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7 **Comparison of Cloud-top Heights Retrieved from ISCCP, MODIS, and MISR with**
8 **Coincident Ship-based Measurements for the Marine Stratocumulus Region off the**
9 **Western Coast of South America**

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35 **Abstract**

36

37 In order to better understand the general problem of satellite cloud-top height retrievals
38 for low clouds, observations made by NOAA research vessels in the stratocumulus region
39 off the western coast of South America during cruises in 2001, and 2003 to 2006 were
40 matched with near-coincident retrievals from the MODIS and MISR instruments on the
41 Terra satellite, along with a limited set of ISCCP 30-km DX retrievals. The ISCCP
42 cloud-top heights, determined from the cloud-top pressures, were found to be biased high
43 by between 1400 and 2000 m within the limited comparison data set. Like the ISCCP
44 results, the MODIS retrievals were biased high by more than 2000 m, while the MISR
45 retrievals had errors on the order of 230 to 420 m, with the wind corrected heights having
46 almost no bias. The extremely large bias in the ISCCP and MODIS retrievals was traced
47 to their reliance on low-resolution models of the atmospheric temperature structure.
48 Cloud-top height retrievals based on satellite cloud-top temperatures and a constant
49 atmospheric lapse rate agreed substantially better with the ship-based measurements.

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52 **Keywords:** Marine stratocumulus, ISCCP, MODIS, MISR, cloud-top heights, lapse rate

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55 **1. Introduction**

56 Studies based on satellite observations have shown that stratocumulus clouds
57 common off the western coasts of continents produce the largest net radiative forcing to
58 the climate system [e.g., *Hartmann et al.*, 1992]. However, correctly modeling these
59 clouds in general circulation models (GCMs) remains a significant challenge. Biases in
60 sea surface temperatures in coupled atmosphere-ocean GCMs, for example, have been
61 traced to how stratocumulus clouds are simulated [e.g., *Large and Danabasoglu*, 2006;
62 *Mochizuki et al.*, 2007], and low clouds have been identified as the primary cause of
63 differences in GCM estimates of cloud feedback [e.g., *Bony and Dufresne*, 2005].
64 Moreover, comparisons between modeled stratocumulus cloud-top heights and satellite
65 retrievals from the International Satellite Cloud Climatology Project (ISCCP) [*Rossow*
66 *and Schiffer*, 1999] suggest that all GCMs place stratocumulus clouds too low in the
67 atmosphere [e.g., *Webb et al.*, 2001; *Zhang et al.*, 2005; *Schmidt et al.*, 2006]. As an
68 illustration of the magnitude of the discrepancy between the ISCCP and model results,
69 *Schmidt et al.* [2006] found that the Goddard Institute for Space Studies (GISS)
70 atmospheric GCM placed stratocumulus cloud tops at a mean altitude of approximately
71 990 m. ISCCP reported cloud tops at approximately 1950 m, 960 m higher than the
72 model. This is significant because cloud-top height is not only a fundamental parameter
73 that affects both the surface and atmospheric radiation budgets [e.g., *Stephens*, 2005], but
74 in marine stratocumulus regions the cloud top is also intimately associated with the depth
75 of the atmospheric boundary layer [e.g., *Bretherton et al.*, 2004].

76 Reasons for the difference between ISCCP and the models are not well understood
77 [e.g., *Zhang et al.*, 2005]. Both *Webb et al.* [2001] and *Schmidt et al.* [2006] suggest that

78 the problem may lie in the ISCCP cloud-top height retrieval approach. *Wang et al.*
79 [1999] found that between 450 and 660 m of the satellite retrieval error could be
80 attributed to errors in the Television Infrared Observation Satellite (TIROS) Operational
81 Vertical Sounder (TOVS) atmospheric temperature profiles used in the ISCCP algorithm
82 as described by *Rossow and Schiffer* [1999]. *Del Genio et al.* [2005] considered TOVS
83 biases, as well as undetected thin cirrus, as potential reasons for the observed discrepancy
84 between ISCCP retrievals and atmospheric models.

85 In this paper we address the broader issue of satellite retrievals of cloud-top heights in
86 marine stratocumulus regimes. Due to their prevalence away from land, detailed
87 observations of marine stratocumulus cloud-top heights from in situ measurements are
88 infrequent. Here we take advantage of a set of multi-year stratocumulus observations
89 compiled by the National Oceanic and Atmospheric Administration (NOAA) from
90 cruises in the stratocumulus regime off the western coast of South America, beginning
91 with the East Pacific Investigation of Climate (EPIC) field campaign in 2001 [*Bretherton*
92 *et al.*, 2004]. These observations are compared with temporally and spatially coincident
93 retrievals of cloud-top height from ISCCP and the NASA EOS Terra satellite.

94 ISCCP provides a nearly 25 year record of cloud and surface properties derived from
95 observations made by instruments on operational weather satellites including the
96 Advanced Very High Resolution Radiometer (AVHRR) on the NOAA polar orbiting
97 platforms and the imagers on the Geostationary Operational Environmental Satellites
98 (GOES) [*Rossow and Schiffer*, 1999]. Cloud-top pressures are provided at up to 30 km
99 horizontal resolution. Even higher horizontal resolution (5 km) cloud-top retrievals are
100 available from the Moderate Resolution Imaging Spectroradiometer (MODIS) on the

101 Terra satellite, which has been operational since the year 2000 [*Platnick et al.*, 2003].
102 For marine stratocumulus clouds both ISCCP and MODIS rely on similar retrieval
103 approaches that depend on observations or models of the atmospheric temperature
104 structure. For comparison, we also consider retrievals of cloud-top height provided at 1.1
105 km horizontal resolution from the Multi-angle Imaging SpectroRadiometer (MISR) on
106 the Terra satellite. MISR has the unique ability to retrieve both cloud-top height and
107 cloud motion vector winds simultaneously using a stereophotogrammetric technique,
108 which is completely independent of ancillary information regarding the state of the
109 atmosphere [*Horváth and Davies*, 2001a; *Moroney et al.*, 2002; *Zong et al.*, 2002].

110

111 **2. Data**

112 In an effort to better understand the sparsely observed, but climatologically important
113 stratocumulus regime off the coast of South America, the East Pacific Investigation of
114 Climate (EPIC) field campaign took place in October 2001 [*Bretherton et al.*, 2004;
115 *Comstock et al.*, 2005]. Additional cruises, some carried out under the NOAA Climate
116 Variability and Predictability (CLIVAR) Pan American Climate Studies (PACS)
117 program, took place in the region in October 2003, December 2004, October 2005, and
118 October 2006 [*Kollias et al.*, 2004; *Tomlinson et al.*, 2007]. The tracks taken during
119 these cruises are shown in Figure 1, along with the location of the Woods Hole
120 Oceanographic Institute (WHOI) buoy, which provides the only continuous in situ dataset
121 in the region [*Bretherton et al.*, 2004]. Most cruises began to the north of the
122 stratocumulus region (lighter portion of the tracks in Figure 1), approached the WHOI

123 buoy, then headed toward the South American coast along 20° S latitude (darker portion
124 of the tracks).

125 The research vessels *Roger Revelle* and *Ronald H. Brown* were both used at different
126 times for cruises in the region. These vessels were equipped with the seagoing NOAA
127 Environmental Technology Laboratory (ETL, now part of the NOAA Earth System
128 Research Laboratory, ESRL) remote sensing suite of instruments [Fairall *et al.*, 1997].
129 Cloud-top heights were determined using either returns from a vertically pointing 8.6-
130 mm-wavelength cloud radar, when available, or backscatter from a 915 MHz wind
131 profiler. A comprehensive data set has been assembled from these cloud-top height
132 measurements, which were calibrated to match the observed height of the temperature
133 inversion at the top of the boundary layer determined from coincident radiosonde
134 launches. The vertical resolution of the cloud-top heights in this data set is
135 approximately 60 m, and the observations are averaged over a sampling period of 10
136 minutes. Radiosondes were launched from the ship at three-hour intervals during EPIC
137 2001 [Bretherton *et al.*, 2004] and less frequently in other years. Because the
138 comprehensive data set provides better temporal and spatial coverage than the radiosonde
139 launches themselves, the use of these data allow for direct comparison of nearly
140 coincident retrievals of cloud-top heights from both the ship-based and satellite
141 instruments.

