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# Klickitat Subbasin Anadromous Fishery 

## Appendix A

# Klickitat River Spring Chinook Stock Assessment and Investigation of Integrated Hatchery Strategies 

Chris Frederiksen
Yakama Nation Fisheries - YKFP
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## 1. Klickitat River Spring Chinook Stock Assessment

Section 1 of this document covers several aspects related to the current performance of wild Klickitat River Spring Chinook. Beverton-Holt production functions were used in conjunction with brood year specific spawner recruitment data from 1984-1999 to estimate the carrying capacity and intrinsic productivity for Klickitat River Spring Chinook. These estimates did not partition freshwater life stages from marine survival. As a result, stock performance estimates encompass the complete life cycle including potential density independent factors affecting intrinsic productivity. To account for this, brood years were partitioned into 3 distinct clusters hypothesized as a function of densityindependent factors such as marine survival.

Current viability of Klickitat River Spring Chinook is assessed by analyzing stock recruitment rates and effective population size estimates observed from 1984 - 2003. A preliminary biological significance assessment is included in this section that was conducted using generic questionnaires provided by the HSRG and a genetic study conducted by WDFW in the early 1990's.

### 1.1 Current Capacity and Intrinsic Productivity Estimates of the Klickitat Indigenous Stock

This section provides an initial assessment of the Klickitat's current carrying capacity and intrinsic productivity for Spring Chinook natural production as it relates to the quantity and quality of available habitat. In a biological sense, carrying capacity can be defined in many ways and is often unclearly stated in its referenced sense. For this analysis, carrying capacity is expressed as adults with respect to environmental conditions affecting survival throughout the entire lifecycle. Carrying capacity and intrinsic productivity calculations are based on two parameters for the assessment: 1.) Spawnerrecruitment relationships for brood era 1984-1999 where intrinsic productivity and carrying capacity for Spring Chinook were estimated using the Beverton-Holt production model. 2.) Productivity and capacity estimates from this analysis are limited to habitat below Castile Falls due to a non-functioning fish ladder blocking passage during this brood era. Recruitment curves were constructed using annual spawner escapement and estimated wild returns by brood year (Table 1). The number of annual natural spawners were estimated from weekly redd surveys in index reaches and modified with expansion factors. Total recruitment for each brood year was calculated by summing wild returns across all age classes including fish harvested in both tribal and sport fisheries. Annual age structures were calculated by expanding age class proportions from scale samples taken during spawning and carcass recovery surveys.

Table 1. Estimated number of Natural spawners and total returns for brood years 1984-1999.

| Brood <br> Year | Natural | Estimated Wild Returns |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Spawners | Age-3 | Age-4 | Age-5 | Age-6 | Total |  |  |  |  | R:S

The Beverton-Holt stock recruitment function used to estimate the intrinsic productivity and capacity for Klickitat Spring Chinook can be defined by the following equation:

$$
\text { (Equation } 1 \text { ) } \quad R=(\alpha S /(1+\alpha S / \beta))
$$

Where:
$\mathrm{R}=$ number of recruits (adults + jacks)
$\mathrm{S}=$ number of spawners (adults + jacks)
$\alpha=$ intrinsic productivity of the stock
$\beta=$ carrying capacity of the stock
Total recruitment of age 3, 4, 5 and 6 year old Spring Chinook were plotted against the number of wild spawners for the relevant brood year (Figure 1). The data points suggest no evident relationship between the number of recruits to spawners when considering all brood years together. Recognizing the dynamic and stochastic nature of ecosystems (particularly marine survival variation), the results of this modeling exercise are not surprising. However, when taking a closer look, brood years can be partitioned into three separate clusters, potentially owing differences in recruitment rates to density independent factors such as marine survival. D. Rawding (2004) suggests density dependence occurring in the freshwater lifestage, with marine survival considered a density independent factor driven by the environment. Following this logic, overall carrying capacity and intrinsic productivity for Klickitat Spring Chinook could vary

[^0]substantially as a function of marine survival. Assuming static conditions in the freshwater environment, recruitment relationships for Klickitat Spring Chinook arguably support this hypothesis (illustrated in Figure 1).

Ocean productivity for salmon populations have been shown to experience periods of high and low productivity correlated to the Pacific Decadal Oscillations. Hare and Francis (1994) used intervention analysis to show large scale shifts in ocean productivity for several Alaska salmon stocks. These large scale interventions occurred roughly 25-30 years apart, a much larger time scale than the time series used for this analysis. Hare and Francis (1994) also hypothesized smaller scale variability about some mean during the 25-30 year climatic regime. Applying this hypothesis, partitioned brood years illustrated in Figure 1 exhibit three distinct recruitment relationships during the 16 year time period. 1.) Favorable ocean conditions or highly productive years are represented by brood years 84-85, 96 and 98-99. This is a reasonable assumption when considering the overall returns of Columbia River stocks between 2000 and 2004. 2.) A short period of poor ocean conditions or low productivity occurring for brood years 90-91. For these two particular brood years, Yakima basin Spring Chinook also experienced the lowest recruitment rates recorded since 1982 ( 0.4 recruits/spawner and 0.18 recruits/spawner). 3.) Brood years $86-89,92-95$ and 1997, which represent the majority, ( 9 out 16 years), adhere to a density dependent Beverton-Holt function intermediate of the highly productive and poorly productive brood years ( Figure 1) and possibly represent periods


Figure 1. Beverton-Holt recruitment relationship fit to Klickitat Spring Chinook data points: brood years 1984-1999.
where average marine survival were experienced during the brood era from 1984-1999. Another observation worth noting in this analysis is the transition between high, medium and low productive brood years. Ocean productivity has been documented to have consecutive periods transitioning from higher to lower productivity (Mantua et al. 1997). Not only do partitioned consecutive brood years fit the same production function representing high, medium or low ocean productivity, there also exists a transition throughout the entire brood era 1984-1999. In a qualitative sense, this transition begins with high ocean productivity for brood years 1984 and 1985 followed by a period of moderate ocean productivity for brood years 86-89. Minimum productivity occurred for brood years 1990 and 1991 followed by another moderate period of productivity for brood 92-95. The last consecutive brood years (96-99) experienced highly productive ocean conditions, with the exception of brood year $1997^{2}$.

Recognizing the correlation between marine survival and productivity for anadromous fish stocks, assessing a stock's carrying capacity and intrinsic productivity should not be limited to the most recent brood years that experienced highly productive marine conditions. This would be rather imprudent for management planning purposes. For this reason only, brood years 86-89, 92-95 and 1997 representing average conditions in terms of productivity for the available time era, were used to estimate the carrying capacity and intrinsic productivity of Klickitat Spring Chinook. A trendline modeled by the density dependent Beverton-Holt production function (equation 1) was fit to the series of brood year recruitment data points. Linear regression analysis was first used for two purposes: 1.) It allows us to correlate a statistical relationship between spawners and recruits with linear equations and trendlines. 2.) It creates a template representing the best possible trendline fit to model capacity and productivity against using Beverton-Holt recruitment functions. Establishing a linear relationship between the spawner and recruitment numbers for designated brood years was done by dividing the number of spawners and recruits into one (Table 2).

Table 2. Spawner and recruitment numbers by brood year divided into 1 for linear regression analysis.

| Brood Year | Spawners | Recruits | $1 / \mathrm{S}$ | $1 / \mathrm{R}$ |
| ---: | ---: | ---: | :---: | :---: |
| 1986 | 175 | 418 | 0.005714 | 0.002392 |
| 1987 | 367 | 603 | 0.002725 | 0.001659 |
| 1988 | 1158 | 800 | 0.000864 | 0.00125 |
| 1989 | 393 | 472 | 0.002545 | 0.002119 |
| 1992 | 322 | 686 | 0.003106 | 0.001457 |
| 1993 | 432 | 661 | 0.002315 | 0.001513 |
| 1994 | 102 | 201 | 0.009804 | 0.004977 |
| 1995 | 105 | 281 | 0.009524 | 0.003559 |
| 1997 | 599 | 525 | 0.001669 | 0.001904 |

[^1]

Figure 2. Recruits (adults + jacks) plotted as $1 / R$ to spawners $1 / S$ with linear regression for Klickitat data points and modeled projections fit to a common trendline and regression equation.

By plotting spawners on the X -axis and recruits as a function of spawners on the Y -axis, a linear regressed trendline could be fit to the data points, resulting in an $\mathrm{R}^{2}$ value of 0.85516 and a regression equation of $y=0.3361 x+0.0009$ (Figure 2). This trendline and regression equation represents the most accurate spawner/recruitment relationship fit to the Klickitat Spring Chinook brood years 86-89, 92-95, 97. Modeled results derived from the Beverton holt production function in the form of recruits and spawners can also be converted to a linear relationship (Table 3). Capacity and productivity could then be adjusted until the modeled trendline of $1 / \mathrm{R}$ as a function of $1 / \mathrm{S}$ traced identically over the trendline fit to the linear regressed brood year data points. A precise tracing could be refined by adjusting the modeled capacity and productivity values until the modeled regression equation corresponded to the regression equation for the brood year data points. Because capacity and productivity values adjust different variables of the regression equation, only one combination of productivity and capacity results in a modeled regression equation identical to the one fit to brood year data (Figure 2). The adjusted values resulting in a identical regression equation are 1,175 for capacity and 2.975 for intrinsic productivity.

Table 3. Modeled results of a density dependent relationship between recruits and spawners using the Beverton-Holt production function with spawners and recruits converted for linear regression.

| Spawners | Recruits | Capacity | Productivity | $1 / \mathrm{S}$ | $1 / \mathrm{R}$ |
| :---: | :---: | :---: | :---: | :--- | :--- |
| 1 | 3 | 1175 | 2.975 | 1.000000 | 0.336986 |
| 2 | 6 | 1175 | 2.975 | 0.500000 | 0.168918 |
| 10 | 29 | 1175 | 2.975 | 0.100000 | 0.034465 |
| 20 | 57 | 1175 | 2.975 | 0.050000 | 0.017658 |
| 50 | 132 | 1175 | 2.975 | 0.020000 | 0.007574 |
| 75 | 188 | 1175 | 2.975 | 0.013333 | 0.005333 |
| 100 | 237 | 1175 | 2.975 | 0.010000 | 0.004212 |
| 120 | 274 | 1175 | 2.975 | 0.008333 | 0.003652 |
| 140 | 308 | 1175 | 2.975 | 0.007143 | 0.003252 |
| 160 | 339 | 1175 | 2.975 | 0.006250 | 0.002952 |
| 180 | 368 | 1175 | 2.975 | 0.005556 | 0.002718 |
| 190 | 382 | 1175 | 2.975 | 0.005263 | 0.002620 |
| 200 | 395 | 1175 | 2.975 | 0.005000 | 0.002532 |
| 300 | 507 | 1175 | 2.975 | 0.003333 | 0.001972 |
| 400 | 591 | 1175 | 2.975 | 0.002500 | 0.001691 |
| 500 | 656 | 1175 | 2.975 | 0.002000 | 0.001523 |
| 600 | 709 | 1175 | 2.975 | 0.001667 | 0.001411 |
| 700 | 751 | 1175 | 2.975 | 0.001429 | 0.001331 |
| 800 | 787 | 1175 | 2.975 | 0.001250 | 0.001271 |
| 900 | 817 | 1175 | 2.975 | 0.001111 | 0.001225 |

Because the trendlines and regression equations are identical for brood year data and modeled data, the $\mathrm{R}^{2}$ value for the brood year data is also pertinent to the modeled capacity and productivity. Therefore, capacity and productivity estimates of 1175 and 2.975 have a correlation coefficient $=0.92475$ and an $R^{2}$ value of 0.85516 relative to the actual data points for brood years experiencing average ${ }^{3}$ productivity for the time series analyzed.

[^2]

Figure 3. Beverton-Holt Stock recruitment relationship fit to Klickitat Spring Chinook data points: brood years 1986-89, 92-95, 97.

### 1.2 Viability of Klickitat River Spring Chinook

Viability of an anadromous salmonid population can be defined as the ability of the population to sustain itself over multiple generations while encountering environmental adversity in either fresh or saltwater portions of a populations life history. HSRG 2004 points to two independent factors affecting the viability of a population: 1) the habitat or environmental conditions encountered by individuals of a population throughout their life cycle and 2) the intrinsic genetic characteristics or fitness of the population. In terms of the former, habitat and environmental conditions dictate the quality and quantity of habitat available to a population. It is these factors that drive a populations intrinsic productivity.

Intrinsic productivity also represents the ability of the population to withstand environmental variation and rebound from poor environmental conditions that caused low spawner escapement numbers. McEelhany 2000 states that, "If a population can be demonstrated to have an intrinsic productivity substantially above one, the actual abundance of the population becomes much less relevant. A resilient population will likely be viable, even if it is very small." When considering the lowest spawner
escapement years observed in the Klickitat (brood years 84-85 and 94-95), spawner recruitment relationships (Table 1) point to the populations resiliency and ability to rebound from such low numbers. When modeled, these brood years fit with two different Beverton-Holt models. The 1984-85 brood years fit to a model resulting in an intrinsic productivity roughly equal to 14 . All brood years fitting this same trendline experienced favorable marine conditions. Brood years 1994-95 fit a Beverton-Holt model with an intrinsic productivity value of 2.975 . This particular model fit a majority of brood year spawner recruitment relationships which seem to represent the average environmental and marine conditions when considering the entire brood era analyzed (84-99).

Along with this interpretation is a cautionary note: low returning numbers of Spring Chinook observed in 1994-95 were a result of either poor freshwater rearing conditions and/or marine survival for fish derived primarily from brood years 90 and 91. These years displayed the poorest recruitment rates (Table 1) for Klickitat Spring Chinook over the brood era 1984-99. When modeled, these brood years did not fit with any other data points and possess a significantly lower intrinsic productivity value that approaches 1. Aside from density dependent factors, environmental conditions experienced by these brood years represent the harsh end of the spectrum resulting in unusually low return numbers. Observations arguably suggest poor ocean conditions may have been responsible for such below normal recruitment rates instead of freshwater rearing conditions. Which ever the culprit is not the point. In the face of environmental adversity, the overall intrinsic productivity, when considering the complete life history of a population, can, and will fluctuate as a function of the environmental conditions. If poor environmental conditions experienced by Klickitat Spring Chinook derived from 9091 brood years extended over multiple generations, the ability of this population to rebound from low numbers would be drawn into question.

