# Use of Force Continuum: Medical Aspects

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MEDICAL CENTER

## Disclosures

No corporate funding or financial investments in any of the companies whose equipment will be discussed.

# Objectives

- Discuss medical related issues
  - Restraint position and asphyxia
  - OC spray
  - Conductive Electrical Devices

#### Death in custody

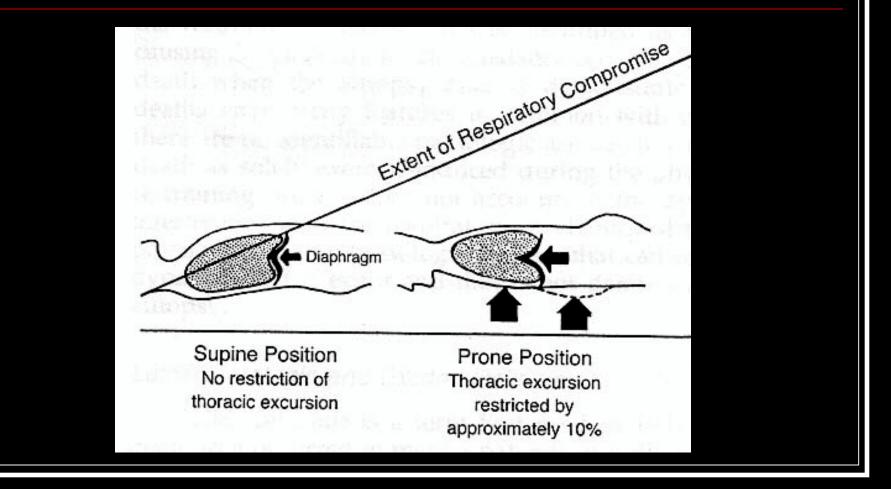
- Deaths have been associated with use of force techniques
  - Deaths will continue to be associated with use of force techniques

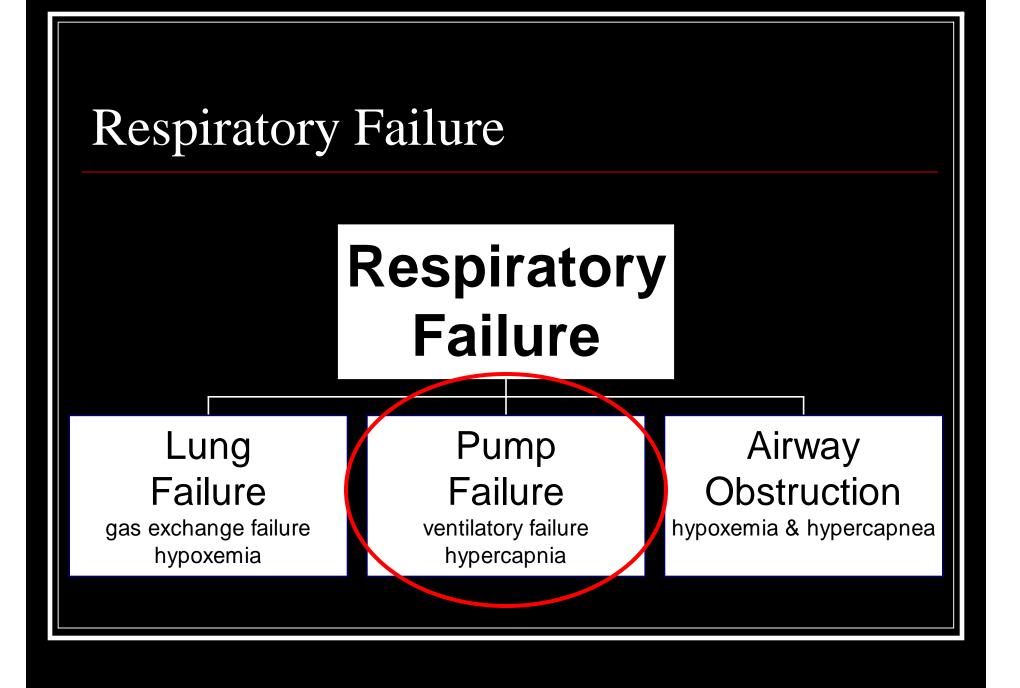
"POST HOC ERGO PROPTER HOC" "after this, therefore because of this."

