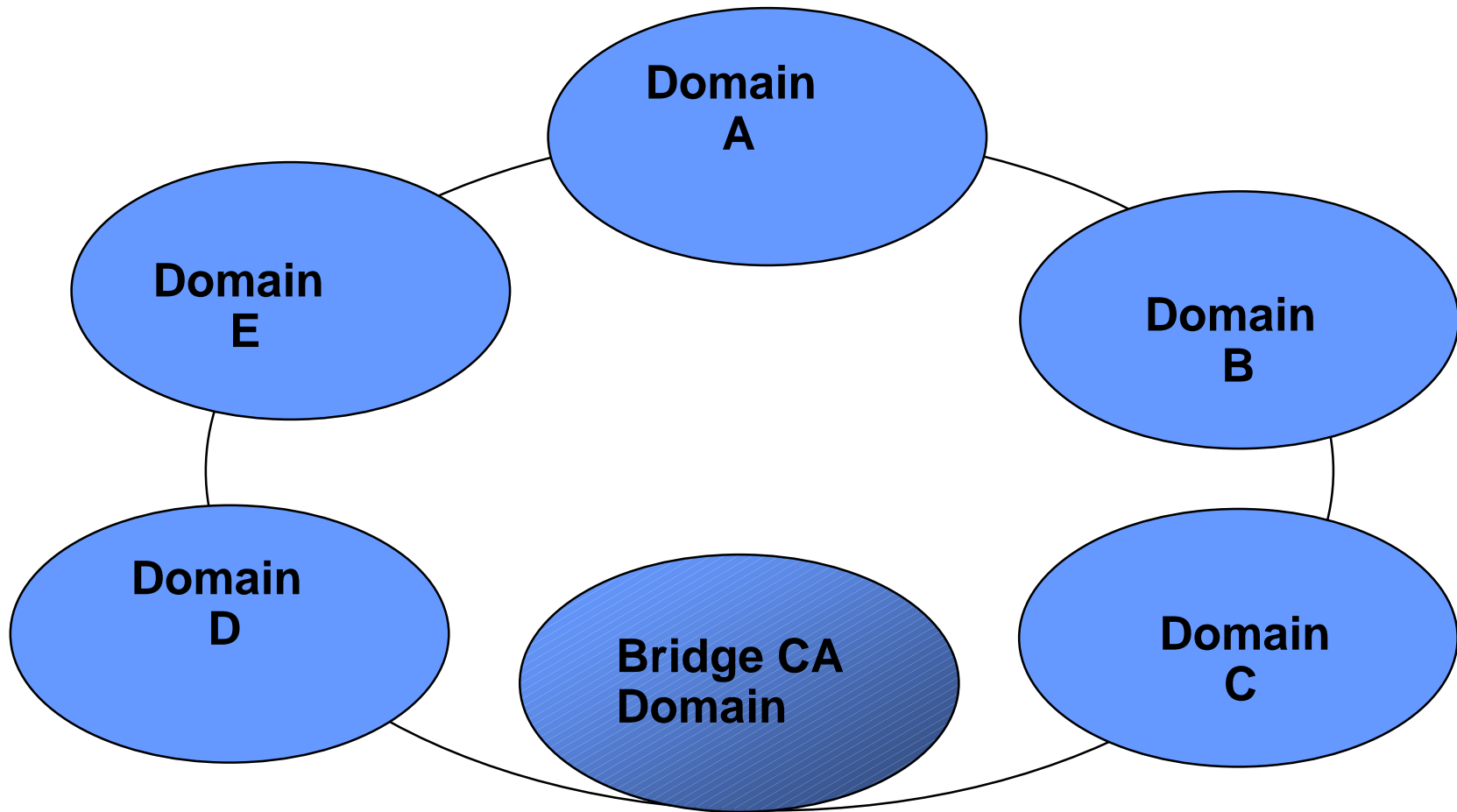


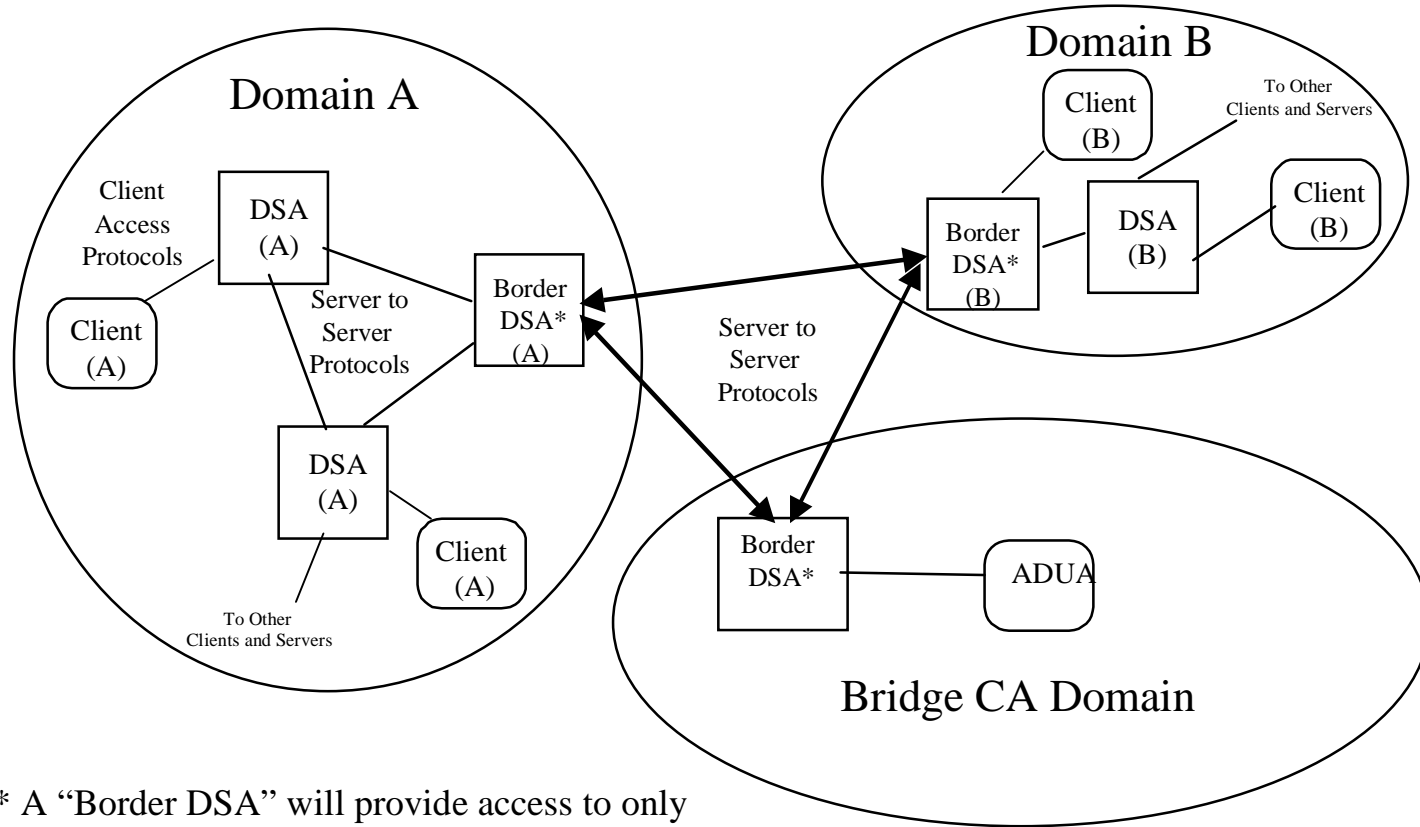
Directory Interoperability: Requirements, Standards and Conformance (or, “PICS”)

