Optics for laser measurements

Kent Rochford

NIST Optoelectronics Division

Outline

```
Geometrical optics
lenses
ray tracing
aberrations
```

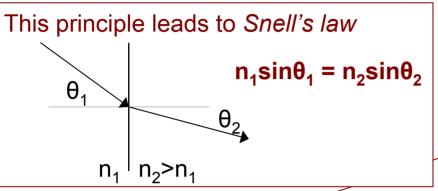
Physical optics
diffraction and Fourier optics
Gaussian optics

Reflections & coatings

Polarization, interference & coherence

Power of refracting surfaces

Fermat's principle of least time: $n_1L_1 + n_2L_2$ is stationary $(d(\Sigma nL)/dL = 0)$



and gives curved surfaces focusing power

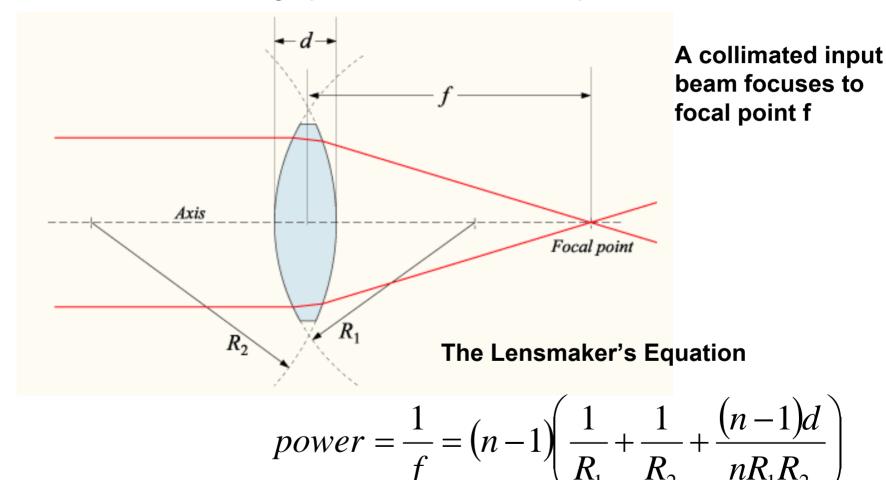
 n_1 $n_2 > n_1$ n_1

Approximating as a spherical surface, refractive power = 1/f

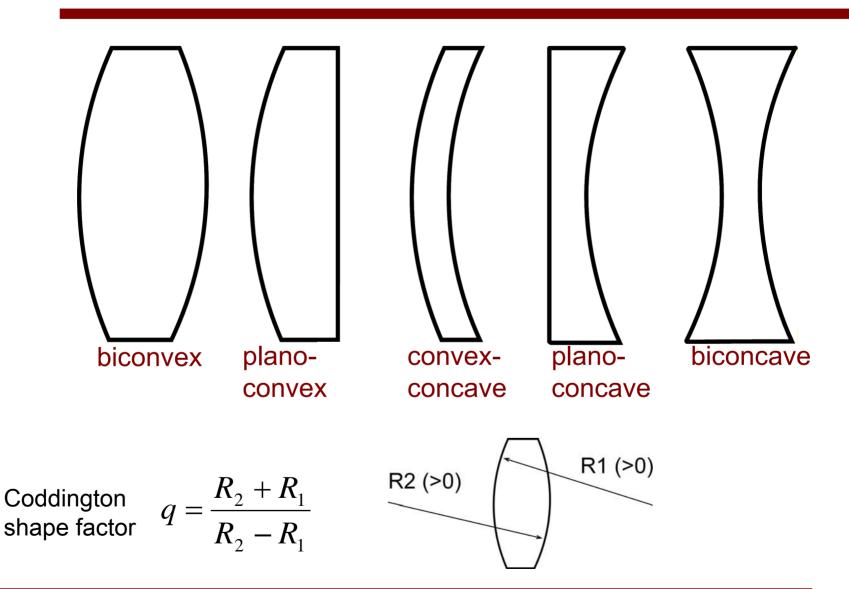
$$power = \frac{1}{f} = \left(\frac{n_2}{n_1} - 1\right)\left(\frac{1}{R}\right)$$

Thick lens (and lensmaker's equation)

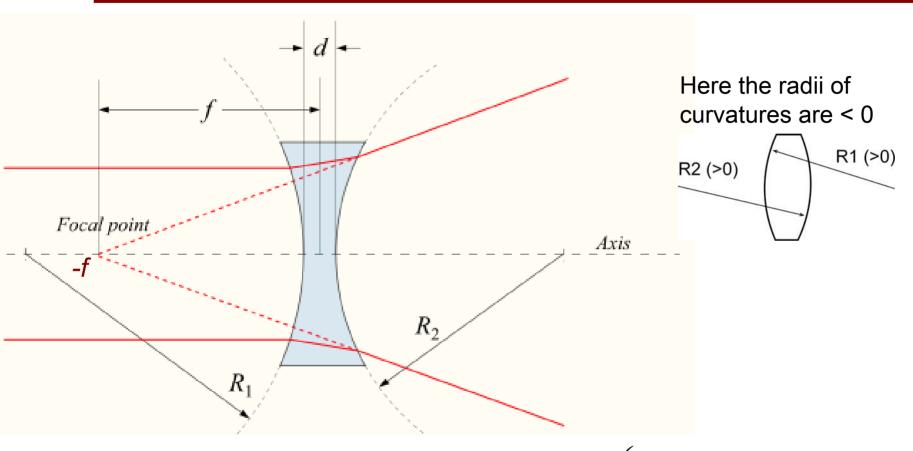
A lens is transmitting optic that has refractive power



Types of lenses



A lens with negative power

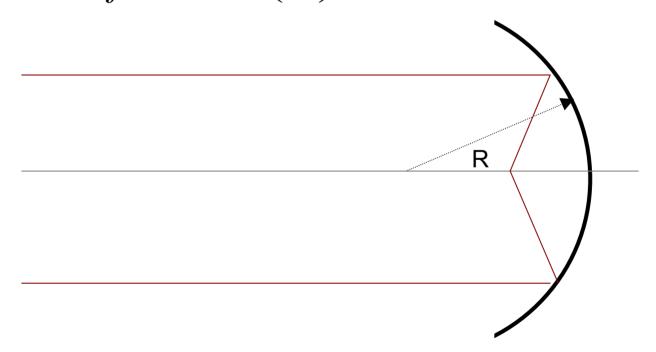


$$power = \frac{1}{f} = -\left(n-1\right)\left(\frac{1}{|R_1|} + \frac{1}{|R_2|} - \frac{(n-1)d}{nR_1R_2}\right)$$

Curved mirrors have power

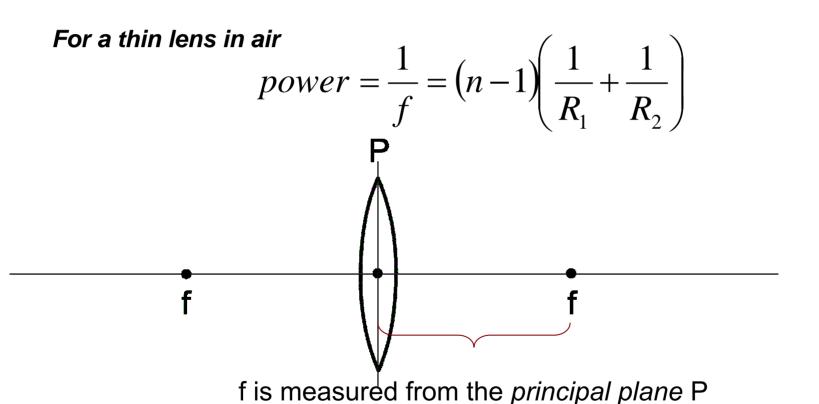
For a mirror, n = -1

$$power = \frac{1}{f} = (n-1)\left(\frac{1}{R}\right) \qquad \text{so f = -R/2}$$



The thin lens – a useful simplification

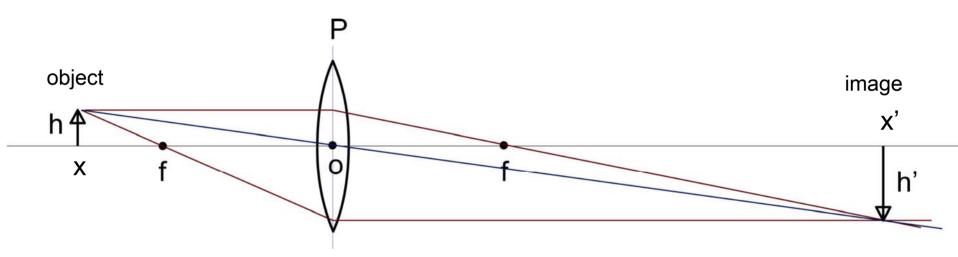
A thin lens has radii of curvatures greater than thickness (R_1 , $R_2 > d$)