# Positional Restraint and Asphyxia



#### Positional Asphyxia - Pump Failure





# Original work

Theory of Positional Asphyxia in custody restraint based primarily on the work of Reay et al in 1988

Crossover study of 10 healthy individuals

- exercised on ski machine to HR 120
- measured recovery times for HR and O2 sat to baseline in first the sitting then hogtie positions
- prolonged recovery for HR and O2 sat in hogtie position
  - mean O2 sat recovery time increased 20"
  - mean HR recovery time increased 24"

Reay et al: Effects of positional restraint on oxygen saturation and heart rate following exercise. Am J Forensic Med Pathol 1988; 9(1):16-18.

## Original work

Reay concluded that custody deaths are a result of adverse physiologic and respiratory effects of body position

#### Other caser reports and series

1985 Wetli & Fishbain: 7 cases of custody death, 4 associated with hogtie position

1992 Reay: 3 cases of positional asphyxia in individuals placed in prone restraint position in back of patrol cars

1993 O'Halloran and Lewman: 11 cases of sudden death in subjects in prone position (9 of them hogtied)

1998 Hick, et al: 5 cases of restraint-associated deaths resulting in profound acidosis suggesting acidosis exacerbated by hypoventilation as a result of body position

#### Case Report

1995 Stratton et al: Two cases of unexpected death in restrained individuals during ambulance transport

Conclude death caused by positional asphyxia from restraint for excited delirium

#### Case report similarities

Cases involved agitated, psychotic individuals in a state of "excited delirium" usually from recreational drug use (cocaine, methamphetamines, ETOH)

Most cases involved traumatic struggle before and during apprehension

No clear evidence of any other specific cause of death on autopsy – diagnosis of positional asphyxia

# Autopsy

Pathologists base diagnosis of positional asphyxia on temporal relationship of restraint to sudden death and lack of other obvious cause of death on autopsy

Similar Sudden In-Custody Deaths reported in prone, supine and sitting restraint positions

#### Physiologic Studies - UCSD

#### Annals

#### of

#### Emergency

#### Medicine

NOVEMBER 1997 VOLUME 30 NUMBER 5

Journal of the American College of Emergency Physicians GENERAL CLINICAL INVESTI Prediction of Early Complication Infarction by Calculation of the S W Schweber, H Kittler, M Binder, B Hobe

ORIGINAL CONTRIBUTIONS

- 571 ED Use of Rapid Lactate to Evalu NJ Schmiechen, C Han, DP Milzman
   578 Restraint Position and Positional T Neuman, J. Clausen
- TOXICOLOGY
  517 Prevention of Gastrointestinal I
- Orally Administered Premixed D HF Gomez, HH McClafferty, D Flory, J Br Intoxicated ED Patients: A 5-Year
- Mortality P Davidson, J Koziol-Mc Injuries Caused by Hazardous M.
- GN Polyhronopoulos, MJ Castro, RH Gol 604 Regional Intravenous Infusion of
- Acid Burns of the Upper Extrem

#### Restraint Position and Positional Asphyxia

Theodore C Chan, MD\* Gary M Vilke, MD\* Tom Neuman, MD\*,‡ Jack L Clausen, MD<sup>+‡</sup> Study objective: To determine whether the "hobble" or "hogtie" restraint position results in clinically relevant respiratory dysfunction.

