SEC NEWS DIGEST

Issue 2001-80

April 25, 2001

ENFORCEMENT PROCEEDINGS

SEC CHARGES TWO MORE CENTRAL MAINE POWER EMPLOYEES WITH INSIDER TRADING THROUGH 401(k) PLAN

On April 23, the Commission filed injunctive actions against Robert K. Gasper and James D. Fairfield for illegal insider trading in the common stock of CMP Group, Inc. The complaint alleges that Gasper and Fairfield, former employees of Central Maine Power Company, a subsidiary of CMP, invested all of the assets in their 401(k) plans in a CMP stock fund on June 14, 1999. The rext day, CMP publicly announced its plan to merge with Energy East Corporation. Gasper, who invested \$336,528, made an illegal profit of \$88,604 and Fairfield, who invested \$304,556, made an illegal profit of \$80,186.

The Commission's complaint alleges that Gasper first learned about the planned merger in late April or early May 1999, when Energy East was conducting due diligence. Gasper was asked to retrieve information for a "top secret" project and, by his own admission, correctly concluded that someone was "looking at the company." On the day of Gasper's trade, Gasper's supervisor scheduled a highly unusual meeting for 4:01 p.m. After learning of the meeting, Gasper concluded that a buyout announcement was imminent and executed his trade.

The complaint also alleges that Gasper tipped Fairfield. On the day of their trades, Fairfield telephoned Gasper shortly before the close of the market. Gasper informed Fairfield of the unusual 4:01 p.m. meeting, stated that the acquisition of CMP might be announced, and told Fairfield that he was going to transfer all of his 401(k) assets to the CMP stock fund. Minutes after the conversation, Fairfield executed his trade. The complaint also alleges that during the week prior to the announcement, Fairfield's supervisor cautioned Fairfield about trading in CMP stock.

Simultaneously with the filing of the complaint, Gasper and Fairfield, without admitting or denying the Commission's allegations, consented to the entry of a judgment permanently enjoining them from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Gasper and Fairfield also consented to an entry of a judgment requiring disgorgement of their trading profits, but a portion was waived due to inability to pay. Accordingly, Gasper agreed to pay \$65,000 and Fairfield agreed to pay \$30,000. [SEC v. Robert K. Gasper and James D. Fairfield, Civil Action No. 01-81-B, USDC, D. Maine] (LR-16972)

INVESTMENT COMPANY ACT RELEASES

WELLS FARGO FUNDS TRUST, ET AL.

An order has been issued on an application filed by Wells Fargo Funds Trust, et al., exempting applicants from Section 15(f)(1)(A) of the Investment Company Act. The order permits a registered investment company not to reconstitute its board of trustees following an acquisition of substantially all of the assets of three series of another registered investment company. (Rel. IC-24942 – April 24)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the <u>Philadelphia Stock Exchange</u> to amend Phlx Rule 237 relating to decimal reporting, calculation methodology, and an expansion of the securities eligible for eVWAP trading (SR-Phlx-2001-10) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of April 23. (Rel. 34-44203)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted (SR-ISE-01-02) by the <u>International Securities Exchange</u> to prohibit anticipatory hedging activity. Publication of the order in the <u>Federal Register</u> is expected during the week of April 23. (Rel. 34-44208)

PROPOSED RULE CHANGES

The <u>American Stock Exchange</u> filed a proposed rule change (SR-Amex-2001-14) relating to members' written proposals to list equity option classes. Publication of the proposal is expected in the <u>Federal Register</u> during the week of April 23. (Rel. 34-44211)

<u>The Options Clearing Corporation</u> filed a proposed rule change (SR-OCC-2001-05) to allow OCC to clear and settle security futures for any national securities exchange registered under Section 6(a) or 15A(a) of the Exchange Act or any "designated contract market" (as that term is used in the Commodity Exchange Act) that is registered as a national securities exchange under Section 6(g) of the Exchange Act. Publication of the proposal is expected in the <u>Federal Register</u> during the week of April 23. (Rel. 34-44212)

DELISTING GRANTED

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration the Common Stock, \$0.01 par value, of Avenue Entertainment Group, Inc., effective at the opening of business on April 25, 2001. (Rel. 34-44215)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if ϵ . ailable) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in r orson or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec.gov>. In most cases, this information is also available on the Commission's website:

S-8 LOCAL FINANCIAL CORP /NV, 3601 NW 63RD ST, OKLAHOMA CITY, OK 73116 (405) 841-2298 - 2,100,370 (\$21,003,700) COMMON STOCK. (FILE 333-59116

APR. 18) (BR. 7)

S-8 SPRINT CORP, 2330 SHAWNEE MISSION PKWY, WESTWOOD, KS 66205 (913) 624-3000 - 26,900,000 (\$613,955,500) COMMON STOCK. (FILE 333-59124 -

APR. 18) (BR. 7)

S-8 K2 DIGITAL INC, C/O NEW YORK INFORMATION TECHNOLOGY CENT, 30 BROAD STREET, NEW YORK, NY 10004 - 1,300,000 (\$409,500) COMMON STOCK.

