flexion-extension radiographs, this patient had a considerable range of motion, so it's not interfering with motion. Next.

This is an HO Class of II, a little more, as you can see on the far left of the A/P, but based on flexion-extension this patient still had a very high degree of range of motion at the operative level. And again, this is 24 months post-operatively. Next.

9 And this just demonstrates the progression 10 of HO in a single patient. So if you take a patient 11 immediately post-op and follow them through two years post-op, this is what the HO, how it presents itself. 12 13 And you can see it appearing at six months or six 14 weeks post-operatively, and then you get some 15 densification of the HO and by 24 months, it's very 16 evidence on the A/P film. Next.

And this is just a CT scan demonstrating the location of the HO not within the disc space, but it tends to be in the peri-annular region adjacent to the disc and not in the psoas. This happens to be four years post-op. Next.

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And this is the Visual Analog Scale, the

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data of interest here. HO and non-HO cases preoperatively, very similar based on VAS scores by 24 month post-operatively using the Wilcoxon Rank Sum. There was no statistical differences between the two groups in VAS. Next. And ODI, similar findings. Pre-op, nearly identical and at 24 months, no differences between the two groups. Next.

And this is the flexion-extension range of 8 9 motion. Interestingly, if you were to group these out 10 into HO and non-HO cases based on the pre-operative 11 plain films, it's a little over 6 degrees of motion for both treatments. But then interestingly, at the 12 24 month post-operative period, the HO cases had more, 13 a higher range of motion at the operative level, not 14 statistical, but pretty close compared to the non-HO 15 cases. So it doesn't appear to be -- actually, the 16 range of motion is higher with the incidence of HO. 17 And that is pretty much what I have for that. 18 Next. CHAIRPERSON YASZEMSKI: Thank you, Mr. 19 Thank you, Dr. McAfee. Dr. Kim? 20 Cunningham. DR. KIM: Just going back to the question 21 of why there is a proportion of people that don't get 22

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better. A proportion of them probably is coming from the facet joint. If that's the case, if you looked at that, and should reconsider looking at the facet joint more closely prior to having somebody undergo this procedure. Can one of the sponsors comment on that?

б DR. MCAFEE: I'll give it a shot after 7 much deliberation. Paul McAfee. With any interbody 8 fusion device, you tend to unload the facets. You're 9 increasing the disc space height. The digitized results of all the series both at 045 and L5-S1 did 10 11 show statistically that the increase in disc space 12 height was better for the SB Charite group versus the 13 BAK.

14 In addition, from the immediate six week 15 visit film to two years, it turns out that the 16 maintenance of the height was also better in the SB 17 Charite group. In other words, there was slightly 18 more subsidence with the BAKs. So we thought the main 19 thing, the main purpose of the Charite, was to unload the facets and I can start with slide 247 if you would 20 21 like. Looking at the baboons, I know it's only a six 22 month follow-up, but the facet joints were normal at

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1	sacrifice. Secondly, you know
2	DR. KIM: Sorry to interrupt.
3	DR. MCAFEE: Yes.
4	DR. KIM: I guess what I was trying to get
5	at is if you had the opportunity to sub-stratify those
6	patients that persisted with back pain and compared
7	them to the Charite group that did not have back pain
8	and you just looked at the facet joint, would there be
9	a difference that you know of?
10	DR. MCAFEE: We didn't look at that, but
11	in a way we're selecting out patients that have
12	problems with the facet joints pre-operatively.
13	Remember in our workup, if the patient has some
14	element of mechanical back pain, we would tend to get
15	posterior facet joint blocks and if that facet joint
16	is a pain indicator, then they are selected out of the
17	study.
18	CHAIRPERSON YASZEMSKI: Thanks, Dr.
19	McAfee. Dr. Kim, does that answer your question?
20	DR. KIM: It does.
21	CHAIRPERSON YASZEMSKI: Thank you. Dr.
22	Naidu?
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1	DR. NAIDU: I agree with the rest of the
2	group. Pain is a subjective measure. I think the
3	only reason that the Charite group probably had more
4	pain is probably the patient population itself was a
5	more active group. From the data presented, the
6	Charite group had a significantly lower body mass
7	index and, in general, more active. So I mean, it is
8	a subjective measure, but those are the only two
9	reasons I can think of attributing it to.
10	
	CHAIRPERSON YASZEMSKI: All right. Thank
11	you, Dr. Naidu. Dr. Witten, the Panel's discussion on
12	Aim 3 is that pain being a subjective measure, there
13	really are no concerns that the Charite group had this
14	percentage of people who still had continued pain, and
15	that this reflects the general treatment of low back
16	pain be it by nonsurgical or surgical methods other
17	than disc replacement.
18	Have we adequately answered this question
19	to FDA's satisfaction?
20	DR. WITTEN: Yes, thank you.
21	CHAIRPERSON YASZEMSKI: Thank you. Let's
22	move on to Question 4. Within the Charite group, the
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mean range of motion and flexion-extension at the 1 treated level at three, six, 12 and 24 months was 4.9, 2 6.0, 7.0 and 7.4 degrees, respectively. 3 Lateral bending and axial rotation range of motion were not 4 reported in this investigation. Please, comment on 5 the sponsor's claim that the Charite permits "near 6 7 physiological segment movement with up to 15 degrees bending and flexion-extension and a similar degree of 8 9 lateral bending and axial rotation to the natural 10 disc." Dr. Kim?

DR. KIM: I think the sponsor used very good preclinical data to show that the disc does achieve near physiologic motion at the time of implantation, but the results of the clinical study clearly show that the range of motion changes and is variable being as low as 0 and as high as 22 degrees. There is a table that the FDA put together

18 to try to make a correlation between outcome and that 19 range of motion, and there really isn't а 20 statistically significant correlation, although there 21 is a trend toward better results if you have 5 to 9 22 degrees range of motion.

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1 Given that, it's hard to decide whether or 2 not this is significant. In addition, this range of motion question is going to require long-term followup, because one of the advantages is potentially decreasing adjacent segment disease and we may not see that for five to 10 years. So I don't think that this study has the ability to claim that it maintains physiologic motion and that that motion is the key to success.

10 Going onto the second question as to 11 whether or not there is an equal amount of lateral 12 bending and axial rotation, they show that clearly in the preclinical studies, but not in the clinical 13 14 study, because they only looked at flexion-extension. 15 The design is symmetric though and if something is 16 moving 7 degrees in the flexion-extension plane, I have no problem assuming that in the lateral bending 17 18 plane, in the actual rotation, we'll get similar 19 degrees of motion.

20 So I'm not too worried about the comment 21 on the lateral bending and axial rotation, but I think 22 the difficulty lies in the significance of the range

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in motion with clinical outcome.

CHAIRPERSON YASZEMSKI: Thank you, Dr.
Kim. Dr. Naidu?

4 DR. NAIDU: The normal range of motion cited in the literature in the PMA provided at the L5-5 6 S1 is about 9 degrees of flexion, 5 degrees of 7 extension with a fairly large standard deviation of plus or minus 5 degrees, and I certainly think that 8 the sponsor's claim that 4.9 degrees is physiologic in 9 flexion-extension plane is valid, although this 10 11 validity isn't confined to the flexion-extension plane only. Obviously, rotation is questionable, at best, 12 13 at this point. That's it. 14 CHAIRPERSON YASZEMSKI: Thank you, Dr. 15 Naidu. Dr. Kirkpatrick? 16 DR. KIRKPATRICK: No additional comment. 17 CHAIRPERSON YASZEMSKI: Thanks, Dr. Kirkpatrick. Dr. Blumenstein? 18 19 DR. BLUMENSTEIN: Could I ask George Chu 20 a question here? 21 CHAIRPERSON YASZEMSKI: Yes. 22 DR. BLUMENSTEIN: In the, I think you NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	called it, addendum, I didn't understand the two
2	tables that were presented, the difference between the
3	two tables.
4	DR. CHU: Which two, the range of motion,
5	the histogram?
6	DR. BLUMENSTEIN: Yes.
7	DR. CHU: Or the 2 by
8	DR. BLUMENSTEIN: Yes, the 2 by 8 tables.
9	I just want to make sure I understand. I mean, I see
10	that one of them is repeated in the question that
11	we're addressing at this point.
12	DR. CHU: The histogram is based on the
13	available data for the randomized Charite patients.
14	It's about 175.
15	DR. BLUMENSTEIN: Yes.
16	DR. CHU: So from the histogram, it looks
17	like the range of motion at 24 months post-op is
18	equally distributed about 10 percent among the
19	different range. And for the table in the Panel
20	draft, it's a 2 by 8 table, it basically just tries to
21	see the general association between the range of
22	motion and the outcome at 24 months. So the general

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1	association test, the P-value is not significant.
2	DR. BLUMENSTEIN: Yes. But there was a
3	second table in your addendum.
4	DR. CHU: Which table?
5	DR. BLUMENSTEIN: I received an addendum.
6	DR. CHU: Okay. I don't have that one.
7	Can you show me that one? Yes, the second table is,
8	basically, the assessed output for the statistical
9	test.
10	DR. BLUMENSTEIN: May I ask, do we have
11	that table in the FDA? That was part of your
12	presentation, wasn't it, Dr. Chu?
13	DR. CHU: No.
14	DR. BLUMENSTEIN: Do we have that table on
15	a slide, that everybody could see it?
16	DR. CHU: Yes, actually the main point
17	here, just looking at the general association test
18	between this success outcome and the range of motion.
19	DR. BLUMENSTEIN: I mean, the titles of
20	the two tables seemed the same to me and not the
21	second smaller table underneath the big one, but the
22	second page of tables.

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1	DR. CHU: The second table is just
2	collapsed the last four column of the bigger table
3	into just one column.
4	DR. BLUMENSTEIN: Oh, okay. I'm sorry,
5	sorry to cause that. Then I have no comment.
6	CHAIRPERSON YASZEMSKI: Okay. Thank you.
7	Dr. Besser?
8	DR. BESSER: While the ranges reported
9	were slightly less than have been reported in the
10	literature for physiological changes, they are well
11	within the range of normal and I guess only time will
12	tell whether, in fact, implanting a device that gives
13	this extra range of motion prevents adjacent segments
14	from needing fusing and future surgery, etcetera.
15	CHAIRPERSON YASZEMSKI: Okay.
16	DR. BESSER: No other comments.
17	CHAIRPERSON YASZEMSKI: Thanks, Dr.
18	Besser. Ms. Maher?
19	MS. MAHER: No comment.
20	CHAIRPERSON YASZEMSKI: Ms. Luckner?
21	MS. LUCKNER: No comment.
22	CHAIRPERSON YASZEMSKI: Thank you. Dr.
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1	Diaz?
2	DR. DIAZ: No comment.
3	CHAIRPERSON YASZEMSKI: Okay. Dr. Mabrey?
4	DR. MABREY: I have no comment.
5	CHAIRPERSON YASZEMSKI: Dr. Finnegan?
6	DR. FINNEGAN: No comment.
7	CHAIRPERSON YASZEMSKI: Thank you. Dr.
8	Witten, we have talked about the ranges of motion. We
9	generally feel that they are within the range of
10	normal, that the flexion-extension numbers are in the
11	physiologic range and less so for the other modes of
12	motion. The range of motion link to clinical
13	improvement shows a trend, but has not been met. In
14	general, the Panel doesn't have any concerns on this
15	issue.
16	And have we answered and discussed it
17	adequately?
18	DR. WITTEN: Yes.
19	CHAIRPERSON YASZEMSKI: Thank you. Let's
20	move on to number 5. Do clinical data provide
21	reasonable assurance of safety? Dr. Finnegan?
22	DR. FINNEGAN: Well, I would have to say
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that if this device was going to do its main purpose 1 over a short-term, that is a two to three year period, 2 and then would basically be physiologically non-3 functioning, then the data does suggest that this is 4 probably safe. Unfortunately, this device is designed 5 for a much longer period of time and I do not think 6 that there is data present at the present time to say 7 that it is, in fact -- there is reasonable assurance 8 that it is safe for the lifetime that it is predicted 9 10 to be necessary for. 11 CHAIRPERSON YASZEMSKI: Okay. Thank you. I'm going to go around to Dr. Kim, but before I leave, 12 based on that, Dr. Finnegan, I'm going to back to you 13 14 with Question 7 and ask what you think. Dr. Kim? 15 DR. KIM: I would agree with that. Ι think the sponsors have done an excellent job 16 in 17 providing an honest assessment of their device, and it is absolutely clear that in the two year period that 18 19 this device is safe, but, once again, I agree with Dr. 20 Finnegan. This is a complex device. It's the first of its kind and designed to last for a long time, and 21 22 we can't get at that question until we wait.

