-fluid temperature and conductance, differential temperature, heat-pulse flowmeter, acoustic televiewer, borehole deviation, optical televiewer, and borehole radar. Integrated interpretation of the geophysical logs from an 825-foot borehole (1) provided information on the extent, orientation, and structure (foliation and fractures) within the entire borehole, including intensely fractured intervals from which core recovery may be poor; (2) delineated transmissive fracture zones intersected by the borehole and provided estimates of their transmissivity and hydraulic head; and (3) enabled mapping of the location and orientation of structures at distances as much as 100 ft from the borehole.

Analyses of the borehole-wall image and the geophysical logs from the borehole on Crescent Street, in northern Queens County, are presented here to illustrate the application of the methods. The borehole penetrates gneiss and other crystalline bedrock that has predominantly southeastward dipping foliation and nearly horizontal and southeastward-dipping fractures. The heat-pulse flowmeter logs obtained under pumping and nonpumping conditions, together with the other geophysical logs, indicate five transmissive fracture zones. More than 90 percent of the open-hole transmissivity is associated with a fracture zone



272 feet BLS (below land surface). A transmissive zone at 787 feet BLS that consists of nearly parallel fractures lies within the projected tunnel path; here the hydraulic head is 12 to 15 feet lower than that of transmissive zones above the 315-foot depth. The 60-megahertz directional borehole radar logs indicate the location and orientation of two closely spaced radar reflectors that would intersect the projection of the borehole below its drilled depth.

Subsequent excavation of the tunnel past the borehole allowed comparison of the log analysis with conditions observed in the tunnel. The tunnel was found to intersect gneiss with southeastward dipping foliation; many nearly horizontal fractures; and a southeastward dipping fracture zone whose location, character, and orientation was consistent with that of the mapped radar reflectors. The fracture zone produced inflow to the tunnel at a rate of 50 to 100 gallons per minute. All conditions indicated by the logging methods were consistent with those observed within the tunnel.

INTRODUCTION

In 1970, the New York City Department of Environmental Protection (NYCDEP) began construction of a third tunnel to supply water to New York City in the event that one of the two existing tunnels should require repair. Test borings were drilled to identify the type of rock and its degree of fracturing at the proposed tunnel depths, but the cores were not oriented, and the coring process can cause new fractures and can alter the extent of existing fractures. Furthermore, neither the hydraulic characteristics of the fractures, nor the vertical deviation of the boreholes, were known.

In 1998, the U.S. Geological Survey (USGS), in cooperation with the NYCDEP, began a study

to demonstrate the use of advanced borehole geophysical methods to provide a more comprehensive geologic and hydrologic assessment of the crystalline bedrock along the proposed tunnel routes. These techniques were used in the available boreholes to identify the bedrock lithology and major contacts; the location and orientation (true strike and dip) of fractures and foliation of the rock intersected by the borehole, and the hydraulic characteristics of transmissive fracture zones. This report describes the use of these methods in a borehole on Crescent Street in northern Queens County (fig. 1) and summarizes the geophysical interpretations.



Figure 1. Location of the Crescent Street borehole, in northern Queens County, N.Y.

BOREHOLE-GEOPHYSICAL LOGGING METHODS AND APPLICATIONS

Borehole-geophysical logs collected in this investigation included natural gamma, spontaneous potential, single-point resistance, mechanical and acoustic caliper, focused electromagnetic induction, magnetic susceptibility, borehole-fluid temperature and conductance, differential temperature, heat-pulse flowmeter, acoustic televiewer, borehole deviation, optical televiewer, and borehole radar. These logs and their applications are described briefly below.

- *Natural-gamma (gamma) logs* are a record of the total gamma radiation detected in a borehole (Keys, 1990) and are most commonly used for lithologic and stratigraphic correlation. Radioisotopes in bedrock minerals such as feldspar and mica are instrumental in correlating lithology. Clay minerals within fractures may be indicated by elevated gamma responses.
- *Spontaneous-potential (SP) logs* provide a record of the naturally occurring electrical potential difference along the borehole and can be used to identify changes in lithology, bed thickness, streaming potential due to water movement, and salinity of formation water under some geologic conditions (Keys, 1990).
- *Single-point resistance (SPR) logs* provide a measure of the electrical resistance of the rock or formation, and are used to obtain qualitative lithologic information with a depth resolution approximately equal to the borehole diameter.
- *Caliper logs* provide information on lithology and the location of major fractures. Mechanical calipers use spring-loaded arms to profile the surface of the borehole wall. Acoustic calipers calculate the distance to the borehole wall from the travel time of the acoustic signal emitted from an acoustic televiewer (ATV) to provide high-resolution, compass-oriented logs (Keys, 1990).
- *Focused electromagnetic induction (EM) logs* provide a profile of formation electrical conductivity, from which ground water conductivity in situ can be inferred, with respect to depth. This technique uses an electromagnetic emitter coil to induce electrical eddy currents within a specified volume of the surrounding formation to generate a secondary electromagnetic field. The intensity of the secondary field received by the receiver coil is proportional to the formation's conductivity (Keys, 1990; Serra, 1984; Keys and MacCary, 1971).
- *Magnetic-susceptibility (MAG) logs* provide a record of the variations in magnetic minerals within the surrounding rock. Magnetite is the most common magnetic mineral, and local variations in its abundance may indicate lithologic contacts (McNeill and others, 1996).

• *Temperature logs* measure the temperature of the fluid column as a function of depth and, if a temperature contrast is present, can provide information on the movement of ground water through the borehole and the location of areas that produce or accept water (Keys, 1990).

