# Charm mixing in the Standard Model and Beyond



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## Introduction: identifying New Physics



### The LHC ring is 27km in circumference

How can SLAC and other older machines help with New Physics searches?

## Introduction: charm and New Physics

Charm transitions serve as excellent probes of New Physics Unique access to up-guark sector

1. Processes forbidden in the Standard Model to all orders

Examples:  $D^0 \rightarrow p^+ \pi^- \nu$ 



Examples: 
$$D^0 - \overline{D^0}$$
 mixing  $D \to X\gamma, D \to Xv\overline{v}$ 

3. Processes allowed in the Standard Model

Examples: relations, valid in the SM, but not necessarily in general

CKM triangle relations

### Introduction: mixing





**Coupled oscillators** 

 $\Delta Q=2$ : only at one loop in the Standard Model: possible new physics particles in the loop

 $\Delta Q{=}2$  interaction couples dynamics of  $D^0$  and  $\overline{D}{}^0$ 

$$\left| D(t) \right\rangle = \begin{pmatrix} a(t) \\ b(t) \end{pmatrix} = a(t) \left| D^{0} \right\rangle + b(t) \left| \overline{D^{0}} \right\rangle$$

### Time-dependence: coupled Schrödinger equations

$$i\frac{\partial}{\partial t}|D(t)\rangle = \left(M - \frac{i}{2}\Gamma\right)|D(t)\rangle = \left[\begin{array}{cc}A & p^{2}\\ q^{2} & A\end{array}\right]|D(t)\rangle$$

Diagonalize: mass eigenstates  $\neq$  flavor eigenstates  $|D_{1,2}\rangle = p |D^0\rangle \pm q |\overline{D^0}\rangle$ 

Mass and lifetime differences of mass eigenstates:  $x = \frac{M_2 - M_1}{\Gamma}$ ,  $y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$ 

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### Introduction: mixing





**Coupled oscillators** 

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### Time-dependence: coupled Schrödinger equations

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► Diagonalize: mass eigenstates  $\neq$  flavor eigenstates No CPV:  $|D_{res}\rangle \Rightarrow |D_{res}\rangle = \frac{1}{2\pi} \left[ |D_{res}\rangle + |\overline{D_{res}}\rangle \right]$ 

$$\begin{bmatrix} 0 & CPV : \\ D_{1,2} \end{bmatrix} \Rightarrow \begin{bmatrix} D_{CP \pm} \\ D \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} D^{0} \\ \pm \\ D^{0} \end{bmatrix}$$

Mass and lifetime differences of mass eigenstates:  $x = \frac{M_2 - M_1}{\Gamma}$ ,  $y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$ 

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### Introduction: why do we care?



(\*) up to matrix elements of 4-quark operators

## How would new physics affect mixing?

Look again at time development:

$$i\frac{\partial}{\partial t}|D(t)\rangle = \left(M - \frac{i}{2}\Gamma\right)|D(t)\rangle = \begin{bmatrix}A & p^{2}\\ q^{2} & A\end{bmatrix}|D(t)\rangle$$

> Expand  $D^0 - D^0$  mass matrix:



new CP-violating phase  $\phi$ 



### Recent results from BaBar



### Recent results from Belle

 $10^{5}$ 

10

Time-dependent  $D \rightarrow KK/\pi\pi$  analysis •

$$y_{CP} \equiv \frac{\tau(K^{-}\pi^{+})}{\tau(K^{-}K^{+})} - 1_{no \ CPV} \ y = \frac{\Delta\Gamma}{2\Gamma}$$
$$CPV : A_{\Gamma} = \frac{\Gamma(D^{0} \to K^{-}K^{+}) - \Gamma(\overline{D}^{0} \to K^{-}K^{+})}{\Gamma(D^{0} \to K^{-}K^{+}) + \Gamma(\overline{D}^{0} \to K^{-}K^{+})}$$

Belle data ٠



 $y_{CP} = 1.31 \pm 0.32 \pm 0.25 \%$ 

No evidence for CP-violation •

Evidence for DD mixing!



 $D^0 \rightarrow K \pi^+$ 

(courtesy of A. Rahimi)

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### Recent results: summary

• BaBar and Belle results

$$y'_{\rm D} = (0.97 \pm 0.44 \pm 0.31) \cdot 10^{-2}$$
 (BaBar),  
 $y^{\rm (CP)}_{\rm D} = (1.31 \pm 0.32 \pm 0.25) \cdot 10^{-2}$  (Belle).

Similar results from CDF

• Belle Dalitz plot result ( $D^0 \rightarrow K_S \pi^+ \pi^-$ )

$$x_{\rm D} = (0.80 \pm 0.29 \pm 0.17) \cdot 10^{-2} ,$$
  
 $y_{\rm D} = (0.33 \pm 0.24 \pm 0.15) \cdot 10^{-2} .$ 

Preliminary HFAG numbers

$$x_{\rm D} = 8.5^{+3.2}_{-3.1} \cdot 10^{-3}$$
,  
 $y_{\rm D} = 7.1^{+2.0}_{-2.1} \cdot 10^{-3}$  (cos  $\delta_{K\pi} = 1.09 \pm 0.66$ )

٠

### Mixing: theoretical estimates



### Theoretical estimates I

A. Short distance gives a tiny contribution



m<sub>c</sub> IS large !!!