A thick lens may have two non-coincident principal planes

Simple ray tracing

An **object** h units high creates an **image** h' units high



Can map the object to the image using two of three rays:

- Ray parallel to axis to principal plane, then though back focal point
- Ray through front focal point to principal plane, then parallel to axis
- Ray through nodal point

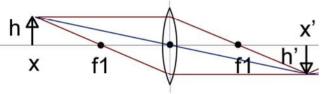
Points x and x' are called *conjugates*

see http://www.colorado.edu/physics/phet/simulations/lens/lens.swf

Simple two-lens system

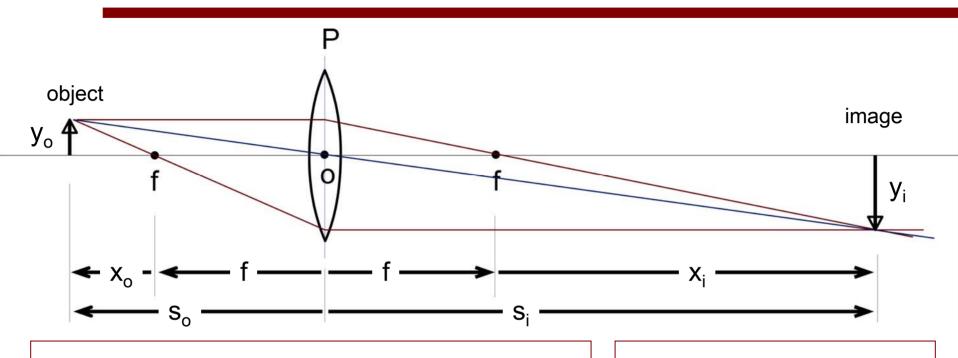
If lenses are separated by more than sum of focal lengths

- find an intermediate image
- map the intermediate image to the final image



Question... How does the lens diameter affect the image???

Imaging equations



Gaussian lens equation:
$$\frac{1}{f} = \frac{1}{s_o} + \frac{1}{s_i}$$

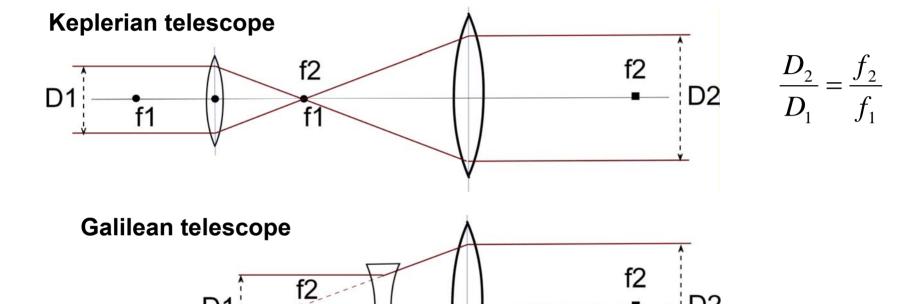
Newtonian lens equation: $x_o x_i = f^2$

Transverse magnification

$$M = \frac{y_i}{y_o} = -\frac{s_i}{s_o}$$

Beam expanders

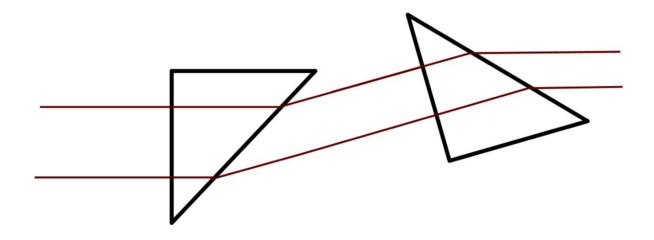
Can expand beam by separating two lenses by the sum of their focal lengths



Does the lens diameter matter? Which telescope is better for high pulse-energy lasers?

Beam shaping

Non-circular beams (e.g., laser diodes) can be "circularized" in onedimension using cylindrical lenses or prisms

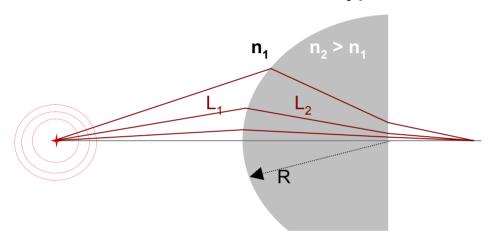


This method provides 1-d compression/expansion

To avoid the beam offset, use cylindrical lenses in a telescope

Aberrations

The perfect (or "stigmatic") imaging discussed before occurs for hyperboliods



Ray optics assumes spherical surfaces...

- this only works in the "paraxial" (small angle, where $\sin x = x$) limit
- we ignored $x^3/3! + x^5/5!$ -... in the expansion of sin x

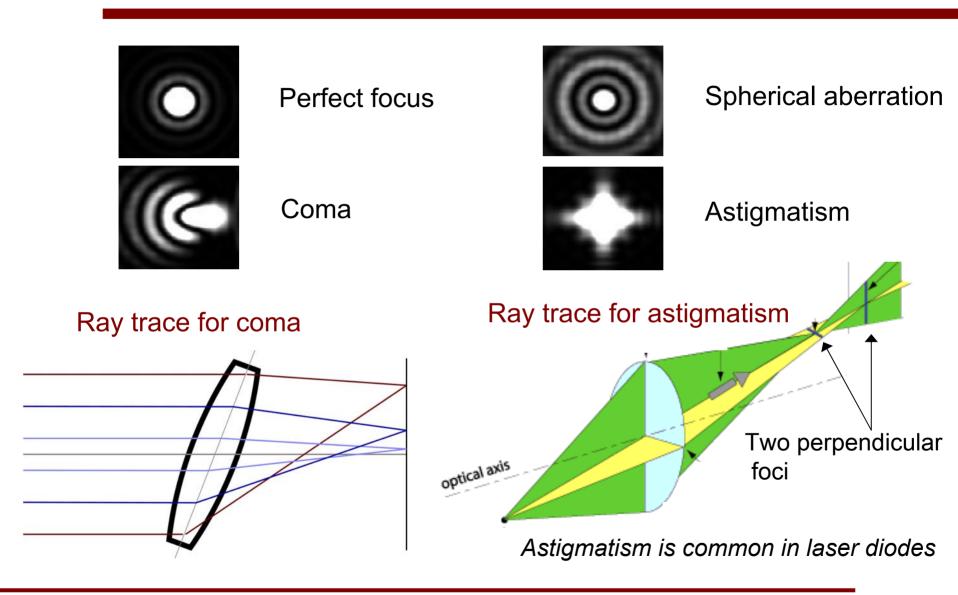
Aberrations exist when the marginal rays don't focus at the same place as the paraxial rays

The third-order aberrations are:

- spherical
- coma
- astigmatism
- field curvature
- distortion

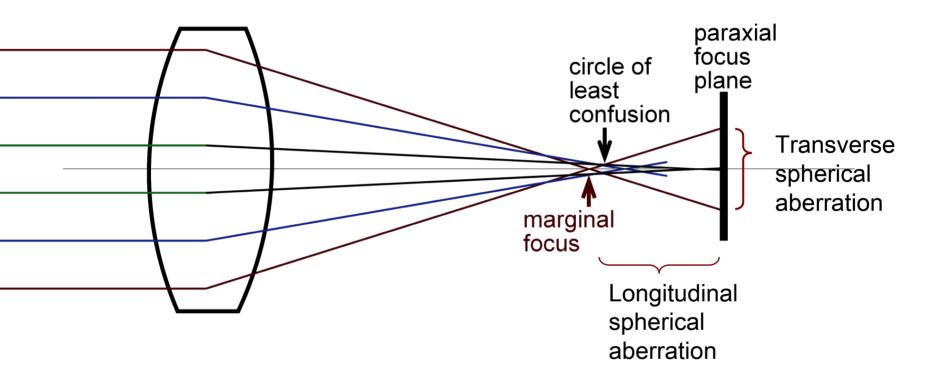
increasing dependence on distance from image plane axis