142 The ISCCP project produces cloud data sets at a variety of temporal and spatial
143 resolutions, which are described in detail by *Rossow and Schiffer* [1999]. The highest
144 resolution cloud product, known as DX data, has a horizontal resolution of 30 km and is
145 available every three hours. The DX data provide information on individual pixels from

146 individual satellite instruments and calibrated radiances, information on satellite viewing
147 geometry, results of the cloud detection algorithm, and retrievals of surface and cloud
148 properties, including cloud-top temperature and pressure, are reported. The more
149 commonly used three-hourly D1 and monthly D2 data sets are derived from the DX data
150 [*Rossow and Schiffer, 1999*]. For this study the DX data from the GOES-East and
151 GOES-West operational satellites were used, which included GOES-8 and GOES-12
152 (East) and GOES-10 (West) over the time period of interest. The ISCCP processing first
153 samples the temporal frequency of GOES observations to once every three hours. Higher
154 resolution (1-km at nadir) visible channel data are then averaged to match the lower
155 resolution (4-km at nadir) infrared channels on the GOES imagers [*Menzel and Purdom,*
156 1994]. These data are then sampled to 30-km resolution for use in the next stage of the
157 ISCCP processing. If the pixel radiance differs from the associated clear-sky radiance by
158 more than a specific threshold, then labels that pixel is labeled as cloudy [*Rossow and*
159 *Schiffer, 1999*]. If a pixel is determined to be cloudy, then cloud-top temperature is
160 determined from the infrared radiance using the results of a radiative transfer model,
161 including a correction for atmospheric water vapor. For clouds with a low visible optical
162 thickness, a correction is also made in the cloud-top temperature to account for the small
163 amount of surface IR radiation that may pass through such a cloud, effectively reducing
164 the cloud-top temperature for some daytime observations [*Rossow et al., 1996*]. ISCCP
165 reports both the infrared (IR) and visible (VIS) cloud-top temperatures. Finally, the
166 cloud-top pressures are determined from the cloud-top temperatures by matching the
167 cloud-top temperatures to the atmospheric temperature-pressure profile from the
168 operational TOVS product [*Rossow and Schiffer, 1999*]. The operational TOVS profiles

169 are produced by the NOAA National Environmental Satellite, Data, and Information
170 Service (NESDIS) at 2.5° spatial resolution once per day. If no TOVS information is
171 available, a climatological atmospheric profile is used instead [*Stubenrauch et al.*, 1999].

172 The MODIS cloud-top heights used in this study were derived from the Collection 5
173 Level 2 (swath) MOD06 cloud properties product. An overview of this product can be
174 found in *Platnick et al.* [2003]. The MODIS data are provided in five minute “granules”
175 with a swath width of approximately 2330 km [*King et al.*, 2003]. Cloud top properties,
176 including cloud-top pressure and temperature, are reported at 5-km horizontal resolution.
177 Changes to the product relevant to Collection 5 are noted by *Baum and Platnick* [2006]
178 and *Menzel et al.* [2006]. For clouds with tops at altitudes less than about 3 km, such as
179 stratocumulus clouds, cloud-top pressures are determined using an infrared (IR) retrieval.
180 In this procedure cloud-top temperatures are first derived from the observed 11 μm (Band
181 31) brightness temperatures matched to radiative transfer model-derived temperatures
182 assuming blackbody clouds. This is the same as the IR approach used by ISCCP. The
183 cloud-top temperature is then compared to the 1° × 1° gridded meteorological
184 temperature profile obtained every six hours from the NCEP Global Data Assimilation
185 System (GDAS) [*Derber et al.*, 1991] to yield a cloud-top pressure. Because the 11 μm
186 brightness temperatures are retrieved at 1-km horizontal resolution, they must be
187 aggregated to the 5-km resolution of the cloud top product. In Collection 5 processing
188 this is done by aggregating the brightness temperatures only for those pixels determined
189 to be cloudy by the MODIS cloud mask product (MOD35) [*Ackerman et al.*, 1998];
190 whereas in previous collections the brightness temperature was determined by
191 aggregating all pixels within the 5 km region regardless of whether or not they contained

192 cloud [e.g., *Naud et al.*, 2007]. For comparison with cloud-top heights retrieved by
193 MISR and the ship-based measurements, the operational cloud-top pressures reported in
194 the MOD06 product were converted to cloud-top heights using the associated operational
195 GDAS profile for the 1° grid box containing the MODIS observation. The GDAS
196 pressures, along with the associated geopotential heights, were linearly interpolated from
197 the standard 25 and 50 hPa pressure levels to 1 hPa levels. Differences between
198 interpolating linearly or in the logarithm of the pressure are minor and do not affect the
199 results. Since the pressures are single-valued, converting the cloud-top pressures in this
200 way yields unique cloud-top (geopotential) heights. The ISCCP cloud-top pressures,
201 although derived from the TOVS profiles, were also converted to cloud-top heights using
202 the higher spatial and temporal resolution GDAS profile.

203 The MISR cloud-top heights used in this analysis were obtained from version
204 F08_0017 of the standard Level 2 (swath) Top-of-Atmosphere/Cloud Product (L2TC),
205 which was the most current version of the operational processing algorithm at the time of
206 this study. The effective width of the MISR instrument swath is approximately 380 km
207 from the 705 km altitude of the Terra satellite [*Diner et al.*, 1998]. As described by
208 *Moroney et al.* [2002], MISR retrieves cloud-top heights using a stereophotogrammetric
209 technique applied to pairs of MISR cameras. This approach requires sufficient contrast
210 for an automatic pattern matching algorithm to identify common cloud elements [*Muller*
211 *et al.*, 2002]. The use of integer precision in the pattern matching introduces an effective
212 quantization in the heights of about 560 m in the vertical. While any single retrieval is
213 affected by this quantization, statistically the error in the MISR retrievals is
214 approximately ± 300 m [*Moroney et al.*, 2002; *Naud et al.*, 2004]. The L2TC product

215 contains three fields, reported at 1.1 km horizontal resolution, used to produce the results
216 described in this paper. The “StereoHeight_WithoutWinds” (No Winds) field includes
217 all retrieved stereo heights without any correction due to the motion produced by winds
218 during the interval over which the scene is observed by MISR. The time difference
219 between the first and last MISR camera view of a scene is approximately seven minutes,
220 with less than a minute difference between observations from sequential cameras [*Diner*
221 *et al.*, 1998]. *Horváth and Davies* [2001b] show that a 1 ms^{-1} wind along the direction of
222 satellite motion (essentially north-south), where it has the largest effect, will result in a 70
223 to 80 m bias in the retrieved cloud-top height. MISR also retrieves cloud motion vector
224 winds on mesoscale domains at a resolution of 70.4 km. The retrieved winds, beginning
225 with version F08_0016 of the software, have been shown to be an improvement over the
226 winds produced using earlier versions [*Davies et al.*, 2007]. The
227 “StereoHeight_BestWinds” (Best Winds) product contains the 1.1 km retrieved heights
228 corrected using the 70.4 km MISR cloud motion vector winds that pass a variety of
229 quality tests. The Best Winds heights are expected to represent the most accurate
230 retrieval of the actual cloud-top heights. The coverage of the Best Winds retrievals is
231 lower than for the No Winds retrievals, so when Best Winds heights are not available the
232 “PrelimERStereoHeight_RawWinds” (Raw Winds) field is used instead. The Raw
233 Winds represent heights corrected using all the available wind vectors, regardless of the
234 quality of the winds. Together, the Best Winds and Raw Winds retrievals make up the
235 “Wind Corrected” heights reported in this paper. This follows the approach used by
236 *Genkova et al.* [2007] in studying trade cumulus cloud-top heights.

237 The tracks of the NOAA cruises shown in Figure 1 were compared with the Terra
238 satellite overpasses for the appropriate dates to determine potential coincidences.
239 Requiring the time difference between satellite and ship observations to be less than five
240 minutes, and the ship observations to lie within the MISR swath, resulted in the selection
241 of eight cases. This set represents the closest possible matches between the satellite and
242 ship-based observations, where the potential effects of temporal and spatial
243 inhomogeneity are minimized. Data from these same dates were also used for the
244 comparison with the ISCCP DX retrievals from the GOES satellites. Although ISCCP
245 provides a potentially much larger comparison set, requiring coincidence with the dates
246 of the Terra observations facilitates intercomparisons with the higher resolution data from
247 MODIS and MISR. However, due to the overpass time of Terra falling between the three
248 hourly ISCCP retrievals, there are no directly coincident Terra-ISCCP cases.