 $z = \frac{m_s^2}{m_c^2}$ 

... as can be seen from a "straightforward computation"...

Notice, however, that at NLO in QCD  $(x_{NLO}, y_{NLO}) \gg (x_{LO}, y_{LO})$ :

$$y_{\rm NLO}^{(2)} = \frac{G_F^2 m_c^2 f_D^2 M_D}{3\pi\Gamma_D} \xi_s^2 \frac{\alpha_s}{4\pi} z^2 \left( B_D \left[ -\left(\frac{77}{6} - \frac{8\pi^2}{9}\right) C_2^2 + 14 C_1 C_2 + 8 C_1^2 \right] \right) \\ -\frac{5}{2} \overline{B}_D^{(S)} \left[ \left(\frac{8\pi^2}{9} - \frac{25}{3}\right) C_2^2 + 20 C_1 C_2 + 32 C_1^2 \right] \right) , \quad \textbf{X}_{\rm NLO} \sim \textbf{Y}_{\rm NLO}!$$

Example of NLO contribution

Similar for x (trust me!)

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### Theoretical estimates I

A. Short distance + "subleading corrections" (in  $\{m_s, 1/m_c\}$  expansion):

$$y_{sd}^{(6)} \propto \frac{\left(m_s^2 - m_d^2\right)^2}{m_c^2} \frac{m_s^2 + m_d^2}{m_c^2} \mu_{had}^{-2} \propto m_s^6 \Lambda^{-6}$$
$$x_{sd}^{(6)} \propto \frac{\left(m_s^2 - m_d^2\right)^2}{m_c^2} \mu_{had}^{-2} \propto m_s^4 \Lambda^{-4}$$

4 unknown matrix elements

### ...subleading effects?



## Resume: <u>model-independent</u> computation with <u>model-dependent</u> result

## Theoretical estimates II

B. Long distance physics dominates the dynamics...

m<sub>c</sub> is NOT large !!!

$$y = \frac{1}{2\Gamma} \sum_{n} \rho_{n} \left[ \left\langle D^{0} \left| H_{W}^{\Delta C=1} \left| n \right\rangle \left\langle n \left| H_{W}^{\Delta C=1} \right| \overline{D}^{0} \right\rangle + \left\langle \overline{D}^{0} \left| H_{W}^{\Delta C=1} \left| n \right\rangle \left\langle n \left| H_{W}^{\Delta C=1} \right| D^{0} \right\rangle \right] \right] \right]$$

... with n being all states to which D<sup>0</sup> and  $\overline{D}^0$  can decay. Consider  $\pi\pi$ ,  $\pi K$ , KK intermediate states as an example...

J. Donoghue et. al. P. Colangelo et. al.

$$y_{2} = Br\left(D^{0} \to K^{+}K^{-}\right) + Br\left(D^{0} \to \pi^{+}\pi^{-}\right)$$
  
$$2\cos \delta \sqrt{Br\left(D^{0} \to K^{+}\pi^{-}\right)}Br\left(D^{0} \to \pi^{+}K^{-}\right)$$

 $\sim$  result is even acted to be O(10/)

cancellation expected!

If every Br is known up to O(1%)

the result is expected to be O(1%)!

The result here is a series of large numbers with alternating signs, <u>SU(3) forces 0</u>

$$x = ?$$
 Extremely hard...



Need to "repackage" the analysis: look at the <u>complete</u> multiplet contribution

### SU(3) and phase space

• "Repackage" the analysis: look at the <u>complete</u> multiplet contribution

$$y = \sum_{F_R} y_{F,R} Br\left(D^0 \to F_R\right) \sim \sum_{F_R} y_{F,R} \frac{1}{\Gamma} \sum_{n \in F_R} \Gamma\left(D^0 \to n\right)$$
  
y for each SU(3) multiplet Each is **0** in SU(3)

• Does it help? If only phase space is taken into account: <u>no (mild)</u> model dependence

$$y_{F,R} = \frac{\sum_{n \in F_R} \langle \overline{D}^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}{\sum_{n \in F_R} \langle D^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}$$
  
if CP is conserved
$$= \frac{\sum_{n \in F_R} \langle \overline{D}^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}{\sum_{n \in F_R} \Gamma(D^0 \to n)}$$
  
Can consistently compute

### Example: PP intermediate states

• n=PP transforms as  $(8 \times 8)s = 27 + 8 + 1$ , take 8 as an example:

Numerator:  

$$A_{N,8} = |A_0|^2 s_1^2 \left[ \frac{1}{2} \Phi(\eta, \eta) + \frac{1}{2} \Phi(\pi^0, \pi^0) + \frac{1}{3} \Phi(\eta, \pi^0) + \Phi(\pi^+, \pi^-) - \Phi(\overline{K^0}, \pi^0) + \Phi(K^+, \pi^-) - \Phi(\overline{K^0}, \pi^0) + \Phi(K^+, \pi^-) - \Phi(\overline{K^0}, \pi^0) + \Phi(K^+, \pi^-) - \Phi(K^-, \pi^+) \right]$$

