

# **SAR Activity Review – By the Numbers**

Issue 1 (October 2003)

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## Section 1 - SAR Form TD F 90-22.47

### Exhibit 1: SAR Filings by Year and Month

For the Period April 1, 1996 through June 30, 2003

The statistics\* appearing in Exhibits 1 through 8 relate to SARs filed from April 1, 1996 through June 30, 2003. The statistics include SARs filed since April 1996 by depository institutions (i.e., banks, thrifts, savings and loans, and credit unions). A small part of the total volume relates to reports filed by affiliates of depository institutions or, in some cases, filed voluntarily by money services businesses (MSBs) prior to January 2002; by brokers and dealers in securities who were not affiliated with banks; or by gaming businesses that, during the time period, were not yet required under the Bank Secrecy Act (BSA) to file SARs.

Note: SAR statistical data is continuously updated as additional reports are filed and processed. For this reason, there may be minor discrepancies between the statistical figures contained in various portions of this report or in previous reports.

<b>Number of Filings</b>								
	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>
January	-	6,123	6,832	8,621	13,399	13,767	19,424	23,535
February	-	5,519	7,055	9,949	13,634	14,660	17,881	23,472
March	-	6,850	8,938	11,492	15,154	16,084	25,037	24,597
April	2,170	7,184	8,057	9,478	11,499	15,357	19,249	22,688
May	4,404	6,754	7,409	10,400	13,674	16,335	27,313	20,486
June	6,070	6,696	8,737	10,956	13,963	14,387	16,590	21,337
July	6,907	7,175	8,757	8,518	12,611	16,823	26,600	-
August	6,567	6,332	8,532	10,484	14,111	19,203	22,433	-
September	6,938	7,561	7,577	8,471	13,321	14,283	24,571	-
October	7,474	7,439	8,165	9,843	13,148	20,571	25,134	-
November	5,029	5,960	7,848	11,243	14,437	20,444	25,340	-
December	6,510	7,604	8,614	11,050	13,769	21,624	24,251	-
<b>Subtotal</b>	<b>52,069</b>	<b>81,197</b>	<b>96,521</b>	<b>120,505</b>	<b>162,720</b>	<b>203,538</b>	<b>273,823</b>	<b>136,115</b>
<b>Total Filings</b>	<b>1,126,488</b>							

\*Statistics generated for this study were based on the Document Control Number (DCN) of each record within the SAR system. The DCN is a unique number assigned to each SAR submitted. Numeric discrepancies between the total number of filings and the combined number of filings of states and/or territories are a result of multiple filers listed on one or more SARs.



State/Territory	Violation																		
	<i>BSA/Structuring/Money Laundering</i>	<i>Bribery/Gratuity</i>	<i>Check Fraud</i>	<i>Check Kiting</i>	<i>Commercial Loan Fraud</i>	<i>Computer Intrusion</i>	<i>Consumer Loan Fraud</i>	<i>Counterfeit Check</i>	<i>Counterfeit Credit/Debit Card</i>	<i>Counterfeit Instrument (Other)</i>	<i>Credit Card Fraud</i>	<i>Debit Card Fraud</i>	<i>Defalcation/Embezzlement</i>	<i>False Statement</i>	<i>Misuse of Position or Self Dealing</i>	<i>Mortgage Loan Fraud</i>	<i>Mysterious Disappearance</i>	<i>Wire Transfer Fraud</i>	<i>Other</i>
<b>California</b>																			
2002	1,432	1	21	1	-	2	1	8	-	-	3	-	2	2	3	-	1	70	175
2003	91	-	5	-	-	1,517	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>1,523</b>	<b>1</b>	<b>26</b>	<b>1</b>	<b>-</b>	<b>1,519</b>	<b>1</b>	<b>8</b>	<b>-</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>-</b>	<b>1</b>	<b>70</b>	<b>175</b>
<b>Colorado</b>																			
2002	2,889	1	-	-	-	-	-	-	-	-	-	-	1	-	-	-	8	11	26
2003	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
<b>Total</b>	<b>2,890</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>8</b>	<b>11</b>	<b>27</b>
<b>Connecticut</b>																			
2002	230	-	1	-	-	-	-	-	-	-	-	-	1	-	-	-	-	3	3
2003	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2
<b>Total</b>	<b>231</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3</b>	<b>5</b>
<b>Delaware</b>																			
2002	8	-	-	1	-	-	-	-	-	-	1	-	-	1	-	-	-	-	2
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>8</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>
<b>District of Columbia</b>																			
2002	48	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>48</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>
<b>Federated States of Micronesia</b>																			
2002	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Florida</b>																			
2002	1,142	2	4	-	-	-	-	1	-	1	-	-	5	2	1	-	-	33	145
2003	23	-	-	-	-	-	1	-	-	1	-	-	-	-	-	-	-	9	26
<b>Total</b>	<b>1,165</b>	<b>2</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>5</b>	<b>2</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>42</b>	<b>171</b>

