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ERL Parameter Review and Overview of Physics Issues

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Outline

- Why consider an ERL?
- ERL overview
- Operating modes for ERL upgrade
- Assumptions and ERL physics issues
 - Emittance production and preservation
 - Energy spread and recovery
 - Linac optics principles
 - Arc optics principles
 - Beam breakup
- Short pulse issues and options
- Beam loss concerns
- Magnet designs
- Stability and diagnostics issues
- Conclusion.



Why Consider an Energy Recovery Linac¹?

- Unlikely to get revolutionary improvements in accelerator performance for APS storage ring upgrade
 - Constrained by the present circumference
 - Dramatic emittance reductions are very difficult
 - Desire for long straight sections further increases difficulty
 - Nonlinear dynamics issues increasingly difficult
 - Need new booster, long dark time
- ERL promises revolutionary performance
 - Emittance in both planes comparable to present APS minimum vertical emittance
 - Very high degree of spatial coherence
 - Electron bunches of few ps duration or less
 - No long dark time
- We find that adapting the ERL concept to APS maintains these advantages.

¹M. Tigner, *Nuovo Cimento* **37**, 1965.

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ERL vs Ring in a Nutshell

- Facts about storage rings vs linacs:
 - Emittance scaling favors high-energy linac: $\sim E^2$ for ring, $\sim 1/E$ for linac
 - Energy-spread scaling favors high-energy linac: ~E for ring, ~1/E for linac
 - Linac can much more easily produce short (ps or less) pulses
 - Single-pass systems (e.g., linac) can more easily support optics flexibility
 - Ring can much more easily produce high current
- A 7 GeV, 100mA linac nominally consumes GW of wall plug power
 - Energy recovery allows high current from a high-energy linac.



Basic ERL Concept





ERL Parameter Review and Physics Issues

Cornell ERL Parameters¹ Scaled to 7 GeV

	APS	ERL		
	now	High flux	High coherence	Ultrashort pulse
Average current (mA)	100	100	25	1
Repetition rate (MHz)	$0.3 \sim 352$	1300	1300	1
Bunch charge (nC)	0.3~60	0.077	0.019	1
Emittance (nm)	3.1 x 0.025	0.022 x 0.022	0.006 x 0.006	$0.37 \ge 0.37$
Rms bunch length (ps)	$20 \sim 70$	2	2	0.1
Rms momentum spread $(\%)$	0.1	0.02	0.02	0.3

Promise of very high brightness

- Extremely low emittance, equal in both planes
- Very low energy spread
- Current from 25 to 100 mA with ultra-low emittance, ps pulses
- Option for less current with high charge, fs pulses.

¹G. Hoffstaetter, FLS 2006 Workshop, DESY.



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Guns for ERLs

- Challenges:
 - Very low emittance desired ($\sim 0.1 \ \mu m$ normalized)
 - Even 1 μm would be good: 80 pm emittance at 7 GeV
 - Can start with a lesser gun and gradually improve
 - CW operation with high average current (100 mA)
 - Vacuum must be extremely good to preserve cathode lifetime
- Many gun types
 - DC photocathode gun is most common (JLAB, JAERI, Cornell, Daresbury)
 - Several normal and superconducting rf gun projects underway

Ranges of design and achieved values (A. Todd, NIM A 557 (2006) 36-44).

Output energy	2~15 MeV	CW average current	100-500 mA (5~32)
Bunch charge	0.075~3 nC (0.13~4.75)	Normalized emittance	0.1~6 um (7~30)
Bunch length	2~7 ps (3~50)	Energy spread	0.1 ~ 0.5 % (0.1~3)
Rf frequency	500~1300 MHz	Rf power	50~500 kW



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Emittance Preservation in Injector

- Two notable simulation efforts
 - Cornell¹ gets 0.1 μ m emittances for ~100 pC without merger
 - JAERI² gets 0.1 μ m emittances for ~10 pC with merger
- High-coherence mode (0.1 μ m, 19 pC) seems plausible
- The injector must be carefully optimized to preserve the gun emittance against
 - Space charge
 - Merger bends

Not APS-specific, so for now assume these designs work

- Cornell has built a prototype gun and is testing now
 - Most important issue probably high voltage (750 kV)
- Improved merger concepts under development.



"Zigzag" merger (V. Litvinenko et al., NIM A 557 (2006) 165-175.)