Sandi Miklos, Technical Director
Security Management Infrastructure
National Security Agency
samiklo@missi.ncsc.mil
14 January 1999

Directory Domains

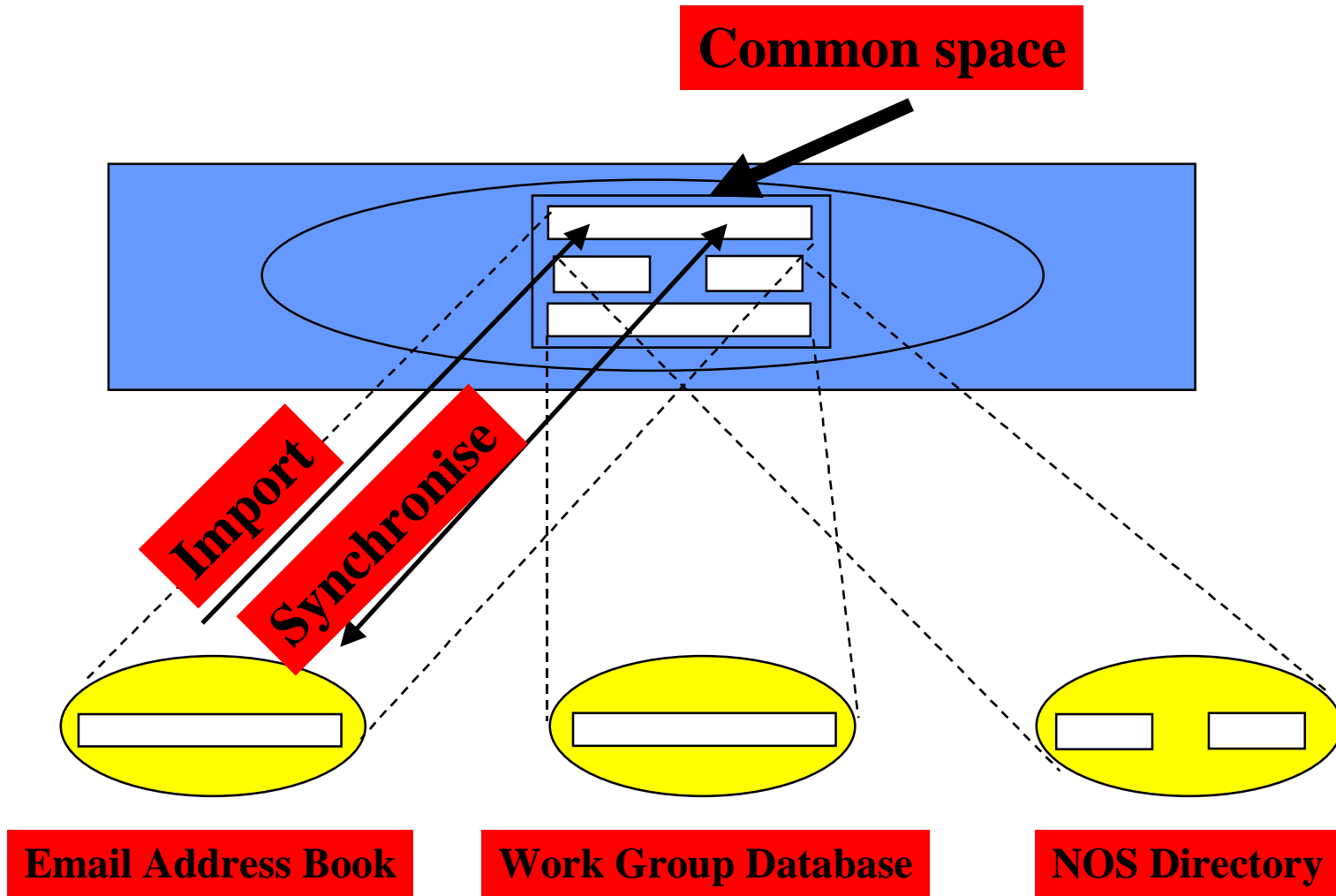


Fundamental Premise: NO Client accesses between domains - DOES THIS INCLUDE THE BRIDGE CA?

