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1	UNITED STATES OF AMERICA
2	NUCLEAR REGULATORY COMMISSION
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4	ADVISORY COMMITTEE ON THE MEDICAL USES OF ISOTOPES
5	(ACMUI)
6	+ + + + +
7	MEETING
8	+ + + + +
9	MONDAY,
10	OCTOBER 28, 2002
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12	ROCKVILLE, MARYLAND
13	+ + + + +
14	The Advisory Committee met at the Nuclear
15	Regulatory Commission, Two White Flint North, Room
16	T2B3, 11545 Rockville Pike, at 10:00 a.m., Dr. Manuel
17	Cerqueira, Chairman, presiding.
18	COMMITTEE MEMBERS:
19	MANUEL D. CERQUEIRA, M.D., Chairman
20	JEFFREY A. BRINKER, M.D.
21	DAVID A. DIAMOND, M.D.
22	DOUGLAS F. EGGLI, M.D.
23	NEKITA HOBSON
24	RALPH P. LIETO
25	LEON S. MALMUD, M.D.

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2	RUTH MCBURNEY	
3	SUBIR NAG, M.D.	
4	SALLY WAGNER SCHWARZ	
5	RICHARD J. VETTER, Ph.D.	
6	JEFFREY F. WILLIAMSON, Ph.D.	
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1	P-R-O-C-E-E-D-I-N-G-S
2	(10:03 a.m.)
3	CHAIRMAN CERQUEIRA: Good morning. My
4	name is Manuel Cerqueira. I'm the Chairman of the
5	ACMUI, and I'd like to welcome everyone here to the
6	open session.
7	This is actually the first time we've
8	convened under the full implementations of the revised
9	Part 35, so I guess that's quite an accomplishment.
10	We have quite a full schedule today, and we'd like to
11	keep on time so we can complete our business by the
12	end of the day.
13	Again, I'd like to thank everyone on the
14	committee for their input.
15	At this point, I'd like to turn it over to
16	Mr. Essig, who is the designated federal official for
17	the ACMUI.
18	MR. ESSIG: Thank you, Dr. Cerqueira. As
19	the designated federal official for this meeting, I'm
20	pleased to welcome you to Rockville for the public
21	meeting of the ACMUI.
22	I'm the Branch Chief for the Material
23	Safety and Inspection Branch and have been designated
24	as the federal official for this advisory committee.
25	This is an announced meeting of the

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1	committee and is being held in accordance with the
2	rules and regulations of the Federal Advisory
3	Committee Act and the Nuclear Regulatory Commission.
4	The meeting was announced in the October 23, 2002
5	edition of the Federal Registry.
6	The function of the committee is to advise
7	the staff on issues and questions that arise on the
8	medical use of byproduct material. The committee
9	provides counsel to the staff, but does not determine
10	or direct the actual decisions of the staff or the
11	Commission. The NRC solicits the views of the
12	committee and values them very much.
13	I request that whenever possible we try to
14	reach consensus on the various issues that we will
15	discuss today, but I also value the minority or
16	descending opinions. If you have such opinions,
17	please allow them to be read into the record.
18	As part of the preparation for this
19	meeting, I have reviewed the agenda for members and
20	employment interests based on the very general nature
21	of the discussion that we're going to have today. I
22	have not identified any items that would pose a
23	conflict. Therefore, I see no need for an individual
24	member of the committee to recuse themselves from the
25	discussion.

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1	However, if during the course of the
2	committee's business, you determine that you have some
3	conflict, please state it for the record and recuse
4	yourself from that particular aspect of the
5	discussion.
б	At this point, I would like to introduce
7	the members that are here today: the Chairman of the
8	committee, Dr. Manuel Cerqueira; Nekita Hobson, who is
9	our patient advocate; Ruth McBurney, who is the state
10	representative; and Dr. Alfredo Sanchez, the FDA
11	representative; and new to the committee, Dr. Douglas
12	Effli?
13	DR. EGGLI: Eggli.
14	MR. ESSIG: Eggli, I'm sorry. I have a
15	typo in my notes. It says Eggli there.
16	And, Dr. Leon Malmud. And reappointed
17	members that were approved by the Commission on the
18	27 th of September: Dr. David Diamond, Radiation
19	Oncologist; Dr. Subir Nag, Radiation Oncologist; Sally
20	Schwarz, Nuclear Pharmacist; Dr. Richard Vetter,
21	Radiation Safety Officer; and Dr. Jeffrey Williamson,
22	Therapy Physicist.
23	That concludes my opening remarks.
24	CHAIRMAN CERQUEIRA: Thank you. Any
25	questions for Mr. Essig?

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1	(No response.)
2	CHAIRMAN CERQUEIRA: I guess if not, we
3	can move on to the agenda. And the first
4	MR. ESSIG: If I could add there, there is
5	one item that is not on the agenda that we would like
6	to insert next
7	CHAIRMAN CERQUEIRA: Sure.
8	MR. ESSIG: which is a presentation by
9	the General Accounting Office. They are currently in
10	the midst of an audit of the uses of sources of
11	radioactive material, and they have a PowerPoint
12	presentation that will take maybe five minutes or so.
13	CHAIRMAN CERQUEIRA: Okay. Sure.
14	MR. ESSIG: So, if we could yield the
15	floor to them and then we'll resume with the normal
16	agenda.
17	MR. COLES: Good morning, Mr. Chairman,
18	and members of the Advisory Committee. I appreciate
19	the opportunity to speak with you today. I appreciate
20	NRC and the Advisory Committee making time to hear our
21	presentation.
22	As Mr. Essig mentioned, the General
23	Accounting Office is in the midst of a review of the
24	domestic uses of nuclear material. If I could have
25	the next slide please, just to give you a brief

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outline of what we're going to talk about today.

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First of all, I want to give you a brief overview of who GAO is and what we do. The second, talk about the reviews we're currently conducting on the uses of nuclear material. Third, how we plan to accomplish our objectives. And then fourth, what are our goals for this survey.

8 Next slide, please. First of all, US 9 General Accounting Office is often called the 10 investigative branch for the Congress. We are an 11 agency in the legislative branch at the government. We conduct unbiased, objective, nonpartisan reviews at 12 13 the request of committee chairman, the Congress as a whole, minority and majority leadership, 14 and individual members of Congress. In addition, we also 15 conduct reviews at our own instigation that deal with 16 17 issues that we believe are currently relevant.

We're an agency of about 3,500 people in Washington, DC and spread throughout six regional offices. Our current head is Comptroller General of the United States, currently David M. Walker. And our job is to provide information to the Congress on whatever issues they feel are of interest at the time.

Next slide, please. Our current efforts

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1	on radioactive materials were at the request of
2	Senator Daniel Akaka, who is the Chair of the
3	Subcommittee on International Security, Proliferation,
4	and Federal Services of the Senate Governmental
5	Affairs Committee.
6	He sent us a request back in January of
7	'02 that requested that we take a look at a
8	CHAIRMAN CERQUEIRA: If I could just
9	interject for just one moment.
10	MR. COLES: Of course.
11	CHAIRMAN CERQUEIRA: It would be useful I
12	think for the committee if maybe we can get copies of
13	these slides done afterwards since we don't have them
14	now.
15	MR. COLES: Absolutely. We've prepared
16	some.
17	Senator Akaka wrote to us in January and
18	asked us to take a look at the problem of radiologic
19	sources worldwide, a rather large task.
20	We have divided our effort into three
21	sections. We are looking at material used
22	domestically, internationally, and then we also have
23	a third job that's an aeroscope review of the
24	Department of Energy's Offsite Source Recovery Program
25	that primarily deals with greater than Class C

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88 1 materials, storing them at Los Alamos for eventual 2 disposition. We are in the midst of working with a 3 4 variety of state, federal, and international agencies: 5 the International Atomic Energy Agency to the Nuclear Regulatory Commission, the Commissions, the Office of 6 7 State and Tribal Program, Nuclear Materials Safeguard 8 and Safety, Nuclear Security Incident Response, the 9 regions. 10 We are working with the Organization of Agreement States, the Conference of Radiation Control 11 12 Program Directors. We will be meeting with the Food 13 and Drug Administration, the Department of Defense, 14 State Department, Intelligence Community. And we're 15 also going to meet with a selection of licensees, manufacturers, users of material to get their view on 16 17 this issue. If I could have the next slide, please. 18 19 The domestic review is divided into three primary 20 questions. First of all, we're asking a very general 21 question: What is the extent of the issue? For this, we're trying to get some idea of the number of 22 23 licensees there are in the United States, what types 24 of materials are being used, what uses these materials 25 are being used for, and I'll go into how we're doing

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that in just a moment.

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The second question is: How effective is the current regulatory framework? And third: What activities have NRC and/or the states or other entities entered into after the September 11th terrorist attacks to change, improve, modify the regulation of nuclear materials in the United States.

8 Next slide, please. How do we plan to 9 conduct our work? We will be sending surveys out to 10 the agreement states, also the non-agreement states, 11 and the NRC regions. In an attempt to put together a national database of numbers of licensees, combining 12 13 those databases that currently exist at the NRC and at 14 the Agreement State level to get some idea of the scale of the issue. 15

The second part of the survey is going to be more qualitative efforts to ask the states and the regions about how they go about enforcing regulatory framework that's in place, asking them what changes need to be made, where there are gaps, weaknesses, and really where the strengths are as well.

In addition, we plan to go and speak with a sample of licensees from every part of the regulations that are currently subject to license, concentrating primarily on byproduct. We'll also get

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90 1 into source and special nuclear material as well, but concentrating primarily on Part 30 series. 2 3 We plan to conduct survey pretests in 4 November, some post-testing in January, and do a lot 5 of our fieldwork just after the first of the year of visiting licensees and speaking with people in the б 7 nuclear materials community. Our final reports are 8 expected sometime in the late spring of 2003. 9 We're also going to be participating in 10 several IMPEP reviews, the Integrated Materials 11 Evaluation Program, that NRC conducts of the agreement 12 states and also of the regions, to get some idea of how the NRC evaluates their own efforts, evaluates the 13 Agreement State efforts at inspection enforcement of 14 15 regulatory framework. Next slide, please. 16 17 DR. DIAMOND: I'm sorry. What does the acronym IMPEP stand for again? 18

19MR. COLES:IntegratedMaterials20Performance Evaluation Program.Thank you.

Integrated Materials Performance Evaluation Program. That program is conducted by -for the NRC regions, it's conducted by NMSS. For the agreement states, it's conducted by the Office of State and Tribal Programs. It's a review that goes

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1	through methodically step-by-step of segments of
2	Agreement State regulatory programs and evaluates them
3	based upon some fixed criteria.
4	We're going to be observing some of those
5	reviews and commenting on the criteria that are used
6	to evaluate NRC and state regulation.
7	What are some of the goals of our review?
8	First of all, we want to provide an education on the
9	regulation of nuclear materials to the Congress by a
10	neutral third party. In this day of concern over
11	"dirty" bombs and other sorts of misuses of
12	radioactive material, there's a lot of information
13	going around out there and we want to provide the most
14	accurate and unbiased source of information we can to
15	our clients up on Capitol Hill.
16	The second goal of our review is to
17	provide the Bush administration with some best
18	practices of what's currently going on in the
19	radioactive material regulation community, cooperation
20	between the states and the federal government, ideas
21	that can be extended to other areas of regulation. We
22	want to provide the administration with some ideas.
23	The third thing, we want to identify some
24	of the successes of the current regulatory framework
25	and also identify some of the gaps and weaknesses and

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1	make any recommendations for change.
2	You folks have been involved recently in
3	major changes of Part 35. We want to discuss that.
4	We want to discuss the process that you folks went
5	through on the Part 35 regulations, your ideas of
6	where gaps still exist or some strengths that could be
7	extended to other areas.
8	And then finally, we want to examine the
9	need for legislative changes. I put as an example up
10	there, possible modification of the Atomic Energy Act
11	to provide for NRC regulation of accelerator-produced
12	materials. That's one idea. We're not advocating it.
13	We're not not advocating it. It's simply an idea that
14	been put forward to us.
15	And we want to go through some of those
16	ideas and talk to the Hill and see: Are there changes
17	needed of the Atomic Energy Act or the other
18	authorizing legislation of the NRC?
19	Next slide, please. Finally, just some
20	contact information. What we want to do is, over the
21	course of the next five or six months, we will likely
22	be in contact with most of you, if not all of you, on
23	the Advisory Committee to sit down and talk with you
24	about your jobs on the Advisory Committee and where
25	you think the current regulatory program stands for

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1	the protection of radioactive materials.
2	It's a very broad scope review, but we're
3	interested in talking with anyone. And if anyone
4	wishes to contact us with ideas, perspectives, things
5	you would want us to communicate with our clients on
6	Capitol Hill, we are more than happy to meet with you
7	at any point in time.
8	I appreciate your time, and I wish you
9	luck in today's meetings. Thank you.
10	CHAIRMAN CERQUEIRA: Do we have any
11	questions?
12	Richard and then David.
13	DR. VETTER: Can you share with us the
14	motivation for this exercise, other than the fact that
15	a member of Congress has requested it?
16	MR. COLES: I don't want to speak for
17	Senator Akaka and his individual motivations for
18	requesting this work. But what I can say is this.
19	Every committee up on the Hill that has an interest in
20	this subject is being bombarded with information from
21	a variety of sources on what radioactive material
22	could be used for in a terrorist situation.
23	Senator Akaka wanted someone objective to
24	come in, who didn't have an iron in the fire, to
25	educate him on how radioactive materials are regulated

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1	in the United States because there are very few people
2	up on the Hill who have a knowledge in the non-
3	weapons, non-power side of NRC's work.
4	This is really one of the first broad
5	scope efforts that has been conducted to try to give
6	the Hill an education in this issue. And so, they
7	called upon us as someone who really doesn't have an
8	axe to grind.
9	DR. VETTER: But it looks like it's much
10	broader than security. The questions will be much
11	broader than security.
12	MR. COLES: That's correct. I would say
13	that security is probably the primary thrust, but
14	we're going to be getting into a lot of different
15	areas as well.
16	I think security will form the focus of
17	our third objective. That is the measures that have
18	been implemented since September 11^{th} . But, the other
19	areas are much broader than that.
20	CHAIRMAN CERQUEIRA: David?
21	DR. DIAMOND: Thank you very much for your
22	presentation.
23	I did want to point out again that a lot
24	of this will have very little bearing on security
25	issues. But my question is this.

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1 In the radiation oncology community and 2 perhaps in the nuclear medicine community as well, we have a concern. And that concern is that with all of 3 4 this new legislation being promulgated, an amount of 5 regulation that is very difficult for these б individuals or even for the societies to fully 7 monitor, that there may be regulations established 8 that may have an adverse impact on our ability to use 9 nuclear materials for medical uses appropriately, and 10 how can we go and monitor these reams and reams and 11 reams of documents and comments and proposed 12 legislation given our limited resources? 13 What advice do you have on this regard? 14 MR. COLES: An excellent question, and I 15 think that's precisely the reason why we've been asked to sort of step into the fray. 16 17 Right now, there's so much information being thrown at the Congress that everyone is afraid 18 19 that the Congress will act with new laws and NRC will 20 be forced to act with new regulations that are not 21 adequately informed by the true situation out there. 22 What we want to do is we want to provide 23 at least a balanced perspective on this is where the 24 threat is, these are the things we need to be 25 concerned about, and these are the things we don't

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1	need to be concerned about that will simply be
2	additional burden upon the licensees, and try to
3	convince our clients that a broad-brush approach is
4	not going to work and that you need to be a lot more
5	specific and a lot more focused in your efforts to
6	address where the true threats are.
7	DR. DIAMOND: As a follow-up comment, we
8	too as a committee need to be educated on these
9	issues. And I was very disappointed that we did not
10	have our planned security briefing today.
11	I would just like to direct these comments
12	to Mr. Essig, saying that we need to be educated on
13	these issues and I was disappointed, I am disappointed
14	that that briefing did not occur.
15	CHAIRMAN CERQUEIRA: Those are good
16	comments.
17	When your committee does this work, are
18	they going to go back to look at sort of previous
19	reviews like the Institute of Medicine report that was
20	done in '95?
21	MR. COLES: We are in the midst of a
22	literature search to see where previous reviews have
23	been done, and we'll integrate those into our work as
24	appropriate. There are a lot of reviews out there,
25	and any suggestions that can be given to us as to

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1	things we need to look at, please bring them forward
2	because I have a feeling we will miss some things in
3	the process.
4	CHAIRMAN CERQUEIRA: Well, I think that
5	this committee and the members, who represent various
6	factions of the regulated community, would be very
7	happy and willing to supply input.
8	Now, I apologize. I didn't catch your
9	name. Are you Ryan?
10	MR. COLES: My name is Ryan Coles, yes.
11	CHAIRMAN CERQUEIRA: So are you the person
12	that should be contacted initially?
13	MR. COLES: I'm the lead GAO official on
14	this review, subject to management approval.
15	(Laughter.)
16	MR. COLES: But I'm heading up this
17	review, along with my two colleagues: Peter Ruedel
18	and Heather Von Behren, who are sitting in the second
19	row back there.
20	CHAIRMAN CERQUEIRA: Well, great. Again,
21	I think the committee as a whole, as well as
22	individual members, representing various professional
23	medical societies would be very happy to help you with
24	this effort.
25	Thank you very much.

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1	MR. COLES: I appreciate it. Thank you
2	very much.
3	MR. ESSIG: If we could resume with the
4	agenda, there's just a minor modification. The
5	briefing that we have scheduled on the updated status
б	of the training and experience recommendations from
7	the committee, we're still going to cover that, but
8	maybe a little differently than we had earlier
9	thought.
10	Tony Tse is in the audience. But as with
11	so many things, timing is everything and the timing of
12	this issue is that the recommendations that are
13	currently with the EDO waiting for sign-up, ready to
14	go to the Commission. So, there isn't much that we
15	can discuss in the way of specifics other than I can
16	say that the recommendations from the subcommittee
17	occupy a prominent place in the paper that went forth.
18	We have suggested another option. Well,
19	there are actually three options total in the paper.
20	But for all intensive purposes, the principle options
21	are yours and then a small variation that we made on
22	your recommendation.
23	CHAIRMAN CERQUEIRA: So the designated
24	federal office looked at our recommendations and
25	proposed some modifications?

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1	MR. ESSIG: It was primarily in one area,
2	and that was where the accepted boards would be
3	listed. Your recommendation had said that they would
4	be listed in the regulations themselves, and we've
5	proposed a variation on that.
6	CHAIRMAN CERQUEIRA: Jeff?
7	DR. WILLIAMSON: Could we get a copy of
8	the final report?
9	MR. ESSIG: As soon as the Commission
10	authorizes its release. That's what I meant when I
11	said "timing is everything" because it's currently on
12	its way to the Commission. And if the Commission
13	when they authorize its release, it's
14	CHAIRMAN CERQUEIRA: Well, I think it
15	would've been good to send it back to the committee.
16	I mean there was quite a bit of time and work on it.
17	And certainly as an advisory committee, we spent the
18	time and effort and
19	DR. WILLIAMSON: Yes, and we all have
20	security clearances and are quite capable.
21	DR. DIAMOND: Mr. Essig, once again I'm
22	very disappointed by this lack of feedback and
23	communication.
24	Under Dr. Vetter's leadership, several of
25	us spent a lot of time this summer in a very, very

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1	tight schedule devoting work to these issues. This is
2	the time, this is the venue we're supposed to go and
3	discuss it.
4	Why am I here?
5	(No response.)
6	DR. DIAMOND: I mean I'm just asking a
7	very basic question: What is going on here?
8	CHAIRMAN CERQUEIRA: Well, I think we had
9	a question.
10	MR. ESSIG: Well, you're here to provide
11	advice. You provided your advice. We accepted it,
12	and we made a recommendation to the Commission based
13	on your advice.
14	DR. DIAMOND: But we don't have any
15	feedback? We don't have any
16	CHAIRMAN CERQUEIRA: Right. Again, we've
17	gone through a whole process of Part 35 revision,
18	which was an interactive sort of process with feedback
19	and, you know, quite a bit of interactive with the
20	staff level and with the support people for the
21	Commissioners. So, this is sort of unprecedented in
22	terms of the work that the committee has done in the
23	past, to not have gotten feedback.
24	It does represent a break in the
25	precedent. And I guess Dr. Diamond's question is: Is

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1	there a reason for that?
2	MR. ESSIG: It's well taken. But I had
3	checked with my management prior to coming here to see
4	what I could say today, and basically that's pretty
5	much it the fact that it will be soon with the
6	Commission, either today or this week. And as soon as
7	they authorize its release, then you'll see what
8	DR. WILLIAMSON: Why couldn't we have
9	discussed it in our closed session then?
10	MR. ESSIG: I'm sorry?
11	DR. WILLIAMSON: Why couldn't we have
12	discussed the modifications made in the final report
13	during our closed session? I mean why wasn't this
14	I really share Dr. Diamond's outrage at the fact that
15	the modifications made to our proposal were not shared
16	with this committee, and we did not have the
17	opportunity to provide any feedback.
18	CHAIRMAN CERQUEIRA: And the Chairman had
19	specifically made the request to the staff to have
20	this material discussed and made available, and it
21	just wasn't done. So that's clearly you know, it's
22	disappointing, and I think it does break a precedent
23	that's been established.
24	MR. ESSIG: I apologize for that, and I
25	don't know what I'm able to do about it at this

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1	juncture.
2	CHAIRMAN CERQUEIRA: Ralph?
3	MR. LIETO: Two questions. You said you
4	checked with management. Is that Dr. Kuo?
5	MR. ESSIG: Yes.
6	MR. LIETO: My other question is: When
7	these changes were suggested, alternatives were being
8	finalized and were going to be submitted to the
9	Commission. Why could we not have shared that
10	information?
11	In other words, why could not the changes
12	that the staff was recommending have been sent to the
13	committee? Is there some legal precedent why that
14	could not have been done or some staff policy?
15	MR. ESSIG: Not that I'm aware of.
16	DR. WILLIAMSON: The other issue I think
17	is we could use the time, we still can use the time
18	effectively I think to discuss any remaining fallout
19	from this proposal and determine if there are any
20	weaknesses or concerns regarding our proposal
21	subsequently.
22	CHAIRMAN CERQUEIRA: Right. Are you
23	prepared to do that, to give us
24	MR. ESSIG: The alternative that we had
25	come up with that differs from the committee is where

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1	the approved certifying bodies would be listed. You
2	had suggested they be listed in the rule. We have
3	suggested that it be on the website. That's the only
4	difference.
5	CHAIRMAN CERQUEIRA: So that's relatively
6	
7	MR. ESSIG: That's what I'm saying. It's
8	a minor difference.
9	DR. WILLIAMSON: Well, concerns have been
10	raised by the community based I think on the proposal
11	as it was presented at the public meeting. And you
12	know, I think there was something in writing that was
13	circulated to the public.
14	Certainly one area of concern is what
15	types of board certification make one eligible to be
16	a radiation safety officer. And concerns have been
17	raised to me privately by one of the organizations
18	regarding whether the boards in radiation oncology and
19	medical physics can meet even our revised standard.
20	CHAIRMAN CERQUEIRA: All right. Other
21	comments?
22	Dr. Nag?
23	DR. NAG: Yes. I think at the last
24	meeting we had with the Commissioners, the ACMUI
25	expressed our concerns that we are the advisory body.

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1	We give our advice to the NRC, and we don't get
2	adequate feedback back from the NRC staff to us. And,
3	the Commissioners instructed the NRC staff to make
4	sure that this concern is addressed.
5	I would like to reissue that in the public
6	forum that the Commissioners have instructed the staff
7	to provide feedback back to the ACMUI and that is not
8	being done.
9	CHAIRMAN CERQUEIRA: All right. Well, I
10	think it still would be very important to get feedback
11	to certainly the subcommittee that was charged to make
12	these revisions, as well as to the whole committee.
13	Again, it was a fairly long and complicated and
14	involved document.
15	It's still unclear in terms of the website
16	designation verses in the text. There was a whole
17	issue of the process of reviewing boards, which boards
18	were approved. So, we still need to get some
19	clarification on where that stands.
20	MR. ESSIG: Would it be possible to
21	schedule a subsequent conference call? Would the
22	committee be amenable to that?
23	CHAIRMAN CERQUEIRA: Would the committee?
24	(Chorus of yeses.)
25	MR. ESSIG: We're talking in the very near

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1	future, as soon as physically possible to do it.
2	CHAIRMAN CERQUEIRA: Okay. Again, I think
3	the preference of the committee would've been to
4	certainly have discussed it during the closed session
5	if you felt that there was some secret of nature to
6	these things or things that weren't for public review.
7	But, I think a conference call would be appropriate.
8	I guess with conference calls though, for
9	the whole committee, you'd have to go through a
10	process, which takes time and effort. And, I think it
11	does have to be open.
12	MR. LIETO: One question about a
13	conference call verses a closed session. A closed
14	session, we could have the information in front of us
15	to look at and then stays here with the Commission.
16	A conference call, you're not going to be able to send
17	us anything via email or any other means that we can
18	have in front of us when we discuss this.
19	So, I'm kind of wondering what we're going
20	to be able to discuss other than without having to
21	see what's actually been presented.
22	CHAIRMAN CERQUEIRA: Jeff?
23	DR. WILLIAMSON: I don't think that's
24	true. I think in a public meeting we can have
25	classified materials before us as long as we don't

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1	share our paper copies with the public. Certainly,
2	we've had pre-decisional materials in our packet
3	before at public meetings.
4	CHAIRMAN CERQUEIRA: Well, I think again
5	and I'm still not clear whether this is sort of a
6	lack of planning or just a lack of ability to share
7	the material. It sounds like perhaps it was the
8	initial because we have, as Jeff has said, we have had
9	a lot of interactions in the past with Part 35
10	revisions, both before things went to the
11	Commissioners and after they went to the
12	Commissioners.
13	And so, this does sort of break a
14	precedent with not being able to get feedback in
15	review. I'd suggest that if a conference call is any
16	way to do it, that we go forward with that. But it
17	would be, I think, important for the committee to do
18	its work to have that material ahead of time so we
19	could review it rather than just hear it for the first
20	time during the conference call.
21	MR. ESSIG: That would our intent, to get
22	it to you ahead of time.
23	CHAIRMAN CERQUEIRA: Okay.
24	MR. BROWN: Dr. Cerqueira, could I speak
25	from the side here? This is Fred Brown.

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1	understood. But hopefully when you see the outcome,
2	you'll feel better about it.
3	CHAIRMAN CERQUEIRA: Well, I think we'd be
4	reassured when we see. But I think you've kind of
5	sensed our general unhappiness with the process. And
6	it does break precedence that we've set in working
7	with the designated federal official and the
8	Commissioners.
9	To keep on schedule, just a few last
10	closing brief comments. Ralph, Subir, and then Jeff.
11	MR. LIETO: Roger, I appreciate your
12	comments in terms of reassurance. I guess the concern
13	is that there are obviously options that went in, and
14	it's not clear where the ACMUI recommendation was
15	ranked in those list of options.
16	If you had five options and it's at the
17	bottom, I think there would be a pretty high level of
18	concern and I think we would've wanted to be prepared
19	to comment at this meeting about that. But not having
20	that information, we don't know whether to feel good
21	or
22	CHAIRMAN CERQUEIRA: Be reassured or just
23	not know.
24	Subir?
25	DR. NAG: Yes, I think we would definitely

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1	have wanted to know what the other options were. We
2	would've liked to have known that.
3	CHAIRMAN CERQUEIRA: Last word from Jeff.
4	DR. WILLIAMSON: Yes. I would've liked to
5	have had a more technical detailed discussion, where
б	we go over it section by section with the staff
7	members that have previously reviewed the credentials
8	of the various boards to make sure that we've got it
9	right this time.
10	I think we can't afford to get it wrong
11	this time. To use a catch phrase, "The devil is in
12	the details." If one word is wrong, it could
13	potentially continue this dangerous situation where
14	board certification is marginalized. So, I think we
15	could've very productively used the time to go over it
16	section by section to determine if we finally have it
17	right.
18	And in fact, the major reason this
19	subcommittee and the whole parent committee wanted the
20	boards hardwired in the rule language, the intent was
21	to force NRC staff to vet these proposed regulations
22	against the boards as they currently exist to make
23	sure there's not a problem.
24	So, that is major concern. I would've
25	liked to have seen some of the time used to run

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110 1 through the details one more time with the appropriate staff member who has the most knowledge about the 2 operations of the boards. 3 4 CHAIRMAN CERQUEIRA: I quess as sort of 5 the closing point on this is there some idea of the timeline for when you'll be able to share this б 7 information, when we could set up the conference call, 8 and who on the staff will be setting it up? 9 MR. ESSIG: We can ask for a timely 10 approval by the Commission to release it to the committee for its review. I don't have a good idea at 11 12 this juncture as to how long that might take. But we might be talking on the order of maybe a couple of 13 14 weeks or thereabouts, maybe a month, within the month. CHAIRMAN CERQUEIRA: And will Angela be 15 handling the details for the conference call? 16 17 MR. ESSIG: Yes. Yes. So we would set up a bridge and have folks call in to it. 18 CHAIRMAN CERQUEIRA: Okay. So that takes 19 20 care of the presentation that we didn't get on the training and experience recommendations from the 21 22 committee. I guess the next item is the Agreement 23 24 State compliance with Part 35. And Part 35, I quess 25 Lloyd Bolling will be doing that. Mr. Bolling?

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1	And I had requested this be on the agenda
2	because with the current Part 35 and then the work of
3	Dr. Vetter's committee, starting with the training and
4	experience, there was still a lot of concern as to
5	whether we would have a unified process or whether we
6	would still continue to have a lot of fragmentation.
7	We've had some concerns by people who run training
8	programs and what they're going to tell their trainees
9	or how they should instruct their trainees.
10	So Lloyd, you're going to tell us how it's
11	going?
12	MR. BOLLING: Yes. Good morning, and
13	thank you for inviting me.
14	CHAIRMAN CERQUEIRA: We've killed one
15	messenger so we're
16	MR. BOLLING: Ready for the next.
17	(Laughter.)
18	MR. BOLLING: What I'd like to do is just
19	give you a brief overview of what the Agreement State
20	Program is about and then I'll jump right into Part
21	35, the training and experience, and compatibility of
22	regulations.
23	First, it was interesting when I got the
24	invitation to come and speak, the title was "Agreement
25	State Compliance with Part 35". That's an interesting

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1 choice of words because generally we don't use the 2 compliance when we speak about work states. 3 "Compliance" is usually something that we use in the 4 realm of licensees. But "agreement" is what we use 5 with agreement states. The Atomic Energy Act was amended to add б

7 Section 274. And that section of the Atomic Energy 8 Act allows the NRC to relinquish, and at the same time 9 the states pick up or assume regulatory authority over 10 certain materials and certain activities. The materials are byproduct materials, source material, 11 special nuclear material quantities 12 and in insufficient to form a critical mass. 13

14 CHAIRMAN CERQUEIRA: These slides are in 15 the agenda booklet. For those of you who want to 16 follow them, you can.

MR. BOLLING: Next slide, please.

In order for a non-agreement state to 18 19 transition to Agreement State status, there has to be 20 an initial finding of adequacy and compatibility. And then once the state has signed, that is the Governor 21 22 signs and the Chairman of the AEC/NRC signs, there is 23 a continuing program to make sure that the agreement 24 states maintain adequate and compatible programs. And 25 I'll get into that a little bit further in one of my

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other slides. That basically is the IMPEP program.

One way that we make sure that the agreement states are maintaining compatible programs is we review the proposed and final rules that are promulgated by the states by both the technical staff and the legal staff. So, that is for states that are entering the program.

Non-agreement states that would like to 8 9 become an Agreement State, they submit their statutes 10 and regulations to us, we review them, make sure their 11 compatible, and then we hand them over to the legal staff. The legal staff passes judgment on them, and 12 then the information is funneled back to the states on 13 14 any issues that need to be resolved or fixed. And 15 then when the final rules are adopted and in effect, a copy of those is sent to us and we review those as 16 17 well.

Next slide, please. The compatibility determination process, it looks a little laborious but it isn't quite. The proposed rule or program element is reviewed according to this like a flowchart. And what we do is we take the rule or the program element and we ask ourselves whether or not the requirement is exclusive to NRC.

An example of that would be if there's an

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import component or is this a reactor or a fuel fabrication plan, which the agreement states are specifically prohibited from regulating. If the answer to that question is "yes", then that rule or that program element is reserved to the NRC, and the Agreement State may not by law regulate that portion of the activity.

8 If the answer to that question is "no" 9 it's not applicable, we jump down to the next criteria 10 and we ask ourselves whether this is a basic radiation protection standard, an essential definition, a term, 11 12 a sign, or a label. If the answer to that is "yes", 13 then we assign a Category A to that rule or 14 requirement. And, it must be essentially identical in 15 the Agreement State regulations.

Now, "essential identical" does not mean verbatim. It means can the licensee read the Agreement State regulation and NRC regulation and come to the same conclusion as to what is required of them? And if it varies beyond some acceptable level, then we must insist that they change their rule to make it compatible.