249

250 **3. Results**

251 *3.1 Stratocumulus Cloud-top Heights from Cloud-top Pressures*

252 Figures 2a–2c show the cloud-top heights determined from the reported cloud-top
253 pressures for ISCCP and MODIS plotted against the coincident reports of cloud-top
254 height from the ship-based instruments. One-to-one lines are included as aids to the eye.
255 The ISCCP heights are for the 30-km pixel center closest to the location of the ship,
256 while the MODIS heights are for the MODIS 5-km pixel containing the location of the
257 ship. Symbols indicate the retrieval type and the satellite. To aid in interpretation, the
258 GOES-East VIS retrievals are shifted by +50 m along the one-to-one line, the GOES-

259 West IR retrievals are shifted by – 50 m along the line, and the GOES-West VIS
260 retrievals are shifted by –100 m along the line.

261 A significant high bias is immediately evident in all three plots. The ISCCP retrievals
262 from 15:00:00 UT appear to agree best with the ship-based measurements, with the
263 agreement becoming worse for the 18:00:00 UT retrievals. The MODIS cloud-top
264 heights show the greatest bias, with a single low outlier with a cloud-top just below 1000
265 m.

266 A statistical analysis of the satellite retrievals of cloud-top heights from cloud-top
267 pressures is provided in Table 1. The analysis is broken up by the time of retrieval,
268 instrument, and retrieval algorithm. Mean cloud-top heights are given for seven ISCCP
269 cases at 15:00:00 UT. Excluding a clear and a potentially cirrus contaminated case leaves
270 five ISCCP cases at 18:00:00 UT. Similarly, a potentially cirrus contaminated case was
271 excluded for MODIS, leaving seven cases coincident with the Terra satellite. While such
272 small samples are not statistically significant, they indicate the approximate behavior of
273 the retrievals in the region, since the coincidence of overpasses with ship observations is
274 essentially random with respect to the intrinsic variability of the clouds.

275 The second column in Table 1 shows that the mean cloud-top height in the
276 stratocumulus region off the western coast of South America is around 1180 m, according
277 to the ship-based measurements. There is some variability in these samples, on the order
278 of 200 m. Satellite retrievals of the cloud-top height, in contrast, range from 2400 m to
279 about 3000 m, with correspondingly greater variability. Taking MODIS as an example,
280 the cloud-top heights have a mean of 2937 m. The mean cloud-top pressure reported by
281 MODIS is 720 hPa, which compares favorably with the cloud-top pressures shown in

282 *Platnick et al.* [2003], Figure 3a, for the same stratocumulus region on 18 July 2001.
283 Based on their color scale, it appears the cloud-top pressures were also around 720 hPa
284 on this date.

285 Because the small sample sizes limit the utility of more powerful statistical
286 approaches, we focus on two simple metrics to evaluate the agreement between the ship
287 observations and satellite retrievals. The root mean squared error (*RMSE*), expressed by
288 the equation:

$$289 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (x_{1,i} - x_{2,i})^2}{n}} \quad (1)$$

290 is used to assess the how two measurements x_1 and x_2 compare to one another over n
291 samples. The *RMSE* has the same units as the measurements. The Pearson product-
292 moment correlation coefficient, or sample correlation coefficient, (r), is determined by:

$$293 \quad r = \frac{\sum_{i=1}^n (x_{1,i} - \bar{x}_1)(x_{2,i} - \bar{x}_2)}{(n-1)\sigma_{x_1}\sigma_{x_2}} \quad (2)$$

294 where the overbars indicate the mean of the variable, and σ represents the standard
295 deviation. The sample correlation coefficient is the ratio of the covariance of the
296 observations to the product of their standard deviations. A perfect positive linear
297 correlation is expressed by $r = 1$, and a perfect negative linear correlation is expressed by
298 $r = -1$. One advantage of this metric is that squaring its value yields the coefficient of
299 determination (R^2), which indicates the fraction of the variability in x_2 accounted for by a
300 linear fit of x_1 to x_2 .

301 As shown in Table 1, the *RMSE* ranges from 1409 m for the ISCCP IR retrievals at
302 15:00:00 UT to 2004 m for the MODIS retrievals. The *RMSE* is larger for the GOES-

303 West retrievals than the GOES-East retrievals. These mean differences are somewhat
304 larger than the 960 m bias in the ISCCP cloud-top heights relative to GCM modeled
305 clouds reported by *Schmidt et al.* [2006]. However, their results represent a global annual
306 average difference, rather than a limited regional comparison as presented here. These
307 results show that, even in the best case, these satellite retrievals of cloud-top height are
308 higher than the coincident ship-based heights by more than a factor of two.

309 The correlation coefficient between the satellite-derived cloud-top heights and the
310 ship-based measurements indicate that the results are essentially uncorrelated, except for
311 the GOES-West retrievals from 15:00:00 UT, which show some *anti*-correlation. The
312 maximum value of R^2 in for these retrievals is only 0.45 for the IR retrievals, explaining
313 only 45% of the variance in the ship-based measurements. However, in this case, when
314 the ship-based measurements decrease, the associated ISCCP heights increase, and vice
315 versa. Of course, a much larger sample size would be required to establish the statistical
316 significance of any of the results shown in Table 1. Even so, the magnitude of the
317 differences is too large and consistent to be statistically fortuitous. Potential reasons for
318 these results will be explored in the discussion section below.

319

320 ***3.2 Stereo-derived Cloud-top Heights***

321 Figure 2d shows the plot of the MISR retrievals against the coincident ship
322 measurements. The MISR Wind Corrected heights are shown as black diamonds, with
323 vertical error bars of ± 300 m, consistent with the expected error from all sources in the
324 MISR measurements [e.g., *Moroney et al.*, 2002; *Naud et al.*, 2004]. The horizontal error
325 bars show the ± 60 m uncertainty in the NOAA measurements. The lighter squares show

326 the MISR No Winds heights and NOAA retrievals, along with the associated error bars.
327 Note that the MISR Wind Corrected heights have been shifted by +25 m along the one-
328 to-one line, and the No Winds heights have been shifted by -25 m along the line, as an
329 aid to visualization.

330 In contrast to the cloud-top heights derived from cloud-top pressures, the MISR
331 retrievals are in very good agreement with the ship-based measurements, regardless of
332 the application of a wind correction. Careful inspection of the figure shows that the
333 MISR No Winds heights appear to have a slight positive bias relative to the ship
334 measurements. The Wind Corrected heights do not show this bias, at least in this limited
335 data set. The clear outlier in the Wind Corrected heights is from a case where the MISR
336 retrieved a cloud-motion vector wind of 10.8 ms^{-1} , compared to the 6.9 ms^{-1} wind speed
337 measured by the ship. As explained in Section 2, the MISR wind correction is applied to
338 all cloud-top height retrievals within a mesoscale domain of 70.4 km. The winds within
339 this domain may exhibit significant variability that is not represented on this scale. A
340 more complete comparison of the MISR winds with surface wind measurements made
341 onboard the NOAA research vessels will be the subject of a future study.

342 Statistical summaries for the MISR retrievals are presented in Table 2. Results from
343 all eight cases with valid height retrievals are listed at the top of the table for the ship and
344 MISR retrievals. Excluding the outlier in the Wind Corrected heights leaves the seven
345 cases listed in the middle of the table. Excluding only the potentially cirrus contaminated
346 case leaves the seven cases for which there are valid MODIS and MISR retrievals, which
347 are listed in the lower portion of the table, with the MODIS values from Table 1 being
348 included for comparison.

349 Mean cloud-top heights determined by the full complement of ship measurements
350 coincident with Terra are around 1180 m, consistent with the results reported in Table 1
351 for the 15:00:00 UT retrievals matched to ISCCP. Terra overpass times ranged from
352 15:11:14 UT to 16:18:27 UT within the coincident dataset, suggesting closer agreement
353 with the 15:00:00 UT retrievals would be expected. The MISR Wind Corrected heights
354 have a mean around 1300 m, and the No Winds heights are around 1350 m, with the
355 Wind Corrected heights having a much larger standard deviation. Excluding the Wind
356 Corrected outlier leads to slightly higher mean heights, with the Wind Corrected heights
357 being in much better agreement with the ship measurements, and the No Wind heights
358 showing clearer evidence of a slight high bias. Finally, excluding an apparently cirrus
359 contaminated case produces only very small changes in the results. In the following, we
360 only discuss the results for all observations ($n=8$) and the set excluding the outlier ($n=7$),
361 but values for the data coincident with MODIS are included in Table 2 for comparison.