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214 CHAIRPERSON YASZEMSKI: Thanks, Dr. Kim. 1 2 Dr. Naidu? 3 DR. NAIDU: I totally concur with Dr. 4 Finnegan and Dr. Kim. 5 CHAIRPERSON YASZEMSKI: Thank you, Dr. 6 Naidu. Dr. Kirkpatrick? 7 DR. KIRKPATRICK: I concur and nothing 8 further to add. 9 CHAIRPERSON YASZEMSKI: Thank you, Dr. 10 Kirkpatrick. Dr. Blumenstein? 11 DR. BLUMENSTEIN: I concur. 12 CHAIRPERSON YASZEMSKI: Thank you. Dr. 13 Besser? 14 DR. BESSER: I concur. 15 CHAIRPERSON YASZEMSKI: Thank you. Ms. 16 Maher? 17 MS. MAHER: I would just urge the Panel to 18 remember that we also have to look at least burdensome as we're figuring out how to evaluate the safety and 19 20 effectiveness of this device. 21 CHAIRPERSON YASZEMSKI: Thank you, Ms. 22 Maher. Ms. Luckner? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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MS. LUCKNER: I concur with the earlier statements.

CHAIRPERSON YASZEMSKI: Thank you. As we come around to Dr. Diaz, Mr. Melkerson, if you have a comment, may I go to Dr. Diaz and then --

6 MR. MELKERSON: Actually, just a question. 7 CHAIRPERSON YASZEMSKI: Okay. Go ahead. 8 MR. MELKERSON: This is to the Chair 9 himself. Being that Question 5 and 6 are related to 10 safety and effectiveness, do you want to hear the other public speakers before you answer this question? 11 12 CHAIRPERSON YASZEMSKI: I think that we 13 can probably hear them afterwards and then incorporate 14 their thoughts when we get to voting if that would be 15 okay. Dr. Witten, is that acceptable to you? Thank 16 Thank you, Mr. Melkerson. you. Dr. Diaz?

DR. DIAZ: Nothing additional.

18CHAIRPERSON YASZEMSKI: Thank you. Dr.19Mabrey?

DR. MABREY: Well, as has been pointed out by other Panel Members, I mean, this is a complex device. It's brand new and it's going to be

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1 eventually implanted by a lot more than the original surgeons. So I think we're looking at two levels of safety. One is is it safe to implant, and I think over the first two years you have demonstrated that with your trained surgeons that it is safe to implant. And then the second question is is the device itself safe over a long period of time, and I don't think two years is long enough.

So I do have one question, and I would 9 10 address this to Dr. Blumenthal or Dr. McAfee. At your training centers, the only analogous situation I can 11 come up with is the experience with another company's 12 13 into minimally invasive hip forav surgery and 14 restricting access to that to those who have been 15 trained at the company's facility.

One comment that I have heard from the 16 17 trainers there is that there is a training of the trainers that goes on, and I guess my question is do 18 the clinicians feel that with more experience, that 19 20 your initial training of those people who will be implanting the device, does that become easier and 21 22 have you learned to avoid some of the major problems

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you encountered during the initial phase of this study?

3 DR. MCAFEE: I'll start, because one of 4 the main concerns is neurologic problems, so there has 5 been a great advance in instrumentation and, honestly, 6 the key with any anterior interbody device is to keep 7 it in the midline, so that newer instruments over the last two and half years happen to be called the 8 9 centerline instruments, but they keep the implant in 10 the midline and they prevent the surgeon from going into the lateral recess and causing a neurologic 11 12 problem.

Secondly, if you can put up -- start with maybe slide 493. We did an analysis. We wanted to see what the effect of the training was. The training, you know, we had a perfect opportunity to do that, because we had a cohort of five cases from each group that were training cases, and we could compare how well the training patients did with the rest.

20 Well, the idea is whether there is a 21 surgeon volume effect. Is the data good enough to 22 show a surgeon volume effect, and this is from

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Birkmeyer's New England Journal of Medicine 1 and "JAMA," a lead article in December. And the key was 2 3 there are 10 different operations that all show a 4 surgeon volume effect, coronary artery bypass, grafts, aortic valve replacements, surgeons that did more and had a higher volume who had lower complications, but not a single spine procedure was in this group. Next slide, please.

9 So we looked at actually four areas. 10 First, we looked at the 71 training cases versus the randomized cases. 11 Then the next analysis was we 12 looked at the four highest enrolling sites that all 13 did more than 40 procedures versus the 11 remaining sites that didn't do as many cases. Now, the key is 14 15 that all four groups fulfilled the FDA's success criterion of greater than 25 percent improvement in 16 17 the Oswestry, as well as no neurologic progression, no 18 return to the OR and no major complications. Next 19 slide.

20 But there is a definite volume effect, and 21 if you look at the training cases, which are on the 22 left, the surgery time was larger in the person's

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first five cases versus the enrolled. The length of stay was slightly longer for those patients, and the overall number of complications was higher as well. Next slide.

5 You know, we looked at all the parameters, 6 but I'm just showing the ones that are significant. 7 And then the high enrolling sites versus the low enrolling sites, the surgery time was much less for 8 9 those sites that did more than 40 cases. The length of stay was less and the device failure incidence was 10 11 lower. So in summary, surgeon volume really did have 12 an effect. And then the last slide.

I think the key is to learn from the European experience, really almost memorize the IDE prospective randomized trial data. The key to avoiding complications are to identify a vascular access surgeon, go to company-sponsored courses to learn the specific instrumentation.

But what is even more important is what we haven't talked about and that is the model of the Scoliosis Research Society, which is something like the Spine Arthroplasty Society, what we'll hear from

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the public comment period, is they are going to the 1 forefront of continuous reassessment of results and 2 having a surgeon group take some responsibility for setting the bench mark.

5 CHAIRPERSON YASZEMSKI: Thank you, Dr. 6 Dr. Witten, we have discussed the issue of McAfee. 7 safety and the consensus of the Panel is that over the study period in the short-term, this device is safe, 8 9 and questions remain, of course, over the long period, 10 because the data is not gathered yet and it's a new 11 device without precedent.

Have we discussed this to the satisfaction 12 13 of FDA?

> DR. WITTEN: Yes, thanks.

15 CHAIRPERSON YASZEMSKI: Thanks, Dr. Witten. 16 We're going to move on to number 6. Do 17 clinical provide data reasonable assurance of Dr. Diaz, can you lead off with this 18 effectiveness? 19 one?

20 DR. DIAZ: The question that we're Yes. 21 being asked and I will read a little bit of the 22 definition the FDA wants us adhere to to for

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effectiveness. "A device is considered effective if, when used in a significant portion of a targeted population for the intended use and under the conditions of use, it provides significant results for that population."

6 To answer that question, I would look at 7 the effect, clinical effect, on various aspects of the individual's life. When I operate on a patient, the 8 9 question the patient asks me very frequently is will 10 I get better? Will my pain get better? Will I be 11 able to get back to work? And probably more important, will I be able to get back to play? 12 Work 13 in a back pain patient group is not always what they 14 want to do, but play certainly is what they want to 15 get back to do.

And as we have heard, the back problem, spine progressive degeneration is a dynamic problem. Coming from the Rust Belt in Detroit, when I talk to patients about spine surgery, I tell them that it is like dealing with rust. All patients in Detroit can understand rust. If you get it on the fender and you clean it, you patch it, you fix it, it will show up on

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the door and a few years later, it will show up on the fender. So they can relate to the idea that perhaps this is not the only time that we'll see Dr. Diaz to take care of their problem.

So in regard to the effectiveness, does 5 the device provide pain relief? Yes, it does. 6 Perhaps not as well as it did for the BAK, 7 but 8 significantly equal. Does it restore function? We believe it does, based on the anatomical and on the 9 mechanical presentations given. it allow 10 Does patients to get back to their usual activities? The 11 answer is yes. And to my personal liking, I was very 12 pleased to see that patients could get back to very 13 active function very quickly. Because within the week 14 after surgery, they could be doing a lot of things 15 that I keep my patients from doing when I fuse them. 16

17 If I fuse a patient, I really keep them 18 sedentary for a long time. I don't like that. I like 19 to be able to get people up and moving very quickly 20 and I think this device provides for that opportunity. 21 Do they return to work? Yes, perhaps they do. Maybe 22 not as much as I would like them to see. But I don't

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1	think we will ever be able to get back patients to get
2	back to work as much as we would like them to do that.
3	And does it prevent adjacent level
4	disease? I don't think that this single device will
5	be the answer for preventing adjacent level disease,
6	but I think it delays it, which I think is a very
7	important achievement. So in my mind, I believe for
8	the intended use that the device was proposed in the
9	population as targeted with the possible applications
10	as provided, it does fulfill the requirements of
11	effectiveness under the FDA guidelines.
12	CHAIRPERSON YASZEMSKI: Thank you, Dr.
13	Diaz. Dr. Mabrey?
14	DR. MABREY: I fully concur with Dr. Diaz'
15	comments.
16	CHAIRPERSON YASZEMSKI: Thank you. Dr.
17	Finnegan?
18	DR. FINNEGAN: I agree.
19	CHAIRPERSON YASZEMSKI: Thank you. Dr.
20	Kim?
21	DR. KIM: I agree as well.
22	CHAIRPERSON YASZEMSKI: Thank you. Dr.
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224 Naidu? 1 2 DR. NAIDU: Same here. 3 CHAIRPERSON YASZEMSKI: Thank you. Dr. 4 Kirkpatrick? 5 DR. KIRKPATRICK: Well, we're not going to 6 get a dissertation today. I think there are some 7 concerns about effectiveness, but I think by the FDA's definition, I would agree with Dr. Diaz. 8 9 CHAIRPERSON YASZEMSKI: Thank you. Dr. 10 Blumenstein? DR. BLUMENSTEIN: I believe the device has 11 been shown to be not inferior to the standard control 12 device that was used in the trial. 13 14 CHAIRPERSON YASZEMSKI: Thank you. Dr. 15 Besser? DR. 16 BESSER: Ι agree with almost 17 everything Dr. Diaz said, other than I don't think we have any evidence to support that it will, in fact, 18 19 delay adjacent segment disease. We hope, we'll see, 20 nothing now. 21 CHAIRPERSON YASZEMSKI: Thank you. Ms. 22 Maher? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	MS. MAHER: Well, I would agree with Dr.
2	Diaz. I would also remind the Panel that this product
3	has been on the market since 1987 in Europe and there
4	have been 7,000 cases or implantations. So when you
5	talk about only having a two year follow-up, as we did
6	on the previous question, I want you to remember that
7	there actually is a much longer history outside the
8	U.S. There is two years of good data from within the
9	U.S.
10	CHAIRPERSON YASZEMSKI: Thank you. Ms.
11	Luckner?
12	MS. LUCKNER: I concur with Dr. Diaz.
13	CHAIRPERSON YASZEMSKI: Thanks very much.
14	Dr. Witten, the Panel feels that the device as
15	presented is effective. Have we adequately discussed
16	this?
17	DR. WITTEN: Yes.
18	CHAIRPERSON YASZEMSKI: Thank you. We're
19	going to move on to number 7. Number 7, if you
19 20	
	going to move on to number 7. Number 7, if you
20	going to move on to number 7. Number 7, if you recommend approvability for this PMA, do you recommend

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label and recommend the duration of such a study. 1 Dr. 2 Kirkpatrick, would you lead this one, please? 3 DR. KIRKPATRICK: I think he asked me because I already provided a list of suggestions. 4 Ι would like to see mobility testing data for the 5 6 complete reference, rather than just a two paragraph 7 summary. And I did get a little bit more of it in the presentation today, but I would like to be able to 8 9 review the data, and I think the FDA would like to be 10 able to review that as well. 11 I think a little added study in the biomechanics lab of demonstrating that facet stresses 12 13 or strains or some other element of a facet function 14 is either unchanged or minimally changed after the insertion of the disc. 15 I did not get that out of my read of the PMA and again, as I have repeatedly said, 16 17 if you have that data and I missed it, please, tell me 18 what page to find it on. 19 The third one is I still think that the 20 wear data to million cycles would be 50 more 21 appropriate. I did have concerns whether the curve on

the wear data may have been accelerating over time.