If the regulation or element does not meet this standard, we jump down to the next one and we ourselves: Is there a direct transboundary

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1	implication? I believe the term in our guidance on
2	this is "direct and significant transboundary
3	implication". If the answer is "yes", then it also
4	must be essentially identical in the Agreement State
5	regulation as it would be in the NRC.
6	There is basically no difference in the
7	way the regulation must appear if it's an "A" or a
8	"B". It's just that the reason is different.
9	If the answer to this is "no", we jump
10	down to the next criteria. And in this one, we ask
11	ourselves: Is there a conflict, gap, or a duplication
12	of effort created if the state does not adopt this
13	particular regulation? If it is, then they must adopt
14	it and have a new term, essential objectives. The
15	state must have the essential objective in their
16	regulation. However, they may choose to be more
17	restrictive.
18	If the answer to the next question is
19	"no", we jump down to health and safety. If the
20	regulation or program element has a health and safety
21	component, then we insist that the agreement states
22	have maintained the essential objectives in the
23	regulation as the NRC rule has.
24	And if the answer to that is "no", that is
25	if the new regulation or program element does not

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1	contain any of the above criteria, then we assign it
2	a Category D, and we do not insist that the agreement
3	states adopt that particular regulation.
4	Yes?
5	DR. WILLIAMSON: What is the difference in
6	implications of "H&S" verses "C"?
7	MR. BOLLING: The H&S is if the regulation
8	does not have a compatibility component to it, but
9	there is a health and safety requirement that we feel
10	should be covered, then we'll assign that H&S. It
11	will have to have the essential objective, although it
12	does not have to be identical.
13	DR. WILLIAMSON: But they could be more
14	restrictive?
15	MR. BOLLING: They could be more
16	restrictive, yes. In that respect, the "C" and the
17	"H&S" are similar.
18	Next slide, please. We come to the
19	training and experience regulations.
20	When we were promulgating the regulations
21	in Part 25 and we came across the T&E question, we
22	realized that there were some disconnects. And that's
23	the reason for the two-year transition period.
24	The T&E for authorized users is a
25	compatibility Category B. And the reason for that is

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that the Commission felt that we had to have some set of uniform standards throughout the country so that physicians trained in DC could go to Oregon and take their qualifications with them and be licensed just as they would in any other NRC territory. So across the United States, physicians would be able to be licensed in agreement states and NRC territory with the same criteria.

9 The Category B requirements have directed 10 significant effects in multiple jurisdictions. That's 11 what that means. Agreement states should adopt 12 regulations essentially identical to NRC, and this 13 applies to radiation safety officers, physicians, 14 nuclear pharmacists, and medical physicists.

Next slide. The term "legally binding 15 requirements" is something that you may not have heard 16 17 before. When an Agreement State regulation is 18 determined to be a matter of compatibility, the agreement states have three years generally to adopt 19 20 a similar and compatible rule. Because of the way 21 regulations are promulgated in agreement states, this 22 may not be possible.

23 So, we have something called a legally 24 binding requirement and that may take the form of an 25 order or licensed conditions, which can be used as an

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interim measure until agreement states have the opportunity to promulgate a rule.

3 These legally binding requirements by the 4 way are generally applicable to entire categories of 5 licensees. For instance, if a locking mechanism on a 6 teletherapy machine was found to be defective, we 7 could issue an issue, the Agreements States can issue 8 an order which is legally binding on all licensees of that category until such time as the time is fixed or 9 a rule is promulgated which will cover that problem. 10 Next slide, please. The IMPEP process is 11 one of the ways we use to determine if the agreement 12 13 states are maintaining the compatible and adequate programs that they said they would when they signed 14 the agreement with the Commission. 15 In the area of non-common performance 16

17 indicators, regulations and program elements are contained within that indictor. So, this indicator 18 has three ratings that are applied to states and/or 19 20 the NRC: -- the NRC actually does not get reviewed against this criteria -- satisfactory, satisfactory 21 22 with recommendations for improvement, and 23 unsatisfactory.

24 So when we do an IMPEP review of an 25 Agreement State, we look at their regulations and we

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determine how many regulations they have that meet the three-year requirement, how many have not met the requirement and by how long. And then if there are substantial numbers that have not met the three-year requirement, they fall into the unsatisfactory category. Next slide. This slide is a timetable for

the different Part 35 requirements.

8

9 As you know, in April the rule was 10 published. Just last Thursday, it became effective 11 and so did the two-year transition period begin for 12 subpart J, and the three-year compatibility period 13 began for the agreement states.

14 Two years from now on October 24, 2004, 15 the subpart J two-year transition period ends. And a year later, the Part 35 compatibility period ends for 16 17 agreement states. So, the agreement states have until October of 2005 to adopt a compatible rule and/or as 18 institute legally 19 interim measure binding an requirements. 20

Now, at the last ACMUI meeting, I guess it was in February, I indicated that I would poll the states to find out what progress they're making towards instituting their rule. Of course, at that time the rule had just been published and was not yet

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1	effective.
2	But, all states responded to the survey.
3	Eight states said that they would have a compatible
4	rule by the end of 2003. In addition, two states said
5	that they'd have a compatible rule by 2004. And the
6	remaining 22 states said that they would have one by
7	the end of the three-year compatibility period,
8	October 24 th of 2005.
9	CHAIRMAN CERQUEIRA: Now, was that for all
10	elements or just the training? You asked specifically
11	for the training and experience?
12	MR. BOLLING: No, no. This was for the
13	entire rule, all right?
14	CHAIRMAN CERQUEIRA: Yes.
15	MR. BOLLING: That concludes my
16	presentation. If you have any questions
17	CHAIRMAN CERQUEIRA: That's really very
18	good, Lloyd. I appreciate your coming here and
19	sharing this with us.
20	I had hoped to get somebody from the OAS
21	to come because I had heard that there was some
22	rumbling that it may be difficult for training and
23	experience to get full implementation, and there may
24	still be. But because of funding issues, we weren't
25	able to get anyone.

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1	And Ruth, can you give us some insight?
2	MS. MCBURNEY: Yes. I think the main
3	concern there was that most of the states don't want
4	to do a two-step process. They don't want to do all
5	the stuff except the training and experience. They're
б	waiting to hear what's going to come out of any
7	changes that may be made to the training and
8	experience requirements before they adopt the whole
9	rule, instead of taking what's "compatibility" now and
10	putting that in place and then having to go back and
11	change the training and experience requirements once
12	this other rule is developed.
13	So, I think that's the delay on a lot of
14	them. They don't want to have to do two rulemakings.
15	They just want to do one.
16	CHAIRMAN CERQUEIRA: So they heard that
17	Dr. Vetter's committee was working on something to fix
18	some of the issues related to the medical physicists,
19	the authorized medical physicists?
20	MS. MCBURNEY: Right, and the authorized
21	users.
22	CHAIRMAN CERQUEIRA: And that's part of
23	the reason why it was very important for us to get
24	some idea from the designated federal official as to
25	where that stood because we had hoped to get that

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1	implemented so when the two-year extension of the old
2	as well as the new standards were basically no longer
3	effective, that this new rule would kick in. And
4	that's why I think Dr. Vetter and his committee did
5	such great rapid work.
6	Okay. So we're still uncertain is what
7	the bottom line is.
8	Jeff?
9	DR. WILLIAMSON: What is the status of the
10	suggested state regulations with regard to
11	compatibility with Part 35, and what role does this
12	play in the general acceptance of the Part 35 changes
13	among the agreement states?
14	MR. BOLLING: Well, a number of NRC staff
15	are advisors to the CRCPD Committee that is revising
16	their equivalent to Part 35. It's my understanding
17	that a peer review document has been forwarded to the
18	Executive Board of the conference. And, they will
19	review it along with comments from others advisors and
20	then move it forward.
21	The second part of your question, how does
22	that influence the agreement states in adopting a
23	rule, in some states they copy the suggested state
24	regulations almost verbatim. In other states, they
25	prefer to use the NRC rule.

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We've had some extensive discussions with the states over certain portions of the suggested state regulations. It appears as though, based on our review of the last document that we were presented with, that those questions and concerns have been resolved and the rule is essentially compatible. CHAIRMAN CERQUEIRA: I guess I just have

8 sort of a procedural question. Now, under 274 of the 9 AEA, we've got the NRC published Federal Register 10 Notice, and let's say Washington State because I lived 11 there for 11 years. They don't always like to tow the 12 party line.

Now, under the 274 AEA, if Washington 13 14 State decides that in three year they're not going to 15 change anything, they're going to go their own way and continue to use existing regulations or to have more 16 17 restrictive requirements for position, does the law allow the NRC to impose compliance or "agreement" as 18 19 you like within say Washington State? MR. BOLLING: My boss is heading toward 20

21 || the microphone right now --

22 (Laughter.)
23 MR. BOLLING: -- to bail me out.
24 MR. LOHAUS: Thank you. Paul Lohaus.
25 Very good question, and it's a tough

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1	question that we wrestle with particularly in the area
2	of regulations. And the answer is it really is the
3	answer is given through the IMPEP program and the
4	IMPEP process. There are several different aspects.
5	One is there's a set of objective criteria
б	that are identified in our management directive that
7	provides a basis to address compatibility. And a part
8	of that process includes a review of conclusions of
9	that review team by a Management Review Board.
10	And the question that the board sometimes
11	wrestles with is if you have a particular section of
12	a regulation that may not meet the compatibility
13	criteria, does that place the state in a not
14	compatible regime? Generally, the answer is "no".
15	It's different. It may not meet the criteria, but
16	it's not of sufficient significance that it places
17	that program in a not compatible status.
18	You could then carry that logic to an
19	entire rule. And I'm not aware from my experience of
20	a case where a state has not adopted a regulation.
21	They may not in all cases have done that within the
22	three-year timeframe. But I'm not aware of a state
23	that has never adopted a regulation. There may be
24	differences in that rule and the MRB is going to have
25	to make some judgments on the significance of those

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1	differences.
2	If you were to be faced with that
3	situation, Dr. Cerqueira, the MRB would need to make
4	a determination
5	CHAIRMAN CERQUEIRA: I'm sorry. MRB
6	stands for?
7	MR. LOHAUS: I'm sorry. It's a Management
8	Review Board. It's part of the integrated materials,
9	performance evaluation program.
10	And very quickly, what you have is a team
11	that conducts the review against an objective set of
12	criteria. Then, my boss heads up a Management Review
13	Board. Karen Cyr, our General Counsel is on that
14	board. I am, Mary Virgilio from NMSS, and we also
15	have an Agreement State program manager that serves as
16	a liaison to the board.
17	They hear the team's report, they listen
18	to the program, and then they take a look at all the
19	different aspects and they make the final
20	determination relative to the adequacy and
21	compatibility of the state's program.
22	CHAIRMAN CERQUEIRA: But has that ever
23	been tested? I mean has the NRC ever taken action
24	against any states?
25	MR. LOHAUS: We have found programs not

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1	compatible on the basis of not having put in place in
2	a timely matter, regulations. I'm not aware of any
3	tests relative to a single rule that was not adopted.
4	From my experience, that rule may be
5	adopted in a longer timeframe. But I'm not aware of
6	any states saying, "We're not going to adopt that
7	regulation." Now, there may be portions within that
8	single rule. And so far, I think the test has been to
9	look at the effect that that has on other programs.
10	In other words, when you're looking at the
11	compatibility part, what's the effect of that state's
12	action on NRC or other programs? And if it's
13	significant enough, then the MRB would make a finding
14	of not compatible and expect the state to make a
15	change. If it's not, then
16	CHAIRMAN CERQUEIRA: But whether they
17	could do that again, just to sort of boil it down
18	to the nuts and bolts. Representing the cardiologists
19	who have not traditionally come in via boards in the
20	past, there's some question how you're going to set up
21	your training programs. And you hate to train people
22	who may meet criteria in some states, but not others.
23	Some that may be resolved in some of these
24	board issues, but it's still sort of a practical
25	concern. Some of my constituents are still expressing

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1	concerns and apprehension about it.
2	MR. LOHAUS: Yes.
3	DR. DIAMOND: Mr. Lohaus, if I recall
4	correctly of Category B, compatibility category, it's
5	not necessarily essentially identical. Isn't it of
6	minimum standard that, again, the states have the
7	purview to make the regulations more stringent above
8	that?
9	MR. LOHAUS: No. For Category B, the
10	state would have to have a rule that is essentially
11	identical. There may be some subtle differences in
12	the word, but the actions that are required and the
13	actions taken by a licensee to comply with that rule
14	have to be essentially identical.
15	CHAIRMAN CERQUEIRA: For Category C, they
16	can be more restrictive according to what Lloyd said.
17	But Category B means that because it does cross state
18	boundaries
19	MR. LOHAUS: Right.
20	CHAIRMAN CERQUEIRA: Dick?
21	DR. VETTER: Just to follow up on Dr.
22	Cerqueira's question, and this perhaps more of an
23	expression of frustration than it is a question, and
24	it relates to compatible regulations verses compatible
25	programs.

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1 We live in a global economy, and more and 2 more healthcare systems are operating in multiple 3 And yet, we are seeing, as more and more states. 4 states become agreement states, we're seeing 5 significant differences among implementations of the 6 programs. Just to focus in on one, for example in 7 8 Part 35, adoption into Part 35, I'm aware of one state 9 that is incorporating into their new regulations all the guidance relative to Part 35. 10 of They're

11 incorporating guidance into regulatory space. That
12 becomes very frustrating for licensees that operate in
13 more than one state.

And I can give you other examples where there are significant differences, not in the regulations per se, but in how the program is implemented. So I guess my question is: What can you do about that? Perhaps there isn't much. It's more of a frustration I'm venting.

20MR. LOHAUS: Yes. The guidance is not21mandatory.22DR. VETTER: It is if they incorporate it23into their regulatory space.

24 MR. LOHAUS: That's correct. And our 25 recommendation would always be that guidance be what

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129 1 it is, and guidance not be adopted into a set of 2 regulations. 3 However, I am aware that in some states 4 statutory provisions there may be that the 5 completeness, if you will, of the set of requirements 6 that a licensee would be subject to should be 7 reflected in a statutory regulation, if you will, or 8 other legally binding requirement. And it does create 9 difficulties because it removes flexibility in terms 10 of the guidance being one approach, one acceptable 11 approach to meet the rules. But, I think that's only in a few cases. 12 13 Maybe Ruth, you may have some insights here too from 14 your experience. But I think there are some states 15 that do have some statutory requirements across the board for all states agencies that the quidance also 16 17 needs to be reflected in their rules. And, it does create a more difficult situation. No question. 18 CHAIRMAN CERQUEIRA: Other questions for 19 20 Paul or for Lloyd? 21 (No response.) 22 CHAIRMAN CERQUEIRA: If not, I'd like to 23 thank you. Lloyd, you've done a great job on this and 24 you've kind of been the one constant behind the 25 program. Thank you very much.

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1	We're ahead of schedule. That's great.
2	Next item is discussion of the National Materials
3	Program Working Group Report. And Paul, you're
4	already seated there.
5	MR. LOHAUS: Okay. Let me first of all,
6	thank you and express my appreciation for the
7	opportunity to be here.
8	What I'd like to do is I have six slides
9	that I put together. I'd like to use this to maybe
10	pick from where we were at the last meeting. We
11	talked about briefing you periodically to give you
12	information in terms of where we are on the National
13	Materials Program, and that's what I'm going to try
14	and do today. And then, answer any questions that you
15	have.
16	Can I have the first slide, please? I
17	think you all have a copy in your handout as well.
18	I wanted to start out and really highlight
19	that we have a National Materials Program today. It
20	basically reflects the NRC and the collective
21	Agreement State programs. This program has evolved
22	and will continue to evolve. But today, we do have a
23	National Materials Program. And basically what the
24	program is is the collective NRC Agreement State
25	programs.

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I wanted to highlight four background or reference documents and we can talk through each one. The first is an earlier Commission paper, which we talked about at the last meeting. But that provided to the Commission, the working group report for the National Materials Program Working Group, which contained a series of recommendations for Commission consideration.

9 The recommendations ranged from NRC 10 basically taking back responsibility for all licensees 11 to assigning responsibility to each of the states, and 12 a number of options in between.

And we talked about the alliance option, which was the working group's recommended option, which to me really reflects a continuation of the evolution of the program of where it is today. It's a program where they would be greater shared resources and greater shared activities with the states.

Move on to the next. The second paper, SECY-02-0074, provided for Commission consideration five pilot projects. The purpose and intent of the pilot projects is to provide a further base of information on how the states and NRC can work together focused on the alliance process, sharing resources, maybe looking to centers of expertise if

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1	the states have a particular area of expertise, and
2	that area of expertise be relied up to help address a
3	rule or guidance area for the nation.
4	The next paper was an addendum to the
5	pilot projects paper. What that paper did is provided
6	a recommendation. And this in a sense to me was sort
7	of a National Materials Program recommendation. It
8	was a collective recommendation from the Conference of
9	Radiation Control Program Directors, from the OAS
10	Board, the Conference Board, and OAS Board, and the
11	NRC staff.
12	The thought here was that in moving
13	forward and proceeding, what we should do is use a
14	blending of two of the options that were in the
15	National Materials Program Report. One was the
16	current program option, and the other the alliance
17	option.
18	And really what's reflected here to me is
19	really the blending in continued evolution of the
20	program where we're looking to see whether working
21	groups, a higher level of state participation in those
22	working groups could help provide the support and
23	infrastructure that's necessary for the Materials
24	Program.
25	Let's go on to the next one, please. The

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133 1 Commission considered those three papers, and in 2 August issued guidance to the staff. As you're aware, 3 this done through what's called staff is а 4 requirements memo. 5 The Commission approved the recommended option of the blending of the current program and the 6 7 alliance options as we proceeded forward with the 8 pilots. They indicated clearly that future direction 9 on the National Materials Program and any option would 10 be dependent and be guided by the results of the pilot project effort. 11 They also explicitly identified that we 12 13 should seek and request comment from a broad spectrum 14 of stakeholders, including licensees and non-agreement 15 states. Let's move on to the next one, please. 16 17 What I tried to show here is sort of termed as "Interrelationship of the National Materials Program." 18 But if you look on the left-hand side, what's really 19 20 reflected when you look at this is that each of our 21 programs, whether it be an Agreement State program or 22 an NRC program, has certain responsibilties that we 23 need to carry out: the basic day-to-day licensing, 24 the inspection, response to incidents, we've got to 25 make we provide adequate staffing, training for that

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1	staff, enforcement investigations.
2	But, they're really sort of separate
3	activities that we each carry out to cover our areas
4	of responsibility. And they're basically key to the
5	number of licensees that we each have.
6	On the right-hand side is reflected what
7	I could call "Shared Program Activities." And to me,
8	this is sort of the key to the National Materials
9	Program. Things like rule development, policy
10	development, guidance development, program evaluation,
11	and areas of that nature, there is a shared aspect to
12	that.
13	And if you look at the box underneath,
14	rather than having two separate boxes, there's one
15	box, and you'll see a dotted line there. I think part
16	of the thinking and part of the evolution of the
17	program is that that dotted line needs to begin to
18	move further to the left.
19	In other words, given the larger
20	proportion of Agreement State licensees there is need
21	for a greater sharing, if you will, of the regulatory
22	infrastructure work with the agreement states. And
23	that's what reflected here.
24	And I think today NRC still carries the
25	LIONS' share of that. In the future, we may see the

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1	states start doing more. It may stay as it is, but we
2	may see the states doing more. And that's part of
3	what is being tested as a part of the future work in
4	the program.
5	DR. VETTER: Excuse me. What do you mean
6	by "program evaluation"?
7	MR. LOHAUS: Our IMPEP program, what we do
8	is we involve Agreement State representatives both on
9	review teams and on the Management Review Board.
10	I want to make it very clear though that
11	this is a responsibility that is solely NRC's. They
12	may work with this and help conduct the review, but
13	the final bottom-line determination is made by the
14	Management Review Board. But, it's an NRC
15	determination. It cannot be delegated, if you will,
16	to the states.
17	Any questions on this? But I think
18	what I've tried to do is sort of capture on one slide
19	sort of the spirit of the program. And regardless of
20	how the program infrastructure activities are shared,
21	each of us are going to have to carry out the basic
22	LIONS' responsibilities of the regulatory program:
23	the licensing, inspection, etcetera.
24	Let's move on to the next slide.
25	CHAIRMAN CERQUEIRA: Now is some of this

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1	related to budget? I mean just kind of shift it out
2	of the federal budget since the NRC is supposed to
3	generate enough revenues to pay for it. And so, you
4	share it with the agreement states.
5	Are they going to buy into this?
б	MR. LOHAUS: This is you put your
7	finger on one of the keys here that was sort of the
8	genesis for thinking about this further. And that is,
9	as the number of state licensees increase we're
10	talking about 17,00 or so now, with NRC about 4,000
11	NRC was continuing to cover the LIONS' share of the
12	regulatory infrastructure work, the research, the rule
13	development, the guidance development.
14	And the costs for that were covered
15	through licensee fees. The thought was we ought to
16	look for a more equitable sharing, if you will,
17	proportional to the number of licensees.
18	There are a number of other factors.
19	There's off fee-based funding that was specifically
20	requested to address international and Agreement State
21	activities that NRC carries out to try and help reduce
22	the fee pressure that's there.
23	But I think the concept is still there,
24	that there may be some cost sharing. There may be
25	some efficiencies that can be gained. But, there's

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1	also a technical expertise issue. As NRC looses
2	licensees, the states may have the majority of
3	licensees in a particular category.
4	Well-logging may be a good example. And
5	the expertise in that area may very well reside within
6	a state or few states as opposed to with NRC. And,
7	why shouldn't we use that expertise to address the
8	national picture as opposed to NRC trying to do that.
9	So, there are a number of different
10	factors in here that we're going to be dealing with
11	and working as we go forward.
12	CHAIRMAN CERQUEIRA: Jeffrey? I think
13	Jeff's got a question.
14	MR. LOHAUS: Yes. I'm sorry.
15	DR. WILLIAMSON: Maybe you're coming to
16	it, but it seemed in some of the notes that we were
17	sent prior to this meeting there was talk about
18	amending the Atomic Energy Act to facilitate this
19	program.
20	Are you going to comment on what the
21	proposed statutory changes are that you have in mind?
22	MR. LOHAUS: I had not planned to
23	directly, but if you go back and look at the working
24	group report, there are two areas that they identified
25	for possible consideration.

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One was whether the Act should be amended to provide authority to states for licensing, inspecting the regulatory oversight of federal facilities. Regardless of how many agreement states we have today, NRC would still have a residual, if you will, cadre of federal licensees as well as import/export exempt distribution that we would have responsibility for.

9 I think the working group felt that's an 10 area that could be explored. And if so, it would 11 require a legislative change.

The other area was the fact that NRC has 12 regulatory jurisdiction over byproduct sources and 13 14 special nuclear materials. The states have a broader 15 focus, including naturally occurring and acceleratedproduced materials. And the question was whether NRC 16 17 should also assert jurisdiction, request legislative change to assume responsibility over that suite of 18 19 licensees so you have a more comprehensive program, if 20 you will.

21 DR. WILLIAMSON: I don't see how that 22 would improve your financial standing because what 23 you'd be doing is taking on a larger burden of 24 regulatory infrastructure, but still most of the 25 licensees would be in the agreement states.

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1	MR. LOHAUS: There are a lot of balances
2	that are involved in these types of decisions. And as
3	I said, these were two areas that were identified by
4	the working group in their report. But, there are
5	certainly considerations that would need to be
6	addressed at some time in the future.
7	CHAIRMAN CERQUEIRA: Dr. Nag?
8	DR. NAG: Okay. What are the five pilot
9	projects? I mean I think that's helpful to know so we
10	can see where we will be going in the future.
11	MR. LOHAUS: Sure. The first pilot
12	project is one that's directed at determining whether
13	and how NRC can share with the agreement states the
14	process of setting priorities for work that's done in
15	the materials area.
16	And here, I think the states believe that
17	with their larger share, with the expertise that they
18	represent, they also should have a greater say in
19	determining what are the priorities, which rulemaking
20	actions are we going to be working on, which guidance
21	areas should we be working on, where are the key
22	technical issues. And that's the focus of the first
23	pilot.
24	It's to examine whether there's ways
25	within our existing processes to further engage states

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1	and bring them into that process or whether we need to
2	have some additional processes to share with the
3	states the development of those priorities.
4	The second pilot is directed at an
5	existing program in the states and really relies and
б	utilizes expertise that the states have already
7	demonstrated. And this is to use the Conference of
8	Radiation Control Program Directors Working Group to
9	see if the states can take on the job and administer
10	a national radiography certification program.
11	There's already been a lot of work that
12	that group's done. And the thought is that could be
13	an area where NRC could shed some work and the states
14	could pick up and carry that responsibility forward.
15	The third is to examine how and what
16	processes we could use to further engage the states in
17	reviewing events, incidents that occur for generic
18	implications and sort of share and take on some of the
19	responsibility today. Most of that work is done by
20	NRC staff. We review all the events nationally that
21	are in our nuclear materials events database.
22	The thought here is to examine whether the
23	states can play a greater role here in identifying
24	generic implications and the kind of regulatory action
25	that may be taken or should be taken to address those.

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The fourth was directed at seeing whether the states, or a state or a group of states, could take on and develop a set of guidance, the licensing, inspection procedures, etcetera, that would be necessary for a new use of material or a new modality that had not been previously reviewed or approved. And the last pilot was one that was

8 9 directed at utilizing an existing working group. In 10 this case, it's the working group that's addressing changes to the Inspection Manual Chapter 2800. 11

In the basic Materials Inspection Program 12 Manual, there's an existing working group. 13 And the 14 thought was we piggyback and have the benefit and 15 experience of an existing working group to reflect into the pilot programs. 16

17 Those are the five pilots. We're in the process of getting charters completed, identifying 18 19 representatives for the groups. We talked at the 20 agreement states' meeting, I gave everybody similar talk at the agreement states' meeting and we have 21 22 interest in identifying representatives, getting the groups established, and starting on with the next 23 24 steps.

DR. NAG: The other question is if funding

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1	for NRC was the major consideration, why National
2	Materials Program was instituted? Did you examine the
3	possibility of taxing the agreement states so that if
4	they are you know, if NRC is still providing a lot
5	of fundamental basic input, but not getting reimbursed
6	for that, why not tax the state depending on the
7	number of licensees they have to help fund partially
8	the NRC?
9	Was that examined?
10	MR. LOHAUS: The working group certainly
11	talked about that. I think their bottom line was that
12	the level of effort that would be provided by the
13	states in terms of their providing personnel, paying
14	their salaries for participating, that that basically
15	would offset, if you will, the costs. But, that's
16	certainly an issue.
17	Again, I want to maybe emphasize that cost
18	is not the only consideration. It's one
19	consideration, but there's a lot of other
20	consideration. It's really, you know, how are NRC and
21	the agreements states going to continue to function
22	and operate in the future as NRC's number of licensees
23	continues to decrease.
24	And certainly, budgeting costs is one.
25	Expertise is another, how we continue to operate.

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1	There are a lot of different factors in there that
2	we're working with. So I don't to just leave the
3	impression solely that it was a cost factor, but that
4	certainly was a major aspect in looking at the fee
5	question.
6	DR. NAG: The other thing is
7	fundamentally, I think the ACMUI would've been
8	interested in knowing how historically NRC got
9	involved in byproduct material, but not the NARM
10	materials.
11	And if the risks are the same, if the
12	radionuclides that are produced have the same activity
13	and same half-life and so forth, the risks are going
14	to be similar.
15	MR. LOHAUS: Yes.
16	DR. NAG: So why this dichotomy how did
17	it come about? And that will help us answer why we
18	are now regulating the two differently and why we
19	should bring it back.
20	MR. LOHAUS: Very good question, and the
21	states have argued the relative risk part of this for
22	years.
23	The answer is historical. It really comes
24	out of the genesis for the Atomic Energy Act and the
25	focus of the federal programs at that time. They were

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1	focused on the source materials, the special nuclear
2	materials that were derived from the source materials,
3	and the byproduct materials that were created incident
4	to the use of the special nuclear materials.
5	The naturally occurring and accelerated-
6	produced materials were not a hard consideration at
7	that time. And it's been a continuing issue within
8	the program that the states have brought up, that NRC
9	does not have as comprehensive a program as the states
10	have when they cover the full suite of materials.
11	But, it's really a historical reason and
12	it's the genesis of the Atomic Energy Act program.
13	It's where that comes from.
14	DR. NAG: Yes, but regulation we always
15	see that we are trying to go for regulation that is
16	risk-based. The risk is no different than when you're
17	using the same criteria.
18	MR. LOHAUS: Yes. And again, it's a
19	consideration, where when we do seek state comment, I
20	think they look at this from a risk-based prospective
21	given the totality of their programs. I think those
22	aspects are reflected in their interactions.
23	CHAIRMAN CERQUEIRA: Neki, you had a
24	question?
25	MS. HOBSON: Yes. I just wondered how did

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1	you come about deciding that now is the right time to
2	bring everything all under one tent? Have there been
3	incidents for instance?
4	The states have been regulating these non-
5	NRC materials. Have there been accidents or incidents
б	that would warrant federal intervention? Why are we
7	at this juncture today instead of yesterday or two
8	years from now?
9	MR. LOHAUS: You mean in terms of
10	asserting jurisdiction over a broader base of
11	materials?
12	MS. HOBSON: Yes. Right.
13	MR. LOHAUS: That's a consideration. I
14	don't think there's any hard decision that's been
15	reached at this point in time. But, it is certainly
16	a consideration that the Commission is interested in
17	looking at.
18	At the same time, the National Materials
19	Program identified this from the standpoint of
20	reducing potential duplication, assigning
21	responsibility in a single organization for materials
22	that have similar risks. Why have
23	MS. HOBSON: But you haven't had a rash of
24	incidents that you say, "Oh my goodness. We've got to
25	do something?"

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1	MR. LOHAUS: No, we have not. No. Thank
2	you. I should've focused on that initially.
3	But, that's correct. We have not. It's
4	more from the totality and universality standpoint.
5	MS. MCBURNEY: Just to add to what Paul is
6	saying, from the state perspective, part of it is due
7	to looking at occupational exposure and public
8	exposure from a total exposure standpoint rather than
9	splitting off just the byproduct material.
10	A lot of times in the NRC states when they
11	go in and inspect, they're only looking at that part
12	of it even though they're looking at total
13	occupational exposure; whereas in the states, they
14	look at the total program, the Materials Program, or
15	adding in the x-ray part of it as well.
16	And in a lot of cases, you're going to
17	have a lot of combined features in medical
18	applications and in industrial applications.
19	MS. HOBSON: Well, if the states and I
20	agree with you. I think the states are really doing
21	an excellent job out there. So if the states are
22	already doing this, looking at the total picture
23	MS. MCBURNEY: That's only in the
24	agreement states.
25	MS. HOBSON: Well, but you have most of

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1	the licensees
2	CHAIRMAN CERQUEIRA: There are 32.
3	MS. HOBSON: and it's growing.
4	MS. MCBURNEY: But I think they were
5	looking at it in the big picture that there needs to
6	be some consistency throughout the regulatory
7	framework on how we regulate all radioactive
8	materials.
9	CHAIRMAN CERQUEIRA: Jeffrey?
10	DR. WILLIAMSON: Are you considering also
11	widening the AEA domain to include electronically-
12	produced x-rays that aren't derived from any
13	radioactive materials such as diagnostic radiology,
14	linear accelerators in diagnostic oncology?
15	MR. LOHAUS: No. The answer is "no".
16	And again, I want to emphasize that the
17	aspects in terms of jurisdiction were areas that were
18	identified by the working group and are areas of
19	consideration. There's been no decisions reached to
20	move forward along these lines other than to explore
21	potential one case is to explore potential
22	legislation dealing with naturally occurring excuse
23	me, dealing with accelerator-produced materials where
24	we've developed some proposed legislation.
25	But some of these other aspects, they're

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1	considerations and there have not been hard decisions
2	reached there.
3	DR. WILLIAMSON: But a hard decision has
4	been reached to go forward with increasingly, scope to
5	include NARM?
6	MS. MCBURNEY: Not NARM, ARM.
7	MR. LOHAUS: ARM. Accelerator-produced
8	material. Yes, yes. The Commission did ask
9	DR. WILLIAMSON: Okay. You excluded
10	Radium-226.
11	MR. LOHAUS: The Commission did ask the
12	Office of the General Counsel to examine some
13	legislation, yes.
14	CHAIRMAN CERQUEIRA: Dr. Nag?
15	DR. NAG: Had the working group had any
16	discussion about the role of ACMUI in the National
17	Materials Program?
18	MR. LOHAUS: The working group requested
19	stakeholder feedback. There was one meeting. But in
20	terms of looking at advisory committees and other
21	aspects, you can see in their consideration and
22	reflection that the use of advisory committees such as
23	ACMUI would continue as a part of the program.
24	In other words, you need to have the
25	independence, the independent review, the peer review,

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1	and the feedback into the process. That would
2	continue to be a part of the process.
3	So, I don't think there's really change
4	that was contemplated in that area. It would be a
5	continuation of existing processes and utilization of
6	existing committees and mechanisms. That's not to say
7	that there may be additional mechanisms that might
8	come out of this process in the future as well.
9	But, I think their thought was primarily
10	focused on how NRC and the states would interact in
11	the existing structures. A lot of that would continue
12	to function such as ACMUI, or ACMW, other advisory
13	committees.
14	DR. NAG: Would it require any expense,
15	you know, any change in the structure of the ACMUI or
16	would it remain exactly the same?
17	MR. LOHAUS: I really can't comment on
18	that at this time. I think that's something that as
19	the program goes forward you could look at that item
20	and consider that as an item for consideration,
21	certainly.
22	I wanted to maybe spend a few minutes and
23	talk about this slide because this has some important
24	aspects on it that really may sort of affect our
25	ability to move forward.

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The first item, "Evolving National Materials Program Environment", what I wanted to reflect here were maybe two things. One is the 9-11, response to the response to terrorist activities. There are activities underway here within both NRC and the states, and looking at what kinds of additional security measures do we need to put in place.