**Methods:** This was an experimental, crossover, controlled trial at a university-based pulmonary function laboratory involving 15 healthy men ages 18 through 40 years. Subjects were excluded for a positive urine toxicology screen, body mass index (BMI) greater than 30 kg/m<sup>2</sup>, or abnormal screening pulmonary function testing (PFT). Forced vital capacity (FVC), forced expiratory volume in 1 second (FEV<sub>1</sub>), and maximal voluntary ventilation (MVV) were obtained with subjects in the sitting, supine, prone, and restraint positions. After a 4-minute exercise period, subjects rested in the sitting position while pulse, oxygen saturation, and arterial blood gases were monitored. The subjects repeated the exercise, then were placed in the restraint position with similar monitoring.

#### Physiology Studies - UCSD

1995 study funded by grant from the County of San Diego

Randomized cross-over controlled trial in 15 healthy subjects

Phase 1: PFTs (pulmonary function testing) in different positions: sitting, supine, prone, hogtie restraint

Phase 2: Serial ECG, oximetry, *arterial blood gases*, and PFTs during 4' stationary bicycle exercise followed by 15' in the sitting and hogtie restraint

Chan TC, Vilke GM, Neuman T Clausen J: Restraint position and positional asphyxia. Ann Emerg Med 1997;578-86.

#### Physiology Studies - UCSD

In healthy subjects, the restraint position resulted in a restrictive pulmonary function pattern but did not result in clinically relevant changes in oxygenation or ventilation.

We found no evidence to support the theory of positional asphyxia as a result of hogtie restraint body position

## Subsequent studies

Randomized cross-over controlled trial of 18 healthy subjects

Phase 1: Exercised on bicycle up to HR 120 bpm, then measured HR and oximetry in the sitting and hogtie positions. No difference in HR recovery and no evidence of hypoxia

Phase 2: Simulated vigorous pursuit and struggle (ran 250m), followed by wrestling for 1', then rest in sitting or restrained position (lateral). No physiologic differences or hypoxia noted in recovery

Schmidt P, Snowden T: The effects of positional restraint on heart rate and oxygen saturation. J Emerg Med 1999, 17(5): 777-82.

#### Physiologic studies

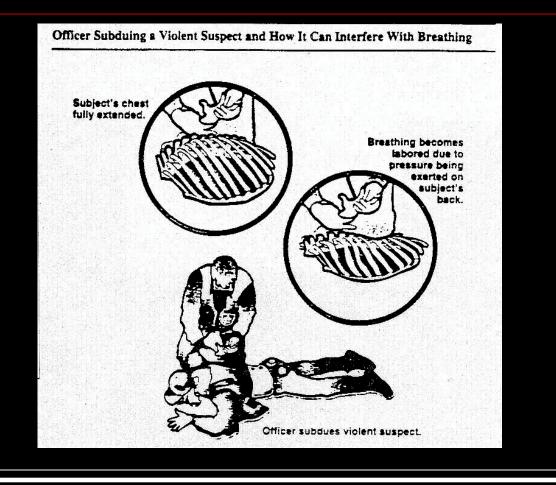
No study, including the original Reay study, has shown that the prone restraint position results in hypoxia

## Change in position

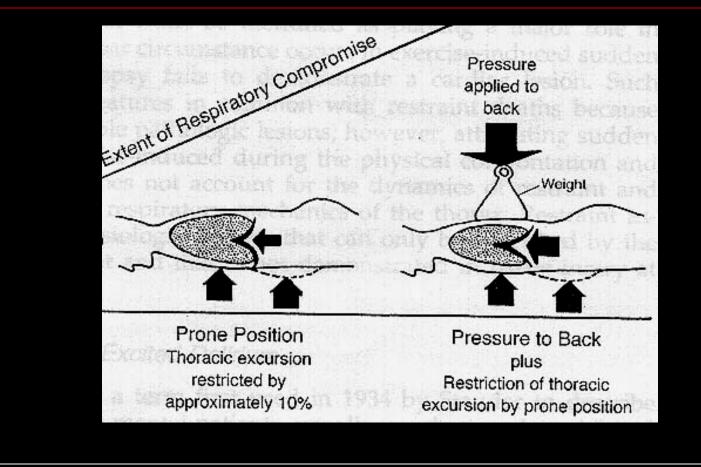
"The hogtied prone position should be viewed as not producing significant physiologic respiratory compromise, and it does not produce any serious or life-threatening respiratory effects"

Reay DT: Death in custody. Clinics Laboratory Medicine 1998; 18(1):1-22.