362 The *RMSE* for the Wind Corrected heights for all observations is 393 m, compared to
363 229 m for the No Winds heights. Similarly, excluding the outlier yields a *RMSE* of 268
364 m for the Wind Corrected heights, compared to 242 m for the No Winds heights.
365 Initially, these results seem counterintuitive since the mean Wind Corrected heights are in
366 better agreement with the ship-based measurements in both cases. However, referring to
367 Figure 2d, it appears that the MISR Wind Corrected heights have a smaller bias than the
368 No Winds heights relative to the ship measurements. This leads to a better overall
369 agreement of the cloud-top height in the mean. In contrast, on a case-by-case basis, the
370 agreement between the No Wind heights and the ship measurements is better than for the

371 Wind Corrected heights, leading to a lower *RMSE*. This result shows the importance of
372 applying matching criteria before calculating the difference in this type of comparison.

373 The correlation coefficients are 0.42 and 0.83 for the Wind Corrected and No Winds
374 heights, respectively, for all eight coincident cases. This implies that the No Winds
375 heights, although having some bias, are in extremely good agreement with the ship-based
376 measurements, explaining 69% of the variability. By way of comparison, the correlation
377 coefficient for the MISR values relative to one another is only 0.53, so the No Winds
378 heights are in better agreement with the ship-based measurements of cloud-top height
379 than they are with the Wind Corrected heights. Excluding the Wind Corrected outlier
380 produces a very different picture. The remaining seven cases have a Wind Corrected
381 correlation coefficient of 0.77, nearly identical to the No Winds correlation coefficient of
382 0.79. The MISR-to-MISR correlation coefficient is 0.90 for these seven cases, showing
383 that the single outlier has a large impact on the results.

384 Both Table 2 and Figure 2d show quite clearly that the agreement between the ship-
385 based measurements of cloud-top height and MISR retrievals is very good. The
386 application of a wind correction appears to reduce some bias in the No Winds heights,
387 consistent with the results of *Genkova et al.* [2007].

388

389 **4. Discussion**

390 ***4.1 Cloud-top Heights from Cloud-top Pressures***

391 In section 3.1, cloud-top heights were derived from the cloud-top pressures reported
392 by ISCCP and MODIS and compared with coincident retrievals from ship-based
393 instruments in the marine stratocumulus region off the western coast of South America.

394 These comparisons are summarized in Figures 2a–2c Table 1. Overall, these
395 comparisons demonstrate that the cloud-top heights determined using this approach are
396 biased high by significantly more than 1000 m relative to the ship-based measurements.
397 The MODIS cloud-top algorithm team has recognized that a problem exists with the IR
398 retrieval in situations dominated by strong inversions, such as the marine stratocumulus
399 regions, for a number of years. The matching of the retrieved cloud-top temperature to
400 the lower resolution GDAS temperature profiles to derive cloud-top pressure has been
401 identified as the cause for this discrepancy [Richard Frey, 2007, personal
402 communication]. To investigate this, we consider in greater detail the performance of the
403 MODIS algorithm in the study region. Since ISCCP utilizes a similar algorithm, this
404 analysis extends to those retrievals as well.

405 In Figure 3 the temperature profiles from radiosondes launched at 14:00:00 UT and
406 17:00:00 UT on 16 October 2001 during the EPIC 2001 campaign are plotted against the
407 temperature profiles at 12:00:00 UT and 18:00:00 UT from the GDAS 1° grid boxes
408 containing the radiosonde launch locations. Note that neither radiosonde launch was
409 coincident with the Terra satellite overpass, which occurred at 16:07:05 UT on this date.
410 The profile from 14:00:00 UT is shown as the solid gray line, and the profile from
411 17:00:00 UT as the dashed gray line. Notice that the 14:00:00 UT profile reaches a
412 minimum temperature around 5.5° C near the cloud-top height of 1354 m, shown as the
413 dash-dot line, which was measured by the ship at 16:04:59 UT. This indicates that
414 the 14:00:00 UT sounding is more representative of the region sampled during the Terra
415 overpass since the cloud top is expected at the coldest point in the profile [e.g.,
416 *Bretherton et al.*, 2004]. The temperature jump just above this height is on the order of

417 +12° C, which is fairly typical of the region based on inspection of other radiosonde
418 profiles.

419 The GDAS temperature profiles for this date, shown in solid black for 12:00:00 UT
420 and dashed for 18:00:00 UT, reveal little change over the six hour period. The model is
421 unable to correctly capture the minimum temperature observed in the sounding, the
422 altitude of this minimum temperature, or the altitude and temperature jump at the
423 inversion. The minimum temperature reported in the model is about 12.5°C, a bias of
424 +7° C relative to the 14:00:00 UT radiosonde profile. The altitude of this minimum
425 temperature layer is only 600 m, compared to the 1354 m cloud-top observed by the
426 NOAA instruments. Finally, the temperature jump is only about +2.5° C, compared to
427 +12° C observed by the radiosonde.

428 The effect these model biases have on the MODIS retrieval is illustrated by the gray
429 vertical and horizontal lines in Figure 3. The vertical line shows the MODIS retrieved
430 cloud-top temperature of 5.9° C reported in the MOD06 product. The intersection of this
431 temperature with the radiosonde profile agrees extremely well with the temperature at the
432 base of the inversion, which also corresponds to the cloud top measured by the ship. The
433 vertical line intersects all four profiles again at a much higher altitude. The horizontal
434 gray line shows the retrieved MODIS cloud-top height of 3890 m. Note that this
435 horizontal line intersects the vertical temperature line at the same point as it intersects the
436 temperature profiles. Because the MODIS IR algorithm relies on the GDAS profile
437 (from 12:00:00 UT, in this case), this is the only model height consistent with the
438 observed cloud-top temperature. The height is then converted to the reported cloud-top

439 pressure of 640 hPa. The behavior in this case is typical of most cases in the coincident
440 data set.

441 A contrasting situation is illustrated in Figure 4, from 14 October 2006. The
442 radiosonde profile from 15:00:00 UT is shown as the solid gray line, along with the
443 GDAS profiles from 12:00:00 UT in solid black, and 18:00:00 UT in long dashes. The
444 Terra overpass was at 15:54:58, about an hour after the radiosonde launch. This time the
445 GDAS model was better able to capture the structure of the temperature inversion,
446 indicating a minimum temperature around 9° C just above 1000 m. The radiosonde
447 profile shows the base of the inversion to be around 1300 m, with a temperature around
448 8.5° C. The cloud-top temperature retrieved by MODIS was 9.3° C, slightly warmer than
449 the radiosonde temperature at the base of the inversion, while the ship measured a cloud-
450 top height of 1561 m, shown as the dot-dash line. These differences are most likely due
451 to the time and space difference between the radiosonde launch and the coincident ship-
452 satellite observations. Inspection of the MODIS imagery for this case showed that the
453 ship was entering a region of broken cloudiness, so such variability is not unexpected.

454 The MODIS cloud-top retrieval algorithm for the MOD06 product determines the IR
455 cloud-top height by testing the GDAS model temperature at each altitude level in the
456 model beginning with the tropopause and moving down to the surface. If the model
457 temperature is less than the IR brightness temperature, then that level is stored, and the
458 algorithm proceeds downward. If the temperature monotonically increases with
459 decreasing height, this approach will identify the lowest altitude in the model with a
460 temperature lower than the observed IR brightness temperature as the cloud-top. If the
461 temperature structure does not decrease monotonically (e.g., Figures 3 and 4), then the

462 algorithm will still identify the *lowest* altitude in the GDAS model with a temperature
463 lower than the IR brightness temperature. This is illustrated in Figure 4 where the
464 retrieved cloud-top height is 985 m. Had the algorithm selected the *highest* altitude in the
465 GDAS model, then the cloud-top would have been found at about 3050 m. The 14
466 October 2006 case is the only case in the coincident data set where the cloud-top height
467 derived from the reported MODIS cloud-top pressure was lower than the ship-based
468 measurement. In this situation, the GDAS model placed the altitude of the temperature
469 inversion too low, so the MODIS retrieval was biased low as well. Given the vertical
470 resolution of the model, it is not clear that the retrieval could have performed any better
471 in this situation.