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9 That process and those activities need to 10 be taken into consideration, and may very well help 11 shape or affect any National Materials Program 12 structure in the future. So, it's an area of 13 consideration that I sort of wanted to lay out.

Another area that today is really critical, if you looked at the initial work and if you looked at where the states were from a budgetary standpoint at the time the working group engaged, they all had very strong fiscal bases.

And if you look today -- and I have to recognize Texas. Texas did a recent survey. They got very good responses from 23 states I believe. And all those states, with the exception of five, indicated severe fiscal conditions, severe budgetary constraints that they're each dealing with.

That obviously will also have a big impact

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relative to the program in moving forward. Because without that base, it's going to limit the ability of states to engage in the process. And that's an uncertainty, so I wanted to sort of highlight those two aspects in terms of an evolving aspect that will have an effect here.

7 The other is, I've labeled this "Success 8 Measures". If you look at the first pilot project 9 paper, there is about eight or nine success measures 10 that we've identified that would be used to judge and 11 help assess the pilot projects.

I've highlighted a couple of these here, 12 13 and one is, and we've talked about this, is the 14 ability of NRC to share with the states the 15 establishment of priorities. The second, and we've talked about this also, is the ability of states to 16 17 assume and carry out greater responsibility for the development of products needed in a National Materials 18 Program, the ability of the states to commitment 19 20 resources to program.

And the final item is looking to the future. What will the respective roles of the Conference, the OAS, the Organization of Agreement States, and the NRC be in the program? And you can look at a number of different options.

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1 I think there's always going to be a very 2 strong NRC component. But at the same time, the 3 have demonstrated, are continuing states to 4 demonstrate greater ownership, taking on a greater 5 responsibility. And we're going to see that as well 6 in the program.

As I mentioned, the budgetary, the fiscal 7 8 issue may have some effects here. But as an example, 9 if you look at the agreement states' meeting, today 10 that meeting is truly a meeting of the agreement 11 states. It's planned by the Organization of Agreement States. It's their meeting. NRC is really an invited 12 13 member to that. They've basically taken on the 14 ownership and responsibility for that meeting.

15 So, that's one example. It may not appear 16 to be a big example, but in the past the agreement 17 states' meeting was basically set up and run by NRC. 18 And today, it's basically set up and run by the 19 states.

There's very close coordination and integration in terms of the items we cover and the participation in the meeting. There's a high level of senior management participation in the meetings, etcetera. But, it's a change that's occurred that's reflected in the National Materials Program structure.

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2	I'm going to stop at this point, and open
3	this up for discussion. I don't know Ruth, if there's
4	any comments or observations, additional thoughts that
5	you might like to offer as well. Please, I welcome
6	the opportunity.
7	(No response.)
8	MR. LOHAUS: Any feedback as well. I'd
9	very much appreciate that. And, I appreciate the
10	comments earlier. They were all very good comments
11	and very good questions.
12	CHAIRMAN CERQUEIRA: Neki had a question
13	or comment.
14	MS. HOBSON: Yes. I just kind of it's
15	kind of hard for me to grasp how this alliance thing
16	would work.
17	Would NRC like be the first among equals,
18	or would NRC be the Chairman and the boss of the
19	group, or would it be a pure democracy? Who's going
20	to call the shots on what are the problems we need to
21	solve, where are we going to find the solutions, when
22	is the solution adequate, that kind of thing?
23	Who's calling the shots?
24	MR. LOHAUS: Let me answer this in several
25	ways. One, in terms of program performance and

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1	program evaluation, NRC will always have the lead and
2	will always have prime responsibility there. It's a
3	legislative responsibility we have in terms of the
4	oversight and cannot be delegated. So, we will
5	continue to have a strong role there in that program.
6	In terms of determining priorities, as I
7	mentioned, the states would like to share and
8	participate to a greater extent in that process. And
9	that's one of the pilot areas that we're going to
10	explore.
11	But as a part of that process, my sense is
12	that the Commission and what we lay out as a part of
13	our strategic plan and of our operating plans and as
14	our budget to support that is really going to reflect
15	the priorities from NRC's standpoint.
16	At the same time, as I mentioned, if
17	there's states that may have a particular area of
18	expertise, and we identify that there's need for work
19	in a particular area and I'll use well-logging
20	because Texas probably has the majority of the well-
21	loggers and has a high degree of expertise there.
22	And if we need additional guidance in that
23	area, what we may do is we may not identify that as an
24	item that NRC would address, but we may look to Texas.
25	And Texas would pick this up, and either individually

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1	or working with states, identify that.
2	We're not at that point yet, but that's
3	part of what you can see in the National Materials
4	Program. We still have a ways to go or maybe even a
5	long ways to go on certain parts of this, but this is
6	part of the thinking and part of the evolution that
7	you can see in the program as you look forward.
8	CHAIRMAN CERQUEIRA: Leon?
9	MR. MALMUD: Are you requesting our
10	opinion or are we just being informed of the process
11	that's ongoing? I mean that in a constructive way.
12	MR. LOHAUS: In the spirit of staff
13	requirements memo, we are seeking stakeholder
14	comments. Personally, I would very much appreciate
15	the views of the committee in terms of not only the
16	pilots I mean we're just beginning to get the
17	charters formulated but in terms of issues or areas
18	that should be considered or things that you see that
19	should be reflected.
20	I think that individually and collectively
21	as an organization, we'd certainly welcome your
22	feedback.
23	MR. MALMUD: As a nuclear physician in my
24	training and as a realist in terms of believing part
25	of what I read in the newspaper, number one, the

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1	states are going to be under increasing budgetary
2	constraints as is the federal government.
3	I'm from a state that's in the Rust Belt,
4	with an aging population and an emigration of its
5	college graduates. It's a state which can ill afford
б	I believe to take on an addition economic burden.
7	As a provider of services, it means
8	another level of oversight, or a greater intensity on
9	the part of the state in the oversight. And I can't
10	imagine the federal oversight disappearing. It
11	shouldn't disappear.
12	We're talking about radioactive material.
13	It moves from state to state. It's kind of like
14	shifting the FDA responsibilities for food and drugs
15	into the states. It would make a quagmire of 50
16	different regulations based upon each state's own
17	myopic view of the world. There are some areas in
18	which the federal government can function much more
19	efficiently. And this, in my opinion, is one of them.
20	In practicing in the city of Philadelphia,
21	we have city inspections, state inspections, federal
22	inspections. They all contribute to an atmosphere of
23	oversight and concern to the patient, as the primary
24	recipient of their oversight. However, I'm not sure
25	that we need three. The expense of three, though

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1	divided among the three has to exceed the expense of
2	one well run program at the federal level.
3	So while I am not generally a proponent of
4	central control of everything, I think that with
5	radioactive material given its nature and the fact
6	that it moves across state borders and that we now
7	have a national security issue arising of a magnitude
8	that we didn't have before, I would suspect and I
9	would hope that the states would not have more
10	responsibility in managing this, but that it would
11	rest as it has in the past with the federal
12	government, which is going to make the rules anyway.
13	That's a personal opinion I have.
14	CHAIRMAN CERQUEIRA: I think Ralph was
15	going to make a comment, and David.
16	MR. LIETO: I was going to kind of hold
17	off here a little bit. But, a couple weeks ago I was
18	asked to collect comments from the committee and the
19	intent was to try to create a consensus response to
20	this. But I think because of the timeframe and so
21	forth, that wasn't really too practical to achieve
22	what I think is a full consensus of the committee.
23	So maybe what I can just do is summarize
24	some of the things that were feed back to me in terms
25	of the documents that were distributed to the

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1	committee previously, which I think probably was your
2	SECY-01-0112, which was the Materials Working Group
3	Report on the National Materials Program.
4	As I understand it, that this report was
5	basically, was a directive that came out in 1999 I
б	think thereabouts and the report was completed last
7	year. Is that correct?
8	(No response.)
9	MR. LIETO: The bottom line was that the
10	National Materials Program, with the stated goals and
11	mission statement and the objectives that were
12	presented in the working group report, has merit and
13	a benefit to medical users.
14	I think there was support for the four
15	components that were proposed in the working group
16	report. I think you call those options or whatever.
17	But just for the committee's review, these four
18	options were: establish centers of expertise, seek
19	authority to regulate NARM, maintain an information
20	infrastructure, and fourth to create a standing
21	Compatibility Committee.
22	There was support for that, but there was
23	also a concern that was shared with the agreement
24	states about NRC regulating NARM. And I think the
25	concern from the medical users' perspective involved

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1	the potential for increased regulatory burden, which
2	I think Dr. Malmud expressed just a few moments ago,
3	in an area that the NRC has not been previously
4	involved with.
5	I think this intrusion is really focused
6	at the use of PET, which is area of greatest potential
7	and growth in nuclear medicine. I think that's where
8	the main comments lie.
9	There were four major concerns I think
10	that were expressed. One had to do with the
11	regulation of NARM and the increased burden and costs
12	to agreement states, especially those that might not
13	have any significant improvement in safety. This
14	adverse effect would be of greatest concern in the use
15	of positron emitters and diagnostic nuclear medicine.
16	A second concern that was expressed was
17	that states with strong programs of health and safety
18	might be tied or forced to seek a lower level to
19	create a common denominator throughout the country.
20	I think you've addressed a little bit of that already
21	in your comments and provided some reassurance there
22	that states would still in many areas be allowed to
23	continue their unique functions.
24	Another concern has to do with the funding
25	of this program from the NRC's perspective. This was

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1	not addressed in the working group report. It was in
2	the information that was supplied.
3	The funding of NRC activities, especially
4	in the non-reactor area, cannot continue to be funded
5	by the current mechanism of fees supporting NRC
6	activity. I think that if you're going to seek
7	regulatory authority to change areas that need to be
8	addressed by the Atomic Energy Act, I think that the
9	current funding mechanism needs to be changed also.
10	It's unclear how there would be any cost
11	savings to programs that would have to expand based on
12	a fee-supported program. What we're talking about are
13	those programs, which really don't have much
14	regulatory now in the area of NARM, having to assume
15	those responsibilities.
16	And then one of the areas of concern was
17	that one of the assumptions for the success of the
18	alliance options was "states develop and maintain a
19	level of technical and regulatory expertise equal to
20	or greater than the NRC."
21	I think there's some concern that this may
22	not be realized in a third of the states that are non-
23	agreement states, mainly because they do not or cannot
24	achieve this level of expertise. What incentive would
25	there be for them to change to achieve this assumption

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1	for success?
2	So, those are the comments that I had
3	gotten from the committee as a whole.
4	MR. LOHAUS: Thank you very much. I very
5	much appreciate those.
6	CHAIRMAN CERQUEIRA: Would it be helpful
7	I mean you got these verbally
8	MR. LIETO: I'd be glad to write them out.
9	MR. LOHAUS: Please. I'd very much
10	appreciate that. Thank you.
11	Yes?
12	CHAIRMAN CERQUEIRA: A few other people
13	wanted to make comments then we have one outside
14	person who requested an opportunity.
15	Ruth?
16	MS. MCBURNEY: To expand on what Paul said
17	about the changing in the resources on the federal
18	level and the state level, it makes it even more
19	important for us to combine those resources and work
20	together.
21	For example, on a lot of cases where some
22	of the newer technologies and the sources that are to
23	be evaluated for particularly new technologies,
24	they're probably going to happen in one of the larger
25	agreement states first before NRC sees them.

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1 So rather than having each state have to reinvent the wheel and the NRC have to come up with 2 3 licensing guidance or review guidance for that source 4 and so forth, right off the bat if we can establish a 5 working group to review that that includes both 6 federal and state people, that will combine the 7 resources a lot better in our shrinking economies. 8 MR. LOHAUS: Yes? 9 DR. DIAMOND: Just out of curiosity, have 10 any of these smaller agreement states expressed any interest in relinquishing that status and going back 11 to NRC status? 12 In those cases and in this 13 MR. LOHAUS: 14 case, it does happen to be at least one small program 15 and then a second that I would characterize as an intermediate-sized 16 program, where thev have 17 experienced performance difficulties, principally due to staffing, retention of staff. Those programs were 18 19 placed on what we call heightened oversight. It's a 20 program where we request a program improvement plan. The issue of consideration of should we 21 22 continue the program is certainly a consideration that 23 both of those states have looked at in one way or 24 another. But to date, we're not aware of any formal 25 request, if you will, to NRC to consider taking back

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1	a program.
2	I think in the cases where it's been
3	examined, the thought is that the program can provide
4	good or better service at lower costs and be more
5	responsive, if you will, to local needs. And that the
6	considerations
7	DR. DIAMOND: So there's still a thinking
8	out there that the states can manage these programs in
9	a more cost effective matter as opposed to paying the
10	licensing fees?
11	MR. LOHAUS: That's correct. And also
12	what these programs have done is to look at seeking
13	legislative relief to increase these.
14	A couple of examples. One program for
15	example that was on heightened oversight about four
16	years ago took a concerted effort to work with the
17	community and their legislature, and they recently
18	received legislative approval for an increase in their
19	fees.
20	And another part of this, which other
21	programs have found to be very effective, is the fees
22	are earmarked for that program. So, they go directly
23	into the program. And it has really improved the
24	
21	performance of that program significantly.

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1	with it at the state level. We need to seek the kind
2	of relief, whether it be an increase in fees or
3	adequate funding to support the programs. That, to
4	me, has been the bottom line that I've seen as opposed
5	to "Here NRC, you take it back."
6	CHAIRMAN CERQUEIRA: Okay, Bill Uffelman
7	from the SNM would like to make a comment.
8	MR. UFFELMAN: I had a question for Lloyd.
9	You enumerated four documents. One of them was the
10	August SRM, and I've checked with my other colleagues
11	from some of the other effected organizations, which
12	obviously are stakeholders in this, and I don't
13	believe any of us have seen that SRM. It has no
14	signed number, so we're kind of shooting in the dark
15	when we go on these website searches.
16	And Dr. Diamond had commented earlier
17	about how the effected parties find out they are
18	effected. We certainly would like to, if it's
19	available, we would like to be able to look at it.
20	MR. LOHAUS: It is available. And I may
21	stand to be corrected, but I'm pretty certain we
22	shared this with the agreement states with an all
23	agreement states' letter, which should be on the STP
24	website. But we can double-check that and certainly
25	make sure that you have a copy.

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1	MR. UFFELMAN: The Society of Nuclear
2	Medicine, ACR, ASTRO, et al would certainly like to
3	have a look at it.
4	MR. LOHAUS: We'll certainly follow up on
5	that.
6	MR. UFFELMAN: Thank you.
7	CHAIRMAN CERQUEIRA: Any other questions?
8	DR. NAG: I have one.
9	CHAIRMAN CERQUEIRA: Yes.
10	DR. NAG: Now that the National Security
11	is interested in nuclear terrorism and so forth, and
12	they have a huge budget, is that a source of funding
13	that the National Materials Program can tap into?
14	MR. LOHAUS: I guess I'll answer it two
15	ways. One is I'm not aware of anything explicit at
16	this time. But I think in terms, if there were to be
17	particular activities that might address increased
18	security, that could be a possible source.
19	But I would say at this point, the answer
20	is "no". There's been no consideration of that and I
21	don't see anything in the future coming from that
22	particular budget area.
23	CHAIRMAN CERQUEIRA: Great. Well, Paul,
24	we've got five minutes to go, but Fred and Tom would
25	like to address a couple of the problems that we've

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1	identified this morning before the lunch break. So
2	thank you very much, Paul, for an excellent
3	presentation.
4	MR. LOHAUS: Thank you very much.
5	MR. ESSIG: We've been reflecting on some
6	comments that were made earlier this morning, where
7	the committee had in mind certain expectations and our
8	presentations on a couple of issues didn't deliver.
9	We're mindful of that, and what we want to
10	do is to explore right now I'd like to maybe just
11	plant the seed and then we could pick up on it later
12	this afternoon explore the ways in which we could
13	interact, maybe myself as the designated federal
14	official, interact more effectively with the committee
15	prior to the committee meeting so that we understand
16	what the expectations are on a given items that's
17	going to be on the agenda.
18	CHAIRMAN CERQUEIRA: Right.
19	MR. ESSIG: And so that we have the right
20	person presenting the right material. And so, at this
21	time, I'll just offer that to the committee for
22	consideration.
23	If we want to engage in the form of a
24	conference call, say a month ahead of the time or some
25	other appropriate interval ahead of the scheduled

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1meeting date, and then have, if not the entire2committee, at least a suitable representative sample3of the committee relay to us what the expectations are4on the particular agenda items so that we can5CHAIRMAN CERQUEIRA: I think that would be6important. I can tell you in the past the staff,7several months ahead of the meeting, actually8initiated preliminary agenda to be discussed. It9would be presented to me and then we would get it out10to the committee, seeking other people's input and to11try to get a little bit more clearly defined12expectation of the materials to be presented.13I think in part, since we were working so14intensively on Part 35 revision, it was kind of a15recurring agenda to some extent. Now we've kind of16gotten past that, and there are some of these other17issues that we've brought up. And, things happen at18the last minute like the presentation with the GAO19this morning. I didn't know about it until it20happened now.21So, we've had sort of a shifting and the22designated federal official and but I would think23certainly starting well in advance of the meeting24would be helpful. But other committee members25DR. NAG: I think that's only addressing		167
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1 part of the problem. I think the second part of the problem would be the feedback back to us. And I think 2 3 what's going to be important there is anytime any 4 action material is discussed, it's impossible to read 5 through the entire minutes of the proceedings. But 6 anytime you have any action items those should be 7 given back to us. You know, this is what this was, 8 and this is what was investigated. 9 We never know what's going on until six 10 months later, and we may or may not go back. So within a certain period, within two weeks or within 11 four weeks of the meeting, the officer should say this 12 was the action item and this was the action statement. 13 So, I think that would be really helpful to close the 14 15 loop. 16 CHAIRMAN CERQUEIRA: But see, again, 17 sometimes we have a lot of discussion that's never 18 quite clear to you or to us what is wanted or needed. 19 And what we've tried to do over the last several 20 meetings is actually formulate specific motions that 21 we vote on, and those are action items that we need 22 follow up. Ideally, we'd like to get the follow up as 23 24 soon as possible. And once the information is 25 available through the Internet, to be sent out to the

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1	committee. But certainly, if not in that timeframe,
2	at least at the next meeting we should get follow up
3	on those items that were flagged requiring action.
4	So, again, that's something we need to re-institute.
5	Jeff?
6	DR. WILLIAMSON: Well, I'm going to bring
7	up one issue here that's a little bit delicate and
8	sensitive to bring up in public.
9	But, I think it would be useful if you
10	looked over some of the transcripts from the past, say
11	two or three years ago when Barry Siegel and Judith
12	Stitt were Chairmen of this committee. And I think
13	you will see that there's a lot more interactivity,
14	give and take, between the designated federal official
15	and others that he or she designates in the group.
16	And what it seems to me to have happened
17	over the last couple of years is we essentially
18	conduct our discussion and our efforts to come to a
19	consensus in a vacuum. And sometimes it's like
20	pulling teeth to get a perspective from the Commission
21	staff.
22	So I think we as a group would appreciate
23	somebody that interacts more intensely with us because
24	it helps us to gain a perspective of the limitation
25	that the agency has by virtue of its charter and

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1	various other commitments to Congress and so on. And
2	we don't necessarily know that.
3	It's been very helpful to have these very
4	detailed technical dialogues over these issues. And
5	I think the best way you can get a perspective on this
6	is to go back and look at some of the transcripts with
7	an eye towards this kind of interaction back during
8	the time Cathy Haney and Larry Camper were the
9	designated federal officials.
10	One of my complaints has been that too
11	often we seem to be in too much of a vacuum. And I
12	think it's a very important role that you are taking
13	on.
14	CHAIRMAN CERQUEIRA: Sally?
15	MS. SCHWARZ: I think one specific point
16	this particular meeting, as far as the overall input
17	of the training and experience in terms of the work
18	that Dr. Vetter's committee did in writing these
19	regulations and presenting them with no feedback to
20	essentially see any revision of those requirements
21	because had we seen the revision, we might have had
22	discussion.
23	So I think that if can just see,
24	specifically, the return from the staff.
25	CHAIRMAN CERQUEIRA: And again, there was

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1	no mechanism in place to even bring that up. I mean,
2	when we put together the agenda, I was the one that
3	requested some of that and it was just hard to get the
4	information out. And, even until now, I didn't really
5	fully know the status.
6	I think, Ralph, you had your hand up, and
7	then David.
8	MR. LIETO: Did we want to go ahead? Were
9	we going to be taking this up again in the afternoon
10	about this specific issue, or do you just want to go
11	ahead and carry on right from here?
12	CHAIRMAN CERQUEIRA: In terms of the
13	MR. LIETO: Feedback mechanism.
14	CHAIRMAN CERQUEIRA: Well, it's noon. We
15	will bring it back
16	MR. LIETO: Okay.
17	CHAIRMAN CERQUEIRA: for discussion in
18	the afternoon. I think Angela has some time built in
19	at the end. I mean, if the Committee wants to delay
20	lunch, I'm willing to do that, but I think we probably
21	need the break. Is that reasonable?
22	Why don't we break for lunch and come back
23	at 1:00 o'clock and then we will resume this dialogue
24	in the afternoon session. Thank you.
25	(Whereupon, the above-entitled matter was

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1	concluded at 12:05 p.m.)
2	CHAIRMAN CERQUEIRA: All right, so now,
3	we're back from our lunch break and I'd sort of like
4	to, you know, just I think it's sort of understood but
5	we should clearly state that we're not trying to point
б	the finger at anybody, you know. I'm kind of sitting
7	there thinking maybe it's my fault as chairman that
8	we're not getting things done, but rather, I mean, all
9	of us are spending time and effort in the process and
10	for various reasons we're all committed to it and I
11	think it's in everybody's interest to make it as
12	efficient and effective as possible and so that's
13	really our objective for going at some of these
14	various issues.
15	And we'll come back and discuss some of
16	these things we were talking about just before lunch.
17	And Mr. Essig has put at your desks that actual
18	material that was sent to the Commissioners and it
19	says, you know pre-decisional, not for public
20	disclosure at the bottom, but committee members now
21	have it and we can you know, we won't talk directly
22	about this, I guess, unless people have had a chance
23	to look at it.
24	All right, so the next item on the agenda
25	then is the Health and Human Services data base and I

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1	guess Linda, is she going to present it?
2	MR. BROWN: Well, we've managed to run
3	Linda into the ground in sending her around the
4	country for the stakeholder meetings so she called in
5	sick today. So, I'm afraid you're stuck with me for
6	most of the rest of the afternoon. I'll try to muck
7	my way through it in my best normal process here.
8	What we wanted to do today was basically
9	inform you of something that we've been working on for
10	several months now and that it's hopefully nearing
11	completion and that is NRC reporting to the Health and
12	Human Services data base called the Health Integrity
13	and Protection Data Bank and I'll basically go through
14	what that is, what we have to report, and the status
15	of agreement state reporting as well, walking you
16	through these slides.
17	The next one. What is it? Basically it
18	came about as a result of the Health Insurance
19	Affordability and Accountability Act which is
20	documented up there and I assume that probably many of
21	you are more familiar with this than I am or we are
22	actually, because it effects other activities or
23	effects you in other ways beyond just NRC regulated
24	activities. But the bottom line with this Act, I
25	believe, was that it was important that if a medical

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provider or a health care provider or someone involved with health care was found guilty, to use a general term, of a major infraction in one jurisdiction, one state, one locality, that that information would be available to both employers and other health care professionals in other jurisdictions. And so this data base, I believe, is intended to be the way to make that information available to other people where an individual might work.

The data base is confidential in terms of 10 access to the general public. It is not available to 11 12 the general public but it is available to 13 professionals and institutions that would be 14 interested in the information. Anyone who has a report filed into the data base receives notification 15 of that report and a copy of the information so that 16 17 they have an opportunity to challenge the accuracy and work out with whoever the reporting body was a 18 hopefully resolution of those concerns. And then as 19 20 I've indicated, the people with access to the data base are specified there. 21

How is the NRC involved? The regulations pertaining to this Act are, as I said, Health and Human Services regulations and they're in Title 45 of the Code, Part 61 and those regulations are applicable

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to the NRC and agreement states. So we are required by the statute and the implementing regulations to provide reports to the data base. There is also reporting required from health plans as indicated on the slide.

will NRC 6 Okay, what the report? 7 Fundamentally, we report final actions that are 8 publicly available to the extent that they relate to 9 medical practice and health care and it's limited to 10 those actions that are adjudicatable. So if the agency -- if an agency could take an action against an 11 individual and the individual would have no recourse 12 to challenge the validity of it, then that action is 13 14 not reportable to the data base. Only things that can 15 be challenged are reportable to the data base.

So for NRC purposes the adjudicatable actions that the NRC would take are revocation or suspension of a license, actions to limit the scope of practice and actually the biggest one which didn't make it onto the slide would be escalated enforcement actions. So those are the things that the NRC will be required to report.

The next slide goes over who this rule would be applicable to and it's basically anyone involved in the health care field in a way that

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1	impacts patient safety. So I guess as an example of
2	an exception at a broad scope licensee, a broad scope
3	medical licensee, someone doing surveys in the waste
4	decon area, waste disposal area would not be
5	reportable or a violation associated with that,
6	because that's not health care.
7	I'm going through this pretty quickly and
8	I'll
9	DR. VETTER: Can you give us an example
10	here?
11	MR. BROWN: Sure. A physician who would
12	be required by the regulations to have a dose or
13	dosage calibrated prior to administration of that dose
14	who failed to do so, if that violation met the
15	criteria for escalated enforcement and the agency took
16	escalated enforcement action against the individual AU
17	or the licensee, that would be reportable to the data
18	base.
19	DR. VETTER: But a medical event itself
20	wouldn't be?
21	MR. BROWN: No, only violations.
22	DR. VETTER: I'm sorry. Okay, well, a
23	medical event ends up being a violation. The
24	inspectors always turn it into one. So if it's a
25	violation, even though it's not escalated enforcement,

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1	it would
2	MR. BROWN: No, only escalated
3	enforcement.
4	DR. WILLIAMSON: I must say, we've had
5	medical events from this Administration reported at
6	Washington University that did not result in
7	violations.
8	MR. BROWN: Thank you. I didn't think
9	it's worth me arguing the point.
10	DR. WILLIAMSON: Could you define
11	escalated enforcement and identify that class of
12	violations more exactly that would appear in here?
13	MR. BROWN: Certainly, yeah, it's severity
14	level 1, 2 and 3 violations are escalated under the
15	enforcement policy. Severity level 4 violations are
16	not. Minor violations and NCVs are not escalated.
17	DR. WILLIAMSON: NCVs?
18	MR. BROWN: Non-cited violations.
19	DR. NAG: Right now, any medical events
20	anyway by NRC?
21	MR. BROWN: Not into this data base, no.
22	DR. NAG: No, but I mean, so it's public
23	knowledge.
24	MR. BROWN: It's publicly available
25	information but it is not centrally maintained or

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1	readily searchable by for instance, an institution
2	looking at a hiring a physician or obtaining service
3	from a radio-pharmacy or someone else.
4	DR. DIAMOND: Fred, I have a number of
5	questions. Firstly, the health integrity and
6	production data bank, that was established under the
7	statute of 1996 that you enumerated, when did this
8	data bank become active or how long has it been
9	active?
10	MR. BROWN: The implementing regulation,
11	I believe, is about two years old. I'm not sure how
12	long the data base itself has actually been active,
13	per se. I would I'll give you a guesstimate of 12
14	to 18 months.
15	DR. DIAMOND: Twelve to 18 months. As I'm
16	thinking through this, I have absolutely no idea
17	whatsoever what the value of this is. As was already
18	just said, this Administration and so forth are
19	publicly available on website and other resources. I
20	just have absolutely what was being considered by our
21	legislators when something like this was passed, what
22	value it has to whom, for what purpose. Does anyone
23	share this sense at all of mine? I'm just not that
24	you can do anything about it, of course.
25	DR. BRINKER: My understanding was that it

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was primarily used for situations in which practitioners, et cetera, who cross state lines would not be able to hide problems that existed in another state and that was the up front thing. I don't know how the NRC part got into it but I think it was -- I think it started initially as malpractice -- well, for the government, more likely fraud and they got rolled into one.

9 By way of example, Bill MR. UFFELMAN: 10 Uffelman, Society of Nuclear Medicine, by way of 11 example, I believe the incident about a year and a 12 half, two years ago the nuc med tech, I think up in 13 the Minnesota walked somebody -- a new tech over the 14 phone. They were on call but they didn't bother going 15 in so they walked somebody else through milking the technetium generator and all of that went well, but 16 17 then they lied about it to you when they were confronted with it and they were banned, I think, for 18 three years or five years, I forget now which, from 19 20 working in any nuclear -- you know, anything regulated by the NRC, so I would presume that that incident 21 22 would have made it to the list.

The recent situation, that I presume, hasn't been resolved in Michigan with the I-131 patient who apparently died, not as a result of I-131,

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1 but then the family was exposed to the extent that if 2 the authorized user and the health physicist involved become the subject of action, then I would presume 3 4 that incident would be reported. Is that correct? 5 MR. BROWN: The first example, certainly, 6 we did take escalated enforcement in that action would 7 be reportable. The second event is still working its 8 way through the process. That's what I said. 9 MR. UFFELMAN: 10 MR. BROWN: I can't really comment on that 11 but yeah, I mean, I'm sure that's the flavor of 12 Congress' intent and just to follow up, Health and 13 Human Services was the agency responsible for writing, 14 implementing legislation. And when they did it, they made it applicable to all federal agencies which 15 enveloped us, not necessarily because that was, you 16 17 know, clearly called out in the legislative language anywhere that it was intended to apply to our 18 19 licensees, but that's where we end up. DR. NAG: Now that (undiscernible) in my 20 authorized user's name, it would come under my 21 22 supervision, so that would come under my name and it 23 would be by the name of the authorized user or by 24 institution, who would the final report come? 25 MR. BROWN: That's a very good question

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1	and I believe the answer is that it's our licensee
2	that we take action against and the reporting will be
3	by the individual against whom action is taken. So in
4	most cases, it would be a licensee that was reported
5	rather than an individual. Now, the exception to
6	that, as Mr. Uffelman identified, there are exceptions
7	where we take enforcement actions against individuals,
8	typically for willful violations but also, I mean, it
9	doesn't have to be willful in that context. It could
10	be careless disregard or gross negligence on the part
11	of an individual. In that case it would be the
12	individual but that's a very rare occurrence that we
13	take action against individuals.
14	DR. WILLIAMSON: And this Administration
15	wouldn't necessarily appear unless it's tied to, as we
16	said, a severity level 1, 2, or 3 violation which
17	MR. BROWN: Correct.
18	DR. WILLIAMSON: I don't know if the
19	majority probably the majority of medical events in
20	the Administration is life and death but certainly not
21	all.
22	MR. BROWN: And the other thing is we're
23	in a new age today, so now there are medical events
24	and I think that we'll see fewer I suspect that
25	we'll see fewer enforcement actions out of medical

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1	events than in the past because of the change in the
2	underlying reg so the QMP.
3	CHAIRMAN CERQUEIRA: Can you give me a
4	feel for the number, say under the old rules over the
5	last year, how many reportable events to this data
6	base would have been documented? Are we talking about
7	100 or are we talking about 1,000?
8	MR. BROWN: Well, let me preface my answer
9	by saying that I was most deeply involved with this
10	about six to nine months ago and since then I've been
11	focused on the new Part 35 and Linda is out sick, so
12	I picked up this presentation this morning.
13	CHAIRMAN CERQUEIRA: Sure.
14	MR. BROWN: So in that context, I think
15	the answer is in the medical area we're probably at
16	the largest bounds talking in terms of if the
17	agreement states used NRC enforcement criteria, it
18	would probably be 40 cases nationally, that range, but
19	because the agreement states aren't even required to
20	have enforcement programs, I wouldn't you know,
21	two-thirds of those may not have involved actions
22	against the facility. In NRC space, maybe a dozen,
23	and that, I think is at the outside.
24	CHAIRMAN CERQUEIRA: I don't have a good
25	feel, you know, when you tell me severity level 1, 2

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or 3 with exhalation. You know, I would not want somebody who gives an extra five milli-curies of technetium to a patient to have their names appear on this, but at the same time, you know, if someone is, you know, totally negligent in verifying pregnancy or other things in administering a dose, that would be appropriate.

