

A Bibliography of Terrain Modeling (Geomorphometry), the Quantitative Representation of Topography —Supplement 4.0

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Provides over 1600 additions and corrections to the 1993 Bibliography of Geomorphometry and its 1995, 1996, and 1999 Supplements, with an update of recent advances

OPEN-FILE REPORT 02-465

2002

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U.S. DEPARTMENT OF THE INTERIOR U.S. GEOLOGICAL SURVEY

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Abstract This report adds over 1600 annotated references on the numerical characterization of topography, *terrain modeling* or *geomorphometry*, to a 1993 literature review and its first three updates. Erroneous references from the four earlier reports are corrected and many citations of historic interest are included; the cumulative archive is at 6000 entries. An introductory essay cites several hundred of the new entries. These are listed under various topic headings or referenced in brief discussions of several other areas of research, including terrain data and new parameters, neoorometry, landslide-hazard mapping, Hack's Law, and early work on the mathematical representation of ridges and drainageways.

Introduction

Terrain modeling, the practice of ground-surface quantification, is an amalgam of Earth science, mathematics, engineering, and computer science. The discipline is known variously as *geomorphometry* (or simply morphometry), terrain analysis, and quantitative geomorphology. It continues to grow through myriad applications to hydrology, geohazards mapping, tectonics, sea-floor and planetary exploration, and other fields. Dating nominally to the co-founders of academic geography, Alexander von Humboldt (1808, 1817) and Carl Ritter (1826, 1828), the field was revolutionized late in the 20th Century by the computer manipulation of spatial arrays of terrain heights, or digital elevation models (DEMs), which can quantify and portray ground-surface form over large areas (Maune, 2001). Morphometric procedures are implemented routinely by commercial geographic information systems (GIS) as well as specialized software (Harvey and Eash, 1996; Köthe and others, 1996; ESRI, 1997; Drzewiecki et al., 1999; Dikau and Saurer, 1999; Djokic and Maidment, 2000; Wilson and Gallant, 2000; Breuer, 2001; Guth, 2001; Eastman, 2002). The new Earth Surface edition of the Journal of Geophysical

<u>Research</u>, specializing in surficial processes, is the latest of many publication venues for terrain modeling.

This is the fourth update of a bibliography and introduction to terrain modeling (Pike, 1993, 1995, 1996, 1999) designed to collect the diverse, scattered literature on surface measurement as a resource for the research community. The use of DEMs in science and technology continues to accelerate and diversify (Pike, 2000a). New work appears so frequently that a sampling must suffice to represent the vast literature. This report adds 1636 entries to the 4374 in the four earlier publications¹. Forty-eight additional entries correct dead Internet links and other errors found in the prior listings. Chronicling the history of terrain modeling, many entries in this report predate the 1999 supplement. Coverage is representative from about 1800 through early-mid 2002. Papers increasingly are published exclusively or in duplicate on the Internet's World Wide Web; the dates given here for Web addresses (URLs) that lack a print publication indicate a Web site's last update or my last access of it.

The bibliography is arranged alphabetically and thus is not readily summarized. This introduction cites about 500 entries, a third of them grouped under 24 morphometric topics, as a guide to the listing's contents. Continuing the practice of previous bibliographies in the series to provide more information on a few applications (see summary of past topics in Pike, 2000a), this report elaborates further on topographic data, putative new parameters, tectonic geomorphology/neo-orometry, biogeography, ice-cap morphometry, results from the Mars Global DEM, landslide-hazard mapping, terrain modeling as physics, Hack's law, and broad-scale computer visualization. The literature of some of these subjects is large, and none of the summaries is intended to more than introduce the topic and comment on some of the current contributions of terrain modeling. Closing the essay is a discussion of pre-1900 papers that trace the evolution of ridge-line and watercourse quantification by descriptive geometry, as well as comments on some new books and an on-line bulletin board.

Revisions in Format

¹ The few text citations not in the main bibliography are listed at the close of this introduction or in 'Corrections' appended to the main bibliography.

With this report the title of the series incorporates *Terrain Modeling*, in an effort to broaden its readership. According to the Internet-search results illustrated in Table 1, *Terrain Modeling* (plus *Modelling*) is 15 times more frequent than *geomorphometry*. Other alternatives are inapt: *Digital* Terrain Modeling would exclude pre- or non-computer work (Penck, 1894a, b; Hack and Goodlett, 1960); *Terrain Analysis* has military and non-quantitative connotations (Graff, 1997; DARPA, 2002); *Morphometry* is a common practice in biology and paleontology (Cracraft, 1980; MacLeod, 1999); *Surface Rendering, Terrain Rendering*, and *Surface Modeling* have

Table 1

Ranking Descriptors of Surface Quantification

(as keywords on the World Wide Web)

*Index of Hits	*Search Word(s)
100	Surface Modeling**
72	Surface Topography
57	Morphometry
53	Terrain Modeling**
40	Terrain Analysis
24	Surface Rendering
18	Digital Terrain Modeling**
14	Terrain Rendering
11	Topographic(al) Analysis**
6	Surface Metrology
3.5	Geomorphometry
2.4	Digital Elevation Modeling**
2.3	Digital Terrain Analysis
1.6	Quantitative Geomorphology
1.2	Landform Modeling**

0.1 Quantitative Terrain Analysis

*using the exact-phrase option in the *Google* search engine, 23 September 2002; Index for each term is number of hits / number of hits (28,100) on 'surface modeling' \times 100

**includes the British spellings '... Modelling' and 'Topographical ...'

specialized meanings in computer vision and image analysis (Koenderink and van Doorn, 1993, 1994, 1998; López, 1997; Thompson and others, 1998); and *Surface Topography* connotes industrial micro- and nano-morphometry (Thomas and others, 1999; Blunt and Stout, 2000; Scott, 2001).