¹I.Bazarov and C. Sinclair, Phys. Rev. ST Accel. Beams 8 (2005) 034202. ²R.Hajima and R. Nagai, NIM A 557 (2006) 103-105.



ERL Parameter Review and Physics Issues

Emittance Preservation at High Energy

- Issues at high energy all related to bending
 - Mismatch due to average energy loss in arcs
 - Coherent synchrotron radiation (CSR) in arcs
 - Quantum excitation (ISR) in arcs
- These also affect the energy spread
 - Impacts brightness
 - Impacts beam loss and energy recovery
- The methods of dealing with these are well known
 - Similar to high-brightness ring design in many respects
- Site-specific issue, related to accelerator geometry
 - Considerable APS-specific detail shown later.



Average Energy Loss

- In large, high-energy ERL, the beam loses considerable energy traversing arcs
 - E.g., ERL@APS might have 10~15 MeV loss
 - Reduces energy recovery efficiency (see below)
- Optics mismatch unless magnet strengths are tapered
 - If no tapering, emittance growth and beam loss will be worse
 - Solving this requires more power supplies
 - APS already has individual PS for all quads and sextupoles
 - APS also has trim supplies for all dipoles
 - Hence, so far we taper only in the APS portion
- Loss also varies as users change undulator gaps
 - This is a fraction (\sim 20%) of the fixed losses
 - We have not explored the impact of this.



Quantum Excitation (Incoherent Synchrotron Radiation)

ISR concerns

- Emittance growth reduces brightness
- Energy spread growth reduces brightness, affects losses/ER
- Scaling is different than for storage ring equilibrium properties
- For isomagnetic separated function lattice^{1,2}

$$\Delta \epsilon_x \propto I_5 \gamma^5 \propto \Delta \theta \frac{\gamma^5}{v_x^3 \rho} \qquad \Delta \sigma_\delta^2 \propto \Delta \theta \frac{\gamma^5}{\rho^2}$$

- Lessons
 - Don't bend the beam more than necessary at high energy
 - Bending at low energy is much, much better
 - Keep bend radius large
 - Use strong-focusing lattice.

¹M. Sands, The Physics of Electron Storage Rings, SLAC-121, November 1970. ²M. Borland, OAG-TN-2006-045, 10/5/2006.



Coherent Synchrotron Radiation^{1,2}



Gets better linearly with increasing energy

- For fixed angle, weak dependence on radius
- Like ISR: strong focusing, many weak dipoles helps emittance.

¹B. Carlsten et al., Phys. Rev. E 51,1995. ²M. Borland, Phys.Rev.ST Accel. Beams **4**, 070701 (2001).

CSR Microbunching Instability¹

- CSR wake strongly driven by local derivative of current
 - Accelerates the head
 - Decelerates the tail
- If R₅₆<0
 - Head falls back, tail moves forward
 - Density clump gets enhanced if CSR wake larger than local energy spread
- R₅₆<0 for low-emittance double-bend cell (e.g,. APS arcs)</p>
- At high intensity, this can significantly corrupt longitudinal phase space
- Simulations with smooth Gaussian beams can be highly misleading.

¹M. Borland et al., NIM A 483, 268 (2002).



CSR Microbunching Instability in Early LCLS Design¹



¹M. Borland et al., NIM A 483, 268 (2002).



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Arc Design for ERLs

- Need bending arcs for various purposes
 - Recirculation arcs
 - New user arcs
 - Arcs into and out of the APS
- Based designs on triple-bend cells¹
 - Emittance-preserving (strong focusing)
 - Achromatic
 - Necessary for user beamline arcs to avoid effective emittance (growth) due to energy spread (growth)
 - Not generally optimal for beam-transport arcs
 - Isochronous
 - Rigid longitudinal distribution mitigates CSR instability
 - Horizontal phase advance of $2\pi N/m$ per cell with M*m cells gives emittance growth cancellation¹.
- In APS, we use zero-dispersion tuning of the existing double-bend cells (see below).

¹J. Wu et al, Proc 2001 PAC; G. Bassi et al, NIM A 557 (2005).



