

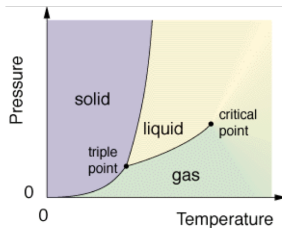
# Phases of Strongly Interacting Matter

Jochen Wambach

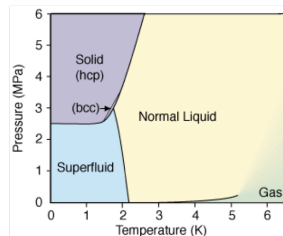
TU-Darmstadt, GSI

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$\text{H}_2\text{O}$

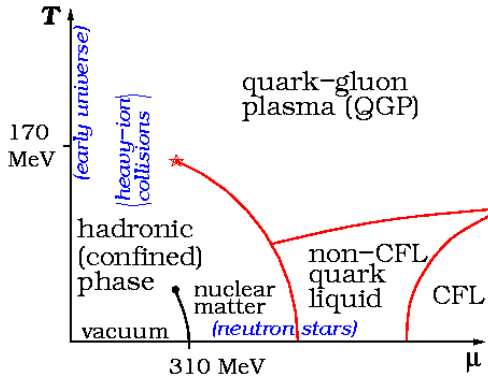


$^4\text{He}$



phase diagrams are labeled by intensive variables  
 in QCD:  $T$ ,  $N_f$  quark masses,  $N_f$  chemical potentials

$$\Omega(T, \mu_i; m_i)$$



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# chiral symmetry

separation into sectors of 'light' and 'heavy' quarks

$$\mathcal{L}_{QCD} = \mathcal{L}_{light} + \mathcal{L}_{heavy}$$

$$\mathcal{L}_{light} = -\frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} + \bar{q}(i\gamma^\mu D_\mu - \mathcal{M}_q)q; \quad \mathcal{L}_{heavy} = \bar{Q}(i\gamma^\mu D_\mu - \mathcal{M}_Q)Q$$

light quarks ( $m_u, m_d, m_s = 0$ )

left and right handed quark fields

$$q_{L,R} = \frac{1}{2}(1 \mp \gamma_5)q$$

$\mathcal{L}_{light}^0$  invariant under  $U(3)_L \times U(3)_R$

$$q_{L,R} \rightarrow e^{-i\theta_{L,R}\lambda_a} q_{L,R}$$

conserved Noether currents

$$J_{L,R}^\mu = \bar{q}_{L,R}\gamma^\mu\lambda_a q_{L,R}, \quad \partial J_{L,R}^\mu = 0$$

vector and axial-vector current

$$J_{V,a}^\mu = J_{L,a}^\mu + J_{R,a}^\mu = \bar{q}\gamma^\mu\lambda_a q$$

$$J_{A,a}^\mu = J_{L,a}^\mu - J_{R,a}^\mu = \bar{q}\gamma^\mu\gamma_5\lambda_a q$$

charges

$$Q_V^a = \int d^3x q^\dagger(x)\lambda_a q(x)$$

$$Q_A^a = \int d^3x q^\dagger(x)\gamma^5\lambda_a q(x)$$

commute with  $H_{QCD}^0$



# spontaneous chiral symmetry breaking

'Wigner-Weyl' realisation:

$$Q_V^a |0\rangle = Q_A^a |0\rangle = 0$$

→ parity doublets in the hadron spectrum

not observed!

'Nambu-Goldstone' realisation:

$$U(3)_L \times U(3)_R \sim$$

$$SU(3)_V \times SU(3)_A \times U(1)_B \times U(1)_A$$

$$Q_V^a |0\rangle = 0; \quad Q_A^a |0\rangle \neq 0$$

$$SU(3)_V \times SU(3)_A \rightarrow SU(3)_V$$

→ massless 'Goldstone' bosons

$$|\pi_a\rangle = Q_A^a |0\rangle$$

$$H_{QCD}^0 |\pi_a\rangle = Q_A^a H_{QCD}^0 |0\rangle = 0$$

quarks condense into scalar quark-antiquark pairs

$$\langle 0 | \bar{q} q | 0 \rangle \equiv \langle \bar{q} q \rangle \equiv \langle \sigma \rangle \neq 0$$

formal connection:

$$P_a(x) = \bar{q}(x) \gamma^5 \lambda_a q(x)$$

$$[Q_A^a, P_b] = -\delta_{ab} \bar{q} q$$

$$\rightarrow Q_A^a |0\rangle \neq 0 \rightarrow \langle \bar{q} q \rangle \neq 0$$

Goldstone's theorem implies

$$\langle 0 | J_{A,a}^\mu | \pi_b(p) \rangle = -i \delta_{ab} F_\pi p^\mu e^{-ipx}$$

$$F_\pi = 92.4 \pm 0.3 \text{ MeV}$$



# Z(3) symmetry

QCD partition function:

$$Z(V, T, \mu) = \int \mathcal{D}[q, \bar{q}, A_\mu^a] \exp \left[ - \int_0^{1/T} d\tau \int_V d^3x \left( \mathcal{L}_{QCD}^E - i\mu q^\dagger q \right) \right]$$

boundary conditions:

$$A_\mu(\tau + 1/T, \vec{x}) = A_\mu(\tau, \vec{x}); \quad q(\tau + 1/T, \vec{x}) = -q(\tau, \vec{x}); \quad A_\mu \equiv (\lambda_a/2)A_\mu^a$$