**Denominator:** 

phase space function

$$A_{D,8} = |A_0|^2 \left[ \frac{1}{6} \Phi\left(\eta, K^0\right) + \Phi\left(K^+, \pi^-\right) + \frac{1}{2} \Phi\left(K^0, \pi^0\right) + O\left(s_1^2\right) \right]$$

• This gives a calculable effect!

$$y_{2,8} = \frac{A_{N,8}}{A_{D,8}} = -0.038 \ s_1^2 = -1.8 \times 10^{-4}$$

- 1. Repeat for other states
- 2. Multiply by  $Br_{Fr}$  to get y

### Results

Final state representation		$y_{F,R}/s_{1}^{2}$	$y_{F,R}$ (%)
PP	8	-0.0038	-0.018
	27	-0.00071	-0.0034
PV	$8_S$	0.031	0.15
	84	0.032	0.15
	10	0.020	0.10
	10	0.016	0.08
	27	0.040	0.19
(VV)s-wave	8	-0.081	-0.39
	27	-0.061	-0.30
$(VV)_{p-\mathrm{wave}}$	8	-0.10	-0.48
	27	-0.14	-0.70
$(VV)_{d-wave}$	8	0.51	2.5
	27	0.57	2.8

Final state representation		$y_{F,R}/s_1^2$	$y_{F,B}$ (%)
$(3P)_{s-wave}$	8	-0.48	-2.3
	27	-0.11	-0.54
$(3P)_{p-wave}$	8	-1.13	-5.5
	27	-0.07	-0.36
$(3P)_{ m form-factor}$	8	-0.44	-2.1
	27	-0.13	-0.64
4 <i>P</i>	8	3.3	16
	27	2.2	9.2
	27'	1.9	11

Final state fraction • Product is naturally O(1%) PP5% • No (symmetry-enforced) cancellations PV10% • Disp relation: compute x (model-dependence) 5%(VV)s-wave (VV)<sub>d-wave</sub> 5%5% 3Pnaturally implies that  $x, y \sim 1\%$  is 4P10%expected in the Standard Model

E.Golowich and A.A.P. Phys.Lett. B427, 172, 1998

A.F., Y.G., Z.L., Y.N. and A.A.P. Phys.Rev. D69, 114021, 2004

## Resume: a contribution to x and y of the order of 1% is natural in the SM

What about New Physics?

## New Physics in x and y



> Double insertion of  $\Delta C=1$  affects x and y:



Amplitude  $A_n = \left\langle D^0 \left| \left( H_{SM}^{\Delta C=1} + H_{NP}^{\Delta C=1} \right) \right| n \right\rangle \equiv A_n^{SM} + A_n^{NP}$ 

Suppose  $|A_n^{NP}|/|A_n^{SM}| \sim O(\exp. \operatorname{uncertainty}) \leq 10\%$ 

Example: 
$$y = \frac{1}{2\Gamma} \sum_{n} \rho_n \left(\overline{A}_n^{SM} + \overline{A}_n^{NP}\right) \left(A_n^{SM} + A_n^{NP}\right) \approx \underbrace{\frac{1}{2\Gamma} \sum_{n} \rho_n \overline{A}_n^{SM} A_n^{SM}}_{\text{phase space}} + \underbrace{\frac{1}{2\Gamma} \sum_{n} \rho_n \left(\overline{A}_n^{SM} A_n^{NP} + \overline{A}_n^{NP} A_n^{SM}\right)}_{\text{Can be significant!!!}}$$
Can be significant!!!

 $\blacktriangleright$  Let's write the most general  $\triangle C=1$  Hamiltonian

$$\mathcal{H}_{\rm NP}^{\Delta C=-1} = \sum_{q,q'} D_{qq'} [\bar{C}_1(\mu)Q_1 + \bar{C}_2(\mu)Q_2],$$
$$Q_1 = \bar{u}_i \bar{\Gamma}_1 q'_j \bar{q}_j \bar{\Gamma}_2 c_i, \qquad Q_2 = \bar{u}_i \bar{\Gamma}_1 q'_i \bar{q}_j \bar{\Gamma}_2 c_j,$$



E. Golowich, S. Pakvasa, A.A.P. Phys. Rev. Lett. 98, 181801, 2007

Only light on-shell (propagating) quarks affect  $\Delta\Gamma$ :

$$y = -\frac{4\sqrt{2}G_F}{M_D\Gamma_D} \sum_{q,q'} \mathbf{V}^*_{cq'} \mathbf{V}_{uq} D_{qq'} (K_1 \delta_{ik} \delta_{j\ell} + K_2 \delta_{i\ell} \delta_{jk})$$

$$\times \sum_{\alpha=1}^5 I_\alpha(x, x') \langle \bar{D}^0 | \mathcal{O}^{ijk\ell}_\alpha | D^0 \rangle,$$
with  $K_1 = [\mathcal{C}_1 \bar{\mathcal{C}}_1 N_c + (\mathcal{C}_1 \bar{\mathcal{C}}_2 + \bar{\mathcal{C}}_1 \mathcal{C}_2)], \quad K_2 = \mathcal{C}_2 \bar{\mathcal{C}}_2 \quad \text{and}$ 