Focused spots with aberration



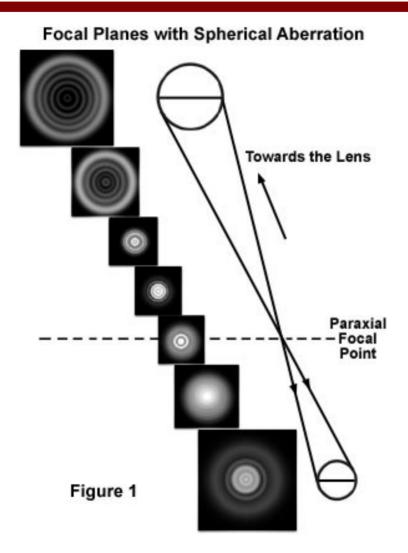
Spherical aberration

Spherical aberration causes on-axis distortion



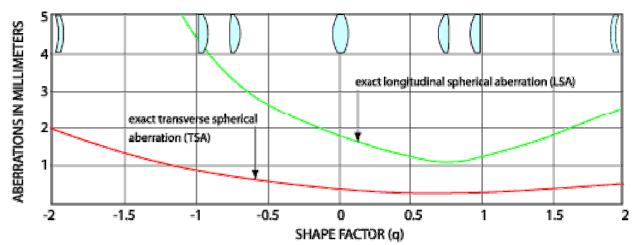
- SA causes a radially symmetric spread of the focused point
- Smallest "spot" will occur in front of paraxial focus plane

Pictures of SA though focus



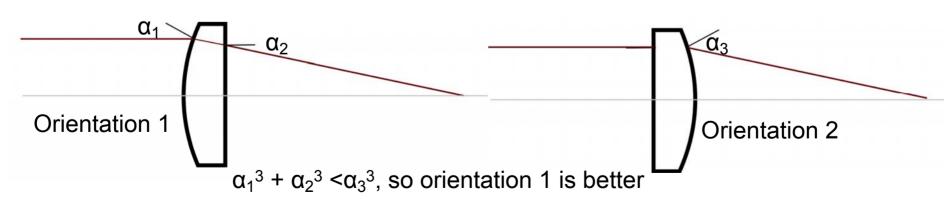
Java tutorial at http://www.olympusmicro.com/primer/anatomy/aberrationhome.html

SA depends on lens shape



http://optics.mellesgriot.com/opguide/fo_3_3.htm

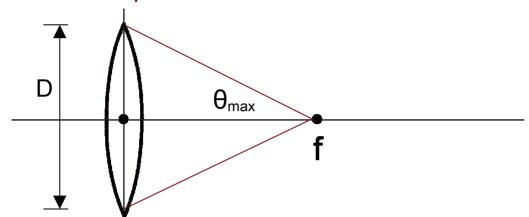
Aberration is minimized when the incident angles to the optical surface are minimized



Rule of thumb: point most curved surface towards most distant conjugate

Numerical aperture & F-number

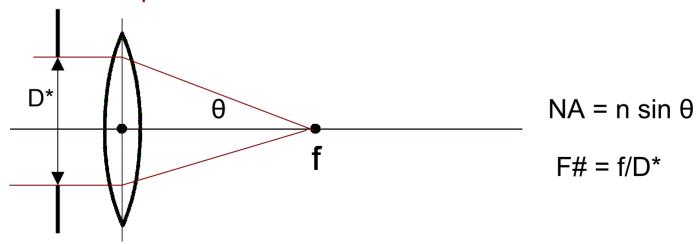
Numerical aperture



NA = n sin θ_{max} n = 1 for lens in air

F# = f/D

One can "stop down" to reduce the NA or increase the F#



Aberration scaling

The severity of aberrations generally depends on the aperture stop diameter (D) and the off-axis distance of the image point (h')

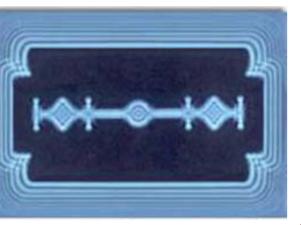
	Dependence on	
<u>Aberration</u>	<u>D</u>	<u>h'_</u>
Spherical aberration	D^3	none
Coma	D^2	h'
Astigmatism	D	h'²

High NA or low F# systems have more SA than low NA / high F# systems

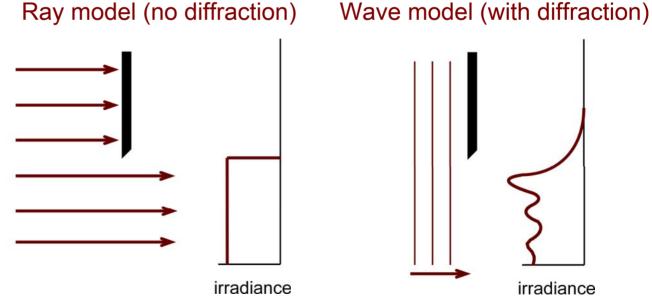
Physical optics

In geometric optics, a bundle of rays parallel to the axis will focus to a point In real life, the spot size is finite even if aberrations are zero

Diffraction



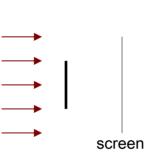
Diffraction: the interference phenomena that describes the alteration of wave propagation due to optics of finite size

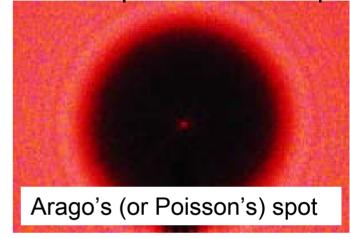


Wave optics

diffraction creates intensity distributions geometric optics cannot explain

What do you see behind an illuminated opaque disk?

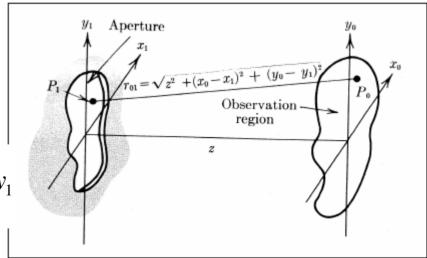




To model the general propagation of a wave through space...

$$U(x, y, z) = E(x, y, z) \exp[-j(\omega t + \phi(x, y, z))]$$

$$U(x_0, y_0, z) = \frac{z}{j\lambda} \iint_{surface} U(x_1, y_1) \frac{\exp(jkr_{01})}{r_{01}^2} dx_1 dy_1$$
for $r_{01} >> \lambda$



Fourier optics

The diffraction pattern is very complicated and evolves with the distance z from the aperture...need simplifications!!

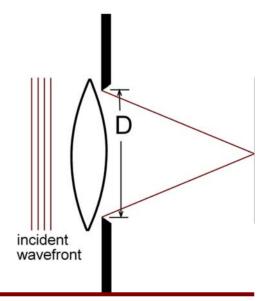
In the far field (or "Fraunhofer region") the field math is simplified:

Here the field is the Fourier transform of the wavefront in the aperture

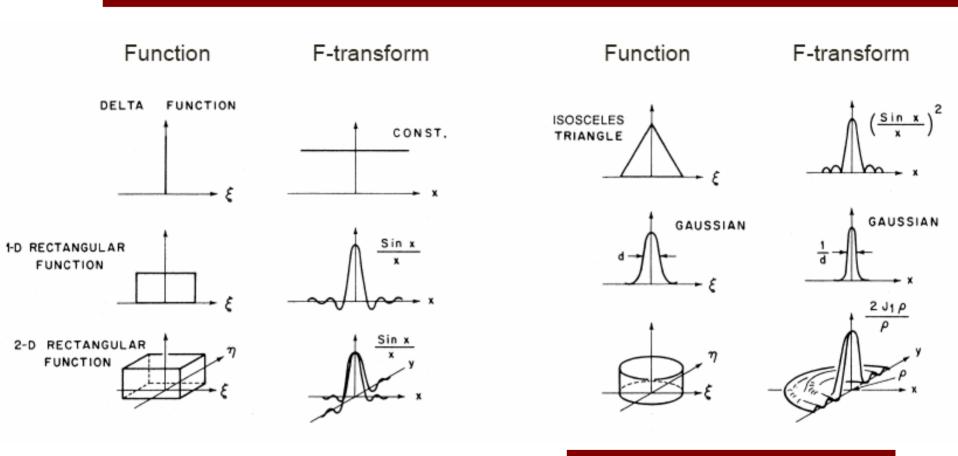
The far field exists at distances $z > \frac{2D^2}{\lambda}$

or

at the focal plane of a lens



Fourier transform pairs



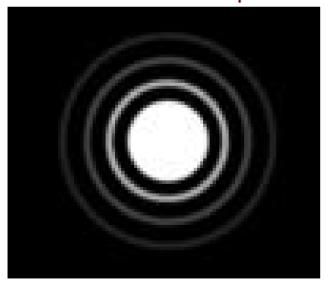
Irradiance distribution is the *square* of the field distribution

Airy function

The intensity distribution of a *plane wave* incident on a circular aperture with radius w is:

$$I(R) \propto \left \lceil rac{J_1 (kwR/z)}{kwR/z}
ight
ceil^2$$
 distance z from aperture, k=2 π/λ

