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## 1 Introduction

This paper reviews an algebraic language (SQUIGOL), a theory of lists, and an equational style of reasoning (due to Richard S. Bird [1] and Lambert Meertens [3]), and then carries out some derivations due to Douglas R. Smith [4]. I first introduce the operators of the algebraic language, along with some of their useful properties, and present a few results from Bird's Theory of Lists. Then I give (partial) derivations for divide and conquer algorithms for the maximum sum subsegment and maximum sum subrectangle problems. The tupling program transformation proves useful, and is described.

### 1.1 Related Work

Bird gave a derivation of the minimum sum subsegment algorithm in the equational style, using a singleton-split divide-and-conquer algorithm. Smith gave derivations of both the maximum sum subsegment and maximum sum subrectangle algorithms using a middle-split algorithm. Smith and Richard King explored the possibilities for pipelining in Smith's middle-split algorithm. Edgser W. Dijkstra presented a derivation of the minimum sum subrectangle problem in his note EWD900a, December 2, $1984^{1}$. William McColl (Oxford) noticed that a standard heuristic, the "sweep-technique", gives a reasonable algorithm for the subrectangle problem, so perhaps the problem wasn't so hard in the first place. [The sweep technique corresponds to a singleton-split divide-and-conquer algorithm.?]

## 2 Operators and Properties

### 2.1 Notation

For integers $l$ and $u$, the set $\{l \ldots u\}$ denotes the set of integers $i$ such that $l \leq i \leq u$. Sequences will be considered finite total functions with domain $\{1 \ldots n\}$ for some $0 \leq n$. The sequence $[l \ldots u]$ is $[l, l+1, \ldots, u-1, u]$, if $l \leq u$, else $[l \ldots u]=[]$.

The variables $f, g$, and $h$ denote unary functions. The variables $P, Q$ will denote predicates. Prefix function application is written $f x$. This is similar to mathematical notation, e.g. $\log x, \sin x$. Parentheses will be added to clarify grouping. All functions will be unary, though a function may apply to a single tuple of values. The application of $f$ to a pair $\langle x, y\rangle$ is written $f\langle x, y\rangle$. The symbols $\oplus$ and $\otimes$ will denote associative functions that apply to pairs. An application of such a function could be written $\oplus\langle x, y\rangle$, but the infix function application $x \oplus y$ often looks better. The same function symbol is often overloaded to express the curried form of the function. For example, $3+$ is the function that adds 3 to its argument. Operators that combine objects will be overloaded to also combine functions.

$$
\begin{array}{rlrl}
(x \oplus) & =\lambda y \cdot x \oplus y & \\
(\oplus y) & =\lambda x \cdot x \oplus y & \\
P \wedge Q & =\lambda x \cdot(P x) \wedge(Q x) & & \\
\langle f, g\rangle & =\lambda x \cdot\langle f x, g x\rangle & & \\
{[f, g, h]} & =\lambda x .[f x, g x, h x] & & \\
f \times g & & \lambda\langle x, y\rangle \cdot\langle f x, g y\rangle & \\
K_{c} & & \lambda x . c & K_{c}=K_{(f c)} \\
\mathbf{i d} & =\lambda x . x & & \\
\widetilde{\oplus} & =\lambda\langle x, y\rangle . y \oplus x & & \\
(P \longrightarrow y=y \oplus x \\
\hline f ; g) & =\lambda x . i f P x \text { then } f x \text { else } g x & &
\end{array}
$$

Note that if $\oplus$ is associative, commutative, or idempotent, then so is $\widetilde{\oplus}$.
Recursive functions will be defined by giving equations that handle the various input cases; e.g. for the empty sequence, a singleton sequence, or for a sequence that is the concatenation of two other non-empty sequences. In the latter case, the equation will hold for any of the ways of breaking up the sequence into the two others, though there may be a difference in efficiency. I assume that the sequences are implemented so that breaking them up into contiguous pieces takes constant

[^0]time. This is true for front-singleton-splits for sequences implemented as lists, and for any split, if the sequence is implemented in an array.

### 2.2 Type Language

The following types and type constructors are provided, where $\alpha$ and $\beta$ stand for any types:

| $\mathbf{B}$ | $\{$ true, false $\}$ i.e. booleans |
| :--- | :--- |
| $\mathbf{N}$ | $\{0,1, \ldots\}$ i.e. the natural numbers |
| $\mathbf{N}^{+}$ | $\{1,2, \ldots\}$ i.e. the positive numbers |
| $\mathbf{Z}$ | $\{0,1,-1, \ldots\}$ i.e. the integers |
| $[\alpha]$ | sequences whose elements have type $\alpha$ |
| $\{\alpha\}$ | sets whose elements have type $\alpha$ |
| $\alpha \rightarrow \beta$ | maps from elements of type $\alpha$ to elements of type $\beta$. |
| $\alpha \times \cdots \times \omega$ | Tuples whose first element has type $\alpha$, etc. |

Syntactically, $\times$ binds more tightly than $\rightarrow$, so that $\alpha \times \beta \rightarrow \delta$ parses as $(\alpha \times \beta) \rightarrow \delta$.
Also, $\rightarrow$ associates to the right, so that $\alpha \rightarrow \beta \rightarrow \delta$ parses as $\alpha \rightarrow(\beta \rightarrow \delta)$.