This is the master formula for NP contribution to lifetime differences in heavy mesons

SM NP

$$\begin{aligned} \mathcal{O}_{1}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\gamma_{\nu}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\gamma^{\nu}\Gamma^{\mu}c_{i} \\ \mathcal{O}_{2}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\not{p}_{c}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\not{p}_{c}\Gamma^{\mu}c_{i} \\ \mathcal{O}_{3}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\not{p}_{c}\Gamma^{\mu}c_{i} \\ \mathcal{O}_{4}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\not{p}_{c}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\Gamma^{\mu}c_{i} \\ \mathcal{O}_{5}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\Gamma^{\mu}c_{i}, \end{aligned}$$

#### > Some examples of New Physics contributions

Model	У <sub>D</sub>	Comment
DDV SUSV	6 10-6	Squark Exch.
KPV-SUS1	-4 10-2	Slepton Exch.
Loft right	-5 10-6	'Manifest'.
Len-right	-8.8 10-5	'Nonmanifest'.
Multi-Higgs	2 10-10	Charged Higgs
Extra Quarks-	10-8	Not Little Higgs

E. Golowich, S. Pakvasa, A.A.P. Phys. Rev. Lett. 98, 181801, 2007

A.A.P. and G. Yeghiyan arXiv:0710.4939 [hep-ph]

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For considered models, the results are smaller than observed mixing rates

> Multitude of various models of New Physics can affect x  $\mu \ge 1 TeV$  $H^{\pm}$ New Physic  $b^{(r)}$ b $H^{\pm}$ (a)(b) $\mu \leq 1 TeV$  $H^0$  $H^0$  $\overline{H^0}$ (d)(c)100000  $W_{\rm R}$ LQQ.  $\tilde{q}_1$  $\tilde{q}_2$ 3  $\tilde{q}_2$  $\tilde{q}_1$ LQ $\tilde{g}$  $W_{\rm R}$ (f)(e)(g) $\mu \sim 1 GeV$ 

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2000009



 $\succ$  Let's write the most general  $\Delta C=2$  Hamiltonian

$$\langle f | \mathcal{H}_{NP} | i \rangle = G \sum_{i=1}^{N} C_i(\mu) \langle f | Q_i | i \rangle(\mu)$$

... with the following set of 8 independent operators...

$$Q_{1} = (\overline{u}_{L}\gamma_{\mu}c_{L}) (\overline{u}_{L}\gamma^{\mu}c_{L}) , \qquad Q_{5} = (\overline{u}_{R}\sigma_{\mu\nu}c_{L}) (\overline{u}_{R}\sigma^{\mu\nu}c_{L}) ,$$

$$Q_{2} = (\overline{u}_{L}\gamma_{\mu}c_{L}) (\overline{u}_{R}\gamma^{\mu}c_{R}) , \qquad Q_{6} = (\overline{u}_{R}\gamma_{\mu}c_{R}) (\overline{u}_{R}\gamma^{\mu}c_{R}) ,$$

$$Q_{3} = (\overline{u}_{L}c_{R}) (\overline{u}_{R}c_{L}) , \qquad Q_{7} = (\overline{u}_{L}c_{R}) (\overline{u}_{L}c_{R}) ,$$

$$Q_{4} = (\overline{u}_{R}c_{L}) (\overline{u}_{R}c_{L}) , \qquad Q_{8} = (\overline{u}_{L}\sigma_{\mu\nu}c_{R}) (\overline{u}_{L}\sigma^{\mu\nu}c_{R}) .$$

RG-running relate  $C_i(\mu)$  at NP scale to the scale of  $\mu \sim 1$  GeV, where ME are computed (on the lattice)

$$\frac{d}{d\log\mu}\vec{C}(\mu) = \hat{\gamma}^T(\mu)\vec{C}(\mu)$$

Each model of New Physics provides unique matching condition for  $C_i(\Lambda_{NP})$ 

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv:0705.3650 [hep-ph], PRD, to appear

 $\mu \leq 1 TeV$ 

 $\mu \sim 1 GeV$ 

Resume: New Physics contributions do not suffer from QCD uncertainties as much as SM contributions since they are shortdistance dominated.

### New Physics in x: lots of extras

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv:0705.3650 [hep-ph], PRD, to appear

#### Extra gauge bosons

Left-right models, horizontal symmetries, etc.

#### Extra scalars

Two-Higgs doublet models, leptoquarks, Higgsless, etc.

#### Extra fermions

4<sup>th</sup> generation, vector-like quarks, little Higgs, etc.

#### Extra dimensions

Universal extra dimensions, split fermions, warped ED, etc.

#### > Extra symmetries

SUSY: MSSM, alignment models, split SUSY, etc.