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Your curve on, I think it was, the weight of the specimen versus cycles was drawn as a curve going downward, which means it is accelerating as you get to the end of that 10 million cycles. I would like to know what it does in the next four decades of the 50 million cycle testing.

7 I think we need an acceptable rationale 8 for not testing the response to submicron particles 9 more extensively. Number 5 that is on the list, we 10 can exclude, because Ι clarified that in my 11 presentation and you clarified it in your presentation 12 that the osteointegration studies are not relevant to 13 the device you are presenting, so you can eliminate 14 number five. However, I would like to know what the 15 rationale is behind the long-term fixation of the 16 device. Is it just the pegs or do you expect there to 17 be some bone implant interface adherence?

18 6, I would like a clerical -- clarifying
19 of the neurologic rating scale that you used, so I can
20 understand how these statistics were applied to a
21 qualitative physical exam. 7, I think that was
22 handled by the FDA as far as stratifying the range of

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motion, and it appears that outcome is not significantly different, although there were trends. So 7, I'll leave it up to the Panel whether we think we need to go further with that.

5 8, indication groups, especially the ones that did have known facet changes at the implantation. 6 I would like to know if stratifying those out would 7 8 make a difference in either your BAK group or your 9 charity group. I would suggest again based upon the 10 literature as well as my presentation that the concept 11 is if we're preserving motion, we need to demonstrate 12 that. And if people lose motion, I would like to know 13 if that resulted in a difference in their other 14 measures of effectiveness, such as the VAS, the ODI 15 and that sort of thing.

16 So number 9 would say include those 0 to 17 5 degrees as failures and see if that correlates to 18 clinical failure. And also, if you called them 19 failures, what would happen to your statistics on the 20 study success. 11 you can eliminate based upon the 21 discussion of HO and the presentation you did in 22 answer to one of our questions. I'm sorry, that's

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number 10. Number 11 gets back to the facet issue. Can you do axial imaging at 24 months and look at the comparison between your pre-op and your axial imaging at 24 months and tell us whether there are facet changes.

6 Number 12, adjacent segment degeneration, 7 I think, should be looked at. We obviously have the 8 x-rays stored on computer data and that should be 9 something that could be doable. And then 13 is 10 perhaps the most difficult and that is, I think, the 11 follow-up should be extended to five years to get to some semblance of a number of where we would see 12 13 adjacent segment, so that we can back up the rationale 14 that we are preserving the adjacent segment from the 15 standpoint of the philosophy of the disc replacement. 16 Thank you.

17 CHAIRPERSON YASZEMSKI: Thanks, Dr. 18 Kirkpatrick. May I ask before we move to Dr. 19 Blumenstein, may I ask that among the suggestions you 20 made there are some that seem to be answerable by 21 relooking at the existing preclinical and clinical 22 data and perhaps one or more that may require further

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study data after approval. Would you care to comment 1 2 It would seem to me that number 13 might, of on? course, require more study data clinically, and that 3 maybe number 3 would require in-vitro data, but that 4 5 the others perhaps could be answered by looking at the 6 existing data. Would that be accurate? 7 DR. KIRKPATRICK: I agree that number 3 and 13 definitely would require additional work. 8 Ι 9 think the remaining things, as I recall, are either a discussion of their existing data or an expansion on 10 11 analysis of their existing data. 12 CHAIRPERSON YASZEMSKI: Okay. Thank you. 13 And then when we have the sponsor summary, I'll ask 14 the sponsors to comment on these. Dr. Blumenstein? 15 DR. WITTEN: Can I just mention one thing? 16 CHAIRPERSON YASZEMSKI: Dr. Witten? 17 DR. WITTEN: Yes, I'll just mention one thing which is that when you get to the vote, you'll 18 19 have clarify to for us for each of these 20 recommendations whether or not these are things that 21 you would expect to see pre-approval or post-approval, 22 because if it is new data, for example, then that's

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1	not a condition of approval recommendation. It's a
2	recommendation to put the PMA in approvable form. So
3	I just want a clarification of when you think we need
4	this information.
5	CHAIRPERSON YASZEMSKI: Thank you. Dr.
6	Blumenstein?
7	DR. BLUMENSTEIN: My main concern is the
8	long-term follow-up and I think that has been
9	addressed.
10	CHAIRPERSON YASZEMSKI: Thank you. Dr.
11	Besser?
12	DR. BESSER: I concur.
13	CHAIRPERSON YASZEMSKI: Thank you. Ms.
14	Maher?
15	MS. MAHER: I'm going to sound a little
16	bit like a broken record like I always do and again
17	remind everybody that we do have data back to 1987.
18	We do have a significant patient population outside
19	the United States, and so maybe a post-approval study
20	following the other patients in the study now for
21	longer would be appropriate, but some of the rest of
22	the data may not be necessary.
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2	CHAIRPERSON YASZEMSKI: Thank you, Ms.
	Maher. Ms. Luckner?
3	MS. LUCKNER: Nothing else to add.
4	CHAIRPERSON YASZEMSKI: Thank you. Dr.
5	Diaz?
6	DR. DIAZ: I would like to agree with Ms.
7	Maher, because I think there is significant clinical
8	data available in the world literature that indicates
9	the longevity and the effectiveness of this device in
10	the treatment of discogenic disease. The available
11	literature does not answer all the questions that Dr.
12	Kirkpatrick mentioned, but those could be answered on
13	an ongoing type analysis, rather than trying to
14	redesign a new study. I believe that there is
15	sufficient information already available to answer
16	many of these things. And going back to square one,
17	I don't think is necessary.
18	CHAIRPERSON YASZEMSKI: Thank you, Dr.
19	Diaz. Dr. Mabrey?
20	DR. MABREY: Yes, I concur with the plan
21	to go ahead with looking at those individuals that are
22	currently under study and also perhaps extend some of
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1 these investigations to those patients who are 2 available in Europe. And particularly, I'm 3 looking interesting in at the possibility of 4 osteolysis at four and five years out. I think you 5 have the potential to continue to look at radiographic 6 data on the original 200 patients here and it's no 7 additional great buren and it would be nice to see data from European studies indicating that there is no 8 9 osteolysis. 10 CHAIRPERSON YASZEMSKI: Thank you. 11 MS. MAHER: Can I ask for a clarification 12 for that? Were you talking about a post-approval type 13 of look at it for that data? 14 DR. MABREY: Okay. This is my first Panel 15 meeting and so we've been talking about post-approval. CHAIRPERSON 16 YASZEMSKI: And may Ι 17 interrupt? 18 DR. MABREY: And PMAs and PDPs. 19 CHAIRPERSON YASZEMSKI: May I interrupt, 20 Dr. Mabrey? What I'll suggest is all of these things 21 that we recommend to FDA, we will need to recommend 22 whether they are things that need to be done before

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the approval, and thus defer the approval, vote that this is non-approvable or whether we would agree that this is an approvable application, and in addition as terms of the approval, we would like them to do further work and follow the patients. DR. MABREY: Okay. CHAIRPERSON YASZEMSKI: And we will just have to make that distinction when we come to voting. DR. MABREY: Okay. CHAIRPERSON YASZEMSKI: And thank you, Ms. Maher, for bringing that clarification up. DR. MABREY: Okay. CHAIRPERSON YASZEMSKI: Dr. Finnegan? DR. FINNEGAN: Now, you've changed my train of thought. I was getting all my thoughts together. One of the problems with looking over the data here is that unfortunately of the 205 or so patients that had the Charite implants, a number of them have actually not reached two years yet. And I think that we have already all pretty much agreed that

21 two years is probably not a safe length of time to 22 follow these patients. So I do think that there needs

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to be a long-term follow-up. I definitely agree with Dr. Kirkpatrick on that.

And I know that we are looking at the end plate with the spikes. If there is a change to a coated end plate, then that's obviously going to drastically change the biomechanics on the polyethylene and understanding that the company feels the polyethylene is cross-linked to some degree, it is obviously a random cross-linking. So I think all of those are things that need to be considered.

And as well, I do think that the company needs to be at least -- or the sponsor needs to be at least familiar with neurological response to chronic inflammation and be comfortable that that is not going to be a long-term problem. And I certainly agree with Dr. Kirkpatrick on adjacent segments. I think that some kind of study needs to be done on that.

18 CHAIRPERSON YASZEMSKI: Thank you, Dr.
19 Finnegan. Dr. Kim?

DR. KIM: I think if we only looked at the U.S. clinical trial data, I would be nervous with just two year results. But I agree with Ms. Maher that we

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1 have the -- we are fortunate that we have a pretty 2 extensive European experience of 7,000 patients. Τ 3 think with the excellent U.S. clinical data of two 4 years combined with the European data, it's a very 5 promising device. And based on that, I feel like this device is safe and effective. But there are a lot of 6 7 questions that remain and I think looking at the 8 existing patients over the long-term, maybe over five 9 or even 10 years, is a reasonable condition. 10 Thank you, Dr. CHAIRPERSON YASZEMSKI: 11 Kim. Dr. Naidu? You know, I would like to 12 DR. NAIDU: listen to the second open public hearings prior to 13 14 commenting on this. 15 CHAIRPERSON YASZEMSKI: Thank you, Dr. 16 Naidu. Dr. Blumenstein? 17 DR. BLUMENSTEIN: I just get so enamored 18 of the case series data that are likely to come out of 19 Europe, other places like that. There's nothing more 20 valuable than the data that have been invested into 21 this clinical trial in a comparative way and the 22 potential for that data to come out with an unbiased

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comparison of the results as opposed the to uncontrolled convenient samples that are often published in the literature.

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4 CHAIRPERSON YASZEMSKI: Thanks, Dr. 5 Blumenstein. Dr. Witten, as you've heard, we've had 6 a more extensive discussion on this question. And I 7 would like to review it and then to say that when we 8 get around as a Panel to making a recommendation to vote on, we'll consider which of these suggestions might be conditions of approval and which we would want to be done after the approval vote.

12 We've talked about adding mobility testing 13 data and in-vitro study of the facets, wear data to 50 14 million cycles, test response to submicron particles, 15 to consider using data from the existing European 16 studies and we have heard pros and cons about that 17 from several Members of the Panel, osteolysis at four 18 to five years. Many of the Panel Members thought that 19 going out about five years for several of these end 20 points would be appropriate. Dr. Finnegan mentioned the effects of inflammation on the neurologic tissues 21 22 by chronic inflammation and Dr. Kirkpatrick about

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1 || adjacent segment.

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2 Have we discussed this to your 3 satisfaction?

DR. WITTEN: Yes, thank you.