### 2.3 Operators

The following describes some of the operators we will be dealing with.

$$
\begin{aligned}
& \text { minimum } \quad \_\downarrow: \mathbf{Z} \times \mathbf{Z} \rightarrow \mathbf{B} \quad x \downarrow y=\text { if } x<y \text { then } x \text { else } y \\
& \text { maximum } \quad \uparrow_{-}: \mathbf{Z} \times \mathbf{Z} \rightarrow \mathbf{B} \quad x \uparrow y=\text { if } x>y \text { then } x \text { else } y \\
& \text { compose } \quad \quad^{\circ} \circ_{-}(\beta \rightarrow \delta) \times(\alpha \rightarrow \beta) \rightarrow(\alpha \rightarrow \delta) \quad(f \circ g) x=f(g x) \\
& \text { concatenation } \quad H_{-}:[\alpha] \times[\alpha] \rightarrow[\alpha] \\
& \text { length } \quad \#_{-}:[\alpha] \rightarrow \mathbf{N} \\
& \text { cardinality } \quad \#_{-}:\{\alpha\} \rightarrow \mathbf{N} \\
& \text { sequify } \quad \square_{-}: \alpha \rightarrow[\alpha] \quad \square x=[x] \\
& \text { setify } \quad\left\}_{-}: \alpha \rightarrow\{\alpha\} \quad\{ \} x=\{x\}\right. \\
& \text { pair } \quad \quad_{-}: \alpha \times \beta \rightarrow \alpha \times \beta \quad x \Delta y=\langle x, y\rangle \\
& \text { image of } f \quad f: \alpha \rightarrow \beta \Rightarrow f \star_{\star}:[\alpha] \rightarrow[\beta] \quad f \star\left[x_{1}, \ldots, x_{n}\right]=\left[f x_{1}, \ldots, f x_{n}\right] \\
& \text { reduce by } \oplus \quad \oplus: \alpha \times \alpha \rightarrow \alpha \Rightarrow \oplus /:[\alpha] \rightarrow \alpha \quad \oplus /\left[x_{1}, \ldots, x_{n}\right]=x_{1} \oplus \cdots \oplus x_{n} \\
& \text { cross by } \otimes \quad \otimes: \alpha \times \alpha \rightarrow \beta \Rightarrow \chi_{\otimes_{-}}:[\alpha] \times[\alpha] \rightarrow[\beta] \\
& {\left[x_{1}, \ldots, x_{n}\right] \times_{\otimes}\left[y_{1}, \ldots, y_{n}\right]=\left[x_{1} \otimes y_{1}, \ldots, x_{1} \otimes y_{n}, \ldots, x_{n} \otimes y_{1}, \ldots, x_{n} \otimes y_{n}\right]}
\end{aligned}
$$

In many applications, the order of the elements returned by the $X_{\oplus}$ operator is unimportant, so we can think of it returning a bag of elements. Some of the properties below are only true when we think of its output is unordered. We can also think of this operator applying to two bags or two sets, returning bags or sets.

### 2.3.1 Reduction

The expression $\oplus /[]$ is defined to be $e_{\oplus}$, i.e. the identity element for $\oplus$. Also $\oplus /[x]=x$, for any operator $\oplus$. The operator $\oplus$ must be associative. If it is also commutative, then the order of the elements in the sequence does not matter, so for any permutation $s_{2}$ of $s_{1}, \oplus / s_{1}=\oplus / s_{2}$. In this case, we can overload $\oplus /$ so that it also applies to a bag of values ${ }^{2}$. If $\oplus$ is also idempotent (i.e. $(\forall x) x \oplus x=x$, e.g. U ), then duplicates do not matter, so that if $\operatorname{range}\left(s_{2}\right)=\operatorname{range}\left(s_{1}\right)$, then $\oplus / s_{1}=\oplus / s_{2}$. In this case, we can overload $\oplus /$ so that it also applies to a set of values.

[^1]The function $+/$ applied to a sequence returns the sum of the elements of the sequence. A convenient shorthand for this function used extensively below is $\sum$; i.e. $\sum s=+/ s$. Similarly, $\prod s=* / s$.

One can "implement" the $\oplus /$ operator in several ways. Because $\oplus$ is assumed to be associative, the grouping is unimportant. Hence, we may evaluate

$$
\oplus /\left[x_{1}, x_{2}, x_{3}, x_{4}\right]=x_{1} \oplus\left(x_{2} \oplus\left(x_{3} \oplus x_{4}\right)\right)
$$

This corresponds to defining $\oplus /$ in terms of $g$ as follows:

$$
\begin{aligned}
\oplus / s & =g s \\
g[] & =e_{\oplus} \\
g[x]+s_{1} & =x \oplus g s_{1}
\end{aligned}
$$

A different grouping is

$$
\oplus /\left[x_{1}, x_{2}, x_{3}, x_{4}\right]=\left(\left(x_{1} \oplus x_{2}\right) \oplus x_{3}\right) \oplus x_{4} .
$$