8 MR. BROWN: Yeah, and unfortunately, I 9 didn't bring in the enforcement guidance and your 10 point is well-taken and in enforcement space we do try to be more risk informed with what a violation is. 11 12 And so in terms of occupational exposure, it would 13 take an over-exposure to reach the level of escalated 14 enforcement and obviously, that's not directly transferrable into the practice of medicine, but 15 procedural issues and minor issues should not reach 16 17 the level of escalated enforcement unless there is extenuating circumstances, willfulness or --18

19 CHAIRMAN CERQUEIRA: Are you presenting 20 this to committee just for information? Do you want our input? Are we actually going to perhaps see a 21 22 little bit more detail of what sort of events are 23 reportable to get feedback for severity? 24 MR. BROWN: The purpose of this 25 presentation was primarily informational for you. Ι

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1	can certainly take feedback. This is the as you
2	sometimes feel that you're handcuffed by the
3	restrictions of the staff on what you can do, and this
4	is a case where the NRC staff feels handcuffed by
5	another federal agency in terms of how we implement
б	this. The approach that we've taken in talking out
7	loud here somewhat. The approach that we've taken is
8	in implying in applying the regulations from Health
9	and Human Services, we've attempted to limit the
10	burden on our external stakeholders and ourselves in
11	implementing this and I think it would be reasonable
12	for us to share with the committee the current draft
13	management directive and ask for your insights in
14	terms of areas that maybe you can see a way to limit
15	that negative impact and burden but there aren't any
16	decision makers, per se, even in the NRC staff that
17	will be able to address some of the issues because
18	there are things that we are uncomfortable and unhappy
19	with but they're beyond our control.
20	CHAIRMAN CERQUEIRA: Now, in terms of the
21	committee members is there anyone who has special
22	concerns? I mean, Doug, you feel that the nuclear
23	medicine community is going to be fine with this?
24	DR. EGGLI: Again, I don't think we have

25 a -- we're probably going to have a whole lot of

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1	choice.
2	CHAIRMAN CERQUEIRA: Right. Although,
3	again, if the violations have no negative adverse
4	impact, I mean, you know, risk based and if there's
5	minimal risk to the patients or to the users, then I'm
6	not sure that it needs to go to the level of severity
7	where
8	DR. EGGLI: It's taking quite a bit to get
9	to the it's taking quite a bit to get to the
10	reportable medical event stage these days. It's going
11	to take something close to 50 rem to the target organ
12	to get to a reportable event stage now. So you can
13	it's and at that point, maybe it's reasonable.
14	CHAIRMAN CERQUEIRA: Now for the radiation
15	therapy people, are there any concerns?
16	DR. WILLIAMSON: Well, I think you don't
17	have to have a medical event to appear in this. If
18	you leave your cesium room door unlocked and a
19	terrorist comes by and steals your cesium, my guess is
20	he'll find your institution on this list. So a
21	significant security violation in this climate or any
22	kind of a procedural violation of Part 35 or your
23	license that is classified as Level 3 and a fine is
24	made could end up it would be on this list. As
25	long as it involved health care. It might not have

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1	anything to do with a medical event.
2	MR. BROWN: Well, yeah, actually, I don't
3	believe that's correct in this case because one of the
4	criteria is that the violation itself has to be
5	associated with health care. So a security and
б	control violation, I don't believe meets the criteria
7	that we've established for
8	DR. WILLIAMSON: So it has to be something
9	involving the treatment of a particular patient.
10	MR. BROWN: Or a group of patients.
11	DR. WILLIAMSON: Or the maintenance of
12	infrastructure necessary to support the treatment.
13	CHAIRMAN CERQUEIRA: Dr. Nag and then
14	DR. NAG: Yeah, and one thing that we have
15	to worry about is a medical event like under dosing
16	which in many times that may not really make any
17	difference to the patient. For example, I might give
18	the patient 4000 and I know 4000 centi (phonetic)
19	and other people might give 5000. That in itself is
20	a 20 percent variation. That wasn't made by mistake.
21	It really had no bearing on the patient but it will be
22	a medical event. So on these things, I mean, are
23	these reported or not?
24	MR. BROWN: Well, I would like to go back
25	to Dr. Williamson's point, which is, just because you

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1	have a medical event does not mean that the agency
2	will take enforcement action.
3	DR. NAG: Right.
4	MR. BROWN: If the investigation the
5	event follow-up, concluded that there was a violation
6	and that the significance of the violation rose to the
7	level of escalation, then it would be reportable,
8	although, again, there is a right to challenge each
9	individual case.
10	CHAIRMAN CERQUEIRA: Ralph, before we come
11	back.
12	DR. WILLIAMSON: Well, as I think back on
13	my history with NRC, I don't think I've personally
14	been involved where we've had a finable offense, but
15	I've been involved long enough to know that sometimes
16	the regulatory and enforcement actions have more to do
17	with protocol and dotting Is and crossing Ts and so
18	on, and really aren't a good marker of the quality of
19	patient care delivered by the institution.
20	So while I think the new Part 35 and the
21	maybe more how should I say, risk informed,
22	performance based attitude, we hope, of the inspectors
23	will resolve discrepancies between these two goals of
24	regulatory compliance and isolating out bad apples,
25	you know, there still is the potential that, you know,

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1	what NRC might consider a finable offense has nothing
2	to do with the quality of the health care delivered.
3	So that would be, you know, my concern is
4	that in whatever guidelines you make up, you really
5	consider the purpose this data base is going to be
б	used for which is to for others to identify, add
7	practitioners and institutions and so on and be, you
8	know, really careful in articulating your guidelines
9	and try to keep that purpose in mind and not do it
10	mechanically.
11	CHAIRMAN CERQUEIRA: Dick, you had a
12	comment.
13	DR. VETTER: Yeah, a question, two
14	questions actually; what efforts have been made to
15	communicate this to licensees and the second one you
16	addressed briefly and I'm not sure I understood it,
17	and that was the accessibility of this information to
18	the public.
19	MR. BROWN: Okay, where we're at right now
20	is still internally working out the process of
21	reporting and that's not done yet. Once that's done,
22	we'll issue a generic communication. I'm going to go
23	later in a couple of the presentations, go through
24	the way we've been doing that for regulatory issues
25	like this but the point is well taken. We shouldn't

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1	surprise anyone with a double whammy here. Here's
2	your escalated enforcement and then by the way, here's
3	the report of Health and Human Services.
4	That was one half of your question and the
5	second half
б	DR. VETTER: Access of the DOEs the
7	public.
8	MR. BROWN: Yeah, I'm going to have to
9	admit ignorance. The slide basically provides the sum
10	total of my familiarity with the actual statute and
11	the underlying regulation, but it is it should be
12	accessible, 45 CFR Part 61, and I can do some follow-
13	up and get back to you. It will be later in terms of
14	what the controls or access to that data base are. I
15	know there is a password protection on the system and
16	you have to be a registered user.
17	Even for reporting agencies, there's a lot
18	of administrative hurdles to get through to be able to
19	report data in and QA back on that data. So I think
20	it's not inconsequential but I'm not sure who all it's
21	limited to when it says you know, health plans will
22	have access. I'm not sure what that means.
23	CHAIRMAN CERQUEIRA: Dr. Brinker?
24	DR. BRINKER: Just a clarification
25	perhaps, did I misunderstand you? If so, I apologize,

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but did you state that the agreement states might not have either the investigatory wherewithal, whatever, do what is necessary to report cases in their jurisdiction?

5 MR. BROWN: Enforcement is not a mechanism 6 subject to compatibility in our arrangement with agreement states. We require agreement states to do 7 8 event follow-up and assessment but we do not require 9 states to have a mechanism to take adjudicable actions 10 against licensees. The way the Health and Human Resource regulations are written is only adjudicatable 11 12 actions are subject to reporting.

Now, I can't imagine that there's an agreement state that doesn't have the capability to revoke a license and I think pretty much universally that would be a reportable event. But in terms of taking escalated action and fining a licensee and having an adjudicated process, some agreement states have that kind of system and others don't.

20 DR. BRINKER: My point is, isn't that --21 isn't it unfair then to practitioners who happen to be 22 in an NRC state to be held to a level that might be --23 reportable level, a level in reporting that's 24 different from an agreement state?

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MR. BROWN: I guess it's a matter of, you

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1	know, Congress' intent in publicly accessible
2	information both for health providers and for
3	licensing boards. Really what you're saying is for
4	jurisdictions with NRC oversight, there's better
5	information available to decision makers and it's less
6	good information in other jurisdictions.
7	DR. BRINKER: Well, it's punitive to in
8	a way or less punitive to the individuals and license
9	holders to be in an agreement state than it is if you
10	happen to be in an NRC state because not only are you
11	getting reported but this report goes on a data base
12	that's accessible by people that might have your
13	future a role in your future.
14	DR. DIAMOND: My specific concerns along
15	these lines is this; it is certainly possible that a
16	medical event could occur which has my real medical
17	adverse impact, as Dr. Nag was giving an example of,
18	that this information is thought to reach a level of
19	severity that requires reporting by a group of
20	individuals that have no true capacity to evaluate the
21	severity of the event in medical terms, then this
22	makes it to health care plans or makes it to attorneys
23	and the next thing you know, you have a lawsuit, you
24	can't get malpractice insurance, you can't get on
25	health plans. It is a very, very real possibility,

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1	particularly in the context of a medical/legal
2	environment that already is just salivating over every
3	action that we physicians take.
4	I can certainly see and take my own
5	state, the State of Florida, the folks in the state
6	office are very nice people but they know very little
7	about medicine. I do not have confidence that if they
8	received information regarding an event, they could
9	make a reasonable decision regarding the true medical
10	severity of that event and I could certainly see
11	instances where there is a reporting to this entity
12	and this escalates with very untoward ramifications.
13	CHAIRMAN CERQUEIRA: So I think we all
14	understand the need to do this and you're obligated to

15 do this, but just in terms of the specifics, I think we'd like to see a little bit more clarification both 16 17 at the NRC level as well as the agreement states on 18 what's going to be reportable. And if it's 19 definitely, you know, a high risk to patients and has a negative medical impact, it should be reported but 20 21 there can be other things that even though they're not -- they don't endanger patients or the public or the 22 23 people using the isotopes but could end up on this 24 reporting profile with a lot of adverse consequences to it. So I guess the question is where do we go from 25

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1	here on this? Do you need more input from us? Does
2	the committee want to see some feedback from the NRC
3	and what they're going to do?
4	DR. WILLIAMSON: I think it might be good
5	to see the Management Directive that Fred mentioned
6	and give more specific feedback on that at our next
7	meeting.
8	MR. BROWN: Yeah, we can certainly
9	distribute both a copy of the statute, the Health and
10	Human Services regulations and our Management
11	Directive and any help in finding a more creative and
12	constructive way to satisfy our requirements from the
13	committee would be a great help.
14	CHAIRMAN CERQUEIRA: And give us some idea
15	again you know, based on your the data that you
16	have, what the number of events that would have been
17	sent to this data base and what they consist of so we
18	can get a feel for whether they're medically
19	appropriate or not.
20	MR. BROWN: Yeah, I guess let me caution,
21	number one, with a change in the regulations, I don't
22	really want to do that, quite honestly. I think
23	that's apples and oranges I think. I think we could
24	get very excited about under the old regulations and
25	the QMP actions that were taken by the NRC and would

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1	be spending energy that's not usefully spent when
2	we've already changed the rule to address a concern.
3	And I guess the other point I would make
4	is we've introduced now the implication that the data
5	base is only intended to come into effect when there
6	are dead bodies or there's deterministic effect and
7	certainly from an NRC enforcement perspective, we look
8	at the precursors with a reasonable potential for
9	outcome like that. We don't just start when you
10	know, when there's an organ loss because of a medical
11	event. So I just request that when you look at what
12	we send out that you think not only about is there
13	always an outcome with a severity Level 3 violation
14	but are we doing a good job at tying escalated
15	enforcement to the types of events with potential for
16	outcomes as well.
17	DR. WILLIAMSON: So you can send us a list
18	of these events?
19	MR. BROWN: We can do that.
20	DR. WILLIAMSON: I think that would be
21	most helpful.
22	CHAIRMAN CERQUEIRA: That would be
23	yeah.
24	DR. WILLIAMSON: If you could give us like
25	that last 12 events that have been reported to you

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1	that you think would be reportable on this
2	CHAIRMAN CERQUEIRA: Under the new
3	regulations. I just still don't we've been talking
4	about this, you know, for a half an hour now but I
5	still don't have a feel for what kind of events within
6	the diagnostic area and certainly within the
7	therapeutic. You know, the example that Dr. Nag gave,
8	that's, you know, sort of within the practice of
9	medicine even though it may have some implication on
10	the regulations, and I'm not sure that should be
11	reportable, but we're kind of beyond our time.
12	You have a few more slides and I know
13	there's probably other questions, but if we're going
14	to stay on time, we should
15	MR. BROWN: No, actually, I think we've
16	done a better job covering the topic than what the
17	slides would have done. So that's all I have.
18	CHAIRMAN CERQUEIRA: Tell Linda she did a
19	good job.
20	MR. BROWN: I will pass that on.
21	CHAIRMAN CERQUEIRA: All right, so that
22	brings us to the next item which is the status of
23	implementation of revised rule and Mr. Brown and
24	Young.
25	MR. BROWN: Actually, what I'd like to do

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1	is probably go out of turn if Tom's ready so I can
2	make notes to myself about the last topic, and when
3	Tom's covered the inspection, then I'll jump in and
4	cover everything else. But if I hear you asking
5	questions of Tom that I know I'm going to cover
6	CHAIRMAN CERQUEIRA: So he's going to deal
7	with revised inspection guidance.
8	MR. BROWN: Yeah.
9	(Pause)
10	CHAIRMAN CERQUEIRA: You're using up your
11	time, Tom.
12	MR. BROWN: Another lesson learned on
13	prior preparation.
14	MR. YOUNG: Okay, do you have a copy of
15	what I okay, good. There's only five slides, four
16	slides actually because my name is on the first one
17	but Dr. Cerqueira, I want to tell you and your
18	committee today about the medical inspection
19	procedures that are being revised so that you'd have
20	an understanding of how they fit with the revised Part
21	35. And as I recall, as a matter of compatibility,
22	they would not be required in agreement states to
23	implement these same procedures. They can continue to
24	use their own procedures.
25	The medical inspection program is in

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1 Manual Chapter 2800 in the NRC Inspection Manual and it's publicly available on the NRC Web. 2 What we've 3 done is we've started a pilot program to streamline 4 the administrative procedures that are in Manual 5 Chapter 2800. These medical inspection procedures are 6 being used under those administrative procedures, so 7 we're introducing these inspection procedures as part 8 of a pilot program which was also made available to agreement states. So we've been in this pilot program 9 10 for about six months. And if you look at slide 2, you see there 11 just is a quick summary, that we currently have four 12 13 inspection procedures but we've expanded it to an 14 additional fifth inspection procedure. We're changing 15 the inspection procedure numbers so that we can refer to them in our -- with our inspectors the way they 16 17 charge our time to a new set of numbers and then we've changed the format to include seven risk informed 18 19 focus elements which are similar to what was being 20 used with the nuclear medicine inspection procedures for about the past year and a half. 21 22 And in slide 3 you see the new inspection It's 87-130 series and the 23 procedures numbered. 24 titles are new titles that fit with revised Part 35. 25 So the first one you see there is for low risk

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1	diagnostic nuclear medicine and then the next one, IP
2	87131, is for the nuclear medicine therapy where a
3	written directive would be required. Both of these
4	are replacing the existing inspection procedure 87115.
5	And then the brachytherapy programs have
б	their own inspection procedure just as before and it
7	includes the remote after loader units also and it's
8	a new number, 87132 and then the next procedure,
9	87133, we've added the medical GSR units to that one.
10	Formerly it was just 87116 for teletherapy and then
11	lastly is the medical broad-scope programs.
12	And on the next slide, the fourth slide,
13	these are the seven risk informed focus elements that
14	will provide guidance to our inspectors. The way the
15	inspection procedures were revised, each procedure has
16	the same objectives as the current procedures and then
17	the requirements section of the inspection procedure
18	has the seven focus elements, risk informed focus
19	elements and then Section 3 provides the matching
20	guidance for each of these focus elements.
21	What we did essentially in our revision
22	was to there was a lot of redundant information in
23	these procedures and formerly in Sections 2 and 3 for
24	requirements and for guidance, and we've eliminated
25	the redundant information and then reformatted it to

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these seven focus elements. So you see in the past, it -- for example, security and control of licensed material, but now we've focused it, concentrated it to one area for the inspectors to use. The same way for shielding.

For number 3 there the comprehensive 6 7 safety measures would be other types of hazards or 8 events that would promoted promulgate or а radiological condition that would be a problem such as 9 10 a fire, for example, or an explosion. And then the fourth element is that the licensee should implement 11 12 a radiation dosimetry program to accurately measure and record radiation doses to workers and members of 13 the public from the licensed operations. 14

So it's essentially the same information, 15 it's been reduced in size and then it's been 16 17 reformatted into these seven focus elements and the last slide again, is just a reminder that our 18 inspectors are using a performanced based approach and 19 20 we have again reinforced that into these inspection procedures that on the last slide, they're to observe 21 22 interview, if possible, have the and licensee demonstrate a procedure or a radiation safety practice 23 24 for them and to take measurements along with the 25 licensee or independent of the licensee whichever may

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1	be needed and rather than just looking at records or
2	just looking at a written procedure.
3	And of course, the second bullet is the
4	inspectors should not interfere with patient care or
5	patient privacy. They should be attuned to patients
6	in the area while they're on site doing the
7	inspection. And then the inspectors should exercise
8	discretion when they're interviewing the licensee
9	staff in the presence of a patient, so that the
10	patient doesn't have to become involved with the
11	inspection.
12	So those are the revised medical
13	inspection procedures.
14	CHAIRMAN CERQUEIRA: Dick.
15	DR. VETTER: Just to reflect on some
16	personal experience relative to the last slide, we
17	just had an inspection last week and the inspector
18	followed this procedure. I don't know if they're
19	supposed to yet or not but he was anticipating if not,
20	and it went extremely well. I mean, I considered it
21	to be a very professional inspection focused on the
22	risk, areas of risk, spent very little time looking at
23	records.
24	He did look at records, but mostly looking
25	for whether or not we had some performance problems,

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1	not looking whether or not we dotted every I. It was
2	really a very, very well conducted inspection.
3	MR. YOUNG: That's good to hear because we
4	want them to only just spot check records to see that
5	they exist, you know, for the type of activity that
6	they're observing and that they would be able to not
7	really look at the licensee's procedures unless they
8	see radiation safety practice seems to be lacking in
9	some manner and then they would be asking the licensee
10	for better information about that, perhaps training on
11	that.
12	CHAIRMAN CERQUEIRA: That was a real plus.
13	I kept waiting for the but, and it didn't come. Now,
14	I think again, I know that the SNM is here and they
15	had a lot of problems before, they felt a lot of the
16	regulations were now being put into the guidance
17	documents and you know, Doug or Ralph, do you have any
18	concerns about what's
19	MR. BROWN: I will get to that.
20	MR. LIETO: I'd like to hear what Fred has
21	to say first before
22	CHAIRMAN CERQUEIRA: Okay.
23	MR. BROWN: Then he can explain to my why
24	I'm wrong.
25	CHAIRMAN CERQUEIRA: Okay, all right,

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DR. WILLIAMSON: Can you give me an idea 2 of what performance would mean in the area of 3 4 radiation oncology perhaps, with an example, what this 5 performance means, whether you observed that the comply with the regulation 6 activities the or 7 performance end point is not having a medical event? 8 Can you give me a little more of a description maybe 9 through some examples, how the procedure, new 10 procedure for inspection would differ from the old 11 one?

If there were -- if 12 MR. YOUNG: the 13 inspector is on site and they know that there's an HDR 14 procedure scheduled, for example, they might work 15 their inspection schedule so that they could do some observations during that procedure and then they would 16 do some interviews of the staff involved with that and 17 they would just observe to the extent possible how the 18 19 console is operated and how the lights are working and 20 how the survey instruments are being used and that 21 type of activity is what we would expect. 22 MR. BROWN: And then discussion with

22 MR. BROWN: And then discussion with 23 licensee staff about emergency procedures, are you 24 familiar with them, is the equipment staged for source 25 recovery if necessary.

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1MR. YOUNG: And if there's not a procedure2being conducted that day, perhaps at some time3convenient to the staff, they could do a walk-through4or a demo of that. They wouldn't necessarily have to5expose a source, that it would be up to what they want6to do.7DR. WILLIAMSON: So the performance end8point would be whether they observe whether the9actual or simulated patient treatment as you observed10it, complied with the regulations versus how the11documentation complied.12MR. YOUNG: Yes.13DR. WILLIAMSON: So that's the major14changes and emphasis on observation as the basis for15having citable violations16MR. YOUNG: Correct.17DR. WILLIAMSON: versus the records.18MR. YOUNG: Right, because we realize once19we're out there it's a just a snapshot, a view of20licensed operations and based on the equipment that we21see and the condition of the equipment and the ability22of the staff to perform or to answer questions. You23know, we understand that some days may be better than24others but we should reach a level of assurance of		203
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23 know, we understand that some days may be better than 24 others but we should reach a level of assurance of	21	see and the condition of the equipment and the ability
24 others but we should reach a level of assurance of	22	of the staff to perform or to answer questions. You
	23	know, we understand that some days may be better than
25 radiation protection while we're on site observing the	24	others but we should reach a level of assurance of
	25	radiation protection while we're on site observing the

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1	operations.
2	CHAIRMAN CERQUEIRA: Other questions for
3	Tom?
4	MR. LIETO: Tom, you said that the IP's,
5	the Inspection Procedures were on the website, is that
6	do you mean ADAMS or is there some place that's
7	more accessible?
8	MR. YOUNG: They'll probably be in ADAMS
9	but they are going to be on the NRC website. I could
10	give you the path for it. It's not very clean but or
11	I could e-mail it to you. That would probably be the
12	best.
13	MR. LIETO: Okay, if you would give that
14	to the whole community that would be appreciated.
15	MR. YOUNG: Sure.
16	MR. BROWN: And the other action we can
17	take is to make sure they're linked from the Part 35
18	page as well, because they're probably in the
19	Inspection Procedure index rather than the Part 35. So
20	we can make a note to fix that as well.
21	MR. YOUNG: I'll e-mail this to Angela and
22	she can
23	CHAIRMAN CERQUEIRA: She can get it out to
24	the committee, that would be appropriate, okay.
25	Sounds good. All right, any other questions for Tom?

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1	If not, we can move onto Mr. Brown.
2	MR. BROWN: Thanks. I did want to capture
3	the thoughts on the HHS data base and so I apologize
4	for that little break in continuity. The topic that
5	I have is basically the status on implementation. It
б	covers several issues. The first slide talks about
7	the second slide talks about the licensing guidance,
8	NUREG 1556, Volume 9 and basically to kind of recap
9	what happened over the last six months, since the last
10	time the committee met and saw a draft of the NUREG.
11	What had previously been available was a March 2002
12	copy of the NUREG. It largely reflected year-old or
13	18-month old thinking and content. We distributed
14	that for public comment, had a couple of public
15	meetings requesting comment on it and I'm reasonably
16	confident that provided it to the ACMUI and if we
17	didn't, I'm sure I'll hear, to get comments on it.
18	And we went through several months process
19	of attempting to incorporate many individual comments
20	on the contents, both of the licensing guidance and
21	some of the model procedures. After doing that in
22	August of this year, we entered the process of making
23	sure that the document really conformed to the higher
24	level objectives that we have. And we did that in
25	what was called a Pink Team of managers and senior

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staff.

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And the final thing we did was went through what we call a Red Team review -- I'm sorry, wrong one on that slide -- a Red Team review which is the management review to insure that the document is legally enforceable, not that this is a legally enforceable document but that it doesn't overstate the regulations and that it's consistent with senior management perspectives. The next slide, please.

10 The review team philosophy for the big picture was to, number one, make sure that we were not 11 That was the most 12 regulating In guidance space. critical thing we did. We took the position that the 13 14 regulations provide for adequate safety where the 15 regulations speak to new requirements in Part 35. And so, anything that was in the guidance document that 16 17 appeared to require action from applicants or licensees we clearly either deleted it or separated it 18 19 from the part of the procedure that does provide 20 guidance on required submittals.

There were several other parts that go hand in hand with that. Looking for unnecessary burden in the submittal information from applicants, making sure that the document was understandable. And then, as I indicated, making sure that we listened to

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the comments that we got that were specific to things that stayed in the NUREG and through all of that, obviously, we were again focused on safety, but the outcome is that the NUREG that you all have copies of is really divided into two parts.

The first part is what's required in a 6 7 license application and the information that's 8 required is very limited and it's directly tied to 9 either Part 35 or to the Radiation Protection Program 10 requirements of Part 20. There is not a requirement to provide us a description of information that isn't 11 supported by the underlying regulation. I think the 12 volume and the scope of submittals under this guidance 13 14 will be significantly less than under previous 15 licensing quidance in the medical area and it actually sets a new standard, I think, across the Part 30 area. 16

17 The second thing that we did is we addressed the issue of model procedures. 18 And as 19 you're aware there had been concern that model 20 procedures because de facto requirements either through license conditions that were forced on 21 22 licensees or through inspector expectations. And we drew a clear line that said model procedures are not 23 24 requirements, they're simply tools that you can use if 25 you see fit as a licensee. We seriously discussed

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	208
1	deleting them in their entirety from the NUREG and the
2	reason that we didn't do that was public stakeholder
3	comments requesting that we provide these documents to
4	licensees who may find them useful, but the
5	fundamental bottom line is that anyone that uses one
6	of these model procedures does so because they want to
7	and they have all of the freedom to revise that to
8	suit their own situation when they put it into use.
9	It is not something that we will regulate to.
10	So the next slide, the status, now the
11	NUREG is currently available and we got it up on the
12	website as you can see, just hours before the rule
13	went into effect and it's unfortunate because we had
14	had we had hoped to have the NUREG done probably a
15	month sooner than we did. We hope to be able to
16	distribute it at the stakeholder meetings. We hope to
17	be able to get it out to the people at the stakeholder
18	meetings and to this committee well in advance of the
19	time that it took us to finally get it done.
20	But it is now done and hopefully when you
21	look at the finished product, you won't have the type
22	of concerns that you had with the earlier versions and
23	I'll just give one example, because some of you may be
24	interested in it. In the area of calibration
25	procedures, we deleted calibration procedures, model

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1	procedures for all instruments other than simple
2	survey instruments. So there were lots of public
3	concerns and comments about out model procedures for
4	calibration. Those are addressed. The model
5	procedures are removed from the NUREG.
6	Let me pause and ask if there are
7	questions about 1556, Volume 9.
8	MR. LIETO: I'll start. What then is the
9	purpose of the appendices?
10	MR. BROWN: There's two sets of
11	appendices. The first couple are forms, the form for
12	an application of a license and then a form that can
13	be used to submit the information in the 313 form.
14	The all of the appendices after letter H, I
15	believe, are clearly information that's I through
16	W, are informational purpose only appendices and a
17	licensee could tear this portion of a NUREG off of the
18	back and throw it away and it would make absolutely no
19	difference. They could write all of the procedures
20	that they wanted to, to address the things in that
21	appendix. That's perfectly fine.
22	None of those are submitted to us and
23	let me be careful. The rule requires submittal of
24	some emergency procedures. Those do have to be
25	submitted. There's a little bit of guidance back

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1 there on ways to do that but it's not like you can 2 just take the NRC procedure and send it in. You know, 3 we wanted to get away from that. The only appendix 4 that has any information in it that is essentially a 5 requirement is Appendix G, which is information needed for transfer of control. And that basically comes 6 7 right out of a different volume of the 1556 process 8 and if you transfer a license it explains the 9 requirements for that. But everything else is for 10 illustrative purposes other than the forms themselves. MR. LIETO: Fred, there was a document 11 that was submitted that was a combined review of the 12 13 previous draft from three of the radiological 14 societies and some of them had some very, I think, 15 Can you comment on any of that severe changes. material and I guess probably in terms of things that 16 17 were not incorporated into the revision, the final draft. 18 19 MR. BROWN: I can comment to the extent that I know we went through -- there were comments 20 that were specific to calibration for the dose 21

device calibrations. And we actually had gone through
and incorporated many of those changes and we got to
the point that we realized to leave those guidance

calibrators and for the 630 therapeutic treatment

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documents in 1556 would actually set up our licensees to violate the regulations because the regulations 2 require a calibration to a nationally recognized 3 4 standard and this document does not meet that requirement. And so we deleted those model procedures in their entirety.

To the best of my knowledge, we did not 7 8 ignore any substantive comments in the body that 9 remains unless there was a clear regulatory basis to require the submittal of information or to make a 10 11 commitment. Now, that -- there are -- I'm not sure 12 there's anyone in the room that can help me but there are about 900 individual comments and I've been 13 through them at a high level but I can't quote all 900 14 15 of them. Susan?

MS. FRANT: Just to tell you, Ralph, that 16 17 the -- all the comments and the responses are going to 18 be out in a document that's an appendix. So I'm not 19 sure what -- it's almost ready. It may be posted on 20 the web in the next week or so, maybe, but if you want we'll send you an e-mail when it's posted. But they 21 22 follow each of the comments and what we did with them. Okay, thank you, Susan. 23 MR. BROWN: 24 MS. FRANT: Susan Frant, F-r-a-n-t. 25 MR. LIETO: A couple of the issues, since

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1	this stuff just came out, you know, within the past
2	week, I've gotten feedback that a couple of the more
3	controversial issues regarding these appendices. The
4	concern is that agreement states especially, are going
5	to take these and keep them as a model guidance for
6	licensees to follow. And there still is in there the
7	issue about the 200 DPM per square centimeter for I-
8	131 which has been something that has been a major
9	problem and really is an unreasonable level.
10	The patient release examples have errors
11	in them and these were pointed out and the in terms
12	of what they're showing and so see, it doesn't from
13	what I've been able to just, you know, glance at in
14	the last, you know, day or so, it doesn't seem like
15	those issues which were brought up by those
16	organizations have been well, obviously, they've
17	been looked at and they're going to keep them in
18	there. There must have been some reason why they're
19	not going to be changed.
20	MR. BROWN: I don't mean to interrupt but
21	I can address definitively the contamination control
22	action level issue. The former model procedure
23	basically established expectations on contamination
24	levels inside restricted areas for action and outside
25	restricted areas. The new guidance, if you go through

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1	it, you will see that it provides the same discussion
2	but then it very specifically says that if you want to
3	establish as a licensee different criteria, you are
4	completely free to do so and here are the regulatory
5	requirements you have to meet in doing that.
6	You have to meet ALARA. Your values have
7	to be ALARA and you have to worry about disposal of
8	the facility long term and beyond that, you are free
9	to do as you see fit with respect to those levels.
10	And that's very specifically added in to address the
11	basic concern of the stakeholder comment. With
12	respect to corrections on the 35.75 release, although
13	I didn't do it, I do know that we did make changes to
14	some of the examples and formulas to address those
15	questions.
16	Now, we may not have gotten them all and
17	by the look on your face, we haven't and we'll have a
18	continuing battle over that, I'm sure, but the effort
19	was to do that. We went back to the people that had
20	written the original guidance and worked out with them
21	some obvious errors as were pointed out.
22	MR. LIETO: Because the issue has to do
23	mainly with the patient release issue, deals
24	specifically with when you can ignore internal
25	contamination and using the criteria that's in the

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1 appendix which is basically what was essentially the 2 same as previous, you are not going to be allowed to 3 release patients, okay, based on occupancy factors and so forth in the examples, I think it's above 185 4 5 millicuries of I-131. So there's some specific things 6 and this was all written up in the comments that were 7 submitted, so I really think, I guess maybe also this 8 brings up an issue of the concept of a living document 9 and going back and addressing some of these specific 10 issues in terms of the concerns that you know, may still not have been addressed. 11 12 MR. BROWN: I think that's a good point. 13 I mean, it may very well be that we make conscious 14 decisions that are in excess of 100 milicuries of 1-15 131. We didn't think release was appropriate. Ι mean, I won't swear to that but that is the sort of 16 17 thing that we can follow up with a living document on. Okay, other questions? 18 MR. DIAZ: Dick? 19 DR. VETTER: I know we can't go through this in a lot of detail but I think it was either at 20 the last meeting or the one before we raised the issue 21 22 of security and the fact that facility diagrams ended 23 up on the ADAMS site. In the guidance here, once 24 again, the licensee or the applicant must provide a 25 facility diagram, room numbers, et cetera. All that

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1	information ends up in the public record. So it seems
2	a bit of a contradiction here where we are supposed to
3	do everything we can to protect and secure radiation
4	sources and yet we are to make available to the public
5	where all of this stuff is.
6	Is there any thought about allowing
7	licensees to keep that confidential?
8	MR. BROWN: Redacted. I think Susan Frant
9	would like to
10	MS. FRANT: Hi. It seems to be
11	contradictory and I agree with you, there was a
12	decision made by the Commission not by the staff, that
13	this information should remain public. And I think
14	that if the advisory committee believes that it's
15	contradictory to some of the security issues, that
16	would be a good idea to raise that. We also are
17	looking at interim compensatory measures, as you know,
18	which are those things that are the delta between
19	existing security requirements and what we know about
20	potential use by terrorists and others that might be
21	intentional misuse rather than accidental misuse or
22	theft or diversion and as we're reviewing that, it may
23	be that we make a different decision, but right now,
24	based on the fact that this information has always
25	been public, the Commission decided there was no

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1	reason to not continue to make it public.
2	They have diagrams of waste sites also and
3	spent fuel and other things. The only thing that I
4	know that isn't publicly available any more and used
5	to be is the longitude and latitude of nuclear power
6	plants coordinates. So I think that that's okay,
7	so it's been a very contentious issue within the
8	Commission because you have to balance the need for
9	people to know the openness of the information and the
10	process. There are issues on which we make regulatory
11	decisions and the regulatory process has to be
12	transparent to the public.
13	So you have lots of reasons to have it
14	public and you have to have a reason not to have it
15	public to show where it compromises security and as
16	yet, there hasn't been that kind of information to
17	make it clear. So that's I think it's intuitive,
18	you would say, well, why would you send a road map,
19	you know, why leave crumbs, but since it has been
20	public and it's usually posted in the hospital or in
21	the licensee, it's not as if it's a big secret in
22	terms of where the nuclear medicine department is. So
23	that's part of the thinking.
24	CHAIRMAN CERQUEIRA: David.
25	DR. DIAMOND: Well, Richard, I'm very glad

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you asked the question. I was under the assumption that one would continue to submit this information but this information was not going to be available on the website. So I'm glad you asked the question, I'm glad Susan answered it.