Another change with this report is the annotation of all entries except the 90 or so that were not seen but whose titles, context, or literature citations insure involvement with terrain modeling (for example, Malyavsky and Zharnovsky, 1974; Brown, 1994; Schneider, 2001). Most remarks are brief, were made hastily, and reflect the author's interest or understanding at the time—commonly descriptive parameters, techniques and data. This informal annotation is not to be construed as a summary of an entry's contents or an appraisal of the work reported—which ranges from trivial to profound. Comments tend to lengthen with the age of the publication, most early work requiring historic context to justify its inclusion. To increase the usefulness of the (eventual) consolidated bibliography, entries in the initial 1993 listing and prior updates are being annotated. Comments have been appended to 61% of the combined 6000 citations, and all entries before 1966.

Topographic Data

Data availability and quality persist as areas of concern in terrain modeling. In the U.S. a new master DEM assembled from all 55,000 1:24,000- and 1:63,360-scale topographic maps eliminates the onerous tiling of multiple 7.5' quadrangles and other data preparation (Gesch and others, 2002). This National Elevation Dataset (NED) is a seamless, continually updated, DEM of uniform horizontal datum (NAD83), unit of height (decimal meters), and projection. Horizontal grid resolution is 1" (nominally 30 m) for the conterminous U.S., Hawaii, and Puerto Rico and 2" for Alaska. Digital filtering during compilation reduces artifacts in the source DEMs (Oimoen, 2000), seamlessly matches adjacent quadrangles, and fills sliver areas of missing data. Because heights for much of the country increasingly are gridded at a spacing of 10 m, a 1/3" (nominally 10 m) DEM is in progress. New national DEMs are not unique to the U.S. For example, Carroll and Morse (1996) describe creation of the GEODATA 9" DEM of Australia and Hutchinson and others (2001) its subsequent upgrading, including discussion of the ANUDEM gridding algorithm to improve data accuracy. In a reversal of conventional experience, contours for the recently completed 1:50,000-scale topographic maps of Ireland were extracted from DEMs generated by digital photogrammetry (Cory and McGill, 1999).

All DEMs are in some respect flawed (Coops, 2000). Most of the error in current DEMs originated in the contour maps from which they were derived and thus cannot be reduced through efforts of the user. Mapaccuracy standards vary widely and do not provide a rigorous evaluation. Production standards, moreover, guarantee only a statistical level of quality; locally, accuracy can be low. Contour maps are merely models-of varying fidelity—of topography, just as DEMs are, in turn, imperfect models of the maps (Ollier, 1967). Contour maps never were intended to provide heights of the high density and accuracy increasingly required for terrain modeling. What can be mitigated to some extent by the DEM user is error originating in contour map-to-DEM processing (Duh and Brown, 1999; Holmes and others, 2000; Lane and others, 2000; Lynch, 2002). Most DEMs currently available have been interpolated from contours by sampling designs and computer algorithms that add artifacts and other distortions inherent in the processing (Shortridge, 2001). Various procedures have been applied to repair some of these flaws (Hutchinson and Gallant, 2000; Oimoen, 2000; Hutchinson, 2001; Gesch and others, 2002).

Production methods that bypass map contours as the source of digital heights can improve DEM quality. Two emerging technologies that measure the true ground-surface directly have the potential to reduce some of the current shortcomings in DEM coverage and accuracy (Maune, 2001). Laser altimetry, particularly LiDAR (light distance and ranging), promises DEMs of fine resolution and high accuracy (Ritchie, 1995; DeLoach and Leonard, 2000; Brock and others, 2002), as well as seafloor bathymetry (Sandwell and Smith, 2000). Radar interferometry (InSAR or IfSAR) yields terrain-height accuracies and resolutions comparable with those generated by optical methods (Small, 1998; Gens, 1999; Dowman, 2000; Hanssen, 2001; Smith, 2002). Interferometry also is used to monitor displacements of topography, for example, by subsidence or surface faulting.

LiDAR's aircraft-mounted lasers record 2000-5000 height measurements per second to a vertical precision of ±15 cm. From these voluminous observations DEMs at a horizontal resolution of about a meter can be prepared for large areas (Hill and others, 2000; Carter and others, 2001). While expensive compared to DEMs compiled photogrammetrically or from digitized contours, LiDAR data are decreasing in cost as techniques of acquisition and processing (notably, filtering out vegetation and manmade structures) improve in efficiency and economies of scale make the data more competitive in the marketplace. LiDAR could become the standard procedure, with digital photogrammetry (Gwinner and others, 2000; Lane and others, 2000; Hancock and Willgoose, 2001), for creating fine-scale DEMs of small areas. For representative applications of LiDAR to terrain modeling see Jansma and others (1999), Cowen and others (2000), and Marks and Bates (2000).

Interferometry, which requires simultaneous or repeated signal acquisitions by synthetic-aperture mapping radar, has delivered a nearglobal DEM at a uniform horizontal resolution of 90 m. Over ten days in February 2000, the Shuttle Radar Topographic Mission (SRTM) system onboard Space Shuttle Endeavour imaged about 80% of Earth's land surface, creating an immense set of terrain heights. The SRTM carried two radar antennas, one aboard the spacecraft, the other at the end of a 60-m mast extending from it. A 3" (nominally 90-m) DEM compiled from mission results (Farr and Kobrick, 2000; NASA, 2002) is taking its place beside the I-km GTOPO30 DEM (Gesch and Larson, 1998; Gesch and others, 1999) that remains the current standard for elevation coverage worldwide. A global 1" (30-m) DEM also is being extracted from the SRTM but only the United States data will be publicly available.