472 These two cases illustrate the situation in the marine stratocumulus region off the
473 west coast of South America. In most cases, the GDAS model was unable to adequately
474 capture the structure and strength of the persistent temperature inversion. In fact, in one
475 case (24 October 2001), the model had no inversion at all, although the radiosonde
476 showed a temperature jump of +14° C. When the inversion is modeled inadequately, the
477 MODIS algorithm finds a matching cloud-top temperature much higher in the
478 atmosphere, leading to a large bias relative to the coincident ship-based measurements.
479 Even if the GDAS model captures the structure of the inversion, deficiencies in the
480 vertical model resolution can lead to other biases as illustrated by the 14 October 2006
481 case. This analysis shows that it is the reliance on the GDAS model temperature profile
482 that lies at the heart of the infrared retrieval algorithm's difficulty in accurately retrieving
483 cloud-top heights, at least in the stratocumulus regime off the coast of South America.
484 Although not shown here, the ISCCP retrievals have similar difficulties since the

485 atmospheric temperature profile from TOVS has even lower spatial and temporal
486 resolution than the GDAS model used by MODIS.

487

488 ***4.2 Constant Lapse Rate Retrievals of Stratocumulus Cloud-top Heights***

489 Given the issues with the retrieval of cloud-top pressure described above, we consider
490 an alternative method for determining the cloud-top height for marine stratocumulus
491 clouds. A simple approach, going back to the earliest weather satellites [e.g., *Fritz and*
492 *Winston, 1962*], uses the difference between the IR cloud-top and surface temperatures
493 along with a standard lapse rate, Γ , to retrieve the cloud-top height. The ISCCP code to
494 read the D2 cloud product (D2READ, available online at
495 <http://isccp.giss.nasa.gov/products/software.html>) calculates the cloud-top height from
496 the cloud-top and surface temperature using a constant lapse rate of $6.5^{\circ} \text{C km}^{-1}$. Another
497 common lapse rate used to calculate cloud-top heights in marine stratocumulus regions is
498 $7.1^{\circ} \text{C km}^{-1}$, derived by *Minnis et al.* [1992] from Electra aircraft soundings made off the
499 coast of California during the First ISCCP Regional Experiment (FIRE).

500 Plots of cloud-top heights determined from the ISCCP data using these two lapse
501 rates are shown in Figure 5a and 5b for 15:00:00 UT and 18:00:00 UT, respectively.
502 Figure 5a does not show particularly good agreement between the ISCCP and ship-based
503 cloud-top heights. However, comparison with Figure 2a (note change in scale) indicates
504 that the constant lapse rate produces cloud-top heights in substantially better agreement
505 with the ship-based measurements. At 18:00:00 UT, however, the spread in the retrieved
506 cloud-top heights is reduced relative to 15:00:00 UT regardless of which lapse rate is
507 applied.

508 Table 3 shows the statistical comparisons between the ISCCP cloud-top heights
509 derived using a constant lapse rate and the ship-based measurements. The IR cloud-top
510 heights from Table 1 are included for comparison. In all cases, use of the constant lapse
511 rate approach yields significantly lower cloud-top heights than those found from the
512 cloud-top pressures. However, the standard deviation of the retrievals remains about the
513 same, indicating that the variance is due to differences in the observed cloud-top
514 temperature, which affects both retrieval methods in a similar manner. With the
515 reduction in the mean cloud-top height, the *RMSE* also decreases significantly – by nearly
516 a factor of six in the case of GOES-West at 18:00:00 UT. However, the correlation
517 coefficient does not show any particular improvement, which is due, once again, to the
518 dependence of the results on the retrieval of cloud-top temperature. Table 3 also
519 indicates that the lapse rate of $7.1^{\circ} \text{C km}^{-1}$ yields slightly better results than $6.5^{\circ} \text{C km}^{-1}$,
520 but differences in the results are not significant due to the small sample size.

521 The higher resolution MODIS data, which are easier to collocate with the ship than
522 the ISCCP data, provide the unique opportunity to calculate the effective atmospheric
523 lapse rate for each of the coincident cases. The NOAA instrumentation on the ship
524 measures sea surface temperatures (SST) at a depth of about 5 cm with a precision
525 thermistor [Fairall *et al.*, 1997]. These measurements are compared with the MODIS
526 surface temperature measurements reported in the MOD06 product, which are taken as
527 SSTs, since in all cases the observations are made over water. Because satellite IR
528 radiometers actually measure the temperature only within a few hundred microns of the
529 surface [e.g., Donlon *et al.*, 2002], the comparison between the MODIS SST and the SST
530 measured by the ship at 5 cm is most appropriate. In most cases, the MODIS SST was

531 within 0.5° C of the ship-based measurements, with some evidence of a high bias, which
532 would be expected given that the temperature falls with depth inside the water column.
533 From the cloud-top height measured by the ship-based instruments and the cloud-top
534 temperature retrieved by MODIS values the lapse rate, Γ , in units of °C km⁻¹ can be
535 calculated from:

$$536 \quad \Gamma = \frac{T_s - T_c}{h_c} \quad (3)$$

537 Where T_s is the SST, T_c is the cloud-top temperature, and h_c is the cloud-top height. Note
538 that the lapse rate calculated using equation (3) will be positive because the cloud-top
539 temperature will always be lower than the surface temperature.

540 Because three retrievals of the cloud-top height are available that do not depend on
541 the temperature structure of the atmosphere (ship-based, MISR No Winds, MISR Wind
542 Corrected), it is possible to calculate three separate lapse rates. A mean “observational”
543 lapse rate can be found using the ship-based measurements of T_s and h_c . Similarly, mean
544 “No Winds” and “Wind Corrected” lapse rates can be found by using the MODIS SST as
545 T_s and the MISR No Winds and Wind Corrected cloud-top heights, respectively, as h_c .
546 The MODIS cloud-top temperature appears as T_c in all the calculations. The mean
547 observational lapse rate for all seven cases was found to be 7.4° C km⁻¹, varying from 9.4
548 to 6.1 °C km⁻¹ in specific cases. The No Winds and Wind Corrected lapse rates, derived
549 from satellite retrievals alone, do not necessary show very good agreement with the
550 observational values on a case-by-case basis. Overall, however, the Wind Corrected
551 lapse rate was found to be 7.2° C km⁻¹, while the No Winds lapse rate was 6.3° C km⁻¹.
552 The close agreement between the mean observed and Wind Corrected lapse rates with the
553 7.1° C km⁻¹ lapse rate determined by *Minnis et al.* [1992] for the California stratocumulus

554 region is serendipitous, especially given the small sample size and large spread in the
555 individual values.

556 Figure 5c shows the cloud-top heights calculated from the MODIS cloud-top
557 temperatures using the three lapse rates. Vertical error bars show the effect of a $\pm 1^\circ \text{C}$
558 error in the temperature retrieval, which corresponds to a height error of approximately
559 $\pm 150 \text{ m}$. For comparison, Figure 5d shows the MISR retrievals from Figure 2d on the
560 same scale as the other plots. Inspection of Figure 5c shows that the cloud-top heights
561 retrieved using the No Winds lapse rate are biased high relative to the ship-based
562 measurements. The Wind Corrected and observational lapse rates differ from one
563 another by only $0.2^\circ \text{C km}^{-1}$, so it is not surprising to find such good agreement between
564 the cloud-top heights retrieved using both lapse rates.