With respect to the viability of a population in terms of its intrinsic genetic characteristics and fitness, the estimated effective population (Ne) size can be used to generically assess the genetic diversity within a population. Effective population size places an upper limit on the amount of genetic diversity that can be maintained in a population in relation to its pedigree history and potential losses due to genetic drift (HSRG April 2004). The guidelines outlined by the HSRG for minimum effective population sizes are:

- $\mathrm{N}_{\mathrm{e}}>50$ to prevent inbreeding depression and a detectable decrease in viability or reproductive fitness of a population (Franklin 1980)
- $\mathrm{N}_{\mathrm{e}}>500$ to maintain constant genetic variance in a population resulting from a balance between loss of variance due to genetic drift and the increase in variance due to spontaneous mutations (Franklin 1980; Soule 1980; Lande 1988)
- $\mathrm{N}_{\mathrm{e}}>5,000$ to maintain a constant variance for quasi-neutral, genetic variation that can serve as a reservoir for future adaptations in response to natural selection and changing environmental conditions (Lande 1995)

The effective population size $\left(\mathrm{N}_{\mathrm{e}}\right)$ of an entire population is approximately the harmonic mean of the effective number of breeders per year $\left(\mathrm{N}_{\mathrm{b}}\right)$, multiplied by the generation time in years (g) (Waples 1990). The effective number of breeders in a given year has been shown to be substantially less than the observed number of spawners $\left(\mathrm{N}_{\mathrm{c}}\right)$, ranging from $0.1 \mathrm{~N}_{\mathrm{c}}$ to $0.33 \mathrm{~N}_{\mathrm{c}}$ (Waples 2004). Based on previous work done by Ardren and Kapuscinski 2003, the HSRG recommends using the upper limit value of 0.33 multiplied by $\mathrm{N}_{\mathrm{c}}$ for an estimate of the effective numbers of breeders per year. Calculations for the effective number of Klickitat Spring Chinook spawners are presented in Table 4 below.

Table 4. Calculations for estimated number of annual effective spawners for brood years 1984-1999.

| Brood Year | Estimated <br> Spawners <br> $\left(\mathrm{N}_{\mathrm{c}}\right)$ | \# Effective Breeders <br> $\left(\mathrm{N}_{\mathrm{b}}=0.33 \mathrm{~N}_{\mathrm{c}}\right)$ |
| :---: | :---: | :---: |
| 1984 | 110 | 36 |
| 1985 | 95 | 31 |
| 1986 | 175 | 58 |
| 1987 | 367 | 121 |
| 1988 | 1158 | 382 |
| 1989 | 393 | 130 |
| 1990 | 231 | 76 |
| 1991 | 245 | 81 |
| 1992 | 322 | 106 |
| 1993 | 432 | 143 |
| 1994 | 102 | 34 |
| 1995 | 105 | 35 |
| 1996 | 290 | 96 |
| 1997 | 599 | 198 |
| 1998 | 288 | 95 |
| 1999 | 213 | 70 |
| 2000 | 516 | 170 |
| 2001 | 312 | 103 |
| 2002 | 898 | 296 |
| 2003 | 1142 | 377 |

Taking the harmonic mean of the effective number of breeders (Table 4) results in a value of 74. Generation time is defined by the average age of the spawners at the time of reproduction. The average age was calculated using the age classes presented in Table 1. When averaged together, the proportion of age classes for three, four, five and six year old Spring Chinook are $12.4 \%, 56.2 \%, 30.3 \%$ and $1.1 \%$, respectively ${ }^{4}$. Using these proportions of each age class, the weighted average age of spawners was calculated. This number, equivalent to 4.20 , represents the generation time (g) in years. Effective population size is the product of this number (4.20) and the harmonic mean (74). The equations used in this analysis indicate the effective population size influencing the

[^3]genetic diversity of the Klickitat Spring Chinook population from brood years 1984-2003 is about 326.

When considering the estimated effective size of the Klickitat Spring Chinook spawning population (326), it would appear that this stock is at risk of losing constant genetic variance due to genetic drift. In theory, an effective population size ( Ne ) of 500 is needed to maintain this genetic variance (Franklin 1980; Soule 1980; Lande 1988). Because of this, the Klickitat Spring Chinook population may not meet one of two criteria for a long term, self sustaining viable population.

### 1.3 Biological Significance of Klickitat River Spring Chinook

The biological significance of a stock is a function of the origin of the stock and its inherent genetic diversity, its biological attributes, uniqueness, local adaptation, and the genetic structure of this population relative to other con-specific populations. A population can be considered highly significant if it exhibits unique genetic and biological attributes that are not shared with other adjacent stocks. These attributes may include unique life history, physiology, morphology, behavior, and disease resistance characteristics with a genetic basis (HSRG 2004).

Bearing in mind all of the above listed attributes, assessing the biological significance of a stock can be a challenging task from a scientific perspective. We use criteria outlined by the HSRG designed to assess the biological significance of Puget sound and coastal region stocks. The criteria are based on a scoring system derived from a series of questions specific to the demographic and genetic characteristics of the population. Questions for the biological significance assessment are listed below.

## Each population or stock was assigned a total score ranging from 5 to 17 according to the following scoring system.

1) What is the genetic origin of the population or stock? (possible scores $=1-5$ )
a) Native population. Score $=5$.
b) Genetically admixed population between native and introduced populations.
i) $>50 \%$ native genes? Score $=4$.
ii) $<50 \%$ native genes? Score $=3$.
c) Reintroduced population: species occurred historically in watershed, was extirpated, but stock transfers reestablished species in watershed.Score $=2$.
d) Introduced population: species was historically absent from watershed. Score $=1$.
2) How unique are the biological characters (e.g., life history, physiology, morphology, behavior, disease resistance, etc.) of the stock and to what extent are they considered irreplaceable attributes? (possible scores $=1-5$ )
a) Population has unique, irreplaceable biological attributes that are not shared with other stocks/populations within the same Genetic Diversity.Unit (GDU) 1 or with other GDUs within western Washington. Score $=5$. b) Population has no unique biological attributes, but shares some unique attributes with other stocks/populations within the GDU not shared with other GDUs. Score $=3$.
c) Population has no unique biological attributes that are not shared with other stocks/populations in other GDUs. Score $=1$.
3) To what extent is the population or stock part of a larger subdivided population structure or metapopulation? (possible scores $=3-7$ )
a) Number of distinct spawning aggregations (e.g. tributaries) within the stock or population under consideration
i) Number of spawning aggregations $<5$. Score $=2$.
ii) Number of spawning aggregations $>5$. Score $=1$.
b) Total number of populations or stocks within the GDU.
i) Number of populations/stocks within GDU $<3$. Score $=2$.
ii) Number of populations/stocks within GDU $>3$. Score $=1$.
c) What is the viability of other populations or stocks within the same GDU (see Box 2)?
i) Mean viability = "high.". $=$ Score = 1 .
ii) Mean viability = "medium." Score $=2$.
iii) Mean viability = "low." Score $=3$.

Sum of scores and ratings to assess the biological significance of a population or stock:
14-17: Biological significance $=$ High.
9-13: Biological significance $=$ Medium.
5-8: Biological significance = Low.
1 The Washington Department of Fish and Wildlife defines a GDU as follows: A genetic diversity unit (GDU) is a group of genetically similar stocks that is genetically distinct from other groups. The
Stocks typically exhibit similar life histories and occupy ecologically, geographically, and geologically similar habitats. A GDU may consist of a single stock ( Busack and Shaklee 1995).

Using the recognized demographic characteristics and previous genetic work of Klickitat Spring Chinook, results from the questionnaire for assessing the Biological significance of Klickitat River Spring Chinook are summarized below.

## 1) What is the genetic origin of the population or stock?

(possible scores = 1-5)
a) Native population. Score $=5$.

Klickitat River Spring Chinook are the native, indigenous stock
2) How unique are the biological characteristics (e.g., life history, physiology, morphology, behavior, disease resistance, etc.) of the stock and to what extent are they considered irreplaceable attributes?
(possible scores = 1-5)
a) Population has unique, irreplaceable biological attributes that are not shared with other stocks/populations within the same Genetic Diversity Unit (GDU)1 or with other GDUs within western Washington. Score $=5$.

Several biological characteristics of the Klickitat Spring Chinook support this index score of 5; 1.) Klickitat Spring Chinook display a complex age structure with 3,4,5 and 6 year old fish contributing to the spawning aggregate. More importantly though, genetic work done with Klickitat Spring Chinook also point to unique, biological attributes possessed by the stock as indicated by this quote, "We observed the sAH*69, sIDHP2*83, LDH-B2*112, LDH-C*84, and sMDH-B1,2*126 alleles in one or more Klickitat Spring Chinook samples, which are rare or uncommon alleles, relative to known allelic diversity in Washington Chinook populations" (WDFW Genetic Analysis draft report, May 2000).

## 3) To what extent is the population or stock part of a larger subdivided population structure or metapopulation? (possible scores = 3-7)

a) Number of distinct spawning aggregations (e.g. tributaries) within the stock or population under consideration
i) Number of spawning aggregations $<5$. Score $=2$.

Klickitat River Spring Chinook spawn exclusively in the mainstem (no tributary spawners) below Castile Falls with limited spawning below the Big Muddy confluence. There is most certainly less than 5 spawning aggregations.

Part b and c of question 3 are difficult to answer because the number of populations/ stocks and their viability status within the same GDU are not known at this time. However, even with this uncertainty, the biological significance assessment indicate a high level of biological significance associated with Klickitat Spring Chinook which require a score between 14-17. When summing the total score with minimal values for questions 3 b and 3 c (values of 1 and 1 respectively), the total score has a minimum equivalency of 14 (question $1=5$, question $2=5$, question $3 \mathrm{a}=2,3 \mathrm{~b}=1,3 \mathrm{c}=1$ ). This assessment suggest Klickitat River Spring Chinook are of high biological significance regardless of a final score of 14 or 16.

## 2. Klickitat Spring Chinook Artificial Production

## Overview of proposed actions

The Master Plan proposes a long term transition of the current program using hatchery origin stock to an integrated program releasing 800,000 smolts derived from at least one natural origin parent (MP 2.2.1). In addition to this integration strategy, another proposal for an integrated hatchery strategy using a 200,000 smolt release while maintaining a segregated program with 600,000 on station releases is outlined below.

An integrated hatchery program consisting of roughly 125 adults for $100 \%$ wild broodstock. Smolts from this brood source will be released from acclimation sites above Castile Falls. Adults retained for this broodstock will consist entirely of natural origin fish, trapped near the mouth of the Klickitat at Lyle Falls. One of the primary purposes of acclimating smolts above Castile Falls is to reseed habitat and increase overall natural production. Returning adults from these acclimated smolt releases will also be expected to spawn with Spring Chinook below Castile Falls due to the close proximity of one acclimation site to the existing spawning distribution. A segregated hatchery program continuing on station releases of 600,000 smolts from the Klickitat Hatchery would continue. Hatchery fish from this stock will be used for broodstock, interactions with the wild population will be kept to a minimum. One of the objectives of the segregated hatchery program is to provide harvest opportunities for treaty and sport fishermen.

### 2.1 Integrated Spring Chinook hatchery program

The overall goal for Klickitat River Spring Chinook is to increase annual returns as indicated in section 2.2.1 of the Master Plan. Objectives under this goal differ among two proposed artificial production programs with the intended purpose of increasing natural production for the integrated hatchery program. The current status of wild Klickitat Spring Chinook in conjunction with habitat conditions were fundamental
components in the development of the proposed integrated program. Biological objectives of the integrated program adhere to the scientific principles and adaptive management policy under the Yakima Klickitat Fishery Project which are to:

- Enhance existing stocks of anadromous fish in the Yakima and Klickitat river basins, while maintaining genetic and ecological resources.
- Reintroduce stocks formerly present in the basins.
- Apply the knowledge gained from supplementation throughout the Columbia River Basin.

With the exception of Objective SC2, Spring Chinook objectives outlined in the Master Plan apply to the integrated hatchery program (section 2.2.1 MP). Rigorous monitoring of the population performance and freshwater habitat capacity under these objectives and strategies will guide adaptive management decisions through time. Other important biological objectives for the Spring Chinook integrated program include:
1.) Reseed habitat above Castile Falls using acclimated smolt releases derived from a wild brood source with the purpose of increasing natural production, spatial distribution and diversity of spawning aggregate.
2.) Increase the effective population size of the wild stock from roughly 326 ( 1984-2003 brood years, section 1.2) to a minimum of 500 to maintain constant genetic variance.
3.) Minimize genetic divergence between wild and hatchery spawning aggregates.

### 2.1.1 Rationale of Integrated Spring Chinook Hatchery Program

If not already clearly stated, recent passage improvements at Castile Falls will open some of the best available habitat in the Klickitat for spawning and rearing Spring Chinook in spite of intermittent areas of habitat degradation. Before passage improvements, natural production in the upper Klickitat basin was virtually non existent. In 1998, 3\% of all wild Spring Chinook redds were counted in areas above the falls. Other than 1998, natural production and migrating fish attempting to negotiate the falls have not been documented in the upper basin since the initial passage improvements failed some time ago. Adults returning from acclimated smolt releases derived from wild brood will serve to reseed the available habitat above Castile Falls and increase the spawning number of fish below the falls as well.

One of the most important parameters affecting genetic diversity is effective population size (HSRG 2005). Franklin et al 1980 suggest a minimum effective population size of 500 is needed to maintain constant genetic variance in a population. An average effective population size of 326 for Klickitat Spring Chinook was estimated (section 1.2, p. 13) using spawner escapement numbers from 1984-2003 (Table 4). By reseeding habitat above Castile Falls and allowing returning adults to spawn with the existing population,
the integrated hatchery program intends to increase and maintain above the minimum effective population size of 500 .

Using the guidelines outlined by the HSRG, a preliminary analysis suggests Klickitat river Spring Chinook are of high biological significance. The inherent genetic diversity is one of several components influencing the biological significance of a stock. Selective responses of a population to a changing or dynamic environment are a function of the inherited genetic predisposition. Conserving a natural populations genetic resources can be accomplished via an integrated hatchery program where natural origin fish are infused into the broodstock. For the Klickitat Spring Chinook integrated program, 100\% of the brood would consist of natural origin fish not only to conserve genetic resources but to prevent genetic divergence between the hatchery and natural environment. This is of special importance since the integrated hatchery origin returns will be designated for the spawning grounds. The suggested guidelines by the HSRG for initiating an integrated hatchery program are based on a declining or imperiled stock of high biological significance. Klickitat Spring Chinook recent trends in abundance don’t necessary support a status quo of declining abundance or an imperiled stock. Nevertheless, in times of poor ocean productivity, Klickitat Spring Chinook spawner recruitment relationships drop well below a value of 1 resulting in spawner escapement numbers of 105 or less (Table 1). When considering the population size and the habitat capacity of the Klickitat, extended periods of poor ocean productivity have the potential to decrease the effective population size below threshold values of 50 that may result in a decrease in viability or reproductive fitness (Franklin 1980).