(FILE 333-59126 - APR. 18) (BR. 8)

S-8 INNOVATIVE HOLDINGS & TECHNOLOGIES INC, 100 SOUTH ORANGE AVENUE, SUITE 100, ORLANDO, FL 32801 (407) 481-8900 - 10,000,000 (\$700,000) COMMON STOCK. (FILE 333-59128 - APR. 18) (BR. 9)

S-8 ROPER INDUSTRIES INC /DE/, 160 BEN BURTON RD, BOGART, GA 30622
(706) 369-7170 - 500,000 (\$18,000,000) COMMON STOCK. (FILE 333-59130 APR. 18) (BR. 5)

S-8 PARKER DRILLING CO /DE/, PARKER BLDG, EIGHT E THIRD ST, TULSA, OK 74103 (918) 585-8221 - 1,000,000 (\$5,590,000) COMMON STOCK. (FILE 333-59132

APR. 18) (BR. 4)

- S-8 METLIFE INC, ONE MADISON AVENUE, NEW YORK, NY 10010 (212) 578-2211 -38,323,333 (\$1,121,340,724) COMMON STOCK. (FILE 333-59134 - APR. 18) (BR. 1)
- S-3 DUKE WEEKS REALTY CORP, 600 EAST 96TH STREET, STE 100, INDIANAPOLIS, IN 46240 (317) 808-6000 - 149,802 (\$3,336,839.55) COMMON STOCK. (FILE 333-59138 - APR. 18) (BR. 8)

APR. 18) (BR. 7)

S-4 KOHLS CORPORATION, N56 W17000 RIDGEWOOD DR, MENOMONEE FALLS, WI 53051 (414) 783-5800 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-59146 - APR. 18) (BR. 2)

S-8 ECHOSTAR COMMUNICATIONS CORP, 5701 SOUTH SANTA FE DRIVE, LITTLETON, CO 80120 (303) 723-1000 - 41,500 (\$1,205,990) COMMON STOCK. (FILE 333-59148 -

APR. 18) (BR. 7)

- - S-8 REMOTE UTILITIES NETWORK INC, 955 S VIRGINIA ST, SUITE 116, RENO, NV 89502 (775) 322-7552 - 20,000 (\$2,000) COMMON STOCK. (FILE 333-59152 -APR. 18) (BR. 9)
- S-3 MERCANTILE BANK CORP, 42 DEER RUN DRIVE, ADA, MI 49301 (616) 676-0201 -

448,600 (\$7,020,552) COMMON STOCK. (FILE 333-59154 - APR. 18) (BR. 7)

- S-8 BARD C R INC /NJ/, 730 CENTRAL AVE, MURRAY HILL, NJ 07974 (908) 277-8000 - 2,050,000 (\$89,380,000) COMMON STOCK. (FILE 333-59156 - APR. 18) (BR. 5)
 - S-8 AFFYMETRIX INC, 3380 CENTRAL EXPRESSWAY, SANTA CLARA, CA 95051 (408) 522-6000 - 1,600,000 (\$131,461,779.17) COMMON STOCK. (FILE 333-59158 - APR. 18) (BR. 1)

- S-8 AFFYMETRIX INC, 3380 CENTRAL EXPRESSWAY, SANTA CLARA, CA 95051 (408) 522-6000 - 5,000,000 (\$196,399,553.81) COMMON STOCK. (FILE 333-59160 - APR. 18) (BR. 1)
- S-8 INTEGRATED DEVICE TECHNOLOGY INC, 2975 STENDER WAY, SANTA CLARA, CA 95054 (408) 727-6116 - 472,560 (\$14,176,800) COMMON STOCK. (FILE 333-59162 APR. 18) (BR. 5)
- S-8 CYPRESS BIOSCIENCE INC, 4350 EXECUTIVE DRIVE, SUITE 325, SAN DIEGO, CA 92121 (206) 298-9400 - 562,500 (\$441,562.50) COMMON STOCK. (FILE 333-59164 - APR. 18) (BR. 1)

S-8 BIG BUCK BREWERY & STEAKHOUSE INC, 550 S WISCONSIN ST, P.O. BOX 1430, GAYLORD, MI 49734 (517) 731-0401 - 1,060,000 (\$1,072,950) COMMON STOCK.