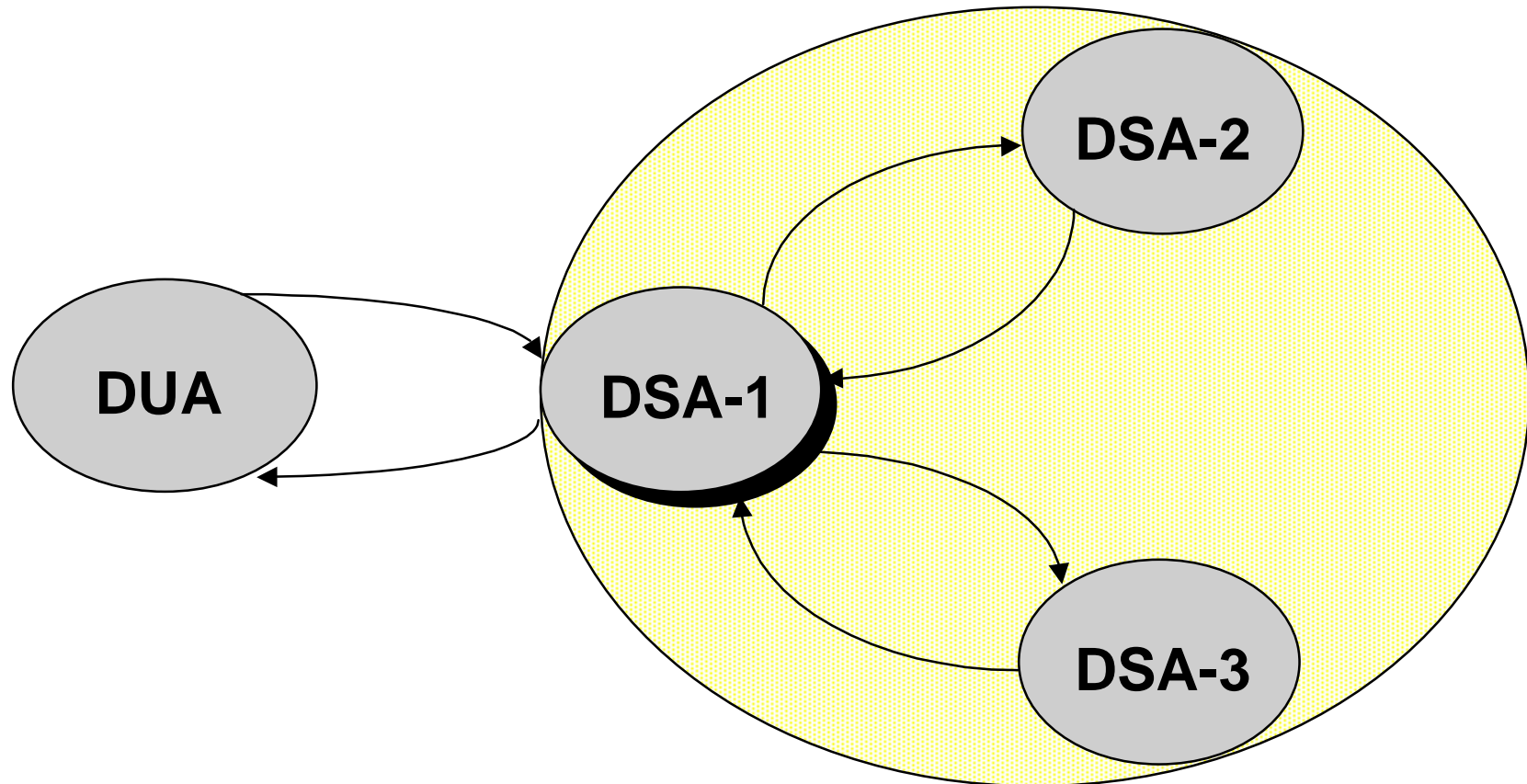


* A "Border DSA" will provide access to only a subset of the DIB held by its domain, and may support multiple security mechanisms.