### 2.1.2 Utilization of HSRG Recommended Operating Guidelines for the Klickitat Spring Chinook Integrated Program

In development of the integration program, operating guidelines recommended by the HSRG were used in conjunction with the current state of habitat conditions and stock performance to size the initial program. A detailed review of the guidelines can be found at the following website http://www.lltk.org/HRP.html within the literature provided. When adhering to these guidelines, limitations to the program are directly influenced by productivity and capacity of the natural environment along with harvest rates on the natural population. From an agencies management perspective, one must also have the ability to control the hatchery environment's influence on the genetic makeup and adaptation of the composite population (HSRG 2005). The following guidelines assisted in the development of the Spring Chinook integrated hatchery program:

1. The targeted value of $\mathrm{pNOB} /(\mathrm{pHOS}+\mathrm{pNOB})$ should be based upon the current status of the stock, the goals for the stock, and involves a benefit versus risk judgment. For any fixed pNOB, the smaller the pHOS, the stronger the selective forces for the natural environment.
2. The proportion of natural-origin fish in the broodstock must exceed the proportion of hatchery-origin fish on the spawning grounds ( $\mathrm{pNOB}>\mathrm{pHOS}$ ) for the natural environment to drive adaptation, which is equivalent to $\mathrm{pNOB} /(\mathrm{pHOS}+\mathrm{pNOB})>0.50$.
3. $\mathrm{pNOB} /(\mathrm{pHOS}+\mathrm{pNOB})$ for integrated programs with stocks of moderate or high biological significance and viability (or goals to maintain or improve the biological significance and viability of the stock) should be greater than 0.7 to ensure high levels of natural dominance.
4. pNOB should be a minimum of $10 \%$ to avoid divergence of the hatchery population from the natural component, even when pHOS is zero.
5. A general rule of thumb is that the total number of adults (hatchery- and natural-origin) used for broodstock cannot exceed the total number of natural-origin escapement.
6. The size of the program should take into account the quantity and quality of habitat available for juveniles and adult spawners, and the effect of the hatchery program on natural stocks.

### 2.1.3 Use of the AHA Model For Planning Stages of the Klickitat Spring Chinook Integrated Hatchery Program

The success or failure of an integrated hatchery program hinges upon the complex synergistic relationships of the freshwater habitat conditions, marine survival, status and viability of the stock, size of the hatchery program and exploitation rate. Attempting to jointly evaluate these parameters in a scientific context is a most challenging task without the support of a quantifying tool. Because of this, the AHA model was used to assist the development of the integrated hatchery program while adhering to the HSRG principles and guidelines. The AHA model is the only available tool that attempts to evaluate the 4H's synergistically, and over multiple generations with fluctuating marine survival. Use of this model allows an individual to explore the simplistic relationships between various strategies of hatchery programs with regards to the other "H" parameters. In our particular case, the most appealing feature of the AHA model is its ability to model the limitations and consequences of an integrated program with respect to natural production and habitat capacity, while considering the expected harvest rates on both hatchery and wild fish. Recognizing the crude nature of point estimate input parameters such as intrinsic productivity, capacity and exploitation rate, quantitative analysis from the model should be used with caution. Interpretations derived from the model should serve as a working hypothesis of the integrated relationships of the 4 H 's. The model is not designed to produce outputs that would dictate strict quantitative goals and objectives for management practices.

## 3. AHA Modeling: Hatchery Strategies and Scenarios

Application of the AHA model version 3.22 for Klickitat River Spring Chinook consisted of exploring the consequences and benefits associated with a variety of scaled integration programs. The Master Plan proposes a long term transition of the current segregated program to an integrated program for an 800,000 smolt release. The plan also proposes
an immediate 200,000 smolt release program using 100\% natural broodstock serving multiple purposes ( refer to section 2.1). Different integration strategies were modeled ranging in magnitude from $2,4,6$, and 800,000 smolt releases. Two integration hatchery strategies will be presented in this document including the proposed long-term 800,000 smolt program and the proposed immediate 200,000 smolt release program. These strategies also include several modeling scenarios with the purpose of investigating altered proportions of natural broodstock and their effect on threshold values outlined in the HSRG guidelines (section 2.1.4). A brief overview of the two modeled strategies are summarized below :
1.) Transition current segregated hatchery practices to an integrated program as outlined in the master plan. This entailed modeling an integrated program with 800,000 smolt releases using different proportions of natural broodstock, and with both current and selective fishery harvest regimes. Multiple hatchery recruitment rates were modeled for each scenario
2.) A scaled back integration program with a release number of 200,000 smolts. Current harvest rates and selective harvest rates were also included in this analysis. Multiple hatchery recruitment rates were modeled for each scenario

Modeling different values of hatchery recruitment rates for both strategies was an essential component in this analysis. This parameter has a high level of uncertainty for nonexistent programs and most certainly influences the likelihood of success or failure of the program. The parameter is simplistic by nature but yet it captures so many complex, unknown variables. In the AHA model, this parameter is a point estimate that represents the expected or observed mean recruitment rate over time. While the annual hatchery recruitment rate varies in the model by following the same oscillation of marine survival experienced by wild fish, the input value represents the mean. As a result, point estimates limit the upper and lower bound possibilities of randomly generated SARs relative to hatchery recruitment rates throughout generational computations. Results from the modeled scenarios considered the long term impacts to the productivity and abundance of natural production and the consistency of remaining within the HSRG operational guidelines.

### 3.1 AHA Data Input Parameters

The AHA model incorporates a broad range of data parameters with regards to the 4 "H's" including habitat, hydro survival, harvest and hatcheries. Some parameters are straight forward with ease of estimation while others can be quite cumbersome with some level of uncertainty, regardless of data sources. Integration of the 4 H 's and the parameters associated with them give the AHA model versatility. Because of its versatility, one must determine their intended use of the model and parameters most affecting their diagnosis. Listed below is some background of the data sources and their use in the model. All other AHA input parameters not listed here can be viewed in appendix A.

### 3.1.1 Habitat Parameters

The Beverton-Holt production model is the underpinning function used for all natural production algorithms in the AHA model. Any AHA analysis involving habitat interactions requires an estimate of the populations capacity and intrinsic productivity as it relates to the environment for the complete life history/cycle. Estimates are not explicit for freshwater habitat but also include out of basin factors affecting survival. The problem with this, when considering the entire life cycle, is the amount of variation in a population's intrinsic productivity and capacity that is a function of outmigration and marine survival. A future improvement in the model would be segregation of freshwater capacity and productivity from the hydro and marine survival parameters. Estimates of the parameter would be much easier with subbasin smolt productions estimates and would have a much higher level of certainty. This modeling exercise required several estimates of capacity and intrinsic productivity for multiple scenarios. 1.) Estimates for current habitat conditions. 2.) Estimates for unseeded habitat above Castile Falls. 3.) The combined estimate of both 1 and 2 representing the capacity and productivity of Klickitat Spring Chinook when distributed above and below the falls.

## Estimates of Current Capacity and Intrinsic Productivity

Estimating the parameters for the current conditions (or habitat below Castile) was extremely important because of its useful insight of the models behavior and accuracy. Capacity and intrinsic productivity estimates for current conditions used Beverton-Holt production calculations derived from Klickitat Spring Chinook observed spawner/ recruitment relationships (see section 1, Figure 1). Recruitment estimates used in the Beverton-Holt functions represent total recruitment, including all estimated harvested fish. Several different recruitment rates and capacity estimates emerged from this analysis, from differences in environmental conditions experienced by the fish, particularly ocean survival. As indicated in section 1, there seems to be three distinct spawner/ recruitment curves with high, medium and low rates of intrinsic productivity and capacity. A majority of the brood years fit to the one curve representing the middle of the range when considering the three distinct relationships. To some degree, this curve represents the average environmental conditions experienced by the combined brood years of 1984-1999. The recruitment curve produced a capacity estimate of 1175 adults and jacks, an intrinsic productivity estimate of 2.975, and a correlation coefficient of roughly 0.925 . These are the capacity and intrinsic productivity estimates used for the current conditions and one of two components for estimating the overall Spring Chinook capacity and productivity for the entire life cycle.

## Estimates of Capacity and Intrinsic Productivity Above Castile Falls

Due to the fact that natural production is virtually nonexistent above Castile Falls, estimating these parameters could not rely upon actual spawner/recruitment data. The EDT model was used to estimate the capacity and productivity above the Falls. An estimate for the habitat above Castile Falls was important since a major objective of the
integrated program is to reseed this area after recent passage improvements. Results from the EDT analysis are presented below in Table 5.

Table 5. EDT estimates of capactiy and intrinsic productivity above Castile Falls.

| Population | Scenario | Diversity <br> index | Productivity | Capacity | Abundance |
| :---: | :--- | :---: | ---: | ---: | ---: |
| Upper Klickitat Spring <br> Chinook | Current without harvest | $84 \%$ | 5.9 | 672 | 559 |
|  | Current with harvest | $79 \%$ | 3.3 | 378 | 264 |
|  | Historic potential | $93 \%$ | 10.7 | 964 | 874 |

Results from this analysis reflect the current potential of the upper Klickitat watershed for Spring Chinook production. Areas directly above Castile Falls represent some of the best spawning and rearing habitat for Spring Chinook in the Klickitat watershed. Channel complexity in the form of low gradient, anastomosing stream segments with high wood densities are typical. In contrast, there exist intermittent areas with habitat degradation from historic and current land uses, as indicated by the historical potential of the EDT analysis. Current, ongoing habitat projects through Yakama Nation Fisheries (Klickitat Watershed Enhancement Project, BPA \# 1997-056-00) are addressing these concerns in the upper Klickitat.

## Combining Capacity and Intrinsic Productivity Estimates for the Entire Basin

Integration of the two individual estimates of capacity and productivity (above and below Castile Falls) for an overall basin estimate was used for the modeled strategies and scenarios presented in this document. These habitat parameters are major drivers in the AHA model, and are most important when exploring model responses and relationships between the habitat and an integrated hatchery program. Combining the intrinsic productivity of the two estimates can be problematic. An uncomplicated approach would be a simple average of the two. But realistically, there exists the possibility of density dependent relationships not easily accounted for. A conservative estimate of 3.5 for intrinsic productivity was used in this analysis versus the average of the two which would result in a much higher value of 4.44. Taking a conservative approach using an underestimated intrinsic productivity value is much less consequential as opposed to using an overestimated value. Using an overestimated value could result in a false sense of confidence when considering the feasibility of an integrated program. For capacity, a simple, straight forward summation of the two areas was used for the overall Spring Chinook capacity estimate. This combined capacity estimate is $1,847(1175+672)$, including adults and jacks. Habitat parameters are summarized below:

Table 6. Summary of Klickitat Spring Chinook capacity and intrinsic productivity estimates.

| Geographic Area | Capacity | Intrinsic Productivity |
| :---: | :---: | :---: |
| Below Castile Falls | 1,175 | 2.975 |
| Above Castile Falls | 672 | 5.9 |
| Sum of Capacity | 1,847 |  |
| Estimated Productivity |  | 3.5 |
| Klickitat Total estimated Habitat | 1,847 | 3.5 |

### 3.1.2 Harvest Parameters

Harvest parameters in the AHA model used to calculate total exploitation rate are broken into ocean, mainstem and terminal harvest rates. The parameters require simple, mean averages representing the users desired time frame estimate for past and current conditions or projected averages for future scenarios. The models stochasticity is captured with a random generation of SARs but regardless of predicted returns, harvest rates are static. Two different harvest patterns were used in the Klickitat AHA model runs defined below.

## Current harvest pattern

Current harvest patterns were used for calibrating and examining the AHA predicted numbers representing current conditions against the actual observed return numbers. This harvest regime was also used as one alternative for modeling future proposed integrated hatchery strategies and scenarios. Wild Spring Chinook ocean and Columbia mainstem harvest rates were estimated using a status report developed by WDFW \& ODFW July 2002 analyzing Columbia River stocks from 1938-2000. Estimated harvest rates used for ocean and mainstem current conditions are $1.34 \%$ and $5.6 \%$ respectively. Klickitat terminal harvest estimates were derived from the YN database. Estimated averages are for the time period 1977-2000 with an average value of $36 \%$. Because hatchery Spring Chinook in the Klickitat have not been $100 \%$ marked until recently, it was assumed they were exposed to similar harvest rates as wild fish.

## Future selective fishery harvest pattern

Modeling future integrated hatchery programs used a selective fishery harvest regime as one alternative. Terminal harvest rates were reduced by $10 \%$ for wild Spring Chinook which represents the past sport fishery average on the wild stock. Currently, approximately $75 \%$ of Klickitat Spring Chinook returns are composed of hatchery fish which means a high percentage of fish harvested are of hatchery origin. Because of this,
estimating increases of harvest rates for a selective fishery is difficult to quantify. An additional $2 \%$ were added to the terminal hatchery harvest rate as a conservative increase in harvest of hatchery Spring Chinook. Average ocean and mainstem harvest rates for wild Chinook under the current condition harvest regime were used under this scenario as well. These harvest rates were maintained so harvest effects of wild fish could be evaluated across current conditions and future proposed integration strategies using different terminal harvest techniques. By switching to a selective fishery, mainstem harvest rates of hatchery fish were expected to change. A 7\% mainstem hatchery Spring Chinook harvest was used for the selective fishery regime. All harvest rates used in the analysis are summarized below.

## Table 7. Harvest rates used for modeling current conditions and integration hatchery strategies under different harvest regimes.

| Klickitat AHA model harvest rates |  |  |  |  |
| :--- | ---: | ---: | ---: | ---: |
| Locality | Current conditions |  | Selective fishery |  |
|  | Wild | Hatchery | Wild | Hatchery |
| Ocean | 0.013 | 0.013 | 0.013 | 0.013 |
| Mainstem | 0.056 | 0.056 | 0.056 | 0.070 |
| Terminal | 0.360 | 0.360 | 0.260 | 0.380 |

### 3.1.3 Hatchery Parameters

For an integrated program, hatchery parameters in the AHA model are important components influencing the interactions among natural origin broodstock requirements and fish available for natural escapement. Fecundity, sex ratios, and hatchery survival all influence the prerequisite number of adults that are needed to meet smolt release quotas. For the Klickitat's current program, empirical data was used to estimate the average for adults taken for brood, pre spawn survival, sex ratios, fecundity and final release numbers. The one parameter difficult to estimate in the hatchery is the fry to smolt survival. For modeling purposes, this number was adjusted to fit the calculated final release numbers in the model to the average observed release number ${ }^{5}$ from 1990-2004. Recruits per spawner for the current hatchery program were calculated using brood years 1989-1999. A value of 2.35 represents the hatchery recruitment rate for the current Klickitat Hatchery program. The current hatchery parameters were also used for the integrated hatchery scenarios. Empirically derived hatchery parameters used for modeling can be viewed in appendix A, Table A1.