#### Weight Force during Restraint?



#### Weight Force during Restraint?



#### Physiologic Study Weight Force

Chan TC, Neuman T, Clausen J, Eisele J, Vilke GM: Weight force during prone restraint and respiratory function. Am J Forensic Med Pathol 2004;25:185-9.

#### Weight Force Study

10 subjects placed in sitting, PMRP, PMRP with 25 lbs on back, and with 50 lbs on back for 5 minutes

PFTs, oxygen saturation, etCO2 measured

Chan TC, Neuman T, Clausen J, Eisele J, Vilke GM: Weight force during prone restraint and respiratory function. Am J Forensic Med Pathol 2004;25:185-9.

#### Outcomes

Conclusion: Weight force of 25 and 50 lbs on the back does not result in evidence of hypoxia or hypoventilatory respiratory compromise in our healthy subjects.

Chan TC, Neuman T, Clausen J, Eisele J, Vilke GM: Weight force during prone restraint and respiratory function. Am J Forensic Med Pathol 2004;25:185-9.

# Weight Force

- Recent UCSD/SDSU study looking at weights up to 225 lbs on back in prone position
  - PFT decreases to 85% (71.6-97.5% of predicted)
  - No associated hypoxia

# OC spray

- Inhalation can result in gagging, sob, cough, inability to vocalize
- Symptoms transient (15-30')
- Respiratory symptoms have led some to suggest role in SDIC syndrome
- AI claims over 90 deaths following OC spray use in 1990s
- Granfield: 30 deaths following OC
- O'Halloran: 21 SDIC, 10 of which involved OC.
- Pollanan: 21 deaths, 4 of which occurred after OC exposure

### Similar deaths with OC spray

Cases involved agitated, psychotic individuals in a state of "excited delirium" usually from recreational drug use (cocaine, methamphetamines, ETOH)

Most cases involved traumatic struggle before and during apprehension

# OC spray

#### Few studies on OC spray as opposed to capsaicin

- California AG report on 23,000 uses: No fatalities
- Watson: 908 OC exposures, 10% required medical care, <1% resp sx, no fatalities</li>
- 2-year joint study of FBI & Army: No long-term health effects seen with OC spray exposure

Very few studies on respiratory effects of OC spray inhalation in humans

# NIJ funded UCSD study

Crossover controlled trial of 35 volunteers to following:

a. Placebo spray followed by sitting position

b. Placebo spray followed by restraint position
c. OC spray followed by sitting position
d. OC spray followed by restraint position



December 2001

#### **Issues and Findings**

U.S. Department of Justice Office of Justice Programs

Discussed in this Brief: The effect of oleoresin capsicum (OC), or pepper spray, on respiration, particularly when combined with positional restraint. Researchers exposed 34 subjects to OC spray, both while sitting and in the prone maximal restraint position.

Key issues: OC spray has gained wide acceptance in law enforcement as a swift and effective way to subdue violent and dangerous suspects in the field with relatively little force. As its use has increased, however, OC spray has been associated in the media

#### Pepper Spray's Effects on a Suspect's Ability to Breathe

By Theodore C. Chan, Gary M. Vilke, Jack Clausen, Richard Clark, Paul Schmidt, Thomas Snowden, and Tom Neuman

Most law enforcement agencies in the United States have authorized the use of oleoresin capsicum (OC), or pepper spray, as a use-of-force option to subdue and control dangerous, combative, or violent subjects in the field. OC, with its ability to temporarily incapacitate subjects, has been credited with decreasing injuries among officers and arrestees by reducing the need for more severe force options. Research findings suggested that inhalation of OC spray does not pose a significant risk to subjects in terms of respiratory and pulmonary function, even when it occurs with positional restraint. However, OC exposure did result in a small but statistically significant increase in blood pressure, the origin of which remains unclear.

