1	IN THE SUPREME COURT OF THE UNITED STATES
2	x
3	THOMAS L. CAREY, WARDEN, :
4	Petitioner :
5	v. : No. 05-785
6	MATTHEW MUSLADIN. :
7	x
8	Washington, D.C.
9	Wednesday, October 11, 2006
10	
11	The above-entitled matter came on for oral
12	argument before the Supreme Court of the United States at
13	11:03 a.m.
14	APPEARANCES:
15	GREGORY A. OTT, ESQ., Deputy Attorney General,
16	San Francisco, Cal.; on behalf of the Petitioner.
17	DAVID W. FERMINO, ESQ., San Francisco, Cal.; on behalf
18	of the Respondent.
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1	PROCEEDINGS
2	(11:03 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear argument next
4	in Carey versus Musladin. Mr. Ott.
5	ORAL ARGUMENT OF GREGORY A. OTT
6	ON BEHALF OF THE PETITIONER
7	MR. OTT: Mr. Chief Justice, and may it please the
8	Court:
9	This Court has never addressed the
10	constitutionality of photo buttons worn by spectators
11	during a criminal trial. The two closest decisions of
12	this Court, Estelle v. Williams and Holbrook v. Flynn
13	established only a general rule that some courtroom
14	practices may be so inherently prejudicial that they
15	violate the defendant's right to a fair trial. Neither
16	Flynn nor Williams
17	JUSTICE SOUTER: Well, it went a little bit beyond
18	that. I mean, the Justice Marshall announced not
19	merely the possibility of inherent prejudice, but he
20	spoke in terms of practices that raised a risk that
21	improper factors would come into play in the jury
22	decision. Isn't that the criterion?
23	MR. OTT: An unacceptable risk, Your Honor.
24	JUSTICE SOUTER: That's the criterion.
25	MR. OTT: Well, the test has been formulated

- 1 different ways --
- 2 JUSTICE SOUTER: That's the way he formulated it.
- 3 That's the way the Court in Flynn formulated it.
- 4 MR. OTT: In Flynn, it did, but it also, just a
- 5 paragraph or so earlier said that the only question we
- 6 need to answer is whether this practice, and there the
- 7 courtroom uniformed guards, is so inherently prejudicial
- 8 that it violates the defendant's right to a fair trial.
- 9 We don't believe that those are material --
- 10 JUSTICE SOUTER: That was the end point that they
- 11 were reaching, and then he elaborated on that by
- 12 referring to the unacceptable risk that improper
- 13 considerations would come into play. And it seems to me
- 14 that if you're going to talk about the criterion of the
- 15 test or the standard, however you want to describe it in
- 16 Flynn, you've got to get that latter point about
- 17 unacceptable risk of improper factors.
- 18 MR. OTT: That certainly was a formulation of the
- 19 test. It's been -- we can accept it as the formulation
- 20 of the test. And it was accepted by the California
- 21 courts below. They attempted to apply that test. They
- 22 announced the proper -- the correct clearly established
- 23 law of this Court, and then proceeded to analyze the
- 24 issue.
- 25 Below, however, on Federal habeas review, the

- 1 circuit court of appeals used its own circuit case to
- 2 define clearly established law under AEDPA. Instead of
- 3 assessing the State court's application of the general
- 4 rule, the circuit court narrowed this Court's general
- 5 rule into one that specifically condemned buttons.
- 6 Instead of granting the State court wide leeway to
- 7 apply this Court's general rule, it -- the circuit court
- 8 created a narrow rule that would seemingly prohibit
- 9 buttons in any case.
- 10 JUSTICE KENNEDY: Well, I suppose if the court of
- 11 appeals had case A, and it said, we interpret the Supreme
- 12 Court rule to be as follows, it could then later say in
- 13 case B, this is how we've interpreted the Supreme Court
- 14 rule, and we're bound by case A. This is the elaboration
- 15 we've given to it. And we have to find that the State
- 16 court, of course, isn't bound by what we do, but we're
- 17 bound by what we do when we review what the State court
- 18 has decided.
- 19 MR. OTT: Well, Your Honor makes a distinction
- 20 between a post-AEDPA case and pre-AEDPA cases. In a
- 21 post-AEDPA setting, if the circuit court of appeals
- 22 is looking at its own post-AEDPA case -- post A-E-D-P-A,
- 23 AEDPA case which has said that this set of facts
- 24 constitutes an unreasonable application of clearly
- 25 established law.

- 1 We don't disagree that stare decisis might come
- 2 into play there. It doesn't mean that that first
- decision was correct, but we don't -- what happened here
- 4 in contrast was a pre-AEDPA decision that was used to
- 5 define the clearly established law of this Court, give it
- 6 more detail such that the circumstances here fell outside
- 7 of it.
- 8 JUSTICE SCALIA: To apply an opinion of this Court
- 9 to particular circumstances, and find that in the view of
- 10 the court of appeals, it produces a certain result is not
- 11 necessarily to say that that is clearly established
- 12 Supreme Court law. It just means that it is their best
- 13 guess as to how it comes out, right?
- MR. OTT: That's correct.
- 15 JUSTICE SCALIA: I mean, they're forced to decide
- 16 it one way or the other, the Supreme Court opinion either
- 17 means this or that. They're not applying a clearly
- 18 established test to the Supreme Court, are they?
- 19 MR. OTT: Not by doing that. However, the circuit
- 20 court of appeals here expressly stated it was looking to
- 21 its own circuit authority to define the law that is
- 22 clearly established. It specifically stated that this
- 23 case, that the State's decision was unreasonable in light
- 24 of Norris. It specifically stated that the State court's
- 25 decision could not reasonably be distinguished from

- 1 Norris.
- 2 CHIEF JUSTICE ROBERTS: We're looking under AEDPA
- 3 at an unreasonable application of Supreme Court law.
- 4 What do you do in a situation where you think the State
- 5 court has incorrectly articulated Supreme Court law, but
- 6 nonetheless reached the correct result? In other words,
- 7 correct understanding of the established Supreme Court
- 8 law would have led to the same result as their incorrect
- 9 articulation of it.
- 10 MR. OTT: Mr. Chief Justice, at first, the -- the
- 11 first thing to do would be to look at the fair import, as
- 12 this Court stated in Woodford v. Visciotti. Look at the
- 13 fair import of the decision.
- Now, I don't know if you are referring to the
- 15 issue about the arguable misarticulation of the test at
- 16 the end of the State court's decision here, but the first
- 17 question is to look at the fair import. And if the fair
- 18 import is that the correct test was applied, then habeas
- 19 relief does not lie.
- 20 CHIEF JUSTICE ROBERTS: Right. My hypothetical,
- 21 and we'll debate later whether it is this case or not, is
- 22 let's say that the State court wrongly articulates
- 23 Supreme Court law. But under the correct articulation,
- 24 it leads to the same result. What happens in that case
- 25 under AEDPA?