QCD Lagrangian invariant under local transformations:  $g(x) = e^{ig_s \Theta^a(x) \lambda_a/2}$

$$q(x) \rightarrow {}^g q(x) = g(x)q(x)$$

$$A_\mu(x) \rightarrow {}^g A_\mu(x) = g(x) \left( A_\mu(x) + \frac{i}{g_s} \partial_\mu \right) g^\dagger(x)$$

boundary conditions put constraints on the allowed gauge transformations

# Z(3) symmetry

$$g(\tau + 1/T, \vec{x}) = hg(\tau, \vec{x}); \quad h \in SU(3) \text{ constant 'twist' matrix}$$

then

$${}^g A_\mu(\tau + 1/T, \vec{x}) = h {}^g A_\mu(\tau, \vec{x}) h^\dagger$$

since  ${}^g A_\mu$  still has to obey a periodic boundary condition

$$h = z1; \quad z = \exp(2\pi in/3), \quad n = 1, 2, 3$$

for quarks

$${}^g q(\tau + 1/T, \vec{x}) = g(\tau + 1/T, \vec{x}) q(\tau + 1/T, \vec{x}) = -zg(\tau, \vec{x}) q(\tau, \vec{x}) = -z {}^g q(\tau, \vec{x})$$

antiperiodic boundary condition only allows for  $z = 1$   
the center symmetry disappears

still useful as an *approximate* symmetry of QCD !

# 'Static' quarks

use 'static quarks' to probe the physics of the gauge fields

*infinitely heavy 'test' quarks* are described by a 'Polyakov loop' (closed Wilson loop around the periodic  $\tau$  direction)

$$L(\vec{x}) = \text{Tr}_c \left[ \mathcal{P} \exp \left( i \int_0^\beta d\tau A_4(\tau, \vec{x}) \right) \right] \quad \text{complex scalar field}$$

transforms non-trivially under  $Z(3)$

$$g L(\vec{x}) = z L(\vec{x})$$

Polyakov loop expectation value

$$\langle L(\vec{x}) \rangle = \frac{1}{Z_{YM}} \int \mathcal{D}[A_\mu^a] L(\vec{x}) \exp(-S_{YM}^E) = \exp(-\beta F_Q(\vec{x}))$$

measures the free energy of a static test quark at position  $\vec{x}$ .

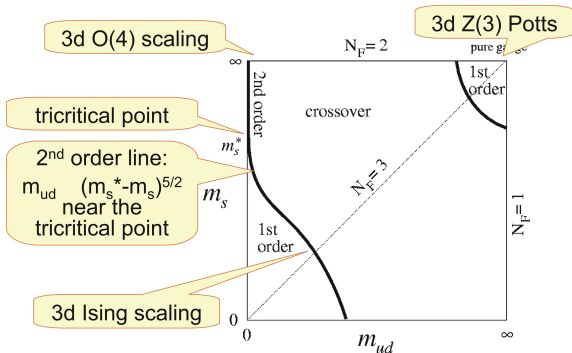
- small  $T$  color confined  $\rightarrow F_Q = \infty$  and  $\langle L \rangle = 0$
- high  $T$  quarks and gluons deconfined  $\rightarrow F_Q$  finite and  $\langle L \rangle = L_0 \neq 0$

**at high  $T$  the  $Z(3)$  symmetry is spontaneously broken!**





$Z(3)$  center symmetry of  $SU(3)_c$  exact for infinitely heavy quarks  
 $SU(3)_L \times SU(3)_R$  exact for massless  $m_u, m_d, m_s$   
 → phase diagram (Pisarski, Wilczek)



# The NJL model

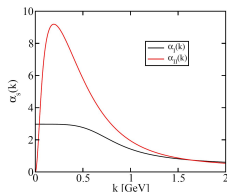
only chiral symmetry aspects

QCD current-current coupling:

$$S_{int} = \frac{1}{2} \int d^4x d^4y J_a^\mu(x) g_s^2 D_{\mu\nu}^{ab}(x-y) J_b^\nu(x); \quad J_a^\mu = \bar{q} i \gamma^\mu t_a q$$

at large distances (small momenta  $k < \Lambda$ )

$$D_{\mu\nu}^{ab}(k) = \delta_{ab} [G_c \theta(\Lambda - k) + \dots] \left( \delta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right)$$



$$\mathcal{L}_{int} = G_c J_a^\mu(x) J_a^\mu(x) \xrightarrow{\text{Fierz}} G[(\bar{q}q)^2 + (\bar{q}i\gamma_5\vec{\tau}q)^2] \dots$$

**NJL model** ( $N_f = 2$ )

$$\mathcal{L} = \bar{q}(i\cancel{\partial} - m_q)q + G[(\bar{q}q)^2 + (\bar{q}i\gamma_5\vec{\tau}q)^2]$$