#### Total: 21 models considered

## Dealing with New Physics

> Consider an example: FCNC Z<sup>0</sup>-boson

appears in models with extra vector-like quarks little Higgs models



1. Integrate out Z: for  $\mu < M_Z$  get

$$\mathcal{H}_{2/3} = \frac{g^2}{8\cos^2\theta_w M_Z^2} \left(\lambda_{uc}\right)^2 \bar{u}_L \gamma_\mu c_L \bar{u}_L \gamma^\mu c_L$$

2. Perform RG running to  $\mu < m_c$  (in general: operator mixing)

$$\mathcal{H}_{2/3} = \frac{g^2}{8\cos^2\theta_w M_Z^2} \left(\lambda_{uc}\right)^2 r_1(m_c, M_Z) Q_1$$

3. Compute relevant matrix elements and  $x_{D}$ 

$$x_{\rm D}^{(2/3)} = \frac{2G_F f_{\rm D}^2 M_{\rm D}}{3\sqrt{2}\Gamma_D} B_D \left(\lambda_{uc}\right)^2 r_1(m_c, M_Z)$$



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### New Physics in x: extra fermions

### > Fourth generation

$$x_{\rm D}^{(4^{th})} = \frac{G_F^2 M_W^2}{6\pi^2 \Gamma_D} f_D^2 M_D B_D \lambda_{b'}^2 S(x_{b'}, x_{b'}) r_1(m_c, M_W)$$

Vector-like quarks (Q=+2/3)

$$x_{\rm D}^{(-1/3)} \simeq \frac{G_F^2}{6\pi^2 \Gamma_D} f_D^2 B_D r_1(m_c, M_W) M_D M_W^2 \left(V_{cS}^* V_{uS}\right)^2 f(x_S)$$

### Vector-like quarks (Q=-1/3)

$$x_{\rm D}^{(2/3)} = \frac{2G_F}{3\sqrt{2}\Gamma_D} (\lambda_{uc})^2 r_1(m_c, M_Z) f_{\rm D}^2 M_{\rm D} B_1$$

$$\lambda_{uc} \equiv -\left(V_{ud}^* V_{cd} + V_{us}^* V_{cs} + V_{ub}^* V_{cb}\right)$$



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### New Physics in x: extra vector bosons



### New Physics in x: extra scalars

#### 2-Higgs doublet model

$$\begin{aligned} x_{\rm D}^{\rm (2HDM)} &= \frac{G_F^2 M_W^2}{6\pi^2 \Gamma_D} f_D^2 M_D B_D r_1(m_c, M_{H^{\pm}}) \\ &\times \sum_{i,j} \lambda_i \lambda_j \left[ \tan^4 \beta A_{HH}(x_i, x_j, x_H) + \tan^2 \beta A_{WH}(x_i, x_j, x_H) \right] \end{aligned}$$

### Flavor-changing neutral Higgs

$$x_{\rm D}^{\rm (H)} = \frac{5f_D^2 M_D B_D}{24\Gamma_D M_H^2} \left[ \frac{1-6\eta}{5} \ C_3(m_c) + \eta \left( C_4(m_c) + C_7(m_c) \right) - \frac{12\eta}{5} \left( C_5(m_c) + C_8(m_c) \right) \right]^{\frac{3}{2}}$$

#### Higgsless models

$$\begin{split} x_{\rm D}^{({\it H})} &= \frac{f_D^2 M_D B_D}{\Gamma_D} \left( c_L^c s_L^c \right)^2 \frac{g^2}{M^2} \left[ \frac{2}{3} \left( C_1(m_c) + C_6(m_c) \right) + C_2(m_c) \left( -\frac{1}{2} + \frac{\eta}{3} \right) \right. \\ & \left. + \frac{1}{12} C_3(m_c) \left( 1 - 6\eta \right) \right] \quad . \end{split}$$



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### New Physics in x: extra dimensions

### > Split fermion models

$$x_{\rm D}^{(split)} = \frac{2}{9\Gamma_D} g_s^2 R_c^2 \pi^2 \Delta y \ r_1(m_c, M) |V_{L\,11}^u V_{L\,12}^{u*}|^2 f_D^2 M_D B_1$$



### > Warped geometries

$$x_{\rm D}^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left(\frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c)\right)$$

 $\begin{array}{c}
10^{0} \\
10^{-1} \\
10^{-2} \\
10^{-3} \\
10^{-4} \\
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4 \\
10^{-4} \\
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+ others...