• *Differential temperature logs* depict the rate of change in temperature relative to depth, and help to indicate the location of areas of contrasting temperatures that are sometimes the result of inflow or outflow.

• *Borehole fluid conductance logs* of water in a borehole provide a record of the fluid's electrical conductance to provide an indication of salinity, and may also be useful to indicate zones of inflow or outflow if fluid conductivity contrasts are present.

• *Heat-pulse flowmeter logs* measure vertical flow in boreholes using a thermal tracer, and can indicate which fractures are producing or accepting water in a borehole under pumping and nonpumping conditions (Keys, 1990).

• *Acoustic televiewer (ATV)* uses a rotating transducer that transmits and receives high-frequency acoustic pulses to produce an image of the intensity of acoustic energy reflection and travel time. The ATV provides high-resolution information on the location and strike and dip of fractures within a borehole (Keys, 1990). The travel time of the acoustic signal is used to calculate distance to the borehole wall to provide an acoustic caliper log.

• *Borehole-deviation logs* provide information on the borehole's angle and direction of deviation from vertical. Most boreholes deviate from vertical; therefore, deviation logs must be obtained before three-dimensional information on fractures is interpreted (Keys, 1990). After processing, the resulting log indicates the true depth of the borehole, its distance from vertical, and the direction or azimuth of deviation.

• *Optical televiewer (OTV)* digitally records a high-resolution optical scan of the borehole wall that can be used to produce a "virtual" core. The virtual core is oriented to allow for visualization of major fractures as they were imaged within the borehole. Fractures, both opened and sealed, can be viewed in three-dimensional orientations. This system also allows measurement of true strike-and-dip orientation and fracture spacing.

• *Borehole radar*, a 60-MHz directional radar unit (transmitter/receiver) that can be used in a single-hole reflection-mode to detect fracture zones and other structural features at distances to as much as 100 ft from the borehole in electrically resistive rocks. Directional radar logs can be used to calculate the orientation of features such as fractures or fracture zones.

DELINEATION OF FRACTURES, AND DIRECTIONS OF GROUND-WATER FLOW

Fractures and foliation were delineated through the use of OTV, ATV, borehole radar, gamma, SP, SPR, and EM logs. Hydraulic characteristics of the bedrock were determined through the use of fluid-temperature, differential-temperature, fluid specific-conductance, and heat-pulse flowmeter logs, in concert with water-level data.

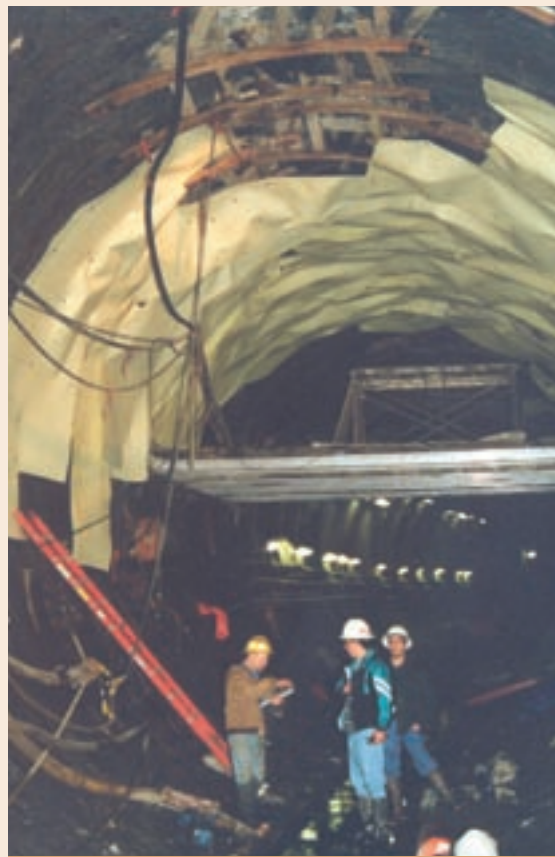
GEOLOGIC CHARACTERISTICS OF BEDROCK

The Crescent Street borehole (fig. 1) was drilled to a depth of 825 ft in February 1999 and cased with steel to a depth of 85 ft below land surface (BLS). The borehole has since been grouted. Land surface at the borehole was 25.56 ft above sea level. Depth to water at the borehole in February 1999 ranged from 17.36 to 17.68 ft BLS. The borehole-deviation log indicated the borehole to have a true depth of 815.4 ft BLS. The borehole bottom appears to be only 2.7 ft (measured horizontally) off vertical at an azimuth N65°E.

The regional foliation of rocks within the study area dips southeastward and contains several major faults (Baskerville, 1992). The Crescent Street borehole intersects bedrock of the Hartland Formation, of Middle Ordovician to Lower Cambrian age. Analysis of OTV and other logs, including core analysis by NYCDEP (C.A. Baskerville, Central Connecticut State University, written commun., 1999) indicate that the bedrock consists of a sequence of gneiss and schist interlayered with amphibolite, granite, and mylonite.

The ATV, borehole radar, and OTV data indicate that the rock penetrated by the borehole is moderately fractured and contains several distinct zones of highly fractured rock (fig. 2). Five distinct fracture zones were delineated from the geophysical logs. The OTV data indicated a total of 232 fractures between 85 and 825 ft BLS. The ATV detected 84 of the 232 fractures delineated with the OTV. Plotting these data on an equal-area net as poles to planes (fig. 3) indicated two large fracture-population clusters—a major one and a minor one. The major cluster appears to be nearly horizontal, with a mean orientation of N42°E 16°NW (fig. 3); the minor cluster has a mean orientation of N39°E (plane strike) 59°SE (plane dip) (fig. 3). When fracture data were plotted with respect to depth of transmissive fractures, no clear pattern was detected.