[^4]
### 3.1.4 Hydro Survival Parameters (SARs)

Stochasticity within the AHA model (version 3.22) is a function of the random generation of SAR values modifying habitat productivity and capacity. The pattern of randomly generated SAR values attempts to replicate PDO cycles that ultimately drive ocean survival. The targeted SAR value the user provides is calculated by adjusting the juvenile outmigrant survival, ocean survival and adult migrant survival back to the mouth of the subbasin. In one sense, this could be one of the most important input parameters in the model. On the other hand, it only acts as an intermediate calculation affecting the models randomness, and can virtually have no affect on the models predictions. There are two different locations the user inputs a SAR value in the model. One is located on the population worksheet and the other is on the natural component worksheet. These two different input sources for the SAR work together to adjust the habitat capacity and productivity values. If the SAR values are different in the two locations, the user will see a divergence between the input habitat parameters and the adjusted habitat parameters I the cells directly below. It is the adjusted habitat capacity and productivity that influence the models predictions for the upper and lower bounds of the model results (e.g. min and max predictions), not the input SAR directly. If the user inputs the identical SAR values in the two different worksheets, there is no adjustment in the habitat parameters. Therefore, a conservative, estimated value of $4.28 \%$ was input into both source locations for the SAR values. However, as stated before, it is the habitat parameters driving the results of this analysis, not the SAR value.

### 3.1.5 Genetics and Fitness Parameters

For our intended use of the model, these parameters were generally left alone in the fitness worksheet. The initial fitness of both the wild and hatchery populations were set at 100 and all other genetic parameters were left alone with recommended default values. (personal communication C. Busack, WDFW)

### 3.2 Model Behavior and Current Condition Predictions

AHA model predictions in the form of min, max and average numbers for total returns, harvest and escapement were compared to the Klickitat observed numbers for Spring Chinook (Figure 4). Numbers presented for Klickitat observations correspond to 1977$2003^{6}$ recorded data. Modeling current conditions allows us to examine the models behavior and provides useful insight regarding precision and accuracy. The model consistently predicted higher or lower numbers for min, max and average values across total returns, total harvest and escapement.

The largest divergence between observations and model predictions occurred for minimum values of total returns and harvest. AHA predictions for maximum return

[^5]numbers were $21 \%$ higher than the observed maximum return number of Spring Chinook. As a function of predicted maximum return numbers, maximum harvest predictions of the model were $30 \%$ greater than the observed harvest numbers as well. Maximum escapement predictions displayed a higher level of accuracy with a value 9\% below the observed maximum escapement. Because this prediction was actually lower than the observed number, it deviated from the models pattern of over estimating maximum values for total returns and harvest.


Figure 4. 1977-2003 observed Klickitat Spring Chinook returns, harvest and escapement plotted with AHA version 3.22 model predictions.

The model consistently over estimated minimum values across all three categories of returns, harvest and escapement. The over estimated values for minimum predictions were $57 \%, 247 \%$, and $92 \%$ greater than the observed numbers for total returns, harvest and escapement respectively. The model prediction for average values displayed the highest level of accuracy across the min, max and average values generated by the model. Every predicted average value was slightly less than the observed numbers. Total average return predictions were $10 \%$ below observations, average harvest predictions were $14 \%$ below observations and average escapement predictions were only $3 \%$ below observed escapement numbers.

Interpretation of the models behavior can be framed in a simplistic or complex manner depending on how much detail one is willing to provide. In general, the largest
deviations in the model predictions occurred for maximum and minimum predicted values for total returns and harvest. There are a couple simple explanations for this; 1.) Random SAR values used to modify the habitats capacity and productivity values during periods of low and high ocean survival are less than and greater than those experienced by Klickitat Spring Chinook. 2.) Real, observed values of Klickitat Spring Chinook are not $100 \%$ accurate. For the Klickitat Spring Chinook AHA model, its strength is its ability to closely predict average numbers across total returns, harvest and escapement. The escapement predictions were impressively accurate with little deviation from observed numbers. Considering this, the model should be capable of providing insight into our intentional purpose of modeling interactions of integrated hatchery programs with natural production. However, it is just a model. Acknowledgment of its deficiencies and simplistic nature should weigh into interpretations and assumptions.

### 3.3 Quantifying Model outputs in a qualitative sense: What useful insight can we gain from modeling the integration strategies?

The purpose of modeling the integrated hatchery strategies and numerous scenarios is to explore the response of natural production to the proposed actions with the consideration of congruency between model predictions and objectives for the integrated program. HSRG guidelines and program objectives provide the context for questions in need of further information and hypothesis generation. Specific questions pertaining to natural production responses include the following:
1.) Is there sufficient natural escapement to support broodstock needs while maintaining equal or larger numbers of natural origin spawners? -This question relates directly to HSRG guideline \#5, which states in a general sense the number of natural spawners should be larger than the hatchery program brood requirements.
2.) Does the integration program increase natural production in the form of spawner escapement and total natural recruitment?
3.) Does the model suggest a threshold point of diminishing returns with respect to spawner escapement and estimated habitat capacity?
4.) What is the suggested proportion of natural influence (PNI index value ${ }^{7}$ ) on the composite population hypothesized by the model and how does this value align with program objectives?
5.) What is the suggested effective population size hypothesized by the model when considering total natural escapement and does it meet our objectives?
6.) What caveats must be considered with the model outputs when considering uncertainties and parameters beyond the models capabilities?

[^6]
## Modeling different harvest regimes

Two different harvest regimes were modeled for both strategies and their scenarios. One intended to capture the harvest affects of the current terminal harvest rates for both hatchery and wild Chinook. The second harvest regime intended to capture harvest effects for a selective fishery. For more information about harvest rates used for modeling, refer to section 3.1.2.

## Use of different Hatchery recruitment rates

Each scenario also included systematic changes to the hatchery recruitment levels and harvest regimes. Modeled hatchery recruitment levels ranged from 2.35 recruits per spawner (R/S) up to 6.5. A value of 2.35 was chosen for the low end because it represents the observed hatchery recruitment level for the current segregation program ${ }^{8}$. Although current integration programs are capable of producing recruitment rates greater than 6.5 , a range of values up to and including 6.5 were modeled to capture potential trends and interactions between the 4-H's with an increased return number of hatchery origin fish. Differing hatchery recruitment levels can significantly impact the proportions of hatchery fish on the spawning grounds along with the long term levels of natural recruitment and sustainable natural production. Low levels of hatchery recruitment can dismiss the purpose of an integration program if recruitment levels drop below those of natural production. Under these circumstances, a large scale integrated hatchery program would tax natural production with little contribution from returning hatchery fish designated for the spawning grounds. Higher than expected recruitment levels of hatchery fish can result in an increase of hatchery fish on the spawning grounds. This would lead to a greater than desired hatchery influence on the selective forces affecting the genetic makeup of the integrated population.

## Context and presentation of modeling results: graphical outputs

The AHA model comes with several built in graphical outputs to display modeling results. For our purposes, customized outputs were created in order to synthesize model runs and create visuals for observing similarities and differences within scenarios. Values generated by the AHA model used in these custom outputs can be viewed near the end of this attachment in appendix A. Results for these scenarios are presented in Figures 5 through 12 below. All returning numbers presented in these model runs are the mean return rate over 100 generations. Two different model runs were included for each scenario. One consists of maintaining current terminal harvest rates and is represented by the solid lines. The second model run used estimated harvest rates for a terminal selective sport fishery of consideration in the Klickitat represented by the dotted lines. For both harvest model runs, recruitment rates were systematically changed while maintaining all other input parameter values. Model runs for different recruitment rates were plotted consecutively for trend observations in total recruitment and escapement

[^7]levels as a function of the mean hatchery recruitment rate. Also included in the figures are modeling results for Spring Chinook under current conditions. Unlike specific hatchery recruitment rate model runs, the current condition does not include habitat above Castile Falls and characterizes current natural production estimates of the AHA model with current terminal harvest rates and habitat performance estimates ${ }^{9}$. This was included for the purpose of comparing the current state of natural production to proposed hatchery programs utilizing additional habitat above Castile Falls. There is also a minimum NOR escapement threshold in the graphs representing the suggested minimum NOR escapement to spawning grounds. The threshold value is based off of HSRG guideline \#5 indicating minimum NOR escapement should be equal to or greater than the number of adults used for the integrated hatchery program.

### 3.4 Strategy 1: Integrated 800,000 Smolt Release Program

Multiple scenarios were run for the proposed integrated 800,000 smolt release program using different proportions of natural broodstock. These included the following:

Scenario 1: 100\% natural broodstock, 2 different harvest regimes, multiple hatchery recruitment rates
Scenario 2: 75\% natural broodstock, 2 different harvest regimes, multiple hatchery recruitment rates
Scenario 3: 50\% natural broodstock, 2 different harvest regimes, multiple hatchery recruitment rates
Scenario 4: 25\% natural broodstock, 2 different harvest regimes, multiple hatchery recruitment rates

### 3.4.1 Scenario 1: 100\% natural broodstock for integrated program (Figure 5.)

Synthesis and interpretation of the outputs will be framed in the context of questions outlined in section 3.3. In general, model results for the two different harvest regimes seem to consistently track together with the selective harvest regime providing a greater amount of total and natural escapement for all hatchery recruitment rates. One would expect this based on the assumed $10 \%$ decrease in terminal harvest for NOR Spring Chinook. With a hatchery recruitment rate of 2.35 , the population experiences a decrease in natural production from current conditions even with the additional habitat. Natural broodstock requirements and hatchery recruitment rates below intrinsic productivity of natural origin fish are causal factors leading to this decreased natural production. The trend in natural escapement levels approach an asymptotic limit as recruitment rates for hatchery origin fish increase (hatchery fish destined for the spawning grounds). Several factors are potentially affecting these observed responses of natural production to increasing hatchery recruitment: 1) As hatchery escapement to spawning grounds increase, total escapement levels approach or even exceed the estimated capacity ${ }^{10}$ of the

[^8]environment 2.) In relation to \#1, the model uses density dependent Beverton-Holt functions which would limit average natural adult recruitment based on the habitat parameters used in the AHA model. Bearing these factors in mind, the model suggests escapement numbers approach capacity estimates when hatchery recruitment rates are greater than roughly 5 recruits per spawner. As a result, increases in natural production seem to diminish when hatchery recruitment exceed this value.


Figure 5. Predicted average Spring Chinook return numbers for integrated 800,000 smolt release program using 100\% natural broodstock: version 3.22 AHA model.

The modeling results also indicate a failure to reach minimum natural escapement levels (line represented in red) under the current harvest regime while barely meeting this objective under a selective sport fishery harvest regime which requires higher levels of hatchery recruitment. When considering the numbers of hatchery and wild fish in the total escapement composite, the model implies an integration program of this magnitude is disproportionate to the total habitat capacity ${ }^{10}$ and productivity. Furthermore, the feasibility of the population to sustain annual broodstock needs, harvest and some level of natural escapement requires further investigation. For more information regarding this, see section 3.6 below covering caveats and uncertainties for model predictions.

### 3.4.2 Scenario 2: 75\% natural broodstock for integrated program (Figure 6.)

Synthesis and interpretation of the outputs will be framed in the context of questions outlined in section 3.3. Similar to other scenarios under this strategy, model results for the two different harvest regimes seem to consistently track together with the selective harvest regime providing a greater amount of total and natural escapement for all hatchery recruitment rates. With a hatchery recruitment rate of 2.35, the population experiences a decrease in natural production from current conditions even with the additional habitat. Natural broodstock requirements and hatchery recruitment rates below intrinsic productivity of natural origin fish are causal factors leading to this decreased natural production.


Figure 6. Predicted average Spring Chinook return numbers for integrated 800,000 smolt release program using 75\% natural broodstock: version 3.22 AHA model.

Trends in both natural recruitment and total escapement track closely to those in scenario 1 (Figure 5). These similarities can be attributed to the fact that a program of this magnitude quickly fills or exceeds the environment capacity, capable of producing only so many natural recruits when considering the relationship between mean return rates and Beverton-Holt dynamics. Minimum natural escapement levels reach the minimum threshold of 500 (refer to HSRG guideline \#5) at fairly low hatchery recruitment rates for the selective fishery harvest regime ( $\sim 3.5$ ) while current harvest regimes suppress natural escapement below 500 until hatchery recruitment rates exceed 5.5 recruits per spawner.

### 3.4.3 Scenario 3: 50\% natural broodstock for integrated program (Figure 7.)

Synthesis and interpretation of the outputs will be framed in the context of questions outlined in section 3.3. Similar to other scenarios under this strategy, model results for the two different harvest regimes seem to consistently track together with the selective harvest regime providing a greater amount of total and natural escapement for all hatchery recruitment rates. In fact, total escapement and total natural recruitment mean values are very close to those for the other scenarios as hatchery recruitment rates increase. Comments listed under scenario's 1 and 2 also apply to this scenario for the trends in total escapement and total natural recruitment.


Figure 7. Predicted average Spring Chinook return numbers for integrated 800,000 smolt release program using $50 \%$ natural broodstock: version 3.22 AHA model.

Mean values for natural escapement approach the minimum escapement levels rapidly for both selective and current harvest regimes ( $3 \mathrm{R} / \mathrm{S}, 4 \mathrm{R} / \mathrm{S}$ respectively). Unlike scenarios 1 and 2 , natural escapement is not as heavily impacted when hatchery fish recruitment rates are near 2.35 recruits per spawner.

### 3.4.4 Scenario 4: 25\% natural broodstock for integrated program (Figure 8.)

Synthesis and interpretation of the outputs will be framed in the context of questions outlined in section 3.3. Similar to other scenarios under this strategy, model results for
the two different harvest regimes seem to consistently track together with the selective harvest regime providing a greater amount of total and natural escapement for all hatchery recruitment rates. Trends in total natural recruitment and total escapement are similar to those in scenarios 1-3 once hatchery recruitment approach values greater than 3.5. Interpretations of these trends in the first 3 scenarios also apply to scenario 4. Compared with other scenarios exists a subtle difference in natural escapement with a hatchery recruitment rate of 2.35 . With this low level of hatchery recruitment, total natural escapement levels do not decrease as sharply for this scenario as they do for the others. The logical explanation for this is the smaller proportion of natural origin fish required for broodstock needs under this scenario. Thus, buffering the natural population from mining natural escapement with poor hatchery return rates for fish destined to the spawning grounds. Also, with $25 \%$ of broodstock derived from natural origin fish, natural escapement approaches the recommended minimum value much more rapidly than other scenarios requiring higher levels of natural origin broodstock.


Figure 8. Predicted average Spring Chinook return number for integrated 800,000 smolt release program using $\mathbf{2 5 \%}$ natural broodstock: version 3.22 AHA model.