Advanced methods do not assure DEM quality. InSAR, LiDAR, and other remotely-sensed data all contain errors, some of them severe, that are unique to their technologies (Leberl, 1998; Toutin, 1999; Endreny and others, 2000; Ahmadzadeh and Petrou, 2001; Kervyn, 2001; Nuth and others, 2002). The early SRTM results are a cautionary example. Aside from the fact that 1/5 of Earth's land mass (all at high latitudes) was excluded, *relative* vertical accuracy of the new data at the 90% level is expected to average ±10m, a substantial error at the 90-m grid spacing and potentially serious at 30m. Also, because the radar did not penetrate dense vegetation, data in such areas describe the tree canopy rather than bare ground—in which case the new DEM probably reproduces the terrain surface no more faithfully than the NED. NASA (2002) alerts users of SRTM data to "... be aware that the digital ... topographic data are unedited and are intended for scientific use and

evaluation. They are outputs directly from the SRTM interferometric radar processor and, for example, may contain numerous voids (areas without data), water bodies may not appear flat, and coastlines may be ill-defined." Currently available, more accurate, DEMs thus are likely to remain the better data for many areas. Slatton and others (2001) have proposed a way to fuse LiDAR with InSAR to get coverage that is at once accurate, dense, and extensive.

New (?) Parameters

While Wolfgang Pauli's "the surface was invented by the devil!" was lamenting complexities of atomic structure at the surface of a solid, the physicist's exasperation applies equally to terrain modeling. Continuous topography is difficult to express, and many new parameters have been proposed to quantify attributes of terrain that existing measures cannot describe. 'New' terrain measures have included mean elevation (Huber, 1825; Sonklar, 1872) and slope gradient (Tillmann, 1915; Bonniard, 1929), relative relief (*relief energy* in European and Japanese practice; Scheer, 1933; Tada, 1937), the hypsometric integral (Hurtrez and others, 1999; Luo, 2000), and most recently the fractal dimension—which briefly revived the old philosopher's-stone fallacy that a single 'magic number' might suffice to express surface form (Evans and Cox, 1998).

Two approaches recently developed to describe *continuous topography*, as distinguished from discrete *landforms*, are the DEM-based 'terrain fabric' of Guth (1999a, b; 2001) and surface 'openness' of Yokoyama and others (1999, 2002). Terrain fabric characterizes the tendency of a surface to be organized into linear ridges rather than isotropic topography, whereas openness expresses dominance (exposure) versus enclosure of a location on an irregular surface. 'New' parameters, however, rarely are; many describe the same basic attribute of surface form and thus are redundant (Pike, 2001e). In geomorphology, for example, the hypsometric integral differs little from elevation skewness (Pike, 2001a). If, or to what extent, the two most recently proposed candidates mimic existing, and perhaps simpler, measures remains to be determined (Guth, 1999b; Pike, 2001d).

Tectonic Geomorphology as Neo-Orometry

Global DEMs of Earth and Mars, as well as coverage of Earth's seafloor and ice caps, have fostered terrain modeling at broad spatial and temporal scales (van der Beek and Braun, 1998; Clayton and Shamoon, 1999; Whipple and others, 1999). In some respects, this trend returns morphometry to its origins in the quantitative generalities sought by von Humboldt (1817, 1843c) and his successors (Sonklar, 1860, 1866; Stange, 1885), but with a sophistication and emphasis on geologic process that were absent from the older work (Hurtrez and others, 1999; Yamada 1999, 2001a, b; Bendick and Bilham, 2001; Bishop and others, 2002). *Orometry*, the 19th-Century measurement of mountains (Penck, 1894b), is echoed today in *tectonic geomorphology*, which interprets landscape evolution from DEM data and assumptions of physical process that reflect the interplay of mountain building and erosion in regions of active deformation (Summerfield, 2000; Burbank and Anderson, 2001; Pazzaglia and Knuepfer, 2001).

By testing theory in controlled experiments (Ahnert, 1966), the broadscale quantification of topography has helped transform tectonic geomorphology into one of the most active and exciting fields in the Earth sciences (Hovius, 1996; Talling, 1997; Miliaresis and Argialas, 1999a-c; Miliaresis, 2001a, b; Kühni and Pfiffner, 2001a, b; Dietrich and others, 2002). GTOPO30 and other DEM data are being used to model geodynamic and surface processes, rates, and physiographic effects (Whipple and Tucker, 1999; Rice-Snow and Russell, 2000; Montgomery and others, 2001; Montgomery and Brandon, 2002; Azor and others, 2002). In related work requiring large DEMs, classic drainage-basin morphometry has expanded beyond single catchments and fluvial systems (Strahler, 1956, 1958). Current applications include tidal creek systems (Cleveringa and Oost, 1999) and the hydrologic parsing of entire continents (Danielson, 1998; Kumar and others, 2000; Vaughn and others, 1999) and planets (Verdin and Verdin, 1999; Vörösmarty and others, 2000a; Cabrol and Grin, 2001; Smith and others, 2001).

Biogeography

The numerical modeling of terrain is now evident in landscape ecology and wildlife and conservation biology (Meisel and Turner, 1998). These fields traditionally have emphasized spatial over relief attributes of the Earth's surface (Gustafson, 1998; Li, Lu, and others, 2001; Raines, 2002). Although land-surface form affects distribution of plants and the fauna that need them for concealment, food, nesting, and other functions, few habitat models have incorporated topography (Vales, 1996). Even when included, characterization of the ground surface usually is limited to elementary DEM-derived parameters: elevation and slope gradient and aspect—which are insufficient for many field-biological applications.

Such attributes of terrain as roughness, which incorporates both slope steepness and spacing, and site position with respect to the nearest valley bottom or ridge crest are important to local fauna because they influence microclimate, cover from predation, and susceptibility to disturbance by humans. The literature on biogeography is starting to reflect a more complex numerical characterization of topography. Recent examples include topographic determinants of butterfly habitats (Fleishmann and Mac Nally, 2002), ruggedness indices that quantify topographic heterogeneity (Riley and others, 1999) and predict the distribution of musk oxen (Nellemann and Reynolds, 1997), and GIS models such as that by Gustafson and others (2001), which combines hillslope position and its correlation with soil moisture to model the response of salamanders to alternative plans for forest management.