- 1 MR. OTT: I believe that the habeas relief should
- 2 not lie. Now, I have seen circuit courts treat it
- 3 different ways. Some courts will decline to give
- 4 deference and review it de novo, but I don't think
- 5 Congress intended, in enacting AEDPA, the A-E-D-P-A, that
- 6 a State habeas -- a State conviction should be overturned
- 7 simply because of an accident in a statement or
- 8 formulation of the test, but the conviction is otherwise
- 9 constitutionally balanced --
- 10 JUSTICE STEVENS: Aren't you saying the answer to
- 11 the Chief's question is that you would then review
- 12 it de novo. But on de novo review, you would sustain
- 13 the conviction if it came to the right result.
- 14 MR. OTT: Yes. I believe so. If I understood the
- 15 correction -- the question correctly, yes.
- 16 JUSTICE STEVENS: You would not affirm -- you
- 17 would not sustain the conviction relying on AEDPA. You
- 18 would say AEDPA authorizes review, but on review, we
- 19 conclude the conviction was correct. That's what I
- 20 understand the hypothetical to be.
- 21 MR. OTT: Yes, with the caveat that we're assuming
- 22 that the hypothetical is that the State court has
- 23 misapplied, that the fair import has -- they have
- 24 misapplied the holdings of this Court.
- 25 JUSTICE STEVENS: Correct.

1	CHIEF	JUSTICE	ROBERTS:	Ιt	misarticulated	them.	I

- 2 guess the question of application is -- I mean, I assumed
- 3 they reached what we would regard as the correct result
- 4 under the correct standard, they just articulated the
- 5 wrong standard.
- 6 Your answer, I take it, is that it would then be
- 7 reviewed without AEDPA deference?
- 8 MR. OTT: No, Your Honor. Then I misunderstood
- 9 the question. The deference would still apply if you
- 10 could look at the decision as a whole and see that the
- 11 correct standard was applied. If they have erroneously
- 12 stated the standard -- if the State court erroneously
- 13 stated the standard, but you can look to the decision as
- 14 a whole, and see that the correct standard was
- 15 nevertheless applied, deference is still due.
- 16 JUSTICE STEVENS: But why do you need deference?
- JUSTICE GINSBURG: We're concerned here with the
- 18 court of -- the role, if any, that a circuit court, that
- 19 opinions of courts other than this Court have in
- 20 determining whether law is clearly established.
- 21 Do you exclude entirely from the province of what
- 22 is proper for the Federal court to consider any court of
- 23 appeals, Federal court of appeals decisions?
- MR. OTT: Yes, we do, Your Honor.
- 25 JUSTICE GINSBURG: So that the only thing -- your

- 1 argument is the only thing that is proper to look to are
- 2 decisions of this Court, and that if you don't have a
- 3 case on all fours, as we have no buttons case, then
- 4 that's the end of it?
- 5 MR. OTT: No, Your Honor. We -- our position is
- 6 that a Federal habeas court may not look at all to State
- 7 or circuit authority on the question of what is clearly
- 8 established, only the holdings of this Court, and what
- 9 appears on their face.
- 10 If there's a general rule, such as here, the
- 11 question moves to the reasonable application prong.
- 12 And under that prong, because the rule is general, as
- 13 this Court stated in Yarborough versus Alvarado, the more
- 14 general the rule, the more leeway there is. Relief can
- 15 still lie under certain circumstances, but it's -- it
- 16 moves into a question of objective reasonableness of the
- 17 State court's decision.
- 18 JUSTICE KENNEDY: Suppose all of the -- suppose
- 19 there are five circuits. They're the only ones that
- 20 looked at the issue. And they all say, we think the
- 21 general rule of the Supreme Court is as follows, isn't
- 22 that entitled to some weight? You're not supposed to
- 23 cite that when you go to the Sixth Circuit court or you
- 24 go to the State court?
- 25 MR. OTT: If Your Honor is speaking only to the

- 1 clearly established prong, my answer would be no. If a
- 2 circuit court says Jackson v. Virginia is clearly
- 3 established law on the sufficiency of the evidence, we
- 4 have no dispute with that. But to redefine or shape this
- 5 Court's holdings beyond the face of those holdings, our
- 6 position is that cannot be done with State or circuit
- 7 law.
- 8 Circuit law and State law may be relevant to the
- 9 question of reasonable application, but not on the first
- 10 prong. If a Federal habeas court looks to circuit or
- 11 State authority on the first prong of 2254(d)(1), the
- 12 reasonableness becomes a foregone conclusion. The
- 13 two -- the two sections of the statute collapse into what
- 14 is essentially de novo review, as what happened here.
- 15 Once, for instance, the habeas court here decided that
- 16 its own circuit authority required -- or prohibited
- 17 buttons, reasonableness was a foregone conclusion, even
- 18 though it was addressed by the circuit.
- 19 But in further response to your question, Your
- 20 Honor, our position is that on the reasonable application
- 21 prong, a Federal habeas court may look to State and
- 22 circuit cases. They are of varying relevance, but they
- 23 should look to State and Federal circuit cases equally,
- 24 but not all those cases have the same relevance. We have
- 25 -- there is a distinction between pre-AEDPA and

- 1 post-AEDPA cases, and the distinction between whether
- 2 those cases support or contradict the State court's
- 3 opinion.
- 4 JUSTICE GINSBURG: So would there be any
- 5 difference if this had been a post-AEDPA -- if the
- 6 circuit precedent had been post-AEDPA.
- 7 MR. OTT: There would be a difference, Your Honor.
- 8 The -- depending on the prong we're looking at, under --
- 9 our argument would still be the same under -- on the
- 10 clearly established prong of 2254(d)(1), that even if
- 11 Norris was a post-AEDPA case, that the circuit court
- 12 could not look to Norris to define this Court's holdings.
- But Norris, if it were a post-AEDPA case would
- 14 have more relevance on the reasonable application prong.
- 15 There, stare decisis might come into play. It doesn't
- 16 mean Norris is correct. It doesn't mean that the result
- 17 reached by the circuit court of appeals in this case
- 18 would be correct, but it would certainly be more
- 19 relevant.
- 20 JUSTICE KENNEDY: Can you tell us -- let's assume
- 21 for a minute that this case were on direct review, that
- 22 we don't have AEDPA. What is the standard that should
- 23 control? Whether there is an impermissible -- an
- 24 unacceptable risk that impermissible factors will be
- 25 taken into account by the jury? Is that the test?

- 1 MR. OTT: That is a test, the test, one of the
- 2 formulations of it. I don't believe it materially
- 3 differs from -- our position is it doesn't materially
- 4 differ from the general due process, fair trial standard
- 5 that applies in all cases.
- 6 JUSTICE KENNEDY: Well, but you should make it
- 7 more specific for us. You say general due process. How
- 8 does that work in this case? I want to know whether or
- 9 not I can order or must order someone to remove a sign, a
- 10 button, a piece of clothing. What's the test that I use?
- 11 MR. OTT: Your Honor, it is an assessment of all
- 12 the circumstances, that if you're a trial judge --
- JUSTICE KENNEDY: That -- unless you want to go
- on, that doesn't help me. We just tell all the judges in
- 15 the country to assess all the circumstances, we say no
- 16 more?
- 17 MR. OTT: No, Your Honor. Let's take the
- 18 impermissible factor test. The State court judge should
- 19 look at the circumstances before him and determine
- 20 whether he believes that there is an unacceptable risk of
- 21 impermissible factors coming into play.
- Whether the practice at issue, whether it be
- 23 buttons or ribbons or what have you, is so likely to
- 24 prejudice this defendant or violate or infringe on his
- 25 fundamental rights that we need to order them removed.