### 3.4.5 Strategy 1: Proportion of Natural Influence (PNI) Index values for Scenarios 1-4 (Figure 9).

Figure 9 illustrates the selective forces of the hatchery and natural environment influencing the composite population for scenarios 1-4. The threshold line represented in red represents the minimum suggested PNI index value for an integrated program initiated for a stock of moderate to high biological significance (see HSRG guideline \#3). For scenario 1, numerous recruitment rates modeled suggest a fairly stable PNI index value of about 0.52 regardless of the proportion of hatchery escapement. Because the broodstock is derived from $100 \%$ wild fish, this value can never drop below 0.5 according to the algorithm used to calculate the value. In a qualitative sense, a value of 0.52 indicates the natural environment having a slightly higher influence on the selective forces over the hatchery environment for the integrated populations genotypic and phenotypic characteristics.


Figure 9. Predicted PNOB/ (PHOS+PNOB) index ratios for integrated 800,000 smolt release program using different proportions of natural broodstock: version 3.22 AHA model.

PNI index values for both harvest regimes in scenario 2 also display stability regardless of the hatchery recruitment rates. But because a proportion of broodstock is of hatchery origin, the PNI index values drop below the threshold of 0.5 , indicating the hatchery environment having a slightly higher influence on the selective forces than the natural environment. Trends in PNI index values for scenarios $1 \& 2$ suggests integration programs of this magnitude will never reach the recommended PNI index value of 0.7 required for stocks of moderate or high biological significance, regardless of the hatchery recruitment rates.

As illustrated in Figure 9 for scenarios 3 \& 4, sharp decreases in PNI index values occur when proportions of hatchery fish used for broodstock approach or exceed 50\%. Under these hatchery integration scenarios, an increase in hatchery recruitment rates can significantly decrease the PNI index values for Klickitat Spring Chinook. This gives rise to an overwhelming hatchery environment influence on the composite population's genotypic and phenotypic characteristics.

### 3.5 Strategy 2: Integrated 200,000 Smolt Release Program

One scenario was modeled for this strategy which consisted of $100 \%$ natural broodstock, two different harvest regimes, and multiple hatchery recruitment rates.

### 3.5.1 Scenario 1: 100\% Natural broodstock for Integrated Program (Figure 10.)

Synthesis and interpretation of the outputs will be framed in the context of questions outlined in section 3.3. In general, model results for the two different harvest regimes seem to consistently track together with the selective harvest regime providing a greater amount of total and natural escapement for all hatchery recruitment rates. One would expect this with the assumed $10 \%$ decrease in terminal harvest for NOR Spring Chinook. Trends in total natural recruitment behave similar to those from scenarios in strategy 1 but seem to approach a different level of equilibrium as recruitment of hatchery fish increase.

A major difference between results of this strategy compared to those scenarios in strategy 1 is the impact to natural escapement at low levels of hatchery recruitment. At recruitment levels at 2.35 for hatchery fish, the large scale smolt release program (strategy 1, scenario 1) requires a larger number of wild fish to fulfill broodstock needs. If poor hatchery recruitment levels below those of natural production were to persist, the program would not perform as desired. This consequence is illustrated by decreases in natural production associated with the large scale program using $100 \%$ natural broodstock (Figure 5). An integrated program requiring a much smaller number of wild fish for broodstock would lessen detrimental impacts to natural production if low hatchery recruitment rates were to persist (Figure 10).

As hatchery recruitment rates increase, total natural recruitment, total escapement and natural escapement also increase . Total natural recruitment and natural escapement approach similar asymptotes to those in scenarios 1-4 of the larger scale (Figures 5-8),
integrated program. This suggests a smaller scale 200,000 smolt release program is capable of providing the same benefits to natural production as those projected from the large scale, 800,000 smolt release program. Limited natural production gains from an integrated program are obviously driven by the environmental capacity and productivity as indicated by these disproportionate integrated programs.


Figure 10. Predicted average Spring Chinook return numbers for integrated $\mathbf{2 0 0 , 0 0 0}$ smolt release program using $\mathbf{1 0 0 \%}$ natural broodstock: version 3.22 AHA model.

With the modeled hatchery recruitment rates for strategy 2, average total escapement numbers increase but never exceed the estimated capacity of the habitat (Figure 10). In reality, hatchery recruitment rates can most certainly exceed those used in this modeling exercise. Even when considering this, the trend in total escapement suggests an extremely high average recruitment rate of hatchery fish would approach the habitat capacity under both harvest regimes. Of course, this is phrased in the context of average returns, using Beverton-Holt production dynamics. Further investigation related to section 3.3 , question 1 is presented below under modeling caveats and underlying circumstances.

### 3.5.2 Strategy 2: PNI Index values for Scenario 1 (Figure 11.)

Figure 11 illustrates the selective forces of the hatchery and natural environment influencing the composite population for scenario 1 . Results are presented in terms of average return numbers. The threshold line represented in red represents the minimum suggested PNI index value for an integrated program initiated for a stock of moderate to high biological significance (see HSRG guideline \#3). The results are not surprising as
the model suggests a gradual decrease in the PNI index value as hatchery recruitment increases. Nonetheless, PNI index values remain near recommended values required for populations of moderate to high biological significance. For all modeled hatchery recruitment rates, constant variance exists between the two different harvest regimes


Figure 11. Predicted PNOB/ (PHOS+PNOB) index ratios for integrated 200,000 smolt release program using 100\% natural broodstock: version 3.22 AHA model.
modeled. A selective terminal fishery maintains a higher PNI index value and does not drop below the minimum threshold until average hatchery recruitment rates exceed values of 6.5. Compared to the other PNI index values for scenarios 1-4 of integration strategy 1 (Figure 9), the model suggests a program of this magnitude is (strategy 2) capable of sustaining a much higher level of natural environmental influences relative to the composite population's genotypic and phenotypic characteristics.

### 3.6 Modeling Caveats and Underlying Circumstances

All models have their deficiencies and weak points. All models were designed to serve a particular purpose, its just that some do it better than others. The AHA model is the first of its kind designed to explore the interactions of the $4-\mathrm{H}$ components. Among several uses, the model can provide assistance for comprehending basic relationships between hatcheries and habitat. It does this by forcing individuals to acknowledge the potential limitations of an integrated program's capabilities based on the habitat and hatchery
parameters. Caveats of consideration for specific modeling scenarios depend on the intentional uses of the model. In this case, we're considering different sized integration programs with different proportions of natural origin broodstock, under different harvest regimes. The purpose is to generate working hypothesis's relative to the costs and benefits to natural production and how they relate to Spring Chinook objectives. As mentioned before, under our modeling scenarios, the largest uncertainty in this modeling exercise is the ability of the natural population to meet broodstock requirements while maintaining adequate escapement. Standard AHA model outputs provide natural escapement frequency distributions but they are grouped into categories ranging from 050, 50-500 and 500-1000 natural origin escapees. This does not clearly address our uncertainty pertaining to the long-term sustainability of natural escapement and brood requirements.

Generational computations in the guts of the model providing the min, max and average return numbers for the 100 generation cycle were extracted and graphed to assist with modeling uncertainties. Average natural escapement numbers generated by the model are heavily skewed by the generations experiencing high out of basin survival (Figure 12 and 13). Because the maximum predicted numbers were much higher than the observed numbers (Figure 4), the average return numbers for the modeling scenarios may be skewed by unrealistic maximum return numbers as well. The model also has an input cell where the user can provide a minimum escapement value. A minimum escapement value of 1 was input into this parameter and maintained for all modeling scenarios. By doing so, it attempts to quantify the impacts of broodstock mining if management practices were to focus on meeting broodstock requirements with little monitoring for natural escapement. This does not reflect YKFP's current management practices or future practices, but rather a method of quantifying the consequences of a hatchery program in relation to question 1 , section 3.3.

### 3.6.1 Time series for $\mathbf{8 0 0 , 0 0 0}$ smolt release, $\mathbf{1 0 0} \%$ natural broodstock program

Several time series were graphed over 40 generations illustrating the total escapement and natural escapement to the spawning grounds under both harvest regimes. One with a hatchery recruitment rate of 2.35 , and another with a hatchery recruitment rate of 6.5 .

### 2.35 hatchery recruitment rate

Results from graphing this time series are illustrated in Figure 12. Differences for total escapement and natural escapement between the two different harvest regimes are significant for generations experiencing high out of basin survival but minimal for all other generations experiencing low to moderate out of basin survival. The variance between total escapement and natural escapement is quite substantial, hinting at the disproportionate size of the hatchery program relative to the habitat capabilities. During periods of low and moderate environmental productivity, it appears that one fish provides for annual escapement while broodstock requirements are not met for a majority of these years. Even with the hatchery escapement numbers supporting natural production, the viability of the composite population would be considered doubtful. If a
catastrophic event were to wipe out the hatchery portion of the population, very few fish would provide for total escapement. From this scenario, it appears a hatchery program of this magnitude would be to taxing on the natural population and its ability to sustain both natural escapement and broodstock requirements.


Figure 12. Time Series illustrating trends in total \& natural escapement: 800,000 smolt release, $\mathbf{1 0 \%}$ natural broodstock, 2.35 hatchery recruitment rate.

### 6.5 Hatchery recruitment rate

Results from graphing this time series are illustrated in Figure 13. Differences for total escapement and natural escapement between the two different harvest regimes are significant for generations experiencing high ocean survival but minimal for all other generations experiencing low to moderate ocean survival. An increased hatchery recruitment rate of 6.5 bolsters natural production resulting in a slight increase in natural escapement (Figure 13) than those from the 2.35 hatchery recruitment rate. In spite of this, escapement numbers still drop to 1-20 fish in years of poor ocean survival. Like the previous scenario, broodstock requirements will not be met during periods of low ocean survival. Even with an average recruitment rate of 6.5 for hatchery fish, the program suppresses natural escapement contributions to the spawning aggregate. Under both harvest regimes, benefits to natural production primarily consist of hatchery fish supporting a very high proportion of total escapement.


Figure 13. Time series illustrating trends in total \& natural escapement: $\mathbf{8 0 0 , 0 0 0}$ smolt release, $\mathbf{1 0 0 \%}$ natural broodstock, 6.5 hatchery recruitment rate.

### 3.6.2 Time series for $\mathbf{2 0 0 , 0 0 0}$ smolt release, $\mathbf{1 0 0} \%$ natural broodstock program

Several time series were graphed over 40 generations illustrating the total escapement and natural escapement to the spawning grounds under both harvest regimes. One with a hatchery recruitment rate of 2.35 , and another with a hatchery recruitment rate of 6.5.

### 2.35 hatchery recruitment rate

Results from graphing this time series are illustrated in Figure 14. Divergence for total escapement and natural escapement between the two different harvest regimes appear to have substantial differences regardless of the variation for out of basin survival. Also, by switching to a selective harvest regime, natural escapement levels approach those of total escapement for the current harvest regime. This suggests a considerable increase in natural escapement by switching current harvest practices to those of a selective sport fishery. The model indicates minimum natural escapement under the current harvest regime having a threshold of about 76 fish with a hatchery program of this magnitude (Table A4). For the selective fishery regime, the minimum natural escapement is roughly 194 fish. A principle difference in the results for this scenario compared to those for the hatchery program of much larger size is the variance between natural escapement and total escapement. The much smaller programs provide a higher level of natural escapement supplemented by hatchery fish while the larger program has a fraction of natural escapement and natural production is heavily supported by hatchery fish.

With the current harvest regime and dismal hatchery recruitment rate of 2.35, careful monitoring would need to take place, ensuring adequate natural escapement relative to broodstock requirements in periods of poor natural recruitment. Additional resiliency for natural escapement during times of poor ocean survival would be provided by switching to a selective fishery regime.


Figure 14. Time series illustrating trends in total \& natural escapement: 200,000 smolt release, $100 \%$ natural broodstock, 2.35 hatchery recruitment rate.

### 6.5 Hatchery recruitment rate

Results from graphing this time series are illustrated in Figure 15. The AHA model indicates minimum natural escapement for the current harvest regime and selective fishery approach values of 226 and 309 respectively (Table A5). Minimum natural escapement responds with sizable increases under this scenario compared to the minimum values for the 2.35 hatchery recruitment rate of the large scale program (Figure 14). The smaller program provides a higher level of natural escapement enhanced by hatchery escapement as indicated by the total escapement trendline. Average natural escapement and total escapement values generated by the model for this scenario are a bit more realistic than those of the large scale integration program because of the decreased variance between the max and min return numbers.


Figure 15. Time series illustrating trends in total \& natural escapement: 800,000 smolt release, $\mathbf{1 0 0 \%}$ natural broodstock, 6.5 hatchery recruitment rate.

### 3.6.2 Hypothetical Effective Population Size Estimates

Hypothesized escapement numbers generated by the AHA model were used to estimate effective population sizes. Estimates were investigated for both 800,000 and 200,000 integrated smolt release programs using $100 \%$ broodstock, multiple hatchery recruitment rates and several harvest regimes. Similar equations were used here as those outlined in section 2.1 for estimating the current effective population size. Generation escapement estimates used can be viewed in the appendix, Tables A2 \& A3. One of the biological objectives of the Spring Chinook integration program is to increase the effective population size from roughly 326 to 500 .

## $8 \mathbf{8 0 0 , 0 0 0}$ smolt release program

Total effective population sizes for the large scale program range from 432 to 1984 depending on the harvest regime and hatchery recruitment rates (Tables 8 \& 9). Poor hatchery recruitment rates result in low effective population estimates with little or no contribution from natural escapement. An increased hatchery recruitment rate results in a YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report
much higher estimated effective population size but still has little contributions from natural escapement. The model suggests the effective population size may not meet the Spring Chinook biological objective if poor hatchery recruitment rates were to persist.

Table 8. Estimated effective population sizes for both natural and total escapement with a hatchery recruitment rate of 2.35 .

|  | 2.35 Recruits Per Spawner |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 200,000 Smolt Release |  |  |  | 800,000 Smolt Release |  |  |  |
|  | Current Harvest Regime |  | Selective Fishery |  | Current Harvest Regime |  | Selective Fishery |  |
|  | $\begin{array}{\|c\|} \hline \text { Effective } \\ \text { NOS } \\ \hline \end{array}$ | Effective Total | $\begin{gathered} \text { Effective } \\ \text { NOS } \end{gathered}$ | $\begin{array}{c\|} \hline \text { Effective } \\ \text { Total } \end{array}$ | $\begin{gathered} \text { Effective } \\ \text { NOS } \end{gathered}$ | Effective Total | $\begin{array}{\|c\|} \hline \text { Effective } \\ \text { NOS } \end{array}$ | Effective Total |
| Harmonic Mean | 87 | 142 | 140 | 189 | 1 | 103 | 1 | 121 |
| Effective population Size | 366 | 597 | 586 | 796 | 3 | 432 | 3 | 509 |

## $\underline{200,000}$ smolt release program

Total effective population sizes for the small scale program range from 586 to 1304 depending on the harvest regime and hatchery recruitment rates (Tables 8 \& 9). Natural escapement represents a high proportion of the total estimated effective spawners for a both hatchery recruitment rates and harvest regimes. Results point to a balanced integration program using smaller release numbers with both natural and hatchery fish contributing to the effective population size.