Ice-cap Morphometry

Dating from the pioneering hypsometric curves of Greenland and the Arctic (Meinardus, 1926) and the first DEM of Antarctica (Budd and others, 1984), over two dozen bibliographic entries describe the morphometry of Earth's largest ice-covered surfaces. Topographic measurements are needed to understand the interaction of ice sheets with global climate and sea level. Much of the 10-25 cm rise in sea level over the last 100 years may reflect waning polar ice caps. *Mass balance,* which describes whether an ice sheet is growing, shrinking, or stable, may be estimated from data on the rate of ice thickening or thinning (Krabill and others, 2000). Because polar ice sheets are large, remote, and change slowly, systematic observations on elevation and thickness have been difficult to obtain.

Remote-sensing technology dramatically increased the ease of measurement (Bamber and others, 1998; Bindschadler and others, 1999; Liu and others, 1999; Thomas and others, 1999; Rémy and others, 2001). Not only have accurate DEMs been compiled for the major ice caps (Bingham and Rees, 1999; Bamber and others, 2001), but large 'ice basins' analogous to fluvial catchments have been delimited from the DEMs (Vaughn and other, 1999; Hardy and others, 2000). Other work has quantified subglacial bedrock surfaces and thus estimated ice-cap thickness and volume (Warner and Budd, 2000; Björnsson and others, 2000; Lythe and others, 2001). Not all high-latitude morphometry is of regional extent. Bintanja and others (2001), for example, have quantified fine-scale ripples in polar-cap ice.

The Mars Global DEM

Terrain modeling is indispensable to the investigation of planetary surfaces (Pike, 2001a). Extraterrestrial landforms recently studied from height measurements include impact craters, volcanoes, scarps, and other features on the Moon (Craddock and Howard, 1999), Mercury (Watters and others, 2002), Venus (Bulmer and Wilson, 1999; Herrick and Sharpton, 2000), and the satellites of Jupiter (Schenk and others, 2001; Schenk, 2002). Measurement-driven progress in the quantitative geomorphology of Mars has been spectacular. Over a dozen entries describe morphometric results from topographic data acquired by the Mars Orbiter Laser Altimeter (MOLA), a 10-Hz pulsed infrared-ranging instrument operated in orbit around the planet from 1997 to 2001 aboard the Mars Global Surveyor.

As the mission progressed, a global DEM compiled from range measurements improved in spatial resolution from 59 km to 12 km and to as little as 230 m locally, and in vertical accuracy to ± 1 m (Neumann and others, 2001). The resulting global topographic map is the most accurate of any planet in the solar system (Smith and others, 1999; Zuber and others, 1998a, b, 1999, 2001). Geomorphic findings from the MOLA DEM include confirmation of the extraordinary smoothness of the planet's northern hemisphere (Aharonson and others, 1998), regional hypsometry and slope gradients (Head and others, 1999; Kreslavsky and Head, 2000; Aharonson and others, 2001), discovery of a new multi-ring impact basin (Frey and others, 1999), refinement of crater depth/diameter relations (Garvin and Frawley, 1998; Garvin and others, 2000), and delineation and interpretation of valley networks and watersheds (Smith and others, 2001; Williams and Phillips; Stepinski and others, 2002).

Landslide-hazard Assessment

The flurry of activity in mapping landslide susceptibility since its earlier mention in this series (Pike, 1999) warrants revisiting the topic. Because the morphology of landslide source-areas and deposits can be described in geometric terms, slope failure rivals flooding as the geomorphic hazard most amenable to analysis through terrain modeling. The spread of DEMs and GIS technology has shifted emphasis in morphometry from characterizing individual landslides (Collin, 1846; Simonett, 1967; Waltz, 1971) to regional assessment of slope stability (Jäger and Wieczorek, 1994; Montgomery and Dietrich, 1994; Atkinson and Massari, 1998; Dietrich and Montgomery, 1998b). Much of the recent work has involved the spatial modeling of landslide susceptibility, the relative likelihood that a hillside site will fail upon occurrence of a triggering event, such as an earthquake or heavy or persistent rainfall. Two dozen entries in this report sample a small fraction of the current literature on susceptibility mapping, which combines variously slope gradient, curvature, and aspect with geology, evidence of prior failure, and land use (Larsen and Parks, 1998; Mason and others, 1998; Pack and others, 1999; Bucknarn and others, 2001; Coe and Godt, 2001; Gritzner and others, 2001; Pike and others, 2001).

Physics and Terrain Modeling

Recent interest in theoretical aspects of terrain modeling by researchers who are physicists, mathematicians, or engineers rather than Earth scientists may reflect a maturing of the discipline (Arakawa and Krotkov, 1994; Brown and others, 1994; Dodds and Rothman, 1999, 2000; Glanz, 1999). Complementing this trend, Earth scientists are beginning to publish on topography in physics journals (Clarke, 1997; Pastor-Satorras and Rothman, 1998a, b; Schörghofer and Rothman, 2001). Much of this new work was prompted by the use of topography in explicating fractalsurface phenomena (Dubuc and Dubuc, 1996; Struzik, 1996) and by recognition of self-organizing properties in the landscape (Bak and others, 1987; Halsey, 2000; Mandelbrot, 2002). More attention has been accorded to planimetric description of river networks (Tokunaga, 1994; Newman and others, 1997; Dodds and Rothman, 2001a, b, c) than to the more complicated problem of characterizing relief, or Z-domain, attributes of continuous topography (Mandelbrot, 1985; Koenderink and van Doorn, 1993, 1994, 1998).