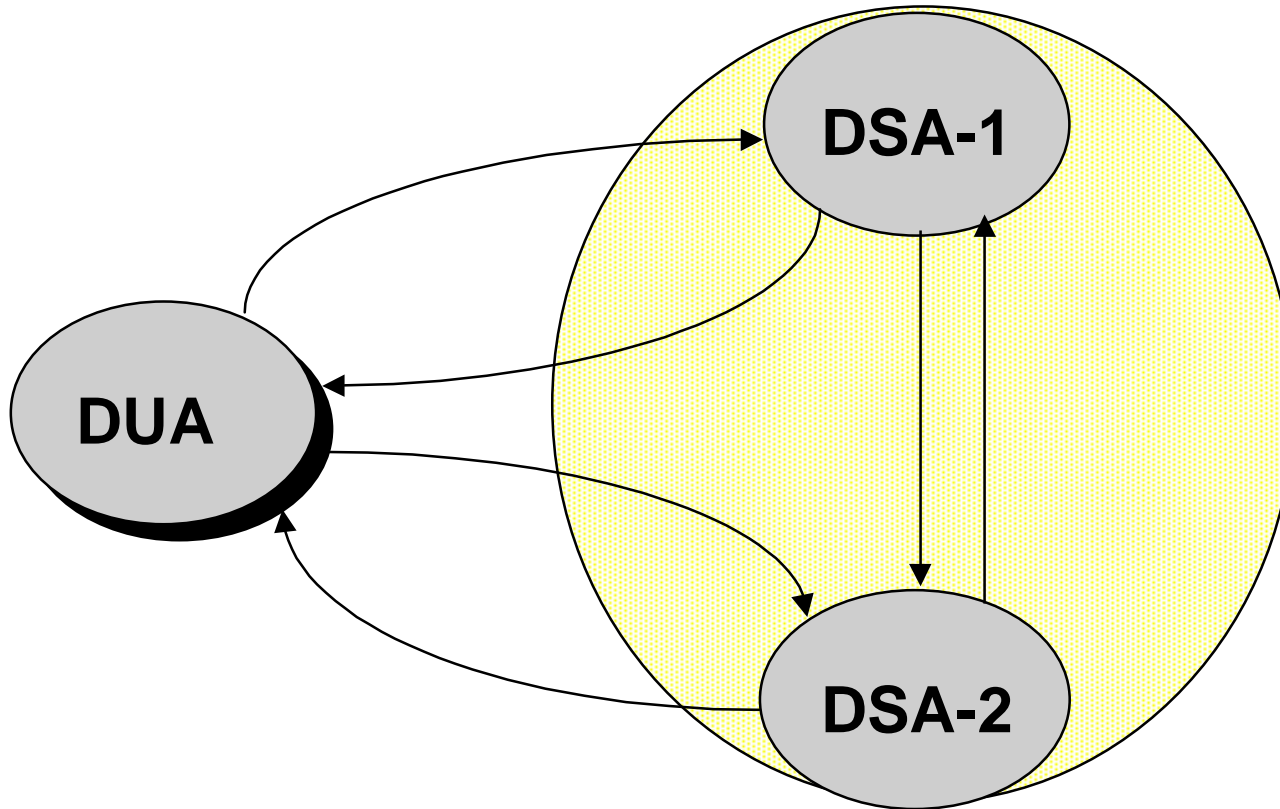
Domain Directory



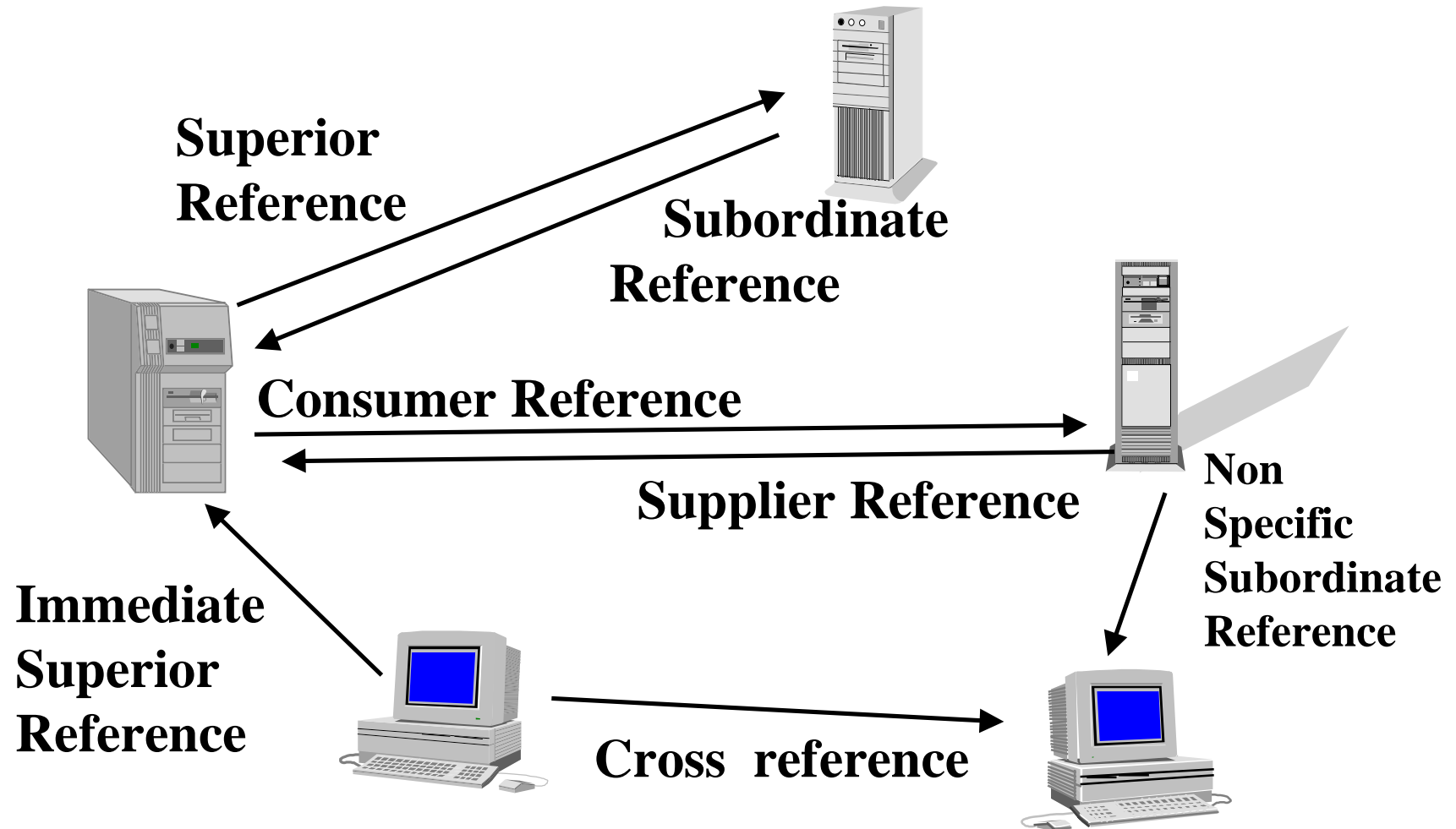
Chaining



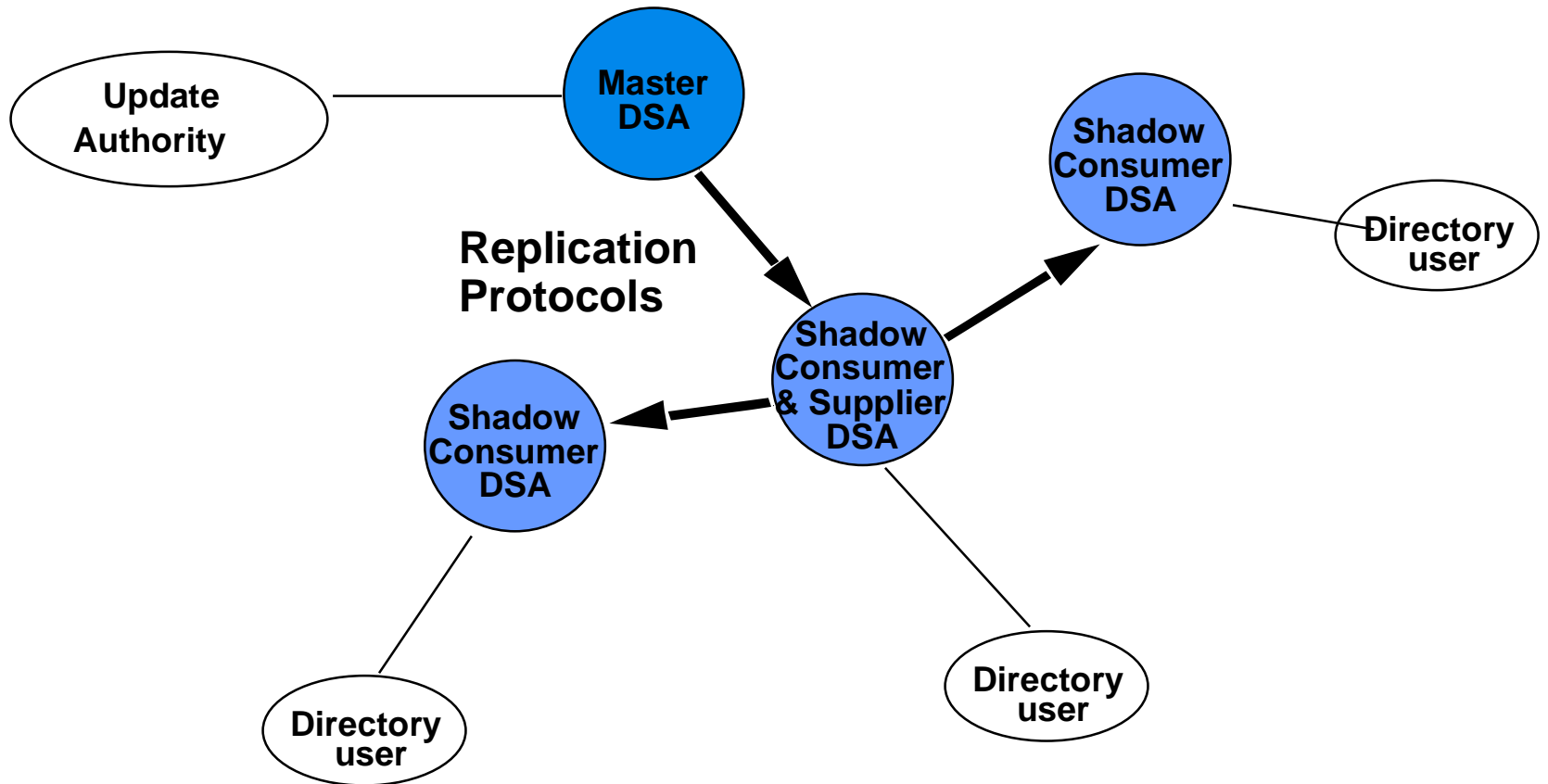
Referrals



KNOWLEDGE REFERENCES



Shadowing



Service Controls

- preferChaining
- chainingProhibited*
- localScope
- dontUseCopy*
- dontDereferenceAliases
- subentries
- copyShallDo
- priority
- timeLimit*
- sizeLimit*
- scopeOfReferral
- attributeSizeLimit