(FILE 333-59166 - APR. 18) (BR. 5)

S-3 VIISAGE TECHNOLOGY INC, VIISAGE TECHNOLOGY INC, 30 PORTER ROAD, LITTLETON, MA 01460 (617) 952-2200 - 267,790 (\$482,022) COMMON STOCK. (FILE 333-59170 - APR. 18) (BR. 3)

S-8 CYTYC CORP, 237 CEDAR HILL ST, MARLBOROUGH, MA 01752 (508) 263-8000 5,173,624 (\$96,573,288.27) COMMON STOCK. (FILE 333-59172 - APR. 18) (BR. 5)

- S-1 AT&T WIRELESS SERVICES INC, 7277 164TH AVENUE NE BUILDING 1, REDMOND, WA
 - 98052 (425) 580-6000 \$6,228,616,305 COMMON STOCK. (FILE 333-59174 APR. 18) (NEW ISSUE)
- S-4 ADVANCEPCS, 5215 N O'CONNOR, STE 1600, IRVING, TX 75039 (214) 830-6199

- 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 333-59178 - APR. 18)

- (BR. 1)
- S-8 VASTERA INC, 45025 AVIATION DR, STE 200, DULLES, VA 20166 88,205 (\$74,092.20) COMMON STOCK. (FILE 333-59180 - APR. 18) (BR. 4)
- S-8 LEHMAN BROTHERS HOLDINGS INC, AMERICAN EXPRESS TWR,
 3 WORLD FINANCIAL CNTR, NEW YORK, NY 10285 (212) 526-7000 67,000,000 (\$4,123,850,000) COMMON STOCK. (FILE 333-59184 APR. 19) (BR. 7)
- S-8 SOUTHERN STATES POWER CO INC, 830 HAVENS ROAD, SHREVEPORT, LA 71107 (318) 221-5703 - 810,520 (\$153,950) COMMON STOCK. (FILE 333-59186 -APR. 18) (BR. 9)
- S-3 PEASE OIL & GAS CO /CO/, 751 HORIZON COURT STE 203, P O BOX 60219, GRAND JUNCTION, CO 81506 (970) 245-5917 - 1,763,800 (\$4,144,930) COMMON STOCK. (FILE 333-59188 - APK. 19) (BR. 4)

- DAL TILE INTERNATIONAL INC, 7834 HAWN FREEWAY, STE 1704, DALLAS, TX s-3 75217 (214) 398-1411 - 16,100,000 (\$225,239,000) COMMON STOCK. (FILE 333-59190 - APR. 19) (BR. 6)
- ACCENTURE LTD, CEDAR HOUSE, 41 CEDAR AVE., HAMILTON, A1 00000 -S-1 \$1,000,000,000 COMMON STOCK. (FILE 333-59194 - APR. 19) (NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

- Item 1. Changes in Control of Registrant. Item 2. Acquisition or Disposition of Assets. Item 3. Bankruptcy or Receivership. Item 4. Changes in Registrant's Certifying Accountant. Item 5. Other Materially Important Events. Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits. Item 8. Change in Fiscal Year. Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <pre

NAME OF ISSUER	STATE CODE	1	2	8K 3 4	1T. 15			9	DATE	COMMENT
ACCEL INTERNATIONAL CORP	DE				x	 			04/16/	01
ACCESS SOLUTIONS INTERNATIONAL INC	DE				Х	Х			04/23/	01
ADELPHIA COMMUNICATIONS CORP	DE				Х	Х		Х	04/20/	01
ADVA INTERNATIONAL INC	DE	Х	Х			Х			06/19/	00 AMEND
ADVA INTERNATIONAL INC	DE						Х		04/19/	01
ADVANCED BIOTHERAPY INC	NV				Х	Х			04/20/	01
ADVANCED MICRO DEVICES INC	DE				Х	Х			04/18/	01
ADVANTA BUSINESS RECIEVABLES CORP			Х			х			04/17/	01
AIR PRODUCTS & CHEMICALS INC /DE/	DE				Х			Х	03/31/	01
ALLEGHENY ENERGY INC	MD				Y.			Х	04/23/	01
ALLEN ORGAN CO	PA				Х				04/23/	01
ALLEN TEST INC NEW NAME 2	IN	Х							12/10/	99
ALLEN TEST INC NEW NAME 2	IN	Х							12/10/	99
ALLEN TEST INC NEW NAME 2	IN	Х							12/10/	99
ALLEN TEST INC NEW NAME 2	IN	Х							12/10/	99
ALLEN TEST INC NEW NAME 2	IN	Х							12/10/	99
ALLEN TEST INC NEW NAME 2	IN				Х				01/01/	02
ALLEN TEST INC NEW NAME 2	IN				Х				01/01/	02
ALLEN TEST INC NEW NAME 2	IN				Х				01/01/	02
ALLEN TEST INC NEW NAME 2	IN				Х				01/01/	02
ALLEN TEST INC NEW NAME 2	IN				Х				01/01/	02

STATE 8K ITEM NO.