This corresponds to computing $\oplus /$ in terms of $f$ with an "accumulating" variable $A$ as follows:

$$
\begin{aligned}
\oplus / s & =f\left\langle s, e_{\oplus}\right\rangle \\
f\langle[], A\rangle & =A \\
f\left\langle[x]++s_{1}, A\right\rangle & =f\left\langle s_{1}, A \oplus x\right\rangle .
\end{aligned}
$$

Another possibility is to evaluate $\oplus /\left[x_{1}, x_{2}, x_{3}, x_{4}\right]=\left(x_{1} \oplus x_{2}\right) \oplus\left(x_{3} \oplus x_{4}\right)$. The depth of the expression tree for $\oplus / s$ would be $\log \# s$, hence this approach takes only logarithmic time on a parallel processor.

$$
\begin{aligned}
\oplus / s & =b s \\
b[] & =e_{\oplus} \\
b[x] & =x \\
b s_{1}+s_{2} & =b s_{1} \oplus b s_{2} \quad \text { if } 1<\#\left(s_{1}+s_{2}\right) \wedge \# s_{1}=\left\lfloor\frac{\#\left(s_{1}+s_{2}\right)}{2}\right\rfloor
\end{aligned}
$$

### 2.4 Properties of the Operators

|  | * | + | $\downarrow$ | $\uparrow$ | H | $\bigcirc$ | $\times_{\oplus}$ | $f \star$ | $\oplus /$ | \# |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| associative | y | y | y | y | y | y | if $\oplus$ is |  |  |  |
| commutative | y | y | y | y | n | n | if $\oplus$ is |  |  |  |
| idempotent | n | n | y | y | n | n | n |  |  |  |
| identity | 1 | 0 | $\infty$ | $-\infty$ | [] | $\lambda x . x$ | $\left[e_{\oplus}\right]$ | $\lambda x . x$ |  |  |
| fixpoint | 0 |  | $-\infty$ |  |  |  | [] |  |  |  |
| distributes over | + | $\downarrow, \uparrow$ | $\uparrow, \downarrow$ | $\downarrow, \uparrow$ |  | $\star$ | + | + | + | + |
| $x *(y \downarrow z)$ |  | $(x * y) \downarrow(x * z)$ |  |  | if $x \geq 0$ |  |  |  |  |  |
| $(f \circ g) \star x$ |  |  | $(g \star x$ |  |  |  |  |  |  |  |
| $s_{1} \times \otimes_{\otimes} s_{2}$ |  |  | ( $s_{1} \times$ | $\left.s_{2}\right)$ |  |  |  |  |  |  |
| $[x] \times{ }_{\otimes} s$ |  |  | $) \star s$ |  |  |  |  |  |  |  |
| $s \times{ }_{\otimes}[x]$ |  |  | $x) \star s$ |  |  |  |  |  |  |  |
| $f \star\left(s_{1} \times{ }_{\otimes} s_{2}\right)$ |  |  | $\times{ }_{f \circ \otimes}$ |  |  |  |  |  |  |  |
| $\left(g \star s_{1}\right) \times_{\otimes}\left(g \star s_{2}\right)$ |  |  | - $\otimes \circ g \times$ | $s_{2}$ | distri |  |  |  |  |  |
| $\oplus /\left(s_{1} \times_{\otimes} s_{2}\right)$ |  | $\left(\oplus / s_{1}\right) \otimes\left(\oplus / s_{2}\right)$ |  |  |  |  | utes ov | $\oplus, \oplus$ | com | tat |

Some properties may be expressed more neatly in a functional style.

$$
\begin{aligned}
(\oplus /) \circ[ & =\text { id } \\
(f \star) \circ] & =\square \circ f \\
(f \circ g) \star & =(f \star) \circ(g \star) \\
\times \otimes & =(\otimes \star) \circ \times \otimes \\
(f \star) \circ X_{\otimes} & =X_{f \circ \otimes} \\
X_{\otimes} \circ g \star \times g \star & =X_{\otimes \circ g \times g} \\
(\oplus /) \circ X_{\otimes} & =\otimes \circ \oplus / \times \oplus / \quad \text { if } \otimes \text { distributes over } \oplus
\end{aligned}
$$

Any associative, commutative, idempotent operator distributes over itself.
Because we will be making heavy use of $H, \uparrow,+$ and $\sum$ in what follows, we reiterate some of their important properties.

$$
\begin{aligned}
\sum\left(s_{1}+s_{2}\right) & =\sum s_{1}+\sum s_{2} \\
\sum \star\left(s_{1} \times_{+} s_{2}\right) & =\left(\sum \star s_{1}\right) \times_{+}\left(\sum \star s_{2}\right) \\
x+(y \uparrow z) & =(x+y) \uparrow(x+z) \\
\uparrow /\left(s_{1} \times_{+} s_{2}\right) & =\left(\uparrow / s_{1}\right)+\left(\uparrow / s_{2}\right)
\end{aligned}
$$

Note that the number of additions on the left side of the last equation is $\left(\# s_{1}\right) *\left(\# s_{2}\right)$ but only 1 on the right side.

