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## 1.6. SERIES AREA

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#### **Introduction**

Transcribe in the series area the title of any comprehensive publication (monographic series, other serial, multipart item, integrating resource) of which the item is a part.

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Unless a specific category is mentioned, the term "series" in any of the 1.6 LCRIs means any of the comprehensive publications mentioned above.

### Organization of the LCRIs on Series

This general LCRI (1.6) addresses situations in which a series statement should be given in a bibliographic record. LCRI 1.6A2 gives information about sources for the series statement. LCRIs for 1.6B-C, E-H deal with the separate data elements given in a series statement. LCRI 1.6J includes information about some special situations in which more than one series statement is given. The intent is that these LCRIs deal with the series statement only as an area of bibliographic description; however, until a new introductory rule or LCRI is written for AACR2 Chapter 21, LCRI 1.6 and LCRI 1.6H will continue to include some information about the number of series headings appropriate to specific situations.

See AACR2 rule 21.30L and LCRI 21.30L for information about access points for series.

LC has announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. As of June 1, 2006, LC analyzes and classifies separately all parts of monographic series and of multipart monographs with the exception of those categories listed in LCRI 13.3.

As of the same date, LC does not give "controlled" access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. LC will "pass through" the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

The PCC Policy Committee has announced that the PCC series policy remains unchanged.

### Series Statement Present Only in Cataloging Data/Bibliography

*LC/PCC practice:* If the series statement appears only in cataloging data (foreign or domestic) in the item or in a bibliography, do not transcribe this information in the series area.

### Series or Phrases

Distinguish between phrases that are true series and those that are not, with the latter sometimes included elsewhere in the bibliographic record (e.g., given as a quoted note) and sometimes not transcribed at all. A decision on series vs. phrase does not apply to such specific information as publishers' and plate numbers for printed music or publishers' stock numbers for sound recordings; such numbers are addressed in AACR2 rules 5.7B19 and 6.7B19.

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*PCC practice:* If a decision concerning the phrase has not been recorded in the national authority file, base the current decision primarily on judgment. The guidelines below apply to some common situations; it is *not* a closed list. Generally, make SARs for categories 1) through 5).

1) If the phrase is essentially an unnumbered statement of the name of the body from which the item emanates, reject it as a series. Give the phrase as a quoted note if the name of the emanating body is not given elsewhere in the bibliographic record (e.g., in the publication, distribution, etc., area; in a note for the issuing body).

*in source:* An American Astronautical Society Publication

260 ## \$a San Diego, Calif. : \$b Published for the  
American Astronautical Society by Univelt, \$c  
1992.

*(Reject the phrase as a series; do not give it as a quoted note)*

*in source:* An Evangelical Theological Society Publication

260 ## \$a Grand Rapids : \$b Zondervan, \$c 1987.  
500 ## \$a "An Evangelical Theological Society  
publication."

*(Reject the phrase as a series; give it as a quoted note)*

2) If the phrase is essentially a *numbered* statement of the name, initialism/acronym, or part of the name of the body from which it emanated and that body is not a commercial publisher, transcribe the information in the series area.

*in source:* Buckinghamshire Record Society No. 21

4XX \$a Buckinghamshire Record Society ; \$v no. 21

*in source:* HAZ 6

4XX \$a HAZ ; \$v 6

3) If the phrase is essentially a numbered/unnumbered statement of the commercial publisher or includes a sub-imprint name or name of a subsidiary, a division, etc., of a publishing firm, reject it as a series. Give the phrase as a quoted note if the name is not given in the publication, distribution, etc., area.

*in source:* DAW Books No. 761

260 ## \$a New York : \$b DAW Books, \$c 1991.

*(Reject the phrase as a series; do not give it as a quoted note)*

*in source:* A Raccoon Pamphlet

260 ## \$a Memphis, Tenn. : \$b Raccoon Books, \$c 1982.

*(Reject the phrase as a series; do not give it as a quoted note)*

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*in source: An Interscience publication*

260 ## \$a New York : \$b Wiley, \$c 1993.

500 ## \$a "An Interscience publication."

*(Reject the phrase as a series; give as a quoted note)*

4) If the phrase includes the name of an in-house editor or the name or designation of some other official of the firm, etc., reject it as a series. Give the phrase as a quoted note.

500 ## \$a "A Helen and Kurt Wolff book."

5) If a named lecture series appears on the item as a series title, i.e., it is not extracted from another context (e.g., other title information, prefatory material) *and* it has or is likely to have data that remain constant from issue to issue, treat the name of the lecture series as a series title. In case of doubt, do not treat the name as a series. If the name is rejected as a series, record the name in the title and statement of responsibility area or give the name with associated data in a quoted note.

245 10 \$a From morality to religion : \$b being the  
Gifford lecture delivered at the University of  
St.Andrews, 1938 / \$c ...

245 10 \$a Lincoln—an immortal sign \$h [sound recording]  
: \$b the first lecture in the Lincoln  
sesquicentennial lectures, The enduring Lincoln  
/ \$c ...

500 ## \$a "Stephanos Nirmalendu Ghose lectures on  
comparative religion, 1972-73"--3rd prelim. p.

6) Do not treat as a series a number that cannot be associated with a series title. Generally, do not treat as a series a combination of letters or letters and numbers that cannot be associated with a series title if there is evidence that the combination is assigned either to every item the entity issues for control purposes or to certain groups of items for internal control or identification. Give the information as a quoted note; do not give the note on a bibliographic record for a serial.