Diffraction-limited spot



Diameter of central lobe

$$d = 1.22 \frac{\lambda z}{w} \qquad for \quad z > \frac{2D^2}{\lambda}$$

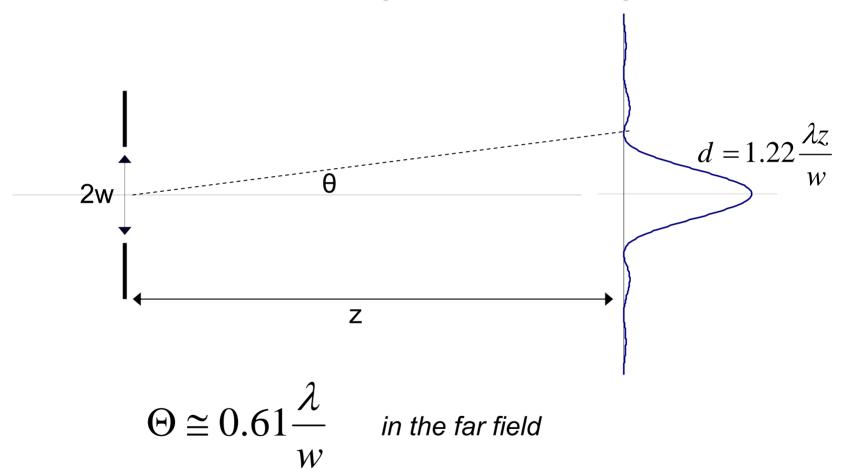
or

$$d = 1.22 \frac{\lambda f}{w}$$
 at the focal plane

~86% of energy is in the central lobe

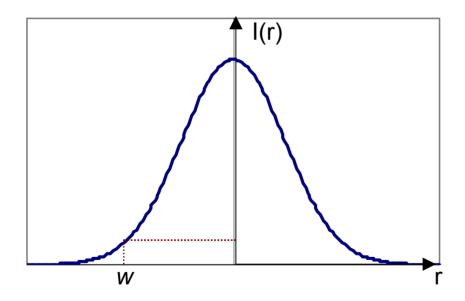
Beam divergence

Beyond the far field, the beam diverges at a constant angle



Gaussian beams

- Spherical-mirror resonator modes are Hermite-Gaussian
- Lowest-order mode is a Gaussian beam
- Hermite-Gaussians functions are self-transforms

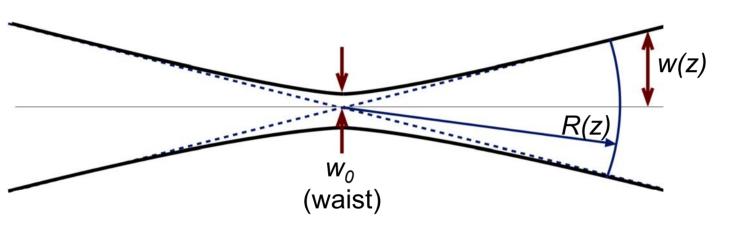


$$I(r) = \frac{2P}{\pi w^2} \exp\left[\frac{-2r^2}{w^2}\right]$$

w is the 1/e² radius (or the 1/e radius for the E field)

Gaussian beam propagation

Gaussian beam propagation is defined by the wavelength and w_0 , the radius of the beam at the waist



Beam radius at z

$$w(z) = w_0 \sqrt{1 + \left(\frac{z}{z_R}\right)^2} \qquad R(z) = z + \frac{z_R^2}{z}$$

Radius of curvature at z

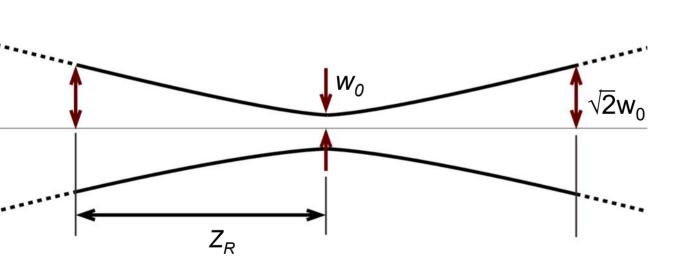
$$R(z) = z + \frac{{z_R}^2}{z}$$

Rayleigh range

$$Z_R = \frac{\pi w_0^2}{\lambda}$$

Rayleigh range

The Rayleigh range is distance from waist at which beam area doubles

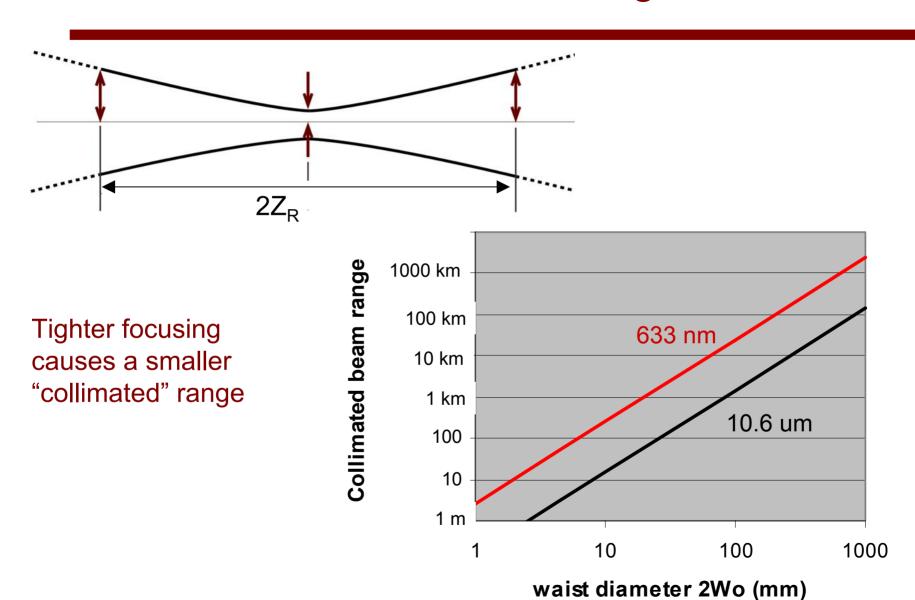


Rayleigh range

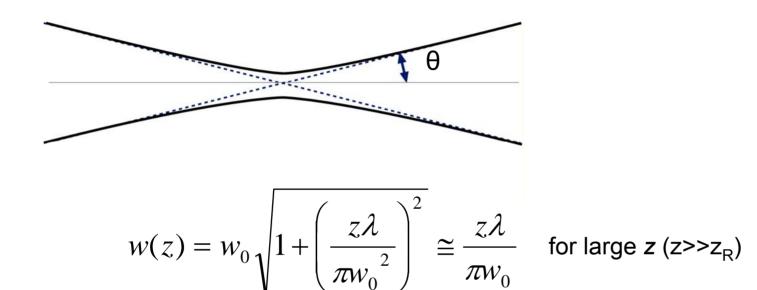
$$Z_R = \frac{\pi w_0^2}{\lambda}$$

2Z_R is know as the "confocal region" or "depth of focus"

"collimated" beam range



Gaussian beam divergence



Beam divergence

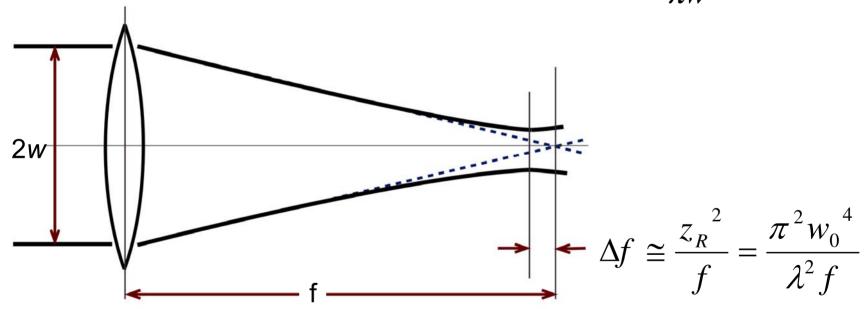
$$\theta_{1/e} = \lim_{z \to \infty} \frac{w(z)}{z} = \frac{\lambda}{\pi w_0}$$

Divergence is inversely proportional to w

Focusing a Gaussian beam

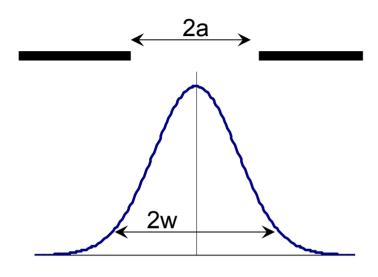
Recall
$$w(z) \cong \frac{z\lambda}{\pi w_0}$$

At focus, spot radius is $w_0 \cong \frac{\lambda f}{\pi w}$



There is a (usually negligible) shift Δf between the waist position and geometric focus