5 CHAIRPERSON YASZEMSKI: Thanks, Dr. 6 Witten. That's going to conclude the discussion on 7 the specific questions that the FDA has posed of us. We are going to move now to the second open public 8 9 hearing. There are three people who wish to present 10 to the Panel, at this point. These are Dr. 11 Hochschuler, Dr. Van Ooij and Ms. Adams. Dr. 12 Hochschuler will be first with a time of five minutes.

13 DR. HOCHSCHULER: I am Steve Hochschuler. 14 I am a spine surgeon. I am Chairman of the Texas Back Institute, and today I am representing the Spine 15 16 Arthroplasty Society in my presentation. First, I want to thank you for allowing me to come to the 17 18 podium today. Secondly, despite having been a spine 19 surgeon for about 28 years now, this is the first time 20 I have been at an FDA Panel meeting. And I have to 21 say as a citizen, I'm very impressed.

I have my own bias as to whether this

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should be approved or not approved, but I must say it's almost like a TV show. I'm not sure how you are going to vote an I'm really intrigued. I think it's wonderful process а and Ι don't want to be supercilious, but I would like to compliment you and thank you.

7 Having said that, I feel as a surgeon that 8 it is our primary responsibility to care for the 9 patient. And with that, I have been charged along 10 with the rest of the Spine Arthroplasty Society to put 11 together a position statement on some of the items you 12 discussed earlier in terms of safety, how do we protect our patients, how do we get better outcomes. 13 14 With this in mind, despite the fact I usually don't like to read directly, I would like to read this 15 statement to you, since I've got limited time, and 16 17 then go from there.

18 "Spinal Arthroplasty Society Educational 19 Objectives." "The board of directors of the Spinal 20 Arthroplasty Society has decided to take a unique step 21 in establishing education and training goals for spine 22 surgeons interested in new arthroplasty technologies.

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The ultimate goals of this effort are to improve clinical outcomes and reduce technical complications in patients undergoing surgical treatment utilizing these new technologies by providing a strong educational core of knowledge for surgeons.

6 Traditionally, rigorous patient selection 7 criteria have been required for inclusion in FDA 8 trials. Additionally, investigators are specifically 9 selected by the companies who design the studies based on their reputations and experience. 10 However, when 11 devices are approved for marketing to surgeons in the 12 community, there has been no formal standardization 13 for training these physicians in your use.

14 Training historically has run the gamut 15 from product introduction а by company а representative sitting across the surgeon's desk to a 16 17 brief course with a lecture in the morning followed by 18 a crowded hands-on training using saw bones models to 19 a comprehensive training program incorporating surgeon education for diagnostic workup, patient selection 20 21 criteria, management of complications and ample time in a cadaver lab developing familiarity with the 22

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instrumentation and surgical exposure.

Ideally, comprehensive formal training 2 should be followed by proctorship at the training 3 4 surgeon's hospital for its first case or cases by a 5 teaching surgeon with a high level of expertise. This б would serve to close the loop of the surgical 7 proctoring process. Obviously, this level of training 8 is expensive and time consuming, but it offers 9 significant long-term advantages for patients, 10 surgeons, industry and hospitals.

11 For patients, technical complications may 12 be reduced and outcomes improved. For surgeons, their patients' clinical results may be more gratifying and 13 14 litigation avoided. It is important for industry so 15 that their devices can produce the best results 16 possible. A product may be unjustly criticized for 17 high complications and poor outcomes if surgeons have 18 poor technical skills or employ too broad patient 19 selection criteria.

Hospitals also have a vested interest in the training of surgeons. The hospital's mission like that of the surgeon is to ensure the maximum benefit

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1 to the patient. While the technology of spinal 2 surgery is steadily advancing, clinical safety and outcomes cannot be expected to improve unless the 3 appropriate patient selection and optimal surgical 4 techniques are taught. SAS is prepared to take a pro-5 6 active role in addressing surgeon education. Α 7 program of organized processes for training surgeons on new devices will incorporate didactic lectures, 8 9 hands-on training and proctorships.

10 The role of the Society will be to develop 11 quidelines for content of educational programs, 12 identify training centers with adequate facilities and staffing for consistent quality training and organize 13 14 access to specialists with experience with the specific devices to provide proctorships. 15 Due to 16 liability issues, certification can verify that the 17 surgeon has completed training, but not that he or she 18 has adequate skills. A document will be issued only 19 to verify course attendance and subsequent 20 proctorship.

The fact that training is provided through a Society and performed in an organized, standardized

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format across the country and hopefully the world 1 should enhance the overall quality of care for our 2 3 patients. All parties concerned recognize the importance of having surgeons properly trained when 4 5 introducing new technologies. With the rapid 6 developments in spinal implants, SAS has an 7 unprecedented and unique opportunity to play an important role in improving patient care, optimizing 8 the application of new technologies and furthering the 9 10 development of new implants by increasing the safety 11 of new product introduction and adoption of these 12 standardized training programs. Thank you. 13 CHAIRPERSON YASZEMSKI: Thank you very 14 much, Dr. Hochschuler. Dr. Van Ooij? Dr. Van Ooij is 15 scheduled for 10 minutes.

16 DR. VAN OOIJ: Thank you, Mr. Chairman. 17 I am very honored to be here and to speak to you. Ι 18 am an orthopedic surgeon, spine surgeon in Maastricht, 19 the Netherlands for 24 years and I'm a member of the 20 SRS, the Scoliosis Research Society and the European 21 Spine Society. I will talk about the other side of 22 the disc Charite prosthesis, talk about so Ι

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complications that I see in a cohort of about 500 patients that were operated in a neighboring hospital, and another 500 has been operated by countrymen of me in Munich in Germany. So this is a cohort of about 50 patients. They are already a little bit more, but 49 were evaluated.

7 So if we could have the next slide? Where do I have to press? All right. Oh, yes. So in eight 8 9 years, I saw 49 patients, 28 women, 28 men, and with a young age, of course, because that is in the 10 11 indication and there were operations performed as 12 early as in 1989. 20 in the period of the first five 13 Then 24 in the second five years. years. And seven 14 in the last four or five years. The next one. So most patients were operated in one level, of course, 15 16 let me see, some in two levels. Two levels in 10 17 patients and three levels in two patients. There were a lot of previous operations done, but most had no 18 19 operations before this.

20 Next one, please. So there were early 21 complications, subluxation of a prosthesis and removal 22 after a few days, some hematoma. In men, there is a

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risk of retrograde ejaculation and erectile If you ask the male people, dysfunction. they sometimes dysfunction without retrograde have a There is one patient that had a urethra ejaculation. lesion with a large urinoma.

6 Next slide. This is the patient with a 7 stint in place and here a large urinoma from a urethra 8 lesion. This was punctured several times and there 9 was pseudomonas involved and probably she has a low 10 grade infect now in one of these prostheses, so this 11 is really a problem. She is in a bed. All these patients have really terrible leg and back pain. 12 They 13 have VAS scores about 8 to 9 mostly.

14 Next patient -- sorry, next slide, please. 15 The leg complications are migration. These mainly are prosthesis uncoated, so there were anterior migration, 16 17 posterior migration, even the main cause of complaints 18 after a year or a lot of them remainder complaints is 19 disc degeneration at the other levels. In 13 patients 20 it was -- this was not obvious before the operation on 21 plain x-rays and discography, but there were the other 22 ones had more or less degenerated disc, but without

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pain on discography. Facet joint degeneration is a big problem, I think. In the late situation, we saw it 16 times.

Next, please. This is the patient with anterior migration. You can see that in 10 years this is 1991 and this is 2001, this was sliding anteriorly and pressed on the big vessels and we had to remove it and luckily we were successful in doing it without lesions of the vessels, but this has been reported and undoubtedly many times if you hear the conferences and there was a fusion done, and this is the only one of the re-operations that the patient is satisfied.

13 Next, please. There is a big issue, I think, in facet joints arthrosis because it's probably 14 the biomechanical behavior of the prosthesis. 15 I'm 16 very worried about axial rotation that is increased in the prosthesis compared to the normal disc, so you get 17 18 a big load, I think, on the facet joints. Probably 19 also when it is more anterior located, you must put 20 the prosthesis really posterior to get some kind of motion and these are the facet joints that are really 21 22 very hypertrophic and are triadic.

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1	Next, please. Subsidence is a big issue
2	in this series. In 17 of these patients the
3	prosthesis was obviously too small. There was some
4	subluxation of the core. One big issue that was not
5	spoken about today is breakage of the metal wire. If
6	you look good at the x-rays, you can see the breakage
7	and the flattening of the polyethylene core and
8	probably also some wear debris. Hyperlordosis should
9	be an issue if you distract the segments, you get
10	easily in hyperlordosis and asymmetrical loading of
11	your facet joints. And I think that the patient that
12	Mr. McAfee demonstrated had, in my mind, really aware
13	of the prosthesis.
14	Next case. So this is the patient, a
15	patient with a subsidence that is seen many times and
16	it can go all the way posteriorly or anteriorly or
17	sideways and this was fused, but the patient keeps on
18	complaining. Probably, I think, that the posterior
19	stabilization and fusion is not the answer, because
20	most people keep complaining because of micro motion

in the prosthesis, despite the posterior fusion.

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Next case. This is a patient that really

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bothers me with degenerative scoliosis developing 1 2 after seven years. Some patients have multiple 3 degeneration above the discs in the Charite and they get degenerative scoliosis above or including the 4 5 prosthesis. I think mainly from axial rotation problems or because of the forces that go through the 6 7 spine that are blocked by the prosthesis. You can say 8 that these are stones in the shoe. If you have a 9 stone in the shoe, you get pain in all your leg and I 10 doubt that this will mimic the natural motion so 11 intimately that you prevent really motion degeneration. 12

13 Next. This is a case with a broken ring 1'4and a flattening of the core at the posterior side, 15 but this is hard to see on this slide, but you should 16 observe that and look very carefully at it. Next 17 slide. And this is the patient with the wear, which 18 has already been shown by Mr. McAfee, of one of my 19 patients with holes in the bone and scoliosis and the 20 flattening of the core. That is indicative of wear, 21 I think.

Next, please. We did 21 or 21 additional

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operations were done. We did 11 of them. 10 were already performed before. Most had posterior fusions, but most patients without much benefit, so I really would stress that it is not a good solution for the problem. Probably this prosthesis will be a pain source afterwards.

7 Next, please. Many patients in this series, I think, had back surgery, back placement and 8 9 back sizing, but also in the boot placement and boot 10 sizing that were problems and it seems from this very 11 experienced surgeon that it's not really -- that it is really difficult to do good surgery like this disc 12 prosthesis placement. And I think that it is not 13 14 behaving as a normal disc. The center of rotation has 15 been talked about. If you really put it posteriorly, it could be well, but then you have the risk of going 16 17 over the edge and getting a rim fracture. Two 18 patients in my series have a posterior placement. 19 Nobody talks about shock absorption, but Lueck has 20 shown that there is no shock absorption and that the 21 normal forces that go down the disc are not going like 22 normally when you have a disc prosthesis in.

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1 Next, please. And the rotation, I already 2 talked about. So a lot of problems will be seen, I 3 think. Also, in the United States if you wait long enough, two years, in my mind, is far too short to see 4 5 those problems. Wear will be a big issue in the future. I'm convinced of that, because the forces on 6 7 the lower spine are very, very high. Revision is 8 dangerous and sometimes impossible and I go to series 9 from surgeons and they say that they couldn't reach 10 the prosthesis, because the vessels were too adherent 11 and the claim of preventing adjacent disc degeneration 12 is not substantiated.