- 1 Not just as a matter of supervisory power, but as a
- 2 constitutional requirement.
- 3 So it is a spectrum test, Your Honor. And it's
- 4 essentially a totality test of the circumstances of the
- 5 buttons, let's say, and there can't be a bright line
- 6 rule. The circumstances --
- 7 JUSTICE BREYER: Why couldn't there be here? I
- 8 mean, at some point, at some point, seeing every judge in
- 9 this case say this is a thoroughly -- no, let me not
- 10 exaggerate. But they say wearing buttons is a bad idea.
- 11 For obvious reasons.
- Now, at some point, if enough judges say that,
- 13 each time they say, well, it is a bad idea, but we can't
- 14 say in this case that it was so prejudicial, there's that
- 15 inherent risk that it's unconstitutional. But if some
- 16 point, if people begin enough is enough to say, this is
- 17 quite a bad idea to have buttons being worn in a
- 18 courtroom, which is not a place for demonstration, does
- 19 it not become pretty clear, irrespective of exactly what
- 20 opinions say what, that this is just very unfair and
- 21 unconstitutional?
- 22 MR. OTT: Your Honor, my answer is no. As a
- 23 supervisory matter, a State court can do whatever it
- 24 wishes. Under the State constitution, State statutes,
- 25 State rules of court, can do many things under its

- 1 supervisory power or even State constitutional power.
- 2 That is different altogether, however, from saying
- 3 that all buttons violate the Constitution, which is
- 4 different in turn from saying all buttons require habeas
- 5 relief.
- 6 JUSTICE KENNEDY: What about banners? What would
- 7 you do with banners?
- 8 MR. OTT: I beg your pardon?
- 9 JUSTICE KENNEDY: What would you do with banners?
- 10 Would it make sense to say all banners are banned from
- 11 the courtroom? I thought you would think that would make
- 12 a lot of sense.
- 13 MR. OTT: Banners?
- 14 JUSTICE KENNEDY: Yes. Signs, placards.
- 15 MR. OTT: Your Honor, I haven't seen a case
- 16 involving banners. I imagine that --
- 17 JUSTICE KENNEDY: I think I know why. Because it
- 18 affects the atmospherics of the trial.
- 19 MR. OTT: And likewise, we don't see all the
- 20 button cases where the buttons have been precluded.
- 21 JUSTICE SCALIA: Well, you also don't allow people
- 22 to come into most courtrooms in tank shirts, and we don't
- 23 allow people to, you know, to wear beany hats.
- 24 Everything that is inappropriate for a courtroom is not
- 25 necessarily inappropriate because it would prejudice the

- 1 trial; isn't that right?
- 2 MR. OTT: That's correct, Your Honor.
- 3 JUSTICE SCALIA: Maybe that's why we don't allow
- 4 banners, because a courtroom is not the place for
- 5 banners.
- 6 MR. OTT: That's correct, Your Honor. Decorum
- 7 should not be confused with --
- 8 JUSTICE BREYER: Absolutely right. Suppose you
- 9 think in this Federal court, which we are, that banners,
- 10 posters, and buttons are a thoroughly bad idea.
- 11 Now, why? Not just because of decorum. But
- 12 because they introduce an extraneous factor into the
- 13 judgment of the jury.
- 14 And suppose I also think -- I'm not saying I do,
- 15 I'm trying this out -- but it is pretty hard to draw
- 16 lines among buttons. It is pretty hard to draw lines
- 17 among banners. And the only way to guarantee fair trials
- 18 in whole -- is to have a wholesale rule on this. No
- 19 buttons, no banners, no petitions, no posters.
- 20 How would you explain -- you just say the law
- 21 just doesn't permit that.
- 22 MR. OTT: Well, Your Honor --
- JUSTICE BREYER: What do you want to say about
- 24 that? Because that is a concern I have.
- 25 MR. OTT: I understand, Your Honor. And this

- 1 Court obviously has the power to enact a prophylactic
- 2 rule that -- but a prophylactic rule covers many
- 3 unconstitutional as well as constitutional practices.
- 4 And that a prophylactic rule requires -- the prophylactic
- 5 rule that might be enacted would require preclusion of
- 6 buttons does not mean that all the buttons that might
- 7 come up are necessarily prejudicial.
- 8 JUSTICE KENNEDY: I'm not so sure. You think that
- 9 we could just say we're going to exercise our best
- 10 judgment, not necessarily amend the Constitution, just
- 11 because it is a good idea, banners and buttons are hereby
- 12 banned forever? Do we have the authority to just say
- 13 that?
- MR. OTT: Well, Your Honor, in this case, this
- 15 case has -- this Court granted certiorari on the question
- 16 of application of the AEDPA. So we are not asking --
- 17 certainly not asking for that.
- 18 JUSTICE KENNEDY: We're exploring initially what
- 19 the rule ought to be.
- 20 JUSTICE STEVENS: May I ask this question?
- 21 Supposing we all thought that this practice in this
- 22 particular case deprived the defendant of a fair trial,
- 23 but we also agreed with you that AEDPA prevents us from
- 24 announcing such a judgment. What if we wrote an opinion
- 25 saying it is perfectly clear there was a constitutional

- 1 violation here, but Congress has taken away our power to
- 2 reverse it.
- Then a year from now, the same case arises. Could
- 4 we follow -- could the district court follow our dicta or
- 5 could it -- would it be constrained to say we don't know
- 6 what the Supreme Court might do?
- 7 MR. OTT: It could not follow this Court's dicta
- 8 under this Court's statement in Williams v. Taylor that
- 9 only the holdings, not the dicta, of this Court establish
- 10 clearly -- clearly establish Supreme Court authority.
- I believe that the rule, if there's going to be
- 12 one, should be the rule that was applied here. A general
- 13 rule of fundamental fairness considering the totality of
- 14 the circumstances before the trial court. I think the
- 15 rule works. And it worked in this case.
- 16 CHIEF JUSTICE ROBERTS: You don't need to
- 17 establish that rule, do you? You just need to establish
- 18 that what the Supreme Court determined was not an
- 19 unreasonable application of this Court's law?
- 20 MR. OTT: That's correct, Mr. Chief Justice.
- 21 We're not asking for a new rule applicable to buttons.
- 22 The reason we're here is because of the circuit court's
- 23 method in addressing this case and granting habeas
- 24 relief.
- 25 JUSTICE SOUTER: What if the button had said --

- 1 the three buttons had said "Hang Musladin," would you say
- 2 that there was not -- there was not sufficiently clear
- 3 law from this Court to find that practice
- 4 unconstitutional under Justice Marshall's formulation?
- 5 MR. OTT: Your Honor, it wouldn't change the
- 6 clearly established prong. We still have the general
- 7 rule, but I think that your instance is one that all
- 8 judges would agree is so egregious that it falls within
- 9 the ambit of that, and would require habeas relief.
- 10 JUSTICE KENNEDY: Falls within the ambit of what?
- 11 Of a mob-dominated atmosphere or -- your answer to
- 12 Justice Souter was AEDPA would -- was that this would
- 13 require reversal even under AEDPA; is that your answer?
- MR. OTT: I can concede that, yes, Your Honor,
- 15 that --
- 16 JUSTICE SOUTER: We both want to know why you say
- 17 that.
- 18 MR. OTT: Well, the question is objective
- 19 reasonableness. And we don't dispute that some
- 20 circumstances may present such a situation that no one,
- 21 no judge is going to disagree that the situation, at the
- 22 State court, if it denied the relief on the three buttons
- 23 you posed was unreasonable.
- 24 JUSTICE SOUTER: Okay, but what are the --
- 25 getting into the formulation, what are the impermissible