State/Territory	Violation																		
	<i>BSA/Structuring/Money Laundering</i>	<i>Bribery/Gratuities</i>	<i>Check Fraud</i>	<i>Check Kiting</i>	<i>Commercial Loan Fraud</i>	<i>Computer Intrusion</i>	<i>Consumer Loan Fraud</i>	<i>Counterfeit Check</i>	<i>Counterfeit Credit/Debit Card</i>	<i>Counterfeit Instrument (Other)</i>	<i>Credit Card Fraud</i>	<i>Debit Card Fraud</i>	<i>Defalcation/Embezzlement</i>	<i>False Statement</i>	<i>Misuse of Position or Self Dealing</i>	<i>Mortgage Loan Fraud</i>	<i>Mysterious Disappearance</i>	<i>Wire Transfer Fraud</i>	<i>Other</i>
<b>Georgia</b>																			
2002	613	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	24	42
2003	4	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2	2
<b>Total</b>	<b>617</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>1</b>	-	-	<b>26</b>	<b>44</b>
<b>Guam</b>																			
2002	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>1</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Hawaii</b>																			
2002	10	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6	4
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>10</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>6</b>	<b>4</b>
<b>Idaho</b>																			
2002	10	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>10</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>4</b>
<b>Illinois</b>																			
2002	468	-	1	2	1	-	-	2	-	-	1	-	5	-	1	-	-	37	89
2003	50	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	13	22
<b>Total</b>	<b>518</b>	-	<b>1</b>	<b>3</b>	<b>1</b>	-	-	<b>2</b>	-	-	<b>1</b>	-	<b>5</b>	-	<b>1</b>	-	-	<b>50</b>	<b>111</b>
<b>Indiana</b>																			
2002	126	-	-	-	-	-	-	-	-	-	-	3	-	1	-	-	-	8	29
2003	4	-	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	1	1
<b>Total</b>	<b>130</b>	-	-	-	-	-	-	<b>2</b>	-	-	-	<b>3</b>	-	<b>1</b>	-	-	-	<b>9</b>	<b>30</b>
<b>Iowa</b>																			
2002	43	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4	15
2003	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-
<b>Total</b>	<b>44</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>5</b>	<b>15</b>

State/Territory	Violation																		
	<i>BSA/Structuring/Money Laundering</i>	<i>Bribery/Gratuities</i>	<i>Check Fraud</i>	<i>Check Kiting</i>	<i>Commercial Loan Fraud</i>	<i>Computer Intrusion</i>	<i>Consumer Loan Fraud</i>	<i>Counterfeit Check</i>	<i>Counterfeit Credit/Debit Card</i>	<i>Counterfeit Instrument (Other)</i>	<i>Credit Card Fraud</i>	<i>Debit Card Fraud</i>	<i>Defalcation/Embezzlement</i>	<i>False Statement</i>	<i>Misuse of Position or Self Dealing</i>	<i>Mortgage Loan Fraud</i>	<i>Mysterious Disappearance</i>	<i>Wire Transfer Fraud</i>	<i>Other</i>
<b>Kansas</b>																			
2002	23	1	-	-	-	-	-	-	-	-	-	-	-	-	-	2	1	10	58
2003	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	2	-
<b>Total</b>	<b>24</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>2</b>	<b>12</b>	<b>58</b>
<b>Kentucky</b>																			
2002	26	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4	18
2003	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>27</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4</b>	<b>18</b>
<b>Louisiana</b>																			
2002	20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	10	5
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1
<b>Total</b>	<b>20</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>11</b>	<b>6</b>
<b>Maine</b>																			
2002	3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2
<b>Total</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>
<b>Marshall Islands</b>																			
2002	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Maryland</b>																			
2002	170	-	1	-	-	-	-	1	-	1	-	-	-	-	-	-	-	1	7
2003	5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3	1
<b>Total</b>	<b>175</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4</b>	<b>8</b>
<b>Massachusetts</b>																			
2002	538	-	1	-	-	-	-	-	-	-	-	-	1	-	-	-	-	7	23
2003	34	-	1	-	-	-	-	-	-	-	-	-	-	1	-	-	-	1	6
<b>Total</b>	<b>572</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>8</b>	<b>29</b>