565 Table 4 provides a statistical summary of the results obtained using the various lapse
566 rates compared with the standard MISR and MODIS retrievals (reproduced from Table 2)
567 for all cases where comparable MODIS data were available. It is immediately apparent
568 that, just as was the case with the ISCCP results, the MODIS cloud-top heights derived
569 using a constant lapse rate are all in significantly better agreement with the ship-based
570 measurements than the MODIS cloud-top heights derived from the cloud-top pressures.
571 The standard deviation and *RMSE* have both been significantly reduced, while the
572 correlation coefficient has increased dramatically. In all cases, a linear fit of the MODIS
573 cloud-top heights to the ship-based measurements explains 64% of the variance, as
574 determined by the R^2 value. Differences among the MODIS and MISR results are not
575 statistically significant given the small sample size.
576

577 **4.3 MISR Retrievals in Marine Stratocumulus Regions**

578 The quantization of the MISR cloud-top height retrievals requires consideration when
579 interpreting the relative performance of the two MISR cloud-top height retrievals. The
580 error bars in Figure 2d and 5d are ± 300 m, consistent with the size of the accumulated
581 errors in the MISR retrievals measurements [e.g., *Moroney et al.*, 2002; *Naud et al.*,
582 2004]. Evaluating the MISR retrievals relative to the height quantization by dividing the
583 heights into increments of 560 m, yields an alternate picture of the relative performance
584 of the MISR algorithms. In this case, the MISR Wind Corrected heights appear in the
585 same height bin as the ship-based cloud-top height measurements in seven of the eight
586 cases. As mentioned previously, the outlier is a case where MISR retrieved a cloud
587 motion vector wind of 10.8 ms^{-1} , compared to the ship-based wind speed measurement of
588 6.9 ms^{-1} . The No Winds heights also appear in the same bin as the NOAA heights in
589 seven of the eight cases. The outlier was for a case where the cloud-top height reported
590 by the ship was almost exactly an integer multiple of 560 m. Consequently, the retrieved
591 MISR cloud-top heights bins alternated between two values. In this case, the value
592 reported for the No Winds cloud-top height was biased *low* relative to the ship-based
593 measurement by one height bin. In general, considering the MISR cloud-top heights in
594 this manner shows that both the MISR No Winds and Wind Corrected heights agree with
595 the NOAA observed heights within the performance characteristics of the operational
596 MISR algorithms.

597

598 **5. Conclusions and Recommendations for Future Work**

599 In an effort to better understand the performance characteristics of satellite cloud-top
600 height retrieval algorithms in marine stratocumulus regions, we have employed
601 measurements of cloud-top heights made by NOAA research vessels in the marine
602 stratocumulus region off the western coast of South America during cruises in 2001, and
603 2003 to 2006. These observations were matched, spatially and temporally, with high-
604 resolution retrievals from the MODIS and MISR instruments on the Terra satellite, as
605 well as lower resolution retrievals in the ISCCP DX data set.

606 The ISCCP cloud-top heights, determined from the cloud-top pressures, were found
607 to be biased high by between 1400 and 2000 m depending on the observation time and
608 retrieval type. It was also found that employing a fixed atmospheric temperature lapse
609 rate, such as $6.5^{\circ} \text{C km}^{-1}$ or $7.1^{\circ} \text{C km}^{-1}$, produced ISCCP cloud-top height retrievals in
610 significantly better agreement with the ship-based measurements. The specific lapse rate
611 chosen had only a small effect on the results. However, the use of such a fixed lapse rate
612 is likely to be appropriate only for low-level clouds. Moreover, the selection of an
613 appropriate lapse rate may depend on the particular location [e.g., *Wood and Bretherton,*
614 2004; 2006]. The performance of the cloud-top pressure approach applied globally to
615 other cloud regimes was not assessed in this study.

616 Similar to the ISCCP results, the MODIS cloud-top heights derived from the cloud-
617 top pressures in the Collection 5 MOD04 product were biased high by more than 2000 m
618 relative to the ship-based measurements. The MISR standard retrievals, obtained from
619 the F08_0017 version of the MISR L2TC product agreed with the ship-based
620 measurements within 230 to 420 m on average. The large high bias in the MODIS
621 retrievals was traced to the performance of the low-resolution GDAS model used to

622 convert the observed cloud-top temperatures to cloud-top heights. The MISR cloud-top
623 heights, on the other hand, derived using a stereophotogrammetric method, do not require
624 information about the atmospheric state and appear to provide a more legitimate
625 comparison with climate models than the ISCCP or MODIS heights (derived from cloud-
626 top pressures), at least in the stratocumulus cloud region. These results highlight the
627 importance of having independent satellite measurements of cloud-top heights from
628 MISR and MODIS to assess such potential issues, as suggested by *Ohring et al.* [2004].
629 Climatologies of cloud-top heights from MISR data are available from the beginning of
630 the Terra mission in 2000 to the present.

631 As a way forward, these results also suggest two possible approaches for retrieving
632 more accurate cloud-top heights from MODIS and/or ISCCP in marine stratocumulus
633 regions. The first approach would be to adopt a mean global lapse rate. The $7.1^{\circ} \text{C km}^{-1}$
634 lapse rate from *Minnis et al.* [1992] appears to work well in the stratocumulus regime
635 examined in this study, but more work would be required to test its applicability in other
636 regions. A second approach would use the MISR Wind Corrected cloud-top heights
637 along with the MODIS or ISCCP SST and cloud-top temperatures to establish a lapse rate
638 climatology appropriate for marine stratocumulus regions. Because they are on the same
639 satellite platform, it may also be possible to use the MISR and MODIS observations
640 together to determine the exact regions where the MODIS retrievals have difficulty.
641 When the MODIS cloud-top pressure is determined using the IR algorithm in preference
642 to the CO₂-slicing approach, a comparison could be made with coincident retrievals from
643 MISR on Terra. If the MISR heights are significantly lower, then it is likely an inversion
644 condition exists. The MISR cloud-top heights could then be used to determine an

645 appropriate lapse rate for these regions. A similar methodology could be employed for
646 use with the MODIS instrument on the Aqua satellite where lidar backscatter retrievals
647 from CALIPSO could provide independent assessments of the actual height of the
648 stratocumulus clouds, although with limited coverage relative to MISR.

649 Marine stratocumulus clouds play an important role in the global climate system.
650 While long-term data sets, such as ISCCP are valuable for understanding climatological
651 trends, newer instruments including MISR and MODIS on the Terra satellite and MODIS
652 and CALIPSO on the Aqua satellite should not be ignored. The instruments on Terra
653 now provide a nearly continuous eight-year data set, with high spatial resolution. These
654 data can be a valuable resource for understanding not only interannual variations in
655 geophysical parameters like cloud-top height, but differences in instrument and algorithm
656 performance. With the amount of data available, larger studies employing more
657 sophisticated statistical procedures should provide important new insights in the coming
658 years.

659

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672

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Tables

Table 1. Statistical comparison of cloud-top height retrievals from cloud-top pressure and associated ship-based measurements

Observation	Mean (m)	σ (m)	RMS Error (m)	Correlation Coefficient (r)
Ship 1500 UT ($n=7$)	1185	181		
GOES-East IR	2417	721	1409	0.03
GOES-East VIS	2516	658	1468	0.08
GOES-West IR	2987	750	1978	-0.67
GOES-West VIS	3009	714	1982	-0.63
Ship 1800 UT ($n=5$)	1157	61		
GOES-East IR/VIS	2721	357	1597	-0.06
GOES-West IR	3075	553	1985	-0.23
GOES-West VIS	3087	571	2000	-0.21
Ship-Terra ($n=7$)	1189	232		
MODIS	2937	950	2004	-0.37

Table 2. Statistical comparison of MISR stereo-derived cloud-top height retrievals and associated ship-based measurements

Observation	Mean (m)	σ (m)	RMS Error (m)	Correlation Coefficient (r)
All Observations (n=8)				
Ship-Terra	1181	216		
Wind Corrected	1294	443	393	0.42
No Winds	1352	289	229	0.83
Excluding Outlier (n=7)				
Ship-Terra	1212	213		
Wind Corrected	1219	420	268	0.77
No Winds	1394	286	242	0.79
MODIS Coincident (n=7)				
Ship-Terra	1189	232		
Wind Corrected	1314	474	420	0.41
No Winds	1388	292	245	0.85
MODIS	2937	950	2004	-0.37

Table 3. Statistical comparison of ISCCP retrievals and associated ship-based measurements for constant lapse rate retrievals

Observation	Mean (m)	σ (m)	RMS Error (m)	Correlation Coefficient (r)
Ship 1500 UT ($n=7$)	1185	181		
GOES-East IR	2417	721	1409	0.03
GOES-East $\Gamma=6.5$	809	608	692	0.04
GOES-East $\Gamma=7.1$	740	556	696	0.04
GOES-West IR	2987	750	1978	-0.67
GOES-West $\Gamma=6.5$	949	628	721	-0.51
GOES-West $\Gamma=7.1$	869	575	708	-0.51
Ship 1800 UT ($n=5$)	1157	61		
GOES-East IR	2721	357	1597	-0.06
GOES-East $\Gamma=6.5$	1049	320	324	-0.27
GOES-East $\Gamma=7.1$	961	292	343	-0.27
GOES-West IR	3075	553	1985	-0.23
GOES-West $\Gamma=6.5$	1040	381	371	-0.14
GOES-West $\Gamma=7.1$	952	349	384	-0.14

Table 4. Statistical comparison of ship-based measurements, MISR and MODIS standard retrievals, and MODIS retrievals using a constant lapse rate

Observation	Mean (m)	σ (m)	RMS Error (m)	Correlation Coefficient (r)
Ship ($n=7$)	1189	232		
MISR Wind Corrected	1314	474	420	0.41
MISR No Winds	1388	292	245	0.85
MODIS Pressure	2937	950	2004	-0.37
MODIS $\Gamma=7.4$	1184	366	210	0.80
MODIS $\Gamma=6.3$	1391	430	329	0.80
MODIS $\Gamma=7.2$	1217	376	219	0.80

Figure Captions

Figure 1. NOAA cruise tracks off the west coast of South America, 2001, 2003–2006. The Woods Hole Oceanographic Institute (WHOI) buoy is indicated by the arrow. The shading of the tracks is lighter at the beginning of the cruise and becomes darker at the end of the track.