# Thermodynamics

partition function:

$$\mathcal{Z}(V, T, \mu) = e^{-\Omega V/T} = \text{Tr} \exp \left( -\beta \int_V d^3x (\mathcal{H}_{NJL} - \mu \bar{q}^\dagger q) \right)$$

with:

$$\mathcal{H}_{NJL} = \bar{q}(-\vec{\gamma} \cdot \nabla + m_0)q - G[(\bar{q}q)^2 + (\bar{q}\gamma_5 \vec{\tau}q)^2]$$

thermodynamic potential (per volume):

$$\Omega(T, \mu) = - \lim_{V \rightarrow \infty} \frac{T}{V} \ln \mathcal{Z}(V, T, \mu); \quad \Omega = \epsilon - Ts - \mu n$$

EoS:

$$p = -\Omega; \quad s = -\frac{\partial \Omega}{\partial T}; \quad n = \frac{\partial \Omega}{\partial \mu}; \quad \epsilon = Ts - p + \mu n; \quad \langle \bar{q}q \rangle = -\frac{\partial \Omega}{\partial m_0}$$

(static) susceptibilities:

$$\chi_{\mu\mu} = -\frac{\partial^2 \Omega}{\partial \mu^2}; \quad \chi_{TT} = -\frac{\partial^2 \Omega}{\partial T^2}; \quad \chi_{\mu T} = -\frac{\partial^2 \Omega}{\partial \mu \partial T}; \quad \chi_m = -\frac{\partial^2 \Omega}{\partial m_0^2}$$

# Mean field approximation

linearization of the Lagrangian:

$$\mathcal{L} = \mathcal{L}_0 + \delta\mathcal{L} \quad \rightarrow \quad \Omega_0(T, \mu) + \delta\Omega(T, \mu)$$

$$\bar{q}q = \langle \bar{q}q \rangle + \delta_\sigma; \quad \delta_\sigma = \bar{q}q - \langle \bar{q}q \rangle$$

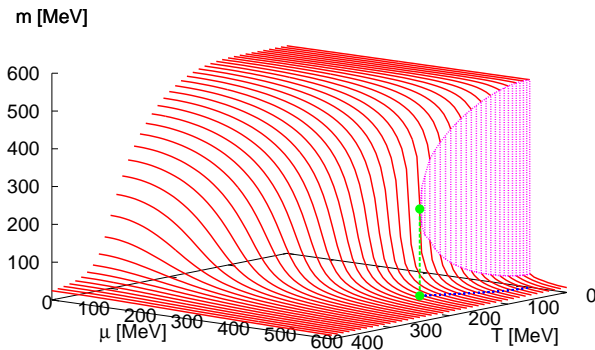
$$\mathcal{L}_0 = \bar{q}(i\not{\partial} - \underbrace{(m_0 - 2G \langle \bar{q}q \rangle)}_{m=m_0+\Sigma(m)})\psi - G \langle \bar{q}q \rangle^2$$

grand potential:

$$\Omega_0(T, \mu; m) = \frac{(m - m_0)^2}{4G} - 12 \int \frac{d^3p}{(2\pi)^3} \left[ \tilde{E}_p + T \ln \left( 1 + \exp \left( -\frac{E_p - \mu}{T} \right) \right) + T \ln \left( 1 + \exp \left( -\frac{E_p + \mu}{T} \right) \right) \right]$$

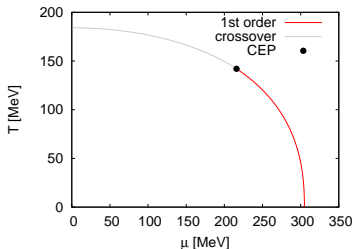
# evolution of the chiral order parameter

$$\Omega_0(T, \mu; m) \rightarrow \frac{\partial \Omega_0}{\partial m} = 0$$



# critical endpoint (CEP)

second-order phase transition:



at the CEP chiral and number suscept.  
 diverge!

$$\chi_m, \chi_{\mu\mu} \equiv \chi_q \rightarrow \infty$$

universality class: 3D Ising model

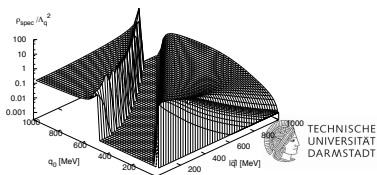
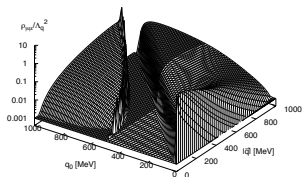
$$\chi_q \sim |g - g_c|^{-\epsilon}; \quad g = T, \mu$$

$$\epsilon = 0.78; \quad 2/3 \text{ (mean field)}$$

isothermal compressibility:

$$\kappa_T \equiv \frac{1}{V} \left( \frac{\partial V}{\partial P} \right)_{T, \mu} = \frac{\chi_q}{n_q^2}$$