Analysis of the 60-MHz directional radar data indicated two closely spaced strong radar reflectors that would intersect the borehole if it were drilled deeper (fig. 4). These are the strongest reflectors detected in this borehole and are interpreted as fractures that are infilled with either metallic minerals such as pyrite, or with water. Radar data indicate that these fractures extend more than 100 ft from the borehole and have orientations of N30°E 69°SE and N40°E 66°SE. This orientation indicates that these fractures, if water bearing, could pose a flooding hazard to equipment and personnel in the tunnel.



The 23-ft. diameter tunnel at the transmissive fracture zone. Note the roof instability and plastic sheeting used to divert ground-water seepage.

The OTV also detected the foliation of the metamorphic rocks penetrated by the borehole; 208 measurements of foliation were made and their orientations plotted (fig. 3). Two clusters of data points can be seen; the major cluster (165 data points) has an orientation of N54°E 54°SE (fig. 3); the minor cluster has an orientation of N42°E 62°NW. Vertical variation in foliation and orientation is shown in tadpole plots (fig. 5).

The foliation and fracture data at depths of 700 to 825 ft BLS were plotted on a stereo net (not shown). The foliation data (55 data points) produced a single cluster with a mean

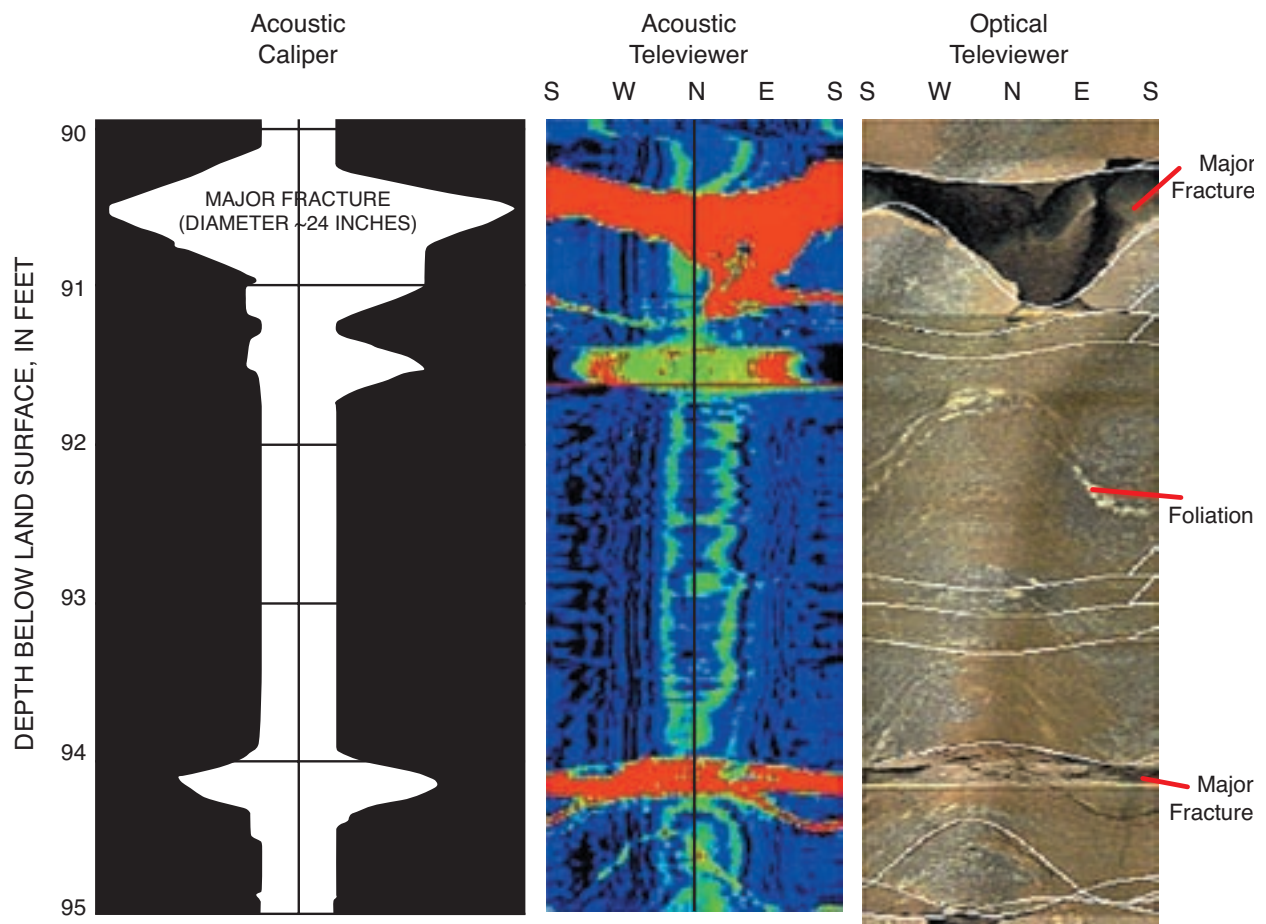