### Summary: New Physics

Model	Approximate Constraint
Fourth Generation (Fig. 2)	$ V_{ub'}V_{cb'}  \cdot m_{b'} < 0.5 \; (\text{GeV})$
Q = -1/3 Singlet Quark (Fig. 4)	$s_2 \cdot m_S < 0.27~({\rm GeV})$
Q = +2/3 Singlet Quark (Fig. 6)	$ \lambda_{uc}  < 2.4 \cdot 10^{-4}$
Little Higgs	Tree: See entry for $Q = -1/3$ Singlet Quark
	Box: Region of parameter space can reach observed $x$
Generic $Z'$ (Fig. 7)	$M_{Z'}/C>2.2\cdot10^3~{\rm TeV}$
Family Symmetries (Fig. 8)	$m_1/f > 1.2 \cdot 10^3 \mbox{ TeV}$ (with $m_1/m_2 = 0.5)$
Left-Right Symmetric (Fig. 9)	No constraint
Alternate Left-Right Symmetric (Fig. 10)	$M_R > 1.2 \text{ TeV} (m_{D_1} = 0.5 \text{ TeV})$
	$(\Delta m/m_{D_1})/M_R > 0.4 \text{ TeV}^{-1}$
Vector Leptoquark Bosons (Fig. 11)	$M_{VLQ} > 55(\lambda_{PP}/0.1)$ TeV
Flavor Conserving Two-Higgs-Doublet (Fig. 13)	No constraint
Flavor Changing Neutral Higgs (Fig. 15)	$m_H/C > 2.4 \cdot 10^3 \text{ TeV}$
FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16)	$m_H/ \Delta_{uc}  > 600 \text{ GeV}$
Scalar Leptoquark Bosons	See entry for RPV SUSY
Higgsless (Fig. 17)	$M>100~{ m TeV}$
Universal Extra Dimensions	No constraint
Split Fermion (Fig. 19)	$M/ \Delta y  > (6\cdot 10^2~{\rm GeV})$
Warped Geometries (Fig. 21)	$M_1>3.5~{ m TeV}$
Minimal Supersymmetric Standard (Fig. 23)	$ (\delta^u_{12})_{\rm LR,RL}  < 3.5 \cdot 10^{-2}$ for $\tilde{m} \sim 1~{\rm TeV}$
	$ (\delta^u_{12})_{\rm LL,RR}  < .25$ for $\tilde{m} \sim 1~{\rm TeV}$
Supersymmetric Alignment	$\tilde{m} > 2  { m TeV}$
Supersymmetry with RPV (Fig. 27)	$\lambda_{12k}'\lambda_{11k}'/m_{\tilde{d}_{R,k}} < 1.8\cdot 10^{-3}/100~{\rm GeV}$
Split Supersymmetry	No constraint

- ✓ Considered 21 wellestablished models
- ✓ Only 4 models yielded no useful constraints
- ✓ Consult paper for explicit constraints

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv:0705.3650 [hep-ph], PRD, to appear

## Conclusions

- Indirect effects of New Physics at flavor factories help to distinguish among models possibly observed at the LHC
  - a combination of bottom/charm sector studies
  - don't forget measurements unique to tau-charm factories
- > Charm provides great opportunities for New Physics studies
  - unique access to up-type quark sector
  - large available statistics
  - mixing: x, y = 0 in the SU(3) limit (as  $V_{cb}^*V_{ub}$  is very small)
  - mixing is a second order effect in SU(3) breaking
  - it is conceivable that  $y \sim x \sim 1\%$  in the Standard Model
  - large contributions from New Physics are possible
  - out of 21 models studied, 17 yielded competitive constraints
  - additional input to LHC inverse problem
- Observation of CP-violation in the current round of experiments provide "smoking gun" signals for New Physics

Additional slides

### Questions:

1. Can any model-independent statements be made for x or y?

What is the order of SU(3) breaking? i.e. if  $x, y \propto m_s^n$  what is n?

2. Can one claim that  $y \sim 1\%$  is natural?

### Theoretical expectations

At which order in  $SU(3)_F$  breaking does the effect occur? Group theory?

$$\begin{pmatrix} O^{0} \mid H_{W} \mid H_{W} \mid \overline{D}^{0} \rangle \Rightarrow \langle 0 \mid D \mid H_{W} \mid H_{W} \mid D \mid 0 \rangle$$
  
is a singlet with  $D \to D_{i}$  that belongs to 3 of  $SU(3)_{F}$  (one light quark)  
The  $\Delta C=1$  part of  $H_{W}$  is  $(\overline{q}_{i}c)(\overline{q}_{j}q_{k})$ , i.e.  $3 \times \overline{3} \times \overline{3} = \overline{15} + 6 + \overline{3} + \overline{3} \Rightarrow H_{k}^{ij}$   
 $O_{\overline{15}} = (\overline{sd})(\overline{ud}) + (\overline{uc})(\overline{sd}) + s_{1}(\overline{dc})(\overline{ud}) + s_{1}(\overline{uc})(\overline{dd})$   
 $- s_{1}(\overline{sc})(\overline{us}) - s_{1}(\overline{uc})(\overline{sd}) + s_{1}(\overline{dc})(\overline{ud}) - s_{1}^{2}(\overline{uc})(\overline{ds})$   
 $O_{6} = (\overline{sd})(\overline{ud}) - (\overline{uc})(\overline{sd}) + s_{1}(\overline{dc})(\overline{ud}) - s_{1}(\overline{uc})(\overline{dd})$   
 $- s_{1}(\overline{sc})(\overline{us}) + s_{1}(\overline{uc})(\overline{ss}) - s_{1}^{2}(\overline{dc})(\overline{us}) + s_{1}^{2}(\overline{uc})(\overline{ds})$ 