NAME OF ISSUER	STATE CODE		3456		9	DATE C	OMMENT
	 IN		 X			01/01/02	
ALLEN TEST INC NEW NAME 2	IN		X			01/01/02	
ALLEN TEST INC NEW NAME 2	IN		X			01/01/02	
ALLEN TEST INC NEW NAME 2	IN		X			01/01/02	
ALLEN TEST INC NEW NAME 2			X			01/01/02	
ALLEN TEST INC NEW NAME 2	IN		X			01/01/02	
ALLEN TEST INC NEW NAME 2	IN		x			04/24/01	
AMERICAN AIRLINES INC	DE		Λ	х		04/24/01	
AMERICAN ELECTRIC POWER COMPANY INC	NY		х	x		04/23/01	
AMERICAN EXPRESS CO	NY		X	Δ		04/23/01	
AMR CORP	DE		X	v		04/23/03	
ANTIGENICS INC /DE/	DE			X		04/23/01	
AON CORP	DE		Х	X		06/30/00	
APPLIED DIGITAL SOLUTIONS INC	MO			X		06/30/00	
APPLIED DIGITAL SOLUTIONS INC	MO			X			
APPLIED DIGITAL SOLUTIONS INC	MO	Х		X		09/08/00	
APPLIED DIGITAL SOLUTIONS INC	MO			X		09/08/00	
APPLIED DIGITAL SOLUTIONS INC	MO			X		10/25/00	
APPLIED DIGITAL SOLUTIONS INC	MO			Х		10/25/00	
APTIMUS INC	WA		Х	Х		04/20/03	
ARBITRON INC	DE			Х	Х	04/24/03	
ARCH COAL INC	DE		Х			04/30/03	
ARONEX PHARMACEUTICALS INC	DE		Х	Х		04/23/03	
ASV INC /MN/	MN		Х	Х		04/24/0	
ATCHISON CASTING CORP	KS		х	Х		04/24/0	
ATRIX LABORATORIES INC	DE		X	Х		04/20/0	
AURORA FOODS INC /DE/	DE		Х	Х		04/23/0	
AVAX TECHNOLOGIES INC	DE		Х	Х		04/23/0	
BAB INC	DE	Х				04/24/0	
BALDWIN PIANO & ORGAN CO /DE/	DE		Х	Х		04/24/0	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE		Х	Х		04/24/0	L
BARNWELL INDUSTRIES INC	DE	Х				04/12/0	
BEAR STEARNS MORT SEC INC COM MORT PAS THR CER SER 1999-WF2	NY	х				04/16/0	1
BEAR STEARNS MORT SEC INC COMM MORT	NY	х				04/16/0	1
PASS THR CER SE 2000-WF1			х	v		04/20/0	1
BEI MEDICAL SYSTEMS CO INC /DE/			X	X		04/23/0	
BERKLEY W R CORP	DE IA		X	л		04/23/0	
BERTHEL FISHER & CO LEASING INC			X	х		04/24/0	
BHC COMMUNICATIONS INC	DE		X	X		04/23/0	
BLACK & DECKER CORP	MD		X	x		04/24/0	
BLACK & DECKER CORP	MD	v		x		04/09/0	
BOEING CAPITAL CORP	DE	X				04/09/0	
BRANDYWINE REALTY TRUST	MD	Х		Х	v	04/10/0	
CALDERA INTERNATIONAL INC/UT	DE			.,	Λ	04/23/0	
CALLON PETROLEUM CO	DE		Х	Х			
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1	MD	Х				04/20/0	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD	Х				04/20/0	1
CATERPILLAR INC	DE		Х			04/11/0	1

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STATE 8K ITEM NO.

NAME OF ISSUER	STATE CODE	12	8K ITEN 3 4 5 (578	9		
CHEVY CHASE AUTO RECEIVABLES TRUST		Х				03/15/	
1997-1 CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD	Х				03/15/	01
CHEVY CHASE AUTO RECEIVABLES TRUST	MD	Х				03/15/	01
CHEVY CHASE AUTO RECEIVABLES TRUST	MD	х				03/15/	01
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD	Х				03/15/	01
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD	Х				03/15/	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD	Х				03/15/	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-2	MD	Х				03/15/	
CHEVY CHASE AUTO RECEIVABLES TRUST 2001-1		Х				03/15/	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD	Х				03/15/	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD	Х				03/15/	
CHINA BROADBAND CORP	NV					04/20/	
CHRIS CRAFT INDUSTRIES INC	DE		Х			04/24/	
ITICORP MORTGAGE SECURITIES INC	DE		Х			04/23/	
CITICORP MORTGAGE SECURITIES INC			Х			04/24/	
CITIZENS COMMUNICATIONS CO	DE		Х			04/23/	
CLEVELAND CLIFFS INC	OH					04/16/	
CLEVELAND CLIFFS INC	OH					04/23/	
COCA COLA ENTERPRISES INC	DE		Х				
COHO ENERGY INC	TX		Х				
COLONIAL TRUST CO /AZ	AZ						
COLORADO MEDTECH INC	CO			Х			
COMMERCIAL FEDERAL CORP	NE		Х	Х			
COMMERCIAL MORTGAGE PASS THROUGH CE RTIFICATES SERIES 1999 C2		Х				04/17,	
COMMERCIAL MORTGAGE PASS THRU CERTI FICATES SERIES 2000 WF2		Х				04/16,	
COMMERCIAL MORTGAGE PASS THRUOUGH C ERTIFICATES SER 2001-CF2	DE					04/23,	
COMMONWEALTH BANCORP INC	PA		Y.	Х		04/23	
COMPAQ COMPUTER CORP	DE		Х			08/24	
CONCUR TECHNOLOGIES INC	WA		Х	Х		04/17,	
CONSECO FINANCE CORP	DE		Х	Х		04/16,	
CONSECO FINANCE CORP	DE		Х	Х		04/16	
CONSECO FINANCE CORP	DE		Х	Х		04/16	
CONSECO FINANCE SECURITIZATIONS COP P	R DE		Х	х		04/16	
COVEST BANCSHARES INC	DE		Х	Х		03/31	
CREDIT SUISSE FR BS MOR SEC CP COM MOR PS TH CE SER 2001-CK1	DE	х				04/18	
CV THERAPEUTICS INC	DE		Х	Х		08/07	
CWABS INC	DT		v	v		04/20	/01
	DE		Х	Х		04/20	