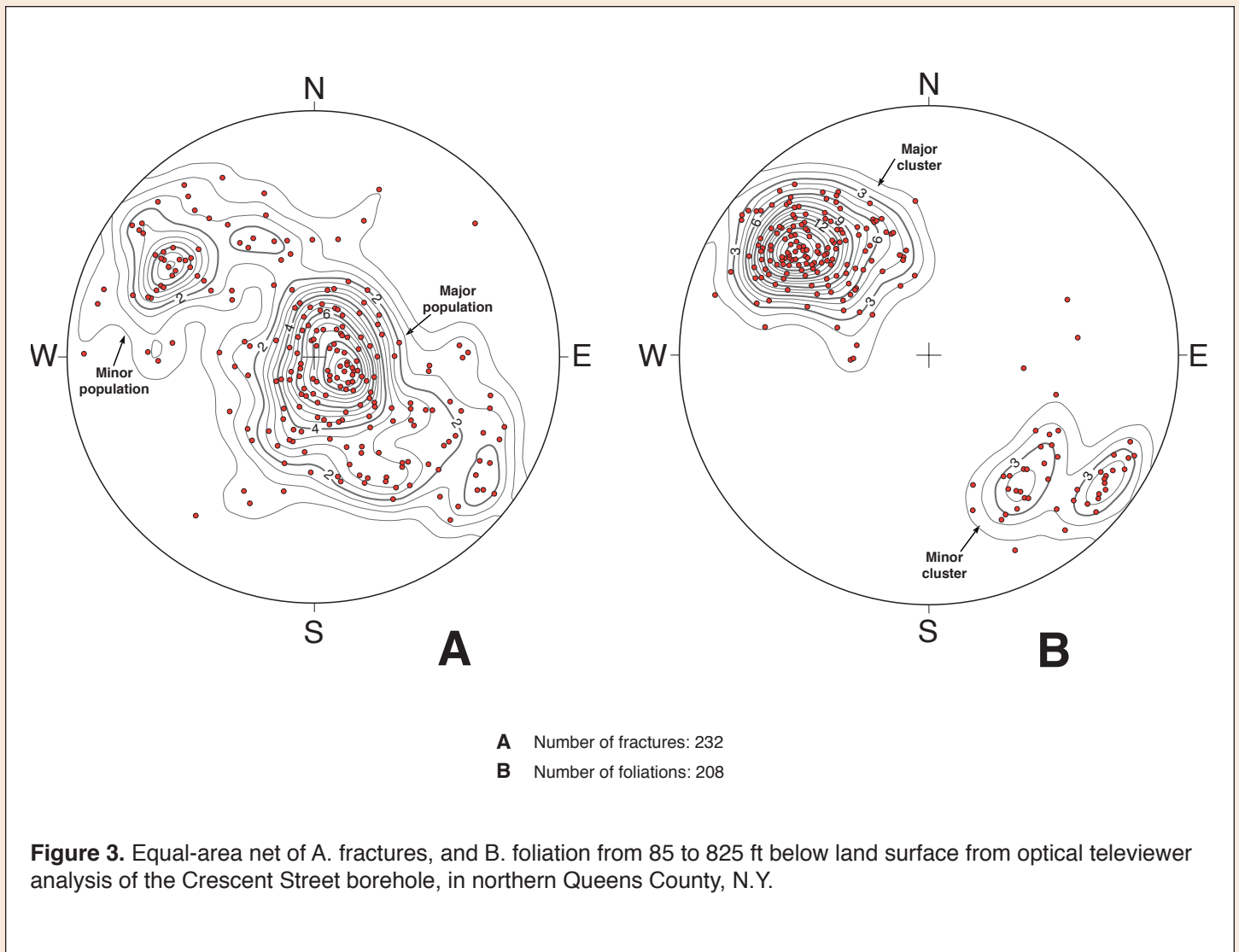
Figure 2. Acoustic caliper, acoustic televiwer, and optical televiwer images of fractures in the Crescent Street borehole, in northern Queens County, N.Y.

strike and dip of N45°E 60°SE. A total of 43 fracture-data points produced one major population cluster and several minor surrounding clusters. The majority of fractures have a mean strike and dip of N84°W 5°SW and are nearly horizontal.

The gamma log indicates some variation in clay and mica-mineral content of the rock penetrated by the borehole (fig. 5). Three distinct changes in the gamma logs were indicated—at depths of 169, from 471 to 485, and from 495 to 500 ft BLS, and corresponded with observations in the OTV log. An increase in gamma radiation at 169 ft BLS corresponds to clay minerals within a transmissive fracture. A decrease in gamma radiation in the zone from 471 to 485 ft corresponds to a thick sequence of amphibolite. Just below this zone, from 495 to 500 ft, an increase in gamma radiation may correspond to clay minerals within a fracture at this depth. In general,

the gamma log of this borehole is fairly uniform and shows only minor variations. The spontaneous potential (SP) log shows several peaks or increases in voltage (possibly from streaming potentials) that correspond to fractures at 91, 95, 169, 272, and 384 ft, and from 495 to 500 ft BLS (fig. 5).