State/Territory	Violation																			
	<i>BSA/Structuring/Money Laundering</i>	<i>Bribery/Gratuity</i>	<i>Check Fraud</i>	<i>Check Kiting</i>	<i>Commercial Loan Fraud</i>	<i>Computer Intrusion</i>	<i>Consumer Loan Fraud</i>	<i>Counterfeit Check</i>	<i>Counterfeit Credit/Debit Card</i>	<i>Counterfeit Instrument (Other)</i>	<i>Credit Card Fraud</i>	<i>Debit Card Fraud</i>	<i>Defalcation/Embezzlement</i>	<i>False Statement</i>	<i>Misuse of Position or Self Dealing</i>	<i>Mortgage Loan Fraud</i>	<i>Mysterious Disappearance</i>	<i>Wire Transfer Fraud</i>	<i>Other</i>	
<b>Michigan</b>																				
2002	77	-	-	-	-	-	-	-	-	-	-	-	-	2	-	-	-	8	20	
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	
<b>Total</b>	<b>77</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>8</b>	<b>21</b>	
<b>Minnesota</b>																				
2002	451	-	2	-	-	-	-	2	-	-	-	-	-	62	3	-	-	7	13	
2003	6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	3	
<b>Total</b>	<b>457</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>62</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>8</b>	<b>16</b>	
<b>Mississippi</b>																				
2002	20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5	3	
2003	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>21</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5</b>	<b>3</b>	
<b>Missouri</b>																				
2002	83	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	8	80	
2003	1	-	1	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	6	
<b>Total</b>	<b>84</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>8</b>	<b>86</b>	
<b>Montana</b>																				
2002	3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	
<b>Nebraska</b>																				
2002	146	-	1	-	-	1,675	-	-	-	-	501	321	2	66	7	-	-	1,361	48	
2003	15	-	1	-	-	1,634	-	-	-	-	23	666	-	12	-	-	-	1,353	81	
<b>Total</b>	<b>161</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>3,309</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>524</b>	<b>987</b>	<b>2</b>	<b>78</b>	<b>7</b>	<b>-</b>	<b>-</b>	<b>2,714</b>	<b>129</b>	
<b>Nevada</b>																				
2002	32	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	1	3	2	
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>32</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>3</b>	<b>2</b>	









State/Territory	Violation																		
	<i>BSA/Structuring/Money Laundering</i>	<i>Bribery/Gratuity</i>	<i>Check Fraud</i>	<i>Check Kiting</i>	<i>Commercial Loan Fraud</i>	<i>Computer Intrusion</i>	<i>Consumer Loan Fraud</i>	<i>Counterfeit Check</i>	<i>Counterfeit Credit/Debit Card</i>	<i>Counterfeit Instrument (Other)</i>	<i>Credit Card Fraud</i>	<i>Debit Card Fraud</i>	<i>Defalcation/Embezzlement</i>	<i>False Statement</i>	<i>Misuse of Position or Self Dealing</i>	<i>Mortgage Loan Fraud</i>	<i>Mysterious Disappearance</i>	<i>Wire Transfer Fraud</i>	<i>Other</i>
<b>Vermont</b>																			
2002	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Virginia</b>																			
2002	162	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3	27
2003	8	-	-	-	-	-	1	-	-	-	-	-	-	1	-	-	-	-	-
<b>Total</b>	<b>170</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3</b>	<b>27</b>
<b>Washington</b>																			
2002	49	-	2	-	-	-	-	-	-	-	-	-	1	1	-	-	-	9	25
2003	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3	8
<b>Total</b>	<b>51</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>12</b>	<b>33</b>
<b>West Virginia</b>																			
2002	4	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-
<b>Total</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>-</b>
<b>Wisconsin</b>																			
2002	18	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	14
2003	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
<b>Total</b>	<b>19</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>15</b>
<b>Wyoming</b>																			
2002	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1
2003	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>1</b>