13 Next, please. So that was the end. Ι 14 want to report an investigation that was presented 15 yesterday in Porto, where I was yesterday, and it was 16 from the Charite group. They sell from East Berlin of 17 Berlin now where it was originated. They did a 17 18 year follow-up of 53 patients out of a group of 71 patients. And 60 percent of the segments were fused, 19 20 really fused, didn't move anything and didn't move at 21 all and most had already bone in it and were really 22 fused. But they were the better one and the patients

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that still fused still had some motion in it were the 1 bed one, and I think you should look also to that 2 3 That is the most, the longest experience to series. 4 date, 17 years, and the conclusion of Mr. Brooks here was that there was no indication for a disc prosthesis 5 6 in the disc disease. Thank you to showing it to you. 7 CHAIRPERSON YASZEMSKI: Thanks, Dr. Van 8 May I ask before you leave, we have asked all Ooij. 9 the speakers to state for the transcriptionist for our record, the Conflict of Interest statement, the three 10 11 questions and, please, your industry relations, any 12 financial aspects that you might have and the source 13 of funding for your trip here. 14 DR. VAN OOIJ: Yes, thank you. I forgot 15 to name that. Ι have personal no financial 16 relationships with any industry. Medtronic Company 17 brought me here, provided for the travel. And 18 further, I have no relationship whatsoever. 19 CHAIRPERSON YASZEMSKI: All right. Thank 20 you very much, sir. The third speaker will be Ms. Pam 21 Adams from OSMA. And, Ms. Adams, you are scheduled 22 for five minutes.

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1	MS. ADAMS: Good afternoon. My name is
2	Pamela Adams and I speak here today representing the
3	Orthopedic Surgical Manufacturers Association or OSMA.
4	OSMA, a trade association, with over 30 member
5	companies welcomes this opportunity to provide general
6	comments at today's Panel meeting. OSMA's comments
7	should not be taken as an endorsement of the product
8	being discussed today. We ask instead that our
9	comments be considered during today's Panel
10	deliberations. These comments represent the careful
11	compilation of our member companies' views.
12	I would like, first, to provide a brief
13	introduction and background. OSMA was formed over 45
14	years ago and has worked cooperatively with FDA and
15	the American Academy of Orthopedic Surgeons, the
16	American Society of Testing and Materials and other
17	professional medical societies and standards
18	development bodies. This collaboration has helped to
19	ensure that orthopedic medical products are safe, of
20	uniform high quality and supplied in quantities
21	sufficient to meet national needs.
22	OSMA membership currently includes

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companies who produce over 85 percent of all orthopedic implants intended for clinical use in the United States. OSMA has a strong and vested interest in ensuring the ongoing availability of safe and effective medical devices. The deliberations of the Panel today and the Panel's recommendations to the FDA will have a direct bearing on the availability of new products.

9 We make these comments to remind the Panel of the regulatory burden that must be met today. 10 We 11 urge the Panel to focus its deliberations on the 12 product safety and effectiveness based on the data 13 provided. As regards reasonable assurance of safety 14 and effectiveness, the FDA is responsible for 15 protecting the American public from drugs, devices, food and cosmetics that are either adulterated or are 16 17 unsafe or ineffective. However, FDA has another role 18 to foster innovation.

The Orthopedic Devices Branch is fortunate to have available a staff of qualified reviewers, including a Board certified orthopedic surgeon to evaluate the types of applications brought before this

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The role of this Panel is also very important Panel. to the analysis of the data in the manufacturer's application and to determine the availability of new and innovative products in the U.S. marketplace.

5 Those of you on the Panel have been 6 selected based on your expertise and training. You 7 also bring the view of practicing clinicians who treat patients with commercially available products. OSMA is aware that you have received training from FDA on the law and the regulation and I do not intend to repeat that information today. We do, however, want to emphasize two points that may have a bearing on today's deliberations.

14 Firstly, reasonable assurance of safety 15 and effectiveness and secondly valid scientific 16 evidence. As regards the first point, there is a 17 reasonable assurance that a device is safe when it can 18 be determined that the probable benefits outweigh the 19 probable risks. Some important caveats associated 20 with this over simplified statement include valid 21 scientific evidence and proper labeling and that 22 safety data may be generated in the lab, in animals or

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in humans.

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2 There is a reasonable assurance that a 3 device is effective when it provides a clinically 4 significant result. Again, labeling and valid scientific evidence play important roles in this 5 6 determination. The regulation and the law clearly state that the standard to be met is a reasonable 7 8 assurance of safety and effectiveness. Reasonable is 9 defined as moderate, fair and inexpensive.

10 As regards the second point, valid scientific evidence, the regulation states that well-11 controlled investigations shall be the principal means 12 13 generate the data used in the effectiveness to determination. The following principles are cited in 14 the regulation as being recognized by the scientific 15 16 community essentials in well-controlled as а 17 investigation, a study protocol, a method of selecting 18 subjects, a method of observation and recording 19 results and comparison of results with a control.

In conclusion, the Panel has an important job today. You must listen to the data presented by the sponsor, evaluate the FDA presentations and make

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a recommendation about the approvability of the sponsor's application. We speak for many applicants when we ask for your careful consideration. Please, keep in mind that the standard is a reasonable assurance balancing the benefits with the risks. The regulatory standard is not proof beyond a shadow of a doubt.

8 When considering making recommendations 9 for further studies, remember that FDA takes these 10 recommendations seriously, often as a consensus of the 11 Panel of a whole and such recommendations may delay the introduction of a useful product or result in 12 13 burdensome and expensive additional data collection. 14 Therefore, you play an important role in reducing the 15 burden of bringing new products, products that you and 16 your colleagues use in treating patients to the 17 market.

Please, be thoughtful in weighing the evidence. Remember that the standard is a reasonable assurance of safety and effectiveness and that there is a legally broad range of valid scientific evidence to support that determination. On behalf of OSMA, I

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would like to thank the FDA and the Panel for the opportunity to speak today. Our association trusts that its comments are taken in the spirit offered, which is to help the FDA decide whether to make a new product available for use in the U.S. marketplace. Thank you.

CHAIRPERSON YASZEMSKI: Thanks very much,
Ms. Adams. We're going to break now and then proceed
with the summation from both the FDA and the sponsors.
It's about 3:51. Let's come back and start at 10
minutes past 4, 4:10.

12 (Whereupon, at 3:52 p.m. a recess until 13 4:14 p.m.)

14 CHAIRPERSON YASZEMSKI: Can Ι ask everybody to take your seats, please? We're going to 15 16 We're going to ask, at this time, for get started. 17 the FDA and sponsor summations and then we're going to 18 proceed to the voting. And I will first ask FDA. Dr. 19 Witten, would FDA like to add anything, and I would specifically like to ask you to comment on the rules 20 21 regarding conditions and their effect on the vote? 22 DR. WITTEN: I'm sorry. You're asking me

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to clarify the rules on post-approval commissions and the vote?

CHAIRPERSON YASZEMSKI: Yes.

DR. WITTEN: Okay. Thank you for 4 Yes. I will just amplify what I said before the 5 asking me. б break, which is that if there is a condition that is 7 asking for new data or a new analysis, if the request is for that new data or new analysis to be provided to 8 9 us after approval to answer some focused, specific 10 question or a series of questions then that, you know, would be what we would consider a condition of 11 12 approval.

13 If what you are requesting or what the 14 Panel recommends is a condition where you're asking 15 for new data or a new analysis, that you want it 16 provided to us for our review prior to approval, then 17 what that is to us in terms of the vote and the 18 recommendation is a non-approvable recommendation, and 19 what you're providing us with are the recommendations 20 of how to put the application in approvable form. 21 So that's why I had said prior to the

break when Dr. Kirkpatrick was going through his list

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that we need to understand whether, for each of these that you may agree on, the Panel is recommending that we have the data in hand to review prior to approval, which would mean you're really making a non-approvable recommendation with a recommendation of how to put it in approvable form versus telling us you would like us to look at a specific, focused question and get some data around those questions after approval, which would be a post-approval condition.

And let me just clarify one additional thing, in case the question should come up, which is, you know, under what would we take such an application back to Panel and it would be our option of whether or not we felt that we had, you know, additional issues we wanted to ask the Panel about.

16 CHAIRPERSON YASZEMSKI: Okay. Thank you, I would like to ask if the sponsor has 17 Dr. Witten. any summation comments to make, Mr. Christianson? 18 19 MR. CHRISTIANSON: Thank Dr. you, 20 Yaszemski. Jack, could I have the first slide, We heard some discussion today that several 21 please? 22 of the Panel Members expressed concerns that we don't

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know what the long-term safety profile of the Charite Artificial Disc is, and I would just like to remind the Panel that we did conduct a 24 month randomized prospective study per the FDA guidance document on spinal devices, and that has been used for all previous spinal devices and, indeed, all previous orthopedic devices have been approved based on a two year follow-up study.

9 In addition, several people did remind the 10 Panel during the course of the discussion that there 11 are, indeed, long-term follow-up data from Europe. 12 There is a very good case series from Dr. LeMaire and 13 a six year case series from Dr. David, and case series 14 do meet the FDA definition of valid scientific evidence, so the Panel can, indeed, take those series 15 16 into consideration that are available in the 17 literature.

And we also heard some discussion about a post-approval study. Indeed, that's the place that's appropriate and accepted to develop the longer term data in a post-approval study after the device has been approved and the company certainly is amenable to

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conducting a five year follow-up study as Dr. Kirkpatrick recommended in his document. Next slide, please.

Sorry, Dr. Kirkpatrick, we didn't know what to title this slide when we put it together, but reviewing your list of recommendations that you passed around, we agree that most of the recommendations on your list are reasonable and we will certainly discuss them with FDA and put the answers together that we can with our existing data.

11 However, Ι must comment on your recommendation for 50 million cycle testing. 12 The company believes that that is an excessive requirement 13 14 for testing. For example, for metal on a polyethylene 15 device, that will take at least 15 weeks to conduct, 16 probably longer, and that would potentially delay the 17 approval of the device for a significant period of 18 time.

The testing that we have submitted, the 10 million cycle testing that is already in our PMA, does represent 80 years of significant bends while listing a 20 kilogram weight, so we do think that we provided

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adequate long-term mechanical test data. And so if that's an issue that we'll need to negotiate with FDA, I wanted to get that statement on the record. Last slide, please, Jack.

5 And I'll close with the same statements that I made when we closed our Panel presentation. б 7 We're presenting a device to you that's got a long 8 clinical history of use in Europe, fully 9 biomechanically characterized, robust, valid, scientific evidence that the device is safe and 10 11 effective and we, again, ask the Panel to recommend 12 that this device be approved for use in patients in 13 the U.S.

14 CHAIRPERSON YASZEMSKI: Thank you, Mr.
15 Christianson. Ms. Scudiero will now read the three
16 possible Panel recommendation options for pre-market
17 approval applications. Ms. Scudiero?

MS. SCUDIERO: These were in the meeting handouts. They are entitled "Panel Recommendation Options for Pre-Market Approval Applications." The medical device amendments to the Federal Food, Drug and Cosmetic Act, as amended by the State Medical

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Devices Act of 1999, allows the Food and Drug Administration to obtain a recommendation from an expert Advisory Panel on designated medical device pre-market approval applications that are filed with the Agency.

The PMA must stand on its own merits and 6 7 your recommendation must be supported by the safety 8 and effectiveness data in the application or by 9 applicable publicly available information. Safety is 10 defined in the Act as "Reasonable assurance based on 11 valid, scientific evidence that the probable benefits of health under the conditions on intended use 12 13 outweigh any probable risk." Effectiveness is defined 14 as "A reasonable assurance that in a significant portion of the population, the use of the device for 15 16 its intended uses and conditions of use, when labeled, will provide clinically significant results." 17

Your recommendation options for the vote are as follows: (1) Approval, if there are no conditions attached. (2) Approvable with conditions. The Panel may recommend that the PMA be found approvable subject to specified conditions, such as

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physician or patient education, labeling changes or 1 further analysis of existing data. Prior to voting, 2 3 all the conditions should be discussed by the Panel. 4 (3) Non-approvable. The Panel may recommend that the 5 PMA is not approvable if the data do not provide a 6 reasonable assurance that the device is safe or if a 7 reasonable assurance has not been given that the device is effective under the conditions of 8 use prescribed, recommended or suggested in the proposed 9 10 labeling.