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8K ITEM NO.

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	CODE		2	345				DATE	CC	MMENT
NAME OF ISSUER										
	NY			X		x		03/29/		
CYBEROPTICLABS INC DARLING INTERNATIONAL INC	DE			x .		•-		04/09/		
DATAWORLD SOLUTIONS INC	DE DE			x		х		04/10/		
DELTA MUTUAL INC	24	х						04/19/		
DIAMETRICS MEDICAL INC	MN					Х	Х	04/20/	01	
DIGIMARC CORP	OR			х				04/24/		
DST SYSTEMS INC	DE			х				03/31/		
DUPONT E I DE NEMOURS & CO				Х				04/24/	01	
E SYNERGIES INC	CA	Х		Х	х			04/11/	01	
EARTHWEB INC	DE			х		Х		04/18/	01	
EAST TEXAS FINANCIAL SERVICES INC	DE			Х		Х		04/24/	01	
ECCS INC	NJ					Х		04/19/	01	
ECLIPSE SURGICAL TECHNOLOGIES INC	CA			х		Х		04/11/	01	
EDUCATION LOANS INC /DE	DE					Х		04/23/	01	
ELMERS RESTAURANTS INC	OR				Х	Х		04/17/	01	
ENTERPRISES SOLUTIONS INC	NV			Х		Х		04/23/	01	
ENVIRONMENTAL POWER CORP	DE			Х		Х		04/09/	01	
EQUITABLE RESOURCES INC /PA/	PA			х				04/23/	01	
ESTEE LAUDER COMPANIES INC	DE			Х				04/24/	01	
FARMER MAC MORTGAGE SECURITIES CORP	DE					Х		04/15/	01	
FARMER MAC MORTGAGE SECURITIES CORP						Х		04/25/	01	
FARMER MAC MORTGAGE SECURITIES CORP						Х		04/25/	01	
FARMER MAC MORTGAGE SECURITIES CORP						Х		04/25/	01	
FINANCIAL ASSET SE CP SOUNDVIEW HM	DE			Х		Х		04/10/	01	
EQ LN AS BK CER SE 2001-1										
FIRST BANCORP /NC/	NC			Х		Х		04/23/		
FIRST COMMUNITY BANCSHARES INC /NV/	NV			Х				04/23/		
FIRST INDIANA CORP	IN			Х				04/20/		
FIRST MID ILLINOIS BANCSHARES INC	DE					Х		04/20/		
FIRST NATIONAL BANK OF ATLANTA				Х		Х		03/31/		
FIRST SIERRA RECEIVABLES II INC	DE	Х				Х		04/01/		
FIRST SIERRA RECEIVABLES III INC	DE	Х				Х		04/01/		
FIRST UNION COM MOR SEC INC COM MOR	NC	Х						04/17/	01	
PASS THR CER SER 2001-C1										
FIRST UNION COMM MORT TRUST COMM MO	NY	Х						04/17/	01	
R PAS THR CER SER 1999-C4										
FIRST UNION COMM MORT TRUST COMM MO	NY	Х						04/17/	01	
R PAS THR CER SER 2000-C1										
FIRST UNION COMM MORT TRUST COMM MO	NY	Х						04/17/	01	
R PAS THR CER SER 2000-C2										
FIRST UNITED CORP/MD/	MD						Х	04/24/		
FLEXPOINT SENSOR SYSTEMS INC	DE			X				04/20/		
FUTURELINK CORP	DE			Х		Х		04/20/		
GAYLORD ENTERTAINMENT CO /DE	DE						Х	04/24/		
GENAERA CORP	DE			Х				04/19/		
GENERAL DATACOMM INDUSTRIES INC	DE			Х				06/30,		
GENERAL MOTORS ACCEPTANCE CORP	DE			Х				04/24/		
GREAT AMERICAN BANCORP INC	DE			-25		х		04/20,		
GREEN TREE FLOORPLAN RECIEVABLES MA	. MN			Х		Х		04/16,	01	
STER TRUST										
GREEN TREE FLOORPLAN RECIEVABLES MA	MN			х		Х		04/16,	01	
STER TRUST										

8K ITEM NO.