Hack's Law

The post-World War II USGS geomorphologist John Hack combined terrain modeling with a more traditional interpretation of field observations (Hack and Goodlett, 1960; Hack, 1965). His enduring 1957 contribution, known as Hack's Law, is an empirical relation with moderate scatter, $L = 1.4 A^{0.6}$,

showing that drainage-basin area *A* increases exponentially with channel length *L*. (see also, Makkaveev, 1955). The significance of the equation was discussed throughout the 1960s and 1970s, centering on debate over the exact value of the exponent—the observed range was 0.47–0.65—and whether it varied regionally and with basin size (Miller, 1958; Mueller, 1972, 1973; Moseley and Parker, 1973; Shreve, 1974). Advances in understanding steady-state scaling of landscape phenomena, resulting from DEM-based analysis of topography in the early 1990s, have revived interest in Hack's Law. Hovius (1996), for example, suggested that the equation was related to the spacing of streams draining mountain belts, while Rinaldo and Rodríguez-Iturbe (1998) considered Hack's Law and basin elongation to be an outgrowth of fractal properties. Among the most recent interpretations are those of Dodds and Rothman (2000, 2001a), Willemin (2000), Birnir and others (2001), and Sivapalan and others (2002).

Broad-scale Visualization

Vigil and others (2000) merged two existing digital images of the lower 48 United States, shaded relief and geologic time (expressed as geologicmap units), into one map, a colored three-dimensional perspective view of the landscape at 1:3,500,000 scale. The resulting digital 'tapestry' is among the more effective combinations of shaded relief with other spatial data and has potential for Earth-science education (Leech and others, 2002). The geologic map, a multi-color, non-uniform vector file, was converted to raster structure and overlaid on the shaded-relief file, a gray-scale raster at a uniform scale. Processing was not routine GIS. Differences between the source maps required various procedures to subdue or remove irregularities in the merged image. Adjusting transparency (opacity), color levels, and contrast of the geologic map to attain an aesthetic and visual balance between shaded relief and geologic-time units was an iterative, trial-and-error process. The final map, occupying a modest 700 MB, did not require high-end hardware or custom programming, but was processed on a PowerMacintosh desktop computer by Adobe Illustrator and Photoshop software. Barton and others (2002, 2003) have created a similar image of the entire North American continent at 1:8,000,000 scale from a later DEM (GTOPO30) and a combined geologic map of Canada, the U.S., and Latin America. Despite the reduced scale, this latest map successfully extends the original tapestry concept.

Other Topics

Terrain modeling has progressed in areas besides those highlighted above. Over 150 references, in 24 of the many subject categories represented in the appended listing, convey the extent of recent developments in morphometry. Most of the following citations touch on several topics:

- ontology, or definition, of terrain and landforms, especially mountains (Agarwal and others, 1996; Mark and Smith, 2002a, b);
- conversion of contour lines to grid DEMs (Gousie, 1998; Gousie and Franklin, 1998; Franklin and Gousie, 1999);
- DEM error and accuracy (Webber, 1995; Giles and Franklin, 1996; Gao, 1997; Gesch, 1998; Duh and Brown, 1999; Lemmens, 1999; Toutin, 1999; Endreny and others, 2000; Gong and others, 2000; Krupnik, 2000; Rees, 2000; López, 2002);
- compression of elevation data (Franklin, 1995; Franklin and Said, 1995; Kidner and Smith, 1997; Ottoson, 2001; Park and others, 2001; Bjørke and Nilsen, 2002);
- impact of DEM error and grid spacing on terrain-modeling applications (Hunter and Goodchild, 1997; Brasington and Richards, 1998; Gesch, 1999; Guth, 1999c; Walker and Willgoose, 1999; Holmes and others, 2000; Wise, 2000; Wolock and McCabe, 2000; Canters and others, 2002);
- the triangulated irregular network, TIN (Brown and others, 1994; Mark, 1997; Ware and Kidner, 1997; Little and Shi, 1998, 2001; Park and others, 2001; Wang and others, 2001; Zhu and others, 2001);
- computing terrain parameters from square-grid DEMs (Weih and Smith, 1996; Jones, 1998; Defourny and others, 1999; Garbrecht and others, 1999; Guth, 1999a, b, 2001; Meyer and others, 2001; Luo, 2002; Shary, 2002; Shary and others, 2002);
- computing terrain parameters from elevation contours and flow lines (Schneider, 1998a, b; Menduni and Riboni, 2000; Mizukoshi and Aniya, 2002);

- visibility analysis and viewsheds (Wang and others, 1996; De Floriani and Magillo, 1999; Franklin, 2000; Messina and Stoffer, 2000; Wang and others, 2000; Kidner and others, 2001; O'Sullivan and Turner, 2001);
- computer visualization of irregular surfaces (Banks and Wickens, 1997; Duchaineau and others, 1997; Valentine and others, 1998, 2001; Eckhardt and others, 2000; Gardner and others, 2000a, b; Malzbender and others, 2001; Mossman, 2001; Yokoyama and others, 2002);
- extracting drainage lines and watersheds from DEMs (Soille and Gratin, 1994; ESRI, 1997; Danielson, 1998; ASCE Task Committee, 1999; Band, 1999; Bertolo, 2000; Djokic and Ye, 2000; Garbrecht and Martz, 2000; Liang and Mackay, 2000; Saunders, 2000; Jones, 2002);
- hillside erosion and slope evolution (Pastor-Satorras and Rothman, 1998a, b; Katsube and Oguchi, 1999; Favis-Mortlock and others, 2000; Iwahashi and others, 2001; Roering and others, 2001);
- fluvial step-pools (Chin, 1999; Chartrand and Whiting, 2000; Duckson and Duckson 2001; Madej, 2001); Jackson and Sturm, 2002);
- self-similar and fractal properties of streams and topography (Tate, 1998a, b; Cleveringa and Oost, 1999; Fagherazzi and others, 1999a-c; Peckham and Gupta, 1999; Pelletier, 1999; Sulebak, 1999; Veneziano and Iacobellis, 1999);
- scaling of river networks and runoff processes (Dietrich and Montgomery, 1998a; Dodds and Rothman, 2000; Schmidt and others, 2000; Veneziano and Niemann, 2000a, b; Fekete and others, 2001; Tang and Day, 2000);
- aeolian dunes (Kar and others, 1998; Wadhawan, 1998; Gay, 1999; Goudie and others, 1999; Jimenez and others, 1999; Sauermann and others, 2000; Bishop, 2001; Al Harthi, 2002);
- glacial landforms (Davis, 1999; Evans, 1999; Etzelmüller and Björnsson, 2000; García-Ruiz and others, 2000; MacGregor and others, 2000; Li and others, 2001a, b);
- volcanic landforms (Rossi, 1999; Stevens and others, 1999; Wichman, 1999; Carn, 2000; Schenk and others, 2001; Stoddard and Jurdy, 2002);