### 2.5 Homomorphisms

A function $h$ is a $\oplus$-homorphism, where $\oplus$ is associative, if there is a function $\otimes$ satisfying

$$
\begin{aligned}
h\left(x_{1} \oplus x_{2}\right) & =\left(h x_{1}\right) \otimes\left(h x_{2}\right) & & \text { or, expressed functionally, } \\
h \circ \oplus & =\otimes \circ h \times h & & \text { or also } \\
h \oplus /\left[x_{1}, x_{2}\right] & =\otimes / h \star\left[x_{1}, x_{2}\right] & &
\end{aligned}
$$

If $\oplus$ is associative, commutative, or idempotent, then so will be $\otimes$. Also, if $e_{\oplus}$ is the identity of $\oplus$, then $h \oplus$ is the identity of $\otimes$.

The last equation above suggests a generalization that we prove below, namely that if $h(\oplus / s)=\otimes / h \star s$ for sequences $s$ of length 2 , then in fact it holds for sequences of any length. The cases for sequences of length 0 and 1 hold independently of $h$. Assume it holds for sequences of length $n-1$, and assume $s$ is length $n$. Then

$$
\begin{array}{rlr}
h(\oplus / s) & =h\left(\oplus /\left[x_{1}, \ldots, x_{n}\right]\right) & \\
& =h\left(x_{1} \oplus \oplus /\left[x_{2}, \ldots, x_{n}\right]\right) & \\
& =\left(h x_{1}\right) \otimes h\left(\oplus /\left[x_{2}, \ldots, x_{n}\right]\right) & \text { which is, by induction, } \\
& =\left(h x_{1}\right) \otimes\left(\left(h x_{2}\right) \otimes \cdots \otimes\left(h x_{n}\right)\right) & \text { by associativity of } \otimes \\
& =\otimes /\left[h x_{1}, \ldots, h x_{n}\right] & \\
& =\otimes / h \star\left[x_{1}, \ldots, x_{n}\right] & \\
& =\otimes / h \star s &
\end{array}
$$

Expressed functionally, this is $h \circ(\oplus /)=(\otimes /) \circ(h \star)$.
Now we show that $h \star$ is a $X_{\oplus}$-homomorphism:

$$
(h \star) \circ X_{\oplus}=X_{h \circ \oplus}=X_{\otimes \circ h \times h}=X_{\otimes} \circ h \star \times h \star .
$$

For $n$ arguments:

$$
\begin{aligned}
h \star X_{\oplus} / s & =X_{\otimes} /(h \star) \star s \quad \text { or, expressed functionally, } \\
(h \star) \circ\left(X_{\oplus} /\right) & =\left(X_{\otimes} /\right) \circ(h \star) \star
\end{aligned}
$$

Every one-to-one function $h$ is a $\oplus$-homomorphism, with $u \otimes v=h\left(\left(h^{-1} u\right) \oplus\left(h^{-1} v\right)\right)$.

### 2.6 Sequence Homomorphisms

Every sequence $s$ satisfies $s=\# / \square \star s$. This implies that every $H$-homomorphism $h$, where $h \circ+=\otimes \circ h \times h$ may be expressed as $(\otimes /) \circ(f \star)$ where $f=h \circ \square$. The following reasoning justifies this:

$$
h s=h(+/ \square \star s)=\otimes / h \star \square \star s=\otimes /(h \circ \square) \star s
$$

Thus, a + -homomorphism $h$ is completely defined by: (1) its action on singletons; and (2) $\otimes$.
We also note that the right inverse under $\circ$ of $H$ / is $\| \star$, because

$$
(+/) \circ(\square \star)=\mathbf{i d}
$$

[When we can obtain an inverse $f$ of $\oplus /$, we learn something about $\oplus$-homomorphisms, because then

$$
h=h \circ \oplus / \circ f=\otimes / \circ(h \star) \circ f
$$

For example, the right-inverse of $* /$ is the function prime-factors; the inverse of $+/$ is the function $\lambda n \cdot[\underbrace{1, \ldots, 1}_{n}]$. So a $*$-homomorphism is determined by $\otimes$ and its
action on primes, and a + -homomorphism is determined by $\otimes$ and its action on 1.]

### 2.6.1 Sequence Examples

A familiar homomorphism on sequences is the length function $\#$. Because $\# \circ+=+\circ \# \times \#$ and $\# \circ\left\lceil=K_{1}\right.$, we have $\#=(+/) \circ\left(K_{1} \star\right)$. Also $\# \circ(+/)=(+/) \circ \# \star$.
For any function $f$, the function $f \star$ is a $\#$-homomorphism. Also, for any operator $\oplus, \oplus /$ is a + -homomorphism.

$$
\begin{aligned}
(f \star) \circ(+/) & =(+/) \circ(f \star) \star \\
(\oplus /)+(+/) & =(+/) \circ(\oplus /) \star
\end{aligned}
$$