→  $\chi_q$  large system easy to compress



# physical nature of the CEP

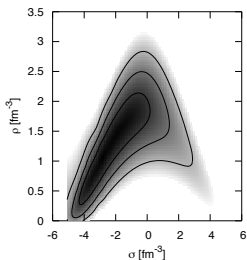
Ginzburg-Landau functional:

$$F(T, n, \sigma) = \Omega(T, \mu, m) - \mu n - m \sigma$$

$$F(T, n, \sigma) = \int d^3x \left[ \frac{a}{2} (\partial_i \sigma)^2 + b \partial_i \sigma \partial_i n + \frac{c}{2} (\partial_i n)^2 + V(\sigma, n) \right]$$

$$V(\sigma, n) = \frac{A}{2} \sigma^2 + B \sigma n + \frac{C}{2} n^2 + \dots$$

CEP:  $AC = B^2$



flat direction:  $\sigma/n = -B/A = -C/B$


$$\chi_m \sim \frac{TC}{\Delta}, \quad \chi_q \sim \frac{TA}{\Delta}; \quad \Delta = AC - B^2$$

diverge at the CEP ( $\Delta = 0$ )

not sufficient:  $A < C$  or  $A > C$ ?

for  $\mu \rightarrow 0$  mixing vanishes, i.e.  $B \rightarrow 0$

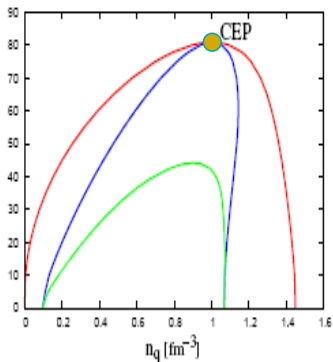
soft mode is  $\sigma$  hence  $A < C$

**CEP not liquid-gas transition!** 

implications for dynamical universality class!

# 1<sup>st</sup>-order region and spinodals

$$m_q \neq 0$$



spinodal lines:

$$\left(\frac{\partial P}{\partial V}\right)_T = 0 \quad : \quad \text{isothermal}$$

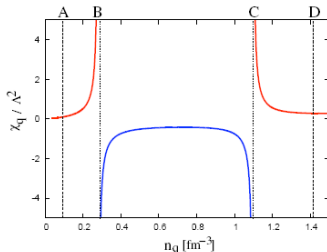
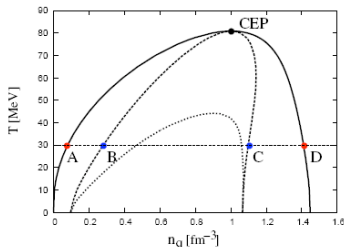
$$\left(\frac{\partial P}{\partial V}\right)_S = 0 \quad : \quad \text{isentropic}$$

$$\left(\frac{\partial P}{\partial V}\right)_T = \left(\frac{\partial P}{\partial V}\right)_S + \frac{T}{c_v} \left[\left(\frac{\partial P}{\partial T}\right)_V\right]^2$$



# quark number susceptibility

deviation from equilibrium, large fluctuations induced by instabilities

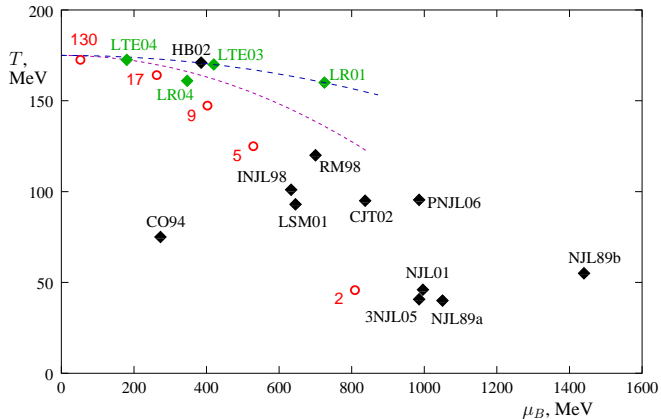


- at first-order point (A,D):  $\chi_q \equiv \chi_{\mu\mu}$  finite
- at isothermal spinodal point (B,C):  $\chi_q$  diverges and changes sign

$$\left(\frac{\partial P}{\partial V}\right)_T = -\frac{n_q^2}{V} \frac{1}{\chi_q}$$

- in the unstable region (B-C):  $\chi_q$  is finite and negative

small large model dependence in the location of the CEP!



# The PNJL model

Polyakov loop variable:

$$\Phi(x) \equiv \frac{1}{N_c} \langle L(x) \rangle \rightarrow \phi = \frac{1}{3} \text{Tr}_c \exp \left[ \frac{iA_4}{T} \right]$$

Lagrangian:

$$\mathcal{L}_{PNJL} = \bar{q}(i\mathcal{D}(\phi) - m_q)q + G[(\bar{q}q)^2 + (\bar{q}i\gamma_5\vec{\tau}q)^2] - U(\phi, \phi^*)$$

covariant derivative:

$$\mathcal{D}(\phi) = \not{\partial} - i\gamma_4 A_4(\phi)$$

effective potential:

$$U(\phi, \phi^*)/T^4 = -\frac{b_2(T)}{2} \phi^* \phi - \frac{b_3}{6} (\phi^3 + \phi^{*3}) + \frac{b_4}{4} (\phi^* \phi)^2$$

with

$$b_2(T) = a_0 + a_1 \left(\frac{T_0}{T}\right) + a_2 \left(\frac{T_0}{T}\right)^2 + a_3 \left(\frac{T_0}{T}\right)^3$$