Following the voting, the Chair will ask each Panel Member to present a brief statement outlining the reasons for their vote, and this became effective June 14, 1999.

15 CHAIRPERSON YASZEMSKI: Thanks. Ms. 16 Scudiero. I would like to make a few comments before 17 we ask for a motion. First, with respect to voting, 18 the eight Panel Members will vote. Our consumer 19 representative, our patient representative, that is, 20 and our industry representative will not vote. I will 21 only vote in the event of a tie.

Regarding the motion, the sequence that

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can happen is we have a motion, a second for the motion, discussion and a vote. If that sequence occurs and the vote is for the motion, then we're finished. If the vote is either against the motion or if there is not a second for the motion, then we'll ask for another motion.

With that in mind, the lead reviewer for this was Dr. Kirkpatrick and I'm going to ask him to make a motion. Dr. Kirkpatrick?

DR. KIRKPATRICK: To borrow from Dr. Hochschuler, I felt a little bit like Simon at the beginning of my discussion and I hope that we can understand each other as far as where we're coming from.

15 A recent editorial in the NAS Journal indicated that I am part of an increasing or a 16 17 decreasing majority of spine surgeons. The editorial was discussing the fact that there is a number of 18 19 spine surgeons who will do things on patients that 20 they would never consider for themselves. This reminds me of what the FDA's purpose is and that is, 21 22 first, protecting the public. As such, some of my

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comments and my motion will be directed towards that. 1 2 The second rationale I have for my motion 3 is being a bone setter from Alabama means I have 4 adopted certain habits and customs. One of those 5 customs happens to be watching NASCAR. As many of you 6 know, NASCAR is a race around a track that generally 7 runs between 250 and 500 miles or sometimes, on one 8 occasion a year, 600 miles in length. The design of the tires is specific for the track and the type of 9 10 racing done and is not expected to exceed the length 11 of time that the gas tank is full. In other words, 12 when you run out of gas, your tires are going to need 13 to be replaced.

14 I think we need to think of the same design rationale as far as a disc replacement. 15 We 16 need to make sure that we are assured of both the 17 safety and effectiveness for the intended length of 18 use. Now, I know that's an onerous thing if we're 19 talking 50 years, and I don't propose that at all. 20 However, I do think that a two year follow-up, in all 21 due respect to Mr. Christianson and his colleagues as 22 far as discussion of precedent, this is an

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unprecedented device and I don't think two years is 1 2 adequate. 3 As such, I would recommend or, excuse me, I move that we call this PMA not approvable and that 4 5 would be my motion. б CHAIRPERSON YASZEMSKI: Thank you, Dr. 7 Kirkpatrick. Do we have a second for that motion? 8 Dr. Finnegan? 9 DR. FINNEGAN: Yes. 10 CHAIRPERSON YASZEMSKI: We have a second. 11 **Discussion?** 12 MS. MAHER: Well, can I lead off the 13 discussion? 14 CHAIRPERSON YASZEMSKI: Ms. Maher? 15 MS. MAHER: As a non-voting member, I can lead this off pretty well. I have to take exception, 16 17 Dr. Kirkpatrick, to what you're saying, because spinal 18 cages were approved initially with two years follow-up 19 and they also, at the time they came on board, were a 20 first of their kind. And if I actually recall correctly, they even had much less animal data and 21 22 other data than we have on this product, which, again,

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as Mr. Christianson put up on the slide, we do have data since 1987 showing that it has been used safely and effectively in Europe for many years.

4 So I have some deep concerns that if you 5 tell a company they can't launch something for five 6 years after they have started developing it, we're 7 going to put a stop to new product innovation in the medical device or the orthopedic world. 8 And I'm 9 wondering why you feel that that's more appropriate 10 than having a post-market approval study, a postapproval study where you can follow the devices and 11 12 look at what's happening.

13 You have got a cohort of patients that 14 already has two years. You can have three more years and you will have the five year data, in which case 15 16 you'll have the other patients. It will be available 17 It will be being sold and being used, but for sale. 18 I think they have provided adequate evidence that it 19 would be safe and effective, so I have to disagree 20 with you.

21 CHAIRPERSON YASZEMSKI: Thank you, Ms. 22 Maher. Dr. Diaz?

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1 DR. DIAZ: I also would like to disagree with Dr. Kirkpatrick's statement, because I think we 2 are making a statement that flies against a very large 3 4 body of evidence. There has been 17 years of use of 5 these devices throughout the world. We are the only country in the industrialized world that does not 6 7 approve its use yet. 8 To expect to compare a mechanical device 9 like a tire that is running on a NASCAR track to the 10 function of the human body is counter-intuitive. If 11 the good Lord had designed our gas tank to allow our 12 functioning parts to last the exact same time, we would die in perfect physical condition and that is 13

14 not a reality. We run out of gas at about the same 15 time when all our parts have fallen apart.

So I think that the motion is not what I would endorse. I disagree entirely that there is not sufficient evidence to indicate its use. It can be done, I believe, with some continuing monitoring and perhaps longitudinal studies to answer some of the questions, but I believe the experience in France and Germany have already shown the various things that

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will happen.

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2	And if we were to say that ultimately, all
3	the device does is delay the occurrence of a natural
4	fusion, as was presented already in a relatively small
5	comment made at the end of the presentation of Dr.
6	Ooij, I believe is his name, from the Netherlands,
7	Ooij, Dr. Ooij. Even if we gain 10 years of extension
8	on the function of a disc, I think we have provided a
9	sufficient opportunity for the individual not to have
10	a fusion that perhaps would occur spontaneously or
11	that may be induced by the introduction of mechanical
12	devices, which we have already approved. So I think
13	that the decision not to allow it is incorrect.
14	CHAIRPERSON YASZEMSKI: Thank you, Dr.
15	Diaz. Dr. Mabrey?
16	DR. MABREY: Well, having trained in North
17	Carolina at the same time that Dr. Kirkpatrick did at
18	Duke, I can certainly share his observations of NASCAR
19	as a NASCAR dad, but I do see an opportunity here to
20	provide additional information after approval. The
21	developers of the device and the clinicians have
22	demonstrated that they have a very good cohort of

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patients. They have gone out of their way to document every complication that has occurred, and I think we have the opportunity to follow that data after

approval and look at thạt data both at four and five years out.

John, I agree. I think, you know, maybe 50 million cycles isn't an unreasonable number of cycles to go through, but, again, that type of data could be ongoing rather than pre-approval. So I would argue for approval with certain conditions.

11CHAIRPERSON YASZEMSKI: Thank you, Dr.12Mabrey. Dr. Finnegan, you were the seconder.

13 DR. FINNEGAN: Yes, I'm not sure that some the Panel Members and maybe Ms. 14 of Maher will 15 understand that just because we say not approval doesn't mean this is going into the closet. 16 Not 17 approval means that, at the present time, the Panel is not comfortable with all of the data. 18 It does not 19 mean it has to come back to Panel. It just means that 20 certain things have to be done before the FDA makes a decision and that it's quite possible, given the 21 22 discussion today, that it will not need to come back

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to Panel. But if there are some things that we really feel strongly need to be done before the FDA gives it approval, then by regulation we cannot approve it.

Now, one of the biggest concerns is that 4 5 of those two year follow-up patients, they haven't all 6 reached two year follow-up and, in fact, if I read the 7 numbers correctly, in fact, the latest patient to get 8 this is probably less than 10 months ago. So if you take all of those patients out to two years, you're 9 10 actually going to have some three and a half or four year data, which will be much more helpful than doing 11 it now when some of the patients haven't reached their 12 13 two year mark.

14 CHAIRPERSON YASZEMSKI: Thanks, Dr.
15 Finnegan. Dr. Kim?

DR. KIM: This is such a difficult topic to vote on because of the complexity of the disease and the fact that this is a brand new product, but I was reading the FDA Modernization Act of 1997 and what that Act basically entails is the spirit of trying to promote innovation, and I think by requiring much longer follow-up, it will deter companies from being

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able to produce these innovative materials and I think the burden will be too onerous.

3 So I think that the two year clinical data, which is excellent, combined with the long-term follow-up of the European literature, which, as Ms. Maher pointed out, is data that we can use as an FDA Panel to make decisions, are compelling and I would lean toward approval with specific conditions that addresses some of the concerns that we have.

10 CHAIRPERSON YASZEMSKI: Thank you, Dr. 11 Kim. Dr. Naidu?

12 DR. NAIDU: You know, after listening to 13 the presentation from the Netherlands, the physician 14 from the Netherlands as far as device complications, 15 it appears as if device related complications 16 including anterior/posterior migration is less than 1 In addition, the sponsor has conducted an 17 percent. 18 excellent study where they have shown a significant 19 improvement in objective outcomes, including the ODI 20 and the VAS.

21 They have also shown that it's non-22 inferior to BAK, that's the fusion device, and they

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1 have also shown that it's at least equivalent and it's 2 not inferior, and I'm not sure as to why we are 3 debating as to approvability of this device. I think it's approvable without any conditions. 4 5 CHAIRPERSON YASZEMSKI: Thank you, Dr. 6 Naidu. Dr. Blumenstein? 7 DR. BLUMENSTEIN: I can't go along with 8 disapproval. I have to think that there are some 9 conditions that we could put on with an approval with 10 conditions that would satisfy the long-term follow-up 11 requirement. 12 CHAIRPERSON YASZEMSKI: Thank you. Dr. 13 Besser? 14 DR. BESSER: Ι would also look for 15 approval with conditions. I think we can resolve the issues here post-approval. 16 17 CHAIRPERSON YASZEMSKI: Thank you, Dr. 18 Besser. Would anybody else like to add commentary? Hearing none, what we're going to do now is vote on 19 this motion, which is for non-approval. 20 I will go 21 around the room and ask everybody to say yes or no for 22 non-approval. If you vote yes, that means you agree

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1	and you would like this to be not approved.
2	If this motion passes, then we're finished
3	and our recommendation is non-approval and we'll
4	discuss after that conditions that need to be met to
5	make it approvable. If the motion does not pass, then
6	we will ask Dr. Kirkpatrick if he might entertain a
7	new motion.
8	Let's start, Dr. Diaz, with you.
9	DR. DIAZ: I disagree with the motion.
10	CHAIRPERSON YASZEMSKI: Thank you. Dr.
11	Mabrey?
12	DR. MABREY: Disagree.
13	CHAIRPERSON YASZEMSKI: Dr. Finnegan?
14	DR. FINNEGAN: I agree.
15	CHAIRPERSON YASZEMSKI: Dr. Kim?
16	DR. KIM: I disagree.
17	CHAIRPERSON YASZEMSKI: Dr. Naidu?
18	DR. NAIDU: I disagree.
19	CHAIRPERSON YASZEMSKI: Dr. Kirkpatrick?
20	DR. KIRKPATRICK: I agree.
21	CHAIRPERSON YASZEMSKI: Dr. Blumenstein?
22	DR. BLUMENSTEIN: Disagree.
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1	CHAIRPERSON YASZEMSKI: Dr. Besser?
2	DR. BESSER: Disagree.
3	CHAIRPERSON YASZEMSKI: The motion does
4	not pass. Our two remaining options are approval or
5	approval with conditions and I will ask, at this time,
6	Dr. Kirkpatrick, would you entertain another motion?
7	DR. KIRKPATRICK: I would be glad to. I
8	would also like to take a moment to recognize the
9	beauty of democracy and the fact that we can agree to
10	disagree, and that we have the freedom to do so at the
11	expense of a number of our countrymen right now.
12	I would suggest, I would like to make the
13	motion that it is approvable with conditions and if
14	that passes, I would like to itemize conditions and
15	take them individually if that's okay with the Chair.
16	CHAIRPERSON YASZEMSKI: Yes, the way that
17	we're going to do it is we're going to go around. If
18	you make a motion for approval with conditions, what
19	we now do is consider the conditions first. I'm
20	sorry, a point of order. Ms. Scudiero just reminded
21	me that I did not ask for a second.
22	Dr. Kirkpatrick has made a motion for

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approval with conditions. Do I have a second? Dr. Besser has seconded the motion. Thank you, Ms. Scudiero.