- 1 factors as to which a risk is raised by wearing the "Hang
- 2 Musladin" button? What are those factors?
- 3 MR. OTT: The "Hang Musladin" button, the
- 4 impermissible factor first is the explicit message.
- 5 "Hang Musladin." "Convict him." It's urging the jury to
- 6 convict him and that --
- JUSTICE SOUTER: Well, what's wrong with that?
- 8 The prosecutor is going to get up and urge the jury to
- 9 convict him. What is wrong with it on the button? What
- 10 risk does the button raise that the prosecutor's argument
- 11 does not? That's what we're getting at.
- 12 MR. OTT: It is an outside influence, Your Honor.
- 13 It is an influence coming from --
- 14 CHIEF JUSTICE ROBERTS: How different is it from
- 15 the victim's family sitting in the second row behind the
- 16 prosecution every day of the trial? And I mean, I'm --
- 17 the hypothetical correctly focuses on the question, at
- 18 least for me, of whether or not you can have specific
- 19 applications of general rules that are clearly
- 20 established. I'm just not sure your agreement with it is
- 21 advisable because it seems to me that simply having -- I
- 22 mean, how many people have to wear these buttons? If one
- 23 person shows up with a "Hang Musladin" button, does that
- 24 mean it is a mob-dominated trial?
- MR. OTT: No, Your Honor. My -- what I -- the

- 1 point I meant to make was that we're not urging that
- 2 relief can never lie because there's a general rule of
- 3 application.
- 4 CHIEF JUSTICE ROBERTS: All right.
- 5 MR. OTT: It's a spectrum. And I would -- I'm not
- 6 conceding that the example necessarily requires habeas
- 7 relief, because there are a whole host of circumstances
- 8 that we wouldn't know about it, for instance, whether it
- 9 was ever seen, in cases that people don't see the button,
- 10 or what have you.
- 11 JUSTICE SOUTER: Well, let's assume simply the facts
- 12 that we have in this case, which I thought I was doing,
- 13 maybe I wasn't clear about it, but the button is different.
- 14 Instead of putting a picture of the victim, it's got the
- 15 statement, "Hang Musladin." It's worn every day by three
- 16 members of his family who sit behind the prosecution
- 17 table within the sight of the jury. Assume those facts.
- 18 Would habeas relief be required under the
- 19 general rule?
- 20 MR. OTT: I don't think it would be required. I
- 21 think it would be reasonable to say that habeas relief
- 22 must lie. There are many -- there are much fewer
- 23 inferences that could be drawn there.
- JUSTICE SOUTER: Isn't that a way of saying that it's
- 25 required? Should -- look, should a court grant habeas

- 1 relief on my facts?
- 2 MR. OTT: Not necessarily, Your Honor. It --
- 3 there are --
- 4 JUSTICE SOUTER: Why?
- 5 MR. OTT: Well, as Mr. Chief Justice pointed out,
- 6 three family members of the victim sitting in the front
- 7 row, buttons or not, the buttons don't add -- add little,
- 8 if anything, to the three victim's family members sitting
- 9 there grieving through a trial. They add very little,
- 10 for instance, in this case --
- 11 JUSTICE SOUTER: I don't know whether they are
- 12 grieving or not, but I certainly know the sentiment that
- 13 they are trying to convey to the jury if they wear a
- 14 button that says "Hang Musladin."
- 15 MR. OTT: Your Honor, I submit that the sentiment
- 16 is obvious to the jury.
- 17 JUSTICE SOUTER: Pardon?
- 18 MR. OTT: I would submit that that sentiment is
- 19 obvious to the jury, that a juror --
- JUSTICE SOUTER: They may not want him hung. They
- 21 may not believe in the death penalty.
- JUSTICE SCALIA: I wish you hadn't said that.
- 23 Because I had thought that one of the things that made
- 24 this case leaning in your direction is the fact that
- 25 merely having a picture of their loved one on the button

- doesn't convey the message, you know, hang the defendant,
- 2 or even convict the defendant. It just conveys, at most,
- 3 to the jury, you know, this is -- we have been deprived
- 4 of someone we love, you should take this matter very
- 5 seriously and consider the case carefully. It is an
- 6 important matter to us. And therefore, you ought to
- 7 deliberate carefully. I don't know that it means
- 8 anything more than that.
- 9 MR. OTT: Your Honor, I did not intend at all to
- 10 suggest that that was a message from those buttons. What
- 11 I meant to say was the buttons add very little. Because
- 12 I think a juror understands what a --
- 13 JUSTICE SCALIA: You said, you know, convict
- 14 what's -- or hang What's His Name. That's quite --
- 15 you're equating that with the buttons in this case. And
- 16 I don't think the buttons in this case say hang so and
- 17 so, or even convict so and so. They just say we have
- 18 been deprived of a loved one. This is a terrible matter.
- 19 Please, jury, consider this case carefully. That's all
- 20 it necessarily says.
- 21 MR. OTT: That's, if any message, what the buttons
- 22 conveyed in this case. I was only speaking to the
- 23 difference between the buttons that Justice Souter posed
- 24 as putting forth a more explicit message.
- 25 JUSTICE SOUTER: Okay, assuming that explicit

- 1 message, should habeas relief be granted in my
- 2 hypothetical case?
- MR. OTT: Not necessarily, Your Honor.
- 4 JUSTICE SOUTER: Why?
- 5 MR. OTT: Because in your case, I don't think that
- 6 that message necessarily -- I think it is reasonable for
- 7 a State court to conclude that those buttons did not add
- 8 much to, if anything, to the presence of --
- 9 JUSTICE SOUTER: Is it reasonable for a State
- 10 court to say that three family members sitting in a
- 11 courtroom within sight of the jury for whatever number of
- 12 days the trial went on, saying at the guilt stage, hang so
- 13 and so, is exposing the jury to a proper influence, that
- it should, and may consider in deciding guilt or
- 15 innocence?
- 16 MR. OTT: Your Honor, we could concede that for
- 17 this case.
- 18 JUSTICE SOUTER: Okay. Don't you concede that
- 19 of course that would be exposing the jury to an improper
- 20 influence, in the "Hang Musladin" case.
- 21 JUSTICE SCALIA: I thought some states require
- 22 that the relatives of the victim be allowed to make their
- 23 case to the jury for harsh penalty. I don't know that
- 24 that's necessarily inappropriate to know that the --
- 25 JUSTICE STEVENS: That's at sentencing after