- submarine surfaces and features (Nolan and others, 1999; Adams and Schlager, 2000; Clague and others, 2000; McAdoo and others, 2000; Dunn and others, 2001; Mitchell, 2001);
- karst features (Magdalene and Alexander, 1995; Sykioti and others, 1996; Ferrarese and others, 1998; Whitman and others, 1999; Denizman and Randazzo, 2000);
- relation of ground-surface form to soil properties (Vivas and Paz Gonzalez, 1998; Crawford and others, 1999; Thomas and others, 1999; Bochet and others, 2000; Florinsky and Kuryakova, 2000; Sulebak and others, 2000; Fraisse and others, 2001; Manning and others, 2001; Thompson and others, 2001; Florinsky and others, 2002);
- agricultural fields (Remond and others, 1999; Inamdar and Dillaha, 2000; Fraisse and others, 2001; Takken and others, 2001; Wilson and others, 2001; Planchon and others, 2002; Zobeck and Popham, 2002);
- predicting flood inundation (Cohen and Small, 1998; Ramsey and others, 1998; Small and Cohen, 1999; Bae and others, 2000; Bates and DeRoo, 2000; Marks and Bates, 2000; Nicholls and Small, 2002); and
- numerical classification of terrain, by types and regions (Dikau, 1996; Friedrich, 1996, 1998; Brabyn, 1997; Bivand, 1999; Gimel'farb and others, 1999; Miliaresis and Argialas, 1999a–c; Schmidt and Dikau, 1999; Verdin and Verdin, 1999; Cronin, 2000).

New Books

Book-length publications continue to mark advances in terrain modeling and its supporting technologies. Among recent volumes are those authored by Burbank and Anderson (2001) and edited by Wilson and Gallant (2000), Maune (2001), and Pazzaglia and Knuepfer (2001). Papers from three morphometry-oriented sessions of the 5th International Conference on Geomorphology in Tokyo (2001) are being edited by Evans, Dikau, Tokunaga, Ohmori, and Hirano for a 2003 book provisionally titled <u>Concepts and Modeling in Geomorphology</u>.

Publication of <u>Terrain Analysis</u>: <u>Principles and Applications</u> (Wilson and Gallant, 2000) was a major event. Celebrating the work of Ian Moore (1951-1993), the book began as the proceedings of a 1996 Australian workshop, Creation and Applications of DEMs in Land Resource

Assessment. Much updated from the papers read at the meeting, the book focuses on TAPES (Terrain Analysis Programs for the Environmental Sciences), a set of computer algorithms for quantifying terrain with special reference to hydrology and ecology (for example, Moore and others, 1988). Among the most informative of the 16 chapters are the first five—by Gallant and Wilson, Hutchinson and Gallant, Wilson and Gallant (two), and Wilson and others (all 2000)—which introduce and describe the various TAPES programs. The remaining 11 articles report a variety of applications, some of which illustrate the chronic problem of noisy DEMs.

Digital Elevation Model Technologies and Applications, edited by David Maune (2001) for the American Society for Photogrammetry and Remote Sensing, is subtitled <u>The DEM Users Manual</u>. Prepared by industry specialists in remote sensing rather than by academic scientists, the book is strong on the basics of acquiring and preprocessing square-grid digital elevation data, principally for the U.S. Applications in terrain modeling *per se* are limited to a few examples. After an introduction to DEM terminology and concepts, the remaining 12 chapters address vertical datums, accuracy standards, the USGS National Digital Elevation Program, photogrammetry, IfSAR, Topographic LiDAR, airborne LiDAR bathymetry, Sonar, the various enabling technologies, a sampling of DEM applications, DEM quality assessment, and likely requirements of the DEM user. While useful, much of the material could quickly become dated by advances in techniques of data acquisition and processing.

Quantified topography is essential to the analysis of landscapes shaped by diastrophism. The last two chapters of Burbank and Anderson's (2001) textbook <u>Tectonic Geomorphology</u> draw from published research into the DEM-based modeling of geodynamic and surface process. Illustrated are elevation and slope distributions for highland subregions, drainage spacing as a function of mountain-belt width, valley height/width ratios and other morphometric attributes, and models of landscape evolution constructed from the diffusion equation and a range of assumptions about process and temporal and spatial scale. In addition, five of the eight papers in a special 2001 volume of the American Journal of Science edited by Pazzaglia and Knuepfer, <u>The steady-state orogen</u>: <u>concepts</u>, field observations, and models—by Whipple, Pazzaglia and Brandon, Montgomery, Willett and others, and Stark and Stark—contain DEM-based analyses of erosion and tectonism that contribute to understanding the evolution of mountain topography.

Two books, by Stout and others (2000) and edited by Stout and Blunt (2000), update the three-dimensional quantification of micro- and nano-

surfaces from ultra-fine-scale DEMS. This 1990s breakthrough in technique revolutionized the field of industrial-surface metrology, terrain modeling's sister discipline in manufacturing and production engineering. Shorter advances in 3-D metrology include Stout and others (1999); Thomas and others (1999); Blunt and Stout (2001); Wieczorowski (2001); and Assender and others (2002). Among works of historical importance that have come to light are Abbott and Firestone (1933), Kramrisch (1935), and Schmaltz (1936). Pike (2000b, 2001b, c) explored the convergence of Earth-science and industrial practices of surface quantification.