I simply don't understand, for example, 6 7 why the commissioner would go and remove the latitude 8 and longitude of a nuclear power plant which can be 9 seen from any aircraft of the naked eye 20 miles away 10 whereas we would continue to go and post the locations, security arrangements for a gamma knife 11 12 stereotactic radiosurgery unit using Cobalt-60 which 13 probably of everything that we're discussing in our 14 purview is the thing that would have the greatest 15 concern as far as a misuse as a radiologic dispersal device, so I'm glad you brought that to our attention, 16 17 Susan. I particularly --18 I didn't bring it up. 19 MS. FRANT: DR. DIAMOND: Well, I'm glad Dick brought 20 21 it up. 22 CHAIRMAN CERQUEIRA: Okay, are there other 23 comments from Mr. Brown before we move on? So do we

24 need any follow-up either from your perspective or 25 from the committee's perspective on this? You know,

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1	Ralph, you've gone over it in some detail.
2	MR. LIETO: Well, not so much in terms of
3	the appendices because just you know, the document
4	just came out and I'm sure there are going to be more
5	issues, but there were I know we're going to be
б	talking about a couple of these a little bit later on
7	in the schedule, but there were also several things
8	that came out of the workshop, stakeholder
9	presentations that I know Fred gave the one in Region
10	3 and I think Susan also gave a couple of the others,
11	and there were things that were coming out in terms of
12	how the regulations would be followed in terms of some
13	of the specific issues, some regarding radiation
14	safety committees, some regarding calibration of
15	survey meters that would meet the requirements, about
16	licensing of field sources and model numbers and so
17	forth which we're going to talk about a little bit
18	later, dual operation machines.
19	There were several things that came out
20	that I don't some of them, I think, were addressed

20 that I don't -- some of them, I think, were addressed 21 but I think they were very eye-opening because people 22 didn't realize that this is how the guidance was going 23 to be or the regulations would be interpreted. And, 24 you know, I think that I would be interested to know 25 if there's anything that's going to come out of these

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stakeholder meetings in terms of clarification and interpretation of some of these regulations.

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We're four or five slides 3 MR. BROWN: 4 ahead of ourselves, but the point is well-taken and I 5 did plan on getting to them. The next slide is 6 diagnostic only guidance. As I'm sure ACMUI is aware 7 coming out of the spring stakeholder meetings. There 8 was a lot of discussion about splitting the guidance 9 for diagnostic off from other uses and the resolution, 10 what we did over the course of the summer or more specifically Society of Nuclear Medicine, did over the 11 summer was to develop a guidance document that's 12 applicable to diagnostic only and they shared that 13 14 with us and the bottom line is that the NRC in general 15 supports that document.

We think it's valuable to SNM members and to non-members for a fee, but the agency is working with SNM to make the document widely available to everyone that's interested for no fee. And what the document does essentially is to provide a road map to license applications for diagnostic only facilities in a way that is easier to follow.

Now, hand in hand with that, hopefully,
we've done the same thing in Volume 9 with a couple of
the tools that show applicants for a diagnostic

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facility or a 100 or 200 or both, what they have to submit in 15.56 Volume 9 as well and what they don't have to address. But that's the status on the diagnostic only guidance document. We actually hoped to have it widely available now, but the administrative process tripped us up.

7 The next thing I wanted to just let 8 everyone know and it kind of envelopes what Tom 9 addressed is we did go out and train the regional 10 staff on the new rule and the approach for performance based risk informed inspection. It was one part rule 11 training and another part let's make sure that we're 12 13 going to implement the rule in the manner that was 14 intended and that we not regress back into the old way 15 of doing business. It was a very -- it was interactive training. It was spirited in some cases 16 17 and hopefully it was effective. There was certainly a lot of discussion and the proof will be in the 18 19 pudding.

20 There also agreement state was participation 21 in those training sessions and 22 hopefully, Dr. Vetter's experience is the proof of the 23 pudding and hopefully others will experience the same. 24 Yes.

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MR. MALMUD: I have a question about the

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1	diagnostic only guidance document. I've not seen it
2	and I'm a past president of the Society of Nuclear
3	Medicine. Has any member of this committee seen it?
4	Dr. Eggli?
5	DR. EGGLI: No.
б	MR. MALMUD: I'm not oppositional to it,
7	but I just
8	CHAIRMAN CERQUEIRA: Mr. Uffelman, comment
9	from the SNM?
10	MR. UFFELMAN: The guidance document in
11	question went through an extensive internal and
12	external review and was reviewed over here at the NRC
13	and but for the administrative glitch that they talked
14	about, I would have had copies available to hand out
15	to all of you today and you could have seen the
16	document, but we had the Board, the Board of Regents,
17	the Government Relations Committee and a number of
18	other folks in fact, reviewed it.
19	MR. MALMUD: Has the membership seen it?
20	MR. UFFELMAN: Many of the members have
21	seen it.
22	MR. MALMUD: Have members of this
23	committee seen it?
24	PARTICIPANT: I'm a member of both and
25	I've not seen it.

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1	MR. MALMUD: Now, this is my own
2	organization, so I'm not speaking hostily about it,
3	but I'm speaking about this process. How can this
4	committee see that slide, accept this as an approved
5	document not having seen it. Dr. Eggli, you represent
6	the Society of Nuclear Medicine to this committee. I
7	represent the Administration to this committee. We've
8	not seen the document. So if we aren't to be informed
9	about what's going on, we might as well stay home. If
10	we are to be a part of the process, then we should be
11	reviewing some of this material and I've intentionally
12	chosen something that's from my own specialty and my
13	own organization to point out the deficiency in the
14	process.
15	MR. UFFELMAN: And to you I apologize. I
16	know it's at your institution because Al Bauer
17	(phonetic), in fact, had a copy.
18	MR. MALMUD: But Alan Bauer hasn't given
19	me the copy.
20	MR. UFFELMAN: I'm just telling you where
21	there is one.
22	CHAIRMAN CERQUEIRA: Hey, Bill, would it
23	be possible for the Society to send the committee
24	copies electronically?
25	MR. UFFELMAN: I think I can but because

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1	of the way we were doing it with the NRC, it may be
2	easier for me to send you a printed out copy. I would
3	give you the complimentary \$40.00 copy that they would
4	have given you for free.
5	MR. MALMUD: And what does it mean
б	"diagnostic only"? Does that mean that you can't do
7	I-131 therapy?
8	MR. UFFELMAN: This document relates to
9	diagnostic nuclear medicine only. There's another
10	therapy document that will be forthcoming after but
11	the way the way the discussion went relative to the
12	resolution of the issues with Congress this past year
13	was with the focus on diagnostic nuclear medicine. We
14	said we would do a diagnostic only nuclear medicine
15	document in conjunction with the NRC at this time and
16	then we would produce a therapy document.
17	CHAIRMAN CERQUEIRA: Thank you.
18	MS. FRANT: Fred, let me make a comment
19	because I think this is not an NRC document. The
20	Commission did not review it. This was a document
21	that was developed by the Society of Nuclear Medicine.
22	They had a review process within their own
23	organization. We looked at it and commented on
24	whether we thought it was complimentary or
25	supplementary or whatever words you want to use, to

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We thought it did not have anything -- and 2 3 this is what our review was about. It did not have 4 anything that was negative in terms of complying with 5 either the regulations or was contradictory to Volume б 9. Volume 9 is the NRC document and that document, I 7 believe, this committee has seen in various stages. 8 So what I guess my point is, is that it's not an NRC 9 It is a Society of Nuclear Medicine document. 10 document and what we are planning on doing is having a licensing agreement whereby something we think is 11 useful and we've done this with other documents by 12 other groups, something we think is useful in order to 13 14 prevent people who might not be able to either afford the membership in the Society of Nuclear Medicine or 15 by the copy we are effectively having a licensing 16 17 agreement for unlimited distribution of a Society of Nuclear Medicine document. So that, I think, makes a 18 19 between something distinction that would be 20 appropriate for us to make sure that ACMUI reviewed, which is Volume 9, and the regulations because you 21 22 advise the Commission on things that the Commission 23 does. So I can't speak to how it didn't get to you as 24 a member of the Society of Nuclear Medicine, that's 25 why I asked Bill to answer.

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1	MR. MALMUD: I wouldn't expect you to
2	address how it didn't get to me as a member. I
3	intentionally chose something in my own area to point
4	out the relative impotence of this committee in
5	dealing with material. What's the name of the
б	committee? It's the ACMUI. How is it that we don't
7	see something the NRC supports? Where are we in the
8	loop? Should we be in the loop? Should we be
9	MS. FRANT: Well, I understand your point.
10	I guess my question would be, do you believe that all
11	the work that the staff does should be reviewed by
12	ACMUI? I don't really want to you know, I mean,
13	that is there are a lots of things that we do that
14	aren't reviewed by ACMUI.
15	MR. MALMUD: That relate to the medical
16	use of isotopes?
17	MS. FRANT: Yes.
18	MR. MALMUD: Isn't that what this
19	committee is supposed to be doing?
20	CHAIRMAN CERQUEIRA: I guess that's a
21	broader question in terms of what eventually gets down
22	to the committee level and you know, I serve on
23	several Medicare committees and we've got the same
24	issue. I mean, when they get any kind of, you know,
25	decision making, they set up these panels and what

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1 comes to us is very arbitrary. So you know, maybe we 2 can get to that at the end of the day. I think to 3 try to keep on schedule, we should go on as soon as 4 Ralph has a comment.

5 MR. LIETO: Well, I have to agree with Dr. 6 Malmud. Even the fact that it's coming out of the 7 Society of Nuclear Medicine, the fact that this --8 that the NRC is going to make this available whether you like it or not, it's going to be construed as an 9 10 endorsement by the NRC. And I think anything that's 11 going out to the general stakeholders as an endorsed 12 means of compliance, I think we ought to have a crack 13 at it, okay.

14 Maybe everything in it is totally benign 15 and there's not going to be any problems with it but it's just like, you know, the first page of the 16 17 handouts here, on the slides, there's an issue summary that went out a week ago about new modalities under 18 19 Part 1000. The first I saw of it was this, yet this 20 has gone out to all the stakeholders and all NRC licensees. And I think we ought to have -- and there 21 22 is some objections to this and I think what's again, 23 to emphasize, is that when are we going to be part of 24 the loop and why do we have to come back and find out 25 about all this stuff because our societies are going

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1	to ask us, didn't the ACMUI comment on this.
2	CHAIRMAN CERQUEIRA: Care to comment,
3	Fred?
4	MR. BROWN: Well, obviously, none of you
5	represent Societies before the Commission. You're
6	providing advice to the NRC staff where requested and
7	we respect that and use it greatly. And everything
8	that we're talking about today, although you may not
9	be 100 percent, hopefully you see the imprint of the
10	advice that you've given us. And you know, I'm not
11	sure that you should wish for some of the things that
12	you seem to be wishing for here today if you hope to
13	continue to practice and have lives outside of this
14	advisory committee.
15	But you'd have the blood pressure of some
16	of us that work for the NRC, but that would be my
17	observation.
18	CHAIRMAN CERQUEIRA: Okay, one last
19	comment and then we really should move on. And again,
20	some of these are more administrative things and
21	DR. WILLIAMSON: I guess one request for
22	the future, it sounds like there's going to be the
23	possibility of a 35.300 document coming out from the
24	Society of Nuclear Medicine that the NRC may or may
25	not endorse and since that since much of 35.300 or

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1	some of 35.300 is done in radiation oncology, I do
2	think it would be prudent for that document to be
3	reviewed by this committee in view of the multi-
4	disciplinary nature of radio pharmaceutical therapy
5	and get a broader perspective than just the Society of
6	Nuclear Medicine before you go ahead and endorse it.
7	MR. BROWN: I think harking back to
8	Susan's point, it's not an NRC product and as an
9	advisory committee, NRC staff, I don't think we're
10	going to bring it forward unless we reconsider that.
11	DR. DIAMOND: Wait a second, hold on a
12	second here. So here's going to be a document that de
13	facto will be construed as having an NRC endorsement
14	that will include activities that sometimes extend
15	outside the purview of the one society that is
16	drafting the document; is that correct?
17	MR. BROWN: Well, to be quite honest, I'm
18	not familiar at all with the document. This is the
19	first time I've heard of it.
20	DR. DIAMOND: 35, Subpart 300 does include
21	some activities outside the exclusive purview of
22	nuclear medicine. I think it is essential that some
23	individuals or entities outside that particular
24	specialty also have a crack at it before it goes out.
25	It may be perfectly crafted, eloquent language, but if

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1	there's a problem, then we have to go back as a
2	committee and pick up the pieces and it takes three
3	times as long and our blood pressure also goes up.
4	DR. WILLIAMSON: So we do not have control
5	over what the Society of Nuclear Medicine publishes
6	but we can give you advice as to whether you ought to
7	endorse it or not in its present form.
8	MR. UFFELMAN: On behalf of the Society of
9	Nuclear Medicine, I can assure you that before the
10	therapy document goes as far as this document has gone
11	you all, in fact, will see the text of that document
12	and your comments will be invited, I mean, as
13	reviewers. I will
14	DR. DIAMOND: I appreciate that and I
15	don't anticipate there necessarily being any problems
16	but the point coming from Fred is that it's our
17	discretion whether we choose to share that with you in
18	advance and I ask myself what the heck am I doing
19	here.
20	CHAIRMAN CERQUEIRA: Could you use the
21	microphone?
22	MR. UFFELMAN: In their defense, we
23	initially we started out to do the guidance
24	document, this to do this thing, without the NRC.
25	We were doing it as a service to our members and in

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the process of the various -- all the stakeholders' meetings and other things that went on, it became -there was an opportunity, if you will, to make it more widely available and that's what in fact, the outcome of the licensing agreement is.

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Okay, let's just back up a б MS. FRANT: 7 little bit which Bill has suggested. There's been no 8 discussion between NRC and the Society of Nuclear 9 Medicine to develop a guidance document for use by NRC 10 licensees. I think the Board of the Society of Nuclear Medicine has asked why there was a diagnostic 11 12 only document and wasn't there a point at which it 13 would be useful to have something related to 14 therapeutic uses particularly within the Society's 15 practitioner base, and so we haven't even looked at it. We haven't even discussed it and I think if you 16 17 want to say to us, well, before there's any document that the NRC endorses, we're not endorsing it. We're 18 19 making it available.

20 CHAIRMAN CERQUEIRA: But by making it 21 available, though, that is sort of a tacit endorsement 22 and you know, and again I think certainly the nuclear 23 cardiology community had no input into the SNM 24 document on that aspect of it and similarly the 25 radiation oncologists and medical physicists when

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1	you're dealing with, you know, the 300 series, there
2	are some things in there that are not done exclusively
3	by nuclear medicine physicians and
4	MS. FRANT: Understood, understood, but I
5	think that not to belabor the point, if we are going
6	to have something that isn't widely reviewed, this
7	document did have a significant review process.
8	CHAIRMAN CERQUEIRA: But only by one
9	Society. The one thing that's unique about this
10	committee
11	MS. FRANT: No, no, I don't so.
12	MR. UFFELMAN: It went to ACR, it went
13	CHAIRMAN CERQUEIRA: TO ASNC?
14	MR. UFFELMAN: To ASTRO, I believe there
15	were ASNC members involved in the review.
16	CHAIRMAN CERQUEIRA: I'm not so certain.
17	MS. FRANT: I think that I take your
18	point. We'll discuss further what to do and how to do
19	it, but I think that it's not fair to Fred to say to
20	him, "How come you didn't come forward with this",
21	because the process was not an NRC document and I
22	think that maybe we need to
23	CHAIRMAN CERQUEIRA: But if it's going to
24	be distributed by the NRC, as Dr. Diamond said, it
25	you know, this is the advisory committee and you're

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1	basically
2	MS. FRANT: We distribute many documents,
3	ANS, ANSI, many documents that don't review that
4	aren't reviewed by any advisory committee to the
5	Commission. ACRS and ACNW do not review documents
6	that are distributed necessarily in support. Even
7	part of the regulations in 50.55(a) there are a lot
8	of documents that are standards and put out by
9	different societies, including EPRI that are not
10	reviewed by ACRS or ACNW. So I think that ACMUI is
11	not being treated as if you're different from the
12	other advisory committees.
13	CHAIRMAN CERQUEIRA: Well, then speaking
14	not as chairman of the committee but as a nuclear
15	cardiologist who's, you know, sitting on this
16	committee, then it's not a process that I want to be
17	involved in. You know, I think as Dr. Malmud said, if
18	you're going to have the committee, there are certain
19	things obviously, we don't want to get every item
20	that comes through, but when clearly it relates to the
21	regulations, we should be involved. Leon?
22	MR. MALMUD: Perhaps may I ask a
23	question? Guidance doesn't mean regulation, does it?
24	MR. BROWN: That's correct.
25	MR. MALMUD: And therefore, there are no

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1	rules established by this document.
2	MR. BROWN: That is correct.
3	MR. MALMUD: So that my question may have
4	been overkill. This is only guidance, it doesn't
5	establish rules for anyone, is that a fair statement?
6	DR. DIAMOND: But there's this rules
7	creep.
8	MR. DIAZ: But some of the states
9	apparently are putting the guidance documents
10	right.
11	MR. MALMUD: So that the non-agreement
12	states would be
13	CHAIRMAN CERQUEIRA: It's only like 18 or
14	17 states. The majority are agreement states.
15	MR. MALMUD: So I see, so then my question
16	stands as it was. It is a risk.
17	MR. LIETO: And one other point related to
18	that, even in NRC regions, when they do a license
19	review or come in to look at a licensee, and they
20	inspect procedures, okay, they're going to grab what
21	is an acceptable guidance out there. Okay, so if
22	they're going to compare anything, they're going to
23	compare it to the guidance documents that are out
24	there and even in NRC states, it becomes a template by
25	which they will look at things if they have to review

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1	procedures.
2	MR. BROWN: I guess to build a
3	constructive point, take building on what Ralph just
4	said and what underlays some of this concern, one of
5	the things that we've dealt with inspectors in
6	implementing this new rule is we don't inspect
7	procedures and we don't expect inspectors to go out
8	and ask for all the records to prove that you were
9	keeping records or ask for your procedures to review
10	them to see if they're adequate because that leads to
11	the use of templates and challenges to the adequacy of
12	procedure when your performance is outstanding.
13	And so the whole fundamental shift that
14	Tom described is for inspectors to go out and watch
15	real people doing real work and if there isn't any
16	work, then to talk to real people about the real work
17	and come to conclusions about the adequacy of the
18	program based on that, not the procedure. So you
19	know, the importance of some of this informational
20	only procedures, we're doing a paradigm shift with our
21	staff and hopefully as we change, you'll see that
22	change and do a paradigm shift with yourselves with a
23	level of concern about some of these documents.
24	But I think the more fundamental point
25	that I took from Dr. Diamond's comments is you know,

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1	we need to be careful recognizing and promoting
2	procedures that are not NRC procedures if we haven't
3	had a chance to coordinate with the committee.
4	CHAIRMAN CERQUEIRA: One last comment and
5	we're falling way behind on the agenda and Ralph may
6	get his day tomorrow if we
7	DR. NAG: Just on the therapy on the 300
8	document guidance, I think that will be more
9	controversy enforced by both nuclear medicine and by
10	radiation therapists and I don't think that you have
11	a guidance document by one society that may or may not
12	be supported by the other. It will be a major point
13	that you'll have conflicts.
14	CHAIRMAN CERQUEIRA: All right, we've beat
15	Fred enough on this issue. Now, so we've got two
16	topics, the Sealed Source Model Numbers and Practical
17	Issues Associated with Manual Brachytherapy Seed
18	Implant that we're supposed to finish before the 2:45
19	break. So, let's
20	MR. BROWN: And actually, there are
21	important things on Part 35 implementation that go
22	back to Ralph's comments as well that I would like to
23	go over real quick.
24	CHAIRMAN CERQUEIRA: Why don't you keep
25	going because we actually haven't gone through yours?

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1	MR. BROWN: If we can skip the slides on
2	inspectors and skip the slide on stakeholder workshops
3	and go right to the current action. What we're doing
4	right now goes back to Ralph's point. There were a
5	lot of good things that came out of the stakeholder
6	meetings. There were issues identified that we
7	hadn't fully thought through ourselves and weren't
8	fleshed out by the committee and the staff doing the
9	rule change. And we're in the process of trying to
10	address those. As we address them, we put them up on
11	our external web and I've provided the link to what is
12	the question and answer list which where we address
13	the things that came up. There were questions about
14	RSO qualifications. There were questions about
15	instrument calibration ranges. So that the general
16	things were about maybe a quarter of the way through
17	those questions.
18	Now, the final slide is that there were
19	several questions that came up where the answer was
20	not this is not a problem. The answer was in fact,
21	this is a problem and we need to address it because it
22	will have a major unanticipated impact on the
23	industry. The first question was the Regulatory

addressed 35.1000 modalities and what would be covered

Information Summary that's in your packet which

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1	by 35.1000 versus what was covered by 400, 500, 600
2	types of uses or 300 types of uses.
3	We issued that regulatory information
4	summary. It covers intervascular brachytherapy which
5	based on the statements and consideration in the rule
6	was previously identified as a 35.1000 application.
7	It also addresses TheraSphere and other Yttrium-90
8	microsphere treatments and the GliaSlite brain
9	treatment and there are differences in the license
10	community about how to address those types of use and
11	actually I can talk to Ralph outside of the meeting at
12	a break that I think we're actually providing the most
13	flexibility by doing this the way we did it and
14	hopefully I can convince him of that.
15	The more important one, though, that was
16	a show stopper is that the requirements for manual
17	brachytherapy seed calibration in 35.432 requires seed
18	calibration but they do not require it to be performed
19	by an AMP and yet the record keeping requirement for
20	that calibration required the signature of an AMP and
21	the concern was that that would create the need for
22	all 35.400 licensees to go out and have an AMP do
23	their calibrations. And that was not the intent of
24	the rule, so we are rushing to get a regulatory
25	information summary out, clarifying that an AMP is not

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1	required for manual seed calibration.
2	A final one and it is an important area,
3	is the Strontium-90 eye applicator calculation of
4	treatment times based on the current calibration of
5	the sources and that is the only requirement in 35.400
б	types of uses for an AMP. And the question that has
7	come up is what type of qualifications were intended
8	for the AMP who does those calculations and the most
9	significant impact is in Puerto Rico where there are
10	a lot of eye applicators.
11	CHAIRMAN CERQUEIRA: Apparently 19 out of
12	the 20 that are registered, according to the
13	information. There's one in DC and 19 in Puerto Rico.
14	So we're talking about a fairly limited distribution.
15	DR. WILLIAMSON: These are licensed,
16	stand-alone eye plant licensees.
17	CHAIRMAN CERQUEIRA: That's correct.
18	DR. WILLIAMSON: Many institutions
19	CHAIRMAN CERQUEIRA: Have them, that's
20	true.
21	DR. WILLIAMSON: that practice
22	radiation oncology have eye plaque therapy available.
23	CHAIRMAN CERQUEIRA: That's true.
24	MR. BROWN: And the basic thing that I
25	wanted to quickly point out here is that the direction

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1	the staff is looking at taking is looking for
2	demonstrated ability for that sort of review of an AMP
3	and the principle of having a limited AMP for only
4	that 35.400 it's not even a calibration, it's actually
5	a determination of activity to K and I was hoping to
6	get some feedback from the committee on that concept.
7	On the one hand, was it intended does
8	the committee believe that an AMP qualified under the
9	full qualification process would have to do those
10	activity corrections or is there flexibility for a
11	more performance based demonstrated ability for that
12	stand-alone requirement area of use?
13	CHAIRMAN CERQUEIRA: Well, that was a
14	closed session.
15	MR. BROWN: That was discussed this
16	morning.
17	CHAIRMAN CERQUEIRA: It was discussed this
18	morning.
19	MR. BROWN: Thank you. Very good, thank
20	you. You're well ahead of me.
21	DR. NAG: Although that was a closed
22	session, I think the part of it about whether we can
23	have limited authorized medical physicist that's what
24	we discussed here, I think.
25	CHAIRMAN CERQUEIRA: Jeff, do you care to

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2	DR. WILLIAMSON: Well, I think the summary
3	was I don't know how much I can say about this
4	morning, but the summary was that I think this is
5	fair to say, correct me if I'm wrong, that we felt
6	uncomfortable endorsing a sort of sub-AMP that would
7	have fewer qualifications than the main AMP that I
8	think the group felt that the concept of having a
9	graduate degree in medical physics or a science and
10	the two years of experience, supervised experience in
11	radiation oncology in fact, was intended, you know, as
12	the kind of person that should have oversight of an
13	eye plaque program as well as, you know, in general is
14	the practice with manual brachytherapy as well,
15	although not addressed by the regulations.
16	I think that in the situation that was
17	presented, you know, we stated that on a case by case
18	basis, exemptions to that requirement could be
19	submitted to this committee and you know, the level of
20	experience for individuals scrutinized but that we
21	weren't comfortable calling that person and AMP but
22	simply saying in the license 35.XXX not withstanding,
23	so and so is authorized to perform Strontium-90. So
24	that came up with what we thought was a very limited
25	exemption and tried to reduce the probability that it

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1	would be taken as a how should I say, a precedent.
2	MR. BROWN: Excellent, thank you.
3	MR. LIETO: Did you want us to discuss the
4	first item on your slide there about
5	MR. BROWN: I didn't really thing it was
б	controversial but I am here to serve.
7	MR. LIETO: The 1000 emerging technology
8	because it came up earlier this morning about that
9	there was concern about Yttrium-90 microspheres being
10	under 1000 as opposed to be in 300 or do I have the
11	sections mixed up?
12	DR. VETTER: No, I think the concern was
13	the fact that 1000 requires that anyone who applies
14	the microspheres must qualify under the radiation
15	oncology and that it doesn't qualify under therapeutic
16	nuclear medicine.
17	MR. BROWN: Let me just, we're going to
18	confuse a lot of people but to jump directly to that,
19	for limited scope licensees, they a licensee to use
20	35.1000 will have to request approval and provide
21	their program and how they want to deal with
22	microspheres and the current guidance suggests that
23	35.400 provides an adequate program. Now, there's two
24	things to be aware of in that discussion.
25	Number 1, broad scope licensees are

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1	exempted from the requirements to come to us to
2	describe how they're going to do 35.1000 treatments
3	and so there are current NRC licensees who are using
4	300 kinds of AU's for the TheraSpheres or the
5	MicroSpheres and that's perfectly acceptable and
б	there's nothing in this approach that prevents that.
7	The second thing that we've had
8	discussions about internally is that just because it
9	may be correct that generally TheraSpheres look more
10	like brachytherapy than they do unsealed radioactive
11	material, that doesn't mean that a licensee can't have
12	a perfectly good approach and an AU that's a 35.300 AU
13	who could do this very well, and we ought to learn
14	from what broad-scopes have done successfully and
15	shape our approval of specific license requests and/or
16	guidance around that.
17	DR. VETTER: I guess it's not clear to me
18	from this issued summary that that's the case.
19	MR. BROWN: And yeah, all the issued
20	summary was to let an applicant come in who was about
21	to begin to use MicroSpheres to make it clear that we
22	do for a specific licensee, expect to see a license
23	request that we can look at how they're going to do
24	it.
25	DR. WILLIAMSON: Well, I think this

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1 brought up one of the concerns we had as a committee that was raised during our closed session is that we 2 3 recall being consulted on the TheraSphere issue and 4 what sort of licensing quidance there should be when 5 it was raised maybe 18 months ago, approximately, but we never really got to see the final licensing б 7 guidance. So that was a concern about follow-up and now it's a matter of grave concern to several members 8 9 of the committee that the licensing guidance appears 10 to exclude a discipline, you know, that was heavily involved in the development of clinical testing of 11 12 this modality and so it's not fair. And so I think it would be prudent and 13 14 useful, let's say, to circulate to this committee the 15 licensing guidance for that product and probably the other ones that have been mentioned before this 16 17 committee and at least give us an opportunity to express our opinions. 18 I think it's a good idea. 19 MR. BROWN: CHAIRMAN CERQUEIRA: 20 I think Susan's 21 right, though, our e-mail boxes are going to be 22 overwhelmed but --It's a question of timing 23 MS. FRANT: 24 because we meet once every six months and if you had 25 a standing subcommittee, we'd be happy to work with

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1	them.
2	MR. BROWN: I guess, let me go back. If
3	you have a specific request of us to see something,
4	I'm not sure we're saying we're not going to give it
5	to you. Then there's the other issue, the process
б	issue of you know of ACMUI
7	CHAIRMAN CERQUEIRA: Well, a lot of the
8	things we don't know what to ask for because we don't
9	know all the things that are out there.
10	DR. WILLIAMSON: I think the 35.1000 is
11	very controversial within the regulated community, who
12	should do what and NRC is caught in the middle of
13	often times unfortunately perhaps for you, in turf
14	wars and such and so I think one useful strategy would
15	be I think whatever licensing guidance is made for one
16	of these new modalities, I think it would be useful to
17	have a standing subcommittee of this committee that
18	could review and give advice, at least, you know, I
19	suspect it would help in the final acceptance of the
20	product to have a lot of these things worked out in
21	advance, you know inter-vascular brachytherapy and
22	some of these applications which are on the boundary
23	between radiation oncology and nuclear medicine are
24	bound to be quite controversial and I think it can
25	only be to the Commission's benefit to seek the advice

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1	of a multi-disciplinary group such as this.
2	DR. NAG: Can you explain how are you
3	handling radioimmunmotherapy like Zevalin where the
4	radioisotope is bound to antibodies?
5	MR. BROWN: I wasn't really prepared to
6	specifically address that.
7	DR. NAG: That's something that will come
8	up and it probably is going to be coming up in
9	licensing.
10	MR. BROWN: Dr. Donna-Beth Howe is going
11	to grab a microphone.
12	DR. HOWE: Some of the basic guidance that
13	we use is we look to see how our regulations will
14	how a new product will fit into our regulations. And
15	so it may be a new and emerging technology to you but
16	the basic elements for radiation safety may have been
17	well established for the product. And so for the case
18	of Zevalin, it's a radio-pharmaceutical. It's a
19	monocolonal antibody so a monocolonal antibody may be
20	new to the medical community but radio-pharmaceutical
21	and radiation safety programs that go with radio-
22	pharmaceuticals are not and so we looked at our
23	current regulations for therapeutic radio-
24	pharmaceuticals and determined that there was nothing
25	in the monoclonal antibody that was outside of our

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regulations for the therapeutic radio-pharmaceuticals and so Zevalin is being covered under 35.300 and even though it is a new technology for you and you may be administering it slightly differently, the radiation safety concerns, we believe are covered.

DR. WILLIAMSON: Well, I think you have to б 7 be more than concerned with just radiation safety and 8 technical concerns because the high risk modalities also specify the training and experience necessary for 9 10 those modalities and it was agreed philosophically 11 along some years ago that as the risk escalated to a 12 certain point, as is the case with therapeutic 13 modalities, clinical experience would be required and 14 so when you have cross-over modalities like this, I 15 think you have to look at what parts the community are you going to include or exclude from use. 16 So it's 17 more complicated, I think than --

DR. HOWE: But I think the new Part 35 with its requirements for the training and experience for the therapeutic authorized users is significantly up'd because of the risk than the old Part 35 and so I think our Zevalin positions would fit very well in the new 35.300. DR. WILLIAMSON: Well, I think that if the

24 DR. WILLIAMSON: Well, I think that if the 25 training and experience requirements are repaired as

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247 1 proposed, there would be a route to include radiation 2 oncologists in 35.300. Who got excluded from 3 practicing radio-pharmaceutical therapy as the 4 regulations are currently published? 5 DR. DIAMOND: But, Jeff, if I recall б correctly when we rewrote 35.300, we did make those 7 modifications. I was hoping to see those today to see 8 if what I wrote was still what I wrote. 9 DR. WILLIAMSON: They're there. 10 CHAIRMAN CERQUEIRA: It's there. You just 11 got them late, but --12 DR. WILLIAMSON: Anyway, I think it is -it would all be solved if we had some sort of a 13 14 standing unit that could look at things like this that come up on and where there's a short-term need for NRC 15 to get feedback more quickly than every six months. 16 17 CHAIRMAN CERQUEIRA: With the 1000, this is something that will continue to recur and there are 18 19 issues related to radiation safety but there's also 20 issues of who's going to be practicing the use and all right, I think we should take a break now and there's 21 22 a couple of topics that we didn't hit that we'll have 23 to come back to after the break, but let's just take a 10-minute break and be back at 3:00 o'clock. Leon, 24 25 do you want to make one last --

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1	MR. MALMUD: It's a question again. I
2	have a very simple concrete question. I'd like to
3	think in simple terms.
4	CHAIRMAN CERQUEIRA: Microphone.
5	MR. MALMUD: Oh, excuse me. When I go
6	back to Philadelphia, and my colleagues who practice
7	nuclear medicine ask me are they going to be allowed
8	under NRC regulations to use Yttrium-90
9	therapeutically, what's the answer, yes or no?
10	MR. BROWN: Broad-scope licensee?
11	MR. MALMUD: No.
12	MR. BROWN: Specifically licensee.
13	MR. MALMUD: They're in community
14	hospitals around Philadelphia and they practice
15	nuclear medicine full time.
16	MR. BROWN: They'll have to submit a
17	license request if they don't already have it on
18	their license, they'll have to submit a license
19	request and make their proposal on why they what's
20	the safe way to apply the treatment and there's no
21	foregone conclusion at this point.
22	CHAIRMAN CERQUEIRA: Then how are you
23	going to make a decision?
24	MR. BROWN: Well, I think we're going to
25	do it in consultation with the ACMUI.