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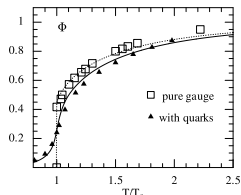
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$$T_0 = 270 \text{ MeV}$$

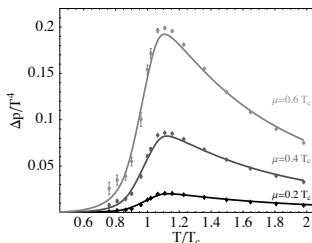
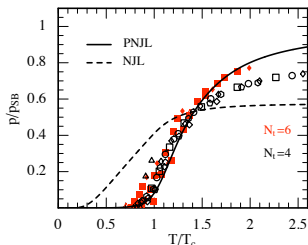
$a_0$	$a_1$	$a_2$	$a_3$	$b_3$	$b_4$
6.75	-1.95	2.625	-7.44	0.75	7.5



# Results

thermodynamic potential:

$$\Omega_0(T, \mu; m) = U(\phi, \phi^*) + \frac{(m - m_0)^2}{4G} - 2N_f T \int \frac{d^3 p}{(2\pi)^3} \left[ \ln \left( 1 + 3(\phi + \phi^* e^{-(E_p - \mu)/T}) e^{-(E_p - \mu)/T} + e^{-3(E_p - \mu)/T} \right) + \ln \left( 1 + 3(\phi^* + \phi e^{-(E_p + \mu)/T}) e^{-(E_p + \mu)/T} + e^{-3(E_p + \mu)/T} \right) \right]$$



# The PQM model

quarks coupled to  $(\sigma, \vec{\pi})$ -fields and the Polyakov loop

Lagrangian:

$$\mathcal{L}_{PQM} = \bar{q} [i\not{D}(\phi) - G(\sigma + i\gamma_5 \vec{\tau} \vec{\pi})] q + \frac{1}{2}(\partial_\mu \sigma)^2 + \frac{1}{2}(\partial_\mu \vec{\pi})^2 - U(\sigma, \vec{\pi}) - U(\phi, \phi^*)$$

$$U(\sigma, \vec{\pi}) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

thermodynamic potential:

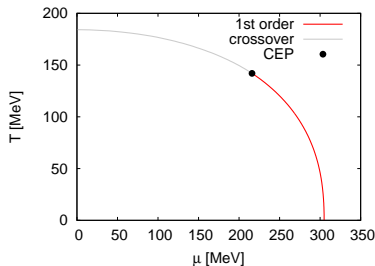
$$\Omega_0(T, \mu; m) = U(\phi, \phi^*) + U(\sigma) + \Omega_0^{\bar{q}q}$$

$N_f$  and  $\mu$ -dependence of  $T_0$

$$T_0(N_f, \mu) = T_\tau e^{-1/\alpha_\tau b(\mu)}$$

$$b(\mu) = \frac{1}{6\pi} (11N_c - 2N_f) - \frac{16}{\pi} N_f \frac{\mu^2}{T_\tau^2}$$

$N_f$	0	1	2	2+1	3
$T_0$ [MeV]	270	240	208	187	178



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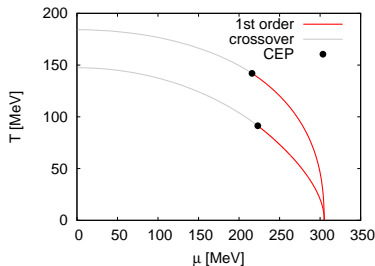
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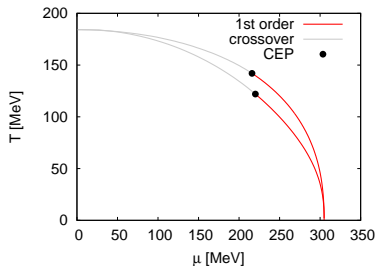
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$$U(\sigma, \vec{\pi}) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

thermodynamic potential:

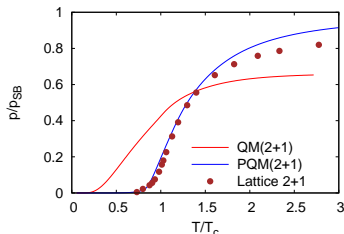
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$N_f$	0	1	2	2+1	3
$T_0$ [MeV]	270	240	208	187	178



# The PQM model

quarks coupled to  $(\sigma, \vec{\pi})$ -fields and the Polyakov loop

Lagrangian:

$$\mathcal{L}_{PQM} = \bar{q} [i\not{D}(\phi) - G(\sigma + i\gamma_5 \vec{\tau} \vec{\pi})] q + \frac{1}{2}(\partial_\mu \sigma)^2 + \frac{1}{2}(\partial_\mu \vec{\pi})^2 - U(\sigma, \vec{\pi}) - U(\phi, \phi^*)$$

$$U(\sigma, \vec{\pi}) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

thermodynamic potential:

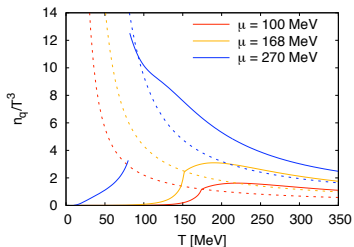
$$\Omega_0(T, \mu; m) = U(\phi, \phi^*) + U(\sigma) + \Omega_0^{\bar{q}q}$$

$N_f$  and  $\mu$ -dependence of  $T_0$

$$T_0(N_f, \mu) = T_\tau e^{-1/\alpha_\tau b(\mu)}$$

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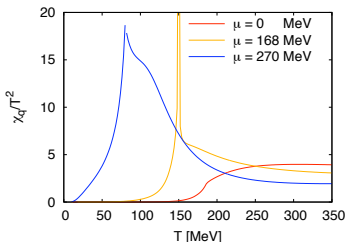
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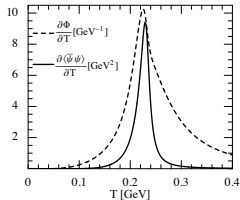
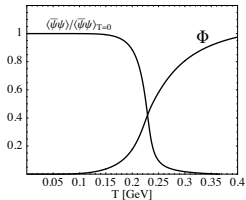
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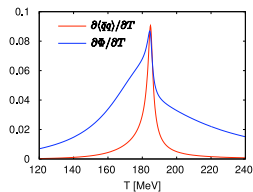
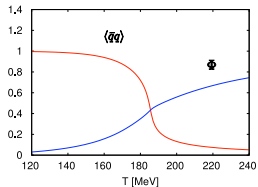


# PNJL vrs PQM

PNJL ( $\mu = 0$ )

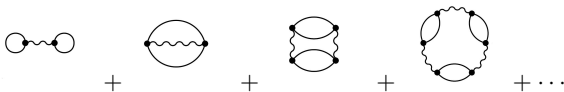


PQM ( $\mu = 0$ )



# 1/N<sub>c</sub>-expansion

grand potential beyond mean field → mesonic fluctuations:



1/N<sub>c</sub>-diagrams can be summed to all order ('ring sum')

$$\delta\Omega = \sum_M \Omega_M; \quad \Omega_M = \int \frac{d^3q}{(2\pi)^3} \frac{T}{2} \sum_{i\omega_q} \ln(1 - 2G\Pi_M(i\omega_q, \vec{q}))$$

$$\Omega_M = - \int \frac{d^3q}{(2\pi)^3} \int_0^\infty \frac{d\omega}{\pi} (1 + 2n_B(\omega)) \phi_M; \quad \phi_M = \frac{1}{2i} \ln \frac{1 - 2G\Pi_M(\omega - i\eta, \vec{q})}{1 - 2G\Pi_M(\omega + i\eta, \vec{q})}$$

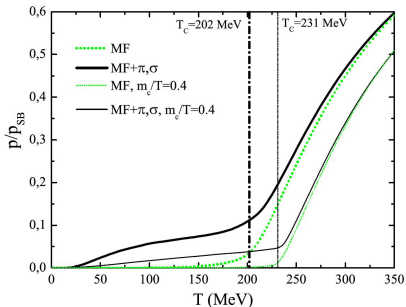
# Grand potential

$$\Omega_M = \underbrace{- \int \frac{d^3 q}{(2\pi)^3} \int \frac{d\omega}{\pi} n_B(\omega) \phi_M}_{NSR \text{ finite}} + \underbrace{\int \frac{d^3 q}{(2\pi)^3} \int \frac{d\omega}{2\pi} \Delta\phi}_{qfl}$$

$$\Delta\phi = \phi_{T,\mu} - \phi_0$$

$$\Delta\phi^{(\pi)} \rightarrow \frac{36}{\pi} \Lambda_q^4 (m_{vac}^2 - m^2) \frac{1}{(\omega^2 - \vec{q}^2)}$$

$$\Delta\phi^{(\sigma)} \rightarrow \frac{12}{\pi} \Lambda_q^4 (m_{vac}^2 - m^2) \frac{1}{(\omega^2 - \vec{q}^2)}$$



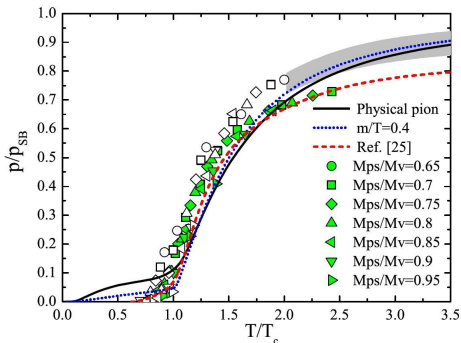
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# Flow equation