7 GeV Transport Arc Designs for ERLs

- Typical ischronous achromatic transport cell
- Three non-gradient dipoles
- Five quadrupole families

Results for 10-cell 90-deg arcs

100 120 140 160 180

Radius (m)



- For 80~110m average radius, get similar results
- We've used achromatic arcs in this range
 - Easier to match to user arcs



0.11

80

60

0.15

corrected ϵ_{mx} (mm) corrected ϵ_{nx} (mm) corrected ϵ_{nx} (mm)

Final

ERL Parameter Review and Physics Issues M. Borland, 11/15/06

APS Lattice for ERL¹

APS uses distributed dispersion low-emittance ("LE") lattice

- Minimizes the effective beam emittance
- In spite of tiny energy spread, need achromatic cells ("ZD" lattice) for ERL even ignoring ISR/CSR



Emittance growth in APS w/o CSR, Cornell high-coherence parameters. Example with Q=50 pC, 0.17 ps rms bunch length: ZD much better.

¹M. Borland, NIM A 557 (2005) 224.



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Optics Correction

- Optics correction is a serious issue for emittance preservation in ILC¹
- Effective emittance can be enlarged by
 - Mismatched horizontal dispersion
 - Spurious vertical dispersion
- Typical beta functions at IDs are ~10 m with ~7 pm geometric emittance at 7 GeV
 - $\sim 8 \ \mu m$ mono-energetic beam size
- Less than 10% emittance increase means beam size of increase of under 5%

$$\eta \sigma_{\delta} < \sqrt{\epsilon \beta} (1.05^2 - 1)^{1/2}$$

- With 0.02% rms energy spread, need η < 0.01 m.
 - In APS we correct¹ dispersion at IDs to \sim 0.003 m
- Appears not to be a major issue.

¹L. Emery, private communication.



ERL Linac Optics Design

- ERL linac must support beams of multiple energies in the same location
 - Single-pass ERL linac must support 10 MeV and 7 GeV beams together
 - The "graded gradient"¹ principle was applied and works well
 - Quadrupoles have constant focal length for lowest energy beam at any location



¹D. Douglas, JLAB-TN-00-027, 11/13/00.



Example of Doublet-Based ERL Linac Optics Design



M. Borland, OAG-TN-2006-041, 9/17/06.



Multipass Beam Breakup



N. Sereno, Univ. of Illinois Urbana Ph. D. Thesis, 1994.

Initially on-axis beam gets a small kick from HOM.

Beam returns with large offset that dumps more energy into the HOM.



Solutions to BBU^{1,2}

- Linac optics
 - Small beta functions using graded gradient design
 - R₁₂ and R₃₄ matrix elements for one pass should be small
 - Trajectory from cavity's kick crosses near zero when beam returns to same cavity
 - Can be done by adjustment of external phase advance
- HOM control
 - Damping
 - Requires space between cavities for HOM dampers
 - Decreases the cavity fill factor
 - Stagger tuning
 - This was done for the APS storage ring, but with far fewer cavities³
- Cornell/JLAB effort¹ shows a >200 mA threshold is possible using these techniques for a single-pass ERL.
- ¹S. Gruner and M. Tigner eds., CHESS Tech. Memo 01-003.
- ²N. Sereno, "Beam Breakup in ERLs," 11/2/06.
- ³L. Emery, PAC 1993, 3360-3362.



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ERL Ultrafast Mode

- Cornell ERL group¹ lists the following parameters for "ultra-fast" operating mode:
 - 0.35 nm emittance in both planes (at 7 GeV)
 - 1 mA average current
 - 1 nC per bunch at 1 MHz
 - Very short bunch length: 50 fs rms
 - Energy spread of 0.3% rms
- Can these values be delivered to APS users?
 - Assume that we'll use the APS itself as the bunch compressor
 - Assume we can arbitrarily transform the initial longitudinal phase space with emittance 50fs*0.3%
 - Varying the initial chirp varies the target bunch length.

¹G. Hoffstaetter, FLS-2006.



Ideal Result without CSR or ISR





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Impact of Coherent Synchrotron Radiation: 800fs Target



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Evolution of Rms Bunch Duration





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Horizontal Emittance Evolution

Target





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Discussion of ERL Ultrafast Mode

- For ~1ps, seems ok, but
 - Assumed smooth, gaussian input bunches
- Average current is 1 mA, so flux down 100-fold
- Brightness is down even more
 - Vertical emittance ~14-fold bigger (0.025 nm now)
 - Horizontal emittance ~6-fold smaller
 - Average brightness down ~200-fold
- Charge per bunch down 60-fold, so peak brightness basically unchanged
- This mode would put almost all APS users off the air.