When compared with the OTV data, the SPR log (fig. 5) indicates detailed variations that correspond to changes in rock mineralogy and conductive material (clay or pyrite minerals) within fracture zones. The electrical resistance of the rock shows a greater variability above about 500 ft BLS than below this depth (fig. 5), and the magnetic-susceptibility log indicates a corresponding increase in magnetite above about 500 ft; in contrast, the area below this depth indicates almost no variation in magnetic susceptibility. The SPR log indicates decreases in resistance at 91, 95, 169, 272, 384, 500, and 787 ft BLS. These decreases correspond to significant clay or mineralized

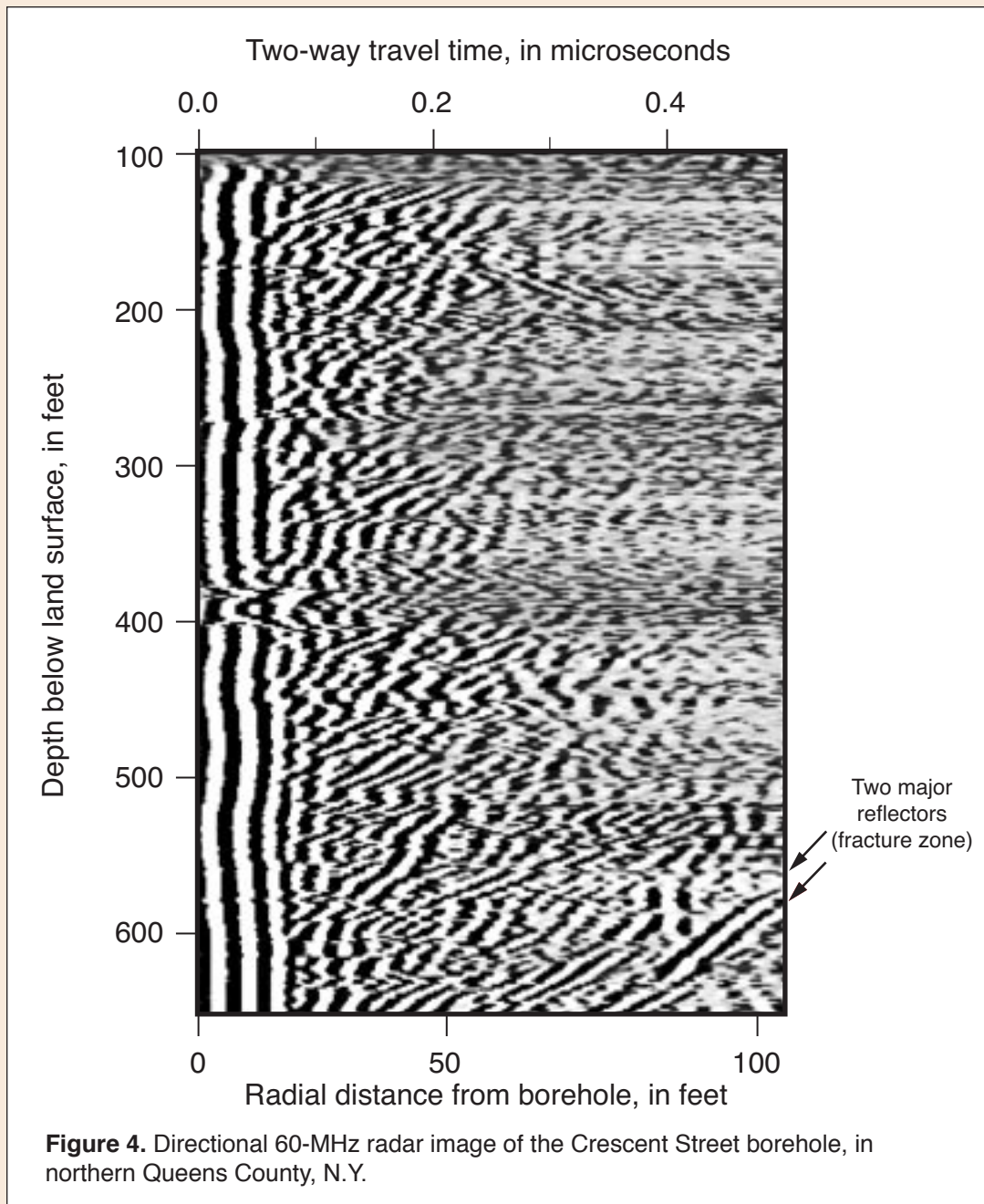


fracture zones. Similar increases in electrical conductivity or decreases in resistivity are indicated in the EM-conductivity log (fig. 5). Conductivity increases gradually to a depth of 272 ft BLS, below which it then gradually decreases with depth; this reversal suggests a change in rock composition.

Tadpole plots of the fractures and foliation measured from the ATV and OTV logs indicate variations in the dip angle and dip azimuth of these fractures with depth. Foliation has dip angles from 30 to 80° toward the southeast. In general, foliation dip angles increase from 60 to 80° to the southeast at the tunnel depth. The tadpole plot of fractures indicate that the bedrock is moderately fractured with several zones that are highly fractured. The upper 500 ft of bedrock has a significantly higher density of fractures than the rock below this depth. The dip azimuth and dip angle of fractures had no general pattern, with fracture dip angles ranging from sub-horizontal to nearly vertical.

HYDRAULIC CHARACTERISTICS OF BEDROCK

Ground-water flow may cause erratic and abnormal temperature gradients near land surface; thus, temperature logs may indicate a decrease in temperature with depth—the inverse of the normal geothermal gradient (Keys, 1990; Williams and Conger, 1990). The fluid-temperature and differential-temperature logs of the borehole indicate several changes in water temperature under non-pumped (ambient) conditions (fig. 6). The fluid-temperature log indicates water-temperature changes at 91 to 95 ft, at 272 ft, at 315 ft, and at 787 ft BLS. As discussed further on, these changes correspond to fractures that either accept water from, or yield water to the borehole. The fluid specific conductance log indicates changes at 91 to 95 ft, at 169 ft, at 272 ft, and from 315 to 321 ft BLS under



ambient conditions. Specific conductance ranged from about 700 $\mu\text{S}/\text{cm}$ (microsiemens per centimeter) near the water surface at 90 ft BLS to about 580 $\mu\text{S}/\text{cm}$ at the bottom of the hole (fig. 6). Sudden shifts in the water-temperature log or the specific-conductance log are indicative of ground water entering or leaving the borehole through fractures.