4 What we'll do prior to voting is have a. 5 discussion and ask for conditions. And if someone 6 brings a condition up, we'll discuss that condition 7 and then vote on that condition, and that condition 8 will then either be included or not included. Ιf persons have disagreements with the conditions that voted get in, then they exercise can their disagreement by voting no for the motion when it comes to a vote.

So I would like to entertain now if there 13 14 is a motion for a condition from anybody. Yes, Dr. 15 Kirkpatrick?

16 DR. KIRKPATRICK: In a follow-up to my 17 concern about the length of follow-up, I would suggest that a condition would be that all of the currently 18 19 enrolled patients, including the -- I can't remember 20 what you termed it, but basically the patients that 21 aren't in the IDE, but the ones that have continued to 22 be done.

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UNIDENTIFIED SPEAKER: 1 The continued 2 access. DR. KIRKPATRICK: 3 The continued access group be followed to the last of the continued access 4 5 group being a minimum of two years follow-up. That 6 should give us close to five years on most of the IDE 7 patients if I'm remembering correctly on your block of time. 8 9 CHAIRPERSON YASZEMSKI: Yes. Thank you. DR. KIRKPATRICK: That would be the first 10 11 of several conditions I would propose. 12 CHAIRPERSON YASZEMSKI: We have a motion for a condition to include all the continued access 13 14 patients until they have completed two year follow-up. Is there a second for this motion? 15 16 DR. DIAZ: Second. 17 CHAIRPERSON YASZEMSKI: Dr. Diaz and Dr. 18 Finnegan, we have seconds. But is there discussion on 19 this motion? Dr. Besser? 20 DR. BESSER: I'm questioning as to whether 21 two years is long enough. I'm not sure how many of 22 the people will be out to five years at that two years

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1 after the last patient. If, in fact, we're looking for data out to five years, I would like to see the 2 last patient at five years and that would give us even 3 4 longer data and better data for the rest. 5 CHAIRPERSON YASZEMSKI: Mr. Christianson, 6 could I ask you or a member of your company to comment 7 on this question from Dr. Besser? 8 MR. CHRISTIANSON: Yes, the first patient was enrolled in 2000 and the last continued access 9 patient was enrolled last week. So if we follow that 10 patient through five years, the patient from 2000, 11 someone do the math for me quick, is going to be 12 13 extensive. 14 UNIDENTIFIED SPEAKER: Nine years out. 15 MR. CHRISTIANSON: So I believe that the entire randomized cohort will be at or beyond five 16 17 years if we followed the last continued access patient 18 through two years. 19 CHAIRPERSON YASZEMSKI: Okay. Thank you, 20 Mr. Christianson. Dr. Besser, does that answer your 21 question? 22 DR. BESSER: It answers my question, but NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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1	I'm not sure I'm convinced to shorten that. I would
2	still like you know, nine years of data would be
3	great.
4	CHAIRPERSON YASZEMSKI: Okay. Thank you.
5	Others?
6	DR. KIRKPATRICK: May I amend my
7	CHAIRPERSON YASZEMSKI: No, we have a
8	second already.
9	DR. KIRKPATRICK: Okay.
10	CHAIRPERSON YASZEMSKI: We'll have to vote
11	on it first. Any other discussion on this point?
12	DR. BESSER: I believe you can withdraw a
13	motion.
14	DR. KIRKPATRICK: I don't want to withdraw
15	it.
16	CHAIRPERSON YASZEMSKI: Let's have
17	discussion.
18	DR. KIRKPATRICK: I want to include yours.
19	CHAIRPERSON YASZEMSKI: No, we can make
20	another one. More discussion? Seeing none, Dr. Diaz,
21	you're in the number one position here. I'm going to
22	keep asking you first.
1	

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Let's vote, vote on this condition. The condition is to include all the continued access patients until they have completed two years of follow-up. This would be a condition to a motion for approval with conditions. This is the first condition. DR. KIRKPATRICK: That would be a report on all patients once the last of the continued access reaches two years. CHAIRPERSON YASZEMSKI: Yes, that's assumed. DR. KIRKPATRICK: I just wanted to clarify that. CHAIRPERSON YASZEMSKI: That's assumed. Yes, sir, Dr. Diaz? DR. DIAZ: I agree. CHAIRPERSON YASZEMSKI: Dr. Mabrey? DR. MABREY: I agree. CHAIRPERSON YASZEMSKI: Dr. Finnegan? DR. FINNEGAN: I agree.

CHAIRPERSON YASZEMSKI: Dr. Kim?

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DR. KIM: I hate to do this, but wouldn't

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1	it be better to follow the IDE patients that are
2	randomized for a total of five years?
3	CHAIRPERSON YASZEMSKI: We can do that as
4	a separate motion. I think we need to finish voting
5	here.
6	DR. KIM: So based on that, I would
7	disagree.
8	CHAIRPERSON YASZEMSKI: Okay. Thank you.
9	Dr. Naidu?
10	DR. NAIDU: I agree.
11	CHAIRPERSON YASZEMSKI: Dr. Kirkpatrick?
12	DR. KIRKPATRICK: Agree.
13	CHAIRPERSON YASZEMSKI: Dr. Blumenstein?
14	DR. BLUMENSTEIN: Disagree.
15	CHAIRPERSON YASZEMSKI: Dr. Besser?
16	DR. BESSER: Disagree.
17	CHAIRPERSON YASZEMSKI: And this motion
18	passes 5 to 3 and so one condition for Dr.
19	Kirkpatrick's motion for approval with conditions is
20	that the currently enrolled patients in the continued
21	access category and all other enrolled patients in the
22	IDE study have follow-up at the time that the
11	

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1 continued access patients reach two years follow-up. 2 Now, would anybody like to introduce a 3 second condition? Dr. Finnegan? 4 DR. FINNEGAN: It seems to me that this is 5 the ultimate device for device tracking and I would, 6 therefore, like to introduce the condition that this 7 device be tracked. 8 CHAIRPERSON YASZEMSKI: We have a motion to include a condition for device tracking. 9 I would like to ask Dr. Witten to comment on the device 10 11 tracking condition. 12 DR. WITTEN: Well, I just would like --13 that term is always really confusion, and so I would like clarification as to what exactly that means, 14 15 whether it's that we want to be able to track the 16 device to the patients or there are specific data 17 elements we want when it gets implanted. Is this all patients and, for example, maybe I could start with 18 19 asking what the objective would be and then we could 20 better understand what it is. 21 DR. FINNEGAN: See, the objective would be 22 as this is put in, one of the unfortunate problems at

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present is when a device is put in and five years 1 2 later significant complications are known and 3 probably, what am I thinking about, the -- what's the hip that got -- anyway, we have reason to -- yes, the 4 Saltzer Hip, that we have had recent experience that 5 6 patients are panicking, lawyers are calling everybody to find out if they have got the device in them or not 7 and no one has the answer, which maybe the sponsor 8 9 thinks would be a good idea.

But anyway, what we're looking for is a way that a patient would know what device was in them. The physician would know what patients they had implanted the device in. The sponsor would know that the device was in Patient X, so that when, long-term, something came up, you would know where to go.

DR. WITTEN: Okay. So it's to identify the patients and the physicians, and that's for anybody who receives the implant. It's not a data collection mechanism?

DR. FINNEGAN: That is correct.

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DR. WITTEN: Okay.

CHAIRPERSON YASZEMSKI: Thank you. We

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have a motion for device tracking. Is there a second? Dr. Mabrey. Discussion? Dr. Diaz?

3 DR. DIAZ: I would like to just make sure 4 that we call it device ID follow-up rather than tracking, because tracking to me implies something 5 very different. To me that means a responsibility on 6 7 the corporation to follow every single device that's 8 implanted wherever it happens to end, and I think 9 that's an onerous condition of its approval. I think 10 it is important for the patient and the surgeon to 11 know what device was implanted in whom when and where 12 and leave it at that.

13 CHAIRPERSON YASZEMSKI: Okay. Thanks, Dr.
14 Diaz. May I go out of the order first and I would
15 like commentary from Ms. Maher on this.

MS. MAHER: Yes, I would support what Dr. Diaz just said. Device tracking, when you go to the degree as to what that term actually means, is exceedingly burdensome to the industry and in the days of HIPAA is almost going to be impossible to do. It's not one of those things that patients want to be followed and want to be tracked. You know, they move.

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They don't tell you they have moved. Keeping track of 1 where they are is virtually impossible. 2 The device tracking requirements were 3 originally put into place for products where if they 4 were to fail, such as the heart valves, it would be 5 catastrophic to the patient immediately and I don't 6 see that this product necessarily fits that definition 7 of being catastrophic immediately. 8 I like what Dr. Diaz suggested, that we 9

10 actually train people more and have the labeling 11 require more, that the patient is supposed to know 12 that you have gotten a DePuy Charite Disc. I know 13 many people who have gotten joint replacements and 14 have no idea what joint they had placed in them, which 15 I also find bizarre.

But I think that if you go to this N<sup>th</sup> degree, you're adding a burden that is almost impossible to meet and I'm not sure I see the benefit of it, especially given what that regulation and law was originally intended for.

21 CHAIRPERSON YASZEMSKI: Thanks. And, Dr. 22 Diaz, may I ask for a clarification on your use of the

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term follow-up? Would it be similar to what Ms. Maher has just said? What would you suggest the follow-up be?

DR. DIAZ: I think it should be limited only to providing the patient with a name of the device, perhaps an ID number that all of these devices have. The patient would have the name of the surgeon, the place where the surgery was done and the date and leave it at that.

 10
 CHAIRPERSON YASZEMSKI: Thank you. Now,

 11
 I haven't yet asked for a second and I would like to- 

 12
 UNIDENTIFIED SPEAKER: You have a second.

 13
 CHAIRPERSON YASZEMSKI: I have a second?

 14
 I'm sorry. I did so.

DR. DIAZ: This is just a friendly editorial amendment.

17 CHAIRPERSON YASZEMSKI: Yes. May I come 18 first to Dr. Mabrey and then we're going to come to 19 Ms. Luckner?

20 MS. LUCKNER: From the patient's 21 perspective, I think you are asking for patient 22 identification to know what device was implanted and

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to know the number. That is totally reasonable from 1 2 a patient perspective. I do not wish to reveal totally in this room, but Ι have two knee replacements. I carry in my wallet that I have a knee replacement, so that I have no difficulty with going through airport security systems.

7 Now, I will tell you it does not say on it the manufacturer and I am one of those people that, 8 9 over here my colleague said, I have no idea what knee 10 replacement I have. Listening to this conversation, you can believe when I return to Toledo, Ohio, I will 11 12 find out exactly what is in my knees.

CHAIRPERSON 13 YASZEMSKI: Thanks, Ms. Dr. Mabrey? 14 Luckner.

15 DR. MABREY: Yes, I agree with Ms. Maher's comments that a tracking type of program would be 16 17 somewhat onerous and my chief concern is trying to keep in line with all the HIPAA regulations. 18 I think 19 that becomes a guagmire, if I can borrow from another 20 era.

every one of my total joint 21 However, 22 patients get a card and they know exactly what implant

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they have in them and I make sure they have it, but then again, not everybody puts in total joints. I think it's reasonable to provide the patient not only with a card that identifies what implant they have and the date it was implanted, but also a serial number much along the lines of the pacemakers.