A New Internet Resource

The visibility of terrain modeling on the World Wide Web grew in 2000 with the inauguration of an on-line bulletin board, The Geomorphometry Mailing List. Maintained by Dr. George Miliaresis, a former student of Demetre Argialas (Argialas and Miliaresis, 1997b, 2000, 2001) and now in the Department of Surveying and Regional Planning at the National Technical University of Athens, the English-language list had about 400 subscribers by late 2002. The URL is

http://groups.yahoo.com/group/geomorphometry/. Miliaresis' list "... points out information resources for ... geomorphometry and the processing of digital elevation models, related conferences, data availability, algorithms and methods, scientific news, etc. The aim is to promote geomorphometry to new scientists and to integrate advances in geomorphometry and news that are distributed in various fields (remote sensing, geography, geology, surveying, etc.)." Besides serving as a focus for the terrain-modeling community, the list supplements the aging 1999 on-line article Web Resources Compiled For Terrain Modeling, at http://www.agu.org/eos_elec/97260e.html. Other new Internet resources include Discoe (2002), on terrain rendering and animation, and Childs (2002), a repository of current hands-on experience in terrain modeling and digital mapping.

Early Morphometry: Ridges and Watercourses

About 200 of the bibliographic entries listed in this report are over fifty years old and half of them predate 1900. The concepts evolved from 19th-Century orometry and later obsolete work, distant as they are, have shaped much of today's terrain modeling. John Playfair's (1802)

explication of the ideas of James Hutton, for example, recognized not only an orderly confluence of streams and their valleys, but also that the upstream angle at which a tributary meets its trunk stream generally is acute (1802, p. 113-114). The latter observation, which was known to Immanuel Kant (1803, v. 3, p. 18) may be even older. A prescient mid-19th Century contribution, although it little affected the science because it was so advanced for the time, is the 1834 paper by Julian Jackson, who devised a primitive—but unmistakable—precursor to the Gravelius-Horton-Strahler system of stream ordering.

Among the best examples of current terrain modeling rooted in early practice is the geometric representation of topographic curvature. Two dozen entries in this report, which elaborate on the historic material discussed in Rieger (1997) and López (1997, 1999) as well as on my translations of short passages from some of the following citations, chronicle the 200-year evolution of mathematical definition of ridges, watercourses, and hillside flow-lines. The 19th-Century context is revealing: While German geographers were quantifying Küstenentwicklungen, 'coastal development' or more accurately its degree of planform convolution (Humboldt, 1817, 1835; Nagel, 1835; Reuschle, 1869)—an intricate coastline was thought to favor the rise of 'more advanced', i.e. industrialized, societies)—or calculating the volume and mean height of mountains and continents (Humboldt, 1843c; Koristka, 1858; Sonklar, 1872; Penck, 1886, 1894b), French civil engineers and mathematicians were developing a geometric model to characterize topography's most fundamental features.

Well before Arthur Cayley's 1859 paper "On hills and dales" and Carl Gauss' (1827) paper on curved surfaces, Dupuis de Torcy and Brisson (1808, reprinted in Brisson, 1829) conceptualized topographic ridges and valleys as special cases of downslope flow-lines normal to height contours. (Cayley and Gauss cited neither of these nor their other French predecessors identified below.) This early (the first?) representation of the land surface by descriptive geometry—Barnabé Brisson, a geometer and civil engineer, was a student of Gaspard Monge, the inventor of descriptive geometry—arose from a practical problem. The French had been the first to map height contours regionally, but also were leaders in the engineering of modern canals. The lay of the land and the design compromises it forced upon civil engineers were major considerations in estimating the cost of canals, which could either follow a straight course or trace a sinuous path dictated by the terrain. A canal aligned along relief contours resulted in a longer and less direct course, but required fewer expensive earthworks and locks. Dupuis de Torcy and Brisson

proposed applying descriptive geometry to the spot heights indicated on topographic maps, rather than employing the usual field surveys, to locate the divides that separate adjacent large watersheds—thus identifying candidate canal-routes and facilitating cost estimates for cutand-fill engineering.

This pioneering work in applied surface-geometry was picked up by J.C. Saint-Venant (1852). The French mathematician and civil engineer was perhaps the first to define ridges and valleys explicitly as points of minimum slope—compared to other points at the same elevation—although he did not specify the zero-sloping flow-lines that form the drainage pattern. Shortly thereafter, his countryman P.-E. Breton de Champ (1854) offered a new theorem to redress this shortcoming and elaborated his proposed solution in subsequent papers (1861, 1867, 1870, 1877). Breton de Champs' earliest work precedes the 1858 paper "Démonstration d'une propriété général des surfaces fermées" of Ferdinand (née Frédéric) Reech, the Alsatian thermo- and hydrodynamicist who specified 'critical points' of zero slope on continuous smooth surfaces in descriptive-geometric terms. (A free English translation of Reech's paper was rendered by Warntz, 1967). The hydrodynamicist and mathematical physicist Joseph Boussinesg, a pupil of Saint-Venant, also noted that Saint-Venant's 1852 formulation was incomplete, and developed his own ideas (Boussinesq, 1871, 1872a, b) in a series of exchanges with the French scientist and mathematician M.E.C. Jordan (1872a, b, c). None of the post-1858 works referred to here cite Reech's paper.