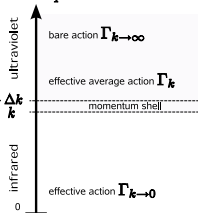
scale-dependent effective action:

$$\Gamma_k[\phi] \equiv \frac{V}{T} \Omega_k(T, \mu; \phi); \quad \lim_{k \rightarrow 0} \Gamma_k = \Gamma \quad \text{full quantum action}$$

flow equation: (PTRG)  $t = \ln(k/\Lambda)$

$$\partial_t \Gamma_k[\phi] = -\frac{1}{2} \int_0^\infty \frac{d\tau}{\tau} [\partial_t R_k(\tau k^2)] \text{Tr} \exp\left(-\tau \Gamma_k^{(2)}[\phi]\right) \mathbb{k} + \Delta \mathbb{k}$$

$$\Gamma_k^{(2)}[\phi] = \frac{\delta \Gamma_k}{\delta \phi \delta \phi}$$



quark-meson model:  $(\vec{\Phi} = (\sigma, \vec{\pi}); \quad \phi^2 = \sigma^2 + \vec{\pi}^2)$

$$\Gamma_k[\phi] = \int_0^{1/T} d\tau \int d^3x \bar{q} [i\cancel{\partial} - G(\sigma + i\gamma_5 \vec{\tau} \vec{\pi})] q + \frac{1}{2} (\partial_\mu \sigma)^2 + \frac{1}{2} (\partial_\mu \vec{\pi})^2 + U_k(\phi^2) + \mu q^\dagger q$$

at the cutoff scale  $\Lambda$

$$U_\Lambda(\phi) = \frac{\lambda}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$



# grand potential

flow of  $\Omega$ :

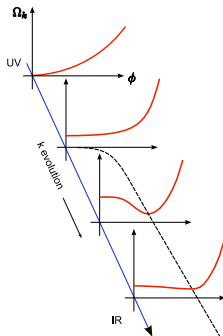
$$\partial_t \Omega_k(T, \mu) = \frac{k^4}{12\pi^2} \left[ \frac{3}{E_\pi} \coth\left(\frac{E_\pi}{2T}\right) + \frac{1}{E_\sigma} \coth\left(\frac{E_\sigma}{2T}\right) - \frac{2N_c N_f}{E_q} \left\{ \tanh\left(\frac{E_q - \mu_q}{2T}\right) + \tanh\left(\frac{E_q + \mu_q}{2T}\right) \right\} \right]$$

$$E_\pi^2 = 1 + 2\Omega'_k/k^2$$

$$E_\sigma^2 = 1 + 2\Omega'_k/k^2 + 4\phi^2\Omega''_k/k^2$$

$$E_q^2 = 1 + G\phi^2/k^2$$

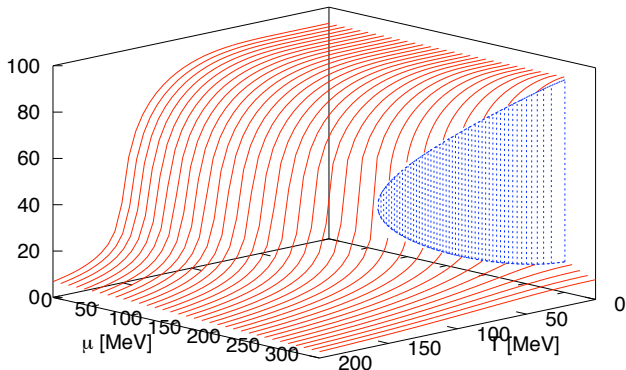
$$\Omega'_k = \partial\Omega_k/\partial\phi \quad \text{etc} \quad \phi = \langle\sigma\rangle$$



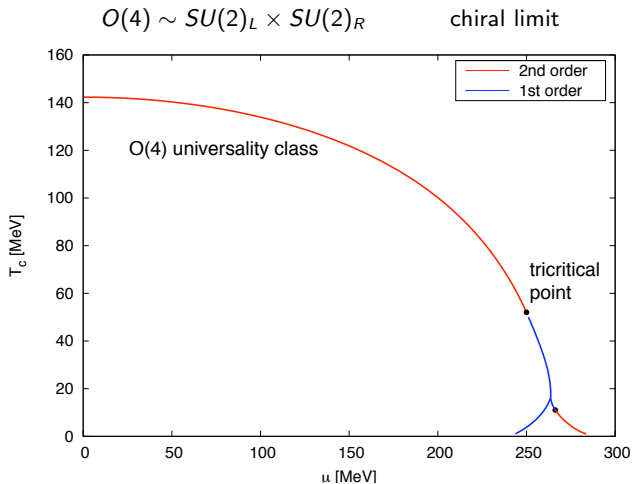
# mean field phase diagram

mean field:

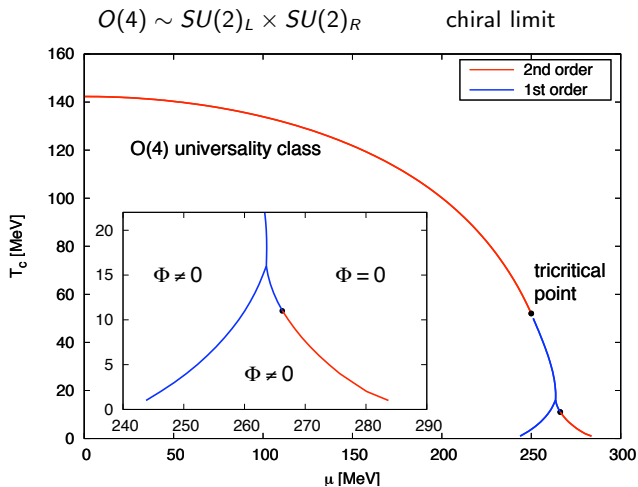
$$\partial_t \Omega_k(T, \mu) = \frac{k^4}{12\pi^2} \left[ -\frac{2N_c N_f}{E_q} \left\{ \tanh\left(\frac{E_q - \mu_q}{2T}\right) + \tanh\left(\frac{E_q + \mu_q}{2T}\right) \right\} \right]$$



# RG phase diagram

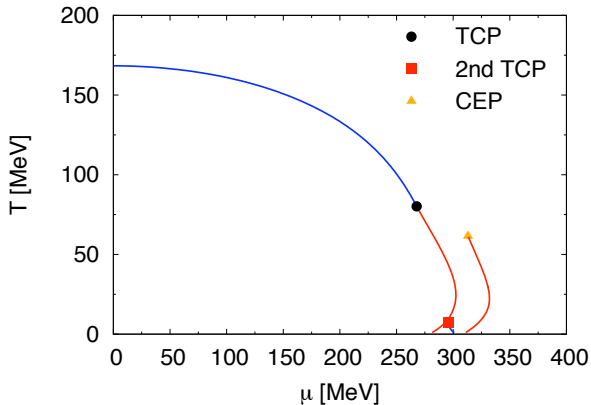


# RG phase diagram



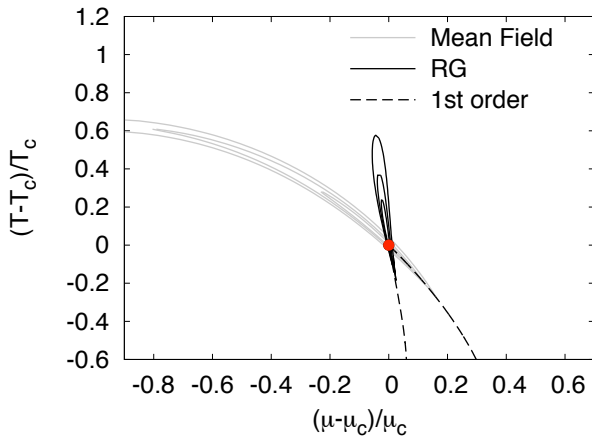
# RG phase diagram

finite quark mass

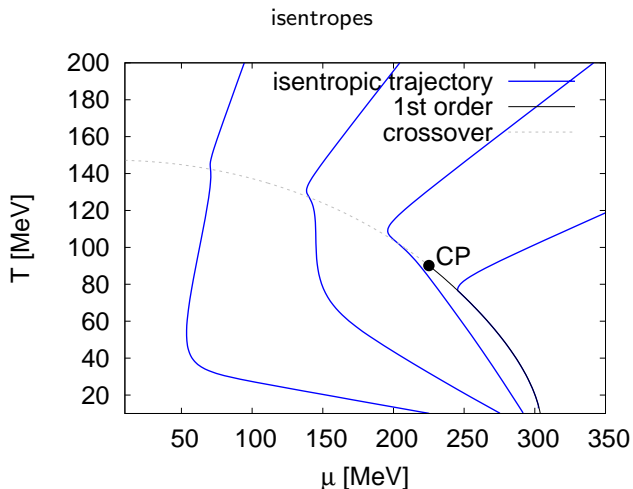


# RG phase diagram

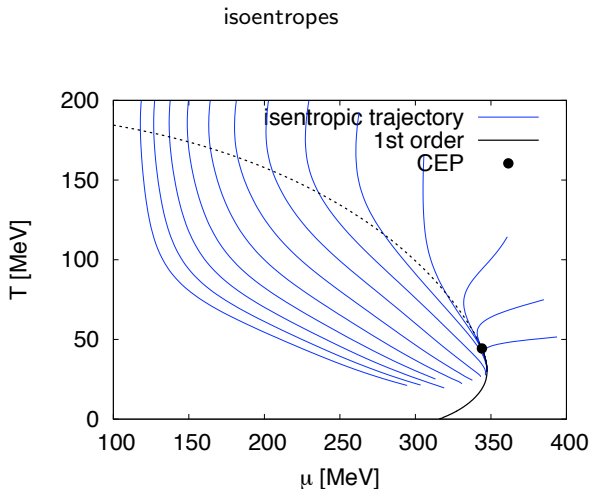
finite quark mass



# RG phase diagram



## RG phase diagram





# Summary

- symmetries of QCD and the phase diagram
  - chiral symmetry
  - center symmetry
- phase diagram in QCD inspired models
  - location of the CEP
  - fluctuation properties at mean field
- beyond mean field
  - $1/N_c$  contributions to EoS (confined phase!)
  - size of the critical region is small
  - second CEP (liquid gas-transition?)