Table 9. Estimated effective population sizes for both natural and total escapement with a hatchery recruitment rate of 6.5.

|  | 6.5 Recruits Per Spawner |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 200,000 Smolt Release |  |  |  | 800,000 Smolt Release |  |  |  |
|  | Current Harvest Regime |  | Selective Fishery |  | Current Harvest Regime |  | Selective Fishery |  |
|  | $\begin{aligned} & \text { Effective } \\ & \text { NOS } \end{aligned}$ | Effective Total | $\begin{array}{c\|} \hline \text { Effective } \\ \text { NOS } \end{array}$ | Effective Total | $\begin{aligned} & \text { Effective } \\ & \text { NOS } \end{aligned}$ | Effective Total | Effective NOS | Effective Total |
| Harmonic Mean | 138 | 274 | 181 | 310 | 2 | 431 | 10 | 472 |
| Effective population Size | 581 | 1151 | 761 | 1304 | 9 | 1810 | 40 | 1984 |

## 4. Modeling Conclusions and Summary of Integration Hatchery strategies

The success or failure of an integrated hatchery program hinges upon the complex synergistic relationships of the freshwater habitat conditions, marine survival, status and viability of the stock, size of the hatchery program and exploitation rate. Attempting to jointly evaluate these parameters in a scientific context is a most challenging task without the support of a quantifying tool. Because of this, the AHA model was used to assist the development of the integrated hatchery program while adhering to the HSRG principles and guidelines.

Application of the AHA model version 3.22 for Klickitat river Spring Chinook consisted of exploring the consequences and benefits associated with a variety of scaled integration programs. The Master Plan proposes a long term transition of the segregated program to an integrated program for an 800,000 smolt release. The plan also proposes an immediate 200,000 smolt release program using 100\% natural broodstock serving multiple purposes ( refer to section 2.1). In conjunction with the 200,000 release, the program would continue the current segregated 600,000 on-station smolt release. Scenarios using different proportions of natural origin broodstock ( $100 \%, 75 \%, 50 \%$ and $25 \%$ ) were run for the 800,000 smolt release integrated program. One scenario was run for the 200,000 smolt release above Castile Falls consisting of $100 \%$ natural broodstock. All scenarios under both hatchery strategies modeled two different harvest regimes. One captures the current harvest regime and exploitation rate while the other modeled a selective sport fishery harvest regime for the Columbia mainstem and Klickitat subbasin. A range of hatchery recruitment rates were modeled for all scenarios and harvest regimes due to the high level of uncertainty this parameter has with any proposed program. Min, max and average return numbers were generated for all strategies in the analysis.

Modeling low hatchery recruitment rates for all scenarios under the 800,000 smolt release program result in poor performance for natural production in terms of both total escapement and natural escapement (Figures 5-8). As hatchery recruitment rates increase, total escapement increases dramatically for all scenarios using different proportions of natural origin broodstock. Natural escapement also tends to increase to a certain degree, tracking Beverton-Holt density dependent recruitment dynamics. An inverse relationship was observed between the proportion of natural origin fish used for broodstock and average natural escapement. Using 100\% natural origin broodstock constrains both natural escapement and broodstock requirements under both harvest regimes. Very few fish would be available for natural escapement as broodstock requirements would not be met during periods of poor ocean productivity and out of basin survival (Figures $13 \& 14$ ). As the proportion of natural origin fish in the broodstock decreases, natural escapement increases slightly and broodstock requirements are met a majority of time during periods of poor ocean productivity and out of basin survival. However, when high proportions of hatchery fish are used for broodstock, natural selective forces driving the genetic characteristics of the composite population are heavily influenced by the hatchery environment with PNI index values ranging from 0.47 down to 0.26 (Figure 9). Under these circumstances, a high level of uncertainty exists for
genetic risks associated with domestication and decreases in fitness. Synthesis of all model outputs for the integrated program release of 800,000 smolts suggest a program of this magnitude may not provide the desired benefits to natural production while meeting program objectives base on the current habitat capacity and productivity estimates used in this analysis.

An integrated program releasing 200,000 smolts derived from 100\% natural origin brood has been hypothesized by the AHA model to have equal if not greater benefits for natural production than the larger scale integrated 800,000 smolt release program. As hatchery recruitment rates increase, both total natural recruitment and natural escapement increase as they approach asymptotes similar to those of the larger scale, integrated program(Figures 5-8 \& 10). Natural production gains and sustainability with an integrated program are driven by the estimates of environmental capacity and intrinsic productivity, as suggested by the results of the two different sized programs. Interpretation of model outputs supporting this are illustrated in Figures 12-15. These figures represent generational computations extracted from the model and graphed as a time series. The smaller scale integrated program appears to be tailored to the environmental constraints with the natural escapement performance exceeding that of the larger scale program. This is related to the broodstock requirements of each program and the natural production potential of the environment. The increase in natural escapement for the smaller integration program and natural environments influence on the selective forces are captured in the hypothesized PNI index values. The magnitude of hatchery escapement can also impact the PNI index values which are related to hatchery recruitment rates. The HSRG suggests a minimum value of 0.70 for stocks of moderate to high biological significance. Generic guidelines suggest the Klickitat Spring Chinook stock is of high biological significance (section 1.3). PNI index values generated by the AHA model ranged from 0.8 to 0.67 for the 200,000 smolt release program (Figure 11). These values generally provide an estimate of the spawning ground composition and environmental influences on the composite populations genetic characteristics. Although the model hypothesized the values are within acceptable ranges, management practices should carefully monitor this to the best of their ability regardless of model predictions.

One of the biological objectives of the Spring Chinook integration program is to increase the effective population size from approximately 326 to 500 adults. Generation return estimates illustrated in the time series graphs (Figures 12-15) were also used to calculate the hypothetical effective population size for the $100 \%$ natural origin broodstock integration strategies (Tables $8 \& 9$ ). Total effective population size for the large scale program ranged from 432 to 1984 depending on the harvest regime and hatchery recruitment rates. Poor hatchery recruitment rates result in low effective population estimates with little or no contribution from natural escapement. The model suggests that the effective population size may not meet the Spring Chinook biological objective under the large scale integrated program if poor hatchery recruitment rates were to persist. Total effective population size for the small scale program ranged from 586 to 1304 adults depending on the harvest regime and hatchery recruitment rates. Results point to a balanced integration program using smaller release numbers with both natural and hatchery fish contributing to the effective population size. The AHA model suggests an
effective population size of 500 can potentially be maintained with the 200,000 smolt release integration program.

The AHA model has assisted us by expanding our understanding of the general relationships between hatcheries and habitat interactions. The model outputs have provided additional framework for developing hypothesis used to assist our adaptive management proposals and practices. Implementing integration strategies should use stringent monitoring and evaluation practices that will provide empirical evidence in support or modification of working hypotheses.

Spring Chinook harvest in the Klickitat has extremely high cultural significance to the Yakama Nation which offers unique, traditional fishing opportunities for tribal fishermen. The Klickitat is also a popular river with sport fishermen that offers exceptional Spring Chinook fishing opportunities. As a co-manager of the resource, it is our goal to sustain and improve these fishing opportunities while maintaining and enhancing the ecological integrity of native Klickitat Spring Chinook.

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## Appendix A

## 1.) Version 3.22 AHA Model Data Inputs

2.) Generation Escapement used for effective population estimates

## 3.) Integrated Hatchery Strategy 1: Scenarios 1-4 and current conditions

## 4.) Integrated Hatchery Strategy 2: Scenario 1

## Table A 1. Data values used to model current conditions and integrated hatchery strategies: version 3.22 AHA model

| H-Species | Spring Chinook | Spring Chinook | Spring Chinook |
| :---: | :---: | :---: | :---: |
| H-Stock Name | Klickitat Spring Chinook | Klickitat Spring Chinook | Klickitat Spring Chinook |
| H-Subbasin | Klickitat | Klickitat | Klickitat |
| I-Management Intent | segregated hatchery program | Integrated program | Integrated program |
| H-Strategy | 100\% hatchery broodstock | 200,000 smolt release | 200,000 smolt release |
| H-Name/Agency | Chris Frederiksen | Chris Frederiksen | Chris Frederiksen |
| H-Scenario Name | Current Conditions | Current Harvest Regime | Selective Fishery Regime |
| H-Hatchery Name | Klickitat | Klickitat | Klickitat |
| I- Fitness - Egg to Smolt- Relative Loss | 0.4 | 0.4 | 0.4 |
| I- Fitness - Initial Hatchery | 100 | 100 | 100 |
| I- Fitness - Initial Natural | 100 | 100 | 100 |
| I- Fitness - Spawner to Egg- Relative Loss | 0.5 | 0.5 | 0.5 |
| 1 - Fitness heritc | 0.5 | 0.5 | 0.5 |
| I- Fitness heritw | 0.5 | 0.5 | 0.5 |
| I- Fitness omegac | 10 | 10 | 10 |
| I- Fitness omegaw | 10 | 10 | 10 |
| I- Fitness thetac | 90 | 90 | 90 |
| 1 - Fitness thetaw | 100 | 100 | 100 |
| I- Fitness variance | 10 | 10 | 10 |
| I- HOR in Hatchery Eggs/Female | 3803 | 3803 | 3803 |
| 1 - HOR in Hatchery Female Prespawning Surv. | 0.930 | 0.930 | 0.930 |
| I- HOR in Hatchery Fry to Smolt Surv. | 0.820 | 0.820 | 0.820 |
| 1 - HOR in Hatchery Percent Females | 0.553 | 0.553 | 0.553 |
| I- HOR in Nature Egg to Smolt- Comp. Factor | 1.000 | 1.000 | 1.000 |
| 1 - HOR in Nature Egg to Smolt- Rel. Prod | 1.000 | 1.000 | 1.000 |
| I- HOR in Nature Smolt to Spawner- Comp. Factor | 1.000 | 1.000 | 1.000 |
| I- HOR in Nature Smolt to Spawner- Rel. Prod | 1.000 | 1.000 | 1.000 |
| I- HOR in Nature Spawner to Egg- Comp. Factor | 1.000 | 1.000 | 1.000 |
| I- HOR in Nature Spawner to Egg- Rel. Prod | 0.800 | 0.800 | 0.800 |
| I- HOR Post Release Smolt to Spawner | 1.000 | 1.000 | 1.000 |
| I- Initial Hatchery Population | 100 | 100 | 100 |
| I- Initial Natural Population | 1000 | 1000 | 1000 |
| I- NOR in Hatchery Egg to Smolt Survival | 1 | 1 | 1 |
| I- NOR in Hatchery Spawner to Egg Survival | 1 | 1 | 1 |
| I- NOR Smolt to Spawner Capacity | 999999999 | 999999999 | 999999999 |
| I- NOR Smolt to Spawner Productivity | 0 | 0 | 0 |
| I- NOR Spawner to Egg Capacity | 10000000 | 10000000 | 10000000 |
| I- NOR Spawner to Egg Productivity | 2500 | 2500 | 2500 |
| I- Primary Program Broodstock-Local | 556 | 125 | 125 |
| I- Primary Program Broodstock-Import | 0 | 0 | 0 |
| I- Primary Program Fitness Toggle | y | y | y |
| I- Primary Program Percent to Hatchery | 1 | 0 | 0 |
| I- Primary Program Percent to River | 0 | 1 | 1 |
| I- Primary Program pHOS Goal | 0 | 1 | 1 |
| I- Primary Program pNOB Goal | 0 | 1 | 1 |
| I- Primary Program Recruits per Spawner | 2.35 | 2.35-6.5 | 2.35-6.5 |
| I- Program Begin Year | 0 | 0 | 0 |
| I- Program End Year | 110 | 110 | 110 |
| I- SAR Mean for High Years | 0 | 0 | 0 |
| I- SAR Mean for Low Years | 0 | 0 | 0 |
| I- SAR Mean for Medium Years | 0 | 0 | 0 |
| I- SAR Var of Ln(SAR) for High Years | 0 | 0 | 0 |
| I- SAR Var of Ln(SAR) for Low Years | 0 | 0 | 0 |
| I- SAR Var of Ln(SAR) for Medium Years | 0 | 0 | 0 |
| I-Hab. Capacity | 1175 | 1847 | 1847 |
| I-Hab. Productivity | 2.975 | 3.500 | 3.500 |
| I-Out-of-Subbasin SAR | 0 | 0 | 0 |
| I-Harvest Rate -Mainstem -HOR | 0 | 0 | 0 |
| I-Harvest Rate -Mainstem -NOR | 0 | 0 | 0 |
| I-Harvest Rate -Marine -HOR | 0 | 0 | 0 |
| I-Harvest Rate -Marine -NOR | 0 | 0 | 0 |
| I-Harvest Rate -Terminal -HOR | 0 | 0 | 0 |
| I-Harvest Rate -Terminal -NOR | 0 | 0 | 0 |
| I-Min. NOR Escapement | 1 | 1 | 1 |
| I-Percent Reconditioned Kelts | 0 | 0 | 0 |
| I-Program Type | Integrated | Integrated | Integrated |
| I-Random Broodstock Switch | 0.000 | 0.000 | 0.000 |
| I-SAR - Marine | 0.060 | 0.060 | 0.060 |
| I-Juvenile passage | 0.750 | 0.750 | 0.750 |
| I-Adult passage | 0.950 | 0.950 | 0.950 |
| I-Other Hatchery Runsize | 0.000 | 0.000 | 0.000 |
| I-Other Hatchery Stray Rate | 0.000 | 0.000 | 0.000 |
| I-Variable SAR Toggle | y | y | y |

## Table A 2. AHA model generation computations used for estimated effective population size: 200,000 smolt release program, 2.35 recruits per spawner.