Ground-water temperatures were highest (62°F) at the water surface and decreased with depth to about 53°F at the 500-ft depth (fig. 6). Temperature decreased from about 58°F to about 55°F between 90 and 272 ft BLS, and a significant temperature change from 55°F to 54°F

was recorded at 272 ft BLS. These temperature changes are probably due to ground-water inflow from several fracture zones. Below 500 ft, the water temperature increased gradually with depth, except at about 787 ft, where it abruptly increased from about 54°F to about 55°F (fig. 6). The differential temperature log indicates a variation in fluid temperature with depth as rapid departures from a vertical line.

Ground-water levels were measured during pumping and nonpumping conditions, and heat-pulse flowmeter logs were obtained to quantify hydraulically transmissive fractures at discrete depths within the borehole during

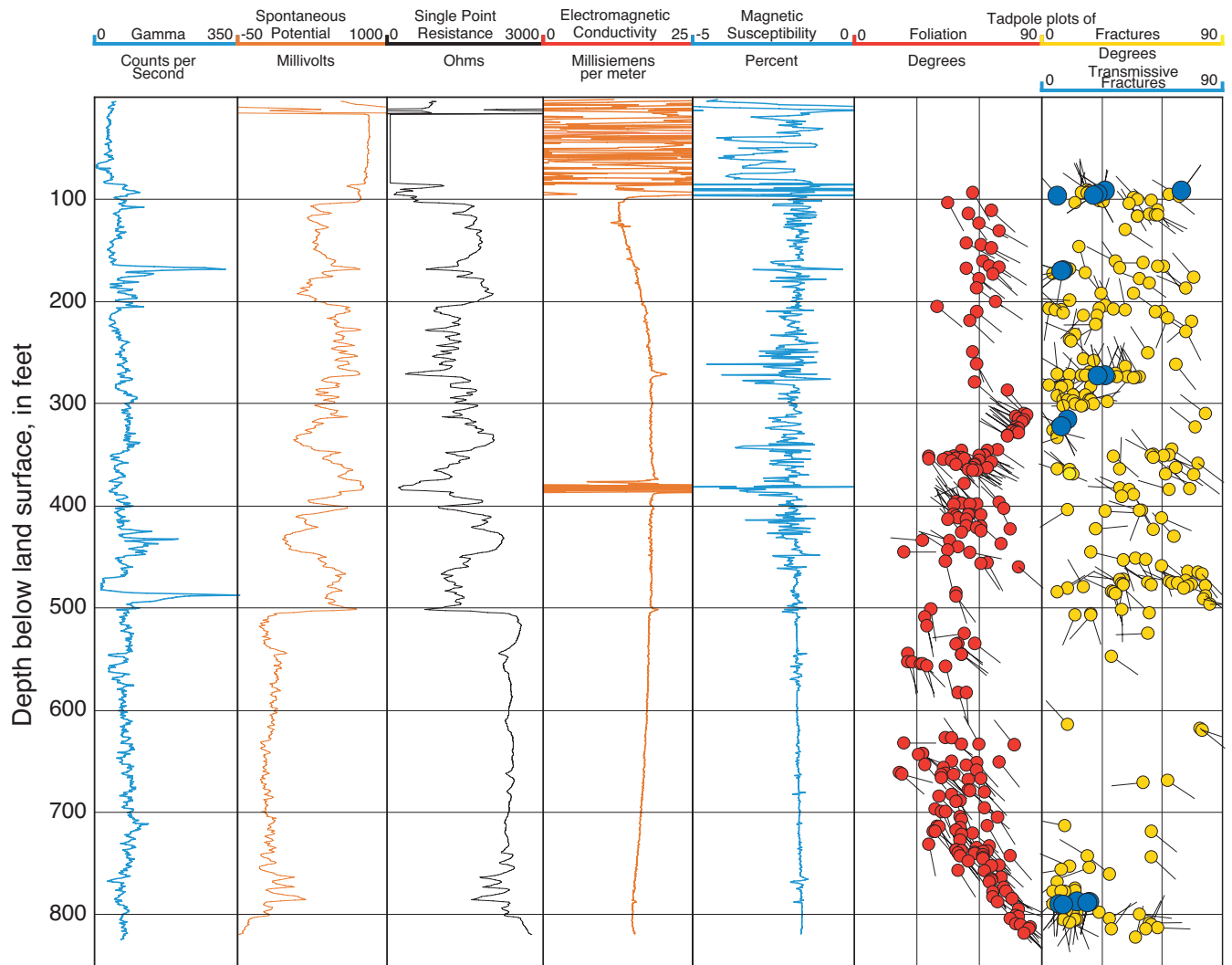


Figure 5. Suite of geophysical logs used to interpret geologic characteristics at the Crescent Street borehole, in northern Queens County, N.Y.

pumping and nonpumping conditions (fig. 6). A submersible pump was used to lower the head in the borehole. The major hydraulically transmissive fracture zones were delineated, from several types of logs, at depths of 91 to 95 ft, 169 ft, 272 ft, 315 to 321 ft, and 787 ft BLS.