Introduce SU(3) breaking via the quark mass operator  $M_{j}^{i} = diag (m_{u}, m_{d}, m_{s})$ 

All nonzero matrix elements built of  $D_i$ ,  $H_k^{ij}$ ,  $M_j^i$  must be SU(3) singlets

### Theoretical expectations



### Quantum coherence: supporting measurements

Time-dependent 
$$D^{0}(t) \rightarrow K^{+}\pi^{-}$$
 analysis  

$$\Gamma\left[D^{0}(t) \rightarrow K^{+}\pi^{-}\right] = e^{-\Gamma t} \left|A_{K^{+}\pi^{-}}\right|^{2} \left[R + \sqrt{R} R_{m} \left(y'\cos\phi - x'\sin\phi\right)\Gamma t + \frac{R_{m}^{2}}{4} \left(y^{2} + x^{2}\right)(\Gamma t)^{2}\right]$$
where  $R = \left|\frac{A_{K^{+}\pi^{-}}}{\overline{A}_{K^{+}\pi^{-}}}\right|^{2}$  and  $x' = x\cos\phi + y\sin\phi$   
 $y' = y\cos\phi - x\sin\phi$   
Strong phase  $\delta$  is zero in the SU(3) limit and  
strongly model-dependent  
A. Falk, Y. Nir and A.A.P.,  
JHEP 12 (1999) 019  
Strong phase can be measured at CLEO-c!  
 $\sqrt{2} A\left(D_{CP\pm} \rightarrow K^{-}\pi^{+}\right) = A\left(D^{0} \rightarrow K^{-}\pi^{+}\right) \pm A\left(\overline{D^{0}} \rightarrow K^{-}\pi^{+}\right)$ 

$$\cos \delta = \frac{Br(D_{CP+} \to K^- \pi^+) - Br(D_{CP-} \to K^- \pi^+)}{2\sqrt{R} Br(D^0 \to K^- \pi^+)}$$

With 3 fb<sup>-1</sup> of data  $\cos \delta$  can be determined to  $|\Delta \cos \delta| \le 0.05!$ 

Silva, Soffer; Gronau, Grossman, Rosner

### Theoretical expectations

• If SU(3) breaking enters perturbatively, it is a second order effect...

$$A_i = A_{SU(3)} + \delta_i$$

A. Falk, Y. Grossman, Z. Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002

• Known counter-example:

1. Very narrow light quark resonance with  $m_R \sim m_D$ 

$$x, y \sim \frac{g_{DR}^2}{m_D^2 - m_R^2} \sim \frac{g_{DR}^2}{m_D^2 - m_0^2 - 2m_0 \delta_R}$$

Most probably don't exists...

see E.Golowich and A.A.P. Phys.Lett. B427, 172, 1998

• What happens if part of the multiplet is kinematically forbidden?

Example: both  $D^0 \rightarrow 4\pi$  and  $D^0 \rightarrow 4K$  are from the same multiplet, but the latter is kinematically forbidden

see A.F., Y.G., Z.L., and A.A.P. Phys.Rev. D65, 054034, 2002

### CP violation: new experimental possibilities 1

1. Time dependent  $D^0(t) \rightarrow K^+ K^-$  (lifetime difference analysis): separate datasets for D<sup>0</sup> and D<sup>0</sup>

$$A_{CP}(f) = \frac{\Gamma'(D^0 \to K^+ K^-) - \Gamma'(\overline{D^0} \to K^+ K^-)}{\Gamma'(D^0 \to K^+ K^-) + \Gamma'(\overline{D^0} \to K^+ K^-)} = \frac{A_m}{2} y \cos \phi - x \sin \phi$$

This analysis requires

- 1. time-dependent studies
- 2. initial flavor tagging ("the D\* trick")

Cuts statistics/sensitivity

## How would CP violation manifest itself in charm?

Possible sources of NP in CP violation in charm transitions:

> CPV in decay amplitudes ("direct" CPV)

$$A(D \to f) \neq A(\overline{D} \to \overline{f})$$

> CPV in  $D^0 - \overline{D^0}$  mixing matrix

$$R_m^2 = \left|\frac{p}{q}\right|^2 = \frac{2M_{12} - i\Gamma_{12}}{2M_{12}^* - i\Gamma_{12}^*} \neq 1$$

With b-quark contribution neglected: only 2 generations contribute ⇒ real 2x2 Cabibbo matrix

> CPV in the interference of decays with and without mixing

$$\lambda_f = \frac{q}{p} \frac{A_f}{A_f} = R_m e^{i(\phi + \delta)} \left| \frac{A_f}{A_f} \right|$$

At this point CP-violating signal is a "smoking gun" signature of New Physics

## A bit more about CP violation in charm

## CP violation: experimental constraints

### 1. Standard analysis: rate asymmetries

$A_{CP}(f)$	$= \frac{\Gamma(D \to f) - \Gamma(\overline{D} \to \overline{f})}{\Gamma(D \to f) + \Gamma(\overline{D} \to \overline{f})}$
	$=rac{1\!-\!\left \overline{A}_{\overline{f}}ig/A_{f} ight ^{2}}{1\!+\!\left \overline{A}_{\overline{f}}ig/A_{f} ight ^{2}}$