- 1 conviction.
- JUSTICE SCALIA: Yes, yes.
- JUSTICE SOUTER: My hypo is at the guilt stage,
- 4 not the sentencing stage.
- 5 MR. OTT: At the guilt stage, that's right.
- 6 California statutes do require that victims' families be
- 7 able to make a statement at sentencing. They also
- 8 require that the victim's family, if the victim is not
- 9 alive, be present at the guilt phase of the trial, during
- 10 the guilt phase of the trial.
- 11 JUSTICE SOUTER: But at the guilt stage, is there
- 12 any, is there any question in your mind that allowing the
- 13 family members to display this message to a jury
- 14 throughout the trial at the guilt stage is raising a
- 15 risk, an unacceptable risk, that the jury will consider
- 16 improper influences in reaching its verdict?
- 17 Is there any question?
- 18 MR. OTT: Your Honor, your -- your buttons might
- 19 raise an impermissible risk.
- JUSTICE SOUTER: That's my hypothetical. My
- 21 buttons, "Hang Musladin," is there any question about the
- 22 risk of improper influence on my hypothetical? Not this
- 23 case, my hypothetical.
- MR. OTT: They do, but it might still be
- 25 reasonable for a State court to conclude otherwise. And

- 1 it was certainly reasonable for the State court here to
- 2 conclude that three simple buttons bearing only a photo
- 3 did not convey any message of blame, guilt, anything
- 4 other than grief of this family.
- If I may reserve the rest of my time for rebuttal.
- 6 CHIEF JUSTICE ROBERTS: Thank you, Mr. Ott.
- 7 MR. OTT: Thank you.
- 8 CHIEF JUSTICE ROBERTS: Mr. Fermino.
- 9 ORAL ARGUMENT OF DAVID W. FERMINO
- 10 ON BEHALF OF THE RESPONDENT
- 11 MR. FERMINO: Mr. Chief Justice, may it please the
- 12 Court:
- 13 I want to direct this Court's attention to the
- 14 State court opinion which appears at 55a to 78a of the
- 15 appendix to the petition for writ of certiorari in this
- 16 case. I want Your Honors to take a look at that opinion.
- 17 It is 25 pages in length, but the portion of the opinion
- 18 dealing with the buttons issue is two pages in length.
- 19 Of those two pages, all but a few sentences deal
- 20 directly with the Norris case. I believe it is at
- 21 roughly page 72a in their -- 73a of the appendix. All
- 22 but three sentences deal with the Norris case.
- 23 The Attorney General has said in its briefing that
- 24 the court below teased out the particular reference to
- 25 the buttons, that it carefully parsed the opinion, that

- 1 it gave a tendentious analysis. This is the description
- 2 of the Attorney General. Nothing could be further from
- 3 accuracy.
- 4 These two pages discuss Norris head on. It is the
- 5 elephant in the room, if you will. The court below could
- 6 not have -- it would have been impossible for the court
- 7 below to write this opinion without addressing the Norris
- 8 case head on.
- 9 JUSTICE BREYER: I thought the key sentence in
- 10 this is he says the simple photograph of Tom Studer on a
- 11 button which -- I don't know what the size is. Nobody
- 12 has told them what the button is about. Nobody has put
- 13 for the judge a picture of it. Nobody showed him what
- 14 the button is. So he says a simple photograph of Tom
- 15 Studer was unlikely to have been taken as anything other
- 16 than the normal grief occasioned by the loss of a family
- 17 member. Period. Now, what else is there to say? That's
- 18 the court's conclusion.
- 19 And it is pretty hard for me -- I looked for the
- 20 button. I couldn't even find the button in the record.
- 21 I didn't even know what this looks like. It is a button,
- 22 somebody later must have said two inches to four inches.
- 23 I don't know who said that. I don't know how the judge
- 24 could have known that. The button isn't in the record.
- 25 So why isn't it just a normal sign of grief unlikely to

- 1 influence anybody? That's what they say.
- 2 MR. FERMINO: Justice Breyer, I think that the --
- JUSTICE BREYER: In this case.
- 4 MR. FERMINO: That's correct. And I think that
- 5 the court -- it is correct that the record before the
- 6 State court of appeals was inadequate to address -- to
- 7 answer the question. But I think what -- where the court
- 8 erred is in adding and grafting on an additional element.
- 9 It goes beyond that sentence that, Justice Breyer, you
- 10 focused on. I think it is that the -- it is the element
- 11 of branding. It's that this wearing of the buttons in a
- 12 sense branded the defendant in the eyes of the jurors.
- JUSTICE BREYER: It goes on frequently in an
- 14 opinion. I have been known to do that myself. And I say
- 15 this court over here says it's a da-da-da, and I say
- 16 "sure isn't that." Well, what is it?
- 17 JUSTICE GINSBURG: And that language came from one
- 18 of our opinions, didn't it? The branding language?
- 19 JUSTICE KENNEDY: That was quoting Holbrook and
- 20 Flynn.
- 21 MR. FERMINO: That's correct, Justice Ginsburg.
- 22 That's right.
- JUSTICE GINSBURG: So you can't fault the court
- 24 for just saying it isn't that. Mr. Ott says it isn't
- 25 that.

- 1 MR. FERMINO: That's correct. But I believe that
- 2 it is not part of the test. It was that the branding
- 3 language, as in Justice Brennan's -- in Justice Brennan's
- 4 dissent was not part of the test articulated by --
- 5 JUSTICE SCALIA: Repeated later in opinions for
- 6 the majority, I think.
- 7 MR. FERMINO: That's correct.
- 8 JUSTICE SCALIA: In later cases, so I mean --
- 9 MR. FERMINO: That's correct.
- 10 JUSTICE SCALIA: Don't just put it in Brennan's
- 11 dissent.
- 12 CHIEF JUSTICE ROBERTS: I don't understand your
- 13 point about the State court focusing on Norris. The
- 14 question under AEDPA is still whether or not it is an
- 15 unreasonable application of Supreme Court law.
- 16 MR. FERMINO: Well, in this instance, much has
- 17 been said about the opinion and the carefully written
- 18 opinion of the State court. But the portion of the
- 19 opinion that focuses on this issue is, as I said, roughly
- 20 two pages in length and deals almost entirely with
- 21 Norris. Norris was the contrast case for the court of
- 22 appeals.
- JUSTICE GINSBURG: But in here it -- you agree
- 24 that the California court has as much authority to say
- 25 what Federal law is as the Ninth Circuit, right? They

- 1 are on a par. Ninth Circuit decisions in no way binds
- 2 the Supreme Court of California. Isn't that so?
- 3 MR. FERMINO: That is correct.
- 4 JUSTICE GINSBURG: So that this State court of
- 5 appeals chose to be respectful to the Ninth Circuit to
- 6 consider what it had said, doesn't sound to me like a
- 7 very strong argument.
- 8 MR. FERMINO: Well, Justice Ginsburg, I would
- 9 respectfully disagree. I think that the -- were this
- 10 discussion of Norris to be a much longer discussion -- or
- 11 excuse me, part of a much longer discussion, that might
- 12 be true. But its entire focus was Norris. It used
- 13 Norris by way of negative explication to show that the
- 14 facts before it didn't fall within the rule as derived
- 15 from Williams and Flynn. And I think that goes beyond
- 16 respect to the Ninth Circuit.
- I think it took the case, it grappled with it, it
- 18 decided that it was different than Norris. And I think
- 19 that there would have been no way for the court below to
- 20 have looked at the facts of this case without addressing
- 21 Norris --
- 22 JUSTICE BREYER: What, what was the -- what in
- 23 your opinion -- this is why -- as you can see, I'm
- 24 concerned about buttons. I think they're probably a
- 25 problem. I think all judges are concerned about them.