A familiar injective function is the function rev (reverse of a sequence). Because rev is one-to-one, it is a $\#$-homomorphism. Because $\mathbf{r e v}^{-1}=\mathbf{r e v}$, we have

$$
\begin{aligned}
x \otimes y & =\operatorname{rev}((\boldsymbol{\operatorname { r e v }} x)+(\operatorname{rev} y))=\widetilde{\#} \\
f & =\operatorname{rev} \circ[=\square
\end{aligned}
$$

so therefore $\operatorname{rev} s=\widetilde{\#} / \square \star s$. The reader may find it interesting to examine the various ways to evaluate the reduction. The classic paper on fold/unfold transformations [2] shows how one can transform one form of reverse into the accumulating reverse. We see that both are implementations of the reduction operator.
The function "group by zeroes" gbz is a homomorphism:

$$
\mathbf{g b z}[1,2,2,0,9,6,0,4,0,0,3]=[[1,2,2,0],[9,6,0],[4,0],[0],[3]] .
$$

The function sort is a + -homorphism. It turns out that sort $s=$ merge $/[\star s$, where the function merge merges two sorted sequences to form a sorted sequence. A binary split implementation of the reduce yields MergeSort, and a singleton-split implementation (either one) yields InsertionSort.

### 2.7 Subsequence Operators

A subsequence of a sequence $s$ is a not-necessarily contiguous selection of elements from $s$. A (sub)segment of $s$ is a contiguous subsequence of $s$. If $s$ is a sequence, then the subsegment of $s$ from $g$ to $d$ is $s \circ[g \ldots d]$. In particular, $s=s \circ[1 \ldots \# s]$.

```
            seqs s=all subsequences of }
inits [\mp@subsup{x}{1}{},\ldots,\mp@subsup{x}{n}{}]=[[\mp@subsup{x}{1}{}],[\mp@subsup{x}{1}{},\mp@subsup{x}{2}{}],\ldots,[\mp@subsup{x}{1}{},\ldots,\mp@subsup{x}{n}{}]]
tails}[\mp@subsup{x}{1}{},\ldots,\mp@subsup{x}{n}{}]=[[\mp@subsup{x}{1}{},\ldots,\mp@subsup{x}{n}{}],[\mp@subsup{x}{2}{},\ldots,\mp@subsup{x}{n}{}],\ldots,[\mp@subsup{x}{n}{}]
            \operatorname{segs}s=all nonempty subsegments of s
            seqs}s=\mp@subsup{X}{#}{}/(\lambdax\cdot[[],[x]])\stars\quad or, expressed functionally
            seqs}=(\mp@subsup{X}{+}{\prime}/)\circ[\mp@subsup{K}{[]}{},\square]
            inits [x] = [[x]]
    inits s}\mp@subsup{s}{1}{}+\mp@subsup{s}{2}{}=\mathrm{ inits }\mp@subsup{s}{1}{}+([\mp@subsup{s}{1}{}]\mp@subsup{X}{#}{+}\mathrm{ inits }\mp@subsup{s}{2}{}
            tails [x] = [[x]]
    tails }\mp@subsup{s}{1}{}+\mp@subsup{s}{2}{}=((\mathrm{ tails }\mp@subsup{s}{1}{})\times\mp@subsup{}{#+}{[}\mp@subsup{s}{2}{}])+\mathrm{ tails }\mp@subsup{s}{2}{
            segs [x] = [[x]]
    segs }\mp@subsup{s}{1}{}+\mp@subsup{\mp@code{s}}{2}{}=(\boldsymbol{\operatorname{segs}}\mp@subsup{s}{1}{\prime})H(\boldsymbol{\operatorname{egs}}\mp@subsup{s}{2}{\prime}
                                #((tails }\mp@subsup{s}{1}{})\times\mp@subsup{}{#}{+}(\mathrm{ inits }\mp@subsup{s}{2}{})
```

The order of the results of segs and seqs is usually unimportant. Perhaps they should return a bag, or set, in which case the + above should be the appropriate operator.

### 2.8 Tupling

The tupling transformation was identified in [2]. An instance of it's use is given below.

Suppose $f(s+t)=C_{1}\left\langle f s, f t, C_{2}\langle g s, h t\rangle\right\rangle$ for functions $g, h$, and inexpensive $C_{1}$ and $C_{2}$. This is almost an efficient divide-and-conquer algorithm, except for the $C_{2}$ term. If $g$ and $h$ are expensive, the recurrence for $f$ may be expensive. However, an inexpensive computation for $f$ may be achieved if computations for $g$ and $h$ can be combined with $f$ 's.
A more precise performance analysis proceeds as follows. Let $c_{f} n$ be the cost of computing $f$ on a sequence of length $n$; similarly define $c_{g}$ and $c_{h}$. If the cost of splitting the input and computing $C_{1}$ and $C_{2}$ is constant, say $c$, then the following recurrence holds:

$$
c_{f} n=2 *\left(c_{f} \frac{n}{2}\right)+\left(c_{g} \frac{n}{2}\right)+\left(c_{h} \frac{n}{2}\right)+c .
$$

In particular, if $c_{g}$ and $c_{h}$ are linear, then $c_{f}$ is $n \log n$.
Suppose $g(s+t)=C_{g}\langle g s, g t\rangle$ and $h(s+t)=C_{h}\langle h s, h t\rangle$. Then define $H s=\langle f s, g s, h s\rangle$. If there were an efficient form for $H$, then we could compute $f$ by $f s=(H s) .1$. It turns out that $H$ can be computed by the divide-and-conquer algorithm:

$$
\begin{aligned}
H s+t= & \\
& \quad \text { et }\left\langle s_{f}, s_{g}, s_{h}\right\rangle=H s,\left\langle t_{f}, t_{g}, t_{h}\right\rangle=H t \\
& \quad \operatorname{in}\left\langle C_{1}\left\langle s_{f}, t_{f}, C_{2}\left\langle s_{g}, t_{h}\right\rangle\right\rangle, C_{g}\left\langle s_{g}, t_{g}\right\rangle, C_{h}\left\langle s_{h}, t_{h}\right\rangle\right\rangle
\end{aligned}
$$

For the cost assumptions above, $c_{H}$, the cost of $H$, is linear; hence $c_{f}$ is linear.