**Section 3 - SAR Form TD F 90-22.56**

**Suspicious Activity Report by Money Services Businesses (SAR-MSB)**

**Exhibit 8: SAR-MSB Filings by Violations by State and Territory by Year\***

For the Period of October 1, 2002 through June 30, 2003

\*This exhibit does not include SAR-MSBs where the State is Unknown/Blank (or) where the Violation is Unknown/Blank (or) Both

State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
<b>Alabama</b>									
2002	4	-	11	-	1	1	9	10	-
2003	136	42	59	13	21	1	111	75	5
<b>Total</b>	<b>140</b>	<b>42</b>	<b>70</b>	<b>13</b>	<b>22</b>	<b>2</b>	<b>120</b>	<b>85</b>	<b>5</b>
<b>Alaska</b>									
2002	1	-	7	-	-	-	1	-	-
2003	10	5	4	-	1	1	8	12	-
<b>Total</b>	<b>11</b>	<b>5</b>	<b>11</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>9</b>	<b>12</b>	<b>-</b>
<b>American Samoa</b>									
2002	-	-	-	-	-	-	-	-	-
2003	-	1	-	-	-	-	1	-	-
<b>Total</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>
<b>Arizona</b>									
2002	43	46	141	72	20	1	100	108	-
2003	3,201	1,067	1,821	430	982	54	1,775	1,618	8
<b>Total</b>	<b>3,244</b>	<b>1,113</b>	<b>1,962</b>	<b>502</b>	<b>1,002</b>	<b>55</b>	<b>1,875</b>	<b>1,726</b>	<b>8</b>
<b>Arkansas</b>									



State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
<b>Total</b>	-	-	-	-	-	-	-	-	-
<b>Florida</b>									
2002	139	39	89	9	18	6	173	98	1
2003	1,383	432	507	65	211	30	1,091	629	-
<b>Total</b>	<b>1,522</b>	<b>471</b>	<b>596</b>	<b>74</b>	<b>229</b>	<b>36</b>	<b>1,264</b>	<b>727</b>	<b>1</b>
<b>Georgia</b>									
2002	33	11	32	3	9	1	82	16	1
2003	620	158	242	39	95	21	425	263	18
<b>Total</b>	<b>653</b>	<b>169</b>	<b>274</b>	<b>42</b>	<b>104</b>	<b>22</b>	<b>507</b>	<b>279</b>	<b>19</b>
<b>Guam</b>									
2002	-	-	-	-	-	-	-	-	-
2003	-	2	1	-	1	-	3	-	-
<b>Total</b>	<b>-</b>	<b>2</b>	<b>1</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>-</b>
<b>Hawaii</b>									
2002	1	1	-	-	-	-	-	1	-
2003	59	29	17	1	7	-	38	48	-
<b>Total</b>	<b>60</b>	<b>30</b>	<b>17</b>	<b>1</b>	<b>7</b>	<b>-</b>	<b>38</b>	<b>49</b>	<b>-</b>
<b>Idaho</b>									
2002	4	-	1	-	-	-	2	1	-
2003	13	6	6	1	3	1	10	7	-
<b>Total</b>	<b>17</b>	<b>6</b>	<b>7</b>	<b>1</b>	<b>3</b>	<b>1</b>	<b>12</b>	<b>8</b>	<b>-</b>
<b>Illinois</b>									
2002	30	9	17	6	1	-	48	19	1
2003	651	182	225	29	48	15	455	215	1
<b>Total</b>	<b>681</b>	<b>191</b>	<b>242</b>	<b>35</b>	<b>49</b>	<b>15</b>	<b>503</b>	<b>234</b>	<b>2</b>