- 1 But then I think about this particular case. And I look
- 2 at that single sentence: "It was unlikely to be taken as
- 3 a sign of anything other than normal grief."
- I mean, suppose this had been a different case.
- 5 Suppose the defense in this case was the defendant Smith
- 6 didn't pull the trigger. It was an unknown person called
- 7 Jones. Then if I were on the jury, I would look out, see
- 8 the buttons, and I'd say, hmmm, the family thinks it was
- 9 Smith. Otherwise they wouldn't be here with those
- 10 buttons. I could think that.
- 11 But this isn't that case. This is a case where
- 12 everyone thinks your client pulled the trigger. The only
- 13 question is whether the family's son came at him with a
- 14 machete. So when I look at the buttons, I'd think sure,
- 15 they don't think the son came at him with -- I mean, they
- 16 don't think that. He's their son. What would you expect
- 17 them to think?
- 18 So that's why I thought that they are saying that
- 19 sentence, in this case. In this case, it would be taken
- 20 as sign of grief and nothing more.
- 21 MR. FERMINO: Well, Justice Breyer, that is
- 22 certainly a plausible reading of the State court opinion.
- 23 However, I think you've also identified one -- the
- 24 problem with this. It is the risk, not the reality. And
- 25 that's why we have to look beyond the facts of this case

- 1 and look to the rule as derived from the Williams and
- 2 Flynn case, as I think the court below properly did.
- And in doing so, in applying it to this case, I
- 4 think you have to do away with this kind of courtroom
- 5 behavior. It is simply not acceptable. It is not
- 6 acceptable to wear --
- 7 CHIEF JUSTICE ROBERTS: To wear any buttons? It
- 8 says, "Fair Trial."
- 9 MR. FERMINO: Any courtroom practice that causes
- 10 an impermissible risk that the jury's -- that the jury
- 11 would come to a conclusion based on a factor not
- 12 introduced at trial is entirely prejudicial --
- 13 CHIEF JUSTICE ROBERTS: What would the buttons add?
- 14 I mean, most -- I don't think -- a typical jury will
- 15 understand that the victim is going to have a family, and
- 16 they're going to be sorry that he's dead, and they might be
- 17 there at his trial. And they may not like the person accused
- 18 of murdering their son. That is not -- that is sort of like
- 19 in every case. That's not -- the buttons don't seem to add
- 20 much to what the jury will derive from seeing the family
- 21 seated behind the prosecution bench.
- 22 MR. FERMINO: I agree with Mr. Chief Justice up
- 23 unto the point of it's not different wearing the buttons.
- 24 I think that you add the buttons, and you are creating --
- 25 you are doing essentially what the rule derived from both

- 1 Williams and Flynn teaches us is wrong.
- 2 JUSTICE GINSBURG: But in Williams and Flynn and
- 3 all of the cases that we have had, whatever way they
- 4 went, it was always the Government requiring a defendant
- 5 to do something, wear prison clothes, appear in court
- 6 with shackles. And in the case that went for the
- 7 Government, the extra officers in the courtroom.
- 8 We haven't had a case, have we, where it is
- 9 spectator conduct as opposed to Government conduct that's
- 10 being attacked?
- 11 MR. FERMINO: That is correct, Justice Ginsburg.
- 12 There isn't a case that is, that -- where the State
- 13 action element, if you will, is not present. However, I
- 14 would posit that in this case, where you have a judge, a
- 15 trial judge who denies a lawyer's motion, that you have
- 16 implicit in that State action, that the court has
- 17 endorsed the practice of --
- 18 JUSTICE GINSBURG: That certainly goes beyond
- 19 where our precedent leaves off. That is, we are dealing
- 20 with direct impositions by Government in a way that poses
- 21 an unacceptable risk of prejudice to the defendant.
- MR. FERMINO: That's correct.
- JUSTICE KENNEDY: Yes. And you're having the
- 24 judge say that you can't wear certain signs, you can't
- 25 make certain demonstrations. If the family were there

- 1 and they -- and one of the members of the family was
- 2 sobbing, with tears coming out of her eyes, I -- that --
- 3 MR. FERMINO: Justice --
- 4 JUSTICE KENNEDY: -- much, it has much more impact
- 5 than a button.
- 6 MR. FERMINO: It -- and it might. But that kind
- 7 of behavior by a courtroom spectator can be controlled by
- 8 a trial judge if -- when it occurs. If it is
- 9 spontaneous, it can be controlled. A rule that
- 10 spectators aren't allowed to emote would be implausible,
- 11 or would be impractical. We are not talking here today
- 12 about controlling the emotions of spectators. We are
- 13 talking about an impermissible factor like a message or
- 14 the risk of a message.
- 15 JUSTICE SCALIA: Yes, but there is a First
- 16 Amendment problem when you're dealing with activities of
- 17 people other than the prosecution, people other than the
- 18 State, who is bringing this prosecution.
- 19 MR. FERMINO: There is no question that there is a
- 20 First Amendment issue here.
- 21 JUSTICE SCALIA: So that makes it a different
- 22 case. It makes it very hard to say, well, the Supreme
- 23 Court's already decided this matter.
- 24 MR. FERMINO: Well, in the First Amendment
- 25 context, though, there's a balancing test that needs to

- 1 be employed, and it --
- JUSTICE SCALIA: Sure, it may come out the way --
- 3 it may come out the way you want, but it's hard to say
- 4 that the Supreme Court, any Supreme Court case bears upon
- 5 it, when we haven't had a case that involves weighing the
- 6 First Amendment right of the people in the courtroom to
- 7 wear buttons or cry or --
- 8 MR. FERMINO: I believe that Mr. Cohen in New
- 9 Hampshire wearing his sign regarding the draft --
- 10 JUSTICE SCALIA: Well, but that cuts against you.
- 11 MR. FERMINO: I understand that, but --
- 12 (Laughter)
- 13 JUSTICE BREYER: This reason -- suppose,
- 14 hypothetically, I were to think -- look, the rule should
- 15 be no buttons. No buttons, no signs, no banners. A
- 16 courtroom is a place of fair trial, not a place for
- 17 demonstration of any kind. Now, if I were to think that,
- 18 and I also were to think it's just too difficult to
- 19 figure out case by case whether there is or is not an
- 20 improper influence, suppose I thought both of those
- 21 things.
- Now, you've heard, quite rightly, the other side
- 23 says: One, you're supposed to decide whether this was
- 24 clear in the law. Two, if you're worried about the
- 25 future, you can't lay down a rule that's clear in the law

- 1 either because of A, AEDPA, and B, the case that was
- 2 cited, which said it's holdings that count, not dicta.
- 3 All right. You write for me the words I'm
- 4 supposed to put on paper to achieve your position.
- 5 MR. FERMINO: Justice Breyer, I think that the
- 6 rule derived from the Williams and Flynn cases is that
- 7 courtroom -- courtroom behavior that creates an
- 8 unacceptable risk that impermissible factors have -- or
- 9 have caused a jury's verdict to be based not solely on
- 10 evidence introduced at trial is inherently prejudicial.
- 11 And unless it advances some important State
- 12 interest, some compelling State interest like the concern
- 13 that I believe Justice Ginsburg raised about the forcing
- 14 a defendant to appear in prison garb or the shackling
- 15 cases, that rule I think allows the opinion in this case
- 16 of the court below to not violate the prescriptions of
- 17 the AEDPA. I think that's clear. I think what the court
- 18 below did was essentially apply the rule that I just
- 19 discussed. And I think --
- 20 CHIEF JUSTICE ROBERTS: So what about -- what if
- 21 the issue was mourning? The trial is being held and the
- 22 families appear and they're all in black because they're
- 23 still in mourning. Does that violate this clearly
- 24 established rule?
- 25 MR. FERMINO: I think you're getting -- Mr. Chief