## 3 Equational Derivation of the Maximum Sum Subsegment Problem

Given a sequence $s$ of integers find the sum of the maximum sum contiguous subsequence, i.e.

$$
\operatorname{MSS} s=\uparrow / \sum \star \operatorname{segs} s
$$

We will proceed by equational reasoning on MSS acting on the input $s$ split into two pieces such that $s=s_{1}+s_{2}$. If $s$ cannot be split into two pieces, i.e. $s=[x]$, then

$$
\operatorname{MSS}[x]=\uparrow / \sum \star \operatorname{segs}[x]=\uparrow / \sum \star[[x]]=\uparrow /\left[\sum[x]\right]=\uparrow /[x]=x
$$

This assumes that the empty sequence is not one of the subsegments of $s$; if segs is defined to include the empty sequence, then if $x$ is negative, the maximum sum subsegment is [], which has sum 0.

$$
\begin{aligned}
& \operatorname{MSS} s_{1}+s_{2}=\uparrow / \sum \star \boldsymbol{\operatorname { s e g s }}\left(s_{1}+s_{2}\right) \\
& =\uparrow / \sum \star\left(\underline{\operatorname{segs} s_{1}}+\boldsymbol{\operatorname { s e g s } s _ { 2 }}\right)+\left(\text { tails } s_{1}\right) \times_{+}\left(\text {inits } s_{2}\right) \text { ) } \\
& =\uparrow / \sum \star \operatorname{segs} s_{1}+\sum \star \operatorname{segs} s_{2}+\sum \star\left(\left(\text { tails } s_{1}\right) \times_{\#}\left(\text { inits } s_{2}\right)\right) \\
& =\uparrow /\left(\sum \star \operatorname{seg} s_{1}\right) \uparrow \uparrow /\left(\sum \star \operatorname{seg} s_{2}\right) \uparrow \uparrow /\left(\sum \star\left(\left(\text { tails } s_{1}\right) \times_{+}\left(\text {inits } s_{2}\right)\right)\right) \\
& =\operatorname{MSS} s_{1} \uparrow \operatorname{MSS} s_{2} \uparrow \uparrow / \sum \star\left(\left(\text { tails } s_{1}\right) \times_{\#}\left(\text { inits } s_{2}\right)\right) \\
& =\operatorname{MSS} s_{1} \uparrow \operatorname{MSS} s_{2} \uparrow \uparrow /\left(\sum \star \text { tails } s_{1} \times+\sum \star \text { inits } s_{2}\right) \\
& =\operatorname{MSS} s_{1} \uparrow \operatorname{MSS} s_{2} \uparrow\left(\uparrow / \sum \star \text { tails } s_{1}+\uparrow / \sum \star \text { inits } s_{2}\right) \\
& =\mathbf{M S S} s_{1} \uparrow \operatorname{MSS} s_{2} \uparrow\left(\operatorname{MST} s_{1}+\mathbf{M S I} s_{2}\right)
\end{aligned}
$$

We have just introduced two unary functions:

$$
\begin{aligned}
\text { MST } x & =\uparrow / \sum \star \text { tails } x \\
\text { MSI } x & =\uparrow / \sum \star \text { inits } x
\end{aligned}
$$

We now try to derive the same sort of recurrence for these two functions as for MSS. If we are successful, we will be able to tuple the functions together to form an efficient divide-and-conquer algorithm. We show only the derivation of MST below; MSI works similarly. The base case MST $[x]$ reduces to $x$, using reasoning similar to that for the other base case.

$$
\begin{aligned}
\text { MST } s_{1}+s_{2} & =\uparrow / \sum \star \operatorname{tails}\left(s_{1}+s_{2}\right) \\
& =\uparrow / \sum \star \sqrt{\left(\text { tails } s_{1}\right) \times+\left[s_{2}\right]}+\text { tails } s_{2} \\
& =\uparrow /\left(\sum \star\left(\left(\text { tails } s_{1}\right) \times+\left[s_{2}\right]\right)+\mid \sum \star \text { tails } s_{2}\right. \\
& =\uparrow /\left(\left(\sum \star \text { tails } s_{1}\right] \times+\sum \star\left[s_{2}\right]\right)+\sum \star \text { tails } s_{2}
\end{aligned}
$$

$$
\begin{aligned}
& =\uparrow / \sum \star \text { tails } s_{1}+\uparrow / \sum \star\left[s_{2}\right] \\
& =\uparrow / \sum \star \text { tails } s_{2} \\
& =\left(\mathbf{M S T} s_{1}\right)+\sum s_{2} \uparrow \operatorname{MST} s_{2}
\end{aligned}
$$

Of course $\sum$ obeys the same sort of recurrence, namely $\sum\left(s_{1}+s_{2}\right)=\left(\sum s_{1}\right)+\left(\sum s_{2}\right)$. Hence, the tupling technique can be used to form a divide-and-conquer algorithm from the recurrences for MST , $\sum$, MSI , and MSS .