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1	CHAIRMAN CERQUEIRA: So this committee
2	(Laughter)
3	CHAIRMAN CERQUEIRA: No, no, right but the
4	thing is this is such a common issue that rather than
5	having every application go before the Committee, this
6	is you know, an opportunity to create some rules that
7	would establish that for you.
8	MR. BROWN: Yeah, I agree and I thought I
9	heard maybe a recommendation that the committee was
10	going to establish a subcommittee to work with NRC
11	staff on the guidance for 1000 applications and the
12	thing with guidance, you know, I guess that I would
13	say is we want to have flexibility and a range of
14	options rather than the only way to do things, and so
15	that we're looking at that in the agreement state
16	space and certainly we'd like to do it with the ACMUI
17	and if that's, you know, your recommendation to the
18	staff, then we can respond to that recommendation.
19	CHAIRMAN CERQUEIRA: Yeah, let's make a
20	motion and so we somehow get it into the minutes.
21	MR. LIETO: Before you make a
22	recommendation, I guess one thing in follow-up to Dr.
23	Malmud, what was the criteria that made the Yttrium-90
24	and the MicroSpheres not being Part 300 but in 1000?
25	What was the health and safety issues that determined

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1	just like the monoclonal antibodies why wasn't it 300,
2	why shouldn't it have been you know, why did it go
3	in 1000? And I guess that's
4	MR. BROWN: The answer again is it
5	involved sealed sources. The MicroSphere is actually
6	a sealed source.
7	DR. NAG: Then it could be 400, why not
8	being 400?
9	MR. BROWN: It's not in 400 because you
10	cannot do an inventory of sources as required by 400
11	for MicroSpheres.
12	MR. LIETO: A MicroSphere is a sealed
13	source?
14	DR. NAG: Yes.
15	MR. BROWN: That's correct.
16	MR. LIETO: Then why isn't a sulfur
17	colloid?
18	MS. FRANT: How can you define a
19	MicroSphere as a sealed source?
20	MR. BROWN: It's in the sealed source
21	I mean, you asked me a simple question and I don't
22	know sealed source and devices like other things I
23	don't know but the answer is, it's a sealed source.
24	CHAIRMAN CERQUEIRA: You know, 1000 was
25	this emerging technologies and so if we had

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1	MS. FRANT: That's not the title of the
2	sections.
3	MR. BROWN: Others, other modalities.
4	CHAIRMAN CERQUEIRA: Okay, so I guess
5	there are no established criteria.
6	DR. WILLIAMSON: Except that it doesn't
7	fit cleaning in 300 or 600 or 200.
8	DR. NAG: Similar to the question that Dr.
9	Malmud asked, if I had my community radiation
10	oncologist ask me what is there can they use
11	Zevalin or not, would the answer be the same, they
12	have to ask for it and we have to look at it or what?
13	MR. BROWN: Unless it's a broad-scope
14	licensee.
15	DR. NAG: No, a community person.
16	CHAIRMAN CERQUEIRA: So we're getting back
17	to the real role of this committee. This is the
18	playing field for the various turf issues that come up
19	that Leon, you had a comment?
20	MR. MALMUD: It may be I mean, this has
21	nothing to do with the NRC. It may be that the
22	manufacturer of the Yttrium MicroSpheres in applying
23	for FDA approval went through the not the radio-
24	pharmaceutical approach but went through the
25	instrumentation and technology approach. What do they

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1call that?2PARTICIPANT: Device.3MR. MALMUD: Device, the device approach.4And if they went through devices, then it may have5been seen as being a device in much the same way as a6tomato is a vegetable rather than a fruit. It's7because we say it is, not because it is.8And therefore, the NRC may have responded9to that which came from industry in the way that the10NRC usually responds to something directly from its11source. I'm not attributing any blame to anyone. I12just would like to be able to answer the question of13my colleagues in a straightforward way so that we can14reassure them that their practice of giving I-13115therapy, et cetera, will now be allowed to expand with16answer for that, Fred.19MR. BROWN: I think there's one20CHAIRMAN CERQUEIRA: I don't have a good21MS. WAREICK: My name is Ann Warbick from22MDS Nordion and it's exactly as you said. MDS Nordion23represented TheraSphere as an implantable device and24so it's a device, not a drug.25MR. BROWN: That's the answer.		252
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	25	MR. BROWN: That's the answer.

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1	MS. WARBICK: So that's the answer to your
2	question.
3	MR. MALMUD: May I ask, did Nordion intend
4	for nuclear physicians ever to use the drug as a
5	therapeutic agent?
6	MS. WARBICK: In the early clinical trials
7	in Canada it was used by a nuclear medicine physician
8	in partnership with diagnostic radiology and other
9	medical specialties.
10	MR. MALMUD: Thank you.
11	CHAIRMAN CERQUEIRA: All right, one last
12	comment and then we will absolutely break. Jeff?
13	DR. WILLIAMSON: Shall we make our motion
14	about
15	CHAIRMAN CERQUEIRA: Yes, yes, we're going
16	to the motion, yes.
17	DR. WILLIAMSON: Okay. All right, here's
18	the motion; the ACMUI recommends that the Chairman of
19	the ACMUI form a standing subcommittee to review
20	35.1000 licensing guidance as it is developed by NRC
21	staff.
22	PARTICIPANT: Make recommendations on
23	licensing guidance?
24	CHAIRMAN CERQUEIRA: Licensing guidance,
25	okay. And training and experience would be part of

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1	that. Do we have a second for that?
2	DR. NAG: Second it.
3	CHAIRMAN CERQUEIRA: All right, any
4	further discussion? Should we call for a vote? All
5	in favor?
6	(Aye)
7	CHAIRMAN CERQUEIRA: Opposed?
8	(No response)
9	CHAIRMAN CERQUEIRA: No abstentions?
10	Okay, so Fred, if we could form the committee. Now,
11	do we have I mean, we've identified one the
12	MicroSpheres obviously belong in that category but are
13	there any other things that are out there?
14	MR. BROWN: The two that are not IVB, and
15	IVB has been around the table several times
16	CHAIRMAN CERQUEIRA: A few times, right.
17	MR. BROWN: is GliaSite, treatment of
18	brain tumors, the MicroSpheres, there's actually two
19	products, and then the question of the Zevalin which
20	actually is coming to you from us, actually.
21	(A brief recess was taken.)
22	CHAIRMAN CERQUEIRA: You're back.
23	MR. BROWN: I enjoyed it so much. No,
24	actually I would like to say, you know several people
25	have said, you know, if you're bleeding put bandages

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1	on and I hark back to Dr. Williamson's comment early
2	on that, you know, there should be an effective and
3	active interchange between staff and the committee and
4	I completely believe that that's true and support it
5	and I think this is productive as long as we're making
б	progress. And so, you know, I come from a school of
7	knocks where this is how business gets done and then
8	you're done with business and you move on.
9	CHAIRMAN CERQUEIRA: Right, and we could
10	attack the SNM as well as you know, as the NRC, so
11	you know no one is without fault here, but
12	MR. BROWN: I think the interchange has
13	been very healthy.
14	CHAIRMAN CERQUEIRA: Good, good. Now,
15	we've covered, I guess so we still need to cover
16	Sealed Source Model Numbers as License Conditions.
17	MR. BROWN: This is an issue that came up
18	with a stakeholder. Ralph probably has some comments
19	on it. I was going to provide the background so the
20	committee would understand where we're at and the
21	potential ways forward. I'll leave it at your
22	discretion, whether you want to rely on the slides or
23	if you'd like me to talk through it very quickly.
24	CHAIRMAN CERQUEIRA: Well, what's the
25	desire of the committee here? Do you want him to

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1	summarize it or go through the slides?
2	MR. BROWN: Okay, the very quick
3	summarization is that Part 35 does not require
4	individual sources to be listed on licenses. However,
5	Part 30 does. Part 30 governs over Part 35 unless
6	there's more specific requirement in Part 35. So in
7	the licensing guidance that just came out, licensees
8	will be required to list by manufacturer and model
9	number either all of their sources or if they have
10	multiple sources in a single device, then the device.
11	This is a change and it's a more
12	burdensome way to do business than had previously been
13	the case and it caused concern in the stakeholder
14	community when we rolled this out. It's you know,
15	it is what it is. There are other licensees that deal
16	with this and the last slide talks about some of the
17	ways that other groups of licensee types deal with it.
18	Multiple seeds, for instance, in manual brachytherapy
19	could be registered under a single device so that the
20	licensee, the medical facility would have the device
21	on their license and then you know, manufacturer XYZ
22	could provide multiple seeds for that single device.
23	Therefore, the medical facility wouldn't have to
24	update their license every time a new seed came out.
25	All that would have to be done would be

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1	the SSDR would have to be updated to reflect the new
2	seed. That's one way this is done. Questions?
3	DR. WILLIAMSON: That's just not clear to
4	me. Could you what device is there? We're talking
5	about prostate implants there really isn't any device.
6	There are the seeds. There are 18 different models of
7	seeds manufactured by approximately a dozen companies.
8	MR. BROWN: Yeah, and one thing you could
9	do is simply list all those seeds on your license
10	application. That's one way. The other thing is that
11	four instance, if seeds are provided in an applicator,
12	then the applicator could have a device review and the
13	manufacturer, distributor could provide various types
14	of seed in that single applicator as long as they had
15	listed all those seeds on the SSDR. I mean, that is
16	a way to work through this cumbersome process.
17	DR. WILLIAMSON: So you're thinking a
18	cartridge for example.
19	MR. DIAZ: Subir?
20	DR. NAG: Again, I think the same problem
21	that we use loose seeds, I mean, when you're applying,
22	you're applying for Manufacturer Y and tomorrow that
23	same kind of seeds might be from Manufacturer X
24	because of pricing reasons or other reasons and you
25	don't want to change your license for that. I mean,

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1	they're all equivalent seeds.
2	CHAIRMAN CERQUEIRA: Ralph and then Ruth.
3	MR. LIETO: Go ahead, Ruth.
4	MS. McBURNEY: In that case you would
5	probably list all the manufacturers from which you
6	plan to purchase those seeds.
7	DR. NAG: Tomorrow there will be a new
8	manufacturer with seeds at half the price.
9	MR. LIETO: I think if I a lot of the
10	problems in radiation oncology is that new
11	manufacturers come out with new seeds and so forth and
12	to go through the amendment process, before you can
13	use that is really burdensome. And it really offers
14	no additional health and safety. The intent is, I
15	think as Fred pointed out, was originally that all you
16	had to do was agree to use sources that were listed in
17	the Sealed Source and Device Registry and now even
18	though that's what's in guidance, we have this Part 30
19	overriding regulation and I'm wondering, one, should
20	there be maybe a petition for rulemaking to change
21	this. I didn't like the look of that. Or could this
22	be handled as opposed to an amendment process, could
23	it be handled via a notification process in that the
24	licensee would notify the region or the licensing
25	agency and say, "We want to use this new source in

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1	Sealed Source Registry", blah, blah, blah, you know,
2	for Part 400 sources.
3	And that way you don't have this maybe
4	three-month plus delay in getting authorized to use it
5	and so forth.
6	MR. BROWN: Yeah, there are three
7	proposals there, all I mean, and I guess all I can
8	say is it will take rulemaking to change Part 30 or
9	rulemaking to change Part 35 to allow notification
10	specifically for manual brachytherapy seeds or new
11	sources and those are options. And anyone that wants
12	to submit a petition for rulemaking can certainly do
13	so. They get prioritized by staff resources
14	available and I mean, that's basically the point
15	that we're at is where on the list of priorities does
16	addressing this problem fall?
17	You know, and both of those rulemaking
18	changes are would have benefits. And I'm sorry,
19	Ralph, the third thing that you mentioned, the last
20	thing was?
21	MS. McBURNEY: It was to do it by
22	MR. BROWN: Notification, that would be a
23	35.14 change to specifically override 34.32(g)(1).
24	CHAIRMAN CERQUEIRA: So Fred, help us out
25	here. Again, we made another mistake in the

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1	rulemaking for Part 35 in the sense that we couldn't
2	anticipate all of these things, but we've all agreed
3	that it's not an issue of safely. So, you know, in
4	the rulemaking, like you said, prioritization and
5	there's no short thing to do it. Is there any other
6	means, I mean, between Ruth and the agreement states,
7	counsel and you? Is there a way that we can implement
8	the intent?
9	MR. BROWN: We've had several discussions
10	on this topic and we
11	CHAIRMAN CERQUEIRA: Right, and your best
12	choice for that?
13	MR. BROWN: We have not found a way around
14	this other than what I have basically on the slide,
15	which is additional burden on the regulated community
16	to work around it and demonstrate that burden to us so
17	that it justifies rulemaking to fix it, but in terms
18	of working around it without a rule change
19	CHAIRMAN CERQUEIRA: But can't this
20	committee initiate a rulemaking like we did for the
21	authorized medical physicist and
22	MR. BROWN: What you've provided staff is
23	a recommendation that we send to the Commission as a
24	proposal for rulemaking. It's not actually a
25	rulemaking action at this time.

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1	CHAIRMAN CERQUEIRA: So if you've got
2	something that's quick and dirty like this, one little
3	thing, I mean, do you have to go through the whole
4	I mean, the Federal Registrar that's easy, but
5	DR. NAG: Instead of having a new
6	rulemaking, like it would all a source act, all
7	equipment is source so that when it's made by a
8	different manufacturer, yet it's an equivalent source,
9	let it go through.
10	DR. WILLIAMSON: Why couldn't you say all
11	interstial I-125 seeds listed in the SSDR.
12	MR. BROWN: The exact words up on the
13	screen are listed, the source by manufacturer and
14	model number.
15	DR. WILLIAMSON: But they are all listed
16	in the SSDR by source and manufacturer number, right?
17	So why couldn't you refer to that list in your license
18	with just this code word?
19	CHAIRMAN CERQUEIRA: Yeah. Now did you
20	talk to counsel about doing this?
21	DR. WILLIAMSON: About doing this?
22	MR. BROWN: Yes.
23	CHAIRMAN CERQUEIRA: And what did counsel
24	say?
25	MR. BROWN: The guidance document is what

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1	the guidance document is and it has counsel review and
2	approval.
3	DR. WILLIAMSON: The guidance document?
4	MR. BROWN: 15.56 Volume 9 is where this
5	is called out as a licensing requirement.
6	DR. WILLIAMSON: Is this a requirement for
7	broad-scope licenses as well as specific scope
8	licenses? And secondly, why is why do we have this
9	problem today? How come we didn't have it two years
10	ago? Part 30 has not changed, so why surely we
11	weren't required in the past to do business this way.
12	So what has changed that has put this new burden on
13	us?
14	MR. BROWN: We revised the guidance which
15	brought it to the attention of counsel that we weren't
16	implementing our regulations as written.
17	PARTICIPANTS: What is the question,
18	broad-scope licensees?
19	PARTICIPANT: They are required.
20	MR. BROWN: The interesting thing about
21	broad-scopes, I think you need to look at the example
22	license for broad-scope in the appendix for 15.56,
23	Volume 9 and it appears that we've concluded that Part
24	33 has specific guidance that overrides 30.32(g)(1) by
25	being more specific on authorizations for Type A

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1	Broad-Scopes because I think if you'll look at the
2	example license, it does not list all the sources
3	individually.
4	DR. WILLIAMSON: Okay, so you're saying
5	this is not a problem for broad-scope licensees?
б	MR. BROWN: That's the last time I
7	recall looking at the sample license that's how I read
8	it.
9	DR. WILLIAMSON: Convoluted.
10	DR. NAG: I would like to make a motion.
11	CHAIRMAN CERQUEIRA: Please.
12	DR. NAG: I make a motion that the ACMUI
13	direct the initiation of a rulemaking process to fix
14	it so that sources that are virtually identical or
15	identical sources be covered under one umbrella or you
16	know, one plan. We have to start the rulemaking
17	process to fix this. It is a mistake that was
18	unintentional and we have to fix it as soon as
19	possible.
20	CHAIRMAN CERQUEIRA: Yeah, I think we
21	should vote on it, and like Fred said, I mean, it's
22	probably not going to get enough of a priority and so
23	the regulated community is just going to have to face
24	the hassle but I don't and counsel has already
25	reviewed it and made a decision and once you've done

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1	that, then you're sort of stuck. So do I hear a
2	second on this motion?
3	PARTICIPANT: Second.
4	CHAIRMAN CERQUEIRA: Discussion? Yes, do
5	you want to modify it?
6	DR. WILLIAMSON: A friendly modification
7	that the ACMUI recommends that rulemaking be initiated
8	to modify 35.14 to override 10 CFR 30.32(g)(1) to
9	allow a more generic listing of interstitial seeds and
10	sources.
11	CHAIRMAN CERQUEIRA: Okay. That's good.
12	Staff has got that and, all right, do I hear a second
13	for the modified motion? Sally, okay.
14	MS. McBURNEY: I just had question.
15	CHAIRMAN CERQUEIRA: Discussion?
16	MS. McBURNEY: We're just talking about
17	for the seeds, not the big sources.
18	DR. NAG: Equivalent sources, any sources
19	that basically are very similar and there is no
20	essential difference.
21	DR. WILLIAMSON: Yeah, we are talking
22	about manual brachytherapy sources. I think we're not
23	talking about sources that go in devices like remote
24	after-loaders and teletherapy units that have to be
25	mentioned specifically.

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1MR. LIETO: But I think the intent is 12cesium, iridium, those types of sources.3DR. WILLIAMSON: For manual brachythera4MR. LIETO: Right, manual, irridium wir5DR. NAG: I mean, the most common one6is 1-125 palladium. Palladium is now be	apy.
3 DR. WILLIAMSON: For manual brachythera 4 MR. LIETO: Right, manual, irridium wir 5 DR. NAG: I mean, the most common one	
4 MR. LIETO: Right, manual, irridium wir 5 DR. NAG: I mean, the most common one	
5 DR. NAG: I mean, the most common one	ces.
6 is 1-125 palladium. Palladium is now be	now
	ing
7 manufactured by more than one company.	
8 DR. WILLIAMSON: To date it's	not
9 regulated by NRC, at least at the moment.	
DR. NAG: Right.	
11 DR. WILLIAMSON: But it could be dependent	ling
12 upon the success of their national materials progr	am.
13 CHAIRMAN CERQUEIRA: So, Ruth, how do	o we
14 want it?	
15 MS. McBURNEY: No, I was just clarify	ring
16 that	
17 CHAIRMAN CERQUEIRA: Clarifying.	
18 MS. McBURNEY: we're only talk	ing
19 about manual brachytherapy, things that are not i	.n a
20 device.	
21 CHAIRMAN CERQUEIRA: Okay, all right,	any
22 further discussion? Yes.	
23 DR. VETTER: A question.	
24 CHAIRMAN CERQUEIRA: Yes.	

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1	something in Part 35 to override a requirement in Part
2	30? So are we proposing something that's even
3	feasible?
4	MR. BROWN: No, as long as there's more
5	specific regulatory language in one of the subparts of
6	30, in this case, Part 35, that is fine, you can
7	modify the higher level with a more detailed lower
8	level.
9	CHAIRMAN CERQUEIRA: Okay, should we call
10	the vote? All in favor?
11	(Aye)
12	CHAIRMAN CERQUEIRA: Opposed?
13	(No response)
14	CHAIRMAN CERQUEIRA: Abstained?
15	(No response)
16	CHAIRMAN CERQUEIRA: Good unanimous.
17	Excellent.
18	MR. BROWN: One quick thing, just to point
19	out to everyone, at your facilities, this requirement
20	applies at the time of license application. So if you
21	have a license today, as a 35.400 facility and you
22	don't have all these sources listed, that's fine, it
23	won't come into play until you go for another license
24	application process. So just so no one walks out
25	thinking they can't use a source not listed on their

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1	license.
2	CHAIRMAN CERQUEIRA: All right, what's
3	next, Fred?
4	MR. BROWN: The next presentation was one
5	of the two remaining, manual brachytherapy issues.
6	This topic actually came up at two of the stakeholder
7	meetings and what I did is I provided a copy of the
8	new rule language 10 CFR 35.40(b)(6) written
9	directives for manual brachytherapy and this didn't
10	really change significantly. The basic structure of
11	the written directive is as it was. Before
12	implantation the AU identifies a treatment site,
13	radionuclide and dose and then you don't have it?
14	CHAIRMAN CERQUEIRA: It was a separate
15	handout that was packaging manual brachytherapy.
16	MR. BROWN: There's two handouts done
17	Friday night at 5:00 o'clock that weren't pre-
18	distributed. Packaging comes after manual
19	brachytherapy issues.
20	DR. NAG: We got the packaging, we got
21	this one but not the other one.
22	MR. BROWN: All right. Basically, if you
23	can work off what's on the screen for the sake of
24	time. The second part of the written directive is
25	after implementation, after implantation but before

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completion of the procedure, the AU records the radionuclide treatment site, number of sources and then the dose -- total dose or the total source strength and exposure time. And as I said, it's not a big change from what existed before.

What came up at stakeholder meetings, б 7 though, were several comments that I thought were 8 significant enough that I wanted advice. I wanted advice from the ACMUI so I'm bringing them to you. 9 10 One comment which several people made at two different 11 stakeholder meetings was there's an inability to 12 identify exact organ boundaries during implantation. 13 So for instance, on a prostate implant, when that is -- the needle is in the patient's body, when exactly 14 at the finite detail am I in the prostate and when am 15 in the area of the prostate? 16

The second question that came up that's really related to that is, if you're at a teaching institution and you look at the skill level for someone in their initial treatments, you know, the ability to be in the organ boundary may not be as great as after experience.

The third issue was -- really deals with the when do you record the post-implantation information? Is it while you're still in scrubs in

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1	the room? That would interfere with treatment
2	obviously, and the final comment and I put some
3	questions marks after it because I'm not sure I
4	correctly heard the question and so I'm not stating it
5	as fact but it sounded like someone said that on
6	occasion as needles are withdrawn from the patient,
7	you may have seeds drop out of the needle on
8	withdrawal, so that one is kind of fuzzy.
9	DR. NAG: Yeah, I think I can explain
10	that.
11	MR. BROWN: Okay.
12	DR. NAG: Basically, you have the seeds
13	inside the needle. You put it in the prostate. When
14	you're withdrawing, one of the seeds may not have been
15	dropped into the prostate and as you're withdrawing
16	it, it may drop into the path when you're coming out.
17	So legally you are not within the prostate but
18	basically those are accepted procedures. I mean, they
19	have not problem with that and that can be solved by
20	saying that seeds that were dropped within the organ
21	or that were implanted within the organ but migrated
22	are not considered mis-administration.
23	We have under Part 35 a provision that
24	seeds implanted into the area but that migrated do not
25	constitute a medical event or mis-administration.

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1	That is acceptable.
2	DR. WILLIAMSON: It is also possible that
3	it is by clinical intent that not all of the seeds are
4	implanted directly into the prostate but into the
5	peri-prostatic tissue depending upon how the planning
б	target volume or clinical target volume is drawn.
7	Often, especially around the lateral and superior
8	margins of the prostate, they'll add margin full well
9	knowing, you know, that the seeds move and to insure
10	coverage, they'll put some seeds intentionally a few
11	millimeters outside the prostatic capsule.
12	DR. NAG: And that's only for prostate. In
13	other organs you are not even sure exactly where the
14	tumor was, especially if the tumor has been removed.
15	So you put it in the broad area of where the tumor
16	was. So, you know, if there is not a precise you
17	cannot precisely say you cannot precisely say I
18	implanted in Organ X, it's Organ X and some area
19	around Organ X. So if an area implanted in the
20	immediate vicinity of that organ that is within that
21	organ and that is not a wrong treatment site.
22	CHAIRMAN CERQUEIRA: So when do you switch
23	from the practice of medicine and the vagaries of
24	clinical medicine into mis-administration or
25	DR. NAG: Because the wording of mis-

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1 administration is the wrong site. And it depends how 2 accurately you call the site, you know, depends on 3 administration. Say you put the injected material 4 into, you know, Organ X. And is the Organ X boundary 5 here or is it one millimeter outside or 10 millimeters б outside? So, you know, it's just like saying. 7 Basically I don't think those are -- they're not mis-8 administration at all. 9 DR. DIAMOND: Also it's possible to put a 10 seed in the correct site and then the seed to migrate to a different site so occasionally you'll have a seed 11 12 that you intended to place in the prostate was in the 13 prostate, made it's way into a small vessel and winds 14 up in the lung. DR. NAG: 15 Right. clinical 16 DR. DIAMOND: There's no 17 ramifications to that. Right. 18 MR. And that's BROWN: 19 specifically addressed in the wording for medical 20 event, reporting requirement that migrated seeds are not a problem. If we could skip the next slide and go 21 22 to the final slide. CHAIRMAN CERQUEIRA: So it sounds like 23 24 there is not problem, at least from what the committee 25 is telling you, right?

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1	MR. BROWN: Well, the issue is that there
2	are members of the regulated community who struggle
3	with the words in the regulation and the words which
4	were the slide that we skipped, were was is a
5	treatment site, what's the completion of the procedure
6	and is there an issue with this dropping of seeds.
7	And my basic questions to the ACMUI were if it's if
8	some members of the community are quite comfortable
9	with the safety and regulatory issue correct
10	interpretation but others are not, is that indication
11	that some kind of guidance would be appropriate and if
12	some kind of guidance would be appropriate, would you
13	have a recommendation on where that guidance came from
14	either a preface of medicine type guidance or a
15	regulatory type guidance?\
16	DR. NAG: I think a guidance is
17	appropriate and especially for permanent implant.
18	That is the question I get from many radiation
19	oncologists, you know, when do you call you know,
20	when is the implant over, because the implant is
21	continuing for a long time. What is the right organ?
22	You know, I think those can be qualified by a guidance
23	by, you know, intending to implant the organ, plus
24	some margin. Those I think those can be just added
25	on in a little more detail and most of the

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brachytherapy books will have some idea on how to do the implants.

I think you have to 3 DR. WILLIAMSON: 4 recognize though that there is limited precision and 5 geometric accuracy that the systems, image guidance 6 systems that we use for delivering prostate implants 7 and by extension other sites too, they cannot deliver 8 seeds with one or two millimeter accuracy, so a small 9 number of seeds that lie a few millimeters outside the 10 identified clinical target volume is certainly part of routine practice. 11

Now, unfortunately if your Office of 12 13 General Counsel gets hold of this, you know, there 14 could be a problem because even if one seed is outside 15 that boundary there is going to be at least some small bit of tissue right next to the seed that probably is 16 17 going to get a dose 50 percent in excess of the amount that would have been given had the seed been implanted 18 19 in that boundary, but the problem is, you know, many, 20 many prostate implants that are absolutely properly done from a clinical perspective would be called 21 22 medical events and obviously, that is not your intent. So, you know, you have to take into 23 24 account the precision of the delivery systems that are 25 available and recognize, you know, that they don't

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1	have an absolute accuracy much better than about five
2	millimeters.
3	CHAIRMAN CERQUEIRA: So, Neki, do you feel
4	what they're saying is, "Trust me, I'm a doctor".
5	Do you feel comfortable with that?
6	MS. HOBSON: Well, yeah, I do. I think
7	the medical community does a really good job of self-
8	policing. I mean, you guys have all these, you know,
9	boards and committees and you've got a lot of
10	oversight within the medical community.
11	CHAIRMAN CERQUEIRA: Practice of medicine.
12	MS. HOBSON: And I think I'm comfortable
13	with that. If there are huge problems that arise, it
14	will come up and the medical community will I mean,
15	that's how medical practice changes over time is that
16	someone does it one way and it works better, so
17	everyone follows that lead.
18	DR. NAG: On the other side of that, if a
19	huge error is made, for example, instead of putting it
20	in the prostate, putting it the rectum which is only
21	two millimeters away, you're going to end up with a
22	mistake, then you end up with a malpractice, so I
23	think we're automatically policing ourselves that we
24	are you know, the imprecisement that is there is in
25	an area that would pose it no harm, and in the area

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1	where no harm, that you want to have a position that
2	you don't want to go beyond the target area.
3	CHAIRMAN CERQUEIRA: So, Fred, do you
4	still have questions?
5	MR. BROWN: Well, someone made I think
6	Jeff made the comment about you know, the legal
7	compliance with the regulations, and the regulations
8	are clear that the treatment site has to be identified
9	and it's the treatment site as defined on the written
10	directive and the treatment site is really an issue of
11	practice of medicine. The NRC doesn't define it.
12	All I'm still kind of trying to pin down
13	is, is this the sort of issue where someone could add
14	value to help AU's understand how they should write
15	treatment sites for efficacy of the treatment and
16	compliance under the regulations. And if you thought
17	so, as a committee, where you would point that, should
18	you point me to go off and do that or would you like
19	to think about it and come back or
20	DR. WILLIAMSON: Well, I think these are
21	really difficult questions. I'll point out another
22	one that occurs. The completion of the procedure is
23	not specified. Now, some NRC personnel that I have
24	talked I recently wrote a review article on this
25	for the <u>Journal of Brachytherapy</u> on the interpretation

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for medical event for prostate implants, so I discussed this with a couple members of the staff. And you know, there's one view that the end of the procedure is the time you insert the last seed and once you've inserted that last seed, you can no longer write a revision to the written directive.

7 So here's the problem is that the dose 8 delivered by this implant is not known maybe for as 9 long as a week after the implant, maybe three weeks. 10 It depends on the scanning protocol at the different institutions. Some institutions do a post-treatment 11 12 CT scan the same day. Others prefer to wait until 13 prostate edema has resolved and do it two weeks later, 14 and maybe a week after that the final treatment plan will be available and it is well-known that the 15 minimum dose, the D-100 dose, can differ by as much as 16 17 20 or 30 percent from the minimum dose intended.

The D-90 dose usually doesn't -- you know, 18 19 differ as much but it can be easily 10 percent and 20 20 percent would not be out of line. There's literature documenting series of implants from a Memorial showing 21 22 that the minimum dose which can result from just the 23 perturbation of a single seed by a few millimeters can 24 change as much as 40 percent from the dose intended. 25 So you have a problem that because of the limited

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precision of the delivery device, the fact that		
prostate edema and other factors intervene to change		
the implant geometry and you're using a different		
imaging modality to do the final dosimetry compared to		
the one you used for delivery, you do not have control		
over what the final dose will be.		
So you know, you could call all these mis-		
administrations or medical events, but again, this is		

-- you're going to be actually culling out, I think, 9 10 a large part of the practice if you interpret this too 11 rigidly.

DR. NAG: I think that --

CHAIRMAN CERQUEIRA: Ralph had a comment.

14 MR. LIETO: Yeah, I think, you know, in 15 defense of Fred, it's -- I think what they're looking for is obviously there are licensees out there that 16 17 are sensitive and that if there are medical events, 18 they want to know where's the threshold for reporting. 19 And I don't think there's an objection to what both 20 Dr. Williamson and Nag are saying. I think what he would like is let's give them the guidance, if it's a 21 22 two-week period that you establish as completion of 23 the procedure, then maybe that's what they should have 24 in their procedures and also what they're going to 25 establish as the treatment site. And I think that's

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what he's asking for is -- because there's not anything out there to give licensees to say, "Here's your threshold and when you're outside this threshold that's --

5 DR. WILLIAMSON: I wasn't attempting to 6 criticize Fred. I was just pointing out that if you 7 adopted this sort of narrow, everyday language 8 interpretation of end of the -- or completion of the 9 procedure, there actually will be very large problems, 10 whereas, if you were to say completion of the procedure is completion of radiation, that would 11 12 obviously allow an enormous time window during which the authorized user could revise the prescription and 13 14 select the isodose, you know, that he or she thinks 15 best covers the treatment and it may or may not be exactly the same one that was prescribed initially. 16 17 So just this sort of simple identification

deciding legally when the treatment begins and is over can have enormous implications for how many medical events you're going to have reported to you and their significance or insignificance.

22 CHAIRMAN CERQUEIRA: David?
23 DR. DIAMOND: I understand the concerns
24 that you raised. I do not off-hand know of a simple
25 way that as a guidance document these issues can be

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1	clarified with any sense across the board in the
2	therapeutic community as being satisfactory. And
3	therefore, my recommendation would be to go and pursue
4	no further action on this. You're not going to be
5	there's no way you're going to be able to make all the
6	different practitioners happy with the different ways
7	that things are done and I think you can really put
8	yourself into a pickle, so I disagree with any process
9	to go ahead with any guidance document on this.
10	DR. NAG: On the other hand
11	CHAIRMAN CERQUEIRA: Ruth, from an
12	agreement states' perspective, how do you handle the
13	agreement states?
14	MS. McBURNEY: I think that we have some
15	latitude on the procedures when they put treatment
16	site. I mean, as far as completion, I'm not sure. Of
17	course we haven't implemented these particular rules
18	at this time but for the permanent type implants, it
19	would be at the end of the decay.
20	CHAIRMAN CERQUEIRA: At the end of the
21	decay, yeah.
22	DR. WILLIAMSON: That would be, I think,
23	what the community is assuming.
24	MS. McBURNEY: Yeah.
25	CHAIRMAN CERQUEIRA: Dick, do you have any

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1	feelings on this?
2	DR. VETTER: I'm not aware of any specific
3	situations where people are having trouble
4	interpreting. Obviously, there are some, but I'm not
5	personally aware of any.
6	MS. McBURNEY: No, we haven't had that.
7	DR. VETTER: They understand that the end
8	of the treatment is the end of decay. There's you
9	know, seeds do migrate but the regulations cover that.
10	The dropped seeds thing I don't really know what
11	I'm not sure I understand whoever used that word.
12	Certainly seeds will follow a needle out but that's
13	the prostate pushing it out. It's not a mistake that
14	the radiation oncologist is making. So I don't know
15	exactly what that third bullet is getting at.
16	CHAIRMAN CERQUEIRA: So I think the sense
17	of the committee having polled most of the people that
18	are either doing it or are involved in the regulating
19	it, it seems like you've got some comments that, you
20	know, do bring up some issues but I'm not sure that
21	you can come up with the exact language to identify
22	it. Any new comments to make on this?
23	DR. BRINKER: Just one and this is
24	obviously, from a foreigner who doesn't do this sort
25	of thing but in cardiology, even in intravascular

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brachytherapy, there's a litany of literature about target areas, marginal areas, injury areas, et cetera. And it just blows my mind that nowhere in the urologic cancer literature is there -- there must be literature on what would be considered appropriate or usual distribution for treatment sites.