## NIJ funded UCSD study

#### Conclusions:

 OC exposure did not result in any evidence of hypoxia, hypoventilation, or respiratory compromise
 OC did not result in any further change in pulmonary function (FVC, FEV1) in either sitting or restraint positions as seen in the original UCSD restraint study



# Conductive Energy Devices

- Conductive Energy Device (CED)
- Human Electro-muscular Incapacitation (HEMI)
- Neuromuscular Incapacitation Device (NMID)
  - Conductive Electrical Weapon (CEW)
- Projectile Stun Gun

## How many deployments?

- As few as necessary to bring into custody
- Unfair for medical personnel to dictate police procedures
- Can offer recommendations
- Blanket policies can be hazardous

## How many deployments?

- As few as necessary to bring into custody
- Unfair for medical personnel to dictate police procedures
- Can offer recommendations
- Blanket policies can be hazardous
- Consider the options

## The Big Picture

- Amnesty International reports "152 taser-related deaths" since 2001 (as of 3/31/06)
- Arizona Republic reports "167 cases of death following stun-gun use" since 1999 (as of 5/57/06)
- 7000 law enforcement agencies have deployed Taser products
- Over 150,000 training deployments and around 100,000 field deployments

#### Medical Literature

Topic first appeared in medical literature in 1980s



Koscove E: The Taser Weapon: A New Emergency Medicine Problem. Ann Emerg Med 1985; 14(12):1205-1298.

Sternbach G: Tasering the Literature. J Emerg Med 1987; 5:551-2.

Obrien D: Electronic Weaponry-a Question of Safety. Ann Emerg Med 1991; 20(5):583-587.

Bleetman A, Steyn R, Lee C: Introduction of the Taser into British Policing. Implications for UK emergency departments: an overview of electronic weaponry. Emerg Med J 2004; 21:136-140.

Ordog G, Wasserberger J, Schlater T, et al: Electronic Gun (Taser) Injuries. Ann Emerg Med 1987; 16(1):73-78.

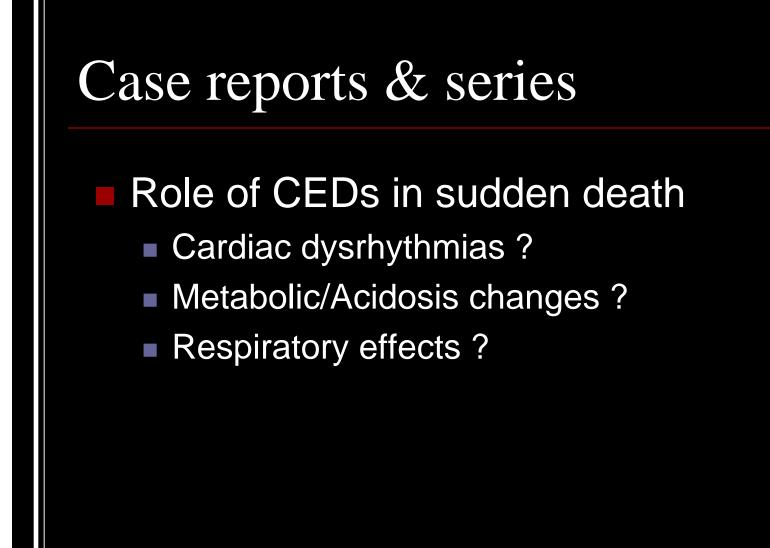
- 218 Taser patients compared with 22 patients shot by police with a .38 special
- Morbidity 0% vs. 50%

Mortality 1.4% vs. 50%

Strote J, Campbell R, Pease J, Hamman MS, Hutson R. The role of tasers in police restraint-related deaths. Ann Emerg Med 2005;46:S85.