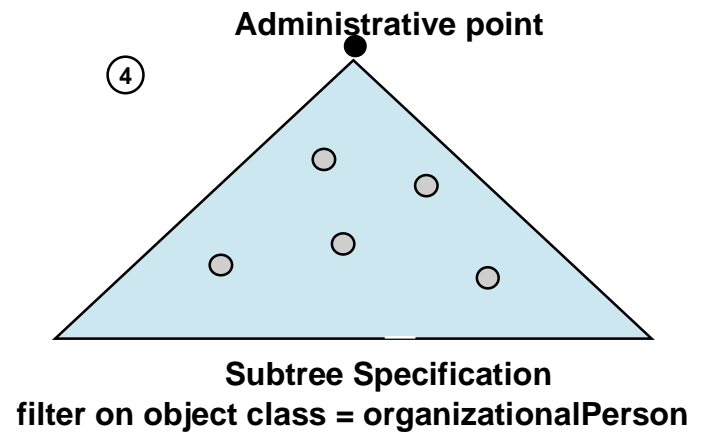
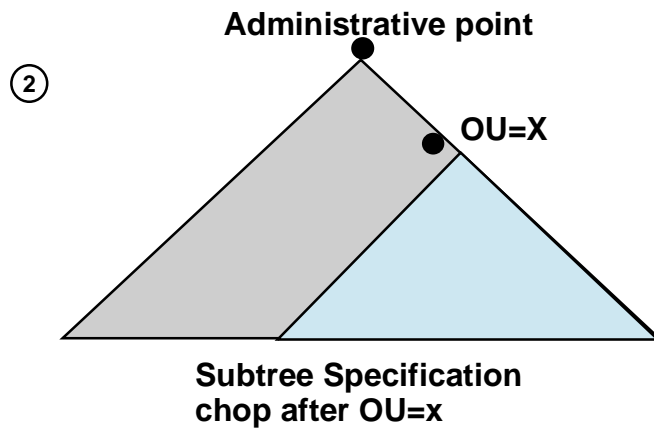
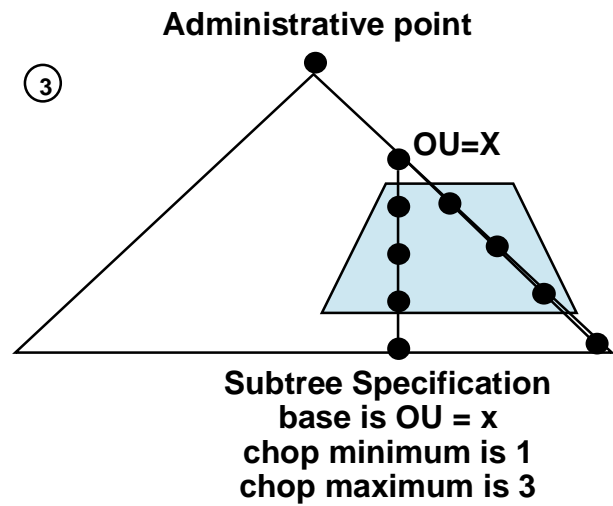
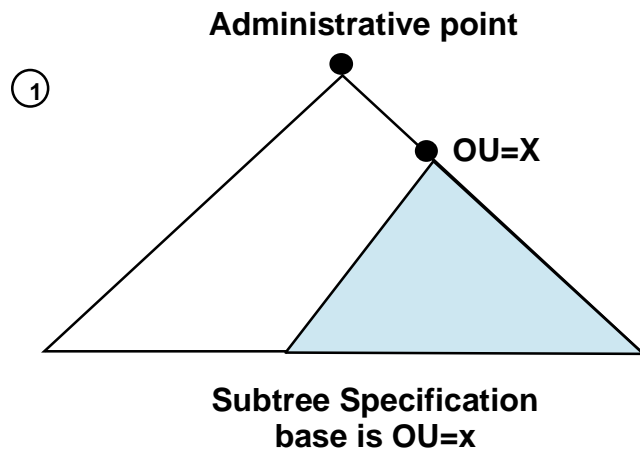
Shadowing agreements

- Made between DSA administrators
- May be activated by a shadowing operational binding or they may be made via a method outside the scope of the standard
- Required before shadowed information may be shared between any pair of DSAs
- Establishes technical parameters of the agreement
 - update frequency
 - replicated area
 - information to be shadowed