And if there isn't then it would be a 7 8 short -- I think a short thing to develop a summary 9 paper on what has been published without the specific 10 purpose but gives the kind of information that would be something that people could be referred to. So I 11 12 actually think it would be a good idea to have --13 there must be some understanding of what's right. You're saying that everybody knows it, they just can't 14 write it down. 15

There are limits, you 16 DR. WILLIAMSON: 17 know, and there's sort of a spectrum of cases ranging from sort of normal to something that's clearly out on 18 the tail as Dr. Nag mentioned. 19 There are cases on 20 record which have been, I believe, pursued as misadministrations where a large fraction of the seeds 21 22 were implanted in the bladder base instead of in the 23 prostate and that's a very clear-cut case where, I 24 think regulatory action would be justified.

You know, I actually think some guidance

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1	could be put together
2	CHAIRMAN CERQUEIRA: But Fred needs
3	concrete things. Like you said, if it's in the rectum
4	which is close by, it may or may not be a problem. I
5	mean, but how far, what sort of
6	DR. WILLIAMSON: I think some rules of
7	thumb could be give and we could probably
8	CHAIRMAN CERQUEIRA: There's nothing in
9	the literature
10	DR. WILLIAMSON: If I could finish.
11	CHAIRMAN CERQUEIRA: Get to the point.
12	DR. WILLIAMSON: All right, yeah, I think
13	that the guidance could be written, I think, with a
14	certain vagueness that's involved and probably a role
15	carved out for a medical expert to make judgments on
16	a case by case basis where it really is marginal and
17	I think, you know, just to emphasize to the inspectors
18	and everybody else in NRC involved with this the
19	limits of the current procedure so that if they see,
20	you know, that some seed is implanted five millimeters
21	away from where the intended position was, they
22	understand that that's a high likelihood in any
23	properly executed prostate implant.
24	CHAIRMAN CERQUEIRA: But I can see Dr.
25	Brinker coming back, you know, in a few months telling

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1	us that a cardiologist, once he gets it into the
2	coronary, then it's not an issue as to whether he got
3	the right area or not.
4	DR. WILLIAMSON: What if it moves five
5	millimeters during
6	CHAIRMAN CERQUEIRA: They don't leave it
7	in there permanently. Okay, one last comment and
8	DR. WILLIAMSON: Then it could move and
9	then it would be a mis-administration, so they
10	actually have the same problem. Whenever you use
11	image localization of an anatomic target volume, you
12	are going to have this problem where you do not have
13	an imaging modality that you can use to actually to
14	do some quantitative verification of where the seeds
15	are. The problem doesn't exist because there's no way
16	to evaluate it.
17	DR. BRINKER: But you could actually say
18	that in scientific terms if you have on a large number
19	of cases done at a reasonably good institution or a
20	number of reasonably good institutions, the
21	distribution away from the central target,
22	retrospectively, you could define what is probably
23	clinically acceptable within one or two standard
24	deviations.
25	DR. WILLIAMSON: I think one could give

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1 some rough guidelines of what is clearly within the 2 limits of current practice, what are the gray areas 3 and what's some rough rules of thumb of what's clearly 4 outside would be fair for and game being 5 administration, I agree. So you know, I don't quite 6 agree with Dr. Diamond. I actually think so many of 7 these procedures are being done that if we just ignore 8 this issue, it will come back to bite us. 9 CHAIRMAN CERQUEIRA: Ruth, one last 10 comment and then we have to move on. MS. McBURNEY: Yeah, I don't think the 11 12 inspectors are going to be looking at the little 13 narrow details and it would only be if it went to the area of medical event. 14 CHAIRMAN CERQUEIRA: I don't think this is 15 one area where we can actually make a motion or take 16 17 a vote on it. I think you've gotten a sense of the discussion from the group. 18 Fred? MR. BROWN: Yeah, but I think actually it 19 20 was good to sit on this side of the table for this particular discussion. I guess the one thing that I 21 22 would offer, though, is if after this conversation, 23 you know, someone comes up with some good ideas or 24 someone is starting down a path that we could 25 communicate after there's a product, then if at the

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1	next committee meeting or between meetings, you
2	communicate with us, that would help me deal with
3	inspectors who are going down a path maybe than
4	CHAIRMAN CERQUEIRA: Dick wants to make a
5	comment.
6	DR. VETTER: One real quick comment, we
7	haven't talked about trainees, the implication that
8	maybe trainees weren't doing as well. But they're
9	actually practicing under direct observation of the
10	preceptor. They're in the same room and the relation
11	with trainees is they have higher fluoroexposure. It
12	has nothing to do with the implant itself. They just
13	take longer and so their fluoroexposure is higher and
14	that's in the literature.
15	CHAIRMAN CERQUEIRA: We really do need to
16	move. Fred?
17	MR. BROWN: The final one is Packaging
18	Brachytherapy Seeds. And the first slide, yeah,
19	basically goes over what happens now. The Sealed
20	Source and Device Registry, which is covered in the
21	new rule, you're all familiar with it, what we are
22	requiring vendors and distributors to do is not only
23	have a registration for individual seeds when they
24	produce a new seed or modify their seed, but we're
25	also requiring device reviews if the packaging and

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packaging in this case could be a Mick applicator, it could be a strand, either an absorbed strand or otherwise. If that packaging could effect the spacing of the seeds at the time of implantation or seed integrity and integrity is usually an issue of temperature or pressure during the loading or encapsulation of the seeds, we're requiring a separate review.

9 Now, not all jurisdictions are doing that. 10 And so what I was interested in is feedback from the perspective of the committee about whether individual 11 seeds received in bulk and then handled individually 12 represent more or less of a safety problem than for 13 14 instance strands or pre-loaded, pre-sterilized seeds 15 and also if in the opinion of the committee, the spacing was a significant issue or temperature, 16 17 pressure mechanical forces on seeds and strands was an issue in your knowledge or opinions. 18

A lot of questions in one. 19 DR. NAG: Ιf 20 you go one by one, I can give you some idea, but I think it's best if you -- if you are just having 21 22 different spaces and different length of spaces, I don't think that it is an issue that NRC should go 23 24 In terms of sterilization, we have a different into. 25 of sterilization, sterilization, type steam

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autoclaving for different lengths of times and these are not things for ACMUI to go into. So I think it's best to be handled at -- unless you are making a new device per se and a new device would be when you are sending out the radioactive material back in differently. Otherwise, you know, the seed spacing, we sterilize all the time and that's our normal practice.

9 DR. WILLIAMSON: Well, I'm very confused 10 exactly what the scope of the question is. I think 11 there are at least three different things, maybe, I am hearing you talk about. One is, you're concerned 12 about the seeds in Vicryl suture, the Model 6720 sold 13 14 by Amersham (phonetic). As I understood that had a 15 separate FDA clearance. It's sold as a separate product. It has been tested to insure that the seed 16 17 integrity is not violated by the procedure of annealing the seeds in this Vicryl strand to make it 18 rigid, so I'm not sure why there is a particular issue 19 20 with that.

The second cluster of issues I'm imagining but perhaps I misunderstand is, are you referring to vendors who supply a service to licensees by prepackaging the seeds in needles and in cartridges and so on to minimize the need to load these things in

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1	sequence?
2	MR. BROWN: That's one aspect.
3	DR. WILLIAMSON: Okay, so you're concerned
4	about whether the process of this vendor performing
5	the activity that the licensee used to perform
6	themselves would be causing a problem. Okay, and so
7	I guess my question would be, if you feel that the
8	individual licensee can take these seeds and put them
9	into a cartridge for use in a Mick loader or some
10	other device, why would you feel uncomfortable having
11	a vendor do that, as long as they're licensed to
12	receive
13	CHAIRMAN CERQUEIRA: And they had quality
14	control steps in place.
15	MR. BROWN: Yeah.
16	DR. NAG: Especially, the vendor is doing
17	it hundreds and hundreds of times, they will be even
18	better at doing it than ones doing it for the first
19	time.
20	DR. WILLIAMSON: This is certainly one
21	issue.
22	CHAIRMAN CERQUEIRA: Ruth and then Ralph.
23	MS. McBURNEY: I think it depends on
24	whether that original evaluation of those seeds was
25	done with those temperature ranges and chemical

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1	reactions in mind. When you package them all together
2	and there is an issue of impact of temperature or
3	pressure, or chemical reaction, then perhaps it should
4	be re-evaluated under the Sealed Source and Device
5	Registry to take those into account.
6	DR. WILLIAMSON: I'm surprised. Is the
7	Model 6720 not included in the Device Registry, SSDR
8	as a separate product?
9	MR. BROWN: I can't speak to all of the
10	products and I didn't really want to speak to any
11	actually.
12	DR. WILLIAMSON: Yeah, I'm just using it
13	as a prominent example. I'm not trying to pick on
14	them. I think now there may be at least one or two
15	other companies. But I believe it is. I'm sure it
16	had a separate 510(k).
17	MS. McBURNEY: That is the current
18	practice.
19	MR. BROWN: Right. The current situation
20	is that we, in many states, require this and the
21	question is, since other states haven't required it,
22	is there a safety basis for our current practice or
23	are we not where we should be and that's what I wanted
24	the feedback on. And one of the interesting points is
25	the assumption of QA.

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You know, if an individual licensee is doing this, it's essentially under the supervision of the AU in accordance with the licensing procedure. If a radio-pharmacy is doing it, then it's under the Part 32 QA program, but someone in between, what would your thoughts be on an appropriate level of QA.

DR. WILLIAMSON: Well, I think that's a 7 8 reasonable question. That if you get a needle loaded 9 by a commercial company with some presumed sequence of 10 spacers and active seeds, what assurance do you have it's loaded properly. I think an institution that 11 12 really has good quality assurance with audio-13 radiograph or radiograph those needles to insure that 14 they're in the proper sequence but you know, there is 15 no rule in Part 35 that requires end users to do that kind of a check. I mean, it's part of current 16 17 practice standards but I don't believe it is addressed -- if a user take seeds and puts them into a needle 18 themselves, I don't know that there's a specific rule 19 20 which requires a redundant check of that loading 21 sequence. 22 DR. NAG: No. DR. WILLIAMSON: I mean, there's general 23

requirements that you deliver to the patient what you say or what is stated in the written directive that

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1	it's delivered properly.
2	MR. LIETO: I mean, the treatment plan, I
3	think is what Jeff's referring to. I guess my
4	question was, it appears from the slide there that
5	you're asking about changes in the Sealed Source
6	Device Registry and I guess that question, I would
7	say, no, that you don't really that that would not
8	be appropriate to require changes in that just simply
9	because you're going to get pre-packaged seeds and
10	spacers and strands. But I would agree that there
11	needs to be documented QC procedures that whoever is
12	preparing these has some means of verifying that they
13	are packaging them in accordance with the authorized
14	user's request or directive.
15	DR. WILLIAMSON: So I would say, too,
16	that, you know, if a when a licensee receives loose
17	seeds and loads them into a cartridge or needle
18	themselves, that is a normal variant of usage and I
19	don't think there's any evidence that that subjects
20	the seed to any kind of corrosive chemical or
21	excessive pressure. You know, as far as I know, I
22	have I am unaware that that causes any problems.
23	So if a commercial intermediary, some in between
24	source vendor and the user is hired under the guidance
25	of the licensee to take over some component of routine

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1	source preparation that's within the limits of normal
2	practice and which normally a licensee would do
3	themselves, I'm not sure that that's necessarily an
4	NRC concern. It seems to me it's an acceptable
5	variant of clinical practice.
6	CHAIRMAN CERQUEIRA: Any other members
7	have any comments other than Jeff?
8	DR. WILLIAMSON: Well, you wanted some
9	suggestions about calibration, too.
10	MR. BROWN: Well, actually, yeah.
11	DR. NAG: The next slide.
12	MR. BROWN: Yeah, the next slide goes to
13	the issue that's actually come to us from a large
14	calibration lab and that is that in the revised Part
15	35.400 licensees are required to calibrate the sources
16	unless they rely on the manufacturer's calibration or
17	the results of an AAPM certified lab, and the
18	fundamental problem is that if an intermediate company
19	loads some of these devices, there's absolutely no way
20	to do individual seed calibration after the loading at
21	the facility of use.
22	So you're left in the position of how do
23	you insure continuity or traceability of the original
24	vendor's calibration to the point of use.
25	CHAIRMAN CERQUEIRA: Jeff?

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1	DR. WILLIAMSON: Well, the first thing to
2	my knowledge all of the vendors supply NIST-traceable
3	calibrations for all their seeds, so that is not going
4	to disappear, you know, after they're loaded into a
5	cartridge, that cal. So I think the unless one had
6	some experimental or novel seed that happened not to
7	have a NIST-traceable calibration, I don't think this
8	issue would arise because the seed does have a NIST-
9	traceable calibration. It comes that way from the
10	original preparer and the certificate would follow it
11	to the how should I say, the package, and would, I
12	presume be included along with the material that the
13	end licensee receives.
14	CHAIRMAN CERQUEIRA: But once the package
15	is opened and the seeds are manipulated, how do you
16	tie the seeds to the calibration record?
17	DR. WILLIAMSON: Well, this is a problem
18	that could occur for the licensee, too. You receive
19	a Vicryl suture which is along with its certificate
20	and you take it out of the package, and you might
21	have, you know, 10 other stocks of seeds. How do you
22	assure that? The same problem exists at the licensee
23	level as it would at the vendor level. I'm not sure
24	that the problem is complicated particularly by the
25	fact that there's a third party involved. You know,

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1 this is a difficult problem. There are several 2 suggestions that have been made in the literature, how 3 to deal with it. 4 There are some calibration apparatuses 5 that can be used that maintain a sterile field for б putting the Vicryl suture into. Another common 7 practice that licensees often use is to order a 8 separate container from the company of loose seeds 9 that have the same batch number as the seeds that are 10 in their Vicryl suture so that they can check the

11 calibration using that sample of seeds.

Others have developed variance of the 12 13 calibration procedure that take into account the 14 additional tenuation in the wall of the needle or, you 15 know, the package essentially that the seeds come into. So there are different strategies that can be 16 17 used for institutions that want to verify the seed strength. And so then they would use something that's 18 19 analogous to a geometry correction factor used in 20 nuclear medicine when the preparation of the 21 radiopharmaceutical deviates substantially from the 22 NIST standard ampule geometry upon which the dose 23 calibrator settings are based.

24I don't know if this is helpful.25MR. BROWN: Well, where we're left with is

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1 deciding whether the rule, you know, as written and implemented, which does require the calibration of the 2 3 seeds and if the licensee relies on the manufacturers, 4 then the expectation is traceability. The fundamental 5 question for the committee is, does the situation with б repackaging represent a compliance issue in the 7 opinion of the committee. 8 CHAIRMAN CERQUEIRA: Dick? 9 The seeds themselves are DR. VETTER: 10 traceable to NIST, that's correct, right? DR. WILLIAMSON: That's correct. 11 12 DR. VETTER: So, I mean, an individual 13 seed not the package. 14 MR. BROWN: No, no, the individual seed 15 is not serialized or --DR. VETTER: No, I'm sorry, I meant -- I 16 17 didn't mean each individual one but when you purchase a quantity of seeds they are manufactured in such a 18 way that that -- one of them has been calibrated. 19 Or maybe all of them. 20 MR. BROWN: DR. VETTER: Or maybe all of them but that 21 22 calibration then is traceable to NIST. MR. BROWN: Yes, and the issue is how do 23 24 you tie the calibration record to the seeds. 25 Okay, that's keeping the DR. VETTER:

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1	paperwork straight.
2	MR. BROWN: Yes.
3	DR. VETTER: Ultimately the seed ends up
4	in the tissue whether it was surrounded by suture
5	material or not, it ends up in
6	MR. BROWN: Right, and the issue here is
7	as a licensee if you have multiple shipments of seeds,
8	it's within your control and ability to segregate the
9	boxes and keep the shipping papers with them and the
10	records. You know, in the regulatory environment when
11	we have intermediary groups, was it the expectation of
12	the committee in giving advice on this new rule, that
13	people doing these loading operations would have to
14	independently perform calibrations that, you know,
15	under the labs, or that they would establish
16	traceability programs in-house under their license
17	that would obviate the need for an individual licensee
18	to deal with this issue after the fact.
19	DR. WILLIAMSON: I think they should do
20	that latter.
21	MS. McBURNEY: The second. Yeah, that
22	they need to establish a program for that.
23	DR. WILLIAMSON: That insures the
24	paperwork doesn't get mixed up.
25	MR. BROWN: Very good. Thank you very

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1	much.
2	CHAIRMAN CERQUEIRA: Thank you. I guess
3	the next item is update, recommendations for the
4	Spring 2002 meeting and I guess Angela is going to
5	give us an update. There are minutes in the book from
6	the last meeting and I guess one of the things we
7	should always do is, you know, approve the minutes at
8	the beginning of the meeting, which is kind of
9	standard policy. And Angela, I think, you know, you
10	and I worked on the minutes of the meeting awhile
11	back. We probably should get it out to people once
12	they're finished.
13	Now, is there a reason that we couldn't do
14	that? Does the NRC prohibit?
15	MS. WILLIAMSON: I Believe that a copy
16	I thought that a copy was forwarded at least to you.
17	If it wasn't then we'll have to
18	CHAIRMAN CERQUEIRA: No, I did get you
19	know, you send me the version and I kind of made
20	changes and we worked on it, but once that's done, we
21	should get it out to the committee members.
22	MS. WILLIAMSON: Okay.
23	DR. DIAMOND: These summary minutes are
24	very well done, very cogent and very useful and it's
25	a shame that this morning was the first time I saw

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1	them.
2	MS. WILLIAMSON: Okay, well, I can make
3	definitely change that procedure and get the minutes
4	forwarded to the committee.
5	DR. DIAMOND: The summary minutes.
6	MS. WILLIAMSON: The summary minutes,
7	correct. I won't spend a lot of time on this because
8	we basically all know the outcome of this action, but
9	for the edification of everyone here, I'll quickly go
10	over it. And what happened, I have to go back to the
11	October 29th, 2001 meeting because what happened is at
12	that meeting ACMUI made a recommendation to amend what
13	was at that time the current Part 35 so that existing
14	medical physicists would be granted approval to
15	practice in a modality for which they had the
16	appropriate training and experience. And what
17	happened with that recommendation after NRC staff
18	considered it, NRC staff realized that we needed to
19	hold off on answering that recommendation, actually
20	have the committee revisit the recommendation at the
21	next meeting, the spring 2002 meeting.
22	Well, as you know, the spring 2002 meeting
23	actually happened in February and this issue was
24	revisited under a topic called Board Certification and
25	under that topic the motion was restated and basically

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1	the motion was stated to say the committee should
2	the committee made a recommendation to revise what was
3	then the existing Part 35, revise the training and
4	experience requirements in the existing Part 35 but
5	you did it in you basically did it pardon me.
6	What you did was, you agreed to set up a
7	subcommittee to visit this issue in depth and to come
8	up with some specific recommendations to the staff to
9	amend the training and experience requirements. And
10	of course, that subcommittee did meet on June 21 and
11	the ACMUI met in tele-conference meeting that July the
12	8th to discuss the June 21 recommendation. And what
13	happened is that you formed your recommendations and
14	you forwarded them to the NRC staff and what we did
15	with your recommendations is we posted them to the
16	website. The training and experience recommendations
17	that you made, we did post to the website and of
18	course, you learned at one of the earlier briefings
19	that your training and experience recommendations had
20	been forwarded to the Commission along with an Options
21	Paper that the Commission directed the staff to
22	prepare.
23	So, I said all that to say this; your
24	training and experience recommendations have been
25	forwarded and will be considered and so that is the

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1	status of that action with regard to that
2	recommendation that you initially made in October and
3	refined and discussed in a subsequent meeting.
4	Are there any questions? I think we've
5	kind of revisited this to death already earlier.
6	DR. WILLIAMSON: You're talking about the
7	October 29th, 2001 recommendation on 35.57, the
8	grandfathering clause?
9	MS. WILLIAMSON: Well, that whole issue
10	was revisited. We didn't actually forward we
11	didn't forward you a response to that because we felt
12	that it needed to be addressed further. So, we
13	addressed it you actually addressed it again at the
14	February meeting and when you restated the motion and
15	you made the motion a little bit broader at the
16	February meeting and what ended up happening, as you
17	well know, is that a subcommittee was formed.
18	CHAIRMAN CERQUEIRA: So I think the
19	subcommittee kind of dealt with most of the issues and
20	
21	DR. WILLIAMSON: I don't know that we
22	really dealt adequately with the 35.57, the
23	grandfathering clause. I don't think we supplied an
24	interpretation, so actually that is still possibly a
25	problem, which maybe we should carefully consider.

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1	PARTICIPANT: That was not part of the
2	subcommittee's charge.
3	MS. WILLIAMSON: No, that actually wasn't
4	but that's what ended up happening with it.
5	DR. WILLIAMSON: So I actually think that
6	the staff should think about 35.57 in relation to the
7	existing regulation that's on the books and the
8	proposed ACMUI subcommittee version and see whether,
9	you know, there is any possible problem in terms of
10	restricting the supply of authorized personages
11	available.
12	MS. McBURNEY: I think that the new rules
13	will take care of that because the medical physicists
14	will be the ones that are on licenses now will be
15	grandfathered in and then the additional training
16	requirements are under the new rules. So I think that
17	that will be covered.
18	DR. WILLIAMSON: I think it might.
19	Actually, yes, if Board certification remains the
20	primary vehicle for shouldering most of the burden of
21	credentially these individuals, you know, then,
22	there's a reasonable requirement for acquisition of
23	supplementary training should work out, but so it
24	wasn't addressed directly is my point.
25	MR. BROWN: I'm sorry, Ralph brought up

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1	earlier that there was an issue with RSO
2	qualifications in Region 3 and hopefully the
3	grandfathering was primarily an issue with an RSO
4	RSO's. And we're dealing with question and answer
5	space with the essential concept that a licensee can
6	have an RSO who is the primary person to run their
7	program in accordance with the provisions for RSO's
8	but that that person may require expertise from other
9	members of the licensee staff for some of the more
10	devices with which they are not familiar. And that's,
11	we believe, covered in the existing rule and we're
12	documenting that in Q and A space and we'll share that
13	with you as soon as we have it, and that may address
14	the concern with grandfathering, the underlying
15	concern about licensees being able to have access to
16	the right resources to meet the rule.
17	MS. WILLIAMSON: Okay, and then another
18	recommendation that was made at the February meeting,
19	this recommendation is closely related to the previous
20	recommendation in that its purpose was to preserve
21	Board certification as a primary pathway for
22	certifying users. And that in that recommendation
23	the ACMUI recommended that the Commission retain the
24	training and experience requirements for uses under
25	Part 35.600 as well as for all categories of

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authorized users until such time that a rulemaking initiative could restore Board certifications as a primary pathway.

4 And you all probably know that in response 5 the Commission did agree with that recommendation and б as a result sub-part J is being retained for two years 7 at which time it will expire in 2004, so the new 8 regulation went into effect October 24th of this year. 9 So in two years, October 24, 2002 (sic), sub-part J 10 will be deleted and that's basically it with the recommendations as far as the last meeting. 11

12 CHAIRMAN CERQUEIRA: Although I guess one 13 of the things that we had wanted to do was the sub-14 committee report that is that would deal with the 15 problems that were presently in the current revision. We wanted to put that on a fast track which is why Dr. 16 17 Vetter's committee really, you know, spent a lot of time to get it done and I asked the question early but 18 maybe Roger could comment. You know, what are the 19 chances that this rule that's before the commissioners 20 21 now will be implemented in a timely fashion within, 22 you know, 2004? MR. BROWN: Well, I'm glad you came back 23

24 to that because what's before the Commission is an 25 options paper to proceed with rulemaking. As we kind

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of talked about earlier, you can't just change a rule with a flick of the fingers once you've set it in place.

4 So if the Commission agrees with 5 proceeding with the rulemaking, we anticipate that б that would be completed within two years prior to the 7 expiration of sub-part J and we'd, you know, do 8 everything to make that happen. The bigger issue is 9 in the case of the agreement states, as we discussed 10 earlier, that we would be in the position where the new requirements would be mandated a year after the 11 12 revised requirements came out and they'd have to do a two-step thing and that -- as you'll see in front of 13 14 you, that issue is identified but we don't know how to resolve it at this point and it would have to be done 15 in the rulemaking process. 16

CHAIRMAN CERQUEIRA: But getting back to 17 my question, can we make -- you know, again, I 18 19 understand rulemaking is more than just the training 20 and experience requirements but the committee, the sub-committee had a pretty detailed description. 21 22 Right. MR. BROWN: CHAIRMAN CERQUEIRA: So the 23 once

24 Commissioners sign off on that, what else is going to 25 really be needed?

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1	MR. BROWN: Legally that process that
2	would serve as essentially a proposed new rule that
3	would go out for public comment. We'd have an
4	opportunity to address some of the concerns that were
5	discussed here earlier this morning, get stakeholder
6	comment on it.
7	CHAIRMAN CERQUEIRA: Just like we did for
8	Part 35. We started that in `98, I think the Federal
9	Registrar Notice, and so here it is October 24th,
10	2002, so it's four years.
11	MR. BROWN: It is accelerated because we
12	were we would be at the point where we'd have a
13	and this isn't my area of expertise, like many of the
14	things I discuss, some might wonder what my area of
15	expertise is, but we have a rulemaking plan now which
16	is something that could take years and years to get to
17	the point. So the effort that you undertook so
18	accelerated the process many years and we have a very
19	a product that should be very close to being
20	implementable with few public comments.
21	CHAIRMAN CERQUEIRA: Dr. Vetter is fairly
22	impatient, you know. He did his end, now, he wants to
23	know why the commissioners aren't jumping on this and
24	what can this committee do to facilitate the process
25	is my question.

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1	MR. ESSIG: I don't know that there's
2	anything in particular that the committee can do to
3	facilitate the process. You've given us your
4	recommendation. It's now at the hands of the
5	Commission.
6	CHAIRMAN CERQUEIRA: At the commissioner
7	level, right, and their staff people have reviewed it
8	and have favorably given it their blessing. It now
9	goes onto the commissioners.
10	MR. ESSIG: And they will dictate to the
11	staff then via staff requirements memorandum, what
12	they want us to do because we have outlined three
13	options in there as you've seen if you perused what I
14	gave you earlier. By the way, the EDO did sign that
15	out today, so the copy
16	CHAIRMAN CERQUEIRA: EDO is?
17	MR. ESSIG: Executive Director for
18	Operations.
19	CHAIRMAN CERQUEIRA: Which means it then
20	goes to the commissioners.
21	MR. ESSIG: Yes.
22	CHAIRMAN CERQUEIRA: And they have how
23	many days to act on it?
24	MR. ESSIG: They have as long as they
25	need.

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1	CHAIRMAN CERQUEIRA: Right, but I think
2	this is the point where Dr. Williamson usually comes
3	in and we need a motion to
4	DR. WILLIAMSON: Well, I would like to
5	ask, if the commissioners signed off on it tomorrow,
6	what's the minimum time frame for getting a rulemaking
7	completed? That's really, I think, what the question
8	is.
9	MS. McBURNEY: Will this one have to go to
10	OMB? I mean, that takes awhile.
11	CHAIRMAN CERQUEIRA: Mr
12	MR. BROWN: Yeah, I apologize, because
13	you're catching us and we're stuttering over here and
14	we don't have the definitive answers for you. It has
15	been evaluated by the people that are supposed to know
16	and they're comfortable that where we're at now with
17	an answer from the Commission in the next couple of
18	months, we'll be able to move forward and based on all
19	the work that you guys have done, that the comments
20	shouldn't be difficult to address and that we
21	shouldn't have difficulty going through OMB and the
22	other regulatory reviews.
23	That, you know, we're in the right place
24	to proceed smartly and that's really all that we know.
25	CHAIRMAN CERQUEIRA: I guess what I'm

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1	asking from staff is some guidance on how we can push
2	this. I mean, the committee is, you know, powerless
3	in many ways but obviously, if we, you know, send a
4	note to the commissioners. When is our next meeting
5	with the commissioners?
6	MS. WILLIAMSON: Spring.
7	CHAIRMAN CERQUEIRA: Spring. Okay, so that
8	will be part of the scheduling process, but you know,
9	if we wait till then to put some pressure on them, I
10	don't think that's going to help very much. So nobody
11	is being terribly helpful in how we can move this
12	forward. I mean, you know
13	DR. WILLIAMSON: I suppose you, as the
14	chairman
15	MR. LIETO: Weekly phone calls by the
16	chairman.
17	DR. WILLIAMSON: could place a call to
18	the
19	CHAIRMAN CERQUEIRA: So is that the wish
20	of the committee? Would you like me to
21	DR. WILLIAMSON: Yes, I suggest that
22	here's a motion, okay. Okay, the ACMUI recommends
23	that Chairman Cherqueira contact the commissioner
24	chairman to inquire about the status.
25	CHAIRMAN CERQUEIRA: Good, okay.

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1	DR. WILLIAMSON: And express our concern
2	that it is not proceeding in a timely fashion.
3	CHAIRMAN CERQUEIRA: Okay, I will take
4	that charge. All right. All right.
5	MS. WILLIAMSON: And that's all that I
6	have.
7	CHAIRMAN CERQUEIRA: Okay.
8	MS. WILLIAMSON: I do have the Staff
9	Requirements Memorandum on the national materials
10	reports.
11	CHAIRMAN CERQUEIRA: You still have the
12	vacancies.
13	MS. WILLIAMSON: We did that this morning.
14	CHAIRMAN CERQUEIRA: But that was a closed
15	session, so we should at least discuss it in public
16	because we do have members of, you know, stakeholders
17	out there and
18	MS. WILLIAMSON: Certainly. I should be
19	able to do this by memory. We reappointed five people
20	to the committee. Let's see how good I am.
21	CHAIRMAN CERQUEIRA: I can read it, I've
22	got the minutes here.
23	MS. WILLIAMSON: Okay.
24	CHAIRMAN CERQUEIRA: Diamond, Nag,
25	Schwarz, Williamson and Vetter were reappointed.

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1	MS. WILLIAMSON: Were reappointed for a
2	second term. We do have three vacancies coming up in
3	the relatively near future and they would be Chairman
4	Cerqueira, Ms. Hobson and Ms. McBurney. So my action
5	after this meeting would be to move smartly to start
6	the process to get the anticipated vacancies filled in
7	a timely manner. And one other vacancy that we can
8	foresee in the foreseeable well, in the near future
9	would be Mr. Lieto's position as medical physicist and
10	he could be reappointed to the committee.
11	DR. DIAMOND: Angela, we also have the
12	issue that Dr. Cerqueira is the chairman, so we need
13	to find a new chairman.
14	MS. WILLIAMSON: Exactly.
15	CHAIRMAN CERQUEIRA: So I would say that
16	we should somebody should make a nomination that we
17	initiate the process for identifying new members to
18	replace Cerqueira, Hobson and McBurney and selecting
19	a new chairman.
20	DR. WILLIAMSON: So moved.
21	CHAIRMAN CERQUEIRA: So Jeff makes the
22	nomination, you seconded it. Further discussion on
23	it?
24	MS. McBURNEY: Who actually appoints the
25	chair? We don't select our own chair, do we? The

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Commission
DR. WILLIAMSON: We could perhaps make a
recommendation from the remaining members of the
committee. It would probably be logical to have
somebody who has served and has some experience,
recent experience, on the ACMUI rather than getting
somebody cold.
MS. HOBSON: Exactly, I agree. Also just
for my own benefit, does the chairman is the
chairman required to be an MD, a physician or could
one of the other highly qualified but not a physician?
MS. WILLIAMSON: I don't know that it's a
requirement.
CHAIRMAN CERQUEIRA: I don't think it's
required.
MS. WILLIAMSON: I think it's usually the
-
MS. WILLIAMSON: I think it's usually the
MS. WILLIAMSON: I think it's usually the case though, as sort of a past practice.
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MS. WILLIAMSON: I think it's usually the case though, as sort of a past practice. DR. DIAMOND: I was looking at the bylaws today and I did not see any requirement that the chairman be a physician. DR. NAG: Now, how as the chairman decided

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few minutes and they got dinged.