| Generation | 200,000 smolt release program: 100\% natural brood |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Natural Spawners |  |  |  |  |  |  | Natural Spawners |  |  |  |  |  |  |
|  | 2.35 R/S, Current Harvest Regime |  |  |  |  |  |  | 2.35 R/S, Selective Fishery Harvest Regime |  |  |  |  |  |  |
|  | SHN <br> (HOStotal) | $\begin{gathered} \hline \text { SHN } \\ \text { (HOS) } \\ \hline \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { Surpl SHN } \\ (\mathrm{HOS}) \end{array}$ | $\begin{gathered} \hline \text { SNN } \\ \text { (NOS) } \\ \hline \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { Effective } \\ \text { NOS } \\ \hline \end{array}$ | $\begin{aligned} & \hline \text { Total } \\ & \text { Esc. } \end{aligned}$ | $\begin{gathered} \hline \text { Effective } \\ \text { Total } \\ \hline \end{gathered}$ | SHN (HOStotal) | $\begin{gathered} \hline \text { SHN } \\ \text { (HOS) } \\ \hline \end{gathered}$ | $\begin{gathered} \hline \text { Surpl SHN } \\ \text { (HOS) } \end{gathered}$ | $\begin{gathered} \hline \text { SNN } \\ \text { (NOS) } \\ \hline \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { Effective } \\ \text { NOS } \end{array}$ | $\begin{gathered} \hline \text { Total } \\ \text { Esc. } \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { Effective } \\ \text { Total } \\ \hline \end{array}$ |
| - | 58 | 58 | 0 | 463 | 153 | 521 | 172 | 55 | 55 | 0 | 556 | 183 | 611 | 202 |
| 1 | 7 | 7 | 0 | 519 | 171 | 526 | 174 | 6 | 6 | 0 | 682 | 225 | 688 | 227 |
| 2 | 357 | 357 | 0 | 1006 | 332 | 1363 | 450 | 340 | 340 | 0 | 1360 | 449 | 1700 | 561 |
| 3 | 167 | 167 | 0 | 635 | 209 | 802 | 265 | 159 | 159 | 0 | 809 | 267 | 968 | 320 |
| 4 | 155 | 155 | 0 | 466 | 154 | 621 | 205 | 148 | 148 | 0 | 611 | 202 | 759 | 251 |
| 5 | 132 | 132 | 0 | 326 | 107 | 458 | 151 | 126 | 126 | 0 | 447 | 147 | 573 | 189 |
| 6 | 124 | 124 | 0 | 237 | 78 | 361 | 119 | 118 | 118 | 0 | 347 | 115 | 465 | 154 |
| 7 | 150 | 150 | 0 | 257 | 85 | 407 | 134 | 143 | 143 | 0 | 390 | 129 | 533 | 176 |
| 8 | 132 | 132 | 0 | 234 | 77 | 366 | 121 | 126 | 126 | 0 | 360 | 119 | 486 | 160 |
| 9 | 108 | 108 | 0 | 151 | 50 | 259 | 86 | 103 | 103 | 0 | 254 | 84 | 357 | 118 |
| 10 | 114 | 114 | 0 | 107 | 35 | 221 | 73 | 108 | 108 | 0 | 209 | 69 | 317 | 105 |
| 11 | 198 | 198 | 0 | 230 | 76 | 428 | 141 | 189 | 189 | 0 | 413 | 136 | 602 | 199 |
| 12 | 567 | 567 | 0 | 1433 | 473 | 2000 | 660 | 541 | 541 | 0 | 2064 | 681 | 2605 | 859 |
| 13 | 211 | 211 | 0 | 927 | 306 | 1138 | 376 | 201 | 201 | 0 | 1162 | 383 | 1363 | 450 |
| 14 | 129 | 129 | 0 | 433 | 143 | 562 | 185 | 123 | 123 | 0 | 559 | 185 | 682 | 225 |
| 15 | 147 | 147 | 0 | 351 | 116 | 498 | 164 | 140 | 140 | 0 | 481 | 159 | 621 | 205 |
| 16 | 166 | 166 | 0 | 378 | 125 | 544 | 180 | 158 | 158 | 0 | 529 | 175 | 687 | 227 |
| 17 | 117 | 117 | 0 | 248 | 82 | 365 | 120 | 112 | 112 | 0 | 360 | 119 | 472 | 156 |
| 18 | 129 | 129 | 0 | 204 | 67 | 333 | 110 | 123 | 123 | 0 | 319 | 105 | 442 | 146 |
| 19 | 110 | 110 | 0 | 139 | 46 | 249 | 82 | 105 | 105 | 0 | 241 | 80 | 346 | 114 |
| 20 | 134 | 134 | 0 | 139 | 46 | 273 | 90 | 128 | 128 | 0 | 261 | 86 | 389 | 128 |
| 21 | 224 | 224 | 0 | 340 | 112 | 564 | 186 | 214 | 214 | 0 | 562 | 185 | 776 | 256 |
| 22 | 416 | 416 | 0 | 1200 | 396 | 1616 | 533 | 396 | 396 | 0 | 1670 | 551 | 2066 | 682 |
| 23 | 285 | 285 | 0 | 1221 | 403 | 1506 | 497 | 272 | 272 | 0 | 1533 | 506 | 1805 | 596 |
| 24 | 130 | 130 | 0 | 481 | 159 | 611 | 202 | 124 | 124 | 0 | 612 | 202 | 736 | 243 |
| 25 | 113 | 113 | 0 | 257 | 85 | 370 | 122 | 108 | 108 | 0 | 359 | 118 | 467 | 154 |
| 26 | 116 | 116 | 0 | 174 | 57 | 290 | 96 | 111 | 111 | 0 | 272 | 90 | 383 | 127 |
| 27 | 123 | 123 | 0 | 144 | 48 | 267 | 88 | 118 | 118 | 0 | 252 | 83 | 370 | 122 |
| 28 | 129 | 129 | 0 | 139 | 46 | 268 | 89 | 123 | 123 | 0 | 259 | 85 | 382 | 126 |
| 29 | 143 | 143 | 0 | 168 | 55 | 311 | 102 | 136 | 136 | 0 | 308 | 102 | 444 | 147 |
| 30 | 151 | 151 | 0 | 215 | 71 | 366 | 121 | 144 | 144 | 0 | 373 | 123 | 517 | 171 |
| 31 | 273 | 273 | 0 | 560 | 185 | 833 | 275 | 260 | 260 | 0 | 853 | 281 | 1113 | 367 |
| 32 | 458 | 458 | 0 | 1612 | 532 | 2070 | 683 | 437 | 437 | 0 | 2132 | 703 | 2569 | 848 |
| 33 | 234 | 234 | 0 | 1042 | 344 | 1276 | 421 | 223 | 223 | 0 | 1292 | 426 | 1515 | 500 |
| 34 | 155 | 155 | 0 | 564 | 186 | 719 | 237 | 148 | 148 | 0 | 717 | 237 | 865 | 285 |
| 35 | 133 | 133 | 0 | 355 | 117 | 488 | 161 | 127 | 127 | 0 | 478 | 158 | 605 | 200 |
| 36 | 162 | 162 | 0 | 360 | 119 | 522 | 172 | 155 | 155 | 0 | 506 | 167 | 661 | 218 |
| 37 | 121 | 121 | 0 | 251 | 83 | 372 | 123 | 116 | 116 | 0 | 367 | 121 | 483 | 159 |
| 38 | 139 | 139 | 0 | 230 | 76 | 369 | 122 | 132 | 132 | 0 | 357 | 118 | 489 | 161 |
| 39 | 133 | 133 | 0 | 211 | 70 | 344 | 114 | 126 | 126 | 0 | 337 | 111 | 463 | 153 |
| 40 | 136 | 136 | 0 | 204 | 67 | 340 | 112 | 129 | 129 | 0 | 335 | 111 | 464 | 153 |
| 41 | 207 | 207 | 0 | 371 | 122 | 578 | 191 | 197 | 197 | 0 | 574 | 189 | 771 | 254 |
| 42 | 583 | 583 | 0 | 1750 | 578 | 2333 | 770 | 556 | 556 | 0 | 2380 | 785 | 2936 | 969 |
| 43 | 197 | 197 | 0 | 877 | 290 | 1074 | 355 | 187 | 187 | 0 | 1091 | 360 | 1278 | 422 |
| 44 | 133 | 133 | 0 | 436 | 144 | 569 | 188 | 127 | 127 | 0 | 565 | 186 | 692 | 228 |
| 45 | 122 | 122 | 0 | 271 | 89 | 393 | 130 | 117 | 117 | 0 | 381 | 126 | 498 | 164 |
| 46 | 103 | 103 | 0 | 149 | 49 | 252 | 83 | 99 | 99 | 0 | 240 | 79 | 339 | 112 |
| 47 | 123 | 123 | 0 | 117 | 39 | 240 | 79 | 117 | 117 | 0 | 222 | 73 | 339 | 112 |
| 48 | 157 | 157 | 0 | 171 | 56 | 328 | 108 | 150 | 150 | 0 | 317 | 105 | 467 | 154 |
| 49 | 128 | 128 | 0 | 172 | 57 | 300 | 99 | 122 | 122 | 0 | 309 | 102 | 431 | 142 |
| 50 | 109 | 109 | 0 | 116 | 38 | 225 | 74 | 104 | 104 | 0 | 228 | 75 | 332 | 110 |
| Harmonic Mean |  |  |  |  | 87 |  | 142 |  |  |  |  | 140 |  | 189 |
| Effective population | ation Size |  |  |  | 366 |  | 597 |  |  |  |  | 586 |  | 796 |

Table A 3. AHA model generation computations used for estimated effective
population size: 200,000 smolt release program, 6.5 recruits per
spawner.

| Generation | 200,000 smolt release program: 100\% natural brood |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Natural Spawners |  |  |  |  |  |  | Natural Spawners |  |  |  |  |  |
|  | 6.5 R/S, Current Harvest Regime |  |  |  |  |  |  | 6.5 R/S, Selective Fishery Harvest Regime |  |  |  |  |  |
|  | SHN <br> (HOStotal) | $\begin{aligned} & \text { SHN } \\ & \text { (HOS) } \end{aligned}$ | $\begin{gathered} \text { Surpl SHN } \\ \text { (HOS) } \end{gathered}$ | $\begin{gathered} \hline \text { SNN } \\ \text { (NOS) } \end{gathered}$ | Effective <br> NOS | Total <br> Esc. | Effective <br> Total | $\|$SHN <br> (HOStotal) | $\begin{aligned} & \text { SHN } \\ & \text { (HOS) } \end{aligned}$ | $\begin{gathered} \text { Surpl SHN } \\ (\mathrm{HOS}) \end{gathered}$ | $\begin{gathered} \text { SNN } \\ (\mathrm{NOS}) \end{gathered}$ | Effective <br> NOS | Total <br> Esc. |
| 0 | 58 | 58 | 0 | 463 | 153 | 521 | 172 | 55 | 55 | 0 | 556 | 183 | 611 |
| 1 | 53 | 53 | 0 | 519 | 171 | 572 | 189 | 51 | 51 | 0 | 682 | 225 | 733 |
| 2 | 988 | 988 | 0 | 1052 | 347 | 2040 | 673 | 942 | 942 | 0 | 1400 | 462 | 2342 |
| 3 | 462 | 462 | 0 | 704 | 232 | 1166 | 385 | 440 | 440 | 0 | 867 | 286 | 1307 |
| 4 | 429 | 429 | 0 | 543 | 179 | 972 | 321 | 409 | 409 | 0 | 679 | 224 | 1088 |
| 5 | 366 | 366 | 0 | 409 | 135 | 775 | 256 | 349 | 349 | 0 | 522 | 172 | 871 |
| 6 | 343 | 343 | 0 | 332 | 110 | 675 | 223 | 327 | 327 | 0 | 434 | 143 | 761 |
| 7 | 417 | 417 | 0 | 396 | 131 | 813 | 268 | 398 | 398 | 0 | 516 | 170 | 914 |
| 8 | 366 | 366 | 0 | 369 | 122 | 735 | 243 | 349 | 349 | 0 | 480 | 158 | 829 |
| 9 | 299 | 299 | 0 | 264 | 87 | 563 | 186 | 286 | 286 | 0 | 352 | 116 | 638 |
| 10 | 315 | 315 | 0 | 234 | 77 | 549 | 181 | 300 | 300 | 0 | 322 | 106 | 622 |
| 11 | 549 | 549 | 0 | 489 | 161 | 1038 | 342 | 524 | 524 | 0 | 640 | 211 | 1164 |
| 12 | 1569 | 1569 | 0 | 2182 | 720 | 3751 | 1238 | 1496 | 1496 | 0 | 2678 | 884 | 4174 |
| 13 | 585 | 585 | 0 | 1034 | 341 | 1619 | 534 | 558 | 558 | 0 | 1241 | 409 | 1799 |
| 14 | 358 | 358 | 0 | 485 | 160 | 843 | 278 | 342 | 342 | 0 | 603 | 199 | 945 |
| 15 | 407 | 407 | 0 | 435 | 143 | 842 | 278 | 388 | 388 | 0 | 557 | 184 | 945 |
| 16 | 459 | 459 | 0 | 501 | 165 | 960 | 317 | 438 | 438 | 0 | 640 | 211 | 1078 |
| 17 | 325 | 325 | 0 | 342 | 113 | 667 | 220 | 310 | 310 | 0 | 443 | 146 | 753 |
| 18 | 357 | 357 | 0 | 317 | 104 | 674 | 222 | 341 | 341 | 0 | 420 | 139 | 761 |
| 19 | 306 | 306 | 0 | 252 | 83 | 558 | 184 | 292 | 292 | 0 | 341 | 113 | 633 |
| 20 | 372 | 372 | 0 | 293 | 97 | 665 | 220 | 355 | 355 | 0 | 397 | 131 | 752 |
| 21 | 621 | 621 | 0 | 632 | 208 | 1253 | 413 | 592 | 592 | 0 | 813 | 268 | 1405 |
| 22 | 1151 | 1151 | 0 | 1668 | 550 | 2819 | 930 | 1098 | 1098 | 0 | 2043 | 674 | 3141 |
| 23 | 789 | 789 | 0 | 1365 | 451 | 2154 | 711 | 753 | 753 | 0 | 1641 | 541 | 2394 |
| 24 | 360 | 360 | 0 | 525 | 173 | 885 | 292 | 344 | 344 | 0 | 647 | 214 | 991 |
| 25 | 314 | 314 | 0 | 314 | 103 | 628 | 207 | 300 | 300 | 0 | 409 | 135 | 709 |
| 26 | 322 | 322 | 0 | 259 | 86 | 581 | 192 | 307 | 307 | 0 | 351 | 116 | 658 |
| 27 | 342 | 342 | 0 | 266 | 88 | 608 | 201 | 327 | 327 | 0 | 362 | 119 | 689 |
| 28 | 357 | 357 | 0 | 290 | 96 | 647 | 214 | 340 | 340 | 0 | 392 | 129 | 732 |
| 29 | 396 | 396 | 0 | 350 | 115 | 746 | 246 | 378 | 378 | 0 | 465 | 153 | 843 |
| 30 | 418 | 418 | 0 | 410 | 135 | 828 | 273 | 399 | 399 | 0 | 535 | 176 | 934 |
| 31 | 756 | 756 | 0 | 886 | 292 | 1642 | 542 | 721 | 721 | 0 | 1117 | 369 | 1838 |
| 32 | 1268 | 1268 | 0 | 1999 | 660 | 3267 | 1078 | 1209 | 1209 | 0 | 2425 | 800 | 3634 |
| 33 | 648 | 648 | 0 | 1122 | 370 | 1770 | 584 | 618 | 618 | 0 | 1349 | 445 | 1967 |
| 34 | 430 | 430 | 0 | 615 | 203 | 1045 | 345 | 411 | 411 | 0 | 759 | 250 | 1170 |
| 35 | 369 | 369 | 0 | 418 | 138 | 787 | 260 | 351 | 351 | 0 | 533 | 176 | 884 |
| 36 | 450 | 450 | 0 | 466 | 154 | 916 | 302 | 429 | 429 | 0 | 601 | 198 | 1030 |
| 37 | 337 | 337 | 0 | 344 | 114 | 681 | 225 | 321 | 321 | 0 | 448 | 148 | 769 |
| 38 | 384 | 384 | 0 | 348 | 115 | 732 | 242 | 367 | 367 | 0 | 461 | 152 | 828 |
| 39 | 367 | 367 | 0 | 340 | 112 | 707 | 233 | 351 | 351 | 0 | 450 | 149 | 801 |
| 40 | 376 | 376 | 0 | 344 | 114 | 720 | 238 | 359 | 359 | 0 | 456 | 150 | 815 |
| 41 | 573 | 573 | 0 | 593 | 196 | 1166 | 385 | 546 | 546 | 0 | 764 | 252 | 1310 |
| 42 | 1613 | 1613 | 0 | 2309 | 762 | 3922 | 1294 | 1539 | 1539 | 0 | 2831 | 934 | 4370 |
| 43 | 545 | 545 | 0 | 944 | 312 | 1489 | 491 | 519 | 519 | 0 | 1138 | 376 | 1657 |
| 44 | 370 | 370 | 0 | 482 | 159 | 852 | 281 | 353 | 353 | 0 | 603 | 199 | 956 |
| 45 | 339 | 339 | 0 | 335 | 111 | 674 | 223 | 323 | 323 | 0 | 438 | 145 | 761 |
| 46 | 287 | 287 | 0 | 226 | 75 | 513 | 169 | 274 | 274 | 0 | 309 | 102 | 583 |
| 47 | 340 | 340 | 0 | 235 | 78 | 575 | 190 | 324 | 324 | 0 | 328 | 108 | 652 |
| 48 | 436 | 436 | 0 | 362 | 120 | 798 | 263 | 416 | 416 | 0 | 486 | 160 | 902 |
| 49 | 355 | 355 | 0 | 338 | 112 | 693 | 229 | 338 | 338 | 0 | 446 | 147 | 784 |
| 50 | 301 | 301 | 0 | 247 | 81 | 548 | 181 | 287 | 287 | 0 | 336 | 111 | 623 |
| Harmonic Me |  |  |  |  | 138 |  | 274 |  |  |  |  | 181 |  |
| Effective popu | ulation Size |  |  |  | 581 |  | 1151 |  |  |  |  | 761 |  |