NAME OF ISSUER	STATE CODE	1			56	78			COMMENT
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST			_ # 4		X	х		04/16/01	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				Х	Х		04/16/03	L
GREENHOLD GROUP INC	FL	Х	Х			Х		12/21/00) AMEND
GULFMARK OFFSHORE INC	DE				Х			04/24/03	L
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV				Х	Х		04/18/03	L
RP									
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV		X		Х	Х		04/18/03	1
HARLEYSVILLE NATIONAL CORP	PA				Х			03/31/0	1
HARRAHS ENTERTAINMENT INC	DE				Х	Х		04/23/0	1
HASBRO INC	RI				Х	Х		04/23/0	1
HAWAIIAN ELECTRIC CO INC	HI				Х			04/23/0	1
HAWAIIAN ELECTRIC INDUSTRIES INC	HI				Х			04/23/0	1
HCA THE HEALTHCARE CO	DE				Х	Х		04/23/0	1
HCNB BANCORP INC	MD					Х		04/12/0	1 AMEND
HEALTHAXIS INC	PA			х	Х	Х		04/17/0	1
HERITAGE COMMERCE CORP	CA				Х			04/23/0	1
HIBERNIA CORP	LA					Х	Х	03/31/0	1
HIGH PLAINS CORP	KS	х						04/16/0	1
HMN FINANCIAL INC	DE				х			04/24/0	1
HORIZON PCS INC	DE					Х	Х	04/24/0	1
HOTEL RESERVATIONS NETWORK INC	DE					х	Х	04/23/0	1
HOUSEHOLD CONSUMER LOAN TRUST 1996- 1		Х						03/06/0	
HOUSEHOLD CONSUMER LOAN TRUST 1996- 2	DE	Х						03/06/0	1
HOUSEHOLD CONSUMER LOAN TRUST 1997-	DE	Х						03/06/0	1
HOUSEHOLD CONSUMER LOAN TRUST 1997-	DE	Х						04/23/0	1
HOUSEHOLD CREDIT CARD MASTER NOTE T RUST I	DE	Х						04/23/0	1
HOUSEHOLD HOME EQUITY LOAN TRUST 19 99-1	DE	Х						04/23/0	1
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE	Х						04/23/0	1
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE	Х						04/23/0	1
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE	Х						04/23/0	1
HYPERCOM CORP	DE				х			04/19/0	1
I MANY INC	DE		X	ζ	x	х		04/09/0	
I TRAX INC	DE					x		02/07/0	
IMPAC SECURED ASSETS CORP	CA					X		04/23/0	
INFORMATION MANAGEMENT ASSOCIATES I NC					х	x		04/16/0	
INNOFONE COM INC	NV				х	х		04/11/0	1
INSPIRE PHARMACEUTICALS INC	DE				X			04/24/0	
INTEGRATED SECURITY SYSTEMS INC	DE				X	х		04/11/0	
INTEGRATED TECHNOLOGY GROUP	NV				Х			03/25/0	1