Short Pulses from a Storage Ring: Zholents' Concept¹



¹A. Zholents, et al., Nucl. Instrum. Methods Phys. Res., Sect. A 425, 385 (1999) See also, A. Zholents' talk at 2004 APS Strategic Planning meeting.

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Crab Cavities with ERL?

X-ray pulse duration for Zholents' crab cavity scheme¹



For V=6 MV and 3 GHz cavity

- $_{-}$ ~100 fs rms for 1A and L =35m or 0.3A and L =10m
- Intensity through slits is $\sim 100 \text{fs}/2\text{ps} = 5\%$
- Shouldn't harm beam: rms deflection only 32 μ rad
- Deflection is very linear, ideal for x-ray compression
- Applicability somewhat limited but intriguing.

¹M. Borland, Phys. Rev ST Accel. Beams, **8**, 074001 (2005)

Ultrashort Mode with Second Gun

Bazarov¹ suggests that ultrashort pulses should be delivered with a separate gun to a separate user hall



- Due to low repetition rate of high charge gun, don't need energy recovery
- Limitation on average current is from beamloading
- Advantage: ERL runs normally for rest of user community
- Disadvantage: must build new beamlines for timing users
- Some of our options (see Decker's talk) accommodate this mode.
- ¹I. Bazarov, private communication.



Short Pulse Option: Hybrid ERL/SR Mode

- Can we mix Ultrafast ERL and stored beam?
- Partial solution to ERL operating mode issues
- Run ring with stored beam crowded on one side as in present hybrid mode
- Pulse ERL gun at 271/N kHz to match ring revolution frequency
 - Need fast kickers (<3 us)
 - Need high rate kickers (kick in and out)
 - Need highly stable kickers due to small emittance
 - Kickers must have DC mode for normal ERL operation
- Average current would be up to 0.27 mA
 - Up to 2 MW beam power, maybe don't need ER
- No physics reasons this won't work.





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Beam Loss Issues^{1,2}

- Possible problems include
 - Inefficient energy recovery
 - Cryogenic load in linac
 - Radiation hazard to users
 - Radiation damage to equipment
 - Catastrophic damage to equipment from beam strike
- APS injector delivers a mere 10 nA
 - Efficiency of charge transfer is 80 to 90%
 - "Maximum Credible Incident" is a 44 nA loss at one spot in ring
 - 11 rem/hour radiation outside shield wall
 - Even 1 PPM loss from 100 mA ERL corresponds to 100 nA
- Should we just run and hide from the ERL?

¹CY Yao, "Beam Loss Issues of ERL Accelerators," 10/12/06. ²M. Borland and A. Xiao, OAG-TN-2006-052, 10/16/06.



Continuous Beam Loss Mechanisms¹

- Optical mismatch in beam transport systems
- Beam halo, from many sources
 - Space charge
 - Scattered drive-laser light
 - Field emission
 - Gas scattering
 - Touschek scattering
 - Non-linear optical elements
- These are either
 - Present (mostly) at low energy (space charge, laser scatter, field emission)
 - Controllable through proper design (Touschek, nonlinear optics)
- If we can collimate effectively at low energy, we may find losses are controllable.

¹CY Yao, "Beam Loss Issues of ERL Accelerators," 10/12/06, and references therein.



Implications of MCI for ERL

- MCI gives us a dose/power or dose/current relationship for the existing SR shielding
- To reduce radiation to 1 mrem/hour, limit loss to 4.4 pA
 - That's 0.044 parts-per-billion compared to 100 mA!
- Another way to think about issue is in terms of limiting power/meter¹
- Put another way, we may have losses at each of 36 to 40 sectors
 - Total loss allowance of up to 170 pA or 1.7 PPB
- Presently for stored beam in 24 bunch mode
 - 100 mA has lifetime of $\tau \approx 6$ hours
 - Losses in a single turn are $T_{rev}/\tau = 0.17$ PPB or 17 pA.

¹R. Gerig, private communication.