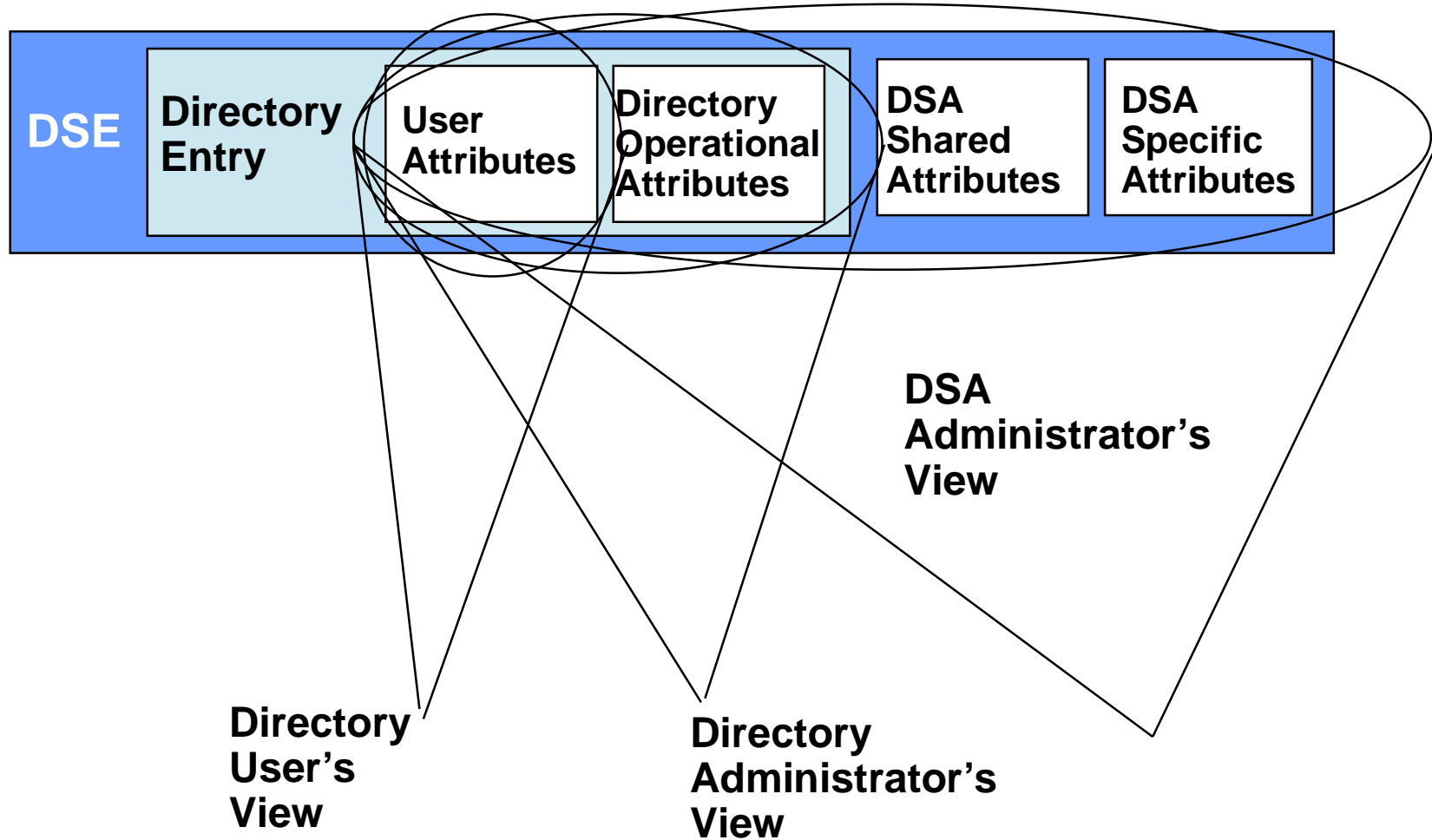
Updating the shadowed information

- Synchronizing the DSAs
 - Coordination of Update operation
 - Requesting Update operation
- Transferring the Shadowed Information
 - What's reliability criteria that transfer as well as database update occurred?
- Types of updates
 - Incremental refresh/delta changes only
 - Total refresh/ all shadowed information sent again

Example: subtree specification



Various views of a DSE's attributes



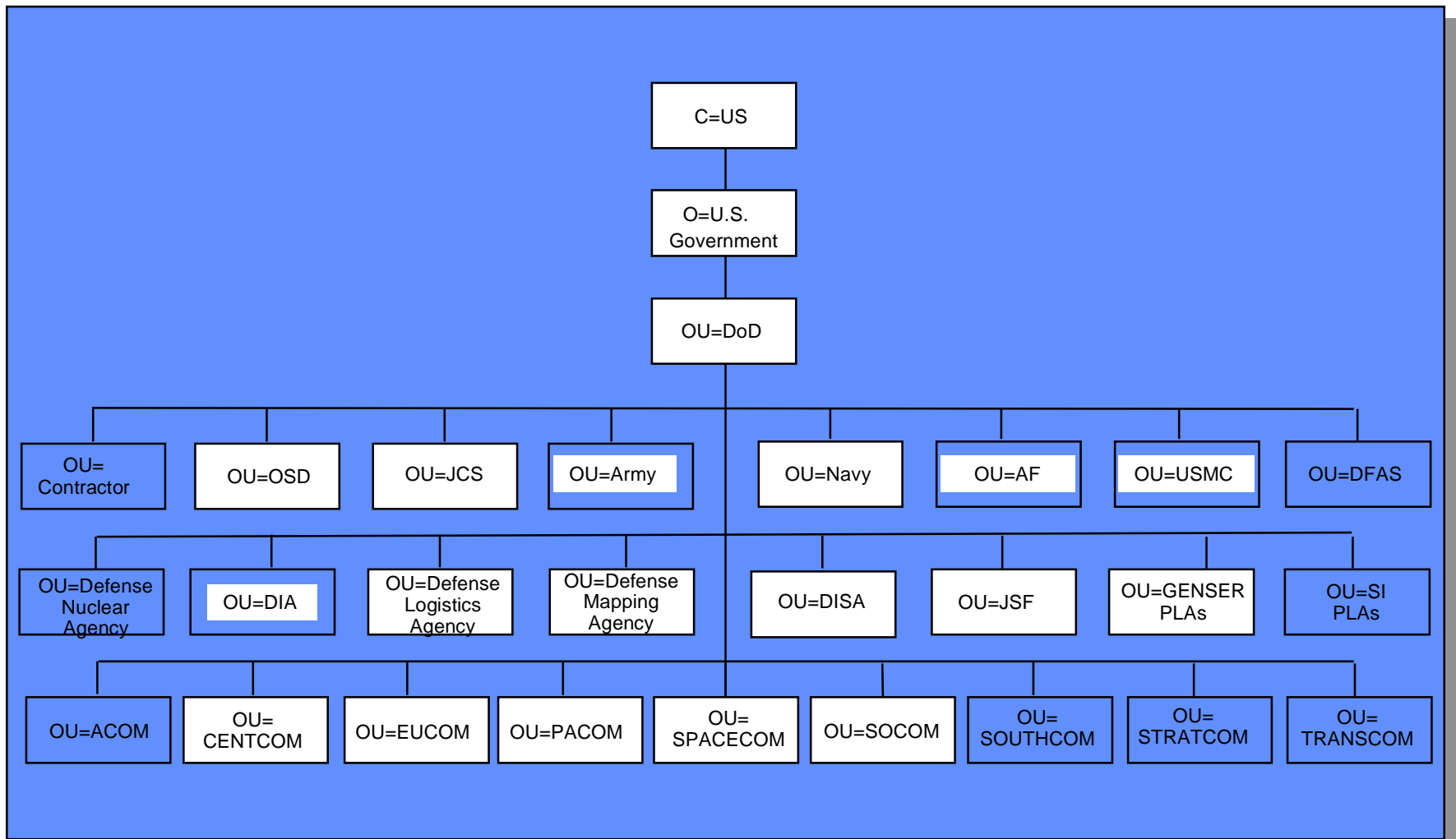
DSE types

- root root DSA
- glue knowledge of a name only
- cp context prefix
- entry object entry
- alias alias entry
- subr subordinate reference
- nssr non-specific subordinate reference
- supr superior reference
- xr cross reference
- admPoint administrative point
- subentry subentry
- shadow shadow copy
- immSupr immediate superior reference
- rhob relevant hierarchical operational binding information
- sa subordinate reference to alias entry