#### 71 cases of taser associated deaths

- 39 autopsies available for review
  - No deaths found to occur directly from Taser use
  - 6 (21%) had Taser injury as possibly being contributory
  - Direct causes of death included drugs (57%), excited delirium (57%).
  - Association with pre-existing cardiac disease (46%) and cocaine or meth use (68%)



## Animal studies

- Several looking at rabbits or canines
   Difficult to determine human applicability
   Older models of CEDs used that had much higher energy levels
   Difficult to determine applicability to current
  - CEDs

McDaniel W, Stratbucker R, Nerheim M, Brewer J: Cardiac Safety of Neuromuscular Incapacitating Devices. PACE Jan 2005, Supplement 1, s284s287.

Nine anesthetized pigs
 Safety index evaluated for ventricular fibrillation
 Increased from 15X -42X as weight of pigs increased from 30 to 117 kg

Jauchem JR, Sherry CJ, Fines DA, Cook MC:Acidosis, lactate, electrolytes, muscle enzymes, and other factors in the blood of Sus Scrofa following repeated TASER exposures. Forensic Science International Oct 2005.

#### Air Force Study

- 6 anesthetized swine exposed to Taser discharges 5 seconds on, 5 seconds off, for 3 minutes.
- PH, lactate, troponin, hematocrit were measured at pre, immediately, 30 minutes and 60 minutes post activation
- In five of the swine, the protocol was repeated 60 minutes later

Jauchem JR, Sherry CJ, Fines DA, Cook MC:Acidosis, lactate, electrolytes, muscle enzymes, and other factors in the blood of Sus Scrofa following repeated TASER exposures. Forensic Science International Oct 2005.

#### Results

- Elevations of myoglobin and CPK but not in CK-MB nor troponin I
- Blood pH decreased but recovered over an hour (7.4 to 7.0 to 7.2+ by 60 minutes)
- Blood lactate elevated
- Respiration ceased during application of electrical current

Jauchem JR, Sherry CJ, Fines DA, Cook MC:Acidosis, lactate, electrolytes, muscle enzymes, and other factors in the blood of Sus Scrofa following repeated TASER exposures. Forensic Science International Oct 2005.

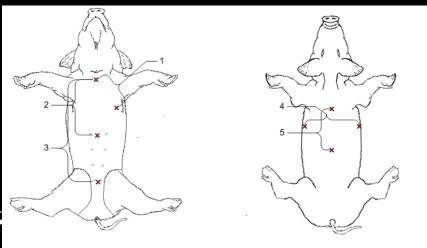
### Conclusions:

Although 3 minutes of Taser repeated-exposure scenario resulted in significant changes in blood chemistry, most levels (with the exception of lactate) returned to pre-exposure ranges within one hour after exposure.

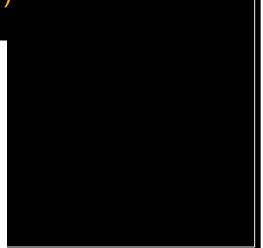
Recent unpublished data released as PowerPoint on Taser website (funded by Taser)

- Purpose
  - To assess VF vulnerability
- Methods
  - 13 anesthetized adult pigs
  - 5 second Taser activation
  - Five positions of lead placements
  - Pre- and post- cocaine infusion

Sternal notch (SN) – point of maximum cardiac impulse (PMI) (Position-1),
 SN – supra-umbilical region (Position-2),
 SN – infra-umbilical region (Position-3),
 Side to side across the chest (Position-4),
 Upper to mid posterior region (Position-5)