Gas Scattering

- A possible source of beam halo is gas scattering
- We can estimate gas scattering rate from known gas scattering lifetime of the APS
 - ~120 hours for ~1 nT pressure

$$\frac{dI}{dt} = \frac{I}{\tau} \to \Delta I = \frac{I}{\tau} T_0$$

For APS, $T_0 = 3.68 \ \mu s$ so for 100 mA, loss current is 0.9 pA

- Expect a somewhat larger value for entire ERL
 - Probably much longer than APS
 - Not all at 7 GeV
- Overall doesn't appear to be serious.



Touschek Scattering¹

Touschek scattering is a worry for low-emittance bunches
We can use Piwinski's lifetime formula to get the loss rate for ERL

$$\frac{1}{T} = \left| \frac{r_p^2 c N_p}{8 \pi \gamma^2 \sigma_s \sqrt{\sigma_x^2 \sigma_y^2 - \sigma_p^4 D_x^2 D_y^2} \tau_m} F(\tau_m, B_1, B_2) \right|$$

where $\tau_{\rm m} = (\beta \Delta p/p)^2$

- Piwinski's formula gives the rate of scattering outside of a particular momentum aperture Δp
- We can estimate the loss rate by assuming a constant energy acceptance
 - Later, we optimize the acceptance and estimate loss distribution.

¹A. Xiao, OAG-TN-2006-048, 10/10/06.



Cumulative Loss Rate in APS for Different ERL Modes¹



¹M. Borland, A. Xiao, OAG-TN-2006-052, 10/16/06.

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Energy Aperture Optimization

- Purpose of energy aperture optimization is to reduce losses in user arcs due to Touschek scattering
- Initially, we tried simply correcting chromaticity, but results were not very good
- Used method that more directly simulates the problem
 - Put energy scattering elements after each magnet to model Touschek scattering
 - Each particle gets scattered once only
 - Energy offset scattering distribution is uniform $\pm 2\%$
 - Put in realistic physical apertures
 - Track from the start of the turn-around arc to the exit of APS
 - Don't include exit transport line or linac
 - Using tracking, optimize for
 - Maximum transmission to the end of the arcs
 - Centroid of final momentum distribution equal to 0
- We used the parallel version¹ of elegant for this task.

¹Y. Wang and M. Borland, Proc. AAC06, to be published.

Discussion

- Outlook for beam loss issues:
 - Touschek scattering is main loss mechanism at high energy
 - Touschek-scattered particles are lost quasi-uniformly around the circumference
 - We can probably keep loss rates under 170 pA and doses under 1 mrem/hour with
 - *Sufficient energy aperture (±1%)*
 - See later talk for results.
 - Halo collimation (at low energy).
- Using the high-coherence mode gives a 10-fold reduction in Touschek rate
 - Also gives higher spectral brightness¹

¹R. Dejus, private commication.



Magnet Designs for ERL and SR Work

- APS magnets are quite conservative
 - 40 mm bore radius
 - _ Quadrupoles up to $K_1 = 0.9 \ 1/m^2$ or 21 T/m
 - Sextupoles up to $K_2 = 30 \ 1/m^3$ or 700 T/m²
- We find we need stronger magnets for ERL (and SR) upgrades
 - Need many short, strong-focusing cells
 - Forces magnets to be short, therefore stronger
 - Sextupoles must be strong because new ERL arcs have very low dispersion
- We've designed around a 20 mm bore radius. Feasible¹ designs found
 - _ Quadrupoles up to $K_1 = 2.35 \ 1/m^2$ or 55 T/m
 - Sextupoles up to $K_2 = 183 \text{ 1/m}^3 \text{ or } 4.3 \text{ kT/m}^2$

¹A.Xiao, M. Jaski.



2D Quadrupole Design¹

Quadrupole Magnet Problem - APS 1nm lattice





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2D Sextupole Design¹





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Stability and Diagnostics Issues

- Typical ID beta functions are ~10 m with ~7 pm geometric emittance
 - Typical beam size of 8 μ m
- APS beam sizes at ID now are 280 μ m and 8.7 μ m
 - Should be able to measure emittance of ERL beam using ID35 beamline¹
- APS stability now is 1.5 μ m horizontal and 0.9 μ m vertically in 0.016~30 Hz band
 - Scaling to ~10m beta function, this is equivalent to 1.1 μ m horizontally and 1.6 μ m vertically
 - These are ~20% of the ERL beamsize
 - We don't see to be far from required ~10% stability
 - 1.3 GHz repetition rate of ERL beam will help
 - 1.3 GHz is much faster than power supply ripple, rf variation, and ground vibration
 - Good signal for BPMs
 - Advancing technology should allow much faster data collection and feedback.
 ¹A. Lumpkin.