The problem of describing slope curvature appears to have attracted little further attention until Müller (1912) cited some of the older French papers in his textbook, wherein he ascribed the earliest descriptive-geometric treatment of ridges and watercourses to Dupuis de Torcy and Brisson (1808). Evidently stimulated by Müller's retrospective, Rothe (1915) further reviewed the French literature, criticizing the formulation of Jordan, and devised yet another geometric definition of ridges and valleys that he claimed solved the problem. Decades lapsed until Rothe's definition was noticed by present-day investigators concerned with the mathematical description of complex surfaces other than topography. Recently, Rothe's work was rediscovered by López (1997, 1999) and by Rieger (1997; pers. comm., e-mail, 09/2001), who disputes the Rothe solution and prefers Jordan's (1872a) definition of ridges and watercourses. Not all contemporary work stems from the foregoing evolution. The characterization of terrain-surface curvature by Shary (2001) and Shary and others (2002), for example, is grounded in the

concepts articulated by Gauss (1827) as also, evidently, has been the curvature-based terrain work of Krcho (1983, 1999).

The descriptive-geometric representation of ridge lines and watercourses is powerful and widely applied (Reeb, 1946; Kweon and Kanade, 1994; Brassard, 1998; Rana and Morley, 2002). Terrain-derived concepts have helped shape research in computer vision and image segmentation, much of which characterizes surfaces other than terrestrial landscapes (Burl and others, 1994; López, 1997; Rieger, 1997; Souille, 1999). The most recent development in machine vision, on-the-fly rendering of digital terrain (Duchaineau and others, 1997), brings the descriptive geometry of irregular surfaces full circle, to natural topography, as computer-game developers attempt to create realistic animations of landscapes for commercial video products (Lindstrom and others, 1996; Blow, 2000; Discoe, 2001). This cutting-edge application of terrain modeling to leisure-time mass entertainment probably commands more financial resources than all geomorphic and hydrologic morphometry combined. Most topographic animation employs some variant of the TIN model (Ware and Kidner, 1997). The military follows a similar approach in some of its three-dimensional simulations of battlefield scenarios (Banks and Wickens, 1997; Thompson and others, 1998), although other defense applications are based on square-grid DEMs (Franklin, 1994).

Citation Accuracy and Additions

Incorrect and incomplete citations—through failure to consult original works, careless manuscript preparation, unproofed typesetting, or, recently, computer errors—are an irritating fact of life. The author tried not to perpetuate them here—or worse, create new ones. However, mistakes invariably enter a large and detailed reference list even when, as in this case, all entries were recorded by one individual in a computer file that has been repeatedly checked and updated. Instances of the errors noted above remain and are the author's responsibility. May they be few and not unduly misleading. Mistakes and omissions found by readers should be referred to the author so that corrections can be released in an addendum or in a more formal publication of the bibliography.

Contributions to this archive from its readers would help fill gaps in the terrain-modeling record, improve annotation, and correct mistakes. Especially desired are current and historical morphometric references that are not readily available in the United States, such as non-English-

language publications from central and eastern Europe and declassified military reports. Work from France and India also is underrepresented. The earlier bibliographies in this series are available for exchange for copies of contributed papers. To reduce ambiguity and ensure accuracy, please send reprints or photocopies of contributions rather than just the citations, if possible. However, new entries can be added from the following brief information:

- 1. photocopy of title page, or
 - title of the work, and
 - the name(s) of author(s); surname plus two initials (or, if one given name, then spelled out)
- 2. year of publication
- 3. *complete* citation of journal or other form of publication (book, conference proceedings, and so forth), including volume number, issue number, and inclusive pages. For meetings give location and dates; for books the name of city and publisher
- 4. for publications in languages other than French, German, and Spanish, an English translation of the title and source only.

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Acknowledgments: I thank Igor Florinsky for his list of Russian references and Stefan Rasemann, Antonio López, Ronny Peikert, and Joachim Rieger for copies of French and German publications as well as leads to further sources of information. Comments by USGS colleagues Phil Stoffer and Charles Powell II improved the report.

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Η

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Κ

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[area/perimeter relation; Küstengliederung (coastal arrangement) in %, e = (100/U)(U-K); for K see Rohrbach, 1890]

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Ν

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of Design, Laboratory for Computer Graphics and Spatial Analysis, *for* Geography Branch, Office of Naval Research, Project NR 389-147, Technical Report, p. 11-94, 173-184. [11 sections review network, surface topology; 'rediscovery' of concept origins; cites Reech (1858, including a free transl.), Cayley, & Clerk Maxwell]

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Bulletin de la Société Géologique de la France, v. 171, no. 6, p. 637-648. [6 DEMs, difference maps, trace morph. evolution of a complex flow slide]

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 2. [data of Rutkis, 1971 (100 km² circles); 1/18M, 8 geometric intervals; originial was in color at 1/4M]
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http://www.geog.le.ac.uk/jwo/research/conferences/sdh98/index.html. [quadratic interpolation of continuous surfaces by semi-axes of conic sections]

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- Xu, Wei, and Cumming, Ian, 1999, A region-growing algorithm for InSAR phase unwrapping: IEEE Transactions on Geoscience and Remote Sensing, v. 37, no. 1, p. 124-134. [resulting DEM OK re. map heights; good but time-consuming]

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- Yamada, Shuji, 2001a, Classification and geomorphometry of Japanese mountains based on mountain ordering: Journal of Geography, v. 110, no. 1, p. 79-93. [applied neo-orometry fr 1/500K maps; relief= H/A^{0.5}, where H= height & A= area; rel. R= ∑ h_i/H, where h_i =height of enclosed lower-order mtn.; perimeter fractal D; all 3 are related in Japan]
- Yamada, Shuji, 2001b, Evaluation of topographic naturalness of anthropogenically modified mountains: Geographical Review of Japan, v. 74A, no. 11, p. 643-657. [applied neo-orometry; 3 params. defined in 1999 & 2001a differ for developed & undeveloped mtn areas on 1/25K maps]
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- Yin, Z.-Y., and Wang, Xinhao, 1999, A cross-scale comparison of drainage basin characteristics derived from digital elevation models: Earth Surface Processes and Landforms, v. 24, no. 6, p. 557-562. [1/250K vs. 1/24K; elev. & length params. OK, but not slope params.]
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