NAME OF ISSUER	STATE CODE		2	8K ITEM 3 4 5 6	78		DATE	COMMENT	,
INTERACTIVE MARKETING TECHNOLOGY IN				х			04/06/0	1	
INTERCALLNET INC	NV			х			12/21/0	0	
INTERCOUNTY BANCSHARES INC	OH	Х					04/24/0	1	
INTERNATIONAL MENU SOLUTIONS CORP	NV	Х	Х	х	Х	Х	04/05/0	1	
INTERNET CABLE CORP	NV			х			04/23/0	1	
INTERNET CABLE CORP	NV			Х			04/23/0	1 AMENI)
IONICS INC	MA			х			04/18/0	1	
ITC DELTACOM INC	DE			х			04/20/0	1	
IVILLAGE INC	DE			х	Х		04/23/0	1	
JDS UNIPHASE CORP /CA/	DE				Х	Х	04/24/0	1	
JUNO ONLINE SERVICES INC	DE			х	Х		04/20/0	1	
J2 GLOBAL COMMUNICATIONS INC	DE			х	Х		04/23/0	1	
KAYE GROUP INC	DE			х	Х		08/29/0	1	
KELLWOOD CO	DE			Х	Х		04/23/0		
LABORATORY CORP OF AMERICA HOLDINGS	DE					Х	04/23/0	1	
LUCENT TECHNOLOGIES INC	DE					Х	04/24/0	1	
LUIGINOS INC	MN				Х		02/09/0	1 AMENI)
LUND INTERNATIONAL HOLDINGS INC	DE				Х	Х	04/23/0	1	
MACE SECURITY INTERNATIONAL INC	DE			х			04/19/0	1	
MAF BANCORP INC	DE			х	Х		04/18/0	1	
MANGOSOFT INC	NV			х			04/23/0	1	
MARK SOLUTIONS INC	DE		Х		Х		04/06/0	1	
MASSACHUSETTS FI'CORP INC	DE			х	Х		04/20/0	1	
MASSACHUSETTS FINCORP INC	DE			х	Х		04/23/0	1	
MCDONALDS CORP	DE			х	Х		04/19/0)1	
MEDI HUT CO INC	DE			х			04/20/0)1	
MEDIX RESOURCES INC	со			х			06/30/0		
METROPOLITAN MORTGAGE & SECURITIES CO INC	WA			Х	Х		04/23/0		
MIIX GROUP INC	DĒ			х			04/18/0)1	
MINDFULEYE INC	NV			Х			04/24/0)1	
MINNESOTA MINING & MANUFACTURING CO	DE				Х	Х	04/23/0)1 .	
MMCA AUTO OWNER TRUST 1999-2	DE			х	Х		04/16/0)1	
MMCA AUTO OWNER TRUST 2000-1	DE			Х	Х		04/16/0)1	
MMCA AUTO OWNER TRUST 2000-2	DE			х	Х				
MORGAN STANLEY DEAN WIT CAP I INC M OR PAS-THR CER SER 2001-1	NY	X					04/16/0)1	
MORGAN STANLEY DEAN WITTER & CO	DE			X	Х		04/18/0)1	
MORGAN STANLEY DEAN WITTER CAPITAL I TRUST 2001-PPM	DE	Х					04/16/0)1	
MPOWER COMMUNICATIONS CORP	NV					Х	04/24/0)1	
MUSE TECHNOLOGIES INC	DE			х	Х		04/13/0)1	
NELSON THOMAS INC	TN			х	х		04/23/0)1	
NEORX CORP	WA		Х		Х		04/19/0)1	
NETERGY NETWORKS INC	DE			x	Х		04/12/0	01	
NEUBERGER BERMAN INC	DE			Х	х		04/19/0	01	
NEW CINEMA PARTNERS	NV		Х	<u> </u>			05/31/0	01	
NEWAGECITIES COM INC	ID		X				04/23/0	01	
NORTEL NETWORKS CORP				X			04/19/0	01	
NORTEL NETWORKS LTD				Х			04/19/0	01	
NS GROUP INC	KY				Х	х	04/23/0		
MP GUOL THO									

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	STATE						NO.				
NAME OF ISSUER	CODE	1	2	3	4	56	78	9	DATE	co	MMENT
NUEVO ENERGY CO	DE					v	X	Х	04/23/ 04/23/		
ONELINK INC	MN					Х	X		01/02/		MEND
ORGANOGENESIS INC	DE					.,	х				AMEND
PACIFIC CENTURY FINANCIAL CORP	DE					X			04/23/		
PACIFICA BANCORP INC						Х			04/24/		
PALMETTO BANCSHARES INC	SC				Х		Х		04/17/		
PATTERSON ENERGY INC	DE					X			04/19/		
PBOC HOLDINGS INC	DE					X	Х		04/19/		
PEOPLES BANCORP INC	OH					Х			03/31/		
PEPSICO INC	NC					Х	Х		04/23/		
PER SE TECHNOLOGIES INC	DE					Х	Х		04/06/		
PHOENIX STAR VENTURES INC	DE		Х				Х		04/12/		
PHOENIX STAR VENTURES INC	DE	Х	Х				Х		04/12/		
POGO PRODUCING CO	DE					Х	Х		04/24/		
POOLED AUTO SECURITIES SHELF LLC	DE					Х	Х		01/17/		
POTOMAC ELECTRIC POWER CO	VA					Х	Х		04/24/		
PRENTISS PROPERTIES TRUST/MD	MD		Х				Х		04/10/		
PRIME COMPANIES INC	DE				Х				04/24/		
PRIME RECEIVABLES CORP	DE						Х		04/16/	01	
PRIVATEBANCORP INC	DE					Х	Х		04/23/	01	
PROSOFTTRAINING COM	NV					Х	Х		04/17/	01	
PROTECTIVE LIFE CORP	DE					Х			04/19/	01	
PROVIDENT BANKSHARES CORP	MD						Х	Х	04/19/	01	
PRUDENTIAL SECURITIES SECURED FINAN		х					Х		04/01/	01	
CING CORP											
PSINET INC	NY					Х	Х		04/23/	01	
QUORUM HEALTH GROUP INC	DE					x	Х		04/23/	01	
QUEST COMMUNICATIONS INTERNATIONAL	DE					Х	Х		04/24/		
	20										
INC RAYONIER INC	NC					х	Х		04/24/	01	
RENAISSANCERE HOLDINGS LTD	no					x	X		04/23/		
	PA					X			04/23/		
RENT WAY INC RIVIERA HOLDINGS CORP	NV					x	х		04/24/		
	WA					x			04/23/		
SAFECO CORP	· DE					x	х		04/20/		
SANGSTAT MEDICAL CORP	DE						x		04/24/		
SBC COMMUNICATIONS INC	DE					• •	x		02/07/		AMEND
SEMELE GROUP INC	ш				х				04/23/		
SILVERSTAR HOLDINGS LTD	MD				n		х	x	04/24/		
SL GREEN REALTY CORP	CO				х		X	~	05/30/		
SOUTH HERTFORDSHIRE UNITED KINGDOM	0				Λ		А		03/30/	00	
FUND LTD	VC					х			04/17/	01	
SPRINT CORP	KS				Х				04/20/		
STAR STRUCK LTD	DE								04/23/		
STONE ENERGY CORP	DE					X			• •		
STYLECLICK INC	DE					Х			03/31/		
SUPERIOR ENERGY SERVICES INC	DE							X	04/24/		
SYLVAN LEARNING SYSTEMS INC	MD					Х	X		04/02/		
TELECOMMUNICATIONS INCOME FUND IX I	AI .					Х			04/23/	UT	
P									04/00		
TELECOMMUNICATIONS INCOME FUND X LE						Х			04/23/		
TENNECO AUTOMOTIVE INC	DE					Х	Х		04/24/		
THAON COMMUNICATIONS INC	NV					Х			04/06,	01	