500 ## \$a "UC-13."

500 ## \$a "CRN 780206-00050."

500 ## \$a "SP-MN."

7) If the phrase is a slogan, motto, prize, etc., reject it as a series. Give it as a quoted note if it appears on the chief source.

*in source: 25 años de paz*

*(Reject the phrase as a series)*

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*in source: 50-letiiu pobody posviashchaetsia*  
 ("Dedicated to the 50th anniversary of the victory")  
 (Reject the phrase as a series)

*in source: Workers of the whole world, unite!*  
 (Reject the phrase as a series)

*in source: Premio Casa de las Américas 1994*  
 (Reject the phrase as a series)

8) If an unnumbered genre/characterizing word in the singular form or plural form having singular meaning (e.g., "Novel," "Mystery," "Témoignage," "Essai," "Piano solo," "Graphics," "Multimedia") appears only on the cover or container, reject it as a series. Generally, do not give it as a quoted note.

9) If an unnumbered phrase indicating a broad subject or category (e.g., "Computers," "Etiquette," "Contemporary history," "Educational software") appears only on page 4 of cover or the flaps or on container, reject it as a series; the phrase is provided by the publisher/manufacturer for retail stores, etc. Do not give it as a quoted note.

10) If the publisher's listing is subdivided into broad categories, generally do not consider the captions to be series titles unless (a) the same phrases appear elsewhere in the item as series titles, (b) the phrases include a word such as "series," "library," "collection," etc., or (c) there is other evidence of intent to consider the captions to be series titles (e.g., the titles listed under each caption are numbered sequentially). Do not give as quoted notes.

*in source: Romans et nouvelles*  
 (listing of six titles with authors -- no numbering)  
 Théorie et essais  
 (listing of four titles with authors -- no numbering)  
 (Reject both captions as series titles: words do not appear elsewhere as series titles)

*in source: Vocal solos*  
 (listing of nine titles --- no numbering)  
 Choral arrangements  
 (listing of ten titles --- no numbering)  
 Dance orchestrations  
 (listing of three titles -- no numbering)  
 (Reject all captions as series titles: words do not appear elsewhere as series titles)

## Republications

When cataloging a republication, transcribe in the series area a series statement relating only to the republication.

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4XX \$a Pierpont Morgan Library music manuscript reprint series

4XX \$a Reprints in Canadian history

In the bibliographic history note, transcribe, in parentheses, a series statement for the original series only if the original series statement was also reproduced in the republication. (Cf. LCRI 2.7B7)

### Selected Issues of Periodicals Published Separately

Several publishers (e.g., Haworth Press, Pergamon Press) publish separate hardcover or softcover editions of *selected* issues of their periodicals.

*LC/PCC practice:* Do not consider such a separately published issue to constitute an integral part of the periodical, i.e., do not consider it an analyzable issue of the periodical. In the bibliographic record for the separately published issue, give the pertinent information as a note, not as a series statement. (Cf. LCRI 21.30G for the related work added entry.)

500 ## \$a Published also as v. 17, no. 1/2, 1993 of the Cataloging and classification quarterly.

### Supplements and Special Numbers to Serials

*Numbered supplements.* Treat a numbered supplement to a serial as a series.

*in source:* Supplement to Word monograph number 3

4XX \$a Supplement to Word ; \$v monograph no. 3

*in source:* Journal of Ultrastructure Research Supplement 7

4XX \$a Journal of ultrastructure research. \$p Supplement ; \$v 7

*Special numbers and unnumbered supplements*

*LC/PCC practice:* Do not treat a special number or an unnumbered supplement to a serial as a series. Give the information in a note if it is not already recorded in the title and statement of responsibility area. (Cf. LCRI 21.30G for the related work added entry.)

500 ## \$a Special number of Malaysian journal of tropical geography.

500 ## \$a "Supplemento all'Annuario statistico italiano"—T.p. verso.

500 ## \$a "Allegato al n. 7/85 di Musica jazz."

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(*Note:* A supplement that is numbered only in relation to a particular number of a serial (e.g., supplements 1 and 2 to v. 10, no. 1 of the serial) is considered to be unnumbered since there isn't a separate numbering system for that supplement.)

### Series Title Grammatically Connected to Title of Item

*LC/PCC practice:* If the series title is grammatically linked to the title of the item being cataloged, do *not* separate the series title from the latter. Record the grammatically-linked title as the title proper of the item; record information in the series area only if the series title is presented separately in another source in the item.

*title on t.p.:* Case Presentations in Heart Disease  
*another source lists titles:* Case Presentations in Arterial Disease,  
 Case Presentations in Clinical Geriatric Medicine, Case  
 Presentations in Endocrinology and Diabetes, Case  
 Presentations in Gastrointestinal Diseases, etc.  
*no source in item giving series title "Case Presentations" separately*

100 1# \$a Mackintosh, Alan.  
 245 10 \$a Case presentations in heart disease  
 (no 4XX field)

### Motion Pictures, Television Programs, and Videorecordings

*Note:* LC uses the cataloging manual *Archival Moving Image Materials* for its moving image materials cataloging. This manual has different guidelines than those in AACR2 about the choice and construction of titles proper and series titles.

*PCC practice:* Use AACR2 when cataloging motion pictures, television programs, and videorecordings. Do not request changes in LC's bibliographic records for motion pictures, television programs, and videorecordings.