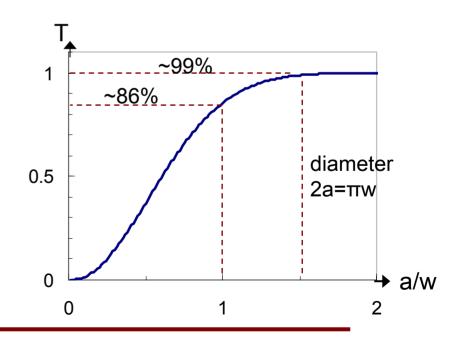
Truncated Gaussian beams



Choose lens diameter > π w to transmit > 99% of energy

Transmittance T through aperture is

$$T = 1 - \exp\left[-\frac{2a^2}{w^2}\right]$$



NIST Optoelectronics Division

Truncated Gaussian problems

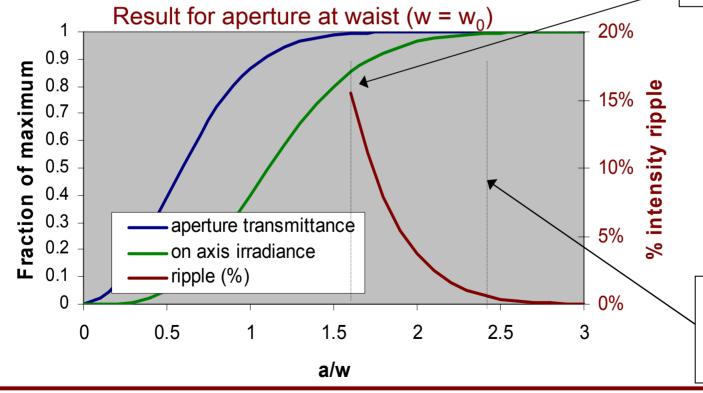
Truncating the Gaussian beam causes

- Decrease in total power transmitted
- Diffraction!!!

Decreases on-axis irradiance Increases intensity ripple

From last slide...

When $a = \pi w/2$ T = 99% $P_{axis} = 84\%$ Ripple=17%

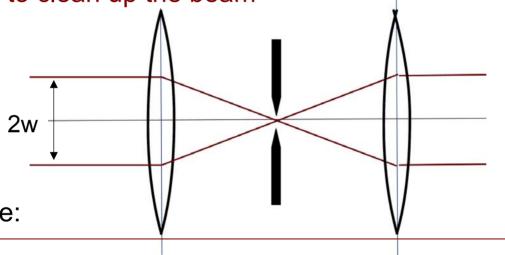


When a = 2.3w $P_{axis} = 99\%$ Ripple=1%

Spatial filtering

Surface roughness, dust, etc., adds highspatial-frequency noise to beam profiles

Place pinhole at common foci of telescope to clean up the beam



For 1% ripple, pinhole diameter D \sim 4.6w₀

ideal

Example:

f = 50 mm

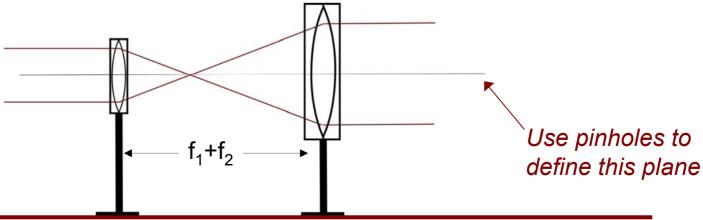
$$\lambda$$
 = 633 nm
 $w = 1$ mm
$$w_0 \cong \frac{\lambda f}{\pi w} \longrightarrow w_0 = 10 \text{ µm, so choose D} = 50 \text{ µm}$$

$$m_0 \cong \frac{\lambda f}{\pi w} \longrightarrow m_0 = 10 \text{ µm, so choose D} = 50 \text{ µm}$$

$$m_0 \cong m_0 \cong m_0 = 10 \text{ µm, so choose D} = 50 \text{ µm}$$

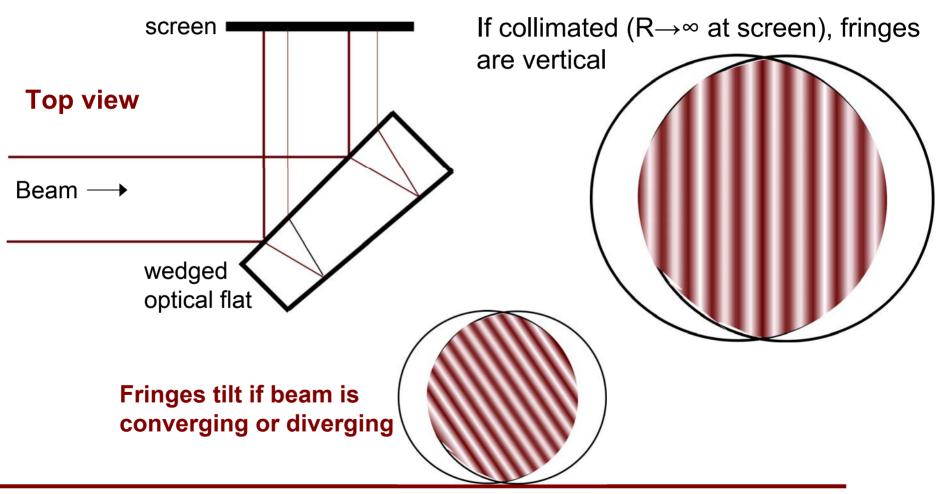
Beam expander alignment

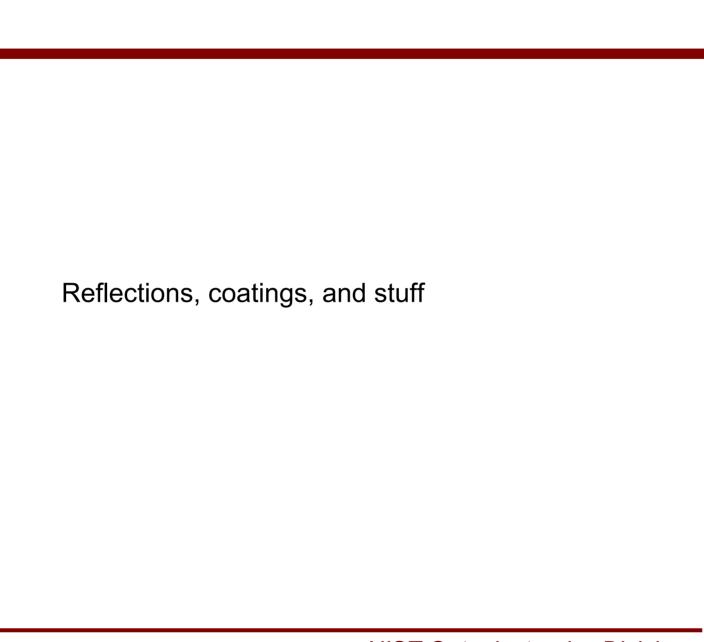
- Step 1. Adjust the beam to be parallel to be the optical table
 - choose an "operating height"
 - have several pinholes at this height
- Step 2. Center lens 1 in the beam path
- Step 3. Center lens 2 in the beam path, $\sim f_1 + f_2$ from lens 1
- Step 4. Adjust lens 2 position along beam until beam diameter is approximately constant between lens 2 and the wall



Collimation test with a shearing plate

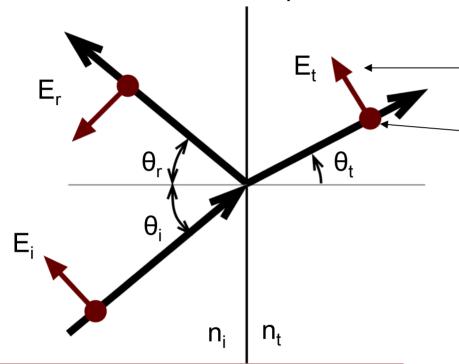
Step 5. Do a fine adjustment of lens 2 position with a shearing plate





Fresnel reflection

An incident wave (E_i) will create a reflected wave (E_r) and transmitted wave (E_t) The fields are decomposed with respect to the plane of incidence



Define reflectance and transmittance as

$$R = \left| \frac{E_r E_r^*}{E_i E_{i^*}} \right| = \frac{I_r}{I_i} \qquad T = 1 - R$$

p-polarization is in-plane

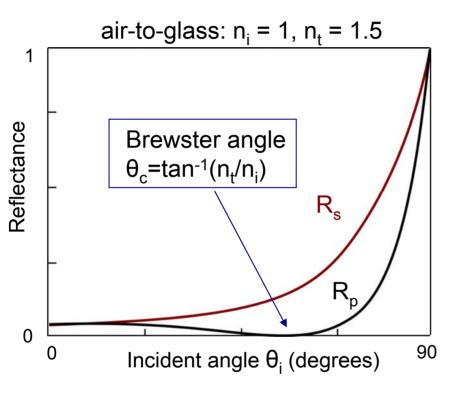
s-polarization is out-of-plane

Intensity reflection coefficients depend on polarization, angles, and refractive indices