- 1 Justice, I think the hypothetical gets closer to it as
- 2 well. I think a defendant's -- excuse me, a victim's
- 3 family wearing, appearing in court every day wearing
- 4 black gets closer to the kind of message import -- again,
- 5 the risk, not the reality -- that this case is -- that
- 6 the court below was concerned with.
- 7 CHIEF JUSTICE ROBERTS: No, my question is under
- 8 AEDPA, if the State court said, you know, I'm not going
- 9 to keep the family out even in mourning, that would
- 10 violate the clearly established rule that you've just
- 11 articulated?
- 12 MR. FERMINO: Yes.
- JUSTICE SOUTER: Even if it didn't, though, I
- 14 suppose you could draw a line between people who were
- 15 doing what they naturally do, and some people do wear
- 16 mourning, and some people will come into a courtroom and
- 17 be reminded of the person who died and sob. But in this
- 18 case, they're going out of their way to do something that
- 19 people in mourning do not normally do.
- 20 MR. FERMINO: That's correct.
- 21 JUSTICE SOUTER: And so you've got -- I think
- 22 you've got a stronger argument here.
- 23 The problem that I have in this case is that --
- 24 number one, I view the wearing of the buttons, as I just
- 25 described it, as something that is abnormal and something

- 1 that is intended to presumably get the jury's attention.
- 2 I don't know why otherwise they would be doing it.
- 3 And from whatever source, we do know that the
- 4 button was at least two inches wide and maybe larger.
- 5 So it's reasonable to suppose that the jury saw it and
- 6 understood perfectly that these were people who were
- 7 raising, in effect, an issue of sympathy. I can
- 8 understand that, and under the general rule out of
- 9 Williams and Flynn, it seems to me as though there's a
- 10 pretty darn good argument for saying, yes, an unacceptable
- 11 risk has been raised of emotionalism in the jury's
- 12 deliberations as opposed to dispassionate consideration of
- 13 courtroom evidence.
- What, however, do I make of the fact that not one
- 15 single court has ever reached that conclusion and -- you
- 16 know, as a constitutional matter? Am I in the position
- of sort of being Jim, and they're all out of step with
- 18 Jim? I'm raising a question about my own judgment
- 19 in relation to the fact that no other court seems to have
- 20 come to that conclusion. What do you think I should make
- 21 of that?
- 22 MR. FERMINO: I think it is a factor to consider
- 23 in the Court's analysis. However, I think the facts of
- 24 this case are unique precisely because this typically
- 25 doesn't -- we don't get this far because most trial

- 1 judges don't allow this kind of conduct.
- 2 JUSTICE GINSBURG: But there have been -- haven't
- 3 there been court decisions that have held that buttons
- 4 didn't compromise a fair trial right?
- 5 MR. FERMINO: That's correct.
- 6 JUSTICE GINSBURG: So in assessing the
- 7 reasonableness of the California Supreme Court's
- 8 decision, how could we say Federal law was clearly
- 9 established when other courts considering our precedent
- 10 have gone the other way?
- 11 MR. FERMINO: Because I think that under -- I
- 12 think that this Court looking at the "contrary to" prong
- 13 of the analysis would -- can come to a conclusion that
- 14 the State court's decision wasn't -- I'm getting ahead of
- 15 myself.
- 16 I think the Court can properly, in looking at it
- 17 from a "contrary to" analysis, come to the conclusion
- 18 that, even with that body of case law, that the State
- 19 court got it wrong, that it misapplied the clearly
- 20 established law of this Court.
- 21 CHIEF JUSTICE ROBERTS: You don't want to put your
- 22 -- hang your hat on the "contrary to" prong, though, do
- 23 you? Your argument, I thought, was an unreasonable
- 24 application argument.
- 25 MR. FERMINO: I think it's both, Mr. Chief

- 1 Justice. I think it's both. I think -- I don't need to
- 2 hang my hat on the "contrary to" because I think under
- 3 either prong --
- 4 CHIEF JUSTICE ROBERTS: Well, but, as Justice
- 5 Ginsburg pointed out, we've never even had a case
- 6 involving spectators. So it's not contrary to clearly
- 7 established law. We have cases stating the general
- 8 principle on which it relies, so maybe it's an
- 9 unreasonable application. But "contrary to" seems an
- 10 awful stretch.
- 11 MR. FERMINO: I wouldn't go -- Mr. Chief Justice,
- 12 I would not go as far as "an awful stretch," but I would
- 13 think that we, under the unreasonable application prong,
- 14 we certainly win. I think that there is also an argument
- 15 under the "contrary to."
- 16 JUSTICE KENNEDY: The record is confusing, at
- 17 least as I read it -- please correct me if I'm wrong --
- 18 on the -- showing of how many days this button was worn.
- 19 A, is it clear from the record how many days the button
- was worn?
- 21 MR. FERMINO: It is not. It is not clear at all
- 22 from the record how many days.
- JUSTICE KENNEDY: So it may have been for just one
- 24 day of the trial?
- 25 MR. FERMINO: It may have been. But according to

- 1 the declarations that were submitted in the petition for
- 2 collateral review, those are petitions -- those are
- 3 declarations of the trial counsel and of Respondent's
- 4 mother -- it is that they were worn on multiple days by
- 5 several members of the family, and that the buttons were
- 6 anywhere from two to four inches in diameter. And that's
- 7 in the record.
- 8 JUSTICE ALITO: Where does it say that?
- 9 MR. FERMINO: Those declarations appear --
- 10 JUSTICE STEVENS: They are in the joint appendix.
- 11 JUSTICE KENNEDY: These were declarations filed
- 12 with the United States district court in habeas?
- 13 MR. FERMINO: They were filed actually as part of
- 14 the State collateral review proceedings. They were filed
- 15 with the State habeas. And it appears that they are at the
- 16 JA 6 and 8.
- 17 JUSTICE ALITO: Where does it say in there that
- 18 the buttons were worn every day?
- 19 MR. FERMINO: If I did -- I'm sorry, that question
- 20 --
- 21 JUSTICE ALITO: It says that the family members were
- there every day, or for many days. It doesn't say they
- 23 wore the buttons every day, unless I'm missing --
- MR. FERMINO: No, Justice Alito, if I said that, I
- 25 misspoke. I was trying to say that the record is not