Feedback Scheme for ERL to Compensate Gun Jitter



¹R. Lill, private communication.



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Conclusion

- ERL promises very bright beams for x-ray production
- For some ERL issues that are not APS-specific, we've assumed that on-going research will provide solutions
 - Gun design for ultralow emittance
 - Emittance preservation at low energy
 - Cathode lifetime for 25~100 mA CW
 - Beam break-up
- Site-variable issues were reviewed
 - Linac length and optics
 - 10 MeV to 7 GeV and back in one pass linac is feasible
 - Emittance preservation in arcs
 - ISR and CSR are concerns (more in later talk)
 - IBS is not a problem (see supplemental slide)
 - Ultrafast ERL beam in APS has issues
 - Beam corruption, low average current
 - Several options available to address this and keep more users happy



Conclusion

- Site-variable issues (continued)
 - Beam loss is a serious concern
 - Gas scattering is negligible
 - Indicated how to compute Touschek losses (more later)
 - Touschek loss rates for APS stored beam already lower than required for ERL
 - Assume we can collimate at low energy to eliminate halo
- Magnets appear feasible, though quite strong
 - Assuming a 30 mm ID chamber
- Diagnostics and beam stability seem within reach
- APS-specific details in subsequent talks
 - Layout options
 - Designs and performance.



Supplemental Slides Follow



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Conclusions of A. Todd's Review¹

- Normal conducting rf guns are the least viable technology
 - Gradient limited by power load
 - Cathode technology (lifetime, reliability) not there
- Superconducting rf guns are least mature but promising
 - Unproven at high average current
 - In principle will deliver better performance than DC guns
 - No demonstrated cathode technology
- DC guns are in use now at 10 mA level
 - Extrapolation to the 100 mA level looks likely
 - GaAs cathodes are key, but they need periodic recessition
 - 100 mA with ~100 hour lifetime "within reach"²
- DC guns appear to be the best bet.

¹A. Todd, NIM A 557 (2006) 36-44. ²C. Sinclair, NIM A 557 (2005) 69-74.



Radiation Opening Angle Effect on Emittance

- ERL emittances are 7 GeV are extremely small compared to present storage rings
- Do radiation opening angle effects have an impact?
- Mean photon energy is u_a=0.32 u_c
 - _ For a 2T dipole and 7 GeV beam, $u_a = 22 \text{ keV}$
- Typical emission angle is $1/\gamma \sim 75 \mu$ rad
- Typical transverse momentum change is $u_{a}/\gamma \sim 1.5 \text{ eV}$
- Typical slope change is thus ~0.2 nrad
- Even if $\beta \sim 1000$ m, beam divergence is ~ 90 nrad, so effect is neglible
- Tracking with model of detailed photon distributions using elegant confirms this conclusion¹.

¹M. Borland, OAG-TN-2006-043, 10/4/06.



Emittance Preservation at High Energy: Wakes

- Short-range wakefields may impact ultra-small emittances
- Checked this by tracking with elegant¹
 - 7 GeV single-pass linac design (shown later)
 - TESLA cavity wakefields²
 - 1 mm rms cavity misalignments

Transverse wakes not an issue.



¹M. Borland, APS LS-287, September 2000.

²T.Weiland, I. Zagorodnov, TESLA 2003-19.



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Intrabeam Scattering

- Intrabeam scattering is a well-known barrier to low emittance in storage rings
 - What about even smaller ERL emittances?
- Tracking program elegant includes calculation of IBS growth rates using Bjorken-Mtingwa method
 - Designed for multiturn tracking but applicable here
 - Assumes periodic lattice functions
 - Computes growth rate using turn-by-turn emittances
- Apply to ERL case to estimate effect
 - Simulate the APS ZD lattice only
 - Insert IBSCATTER element at each straight section with 1/40 strength
- Results show IBS not an issue.



IBS Results for ERL Beam in APS ZD Lattice



Emittance increase from 0.1um dominated by ISR.

IBS gives <0.01 um additional at 0.2 nC



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