Operational attributes

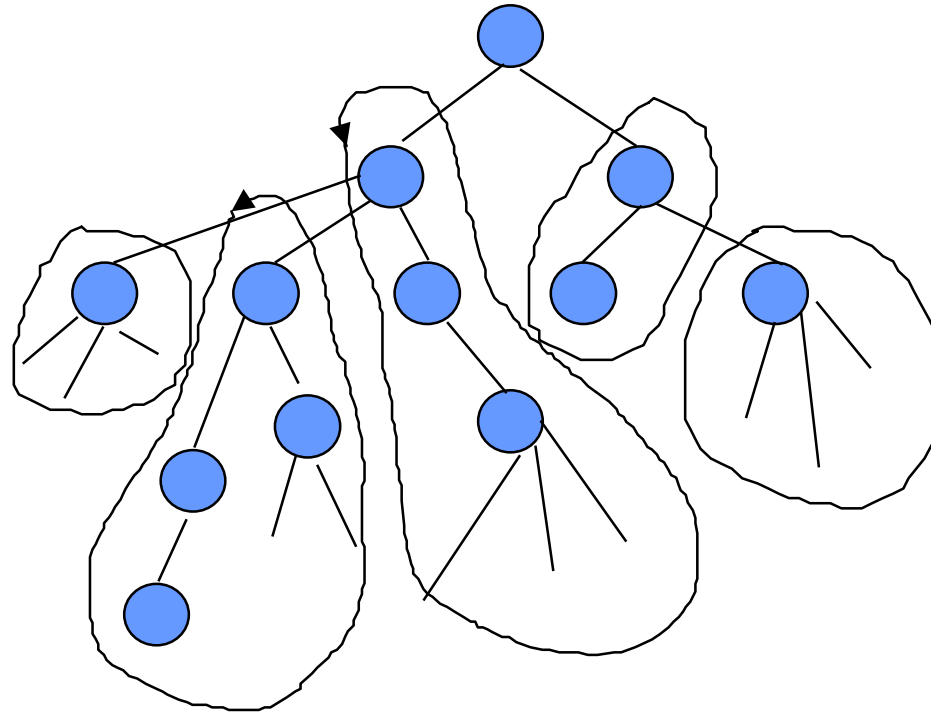
- Attributes representing operational or administrative information; not normally visible to the user
- Examples:
 - Creation Timestamp
 - records when the entry was first created
 - Modify Timestamp
 - records when the entry was last modified
 - Creator's Name
 - distinguished name of user that created the entry
 - Modifier's Name
 - distinguished name of user that last modified the entry
 - EntryACI
 - access control information that applies to this entry only

Directory Information Tree (DIT)



Naming Context

● entry

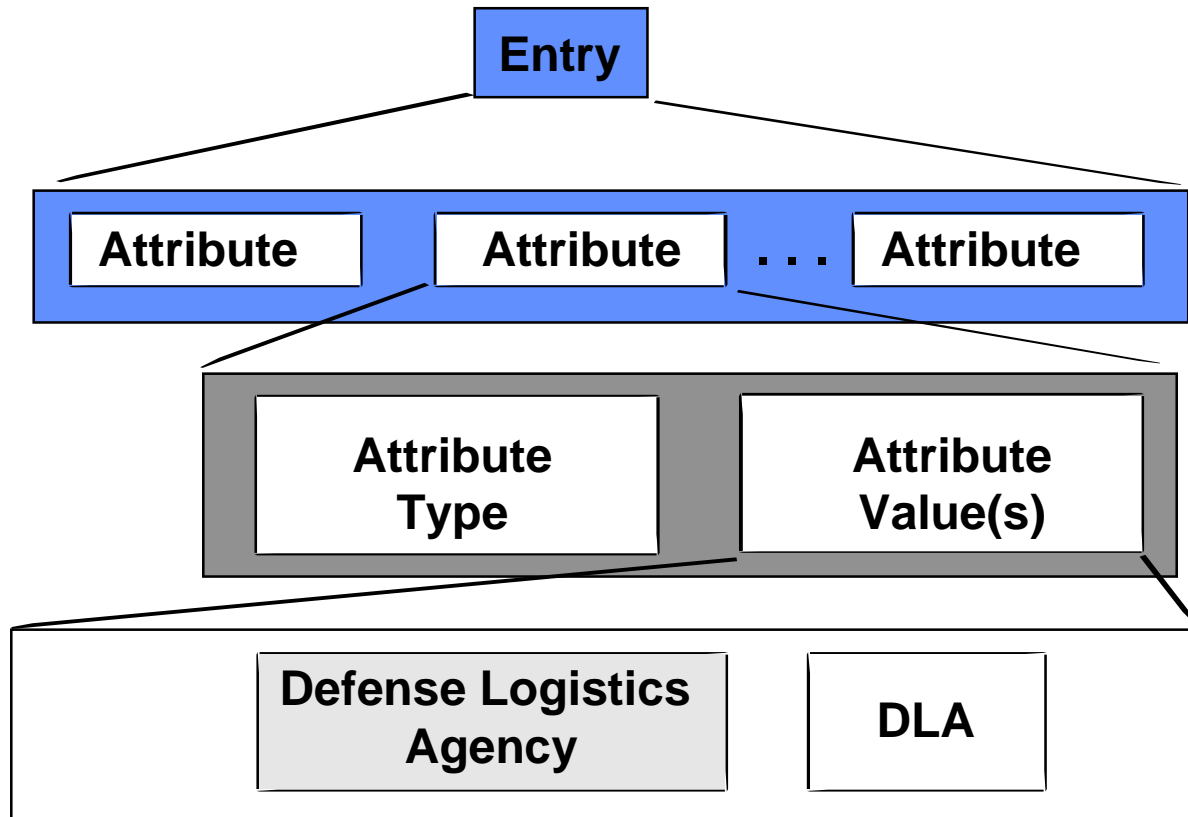


Part of a DIT showing allowed subtrees or naming contexts.