Figure 2. Comparison of cloud-top heights from satellite retrievals and ship-based measurements in the marine stratocumulus region off the western coast of South America. a) ISCCP DX cloud-top heights determined from cloud-top pressures retrieved from GOES-East and GOES-West at 15:00:00 UT. GOES-East retrievals made assuming blackbody (IR) clouds are shown as diamonds, GOES-West IR retrievals are shown as squares. Retrievals employing a visible channel correction (VIS) are indicated by (+) for GOES-East and (x) for GOES-West. Retrievals from each instrument are shifted slightly as an aid to interpretation. A one-to-one line is included for comparison. (b) Same as (a), but for 18:00:00 UT retrievals. (c) MODIS retrievals of cloud-top height derived from cloud-top pressures. (d) MISR stereo height retrievals coincident with the MODIS retrievals. Wind Corrected heights are shown as black diamonds and No Winds heights are shown as gray squares. Error bars of ± 300 m are shown for the MISR retrievals and ± 60 m for the ship-based measurements. Points are shifted slightly as an aid to interpretation.

Figure 3. Comparison of GDAS (black) and radiosonde (gray) temperature retrievals below 5 km near the WHOI buoy on 16 October 2001. The dot-dashed line indicates the cloud-top height reported by the ship-based measurement. The vertical gray line shows the operationally retrieved MODIS cloud-top temperature. The horizontal gray line shows the resulting retrieved MODIS cloud-top height.

Figure 4. Same as Figure 3, but for 14 October 2006.

Figure 5. Same as Figure 2, but for retrievals using fixed atmospheric lapse rates. a) ISCCP DX cloud-top heights determined from fixed lapse rates of 6.5 and 7.1 $^{\circ}\text{C km}^{-1}$ for GOES-East and GOES-West at 15:00:00 UT. Retrievals using a lapse rate of 6.5 are shown as diamonds for GOES-East and squares for GOES-West. Retrievals using a lapse rate of 7.1 are indicated by (+) for GOES-East and (x) for GOES-West. Retrievals from each instrument are shifted slightly as an aid to interpretation. A one-to-one line is included for comparison. (b) Same as (a), but for 18:00:00 UT retrievals. (c) MODIS retrievals of cloud-top height using fixed lapse rates. Diamonds indicate retrievals using the observed lapse rate, squares shown the results using the lapse rate derived using the MISR No Winds retrievals, and triangles show results from the Best Wind retrievals. Error bars of ± 150 m ($\pm 1^{\circ}\text{C}$) are shown for the MODIS retrievals and ± 60 m for the ship-based measurements. Points are shifted slightly as an aid to interpretation. (d) MISR stereo height retrievals coincident with the MODIS retrievals. Wind Corrected heights are shown as black diamonds and No Winds heights are shown as gray squares. Error bars of

± 300 m are shown for the MISR retrievals and ± 60 m for the ship-based measurements. Points are shifted slightly as an aid to interpretation.