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,	STATE							NC					
NAME OF ISSUER	CODE		2	3	4	5	6	7	8	9	DATE		MMENT
THAON COMMUNICATIONS INC	MN/					х					04/23/		AMEND
THERMALTEC INTERNATIONAL CORP	DE	Х	Х	Х	Х	Х	Х	Х	Х		04/16/		
THOMAS GROUP INC	DE									Х	04/24/		
THOMAS INDUSTRIES INC	DE				Х			Х			04/24/		
TICKETMASTER	DE					Х		Х		Х	04/24/	01	
TIMBERLINE BANCSHARES INC	CA				Х						04/18/		
TIMKEN CO	OH					х					04/23/	01	
TOTAL CONTAINMENT INC	PA					Х		Х			04/18/	01	
TRADEQUEST INTERNATIONAL INC	MS	Х	Х								04/23/	01	
TRAVELBYUS INC	ТΧ				Х			Х			04/17/	01	
TREASURE & EXHIBITS INTERNATIONAL I NC	FL				Х			х			04/10/	01	
TRITON ENERGY LTD	E9									Х	04/24/	01	
UNICORP INC /NEW	NV				х			Х			04/06/	01	AMEND
UNITED AUTO GROUP INC	DE							Х		Х	04/23/	01	
UNITED TELEVISION INC	DE					х		Х			04/24/	01	
US BANCORP \DE\	DE							Х			04/20/	01	
USA TECHNOLOGIES INC	PA					х					03/20/	01	
USEC INC	DE					Х		Х			04/24/	01	
USX CORP	DE							Х		Х	04/24/	01	
VECTOR HOLDINGS CORP	NV	Х	Х					Х			04/20/	01	AMEND
VENTAS INC	DE					Х		Х			04/20/	01	AMEND
VENTAS INC	DE					Х		Х			04/23/	01	
VESTA INSURANCE GROUP INC	DE					Х		Х			04/18/	01	
VIRBAC CORP	DE									Х	04/23/	01	
VIRTUALSELLERS COM INC			Х								04/20/	01	
VISTEON CORP	DE					Х		Х			04/20/	01	
VOICESTREAM WIRELESS CORP /DE	DE					х					04/23/	01	
VOLKSWAGEN DEALER FINANCE LLC	DE					х		х			04/20/	01	
VOLT INC	NV	х					х	х			04/06/		
WACHOVIA CREDIT CARD MASTER TRUST						х		х			03/31/		
WASHINGTON REAL ESTATE INVESTMENT T RUST	MD					X		Х			04/23/		
WAUSAU MOSINEE PAPER MILLS CORP	WI					Х		Х		Х	04/24/	01	
WEATHERFORD INTERNATIONAL INC /NEW/	DE					Х		Х			04/19/		
WELLS FARGO ASSET SECURITIES CORP	DE					Х		Х			04/23/	01	
WELLS FARGO ASSET SECURITIES CORP	DE					Х		Х			04/24/	01	
1STOPSALE COM HOLDINGS INC	DE					Х		Х			03/22/	01	