Front

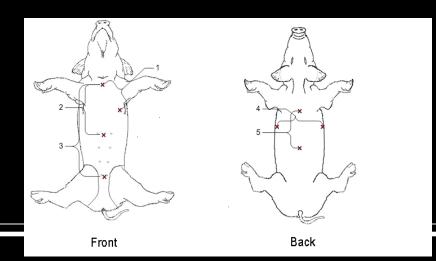


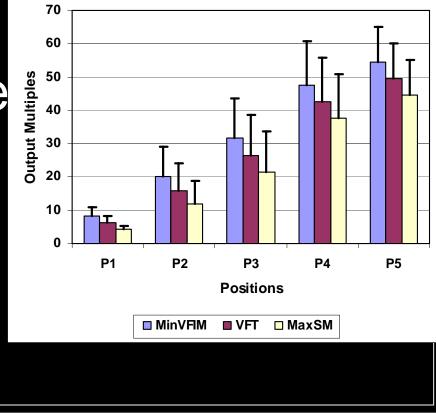
Cocaine infusion: In 5 pigs, high dose cocaine was infused intravenously at 8 mg/kg over 30 minutes. Plasma cocaine and benzoylecognine levels 30 minutes after infusion were 557±280 U/L and 462±123 U/L

Differences in Maximum Safety Multiple (MaxSM), Maximum Ventricular Fibrillation Induction Multiple (MinVFIM) and Ventricular Fibrillation Threshold (VFT) at different positions before (B) and after (C) cocaine infusion.

Loc	B-MaxSM	C-MaxSM	P	B-MinVFIM	C-MinVFIM	P	B-VFT	C-VFT	P
Pl	4 2 <b>±</b> 1.10	8.6±6.88	0.192	8.0±2.74	15.0±10.00	0.135	6.1±1.92	113±8.79	0.260
<b>P</b> 2	12.0±7.58	28.0 <b>±4</b> .47	0.030	20.0±10.0	38.0±4.47	0.037	14 5±9 59	33.0 <b>±</b> 4.47	0.032
<b>P</b> 3	22.0 <sup>±</sup> 8.37	50.0±18.71	0.009	32.0±837	60.0±18.71	0.009	27.0 <b>±</b> 8.37	55.0 <b>±</b> 18.71	0.009
<b>F</b> 4	30.0±7.07	48.0±17.89	0.070	40.0±7.07	58.0±17.89	0.070	35.0 <b>±</b> 7.07	53.0 <b>±</b> 17.89	0.070
P5	38.0 <b>±4</b> .47	60.0 <sup>±</sup> 14.14	0.011	48.0±4.47	70.0±14.14	0.011	43.0 <sup>±</sup> 4.47	65.0±14.14	0.011

## Results: Differences in VF vulnerability at the 5 tested positions





## Conclusions:

- Standard discharge from a TASER X-26 weapon did not induce VF at any of the five tested locations and cocaine increased the safety margin by 50-150% above the baseline safety margin
- Applications away from the cardiac axis and cardiac apex have higher VF safety margins than those close to it.

## Animal studies

Recent work commented on in *New York Times* that questions safety of Taser.
Presented as an abstract

James A.Will, AHABS, SVM; Animal Sciences, CALS; Jiu-Yan Wu, Honyu Sun, Electrical & Computer Engineering, COE, Ann O'Rourke, Surgery, School of Medicine and Public Health, Shane Huebner, Nutritional Sciences, CALS and John G. Webster, Biomedical Engineering, COE, University of Wisconsin-Madison, Madison Wisconsin

Ten anesthetized 50-71 kg pigs
 An incision was made over the sternum and the skin and underlying tissues were reflected to allow direct access to the ribs and intercostal musculature.

A bluntly created "virtual tunnel" was between the 3rd and 4<sup>th</sup> ribs at their junction with the sternum.

A Taser dart was affixed to a piece of catheter tubing and then to a 6 cc plastic syringe used to maintain the proper dart-to-heart distance.

The dart apparatus is shown in the 3-4 intercostal space over the "virtual tunnel". The air gap created by the tunnel was filled with a muscle-impedance matching gel made from agar and saline.



"In 10 pigs, we were able to cause VF in all animals."
The mean dart-to heart distance for VF was 17 mm ± 6.48 (SD). The median was 18 mm.

Conclusion: "It is possible to cause ventricular fibrillation in pigs using a Taser device. From these data we can now proceed to investigate the probability of Taser induced VF in humans."