Water levels, pumping rate, and the temperature and specific conductance of the pumped water were monitored during the heat-pulse flowmeter logging. The change in water levels over the duration of pumping was used to calculate the specific capacity of the open borehole. The water level decreased 3.57 ft over a 2.95-hour period of

pumping at an average rate of 4.5 gal/min. The specific capacity for the entire borehole, based on these values, was 1.26 (gal/min)/ft. The specific capacity data were analyzed with a program developed by Bradbury and Rothschild (1985) that estimated the range in transmissivity for the entire borehole to be 350 ft²/d to 420 ft²/d.

The heat-pulse flowmeter log indicates that (1) all significant flow into the borehole is occurring above the 289-ft depth (fig. 6), and (2) fractures within the tunnel depth (764 to 787 ft BLS) are accepting water from the borehole at a rate of about 0.25 gal/min under ambient conditions. The heat-pulse flowmeter

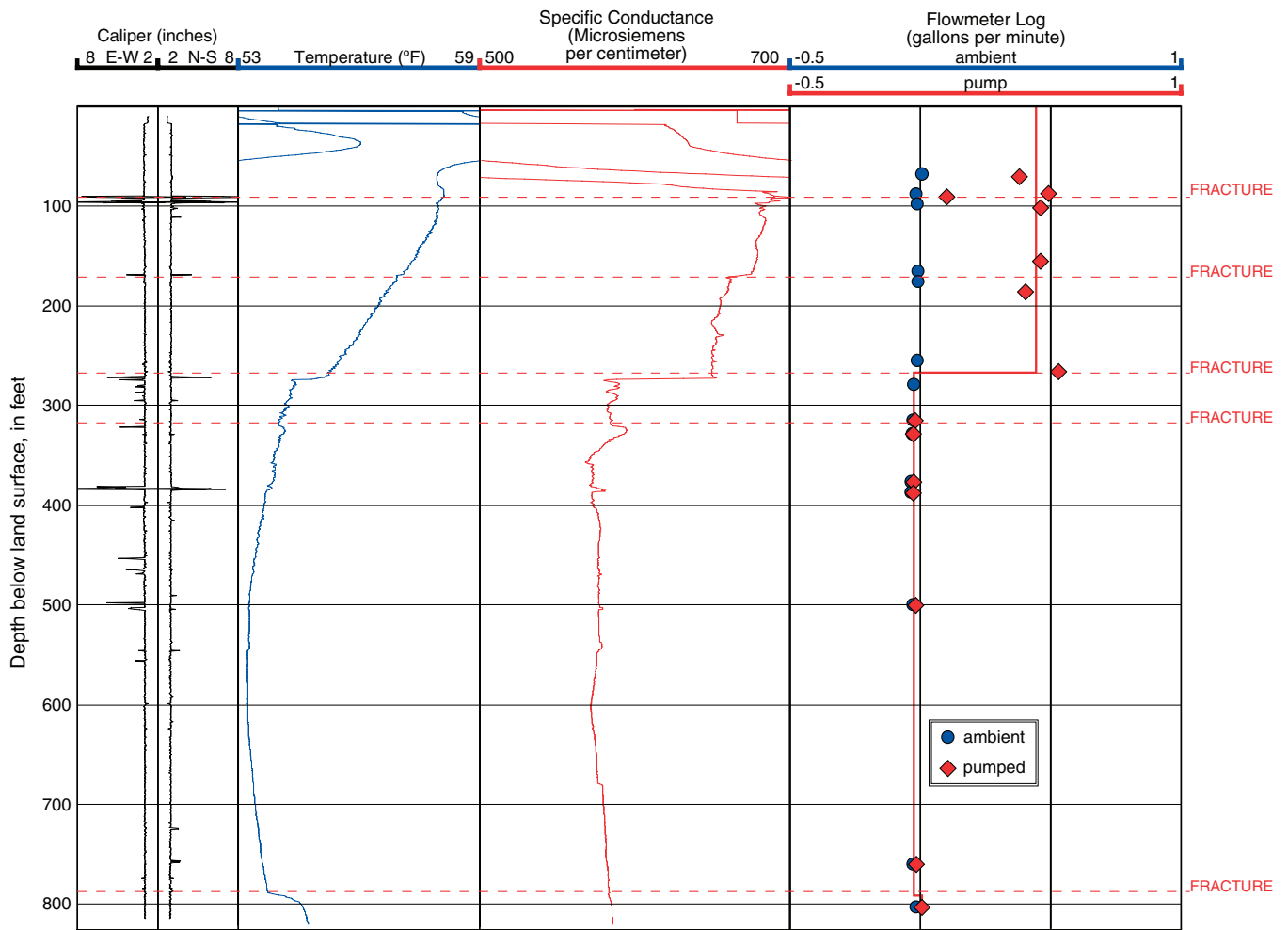


Figure 6. Suite of geophysical logs used to interpret hydraulic characteristics of bedrock at the Crescent Street borehole, in northern Queens County, N.Y.

data indicate a slight downward flow component under ambient conditions from below the casing to 787 ft BLS (fig. 6). Measurable changes in flow were noted in the transmissive zones at 272 ft and at 787 to 790 ft. The increase in downward flow from 255 to 279 ft indicates that one or more of the fractures at 272 ft are contributing water to the borehole. The decrease in downward flow from 88 to 98 ft and from 760 to 802 ft indicates that fractures at 91 and 95 ft and at 787 to 790 ft were accepting water under ambient conditions. Downward flow was noted below about 92 ft, with a small increase between 272 and 316 ft; all flow exited at about 787 ft (fig. 6).