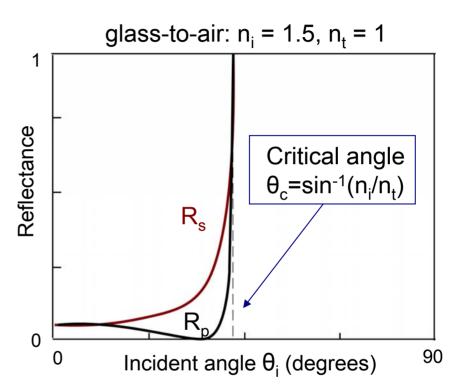
$$R_{p} = \left[\frac{n_{t} \cos \theta_{i} - n_{i} \cos \theta_{t}}{n_{t} \cos \theta_{i} + n_{i} \cos \theta_{t}}\right]^{2}$$

$$R_{s} = \left[\frac{n_{i} \cos \theta_{i} - n_{t} \cos \theta_{t}}{n_{i} \cos \theta_{i} + n_{t} \cos \theta_{t}} \right]^{2}$$

Fresnel coefficients



Brewster angle incidence provides perfect transmission for p-polarized

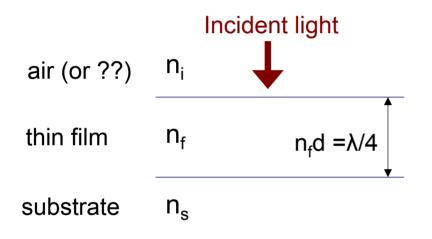


Reflectance is total for $\theta_i > \theta_c$

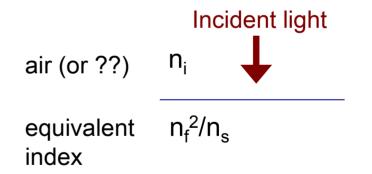
One can modify reflectance by applying thin films to the optic

Optical coatings – quarter wave rule

Consider a thin film of quarterwave optical thickness

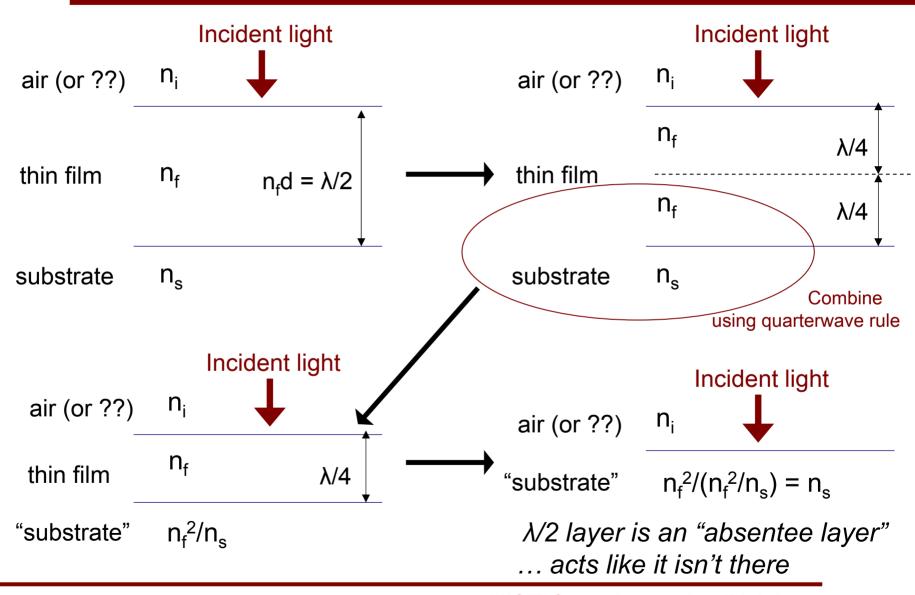


If film is exactly $\lambda/4$ thick $(=\lambda_0/(4n_f))$ can transform to an exact two-layer system



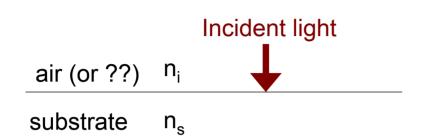
Now can use Fresnel reflection equations for the equivalent interfaces

Optical coatings – half wave rule



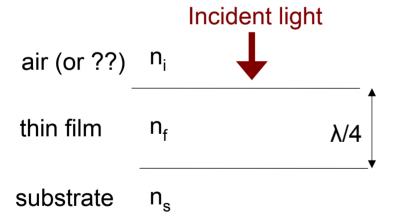
NIST Optoelectronics Division

Antireflection coatings



For normal incidence, Fresnel reflectance is

$$R = \left[\frac{n_i - n_s}{n_i + n_s} \right]^2$$



If the substrate is coated with a $\lambda/4$ film, we can use the quarter-wave rule...

$$R = \left[\frac{n_i - n_f^2 / n_s}{n_i + n_f^2 / n_s} \right]^2$$

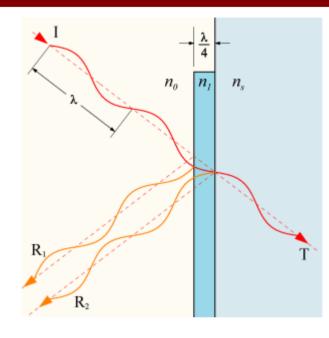
Reflectance is zero when numerator is zero (when $n_f = \sqrt{n_i n_s}$)

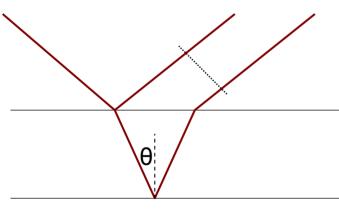
example: $n_s = 1.5$ (glass), $n_f = 1.38$ (MgF), $n_i = 1$; $R \sim 1.3\%$

AR coatings – what's the trick?

$$\delta = \frac{2\pi \, n \, d}{\lambda_0}$$

When path difference $d = \lambda/2$, the phase difference $\delta = \pi$ so the two reflected waves destructively interfere



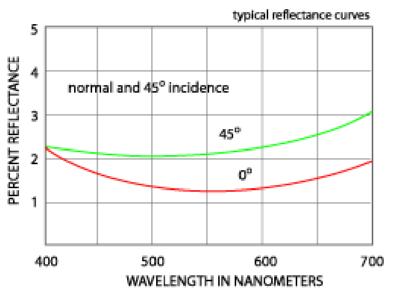


For non-normal incidence, require $\delta = \pi$ for the <u>refracted paths</u>

$$\delta = \frac{2\pi \, n \, d \cos \theta}{\lambda_0}$$

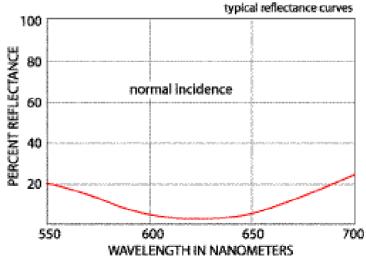
Non-normal incidence shifts minimum reflectance to shorter wavelength

AR coating performance

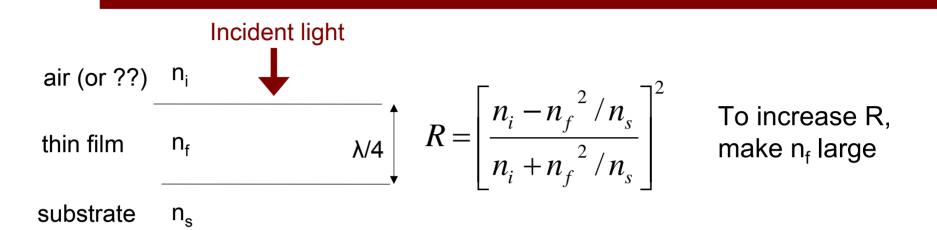


Single-layer MgF coating

Multi-layer "V-coating"



High-reflectance coatings



Incident light

To get higher reflectance, alternate n_H and n_L $\lambda/4$ layers

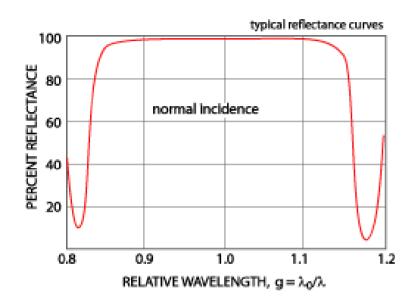
n _i	•	
n _H		
n_L		
n _H		
n _L		
n _H		

ns

for x layers on n_H and x-1 layers n_L

$$R = \begin{bmatrix} n_i - \frac{n_H^{2x}}{n_L^{2x-2}n_s} \\ \frac{n_i + \frac{n_H^{2x}}{n_L^{2x-2}n_s} \\ n_i + \frac{n_H^{2x}}{n_L^{2x-2}n_s} \end{bmatrix}^2$$
 maximize n_H/n_L