## Other research

- Animal models
- Are they surrogates for humans?

Prospective human study 66 human volunteers 5 second Taser activation 24 hour monitoring Blood draw at baseline, immediately after activation and at 16 and 24 hours 32 subjects received 12 lead cardiac monitoring Funded by Taser

# Blood tested for: Troponin, myoglobin, creatine kinase Lactate, electrolytes, glucose, BUN, creatinine EKGs to independent blinded cardiologist

- Mean results over the four blood draws:
  - Electrolytes unchanged
  - Renal function unchanged
  - Bicarbonate: 22.6, 22.0, 24.6, 23.8
  - CK: 185.1, 184.1, 221.6, 242.3
  - Lactate: 15.8, 24.7, 18.3, 19.8
  - Myoglobin: 32.4, 45.5, 42.9, 51.3

Troponin I all were <0.3 ng/ml, except a single value of 0.6 at the 24 hour draw.</p>

- The subject was evaluated at the hospital by a cardiologist
  - No evidence of MI or cardiac disability

Returned to normal within 8 hours of this elevated level

#### Conclusions:

"We were unable to detect any induced electrical dysrhythmias or significant direct cardiac cellular damage that may be related to sudden and unexpected death proximal to CEW exposure."

## Human studies

- Few non-industry human studies
- SD START ECG Study
  - 115 subjects
  - 105 interpretable quality tracings
  - No change in cardiac rhythm morphology or conduction

	Mean (bpm)	95% C.I.	Range (bpm)	
HR pre	122	118-127	66-175	
HR post	137	134-141	75-190	



Levine SD, Sloane C, Chan TC, Vilke GM, Dunford J. Cardiac monitoring of subjects exposed to the Taser. Acad Emerg Med 2005;12(5):71.

Levine S, Sloane C, Chan T, Dunford J, Vilke G. Cardiac monitoring of subjects exposed to the taser. Prehosp Emerg Care 2006;10(1):130.



Levine SD, Sloane C, Chan TC, Vilke GM, Dunford J. Cardiac monitoring of subjects exposed to the Taser. Acad Emerg Med 2005;12(5):71.

Levine S, Sloane C, Chan T, Dunford J, Vilke G. Cardiac monitoring of subjects exposed to the taser. Prehosp Emerg Care 2006;10(1):130.

## Human studies



- Ongoing data collection to evaluate 6 hour troponin levels
- 20 subjects underwent shock and rhythm monitoring
- No significant changes as previous
- All had troponin I level drawn at T+6 hrs
- All had negative troponin I levels
- No evidence of cardiac muscle damage

# NIJ SD START Taser Study

#### The Physiological Effects of the Taser X-26 on Human Subjects

- UCSD Department of Emergency Medicine
- SDSU Dept of Exercise and Nutritional Sciences

#### DOJ / NIJ Grant Funded









# Study Overview

- Single 5 second CED Shock
  - Part of field training
- Monitor before, during and after
  - Cardiac and respiratory monitoring
  - Blood draw to evaluate metabolic responses







- 13 subjects
- All 6 hour troponin <0.2
- No EKG changes from baseline to 60 minute post activation







Time	Mean pH		
Baseline	7.45		
T+1 minute	7.42		
T+10 minutes	7.43		
T+30 minutes	7.43		
T+60 minutes	7.44		





Time	Mean lactate		
Baseline	1.31		
T+1 minute	2.66		
T+10 minutes	2.52		
T+30 minutes	1.43		
T+60 minutes	1.32		





Bicarbonate with minimal transient changesPCO2 with no significant changes

# In Conclusion

- Animal data somewhat conflicting
  - Animal data difficult to extrapolate to humans
- Human research increasing
  - Much is industry sponsored
  - Seems to point away as the Taser having an obvious causative link
  - Making way into medical literature
  - Epidemiologic data is also important

## This concludes the whirlwind tour!

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