- 1 clear as to the frequency.
- 2 JUSTICE GINSBURG: There was a time when the trial
- 3 judge said stop. Was there not? He initially denied the
- 4 motion.
- 5 MR. FERMINO: Correct.
- 6 JUSTICE GINSBURG: But I thought that there was a
- 7 time in the course of the trial when he told the family
- 8 members to stop wearing the buttons.
- 9 MR. FERMINO: I don't believe so, Justice
- 10 Ginsburg. I think that they were never admonished not to
- 11 wear them, but that the original ruling of the trial
- 12 judge stood as far as the wearing of the buttons was
- 13 concerned.
- 14 JUSTICE ALITO: In his opinion on denial of
- 15 rehearing, Judge Kleinfeld on the Ninth Circuit made the
- 16 point that at criminal trials -- and I suppose at other
- 17 trials -- it is an accepted feature of the proceeding
- 18 that there are going to be spectators who identify with
- 19 one or the other party. And there may be relatives of
- 20 the defendant in a criminal case. There may be relatives
- 21 of the victims. And it's apparent from their behavior
- 22 what they think about the case and which side should win.
- 23 And that's sort of a baseline that has to be
- 24 accepted in judging, not whether wearing buttons is good
- 25 as a -- whether we would think it would be good if we were

- 1 announcing a court rule, but whether there's a violation
- 2 of due process. Do you accept that?
- 3 MR. FERMINO: Justice Alito, I do, as far as it
- 4 goes, accept that as a baseline. I think Judge Bea in a
- 5 separate dissent likens it to a family wedding, and as we
- 6 all know who is here for which party. That we have no
- 7 quarrel with.
- 8 JUSTICE ALITO: So what is it about these
- 9 particular buttons that's reflected in the record that
- 10 shows that it goes significantly beyond what would be
- 11 inferred just from that rather common feature of trials?
- 12 MR. FERMINO: I think in looking at the rule again
- derived from Williams and Flynn, we don't have to go
- 14 there. It's the risk, not the reality. I don't know
- 15 what could be inferred, and we don't know what was in the
- 16 jurors' minds as they saw those buttons. But the point
- 17 is that it could affect the outcome. It is an
- 18 impermissible factor that causes the possibility that the
- 19 jurors' verdict is based on something other than the
- 20 evidence.
- 21 JUSTICE ALITO: Why is there a greater risk? Why
- 22 do the buttons convey -- involve a greater risk than the
- 23 kind of behavior that Judge Kleinfeld was referring to?
- MR. FERMINO: Because you can imagine as a juror
- 25 -- jurors are very attentive during trials -- that they

- 1 look out into the audience and they see in the jury box --
- 2 I mean, out in the audience, a group of people wearing
- 3 buttons. What are those buttons? What's on there?
- 4 What's the point of -- there's a degree of scrutiny
- 5 that's naturally going to occur by an attentive juror.
- 6 That's really the issue.
- JUSTICE SCALIA: Let's assume -- risk of what?
- 8 That's what I'm puzzled by. Let's assume that the
- 9 buttons were big enough that they could recognize that
- 10 the buttons were the face of the deceased -- for whose
- 11 murder the trial was about. Let's assume all that. What
- 12 risk is that?
- 13 You know, during sentencing I can understand, oh,
- 14 he caused so much grief to so many people. Once we found
- 15 him guilty, we should sock him with a stiff sentence.
- 16 But during the guilt trial? I mean, I see, gee, the
- 17 victim's family loved him a lot. This guy must be
- 18 guilty. That doesn't follow at all.
- In the guilt phase, I don't see how that can
- 20 have any effect on the jury.
- 21 MR. FERMINO: Well, Justice Scalia, I think it's a
- 22 risk of a factor that is not subjected to adversarial
- 23 testing. It is the possibility that it could have an
- 24 impact.
- 25 JUSTICE SCALIA: I don't see the possibility. You

- 1 tell me that --
- 2 MR. FERMINO: Here you have --
- JUSTICE SCALIA: Is there a real possibility that
- 4 a jury is going to say, since this man's -- this victim's
- 5 family loved him so much, this guy must be guilty?
- 6 MR. FERMINO: But that's only one possible message
- 7 of this button. And again, that's where I'm contrasting
- 8 the risk versus the reality. It's that it could be any
- 9 message that's sent.
- 10 JUSTICE SOUTER: Do you have to depend on there
- 11 being a message? Isn't it enough if there is an
- 12 influence that is conveyed? I mean, what I thought the
- 13 problem was, was that there was as a result of the
- 14 obtrusive wearing of the button, that it created a risk
- 15 simply of an emotional approach to the determination of
- 16 quilt or innocence.
- 17 The jurors are more likely to feel sorry for the
- 18 family members sitting there a few feet away from them.
- 19 Perhaps they may be more likely to feel sorry for the
- 20 victim, but certainly for the family members. And it
- 21 would be that improper influence of emotionalism as
- 22 opposed to a particular message that is the problem here,
- 23 isn't it?
- MR. FERMINO: I don't disagree with that.
- JUSTICE SOUTER: Do you accept that?

- 1 MR. FERMINO: I do accept that, and I don't need
- 2 to rely on a message. I would agree with the argument
- 3 that you've advanced.
- 4 JUSTICE SOUTER: Okay.
- 5 MR. FERMINO: The -- I think it is important here
- 6 to look at the fact that no party in this case -- that
- 7 the State has not advanced that this is a practice that
- 8 should be endorsed or adopted. It is clear that everyone
- 9 involved has had a concern with the wearing of buttons or
- 10 any other kind of introduction into the proceeding that
- 11 would otherwise not be subject to meaningful adversarial
- 12 testing, and I think that's the problem in this case.
- 13 And I do believe if you look closely at the State
- 14 court opinion in this case, you will see that the court
- 15 below's opinion was correct, that they did not tease out
- 16 of the opinion or parse or apply any kind of tendentious
- 17 reading, when you look at exactly what the State court
- 18 decided.
- 19 JUSTICE SCALIA: Well, that's just because we
- 20 haven't had a First Amendment case yet. I mean, we just
- 21 have parties arguing in the context of the criminal trial
- 22 for the defendant, for the State. Let's wait until the
- 23 ACLU brings a case about people who want to wear buttons
- 24 in court. Then you're going to have people arguing,
- 25 people ought to be able to wear buttons, just as they can

- wear a shirt that says "Blip the Draft."
- 2 MR. FERMINO: But this Court, I think, could craft
- 3 an opinion that addresses that concern without the need
- 4 for simply awaiting that day.
- 5 JUSTICE STEVENS: Counsel, I'm not sure you're
- 6 right that nobody was concerned about -- everybody
- 7 thought the factors were wrong. I don't think the trial
- 8 judge did. The trial judge said he saw no possibility of
- 9 prejudice.
- 10 MR. FERMINO: And I misspoke. You're correct,
- 11 Justice Stevens. The trial judge did reach that
- 12 conclusion.
- 13 If there are no other questions, I would --
- 14 CHIEF JUSTICE ROBERTS: Thank you, Mr. Fermino.
- 15 MR. FERMINO: Thank you.
- 16 CHIEF JUSTICE ROBERTS: Mr. Ott, you have one
- 17 minute remaining.
- 18 REBUTTAL ARGUMENT GREGORY A. OTT,
- 19 ON BEHALF OF THE PETITIONER
- 20 MR. OTT: Thank you, Mr. Chief Justice. If the
- 21 Court has no further questions, I would submit this
- 22 matter.
- 23 CHIEF JUSTICE ROBERTS: Thank you, Mr. Ott. The
- 24 case is submitted.
- 25 (Whereupon, at 12:00 p.m., the case in the

1	above-entitled	matter	was	submitted.)
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