State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
2002	-	-	-	-	-	-	-	-	-
2003	-	-	-	-	-	-	-	-	-
<b>Total</b>	-	-	-	-	-	-	-	-	-
<b>Maryland</b>									
2002	12	-	12	3	1	-	23	9	-
2003	267	45	69	7	22	6	165	67	1
<b>Total</b>	<b>279</b>	<b>45</b>	<b>81</b>	<b>10</b>	<b>23</b>	<b>6</b>	<b>188</b>	<b>76</b>	<b>1</b>
<b>Massachusetts</b>									
2002	15	10	31	2	-	6	55	23	-
2003	595	148	187	49	46	14	519	153	-
<b>Total</b>	<b>610</b>	<b>158</b>	<b>218</b>	<b>51</b>	<b>46</b>	<b>20</b>	<b>574</b>	<b>176</b>	<b>-</b>
<b>Michigan</b>									
2002	14	2	8	1	1	2	14	8	-
2003	246	76	106	14	23	3	157	96	-
<b>Total</b>	<b>260</b>	<b>78</b>	<b>114</b>	<b>15</b>	<b>24</b>	<b>5</b>	<b>171</b>	<b>104</b>	<b>-</b>
<b>Minnesota</b>									
2002	12	5	8	4	-	2	9	6	-
2003	114	44	42	16	14	7	82	53	2
<b>Total</b>	<b>126</b>	<b>49</b>	<b>50</b>	<b>20</b>	<b>14</b>	<b>9</b>	<b>91</b>	<b>59</b>	<b>2</b>
<b>Mississippi</b>									
2002	1	-	7	-	-	1	14	4	-
2003	66	22	41	4	8	-	49	29	-
<b>Total</b>	<b>67</b>	<b>22</b>	<b>48</b>	<b>4</b>	<b>8</b>	<b>1</b>	<b>63</b>	<b>33</b>	<b>-</b>
<b>Missouri</b>									
2002	1	1	12	1	3	1	16	7	-
2003	383	88	118	11	39	2	196	51	-

State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
<b>Total</b>	<b>384</b>	<b>89</b>	<b>130</b>	<b>12</b>	<b>42</b>	<b>3</b>	<b>212</b>	<b>58</b>	<b>-</b>
<b>Montana</b>									
2002	-	-	-	-	-	-	-	-	-
2003	6	1	5	2	3	-	6	3	-
<b>Total</b>	<b>6</b>	<b>1</b>	<b>5</b>	<b>2</b>	<b>3</b>	<b>-</b>	<b>6</b>	<b>3</b>	<b>-</b>
<b>Nebraska</b>									
2002	1	-	2	-	-	-	1	1	-
2003	63	13	24	2	5	-	37	21	1
<b>Total</b>	<b>64</b>	<b>13</b>	<b>26</b>	<b>2</b>	<b>5</b>	<b>-</b>	<b>38</b>	<b>22</b>	<b>1</b>
<b>Nevada</b>									
2002	15	4	3	1	1	2	13	5	-
2003	191	68	68	11	25	4	130	87	-
<b>Total</b>	<b>206</b>	<b>72</b>	<b>71</b>	<b>12</b>	<b>26</b>	<b>6</b>	<b>143</b>	<b>92</b>	<b>-</b>
<b>New Hampshire</b>									
2002	1	1	-	-	-	-	4	-	-
2003	38	5	17	3	5	2	28	7	-
<b>Total</b>	<b>39</b>	<b>6</b>	<b>17</b>	<b>3</b>	<b>5</b>	<b>2</b>	<b>32</b>	<b>7</b>	<b>-</b>
<b>New Jersey</b>									
2002	41	8	28	7	4	2	99	12	-
2003	682	199	211	30	77	12	517	196	1
<b>Total</b>	<b>723</b>	<b>207</b>	<b>239</b>	<b>37</b>	<b>81</b>	<b>14</b>	<b>616</b>	<b>208</b>	<b>1</b>
<b>New Mexico</b>									
2002	-	-	-	-	-	-	3	2	-
2003	51	15	21	4	8	1	23	13	-
<b>Total</b>	<b>51</b>	<b>15</b>	<b>21</b>	<b>4</b>	<b>8</b>	<b>1</b>	<b>26</b>	<b>15</b>	<b>-</b>



State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
<b>2002</b>	6	-	4	1	-	-	2	-	-
<b>2003</b>	83	22	37	6	7	1	51	26	-
<b>Total</b>	<b>89</b>	<b>22</b>	<b>41</b>	<b>7</b>	<b>7</b>	<b>1</b>	<b>53</b>	<b>26</b>	<b>-</b>
<b>Overseas</b>									
<b>2002</b>	-	-	-	-	-	-	-	-	-
<b>2003</b>	11	12	23	1	4	1	54	12	2
<b>Total</b>	<b>11</b>	<b>12</b>	<b>23</b>	<b>1</b>	<b>4</b>	<b>1</b>	<b>54</b>	<b>12</b>	<b>2</b>
<b>Palau</b>									
<b>2002</b>	-	-	-	-	-	-	-	-	-
<b>2003</b>	-	-	-	-	-	-	-	-	-
<b>Total</b>	-	-	-	-	-	-	-	-	-
<b>Pennsylvania</b>									
<b>2002</b>	4	6	44	42	11	-	32	44	-
<b>2003</b>	327	102	238	28	50	15	251	217	1
<b>Total</b>	<b>331</b>	<b>108</b>	<b>282</b>	<b>70</b>	<b>61</b>	<b>15</b>	<b>283</b>	<b>261</b>	<b>1</b>
<b>Puerto Rico</b>									
<b>2002</b>	2	-	5	1	1	-	2	9	-
<b>2003</b>	150	38	59	5	18	2	85	103	-
<b>Total</b>	<b>152</b>	<b>38</b>	<b>64</b>	<b>6</b>	<b>19</b>	<b>2</b>	<b>87</b>	<b>112</b>	<b>-</b>
<b>Rhode Island</b>									
<b>2002</b>	-	-	4	-	1	-	32	4	-
<b>2003</b>	92	16	15	4	1	1	90	18	-
<b>Total</b>	<b>92</b>	<b>16</b>	<b>19</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>122</b>	<b>22</b>	<b>-</b>
<b>South Carolina</b>									
<b>2002</b>	4	1	5	-	-	-	13	6	-
<b>2003</b>	153	31	58	9	19	3	123	62	-