Multilayer reflector performance

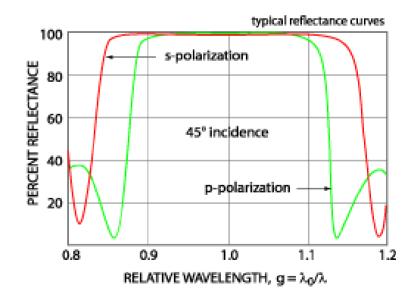


Non-normal incidence shows polarization dependence

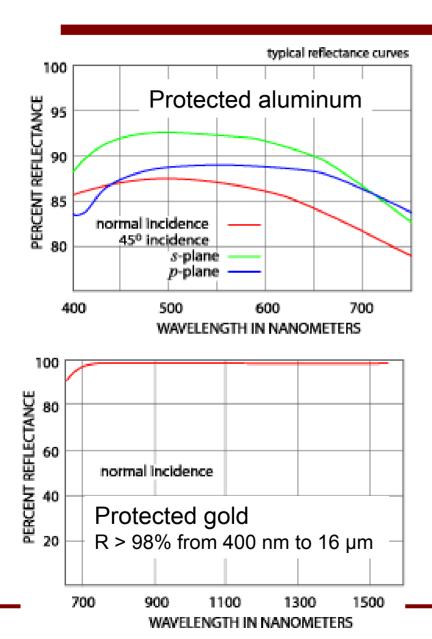
Caution: may not be suitable for ultrafast lasers... why??

Can achieve R> 99.9% off-the-shelf five-9's possible... limited by losses

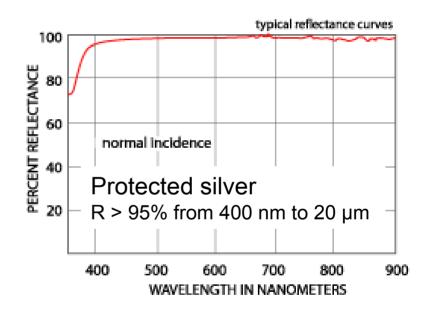
Damage thresholds> 10 J/cm² (10 ns pulse)



Metallic mirror performance



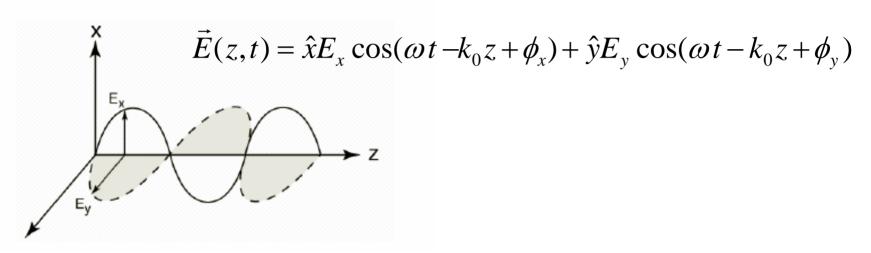
Damage thresholds 10x to 100x lower than dielectric stacks





Wave polarization

The polarization state is defined by the direction of the transverse E-field

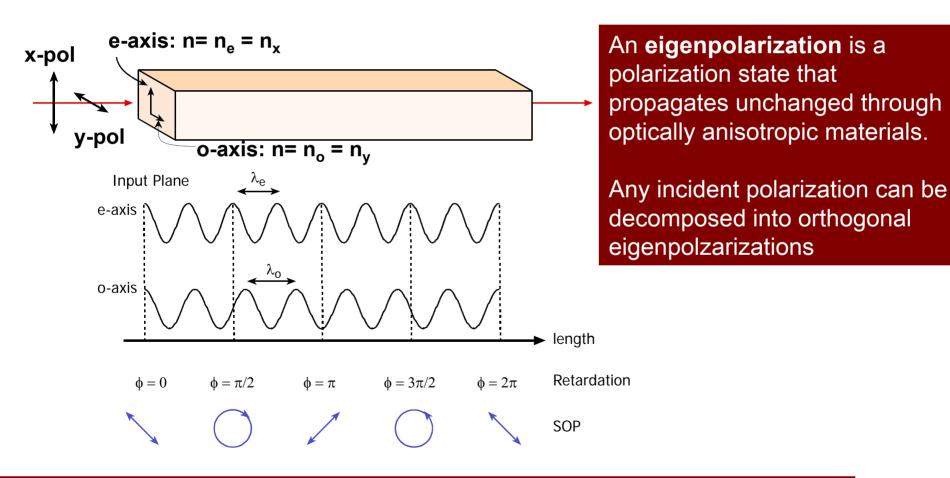


Any polarization can be decomposed into orthogonal components

Polarization state Linear vertical	<u>Ex</u> 1	<u>Ey</u>	$\frac{\text{phase}}{\Phi_{x} = \Phi_{y} = 0}$	Verticall polarizec
Linear horizontal Linear @ 45°	0 1/√2	1 1/√2		izonially oldrized
Right circular Left circular	1/√2 1/√2	1/√2 1/√2	$\Phi_{x} = 0, \ \Phi_{y} = \pi/2$ $\Phi_{x} = 0, \ \Phi_{y} = -\pi/2$	Circularly polarized

Eigenpolarizations

Isotropic materials: refractive index and loss is independent of direction / polarization Anisotropic materials: properties depend on direction & polarization



Retarders (aka waveplates)

Retarders induce a phase difference $\Delta\Phi$ between orthogonally polarized components of light

Linear retarders produce $\Delta \Phi = \Phi_x - \Phi_y$

- waveplates use optically anisotropic materials with linear birefringence $\Delta n = n_x n_v$
- electro-optic materials (Pockel's effect): Δn = f(E)
- rhombs use retardance from total-internal-reflection



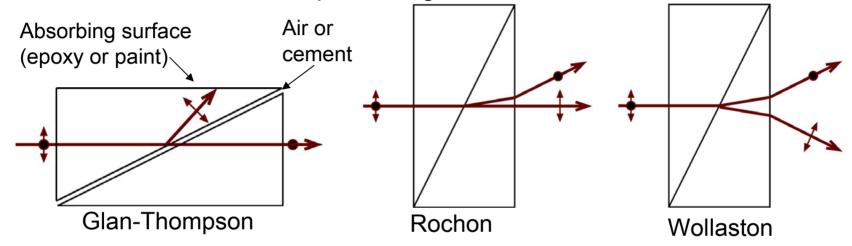
Circular retarders produce $\Delta \Phi = \Phi_{rcp} - \Phi_{lcp}$

- optically active chiral materials (sugar solution) with circular birefringence $\Delta n = n_{rcp} n_{lcp}$
- magneto-optic effects: $n_{rcp} n_{lcp} = f(H)$

Retarders can rotate or transform the polarization state

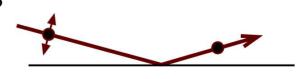
Polarizers

Birefringent crystal polarizers – extinction ratios of 10⁻⁵ to 10⁻⁷ are possible but have limited acceptance angle



Dichroic polarizers – cheap, limited power-handling capability extinction ratio ~ 10⁻² to 10⁻⁵ in visible

How do you determine the polarizer's transmission axis? look at the glare from a shiny surface... s-polarization "skips", p-polarization "plunges"



Jones calculus

Jones calculus is a tool for calculating the evolution of completely polarized beams
$$\begin{bmatrix} E_x \\ E_y \end{bmatrix}_{out} = \begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix} \begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} \begin{bmatrix} E_x \\ E_y \end{bmatrix}_{in}$$

Jones vectors (for fields)

Linear along x
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

Linear along y
$$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

Linear at 45°
$$\frac{1}{\sqrt{2}}\begin{bmatrix} 1\\1 \end{bmatrix}$$

Right-circular
$$\frac{1}{\sqrt{2}}\begin{bmatrix} 1\\i \end{bmatrix}$$

Left circular
$$\frac{1}{\sqrt{2}}\begin{bmatrix} 1 \\ -i \end{bmatrix}$$

Jones matrices (for components)