7 I believe most pacemaker implants have a serial number associated with them. The manufacturer 8 will be keeping at least a registry of those serial 9 10 numbers and should there ever be a problem with that group, it seems like it would be a simple matter for 11 the patient then to take the initiative and contact 12 13 the physician or the company to follow-up on that serial number. 14

It's certainly also helpful. I would love 15 to have every total hip patient and total knee patient 16 17 in the country carrying around their serial numbers, 18 so that I could call up that company and find out 19 exactly what size implant I'm going to revise, and I 20 don't see that as being too onerous on industry or too onerous on the patients and, certainly, you know, 21 22 would put everyone's mind at ease.

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1	CHAIRPERSON YASZEMSKI: Along the lines of
2	what you have just discussed, would it be reasonable
3	to consider having a card come with every prosthesis
4	that has that identification number and then which the
5	surgeon just fills out his or her name, the patient's
6	name, date and hospital?
7	DR. MABREY: Well, I don't put these
8	devices in, but it looks like there's three parts to
9	it and they all come in three separate boxes. But
10	there are peel-off stickers that could go with that.
11	I would only caution you that if they go into your
12	wallet, then after a couple of years the numbers will
13	probably wear off. So I'm not sure how we would
14	handle that.
15	CHAIRPERSON YASZEMSKI: All right.
16	Thanks, Dr. Mabrey. Dr. Blumenstein?
17	DR. BLUMENSTEIN: Well, as a concerned
18	father of teenagers, perhaps we could put the tattoo
19	parlors to work and have them
20	CHAIRPERSON YASZEMSKI: Thank you, Dr.
21	Blumenstein. Dr. Kirkpatrick?
22	UNIDENTIFIED SPEAKER: They would be too
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busy to work on your kids.

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2	CHAIRPERSON YASZEMSKI: Dr. Kirkpatrick?
3	DR. KIRKPATRICK: May I suggest that
4	implied in this condition would be that the FDA would
5	work with the manufacturer in order to make sure that
6	there is a legal way to do this, because the Joint
7	Registry is already significantly alone working on
8	those problems. FDA is aware of those issues and if
9	it can be done, it can, but if it can't be done, that
10	the Panel would accept that, but we would encourage it
11	to be done. Is that implicit in the motion?
11 12	to be done. Is that implicit in the motion? DR. FINNEGAN: It is implicit in the
12	DR. FINNEGAN: It is implicit in the
12 13	DR. FINNEGAN: It is implicit in the motion, but I think, Jay, I don't want to give people
12 13 14	DR. FINNEGAN: It is implicit in the motion, but I think, Jay, I don't want to give people sort of a cop-out, because I think Jay is right. I
12 13 14 15	DR. FINNEGAN: It is implicit in the motion, but I think, Jay, I don't want to give people sort of a cop-out, because I think Jay is right. I think if you put the serial number on and the patient
12 13 14 15 16	DR. FINNEGAN: It is implicit in the motion, but I think, Jay, I don't want to give people sort of a cop-out, because I think Jay is right. I think if you put the serial number on and the patient has the access to the serial number, that doesn't have

you need to call the company. Here is the 1-800

serial number or was between this and this date, then

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number. I mean, that's a pretty straightforward thing

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to do. 1 2 DR. KIRKPATRICK: Ι agree that it's 3 straightforward, but, believe it or not, that minimal 4 of a data set is significantly complicated in trying 5 to get through other federal agencies as far as whether it's HIPAA compliant. 6 7 But if the patient signs DR. FINNEGAN: 8 the consent, I don't think it is. 9 DR. KIRKPATRICK: Exactly. 10 DR. FINNEGAN: So if the patient signs, it 11 says that they are quite happy to have the serial number and to have the company know what serial number 12 13 they have, then I don't think that's --14 CHAIRPERSON YASZEMSKI: Okay. Thanks. 15 Dr. Mabrey? Well, 16 DR. MABREY: if I could just 17 clarify. I don't even think that we're asking that 18 the company know which patient has it, but just that the company know what implants are out there and have 19 20 been implanted, and we're placing part of the -- yes, 21 we're putting part of the responsibility on the 22 patient now to look at the serial number and then get

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1 in contact with industry. I think this then keeps us out of all the problems with HIPAA. Carrying around 2 a serial number that only you know you have and 3 4 industry having that same serial number, but having no 5 idea who you are, I think that's reasonable, and I think it may be reasonable to at least keep track of 6 7 which physician put it in. And I know the way industry does the total 8 joints, they certainly know what region it goes into 9 and I know that our distributor keeps track of just 10 11 about every implant I have put in anyway. I will have to check and see what HIPAA rules we're violating on 12 13 that when I get back though. 14 CHAIRPERSON YASZEMSKI: All right. Thanks 15 Dr. Finnegan, do you have additional very much.

16 comments? Otherwise, I'll go to Dr. Kim.

17DR. FINNEGAN: I have no other comments.18CHAIRPERSON YASZEMSKI: Okay. Dr. Kim?19DR. KIM: I would agree with what Dr.20Mabrey said.

21 CHAIRPERSON YASZEMSKI: Thanks. Dr. 22 Naidu?

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1	DR. NAIDU: Same here.
2	CHAIRPERSON YASZEMSKI: Thanks. Dr.
3	Kirkpatrick, additional comments?
4	DR. KIRKPATRICK: No.
5	CHAIRPERSON YASZEMSKI: Dr. Blumenstein?
6	DR. BLUMENSTEIN: No comments.
7	CHAIRPERSON YASZEMSKI: Dr. Besser?
8	DR. BESSER: No comments.
9	CHAIRPERSON YASZEMSKI: Thanks. Ms.
10	Maher?
11	MS. MAHER: I would just like a little
12	clarification. We're probably talking about lot
13	numbers here, not serial numbers, and I would
14	recommend that we actually, since the FDA now knows
15	from this details conversation that what we really
16	want is for patients to know what device they have had
17	implanted and what lot numbers it was, that we leave
18	it to the FDA and the sponsor to work out the best way
19	to obtain that information.
20	DR. MABREY: I think lot numbers are
21	CHAIRPERSON YASZEMSKI: Okay. All right.
22	Thank you. Now, before we vote, I want to ask Dr.
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Finnegan, because you made the motion and you did 1 2 start with the words device tracking, but in light of 3 the discussion, would you be okay if the motion did not include those specific words, which impose a 4 certain level of --5 6 DR. FINNEGAN: All I want --7 CHAIRPERSON YASZEMSKI: -- responsibility 8 on the company, but to go along with what we 9 discussed. 10 DR. FINNEGAN: All I want is the patient 11 to be able to know if the implant they have has a 12 problem. 13 CHAIRPERSON YASZEMSKI: Okay. Thank you. I will state the motion then in the form it is after 14 15 discussion. The motion is that the patients be 16 supplied with the name and lot number of the device, 17 the doctor and hospital and date that it was put in, that the company know only that the device was 18 19 implanted and that if problems do arise, the company can send out a notice and it would be the patient's 20 21 responsibility to recognize that they have one of the 22 implants in them that was in the notice.

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1 We're going to vote on this now. Dr. 2 Diaz? 3 DR. DIAZ: I agree. 4 CHAIRPERSON YASZEMSKI: Dr. Mabrey? 5 DR. MABREY: I agree. 6 CHAIRPERSON YASZEMSKI: Dr. Finnegan? 7 I better agree. DR. FINNEGAN: 8 CHAIRPERSON YASZEMSKI: Dr. Kim? 9 DR. KIM: I agree. 10 CHAIRPERSON YASZEMSKI: Dr. Naidu? 11 DR. NAIDU: I agree. 12 CHAIRPERSON YASZEMSKI: Dr. Kirkpatrick? 13 DR. KIRKPATRICK: Agree. 14 CHAIRPERSON YASZEMSKI: Dr. Blumenstein? 15 DR. BLUMENSTEIN: Agree. 16 CHAIRPERSON YASZEMSKI: Dr. Besser? 17 DR. BESSER: I agree. 18 CHAIRPERSON YASZEMSKI: This motion passes 19 as the second condition of the motion for approval with conditions. 20 21 We will now move on and ask if there are 22 other conditions that people would like to raise and

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1	include in the motion for approval with conditions.
2	Dr. Kirkpatrick?
3	DR. KIRKPATRICK: I need a clarification
4	before I make my motion. When Mr. Christianson said
5	that it would be 15 weeks, there was a lot of mumbling
6	in the background and I assume that means it's longer
7	than that.
8	CHAIRPERSON YASZEMSKI: Mr. Christianson,
9	would you care to comment?
10	DR. KIRKPATRICK: That's on the 50 million
11	cycle tests.
12	MR. CHRISTIANSON: Yes. Thank you for
13	asking that question. When I got back, I was told.
14	I meant to say 15 months. It's not 15 weeks.
15	CHAIRPERSON YASZEMSKI: Thank you. So 50
16	million cycles, 15 months.
17	DR. KIRKPATRICK: In the spirit of that,
18	I would like to suggest a post-approval study that
19	takes the wear data out to 50 million cycles as
20	discussed in my presentation. I would also like to
21	ask if they could do a study looking at the other
22	coupled motion, meaning flexion and extension coupled

NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS with lateral bending, and provide a rationale for the length of that testing that is reasonable. I don't know.

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I don't think that needs to be taken to 50 4 5 million necessarily, because I think what is going to б happen is we need to see what happens after somewhere 7 intermediate range, you know, maybe 5 to 7 million and then change directions and see if that makes more wear 8 9 debris come off or, if you want to, you can do the coupled motion all the time to make sure such as like 10 a figure 8 motion doesn't make a different wear debris 11 12 pattern. Is that clear enough?

CHAIRPERSON YASZEMSKI: I'll --

DR. KIRKPATRICK: First, to summarize it, it's, basically, number one is extending data postapproval for 50 million cycles and studying the effect of coupled motion of flexion-extension with lateral bending, as opposed to axial rotation.

19CHAIRPERSON YASZEMSKI: Okay. I will ask20for a second for this motion.

DR. MABREY: Second.

CHAIRPERSON YASZEMSKI: Dr. Mabrey has

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seconded. Discussion? Dr. Diaz? 1 2 DR. DIAZ: I don't have any comment. 3 CHAIRPERSON YASZEMSKI: No comments? Dr. Mabrey? 4 5 DR. MABREY: No comments. 6 CHAIRPERSON YASZEMSKI: Dr. Finnegan? Dr. 7 Kim? 8 DR. KIM: It seems so excessive to have to 9 test the device for 15 months, so I would question the 10 need to do that, but I do agree with testing the other motions, but for a reasonable period of time. 11 12 CHAIRPERSON YASZEMSKI: Okay. What would 13 you consider a reasonable period of time? 14 The 10 million cycles, which DR. KIM: 15 represents 80 years if, in fact, that is correct seems 16 very reasonable to me. 17 CHAIRPERSON YASZEMSKI: Thank you. Thank 18 you, Dr. Kim. Dr. Naidu? 19 DR. NAIDU: I concur with Dr. Kim. It 20 appears as if 50 million cycles will be excessive. 21 CHAIRPERSON YASZEMSKI: Thank you. Dr. 22 Blumenstein?

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DR. BLUMENSTEIN: Let me see if I can 1 understand this. This is not done inside the body, 2 3 but is done outside the body? It seems excessive to 4 me. 5 CHAIRPERSON YASZEMSKI: Dr. Besser? DR. BESSER: As the testimony we have 6 7 heard today, they referred to failed prostheses and the failed procedures, none of them in my memory were 8 because of device problems with particulate matter. 9 10 There were other reasons why it failed. I also would 11 think that the 50 million cycles is probably excessive and the 10 million cycle data that has already been 12 presented is adequate. 13 I would, however, like to see the multiple 14 I'm wondering whether we can separate this 15 modes. motion into two. 16 17 CHAIRPERSON YASZEMSKI: What we'll do is vote on this and if it passes, they will both occur 18 and if it doesn't pass, we can entertain another 19 20 motion for one or the other of them. Ms. Maher? MS. MAHER: Yes, I would just like to take 21 22 this opportunity again. It seems excessive to me. I

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