State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
<b>Total</b>	<b>157</b>	<b>32</b>	<b>63</b>	<b>9</b>	<b>19</b>	<b>3</b>	<b>136</b>	<b>68</b>	<b>-</b>
<b>South Dakota</b>									
2002	-	-	-	-	-	-	-	-	-
2003	9	3	2	-	-	-	5	1	-
<b>Total</b>	<b>9</b>	<b>3</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5</b>	<b>1</b>	<b>-</b>
<b>Tennessee</b>									
2002	10	3	1	-	1	-	6	6	1
2003	141	48	82	12	10	5	114	39	5
<b>Total</b>	<b>151</b>	<b>51</b>	<b>83</b>	<b>12</b>	<b>11</b>	<b>5</b>	<b>120</b>	<b>45</b>	<b>6</b>
<b>Texas</b>									
2002	39	10	36	2	9	4	56	68	5
2003	1,962	364	577	342	457	38	1,135	1,066	72
<b>Total</b>	<b>2,001</b>	<b>374</b>	<b>613</b>	<b>344</b>	<b>466</b>	<b>42</b>	<b>1,191</b>	<b>1,134</b>	<b>77</b>
<b>U.S. Virgin Islands</b>									
2002	-	-	-	-	-	-	-	-	-
2003	14	7	10	1	-	-	14	9	-
<b>Total</b>	<b>14</b>	<b>7</b>	<b>10</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>14</b>	<b>9</b>	<b>-</b>
<b>Utah</b>									
2002	3	2	1	1	-	-	2	4	-
2003	50	28	23	3	4	1	30	24	-
<b>Total</b>	<b>53</b>	<b>30</b>	<b>24</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>32</b>	<b>28</b>	<b>-</b>
<b>Vermont</b>									
2002	-	-	-	-	-	-	-	-	-
2003	5	3	4	-	-	-	4	-	-
<b>Total</b>	<b>5</b>	<b>3</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4</b>	<b>-</b>	<b>-</b>

State/Territory	Violation								
	<i>Alters transaction to avoid completion of funds transfer record or money order or traveler's check record (\$3,000 or more)</i>	<i>Alters transaction to avoid filing a CTR form (\$10,000 or more)</i>	<i>Comes in frequently and purchases less than \$3,000</i>	<i>Changes spelling or arrangement of name</i>	<i>Individual(s) using multiple or false identification documents</i>	<i>Two or more individuals using the similar/same identification</i>	<i>Two or more individuals working together</i>	<i>Same individual(s) using multiple locations over a short period of time</i>	<i>Offers a bribe in the form of a tip/gratuity</i>
<b>Virginia</b>									
2002	14	8	11	7	-	1	24	5	-
2003	324	89	86	10	20	4	237	78	4
<b>Total</b>	<b>338</b>	<b>97</b>	<b>97</b>	<b>17</b>	<b>20</b>	<b>5</b>	<b>261</b>	<b>83</b>	<b>4</b>
<b>Washington</b>									
2002	3	1	3	1	1	-	7	6	-
2003	145	49	60	12	14	4	91	64	-
<b>Total</b>	<b>148</b>	<b>50</b>	<b>63</b>	<b>13</b>	<b>15</b>	<b>4</b>	<b>98</b>	<b>70</b>	<b>-</b>
<b>West Virginia</b>									
2002	1	-	-	-	-	-	-	-	1
2003	20	4	6	2	-	1	14	8	1
<b>Total</b>	<b>21</b>	<b>4</b>	<b>6</b>	<b>2</b>	<b>-</b>	<b>1</b>	<b>14</b>	<b>8</b>	<b>2</b>
<b>Wisconsin</b>									
2002	4	1	4	-	2	1	8	-	-
2003	97	43	42	12	16	5	92	57	1
<b>Total</b>	<b>101</b>	<b>44</b>	<b>46</b>	<b>12</b>	<b>18</b>	<b>6</b>	<b>100</b>	<b>57</b>	<b>1</b>
<b>Wyoming</b>									
2002	-	-	-	-	-	-	-	-	-
2003	2	2	1	-	2	1	4	3	-
<b>Total</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>-</b>	<b>2</b>	<b>1</b>	<b>4</b>	<b>3</b>	<b>-</b>

