

**PAPERWORK REDUCTION ACT SUBMISSION**

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement and any additional documentation to: **Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW Washington, DC 20503.**

<p>1. Agency/Subagency originating request EPA/Office of Air and Radiation</p>	<p>2. OMB control number                      b. <input checked="" type="checkbox"/> None a. _____ - _____                      2060</p>																																				
<p>3. Type of information collection (<i>check one</i>)  a. <input checked="" type="checkbox"/> New collection  b. <input type="checkbox"/> Revision of a currently approved collection  c. <input type="checkbox"/> Extension of a currently approved collection  d. <input type="checkbox"/> Reinstatement, <b>without change</b>, of a previously approved collection for which approval has expired  e. <input type="checkbox"/> Reinstatement, <b>with change</b>, of a previously approved collection for which approval has expired  f. <input type="checkbox"/> Existing collection in use without an OMB control number</p> <p><i>For b-f, note item A2 of Supporting Statement Instructions</i></p>	<p>4. Type of review requested (<i>check one</i>)  a. <input checked="" type="checkbox"/> Regular  b. <input type="checkbox"/> Emergency - Approval requested by: ___/___/___  c. <input type="checkbox"/> Delegated</p> <p>5. Small entities  Will this information collection have a significant economic impact on a substantial number of small entities?   <input type="checkbox"/> Yes   <input checked="" type="checkbox"/> No</p>																																				
<p>6. Requested expiration date  a. <input checked="" type="checkbox"/> Three years from approval date   b. <input type="checkbox"/> Other Specify: ___/___/___</p>																																					
<p>7. Title  Clean Air Interstate Rule to Reduce Interstate Transport of Fine Particle Matter and Ozone (CAIR)</p>																																					
<p>8. Agency form number(s) (<i>If applicable</i>)  EPA ICR #2152.01</p>																																					
<p>9. Keywords  sulfur dioxide, nitrogen oxides, emissions trading, emissions reporting, ozone, particulate matter</p>																																					
<p>10. Abstract  The information to be collected under this ICR is necessary to implement the Clean Air Interstate Rule. The program calls for major reductions of the pollutants that cause particulate matter and ozone pollution. The information collected will be used by EPA to manage an emissions trading program and to track progress in the reduction of emissions resulting from the requirements of CAIR. Respondents include owners of large electric generating units and state and local air pollution control agencies.</p>																																					
<p>11. Affected public (<i>Mark primary with "P" and all others that apply with "X"</i>)  a. <input checked="" type="checkbox"/> Individuals or households      d. <input type="checkbox"/> Farms  b. <input checked="" type="checkbox"/> Business or other for-profit      e. <input checked="" type="checkbox"/> Federal Government  c. <input type="checkbox"/> Not-for-profit institutions      f. <input checked="" type="checkbox"/> State, Local or Tribal Govt.  Primary affected public: b. Business or other for-profit</p>	<p>12. Obligation to respond (<i>Mark primary with "P" and all others that apply with "X"</i>)  a. <input checked="" type="checkbox"/> Voluntary  b. <input checked="" type="checkbox"/> Required to obtain or retain benefits  c. <input checked="" type="checkbox"/> Mandatory  Primary obligation to respond: c. Mandatory</p>																																				
<p>13. Annual reporting and recordkeeping hour burden</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td>a. Number of respondents</td> <td align="right">1854</td> </tr> <tr> <td>b. Total annual responses</td> <td align="right">9555</td> </tr> <tr> <td colspan="2">1. Percentage of these responses collected electronically</td> </tr> <tr> <td></td> <td align="right">95 %</td> </tr> <tr> <td>c. Total hours requested</td> <td align="right">607,948</td> </tr> <tr> <td>d. Current OMB inventory</td> <td align="right">0</td> </tr> <tr> <td>e. Difference</td> <td align="right">607,948</td> </tr> <tr> <td colspan="2">f. Explanation of difference</td> </tr> <tr> <td>1. Program Change</td> <td align="right">607,948</td> </tr> <tr> <td>2. Adjustment</td> <td align="right">0</td> </tr> </table>	a. Number of respondents	1854	b. Total annual responses	9555	1. Percentage of these responses collected electronically			95 %	c. Total hours requested	607,948	d. Current OMB inventory	0	e. Difference	607,948	f. Explanation of difference		1. Program Change	607,948	2. Adjustment	0	<p>14. Annual reporting and recordkeeping cost burden (<i>in thousands of dollars</i>)</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td>a. Total annualized capital/startup costs</td> <td align="right">20,753</td> </tr> <tr> <td>b. Total annual costs (O&amp;M)</td> <td align="right">17,582</td> </tr> <tr> <td>c. Total annualized cost requested</td> <td align="right">38,335</td> </tr> <tr> <td>d. Current OMB inventory</td> <td align="right">0</td> </tr> <tr> <td>e. Difference</td> <td align="right">38,335</td> </tr> <tr> <td colspan="2">f. Explanation of difference</td> </tr> <tr> <td>1. Program change</td> <td align="right">38,335</td> </tr> <tr> <td>2. Adjustment</td> <td align="right">0</td> </tr> </table>	a. Total annualized capital/startup costs	20,753	b. Total annual costs (O&M)	17,582	c. Total annualized cost requested	38,335	d. Current OMB inventory	0	e. Difference	38,335	f. Explanation of difference		1. Program change	38,335	2. Adjustment	0
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<p>15. Purpose of information collection (<i>Mark Primary With "P" and all others that apply with "X"</i>)  a. <input checked="" type="checkbox"/> Application for benefits      e. <input checked="" type="checkbox"/> Program planning or management  b. <input checked="" type="checkbox"/> Program evaluation              f. <input checked="" type="checkbox"/> Research  c. <input checked="" type="checkbox"/> General purpose statistics      g. <input checked="" type="checkbox"/> Regulatory or compliance  d. <input checked="" type="checkbox"/> Audit  Primary purpose of information collection: e. (States and locals) and g. (industry)</p>	<p>16. Frequency of recordkeeping or reporting (<i>check all that apply</i>)  a. <input checked="" type="checkbox"/> Recordkeeping                      b. <input type="checkbox"/> Third party disclosure  c. <input checked="" type="checkbox"/> Reporting  1. <input checked="" type="checkbox"/> On occasion      2. <input type="checkbox"/> Weekly                      3. <input type="checkbox"/> Monthly  4. <input checked="" type="checkbox"/> Quarterly              5. <input type="checkbox"/> Semi-annually              6. <input checked="" type="checkbox"/> Annually  7. <input type="checkbox"/> Biannually              8. <input checked="" type="checkbox"/> Other (describe) <u>Triennial</u></p>																																				
<p>17. Statistical methods  Does this information collection employ statistical methods?  <input type="checkbox"/> Yes      <input checked="" type="checkbox"/> No</p>	<p>18. Agency contact (<i>person who can best answer questions regarding the content of this submission</i>)  Name: <u>Beth Murray (emissions trading) and Bill Kuykendal (State and local reporting)</u>  Phone: <u>202-343-9115/ 919-541-5372</u></p>																																				

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (I) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of the instructions);
- (I) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Program Official

Stephen D. Page, Director  
Office of Air Quality Planning and Standards

Date

Signature of Senior Official or designee

Oscar Morales, Director  
Collection Strategies Division  
Office of Environmental Information

Date