## 4 Specification of the Maximum Sum Subrectangle Problem

A generalization extends the segment problem to two dimensions. In this case we are given a rectangular array of integers, and are asked to find the sum of the maximum sum subrectangle. We model the array as a function $A$ that applies to coordinate pairs $\langle i, j\rangle$, for $i \in x c$ and $j \in y c$, where $x c$ and $y c$ are the indices for the x -coordinate and y -coordinate, respectively; e.g. $x c=\left[1 \ldots m_{x}\right], y c=\left[1 \ldots m_{y}\right]$. If $x$ and $y$ are subsegments of $x c$ and $y c$ respectively, then $x \times y$ is the bag of coordinates describing the subrectangle specified by $x$ and $y$, and $A \star\left(x \times_{\diamond} y\right)$ is the bag of $A$ 's values in that subrectangle. The following definition thus specifies the maximum sum subrectangle problem:

$$
\operatorname{MSSR} A=\uparrow / \sum \star(A \star) \star\left(\times_{\diamond}\right) \star\left((\boldsymbol{\operatorname { s e g s }} x c) \times_{\diamond}(\boldsymbol{\operatorname { s e g s }} y c)\right)
$$

If we now attempt to split $x c$ into $x c_{1}$ and $x c_{2}$ and $y c$ into $y c_{1}$ and $y c_{2}$, the distributive property of segs and $X_{\diamond}$ will produce the following 16 cross-products:

```
segs }x\mp@subsup{c}{1}{}\mp@subsup{\chi}{\diamond}{}\mathrm{ segs }y\mp@subsup{c}{1}{}\quad\mathrm{ segs }x\mp@subsup{c}{2}{}\mp@subsup{\chi}{\diamond}{}\mathrm{ segs }y\mp@subsup{c}{1}{
segs }x\mp@subsup{c}{1}{}\mp@subsup{X}{\diamond}{}\mathrm{ segs }y\mp@subsup{c}{2}{}\quad\mathrm{ segs }x\mp@subsup{c}{2}{}\mp@subsup{\chi}{\diamond}{}\mathrm{ segs }y\mp@subsup{c}{2}{
segs }x\mp@subsup{c}{1}{}\mp@subsup{X}{\diamond}{}\mathrm{ tails }y\mp@subsup{c}{1}{}\quad\mathrm{ segs }x\mp@subsup{c}{2}{}\mp@subsup{X}{\diamond}{}\mathrm{ tails }y\mp@subsup{c}{1}{
segs }x\mp@subsup{c}{1}{}\mp@subsup{X}{\diamond}{}\mathrm{ inits }y\mp@subsup{c}{2}{}\quad\mathrm{ segs }x\mp@subsup{c}{2}{}\mp@subsup{X}{\diamond}{}\mathrm{ inits }y\mp@subsup{c}{2}{
tails }x\mp@subsup{c}{1}{}\mp@subsup{X}{\ell}{}\mathrm{ segs }y\mp@subsup{c}{1}{}\quad\mathrm{ inits }x\mp@subsup{c}{2}{}\mp@subsup{X}{\}{}\mathrm{ segs }y\mp@subsup{c}{1}{
tails }x\mp@subsup{c}{1}{}\times\mp@subsup{\}{\}{}\mathrm{ segs }y\mp@subsup{c}{2}{}\quad\mathrm{ inits }x\mp@subsup{c}{2}{}\times\mp@subsup{\}{\}{}\mathrm{ segs }y\mp@subsup{c}{2}{
tails }x\mp@subsup{c}{1}{}\mp@subsup{X}{\diamond}{}\mathrm{ tails }y\mp@subsup{c}{1}{}\quad\mathrm{ inits }x\mp@subsup{c}{2}{}\mp@subsup{X}{\diamond}{}\mathrm{ tails }y\mp@subsup{c}{1}{
tails }x\mp@subsup{c}{1}{}\mp@subsup{X}{\delta}{}\mathrm{ inits }y\mp@subsup{c}{2}{}\quad\mathrm{ inits }x\mp@subsup{c}{2}{}\mp@subsup{X}{\delta}{}\mathrm{ inits }y\mp@subsup{c}{2}{
```

At this point, the need for computer assistance in carrying out derivations becomes apparent.
To be continued.

## 5 Future Work

1. Study related work in APL, FP, tupling.
2. segs is a homomorphism; what is it's form as $\oplus / f \star$ ? Is it useful? When is that normal form of a homomorphism useful?
3. Is there a sense of completeness for an equational axiomatization for this theory? A directed rewriting system?
4. Carry out the subrectangle derivation. Also for the subsegment case using indices.
5. Compare with notations that use bound variables (e.g. PERFORMO, REFINE). What programs are expressed more easily in REFINE? What would the derivations look like? Try returning not just the sum, but a subsegment or rectangle. Does the program get too cluttered?
6. Longest upsequence?
7. Try synthesizing split assuming join, instead of join assuming split, ala Doug.
8. Other derivations: minout, reduction over graphs, the periodic root.