Mode	E791, %	FOCUS, %	CLEO, %
$D^0 \rightarrow K^*K^-$	-1.0±4.9±1.2	-0.1±2.2±1.5	0.0±2.2±0.8
$D^0 \to \pi^+ \pi^-$	-4.9±7.8±3.0	4.8±3.9±2.5	1.9±3.2±0.8
$D^0  ightarrow K_S \pi^0$			0.1±1.3
$D^0 \rightarrow \pi^0 \pi^+ K^-$			-3.1±8.6

... which is of the <u>first</u> order in CPV parameters, but requires tagging

2. Recall that CP of the states in  $D^0 \overline{D^0} \to (F_1)(F_2)$  are anti-correlated at  $\psi(3770)$ :  $\succ$  a simple signal of CP violation:  $\psi(3770) \to D^0 \overline{D^0} \to (CP\pm)(CP\pm)$ 

$$\Gamma_{F_1F_2} = \frac{\Gamma_{F_1}\Gamma_{F_2}}{2R_m^2} \left[ \left( 2 + x^2 + y^2 \right) \left| \lambda_{F_1} - \lambda_{F_2} \right|^2 + \left( x^2 + y^2 \right) \left| 1 - \lambda_{F_1}\lambda_{F_2} \right|^2 \right] \\ \lambda_f = \frac{q}{p} \frac{\overline{A_f}}{A_f} \qquad \dots \text{ which is of the } \underline{\text{second order in CPV parameters, i.e. tiny}}$$

CP violation: new experimental possibilities

Look for CPV signals that are

first order in CPV
 do not require flavor tagging

Consider the final states that can be reached by both  $\overline{D^0}$  and  $D^0$ , but are <u>not</u> CP eigenstates ( $\pi\rho$ , KK<sup>\*</sup>, K $\pi$ , K $\rho$ , ...)

$$A_{CP}^{U}(f,t) = \frac{\Sigma_{f} - \Sigma_{\overline{f}}}{\Sigma_{f} + \Sigma_{\overline{f}}}$$

where

$$\Sigma_{f} = \Gamma \left( D^{0} \to f \right) \left[ t \right] + \Gamma \left( \overline{D^{0}} \to f \right) \left[ t \right]$$

A.A.P., PRD69, 111901(R), 2004 hep-ph/0403030

### CP violation: untagged asymmetries

Expect time-dependent asymmetry...

$$A_{CP}^{U}(f,t) = \frac{1}{D(t)} e^{-\Gamma t} \left[ A + B(\Gamma t) + C(\Gamma t)^{2} \right]$$

... and time-integrated asymmetry

$$A_{CP}^{U}(f,t) = \frac{1}{D} [A+B+2C]$$

... whose coefficients are computed to be

$$A = |A_{f}|^{2} \left[ \left( 1 - |\overline{A_{f}}|^{2} / |A_{f}|^{2} \right) + R \left( 1 - |A_{\overline{f}}|^{2} / |\overline{A}_{f}|^{2} \right) \right],$$
  

$$B = -2y\sqrt{R} \left[ \sin\phi\sin\delta\left( |\overline{A}_{f}|^{2} + |A_{\overline{f}}|^{2} \right) - \cos\phi\cos\delta\left( |\overline{A}_{f}|^{2} - |A_{\overline{f}}|^{2} \right) \right],$$
  

$$C = \frac{x^{2}}{2}A.$$
  
This is true for any final state  $f$ 

## CP violation: untagged asymmetries (K<sup>+</sup> $\pi^-$ )

For a particular final state  $K\pi$ , the time-integrated asymmetry is simple

$$A_{CP}^{U}\left(K^{+}\pi^{-}\right) = -y\sin\delta\sin\phi\sqrt{R}$$

This asymmetry is

- 1. non-zero due to large SU(3) breaking
- 2. contains no model-dependent hadronic
  - parameters (R and  $\delta$  are experimental observables)
- 3. could be as large as 0.04% for NP

Note: larger by O(100) for SCS decays  $(\pi\rho, \ldots)$  where R ~ 1

A.A.P., PRD69, 111901(R), 2004 hep-ph/0403030

### What if time-dependent studies are not possible I?

### **τ-charm factory (BES/CLEO-c)**

Time-integrated  $D^0 \rightarrow K^+ \pi^-$  analysis: <u>DCSD</u> contribution cancels out for double-tagged  $D^0 \overline{D^0} \rightarrow (K^- \pi^+)(K^- \pi^+)$  decays!



H. Yamamoto; I. Bigi, A. Sanda

What if time-dependent studies are not possible II?

**τ-charm factory (BES/CLEO-c)** 

> If CP violation is neglected: mass eigenstates = CP eigenstates
> CP eigenstates do NOT evolve with time, so can be used for "tagging"