Polarizer at 0°
$$\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

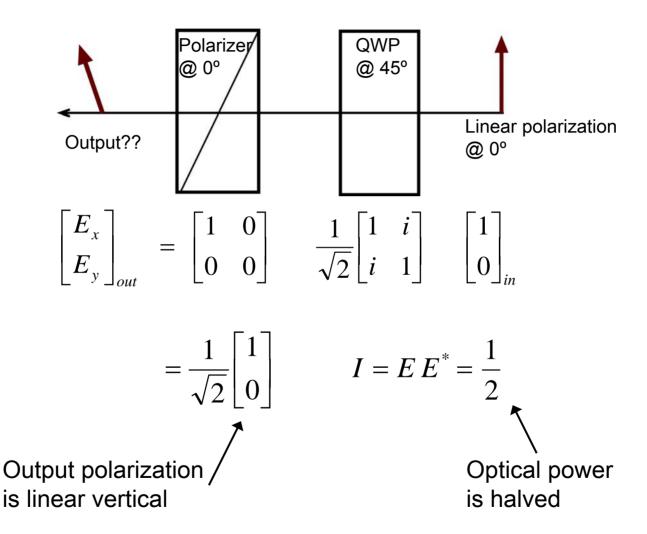
$$\begin{bmatrix} \cos^2 \theta & \sin \theta \cos \theta \\ \sin \theta \cos \theta & \sin^2 \theta \end{bmatrix}$$

$$egin{bmatrix} e^{i\pi/4} & 0 \ 0 & e^{-i\pi/4} \end{bmatrix}$$

$$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Jones calculus example



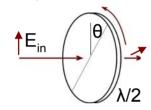
Polarization control

polarization control

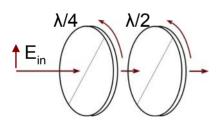
power control

Linear-to-linear

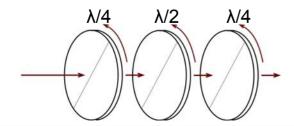
λ/2 plate rotates state by 2θ



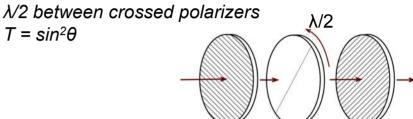
Linear-to-arbitrary



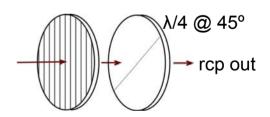
Arbitrary-to-arbitrary



Linear input attenuator

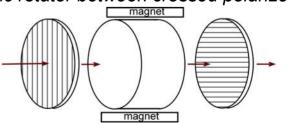


Linear input "pseudo-isolator" requires unchanged reflection polarization



Optical isolator

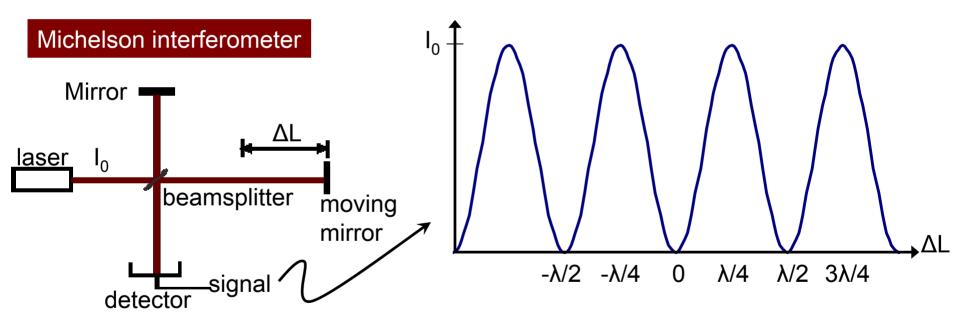
magneto-optic rotator between crossed polarizers



Interference

Monochromatic light waves can interfere

- constructive interference when waves interfere in phase
- destructive interference when wave interfere π out of phase

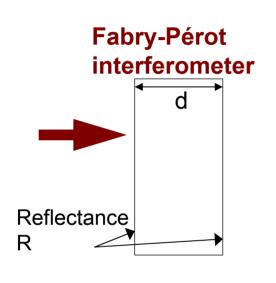


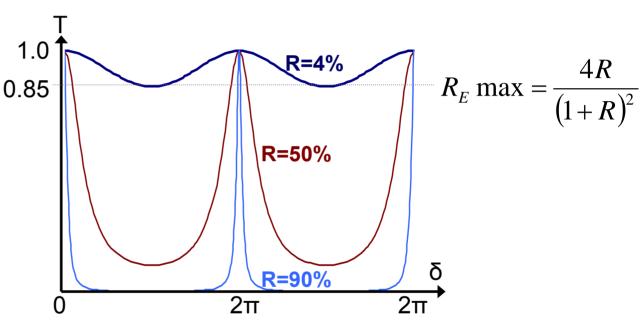
$$I(\Delta L) = \frac{I_0}{2} \left(1 - \cos \left(\frac{2\pi 2\Delta L}{\lambda} \right) \right)$$

Why is interference constructive when $\Delta L=0$??

Etalon interference

Flat, parallel surfaces may exhibit unintended interference effects





$$T_E(\delta) = \frac{(1-R)^2}{1+R^2-2R\cos\delta}$$

$$\delta = \frac{2\pi}{\lambda} 2nd \cos \theta$$

To avoid this effect:

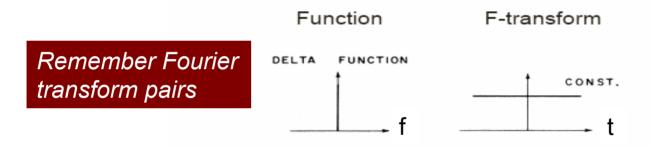
- use wedged flats
- use non-normal incidence
- use short coherence length sources

Monochromatic light and coherence

Monochromatic light is coherent

$$\vec{E}(z,t) = \hat{x}E_x \cos(\omega t - k_0 z + \phi_x) + \hat{y}E_y \cos(\omega t - k_0 z + \phi_y)$$

For strictly monochromatic light Ex, Ey, φx, & φy are constant



What if laser beam is not constant (e.g., pulsed laser)?

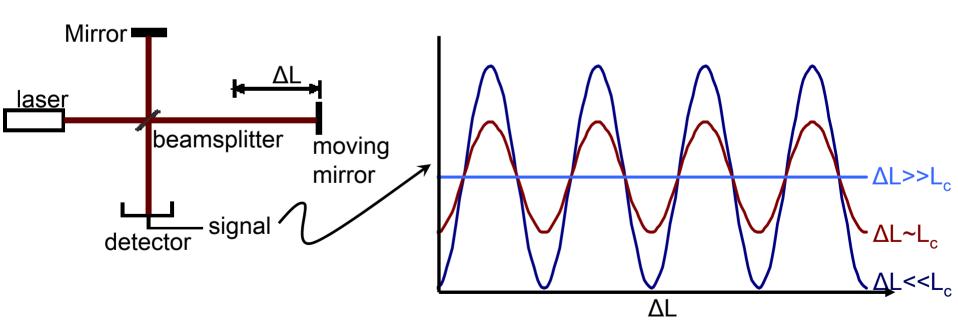
- Light is not monochromatic... Ex, Ey, φx, & φy are constant
- For $\Delta \omega << \omega$, use "quasi-monochromatic" approximation
- Amplitude and phase vary about mean frequency $\overline{\omega}$ and mean wavenumber \overline{k}_{o}

$$\vec{E}(z,t) = \hat{x}E_x(z,t)\cos(\overline{\omega}t - \overline{k_0}z + \phi_x(z,t)) + \hat{y}E_y(z,t)\cos(\overline{\omega}t - \overline{k_0}z + \phi_y(z,t))$$

Coherence time and length

Coherence time $\tau_c \sim 2\pi / \Delta \omega \sim \lambda^2 / c \Delta \lambda$ Coherence length $L_c = c \tau_c$

The laser beam will be mutually coherent over times (lengths) $< \tau_c$, L_c



"Unpolarized" light

Monochromatic light is polarized

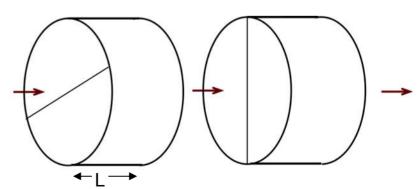
- Ex, Ey, φx, & φy are constant
 - in other words, one cannot make an unpolarized monochromatic laser beam
 - exception is spatial depolarization using ground glass or integrating spheres

For non-monochromatic light

- pairs E_x & E_y and ϕ_x & ϕ_y have statistical correlations
- Correlation depends on the spectral bandwidth $\Delta\omega$ of the light Light is correlated only within the coherence time τ_c or coherence length L_c

Can "depolarize" broadband light with a Lyot depolarizer

- Create delay >> Lc between eigenpolarizations
- Need thick birefringent retarders with ΔnL > L_c



References

Born and Wolf, "Principles of Optics"

Damask, "Polarization Optics in Telecommunications"

Gaskill, "Linear Transforms, Fourier Transforms, and Optics"

Goodman, "Introduction to Fourier Optics"

Hecht, "Optics"

O'Shea, "Elements of Modern Optical Design"

Siegman, "Lasers"

OSA, "Handbook of Optics"

"Polarization and Polarimetry", www.boulder.nist.gov/div815/81503_pubs/ppm.htm