## 6 Conclusions

The SQUIGOL notation is concise, inspired by algebra, APL and FP, and very amenable to hand manipulation. A SQUIGOL program can be rather dense, but spreading it out over more characters would not make it any clearer.
Bird has developed an interesting theory of sequences. Sequences are ubiquitous in computing, so computer scientists should develop a theory that helps solve problems that involve sequences, just as mathematicians have developed a theory of numbers that helps to solve problems that involve numbers.

The derivation shows the power of defining appropriate domain-specific operators, such as segs, and studying their properties.
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## $7 \quad$ Algebraic Formulation of Some of the Laws

A semigroup is a pair $\langle S, \oplus\rangle$ of a carrier set $S$ and an associative operation $\oplus$ over that set. A monoid is a triple $\left\langle M, \oplus, e_{\oplus}\right\rangle$, where $\langle M, \oplus\rangle$ is a semigroup and $e_{\oplus}$ is a left- and right-identity for $\oplus$. A commutative monoid is a monoid where the operation $\oplus$ is commutative. We will use the shorthand $M_{\oplus}$ to refer to the monoid with carrier set $M$, where $M$ is one of the types described in Section 2.2, and $\oplus$ is an associative operation on $M$. The identity element will be left tacit. Bird gives
ways in which the carrier set $M$ and the operation $\oplus$ can be enlarged if an identity isn't present already.
If $M_{\oplus}$ is a semigroup, monoid, or commutative monoid then so is $[M]_{\oplus}$.
A monoid homomorphism is a function $h$, where $h: M \rightarrow N$, and where $M_{\oplus}$ and $N_{\otimes}$ are monoids, satisfying $h \circ \oplus=\otimes \circ h \times h$. In this case we write $h: M_{\oplus} \rightarrow N_{\otimes}$. It can be easily shown that $h e_{\oplus}$ must be $e_{\otimes}$. If $h: M_{\oplus} \rightarrow M_{\oplus}$, then $h$ is a monoid automorphism.
If $f: M \rightarrow N$, then $f \star:[M]_{+} \rightarrow[N]_{+}$.
If $M_{\oplus}$ is a monoid, then $\oplus /:[M]_{+} \rightarrow M_{\oplus}$.
If $h: M_{\oplus} \rightarrow N_{\otimes}$, then $h \star:[M]_{X_{\oplus}} \rightarrow[N]_{X_{\otimes}}$.
A semiring is a quintuple $\left\langle M, \oplus, \otimes, e_{\oplus}, e_{\otimes}\right\rangle$ where $\left\langle M, \oplus, e_{\oplus}\right\rangle$ is a commutative monoid, $\left\langle M, \otimes, e_{\otimes}\right\rangle$ is a monoid, and $\otimes$ distributes over $\oplus$; i.e. for any $x$, both $\otimes x$ and $x \otimes$ are $M_{\oplus}$ automorphisms. The shorthand $M_{\oplus \otimes}$ will abbreviate that semiring. If $M_{\oplus \otimes}$ is a semiring, then $\oplus /:[M]_{\times} \rightarrow M_{\otimes}$.
Sketch of Proof:
Assume true for $\# s_{1}<n$ and prove for $\# s_{1}=n$ as follows.

$$
\begin{aligned}
\oplus /\left(s_{1} \times_{\otimes} s_{2}\right) & =\oplus /\left(\left([x]+s_{1}^{\prime}\right) \times_{\otimes} s_{2}\right) & & \\
& =\oplus /\left(\left([x] \times_{\otimes} s_{2}\right)+\left(s_{1}^{\prime} \times_{\otimes} s_{2}\right)\right) & & \text { distribute left } \times_{\otimes}, \oplus \text { is commutative } \\
& =\left(\oplus /\left([x] \times_{\otimes} s_{2}\right)\right) \oplus\left(\oplus /\left(s_{1}^{\prime} \times_{\otimes} s_{2}\right)\right) & & \text { use induction hypothesis } \\
& =\left((\oplus /[x]) \otimes\left(\oplus / s_{2}\right)\right) \oplus\left(\left(\oplus / s_{1}^{\prime}\right) \otimes\left(\oplus / s_{2}\right)\right) & & \text { distribute left } \otimes \\
& =\left((\oplus /[x]) \oplus\left(\oplus / s_{1}^{\prime}\right)\right) \otimes\left(\oplus / s_{2}\right) & & \\
& =\left(\oplus /\left([x]+s_{1}^{\prime}\right)\right) \otimes\left(\oplus / s_{2}\right) & & \\
& =\left(\oplus / s_{1}\right) \otimes\left(\oplus / s_{2}\right) & &
\end{aligned}
$$


[^0]:    ${ }^{1}$ In EWD900a, Dijkstra refers to a derivation of minimum sum subsegment in EWD897, but my copy of EWD897 is about another subject. End of footnote.

[^1]:    ${ }^{2}$ Perhaps we should allow it to apply to a set of values, too.