Under pumping conditions, the heat-pulse flowmeter detected upward flow from 68 to 265 ft BLS and at 802 ft BLS (fig. 6). Downward flow was detected from

315 to 760 ft. The reversal from upflow to downflow between 265 and 315 ft BLS suggests that water is entering the borehole from the fracture zone at 272 ft BLS. In contrast, the fracture zone at 787 to 790 ft BLS shows a reversal from downflow to upflow, indicating that the 787 to 790 ft BLS zone is taking water from the borehole (fig. 6). A nominal weak, upward flow response below 800 ft BLS is attributed to the buoyancy effect of a thermal tracer on the flowmeter response (Hess, 1986) and is interpreted as no measurable flow.

The flowmeter logs were analyzed through the techniques of Paillet (1998; 2000), whereby differences between flow values at adjacent stations are attributed to measurement scatter and a possible net difference in borehole flow. Therefore, flow-log interpretation involves identification of the relative amounts of inflow

or outflow occurring at specific depth intervals. Inflow or outflow was interpreted at four different depth intervals, each of which coincides with a fracture, or sets of fractures. The effects of hydraulic-head differences between zones can be eliminated by subtracting the inflows under nonpumping conditions from the inflow under pumping conditions, where outflow is given as negative inflow, in accordance with the technique of Molz and others (1989). The result of the subtraction of inflows indicates that almost all of the transmissivity is associated with the fracture zone at 272 ft BLS.

An alternative approach is to use a flow-modeling technique described by Paillet (2000), which involves inverting the two flow profiles and the measured drawdown under pumping conditions to give quantitative estimates of the transmissivity and relative hydraulic head of each transmissive zone. The results of this method indicate a 12- to 15-ft hydraulic-head difference between the fracture zones at 315 ft BLS and above, and the fracture zone at 787 ft BLS. The ambient flow is weak because the outlet fracture zone at 787 ft BLS has relatively low transmissivity. Pumping failed to reverse the ambient flow completely because the fracture zone at 272 ft is relatively transmissive, so that open-borehole drawdown is only a fraction of the hydraulic-head difference between the various fractures.

GEOLOGIC AND HYDRAULIC CONDITIONS OBSERVED IN THE TUNNEL NEAR THE BOREHOLE

After the completion of the geophysical logging and log analysis, the tunnel-boring machine excavated past the Crescent Street borehole. The 23-ft diameter tunnel penetrated gneiss with southeastward dipping foliation and many nearly horizontal fractures. These fractures result in weak ceiling conditions in the tunnel—consistent with the prediction of a falling-rock hazard in that part of the tunnel identified from a plot of the mean orientations of the fractures and foliation delineated from the OTV log with respect to the tunnel. The tunnel also intersected a transmissive fracture zone whose location, character, and orientation were consistent with those of the mapped radar reflectors. The fracture zone, which contained some pyrite infillings, produced 50 to 100 gal/min of inflow to the tunnel.

SUMMARY AND CONCLUSIONS

The Crescent Street borehole was drilled along the course of a new water tunnel through northern Queens County to provide cores and a site for the collection of geophysical logs. The geophysical logs provided data on the deviation of the borehole from vertical, the location and orientation (strike and dip) of fractures, the orientation of rock foliation, locations of contacts between rock units of differing types, and locations of water-producing fractures; they also provided information on the rocks' magnetic susceptibility, electrical resistivity and resistance, spontaneous potential; the direction and quantity of ground-water flow, specific conductance and temperature of ground water, a profile of the borehole diameter, and the identification and orientation of significant fractures beyond the borehole.

The surrounding rocks are moderately fractured and contain several zones of extensive fracturing. The acoustic and optical televiewer data indicate a total of 232 fractures. Equal-area-net analysis of these fractures indicated the major cluster to have an orientation of N42°E16°NW and the minor cluster to have an orientation of N39°E59°SE.

Analysis of the 60-MHz directional radar indicates two closely spaced strong radar reflectors, which are probably fractures that extend beyond 100 ft from the borehole and would intersect the borehole if it were deeper. Radar analysis indicates these fractures to have an orientation of N30°E69°E and N40°E66°SE. This orientation indicates that these fractures, if water bearing, could pose a flooding hazard to equipment and personnel in the tunnel.

The foliation of rocks penetrated by the borehole was grouped into two large population clusters. The major cluster had a mean orientation of N54°E54°SW, and the minor cluster had a mean orientation of N42°E62°NW. Plotting the mean orientations of the fractures and foliation at the depth of the tunnel in three dimensions with respect to the tunnel indicates a potential for a falling-rock hazard in the tunnel. In addition, the large number of nearly horizontal fractures at this depth suggest a potential for rock weakness at the tunnel ceiling.

The gamma, SP, SPR, EM, conductivity, resistivity, fluid-temperature, differential temperature, and specific conductance logs all detected some of the fracture zones. The magnetic-susceptibility log indicated changes in magnetite content of the rock within the borehole.

Five transmissive fracture zones were delineated by correlating inflow zones interpreted from heat-pulse flowmeter logs with major fracture zones indicated by other geophysical logs. Modeling of the flow-log response indicates that more than 90 percent of the open-hole transmissivity is associated with the fracture zone at 272 ft BLS. These results indicate a 12- to 15-ft hydraulic head difference at the time of logging

(February 1999) between the fracture zones at 315 ft and higher and the fracture zone at 787 ft—the depth of the projected tunnel interval.

The geophysical logging of the boreholes provided a unique opportunity for comparison of the cores with the

geohydrologic conditions along the tunnel's course. The geohydrologic conditions encountered during subsequent excavation of the tunnel near the borehole were consistent with those interpreted from the geophysical logs.

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