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# Table A 4. AHA model generation computations used for estimated effective population size: 800,000 smolt release program, 2.35 recruits per spawner. 

| Generation | 800,000 smolt release program: 100\% Natural brood |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Natural Spawners |  |  |  |  |  |  | Natural Spawners |  |  |  |  |  |  |
|  | 2.35 R/S, Current Harvest Regime |  |  |  |  |  |  | 2.35 R/S, Selective Fishery Harvest Regime |  |  |  |  |  |  |
|  | $\begin{array}{\|c\|} \hline \text { SHN } \\ \text { (HOStotal } \end{array}$ | $\begin{gathered} \mathrm{SHN} \\ \text { (HOS) } \end{gathered}$ | $\begin{gathered} \hline \text { Surpl SHN } \\ \text { (HOS) } \\ \hline \end{gathered}$ | $\begin{gathered} \hline \text { SNN } \\ \text { (NOS) } \end{gathered}$ | $\begin{gathered} \text { Effective } \\ \text { NOS } \end{gathered}$ | Total Esc. | Effective Total | $\begin{array}{\|c\|} \hline \text { SHN } \\ \text { (HOStotal) } \end{array}$ | $\begin{aligned} & \hline \text { SHN } \\ & \text { (HOS) } \end{aligned}$ | $\begin{gathered} \hline \text { Surpl SHN } \\ \text { (HOS) } \end{gathered}$ | $\begin{aligned} & \text { SNN } \\ & \text { (NOS) } \end{aligned}$ | $\begin{gathered} \text { Effective } \\ \text { NOS } \end{gathered}$ | Total Esc. | Effective Total |
|  | 58 | 58 | 0 | 88 | 29 | 146 | 48 | 55 | 55 | 0 | 181 | 60 | 236 | 78 |
| 1 | 28 | 28 | 0 | 2 | 1 | 30 | 10 | 26 | 26 | 0 | 1 | 0 | 27 | 9 |
| 2 | 781 | 781 | 0 | 1 | 0 | 782 | 258 | 1261 | 1261 | 0 | 1 | 0 | 1262 | 417 |
| 3 | 132 | 132 | 0 | 65 | 22 | 197 | 65 | 133 | 133 | 0 | 290 | 96 | 423 | 139 |
| 4 | 624 | 624 | 0 | 2 | 1 | 626 | 206 | 595 | 595 | 0 | 1 | 0 | 596 | 197 |
| 5 | 258 | 258 | 0 | 2 | 1 | 260 | 86 | 504 | 504 | 0 | 1 | 0 | 505 | 167 |
| 6 | 398 | 398 | 0 | 2 | 1 | 400 | 132 | 430 | 430 | 0 | 2 | 1 | 432 | 143 |
| 7 | 260 | 260 | 0 | 2 | 1 | 262 | 86 | 445 | 445 | 0 | 2 | 1 | 447 | 147 |
| 8 | 376 | 376 | 0 | 1 | 0 | 377 | 125 | 436 | 436 | 0 | 1 | 0 | 437 | 144 |
| 9 | 200 | 200 | 0 | 2 | 1 | 202 | 67 | 317 | 317 | 0 | 2 | 1 | 319 | 105 |
| 10 | 222 | 222 | 0 | 1 | 0 | 223 | 74 | 270 | 270 | 0 | 2 | 1 | 272 | 90 |
| 11 | 259 | 259 | 0 | 2 | 1 | 261 | 86 | 401 | 401 | 0 | 1 | 0 | 402 | 133 |
| 12 | 1413 | 1413 | 0 | 476 | 157 | 1889 | 624 | 1806 | 1806 | 0 | 1025 | 338 | 2831 | 934 |
| 13 | 852 | 852 | 0 | 490 | 162 | 1342 | 443 | 812 | 812 | 0 | 759 | 251 | 1571 | 519 |
| 14 | 521 | 521 | 0 | 56 | 18 | 577 | 190 | 497 | 497 | 0 | 185 | 61 | 682 | 225 |
| 15 | 592 | 592 | 0 | 1 | 0 | 593 | 196 | 565 | 565 | 0 | 63 | 21 | 628 | 207 |
| 16 | 571 | 571 | 0 | 2 | 1 | 573 | 189 | 637 | 637 | 0 | 86 | 28 | 723 | 239 |
| 17 | 448 | 448 | 0 | 1 | 0 | 449 | 148 | 451 | 451 | 0 | 1 | 0 | 452 | 149 |
| 18 | 344 | 344 | 0 | 2 | 1 | 346 | 114 | 442 | 442 | 0 | 2 | 1 | 444 | 146 |
| 19 | 280 | 280 | 0 | 1 | 0 | 281 | 93 | 314 | 314 | 0 | 2 | 1 | 316 | 104 |
| 20 | 248 | 248 | 0 | 2 | 1 | 250 | 82 | 322 | 322 | 0 | 2 | 1 | 324 | 107 |
| 21 | 434 | 434 | 0 | 1 | 0 | 435 | 144 | 527 | 527 | 0 | 20 | 7 | 547 | 180 |
| 22 | 1248 | 1248 | 0 | 490 | 162 | 1738 | 573 | 1597 | 1597 | 0 | 830 | 274 | 2427 | 801 |
| 23 | 1148 | 1148 | 0 | 789 | 261 | 1937 | 639 | 1095 | 1095 | 0 | 1125 | 371 | 2220 | 733 |
| 24 | 524 | 524 | 0 | 111 | 37 | 635 | 210 | 500 | 500 | 0 | 239 | 79 | 739 | 244 |
| 25 | 458 | 458 | 0 | 1 | 0 | 459 | 152 | 437 | 437 | 0 | 1 | 0 | 438 | 145 |
| 26 | 326 | 326 | 0 | 2 | 1 | 328 | 108 | 400 | 400 | 0 | 1 | 0 | 401 | 132 |
| 27 | 283 | 283 | 0 | 2 | 1 | 285 | 94 | 307 | 307 | 0 | 2 | 1 | 309 | 102 |
| 28 | 254 | 254 | 0 | 1 | 0 | 255 | 84 | 321 | 321 | 0 | 2 | 1 | 323 | 106 |
| 29 | 267 | 267 | 0 | 1 | 0 | 268 | 88 | 314 | 314 | 0 | 2 | 1 | 316 | 104 |
| 30 | 289 | 289 | 0 | 2 | 0 | 291 | 96 | 378 | 378 | 0 | 1 | 0 | 379 | 125 |
| 31 | 569 | 569 | 0 | 2 | 1 | 571 | 188 | 711 | 711 | 0 | 190 | 63 | 901 | 297 |
| 32 | 1830 | 1830 | 0 | 758 | 250 | 2588 | 854 | 1760 | 1760 | 0 | 1404 | 463 | 3164 | 1044 |
| 33 | 943 | 943 | 0 | 644 | 213 | 1587 | 524 | 899 | 899 | 0 | 895 | 295 | 1794 | 592 |
| 34 | 626 | 626 | 0 | 189 | 62 | 815 | 269 | 598 | 598 | 0 | 334 | 110 | 932 | 308 |
| 35 | 536 | 536 | 0 | 2 | 1 | 538 | 177 | 512 | 512 | 0 | 75 | 25 | 587 | 194 |
| 36 | 598 | 598 | 0 | 2 | 1 | 600 | 198 | 625 | 625 | 0 | 47 | 16 | 672 | 222 |
| 37 | 422 | 422 | 0 | 1 | 0 | 423 | 140 | 467 | 467 | 0 | 2 | 1 | 469 | 155 |
| 38 | 382 | 382 | 0 | 2 | 1 | 384 | 127 | 459 | 459 | 0 | 2 | 1 | 461 | 152 |
| 39 | 342 | 342 | 0 | 1 | 0 | 343 | 113 | 405 | 405 | 0 | 2 | 1 | 407 | 134 |
| 40 | 315 | 315 | 0 | 1 | 0 | 316 | 104 | 391 | 391 | 0 | 1 | 0 | 392 | 129 |
| 41 | 456 | 456 | 0 | 1 | 0 | 457 | 151 | 565 | 565 | 0 | 30 | 10 | 595 | 196 |
| 42 | 1849 | 1849 | 0 | 902 | 298 | 2751 | 908 | 2239 | 2239 | 0 | 1418 | 468 | 3657 | 1207 |
| 43 | 792 | 792 | 0 | 469 | 155 | 1261 | 416 | 756 | 756 | 0 | 685 | 226 | 1441 | 476 |
| 44 | 539 | 539 | 0 | 48 | 16 | 587 | 194 | 514 | 514 | 0 | 172 | 57 | 686 | 226 |
| 45 | 493 | 493 | 0 | 1 | 0 | 494 | 163 | 470 | 470 | 0 | 1 | 0 | 471 | 155 |
| 46 | 289 | 289 | 0 | 2 | 1 | 291 | 96 | 360 | 360 | 0 | 1 | 0 | 361 | 119 |
| 47 | 256 | 256 | 0 | 2 | 1 | 258 | 85 | 279 | 279 | 0 | 1 | 0 | 280 | 92 |
| 48 | 278 | 278 | 0 | 2 | 1 | 280 | 92 | 359 | 359 | 0 | 1 | 0 | 360 | 119 |
| 49 | 267 | 267 | 0 | 2 | 1 | 269 | 89 | 316 | 316 | 0 | 1 | 0 | 317 | 105 |
| 50 | 195 | 195 | 0 | 2 | 1 | 197 | 65 | 258 | 258 | 0 | 1 | 0 | 259 | 86 |
| Harmonic Me | Mean |  |  |  | 1 |  | 103 |  |  |  |  | 1 |  | 121 |
| Effective pop | pulation Size |  |  |  | 3 |  | 432 |  |  |  |  | 3 |  | 509 |

Table A 5. AHA model generation computations used for estimated effective
population size: 800,000 smolt release program, 6.5 recruits per
spawner.


Table A 6. Predicted Spring Chinook return numbers for integrated $\mathbf{8 0 0 , 0 0 0}$ smolt release program using 100\% natural broodstock: version 3.22 AHA model.


Table A 7. Predicted Spring Chinook return numbers for integrated $\mathbf{8 0 0 , 0 0 0}$ smolt release program using 75\% natural broodstock: version 3.22 AHA model.


Table A 8. Predicted Spring Chinook return numbers for integrated $\mathbf{8 0 0 , 0 0 0}$ smolt release program using 50\% natural broodstock: version 3.22 AHA model.


Table A 9. Predicted Spring Chinook return numbers for integrated $\mathbf{8 0 0 , 0 0 0}$ smolt release program using 25\% natural broodstock: version 3.22 AHA model.


Table A 10. Predicted Spring Chinook return numbers for integrated 200,000 smolt release program using 100\% natural broodstock: version 3.22 AHA model.



[^0]:    ${ }^{1}$ Age 6 spawner escapement numbers pending 2005 survey YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^1]:    ${ }^{2} 1997$ was a highly productive brood year for many Columbia River stocks despite the average returns for Klickitat Spring Chinook.
    YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^2]:    ${ }^{3}$ Average marine survival for analyzed brood era defined on page 6-7 YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^3]:    ${ }^{4}$ Age 2 mini jacks not included in overall age structure, no estimate of spawning numbers exist YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^4]:    ${ }^{5}$ Estimates include on station smolt releases and fry thinning releases YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^5]:    ${ }^{6}$ All harvest rates used in the AHA model and observed Klickitat numbers presented correspond to the time frame of 1977-2000.
    YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report
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    Appendix A. Klickitat River Spring Chinook Stock Assessment and Investigation of Integrated Hatchery Strategies.

[^6]:    ${ }^{7}$ The PNI index value is calculated as the ratio of $\mathrm{pNOB} /(\mathrm{pHOS}+\mathrm{pNOB})$. YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^7]:    ${ }^{8}$ Average hatchery recruitment for brood years 1989-1999 YKFP Monitoring and Evaluation 199506325 2005-2006 Annual Report

[^8]:    ${ }^{9}$ Current habitat capacity and productivity estimates defined in section 3.1.1
    ${ }^{10}$ Capacity estimates used in the AHA model encompass all factors affecting freshwater and marine survival throughout the entire life history.