FIGURES

Figure 1

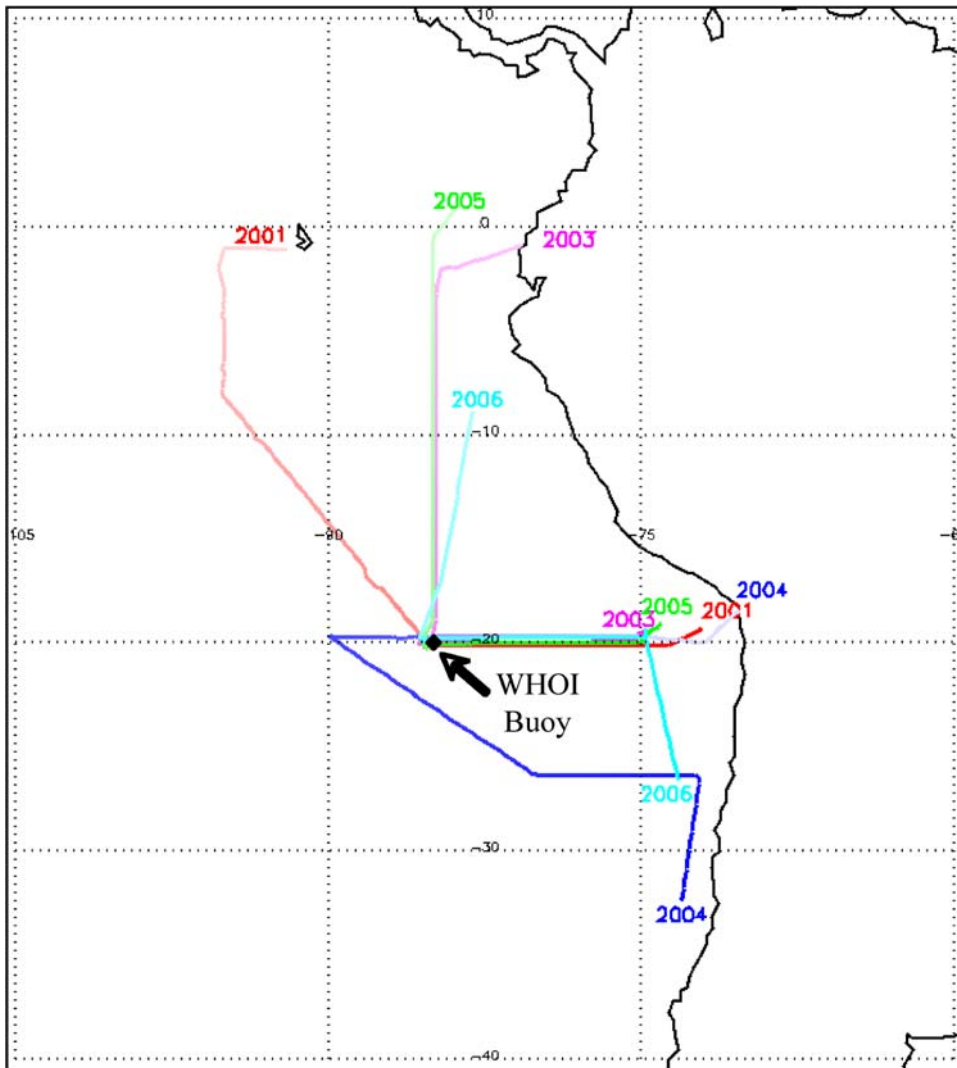


Figure 2

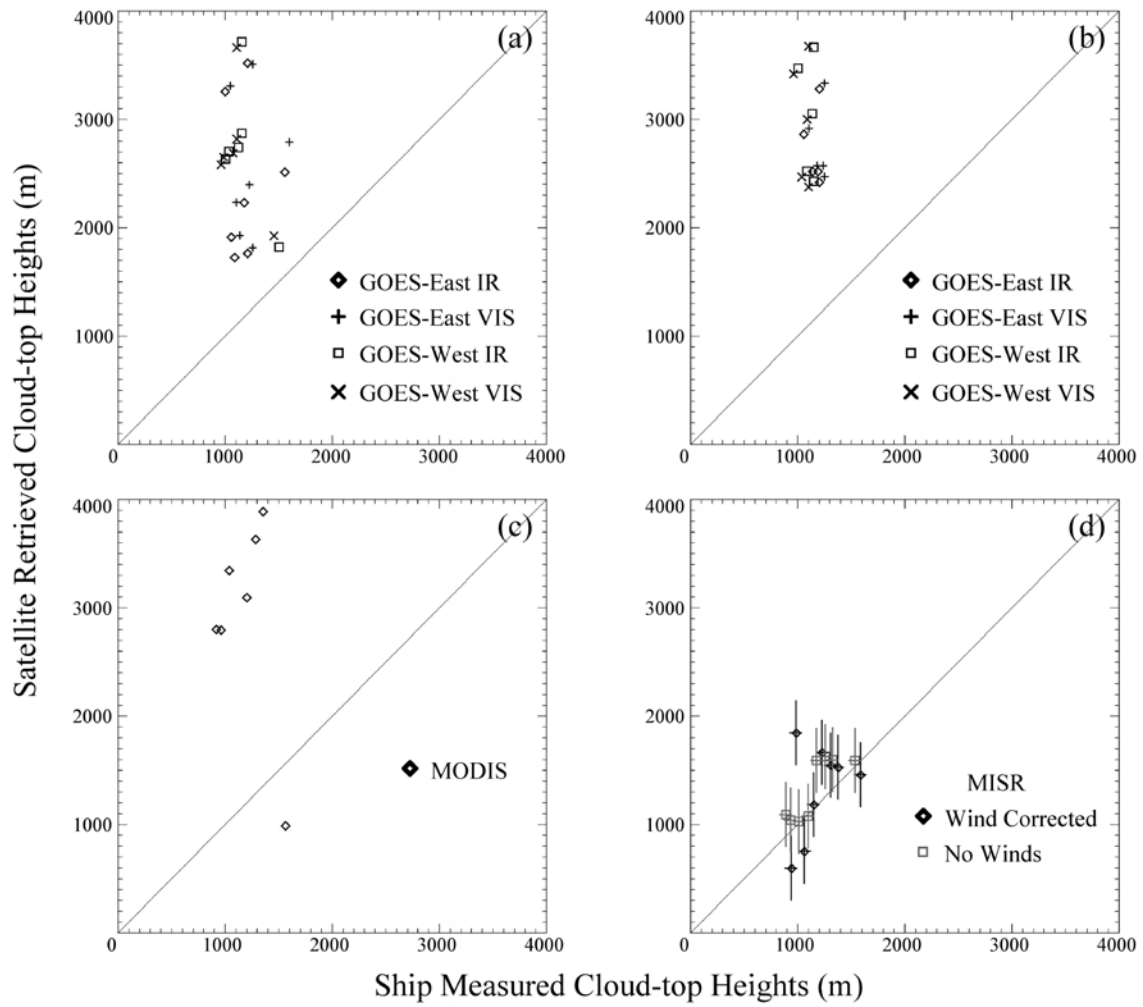


Figure 3

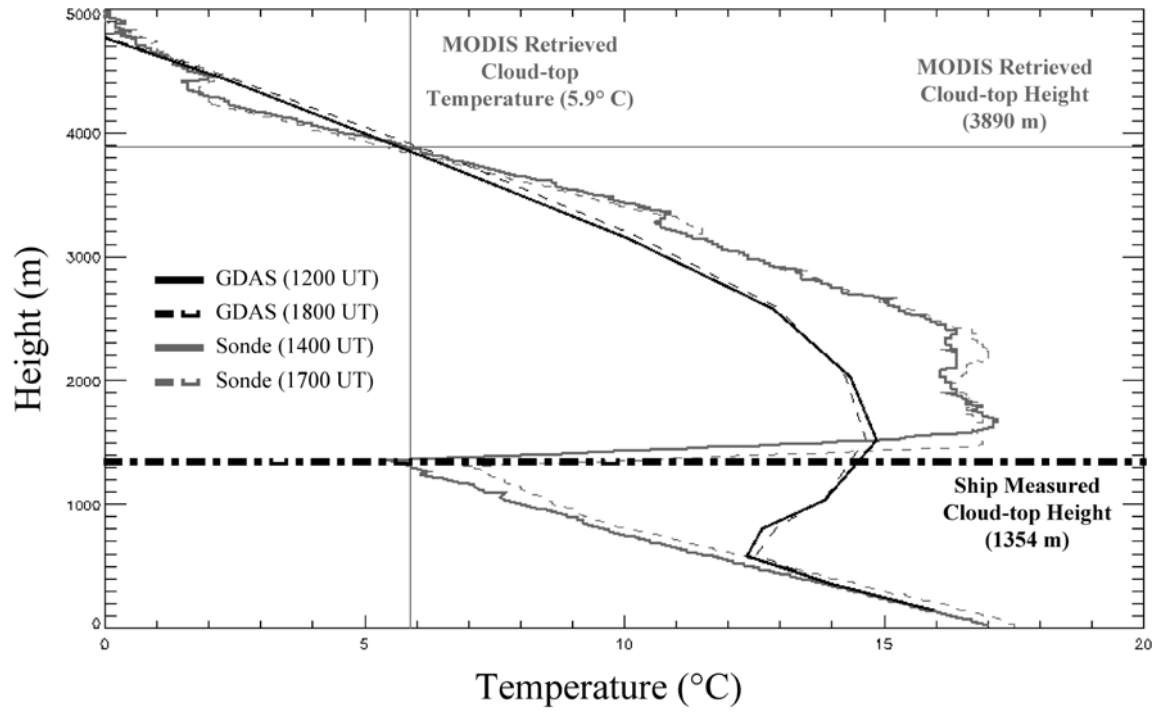


Figure 4

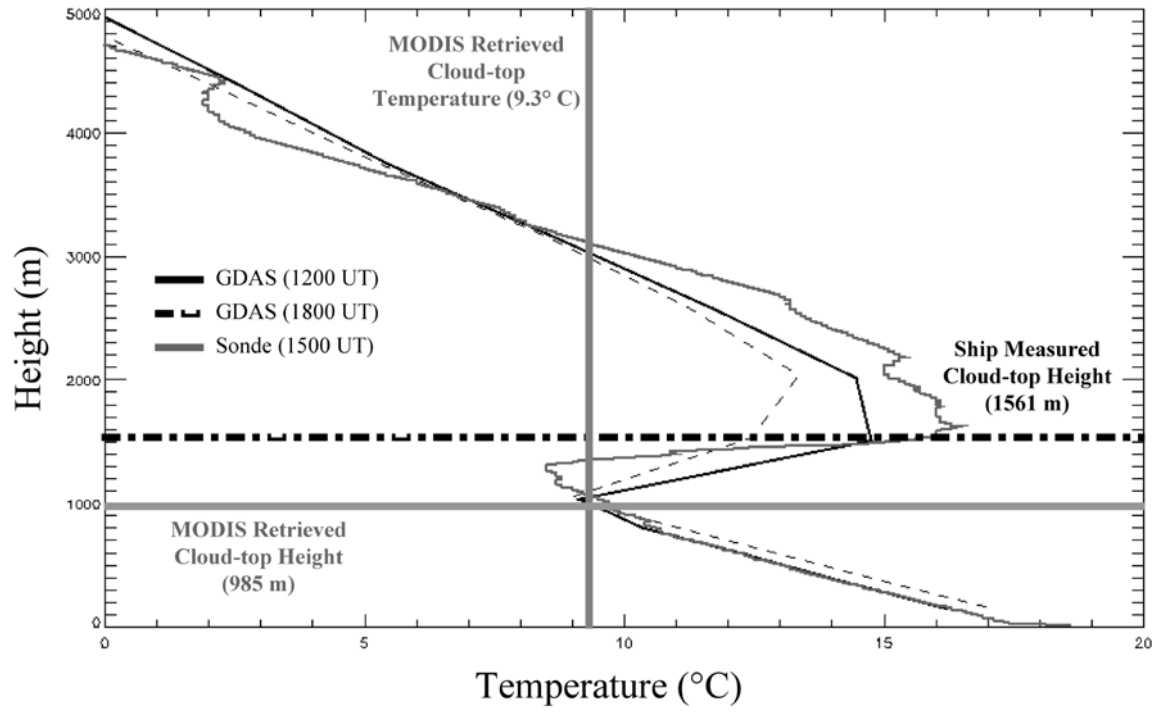


Figure 5