## Section 4 - Suspicious Activity Report by Casinos and Card Clubs (SAR-C)

### Exhibit 1: SAR-C Filings by Year and Month

For the Period August 1, 1996 through June 30, 2003

Effective October 1, 1997, certain gaming establishments (specifically, Nevada casino licensees) were required to report suspicious transactions using FinCEN Form TD F 90-22.49, also known as the SAR-C. Other gaming establishments, including casinos and card clubs, in jurisdictions other than Nevada were encouraged to voluntarily file this form but were not mandated to report suspicious transactions until September 2002. Between September 2002 and April 2003, all casinos and card clubs used Form TD F 90-22.49 to report suspicious transactions. Effective April 1, 2003, casinos and card clubs began using a new SAR-C form, FinCEN Form 102, which replaced TD F 90-22.49. The statistics\* appearing in Exhibits 1 through 8 relate to all SAR-Cs submitted, regardless of the form number from August 1, 1996 through June 2003.

Note: SAR statistical data is continuously updated as additional reports are filed and processed. For this reason, there may be minor discrepancies between the statistical figures contained in the various portions of this report or in previous reports.

	Number of Filings							
	1996	1997	1998	1999	2000	2001	2002	2003
January	-	-	17	46	38	62	126	130
February	-	-	25	31	25	67	200	137
March	-	-	38	32	65	72	184	152
April	-	-	48	35	33	82	106	333
May	-	-	31	30	24	168	146	599
June	-	-	43	27	33	131	150	631
July	-	-	44	31	41	159	165	-
August	85	-	60	43	31	145	123	-
September	-	-	70	49	34	123	149	-
October	-	7	65	46	34	135	146	-
November	-	10	65	30	52	125	143	-
December	-	28	51	36	54	108	189	-
<b>Subtotal</b>	<b>85</b>	<b>45</b>	<b>557</b>	<b>436</b>	<b>464</b>	<b>1,377</b>	<b>1,827</b>	<b>1,982</b>
<b>Total Filings</b>	<b>6,773</b>							

\*Statistics generated for this study were based on the Document Control Number (DCN) of each record within the SAR system. The DCN is a unique number assigned to each SAR submitted. Numeric discrepancies between the total number of filings and the combined number of filings of states and/or territories are a result of multiple filers listed on one or more SARs.

## Section 5 - FinCEN Form 101

### Suspicious Activity Report by the Securities and Futures Industries (SAR-SF)

#### Exhibit 1: SAR-SF Filings by Year and Month

For the Period January 1, 2003 through June 30, 2003

Effective January 1, 2003, brokers or dealers in securities, one segment of the securities and futures industries, were required to begin reporting suspicious financial activity using FinCEN Form 101, also known as the SAR-SF. The statistics\* appearing in Exhibits 1 through 8 related to SAR-SFs filed from January 1, 2003 through June 30, 2003

Note: SAR statistical data is continuously updated as additional reports are filed and processed. For this reason, there may be minor discrepancies between the statistical figures contained in the various portions of this report or in previous reports.

<b>Number of Filings</b>	
	<b>2003</b>
January	138
February	248
March	356
April	313
May	496
June	381
July	-
August	-
September	-
October	-
November	-
December	-
<b>Total Filings</b>	<b>1,932</b>

\*Statistics generated for this study were based on the Document Control Number (DCN) of each record within the SAR system. The DCN is a unique number assigned to each SAR submitted. Numeric discrepancies between the total number of filings and the combined number of filings of states and/or territories are a result of multiple filers listed on one or more SARs.