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1	MS. McBURNEY: He was the only doctor
2	left.
3	CHAIRMAN CERQUEIRA: You know, again, I
4	think the previous chairman, Dr. Seigel, had some
5	input into it with the committee members. I have to
6	admit, I'm not aware of how the process was
7	MR. MALMUD: It was actually Dr. Stitt who
8	was the previous chairman.
9	CHAIRMAN CERQUEIRA: That's right, it was
10	Judith, yeah. There should be a process. You know, I
11	mean, every society that we're involved in has
12	MS. McBURNEY: Maybe while you're on the
13	phone with the
14	CHAIRMAN CERQUEIRA: The commissioner, he
15	won't know unfortunately, but I'm sure the staff, like
16	the people that were here for awhile, Larry Camper or
17	Cathy Haney have had the longest experience. I'll look
18	into it and I'll try to there has to be some
19	process.
20	MS. SCHWARZ: Maybe Dr. Seigel could
21	CHAIRMAN CERQUEIRA: Could fill us in,
22	yeah.
23	MS. WILLIAMSON: I actually believe it was
24	recommended in a paper to the Commission by the staff.
25	Now, I don't know how the staff came to the

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1	recommendation frankly. I can always find that out
2	but I do remember seeing paperwork to that effect.
3	MR. MALMUD: But it's appointed, not
4	elected.
5	MS. WILLIAMSON: It's appointed, yes.
6	DR. WILLIAMSON: That's correct, but I
7	think, you know, our group could make a recommendation
8	to the staff if we wanted to, if we felt we had some
9	consensus within this group.
10	CHAIRMAN CERQUEIRA: But the initial
11	process is to just there's the three vacancies. We
12	have to publish it in the Federal Registrar and we
13	have, you know, a period of nominations being
14	submitted and so we should initiate that now. I think
15	our last meeting is the spring of 2004 but, you know,
16	we've had
17	DR. NAG: That gives us some time.
18	CHAIRMAN CERQUEIRA: Right, okay. So
19	we'll do that and we'll try to find out the process by
20	which the chairman is appointed.
21	The second item, then, I guess is in terms
22	of Mr. Lieto's being reappointed and I don't again,
23	what are the I mean, he speaks up too much but he's
24	done a fairly good job. And so what's the process by
25	which a reappointment can be initiated. Is that up to

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1	the discretion of the chairman?
2	MS. McBURNEY: If he wants to be.
3	DR. NAG: If he wants to be reappointed.
4	If he does, then there's no more questions.
5	DR. WILLIAMSON: Well, I guess the staff
6	could choose
7	MS. WILLIAMSON: The staff could, right
8	DR. WILLIAMSON: to recommend not to
9	reappoint him, as has happened in some cases.
10	MS. WILLIAMSON: So basically at the
11	recommendation of the staff, which the Commission
12	usually agrees with.
13	MR. LIETO: We know the answer to that
14	one.
15	CHAIRMAN CERQUEIRA: All right, so that
16	takes care of those items, but again, you know, we've
17	kind of made it a priority to avoid vacancies because
18	two years ago we had lots of vacancies and it was very
19	hard for the committee to do business. So we've got
20	17 months and if we initiate the process, we should
21	get it filled. Okay.
22	And then the next thing is still
23	Angela, you're still there, administrative
24	conclusions.
25	MS. WILLIAMSON: That's just the routine

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1	discussion about the next agenda items and the next
2	meeting date.
3	CHAIRMAN CERQUEIRA: So we normally have
4	a meeting in the spring.
5	MS. WILLIAMSON: April.
6	CHAIRMAN CERQUEIRA: April.
7	MS. WILLIAMSON: Right, uh-huh.
8	CHAIRMAN CERQUEIRA: All right, and that's
9	when we meet with the commissioners.
10	MS. WILLIAMSON: That can serve as your
11	meeting with the commissioners, yes.
12	CHAIRMAN CERQUEIRA: And the tone of
13	today's discussion, I think the committee would like
14	to meet with the commissioners and
15	DR. WILLIAMSON: Yes, I think so.
16	CHAIRMAN CERQUEIRA: We what we need to do
17	then, and the issue always comes up of how you get to
18	five commissioners to be in town. So we need to if
19	you could check with their staff to see when in April
20	we could possibly convene a meeting and there we need
21	the full day and a half because usually we have a
22	meeting before and are there any national meetings in
23	April?
24	DR. NAG: There is a Radiation Society
25	meeting at the end of April.

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1	CHAIRMAN CERQUEIRA: If people could just
2	look in their calendars.
3	DR. NAG: April 26th through 30th.
4	DR. VETTER: The NCRP meets in April.
5	DR. BRINKER: Early April is the NCRP.
6	PARTICIPANT: Is Easter in April?
7	CHAIRMAN CERQUEIRA: Late March.
8	DR. DIAMOND: Angela, if you're taking
9	notes, there's a Radiation Oncology meeting February
10	27th through March the 2nd.
11	DR. NAG: No, we are looking for April.
12	DR. DIAMOND: I understand but I'm giving
13	her all the dates I can think of.
14	CHAIRMAN CERQUEIRA: And there are things
15	like spring breaks that for some of us that's a little
16	bit more
17	MS. WILLIAMSON: April is not written in
18	stone. I mean, we could have it a little bit sooner,
19	a little bit later, but normally we hold it in April.
20	PARTICIPANT: Sounds like mid-April.
21	CHAIRMAN CERQUEIRA: So Easter is April
22	20th, so a lot of the school vacations tend to sort of
23	cluster around that. End of April, is the end of
24	April that was the one that was bad.
25	DR. NAG: That is the Radium Society,

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1	although that
2	CHAIRMAN CERQUEIRA: But again, we have
3	people representing various constituencies and it
4	would be important, I think, to have them here.
5	DR. VETTER: The first full week of April
б	is NCRP.
7	CHAIRMAN CERQUEIRA: The first full week.
8	DR. VETTER: It isn't all week long but I
9	don't remember the dates. It's that week.
10	DR. DIAMOND: So what about the second
11	week of April?
12	CHAIRMAN CERQUEIRA: April 7th, which is
13	a Monday?
14	DR. DIAMOND: That's the first full week,
15	isn't it?
16	DR. NAG: That week is open.
17	DR. VETTER: That's the week of NCRP.
18	CHAIRMAN CERQUEIRA: Okay.
19	MS. McBURNEY: So before that?
20	DR. DIAMOND: What we need is a Monday,
21	Tuesday?
22	DR. NAG: No, it can be any day of the
23	week, right?
24	MS. WILLIAMSON: It can be.
25	DR. DIAMOND: Or Thursday, Friday.

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1	MS. WILLIAMSON: We try not to hold it on
2	Friday, but the flights, it's difficult.
3	CHAIRMAN CERQUEIRA: So what about here
4	again, this is probably not the most efficient use
5	but, you know, once we start sending e-mails to lock
6	in dates, we have to give the commissioners a couple
7	of alternative days to try to get it. So something
8	like April 23rd, 24th is that it's the middle of
9	the week.
10	DR. VETTER: I thought you said that was
11	Easter.
12	CHAIRMAN CERQUEIRA: Easter is April the
13	20th, I have, April 20th.
14	MS. WILLIAMSON: This would be after.
15	CHAIRMAN CERQUEIRA: So we would try to
16	avoid that Monday and Tuesday, the 23rd, 24th of
17	April?
18	DR. NAG: Yeah, that's okay.
19	DR. VETTER: Even the first week in May.
20	DR. DIAMOND: What about the first week in
21	May?
22	CHAIRMAN CERQUEIRA: May 5th, 6th, that's
23	a Monday, Tuesday?
24	MS. McBURNEY: When is the CRCPD meeting?
25	DR. NAG: Immediately after the ABS.

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1	CHAIRMAN CERQUEIRA: Let's go back to
2	maybe March.
3	MS. McBURNEY: Late in March, early April
4	around April Fools.
5	CHAIRMAN CERQUEIRA: How about like March
6	24th, that's a Monday and the 25th, it's a Tuesday?
7	DR. EGGLI: A lot of college spring breaks
8	have already started. My son is at Harvard, starts
9	that Monday.
10	MS. HOBSON: Yeah, but spring breaks
11	bounce all over. There's
12	MS. McBURNEY: What about the following
13	week?
14	CHAIRMAN CERQUEIRA: Then we're into April
15	and April is kind of well, can we
16	DR. DIAMOND: So let's we need two or
17	three different dates, so let's throw a couple out.
18	Let's do that
19	MS. McBURNEY: March 30th?
20	CHAIRMAN CERQUEIRA: No, it was March
21	24th, 25th.
22	PARTICIPANT: March 30th and 31?
23	CHAIRMAN CERQUEIRA: So March
24	MS. McBURNEY: I won't be available the
25	24th and 25th of March, so maybe March 30th, April

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1	lst?
2	DR. NAG: April Fools Day, yeah.
3	CHAIRMAN CERQUEIRA: That's March 31st,
4	April 1st. Okay, so let's try those two. So we had
5	April 22nd, 23rd, and then March 31st, April 1st. All
6	right, we'll try those dates to see if we can get the
7	commissioners, and if that doesn't work out, then
8	we'll send out the scheduling calendars again.
9	DR. DIAMOND: I think the only way we can
10	do it is find when the medical meetings are, get two
11	or three sets of dates, find when the commissioners
12	are available. There's no way we're going to be able
13	to accommodate everybody's schedule. We just can't do
14	it.
15	CHAIRMAN CERQUEIRA: Yeah, okay.
16	MS. WILLIAMSON: One other thing, Dr.
17	Cerqueira, as far as everyone's travel and your
18	services vouchers, if you don't mind signing those and
19	just giving them to me, that will really expedite the
20	settlement of those vouchers. You don't have to, it's
21	your choice.
22	MR. MALMUD: These two pages and just fill
23	them in.
24	MS. WILLIAMSON: Right, and just give the
25	information to me rather than yeah, exactly, then

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	25	of the community, both the stakeholders as well as the

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1	people that don't have an interest in order to and
2	you're almost talking about the whole committee in a
3	sense. You know, I guess we could try to break it
4	down but there are going when some of these things
5	come up, I mean, you know, having cardiology input is
6	of some value, radiation oncologists in some cases.
7	DR. WILLIAMSON: Well, there's nothing
8	that stops the subcommittee from inviting additional
9	members for a particular decision that requires their
10	input but I think the suggestion of the committee is
11	that we could have a standing some sort of a
12	standing structure to facilitate doing this quickly in
13	between our semi-annual meetings.
14	MS. SCHWARZ: If there were
15	DR. WILLIAMSON: So that was the
16	CHAIRMAN CERQUEIRA: Okay, what size
17	should we make the committee?
18	DR. DIAMOND: Probably get a
19	representative from each discipline that's represented
20	here, so one radiation oncologist, one nuclear
21	medicine, one physicist, one cardiologist and so
22	forth.
23	MS. HOBSON: That's the whole committee.
24	CHAIRMAN CERQUEIRA: The only duplicates,
25	I guess, there's two radiation oncologists. We have,

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1	I guess, two medical physicists. So basically it
2	would be everybody with the exception of three people.
3	DR. NAG: I think you don't need a patient
4	advocate, but you may need a technical thing so Nekita
5	might not be involved.
б	CHAIRMAN CERQUEIRA: No, but I think she
7	represents a unique constituency that should be there,
8	I mean, really because she doesn't have any ax to
9	grind in terms of you know, turf and I think it's
10	important to have that kind of input. Well, and then
11	as the chairman, I shouldn't be on it, so that leaves
12	Dr. Brinker. So it's a matter of which of the
13	physicists and which of the radiation oncologist we'd
14	leave off.
15	DR. WILLIAMSON: I'll volunteer.
16	CHAIRMAN CERQUEIRA: To be on or off?
17	DR. WILLIAMSON: On it. All right, okay,
18	so Subir wants to be on it then and Ralph, do you have
19	any strong feelings about being on it?
20	MR. LIETO: Well, no. I mean, I have no
21	problems. It's going to come back to the committee
22	anyhow.
23	DR. WILLIAMSON: If he wants to be on it,
24	I will happily withdraw.
25	MR. LIETO: I want to be able to criticize

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1	you, Jeff.
2	CHAIRMAN CERQUEIRA: All right, so I think
3	we have the committee then. I guess, you know, David
4	and Ralph and I are not on the committee and everybody
5	else is on the committee. I mean, is that
б	MR. MALMUD: You don't need two
7	CHAIRMAN CERQUEIRA: Yeah, but you're a
8	hospital administrator and you do need that
9	perspective.
10	DR. WILLIAMSON: Maybe he could cover
11	both.
12	MS. McBURNEY: True. Most of these items
13	are going to be coming up as devices or something in
14	an agreement state or whatever
15	CHAIRMAN CERQUEIRA: Yeah, we definitely
16	need that. All right, well, look let me I think
17	we've kind of identified it. The only question is do
18	we need two nuclear medicine. The other thing you
19	don't want is if you have too many I feel I've got
20	two people representing the same interest, then
21	potentially there's a conflict there but I think we
22	have the body of the subcommittee.
23	Let me talk to the staff people and then
24	I'll just send the list out to people unless there's
25	any other issues that come up. All right.

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1	MS. HOBSON: I think both Dr. Malmud and
2	Dr. Eggli, they would serve two different purposes on
3	the committee and I'm sure that they're big enough
4	that they would put aside any parochial interest.
5	CHAIRMAN CERQUEIRA: Okay, that's probably
6	true. So all right, other issues, any
7	DR. DIAMOND: Yes, there were. I don't
8	know at this late juncture in the day we want to go
9	and raise our blood pressure again, but the main focus
10	of this morning's discussions were whether we wanted
11	to have some open and frank exchange of what can be
12	done in the future to improve the function and utility
13	of this advisory committee. Should we take five or 10
14	minutes to talk about this?
15	CHAIRMAN CERQUEIRA: Yeah, I think that's
16	what do you suggest?
17	DR. DIAMOND: Well, there are a couple of
18	issues. We talked about some housekeeping things,
19	such as getting the summary minutes out in a timely
20	fashion, getting the staff responses out to the
21	committee members in a timely fashion. So those are
22	very straightforward things. We also spoke about the
23	need to improve communication with the federal
24	designated official as a third point.
25	Other points really are you know, an open

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1	and frank discussion with response to what our purview
2	is and are we going to be receiving pro-active
3	communications from the staff or are we going to play
4	this game once again where we respond and have to
5	inquire as on our own as to what are the important
6	and developing issues. I think it's much better to go
7	and discuss these in a proactive fashion. It saves a
8	lot of heartache and I think it moves much more
9	efficiently.
10	CHAIRMAN CERQUEIRA: Well, it's a lot of
11	issues. Let's kind of go back then and maybe start
12	with the first point that you made.
13	DR. DIAMOND: So first is a more timely
14	communication of the summary minutes and of the staff
15	responses. I think that's not a subject of debate.
16	CHAIRMAN CERQUEIRA: All right, I think
17	one way to do that is once the minutes are finalized
18	and Angela, I think there's agreement that the
19	minutes, once they're finalized, should go out to the
20	committee members and when we open the meeting, we
21	should have the opportunity to review the minutes and
22	let people, you know, make changes or if they have,
23	you know, disagreements with what's said, that should
24	be done up front. So now we can do that. There's no
25	reason that the minutes are not allowed to be

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1	distributed to the committee.
2	We also identified action items that
3	during the discussions, we you know, we basically
4	made a motion and we took a vote on it and we should
5	have a clear in the minutes, we should have a clear
6	identification of the motions and that should come out
7	of the transcript. You know, I don't go through the
8	whole transcript, Angela or somebody does and we
9	basically come up with some, you know, nomination and
10	so that should go out to people and it should be
11	brought up at the meeting.
12	DR. DIAMOND: The next issue is an issue
13	that was formulated really by Dick, which was that we
14	should have standing reports. In other words, to
15	paraphrase Dick, for example, update staff update
16	on training and experience, staff update on the
17	National Materials Program, staff update on these
18	other issues. This way the clear onus, the clear
19	burden is on the staff to prepare in advance materials
20	that we can review and discuss instead of us having to
21	go and dig through these issues.
22	CHAIRMAN CERQUEIRA: Yeah. But who's
23	going to come up with a list of sort of standing
24	recurrent issues that
25	DR. VETTER: Yeah, I would suggest that we

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1 actually simply have some broad categories that are 2 standing always on the agenda and maybe some meetings 3 there isn't anything there but we still never remove 4 that from the agenda. An example would be routine 5 trend reports. I think it would be good for this б committee to hear from the staff what are the medical 7 events that have occurred across the country and why 8 do we want to know that, to help them. They've 9 probably already got it figured out but at least give 10 them our input as representatives of the user 11 committee, on whether or not there might be some root 12 cause there or we might be able to contribute to the 13 base knowledge on route causes that might be effecting 14 these trends or contributing --CHAIRMAN CERQUEIRA: Do you mean trends of 15 medical events or reportable events, or what? 16 17 DR. VETTER: Medical events is what I'm suggesting. We can come up with -- I'm just saying a 18 19 broad category of routine trend reports. One example would be medical events. 20 There may be half a dozen 21 others over a period of time we would ask that they 22 update us on and a trend report, you know, one example 23 is if medical events are two next time and 10 the 24 following time meeting and 20 after that there's 25 obviously something going on. Obviously, the staff

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329 1 would have figured that out by now but the purpose would be for us to have input as members of the user 2 3 community as to what might be happening out there. 4 That's an example. 5 So one of those categories that we would 6 always have on the agenda would be routine trend 7 reports, whatever we've all decided are good routine 8 trend reports. 9 MS. HOBSON: Would the same thing apply to 10 this new national data bank, what would be -- what's 11 been reported. 12 DR. DIAMOND: Sure, I think that's very 13 important. 14 MR. LIETO: You could maybe use the category of enforcement actions because isn't that 15 what would trigger that type of reporting to the data 16 17 base, would be escalated enforcement? 18 MR. BROWN: In part, not -- it's not the 19 only reporting criteria but that's in part. CHAIRMAN CERQUEIRA: Now, Tom and Fred, I 20 21 mean, you kind of get the sense of this. And it 22 sounds like it's a reasonable request from the 23 committee, so --24 MR. BROWN: Well, yes. As you ask for 25 more information, the number of people available to do

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330 1 it and the status of their ability to do it is limited 2 by the hours of budget, so the more you ask for, the 3 less good any of it's going to be is essential rule of 4 thumb to understand. 5 We do trend analysis. It's a function. It's actually a very important function and it's being 6 7 revised in its totality right now. I'd love to come 8 in and talk about it at the next meeting and once 9 you're informed of what we do, I mean, you can ask for 10 further updates, but the more updates that we're preparing for you, the less opportunity we're going to 11 12 be having -- have to --13 CHAIRMAN CERQUEIRA: But they're already 14 existing out there as part of the agency, you know, 15 policy. It would just be a matter of sharing the material, right? 16 17 MR. BROWN: And some things are and we can You know, the trend isn't a 18 explore those. 19 presentation. It's actually a monthly meeting that 20 goes on to review not just medical events but all --DR. DIAMOND: Right, we're not asking for 21 22 a 30-minute presentation. A one-page summary of 23 trends prepared by that particular agency or board 24 would be more than sufficient. 25 MR. BROWN: That agency or board is two or

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1	three people in my section and one page is something
2	we'll half-way do but that's a level of effort and it
3	detracts from all of the other levels of effort we
4	have.
5	DR. DIAMOND: Well, gee whiz, I'm spending
6	a lot of effort to come here, too, Fred.
7	DR. BRINKER: Well, I think before
8	DR. DIAMOND: I mean
9	DR. BRINKER: One thing, again, as a
10	newcomer, I'm seeing us going not parallel in our
11	or not together in our thinking. We're not converging
12	and I think one of the things is our ideation of what
13	we should be doing may be different. I think it is
14	different from what the NRC staff's ideations, what
15	they want and need from us are different than what we
16	think the influence we ought to have and it's further
17	complicated by the fact that you have a bunch of very
18	well-doing, intelligent, hardworking people here that
19	want to contribute and want to be known that they
20	contribute and it's also complicated by the fact that
21	we meet every half a year or something and they do a
22	hell of a lot between the times we meet and they can't
23	and I guess they don't know how much of that and
24	how to communicate the ongoing process with us in a
25	way that would be beneficial for us to interact.

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332 1 But look at today. We are so -- I mean, I feel that I am volume overloaded by all the things 2 3 that we discussed today and I'm not sure that we had 4 an adequate time to digest all the things Fred was 5 bringing up so that we could give the best -- I mean, 6 we gave the best answers that we could off the tops of 7 our heads in the 10 or 15 minutes that we had to 8 digest the data, but we didn't do the kind of job that 9 might have been done if we had days to think about 10 this and other information access. So, again, I think one thing that would be 11 12 valuable to me, I think, is if the NRC staff really 13 had a soul-searching in terms of what they want from 14 us and in order of priority. And we conversely, had 15 a soul-searching and put down what we think we should be doing in order of priority and see where they 16 17 match. Now, an awful lot of good has been done 18 19 already and just as Dr. Vetter's subcommittee was an 20 immense amount of work, and I think that there is this -- we're not connecting. A lot of people here think 21 22 that they're not appreciated for what they do, that

23 the NRC is not sensitive enough to the desires of the 24 group and I'm sure by the expressions on your faces 25 that much of what we say is, "Oh, boy, what do they

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1	want now and this is going to be a tremendous onus and
2	how can we possibly going to get that done. So to
3	make this work the best, we should be all on the right
4	line working together and we should know what each
5	other's needs are and how best to do them.
б	CHAIRMAN CERQUEIRA: Those are good
7	points. I think one of the things that would help is,
8	you know, there's a charter for this committee and I
9	have to admit I must have seen it at some point but
10	how many have
11	DR. DIAMOND: It's in the back.
12	CHAIRMAN CERQUEIRA: It's in the back.
13	All right, well, people should look at that and again,
14	just to
15	DR. WILLIAMSON: I actually think we
16	should be, you know, fairly careful about how much
17	stuff we request. I think we've made some reasonable
18	requests which is to be keep up to date on a
19	routine basis with the 35.1000. That's very important
20	to the community, very controversial. It's very easy
21	to make a misstep but no, I don't know I don't have
22	a strong feeling that we should get that involved in
23	tracking trends and so forth and routine information.
24	I think we've made some specific requests
25	that are reasonable.

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MS. HOBSON: But on the other hand when we have worked long and hard on a particular issue and we make a recommendation, it's just sort of like, you know, many times we're just sort of dropped out of the loop and we never hear anything else about it, or else we're surprised by what actually has happened when we do learn about it. So being kept informed is, I think, huge.

CHAIRMAN CERQUEIRA: Leon?

10 MR. MALMUD: It might be useful because -it would certainly be useful if we identified those 11 issues that we felt needed follow-up and that at each 12 13 meeting we identify the items for which we're 14 requesting follow-up at the next meeting and not over-15 burden the staff here with tracking everything, because their budget is limited and they're going 16 17 through an ordeal now, as most of government is in 18 trying to anticipate possible needs with respect to 19 bioterrorism, et cetera. So I would suggest that we 20 begin by our assuming the responsibility to identify to you those limited items for which we are requesting 21 22 follow-up because of the intense involvement of this 23 committee and those issues, that we begin with that 24 and then see how that works. That will give us what 25 we want in terms of the feedback and that will give

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1	the staff an opportunity to see how burdensome this is
2	since you do have limitations on your own staffing
3	within your own organization and all of us working in
4	organizations recognize that reality. So maybe we
5	could begin with those steps. But we certainly have
6	to begin with something because it's wasteful of your
7	time as well as ours to discuss the frustration of the
8	committee rather than the items about which we feel
9	frustrated. So is that acceptable?
10	CHAIRMAN CERQUEIRA: Those are legitimate
11	points and I think the minutes and the action items
12	again will identify and we've tried to limit what
13	we, you know, include, certainly for action items and
14	
15	MR. MALMUD: We could get e-mails. If we
16	have a meeting now and the question could be answered
17	next month and the e-mail comes to us indicating this
18	is the response, then it would make it should make
19	life a lot more compatible with the committee and the
20	staff and we'll build on that. I don't think we could
21	expect an overnight change. It's difficult to do that
22	overnight, but let's start out with a few steps.
23	Dave, how do you feel about it? A good beginning?
24	DR. DIAMOND: It's a nice beginning.
25	MR. MALMUD: And we'll build on it. We'll

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1	build on it as quickly as we can.
2	CHAIRMAN CERQUEIRA: That's a good
3	approach. Ruth?
4	MS. McBURNEY: I don't want us to be
5	perceived as trying to micro-manage the staff of the
6	Nuclear Regulatory Commission. That is not our job.
7	Our job is to advise on technical and on issues that
8	deal with regulating the use of byproduct material for
9	medical use. And beyond that, I don't want us to get
10	into the minutiae of the role of the staff of the
11	Nuclear Regulatory Commission.
12	CHAIRMAN CERQUEIRA: That's a good point
13	and Ralph, did you have a comment?
14	MR. LIETO: Yeah, I think that, you know,
15	I don't disagree with any of the statements that have
16	been made before. I think having data by which to act
17	on I think is what we're asking for and maybe with the
18	data we realize this isn't an issue we should worry
19	about. Okay, let's not, you know you know, maybe
20	like that data base. Maybe the events are so few and
21	far between in comparison that it wouldn't need to be
22	a standing item on committees and so forth.
23	But I think what we're trying to find
24	right now is that we're sort of like in a vacuum and
25	we want to make some decisions and we want to make

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1	decisions as to do we need to get involved or should
2	we not be involved and that's what we're looking for
3	is the data to make those decisions.
4	And maybe like Dr. Malmud said is maybe
5	what we should do is come together with our you
6	know, what do we think we should be or what do we
7	want to be asking for and determine as a group is this
8	really the issues that we want to direct the staff to
9	do.
10	CHAIRMAN CERQUEIRA: Good points. Leon?
11	MR. MALMUD: In many ways we have three
12	constituencies. We have the patient first. We have
13	the public second. We have the health care workers
14	third. We seem to be in total agreement as to what is
15	best for the patient. We seem to be in total
16	agreement with respect to minimizing the risks of the
17	public. Where we wind up in a squeeze is when we go
18	back to the community that takes care of the patients,
19	they ask questions of us and they are sometimes
20	startled with the responses and that's not good for
21	the NRC. It's not good for the patient. It's not
22	good for the public and not good for us.
23	So we need to be able to respond to some
24	questions more definitively than we can presently
25	given the amount of given the timeliness of some of

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1	the information given. Is that a fair statement?
2	CHAIRMAN CERQUEIRA: Yes, I think that's
3	a very fair statement and, you know, again, I think
4	you set the priorities, I think, correctly, in terms
5	of what this committee has focused on. All right, any
6	other comments?
7	DR. VETTER: If we could get back to the
8	business of the agenda, I find it a little difficult
9	to deal with a call for agenda items that comes just
10	a couple weeks before the meeting and wonder if we
11	couldn't do something about that, try to get them out
12	earlier. And then if, in fact, we are here to serve
13	the NRC, which I think that's what we're here for,
14	perhaps a little stronger leadership from them in
15	terms of what should be on the agenda, what are they
16	looking for.
17	CHAIRMAN CERQUEIRA: Right, and to get the
18	material out. Some of these items today that you
19	wanted input on, I mean, it was hard for us to see the
20	issue for the first time, to realize how our you
21	know, the people we represent, you know, what
22	approaches they take towards it, so whatever you can
23	get out ahead of time, it will give us more time to be
24	familiar with the issues, to seek some input and so
25	that when we're here, rather than just complaining

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1 that we haven't seen this and we think it's important, we could give you very specific information that I 2 3 think would help solve the issue. 4 DR. NAG: Well, one quick question, we 5 were talking about nuclear fatalities and ACMUI 6 presented something to the Radiation Oncology 7 Committee about a month ago that was wonderful. We 8 were talking about having that presentation here at 9 the ACMUI and it never happened and we are the ones 10 that are going to be on the line if, you know, 11 questions are asked. And I think in the next meeting, 12 we could have a one day and a half meeting, about an 13 hour or so would be devoted to having a speaker here 14 who knows about all the things that are happening at 15 the national level so that we would be kept informed and we can ask questions and they can ask questions of 16 17 us. 18 CHAIRMAN CERQUEIRA: Is that -- again, the 19 presentation this morning that didn't happen I thought

20 was going to address some of those issues and is that something we could reschedule for next time? 21 22 MR. ESSIG: We could. The presentation, 23 I think the one you're referring to is by -- was by 24 Lynn Silvious?

> CHAIRMAN CERQUEIRA: Yes.

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1	MR. ESSIG: I don't know if that would
2	have scratched the itch because she was going to be
3	talking on something related to security matters, but
4	it was primarily adapted from a presentation she made
5	to the staff on appropriately handling the information
6	that has a certain classification level to it. And so
7	
8	CHAIRMAN CERQUEIRA: Yeah, that's
9	MR. ESSIG: that's security. She
10	would not be the right person for that.
11	DR. NAG: No, we're are talking about the
12	team and you know, someone from Oak Ridge gave a
13	wonderful presentation to the radiation oncology
14	community and that really helped because there were
15	many things that we didn't know ourselves. You know,
16	what are the immediate things to be taken care of, at
17	what point, you know, do you have to clear the area
18	and so forth. What are the major signals, what are
19	the things you could look for, so basically a medical
20	emergency that would occur.
21	MR. ESSIG: Yeah, if I could just add, I
22	think what I mentioned this morning about some time
23	prior to the meeting having a conference call where we
24	clarified the agenda items and so that there aren't
25	we know exactly what your expectations are in terms of

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1	well, I'd like to hear from the staff on item X. We
2	have an idea what the scope of the item is and what
3	any sub-issues associated with that so that we could
4	adequately prepare make the right preparations for
5	our presentation and I mean, we don't want to as
б	Fred was pointing out, we have a certain amount of
7	budgeted resources for this activity and we certainly
8	don't want to squander them by preparing some material
9	that isn't of value to the committee and doesn't
10	clarify issues.
11	CHAIRMAN CERQUEIRA: Well, again the value
12	it's more of value to you.
13	MR. ESSIG: Agreed, but it's in many
14	respects, it's mutual. Yes, Jeff?
15	DR. WILLIAMSON: A specific suggestion
16	what we could put on a future agenda would be what
17	Susan Frant was talking about, did she call them
18	provisional or interim security measures? Do you
19	remember, Ralph?
20	MR. ESSIG: They are interim compensatory
21	measures.
22	DR. WILLIAMSON: Yes, interim compensatory
23	measures and I understand some will be on the drawing
24	board soon for medical use of radiation. I'm
25	wondering if the ACMUI could be involved in that

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1	discussion and have an opportunity to give our
2	feedback if only in a closed meeting, perhaps, because
3	of the secure nature of it.
4	MR. ESSIG: It would certainly have to be
5	done in a closed meeting
6	DR. WILLIAMSON: And the classified nature
7	of the material but I think it would be again, we
8	could offer I think a benefit and service to you in
9	trying to discuss from our perspective difficulties
10	for that your proposals might have for continuing
11	with taking care of patients as well as ideas we might
12	have specifically for how to improve security.
13	MR. ESSIG: Well, in fact, that may be an
14	issue that because of timing, again, maybe if we don't
15	discuss it until next April, the possibility is that
16	that may be too late to provide any reasonable
17	feedback and that's where a subcommittee might be of
18	some value.
19	DR. WILLIAMSON: Then I think it would be
20	it's a very important issue. I would suggest we
21	consider having a small sub-group that could present
22	some advice or feedback on behalf of the entire
23	committee.
24	CHAIRMAN CERQUEIRA: Would that be helpful
25	to the yes. Maybe we could do that? We probably

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1	should break now because some people have flights and
2	things.
3	MS. HOBSON: Can I just say one more
4	thing?
5	CHAIRMAN CERQUEIRA: Sure.
6	MS. HOBSON: And this isn't anything that
7	you can kind of formalize, but you know, I would
8	personally be very appreciative if the NRC staff would
9	just keep us in mind when something is going on and
10	I'll give you a good for instance. I mean, we didn't
11	know to ask about the new nuclear materials program,
12	the National Nuclear Materials Program, until we heard
13	about it accidentally. I mean, we were never brought
14	into that process until it was well down the road and
15	I know a few of us would have probably appreciated at
16	least knowing that that was going on and you know, so
17	that we're not, you know, blind sighted by things that
18	come down the pipe.
19	CHAIRMAN CERQUEIRA: Yeah, I think the
20	staff has to sort of appreciate our position that
21	we're representing a community that has a lot of
22	questions and many times, you know, they ask us
23	questions about things that we should have some
24	knowledge about and we find out that things have been
25	going on and we don't know them, you know, don't have

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1	enough information. So it would be useful to sort of
2	keep us updated on some of these things because they
3	do impact on the people we represent and sometimes I
4	think we're embarrassed by not having information.
5	All right, well, I'd like to thank
6	everybody for taking time out of their busy schedules
7	and coming here and I'd like to thank the staff.
8	We've been critical, we're trying to help and if it
9	didn't seem that way, I do apologize, but we are
10	trying to make the process work. Yes, Jeff, last
11	word?
12	DR. WILLIAMSON: Yes, I'm sorry. Is
13	someone going to follow up on the appointment of a
14	sub-group to deal with the security measures?
15	MR. BROWN: Let me just say, that issue
16	has a life of its own driven by the Commission in a
17	different office to whom ACMUI is not an issue. And
18	what I would suggest we do is when the process matures
19	to the point that there is something to talk about
20	that we contact Dr. Cerqueira and have him put
21	together a subcommittee because otherwise you're going
22	to be frustrated if you set a time line and it's not
23	based on anything substantive.
24	MR. ESSIG: Because our office of nuclear
25	security and instant response is the lead and we're

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1	support.
2	DR. WILLIAMSON: I just wanted to make
3	sure that the ball was in some identified court and
4	the owners of the court take responsibility. I'm
5	hearing you say you'll take responsibility for
6	contacting the ACMUI when the time comes.
7	MR. ESSIG: At the right time, yes.
8	CHAIRMAN CERQUEIRA: Thank you.
9	(Whereupon, at 5:09 p.m. the above
10	entitled matter concluded.)
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