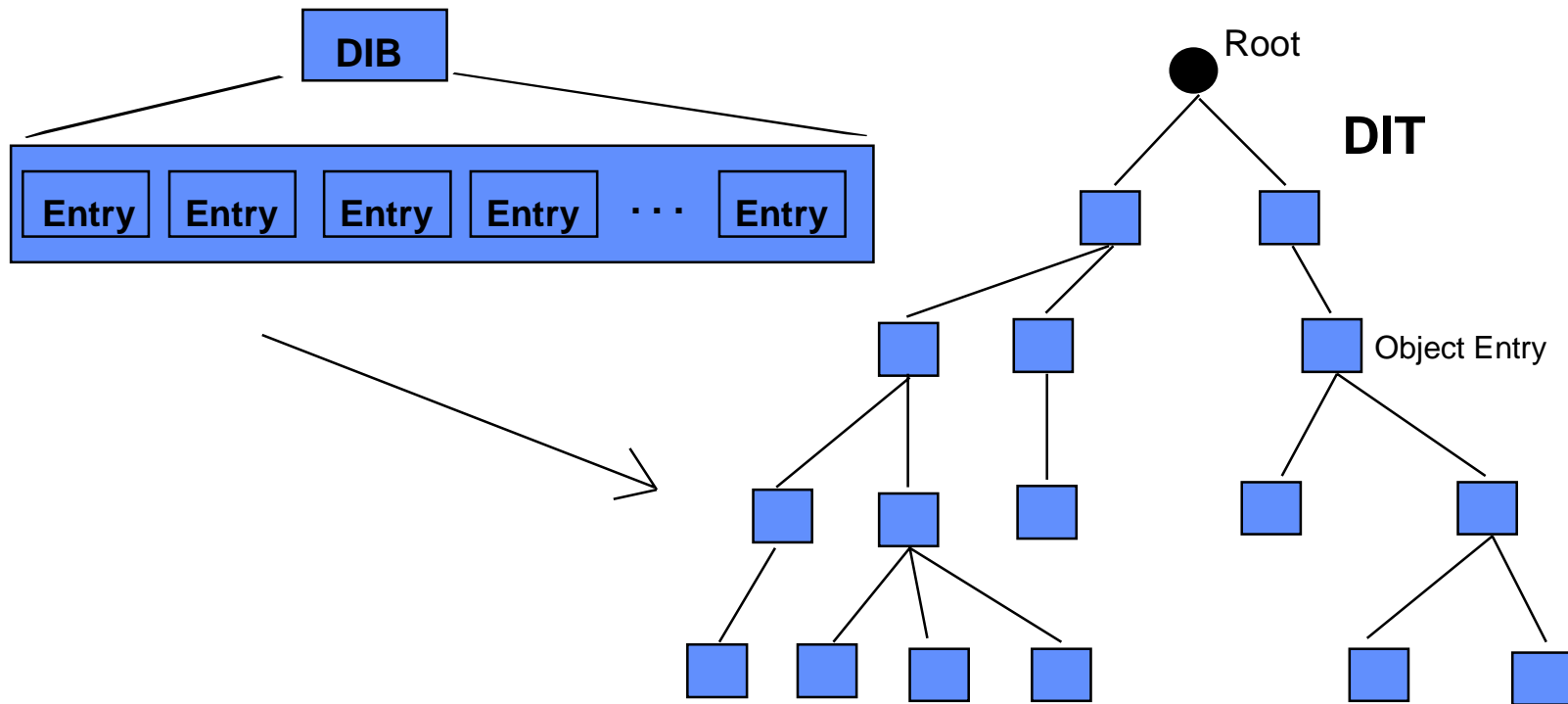
Distinguished Name (DN) and Relative Distinguished Name (RDN)

	RDN	DN
		{ }
	C=US	{C=US}
	O=Corporation	{C=US, O=Corporation}
	(OU=SALES, L=San Jose)	{ C=US, O=Corporation, (OU=SALES, L=San Jose) }
	CN=John L Smith	{ C=US, O=Corporation, (OU=SALES, L=San Jose), CN=John L Smith }

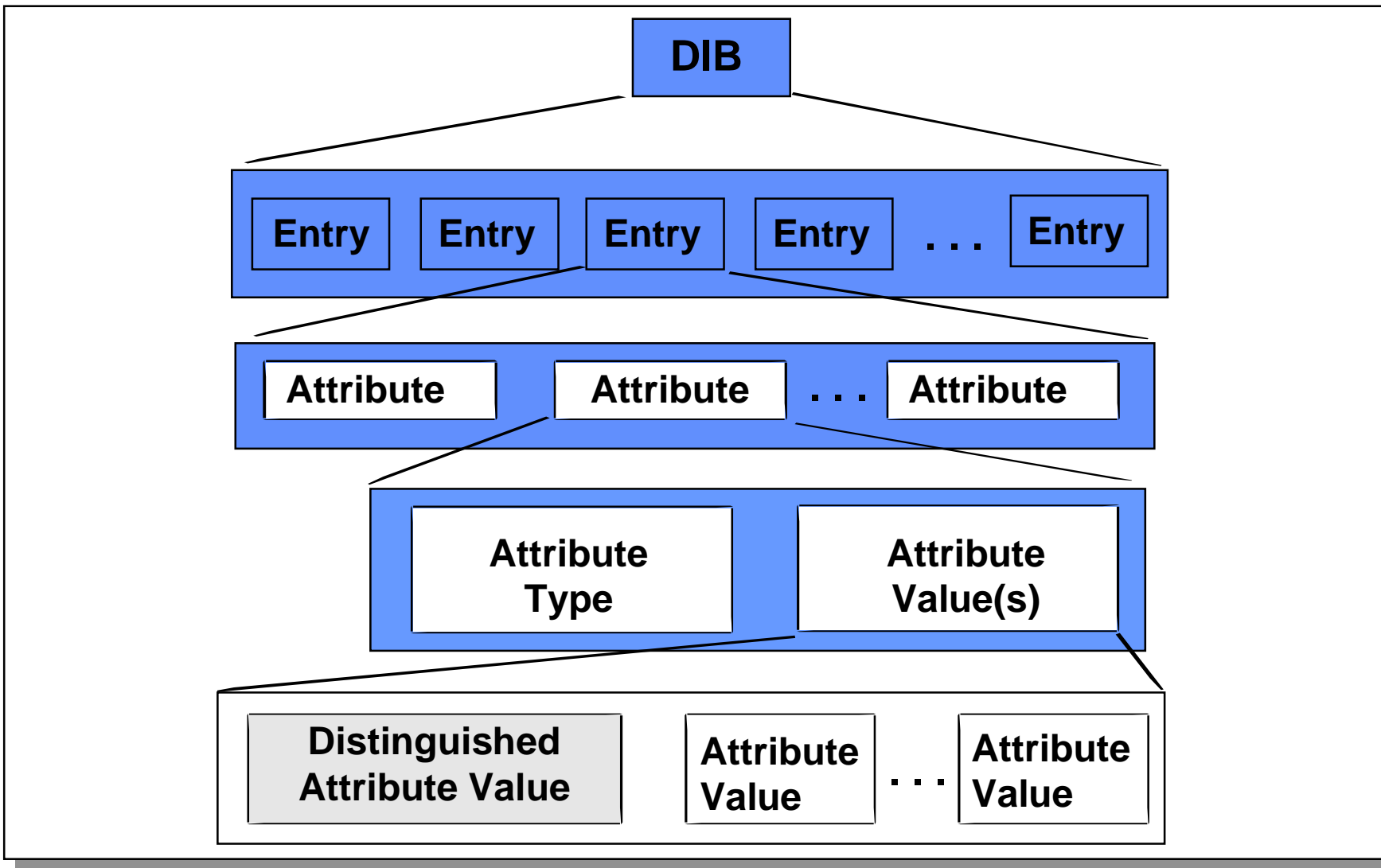
Example: Alternate values of names



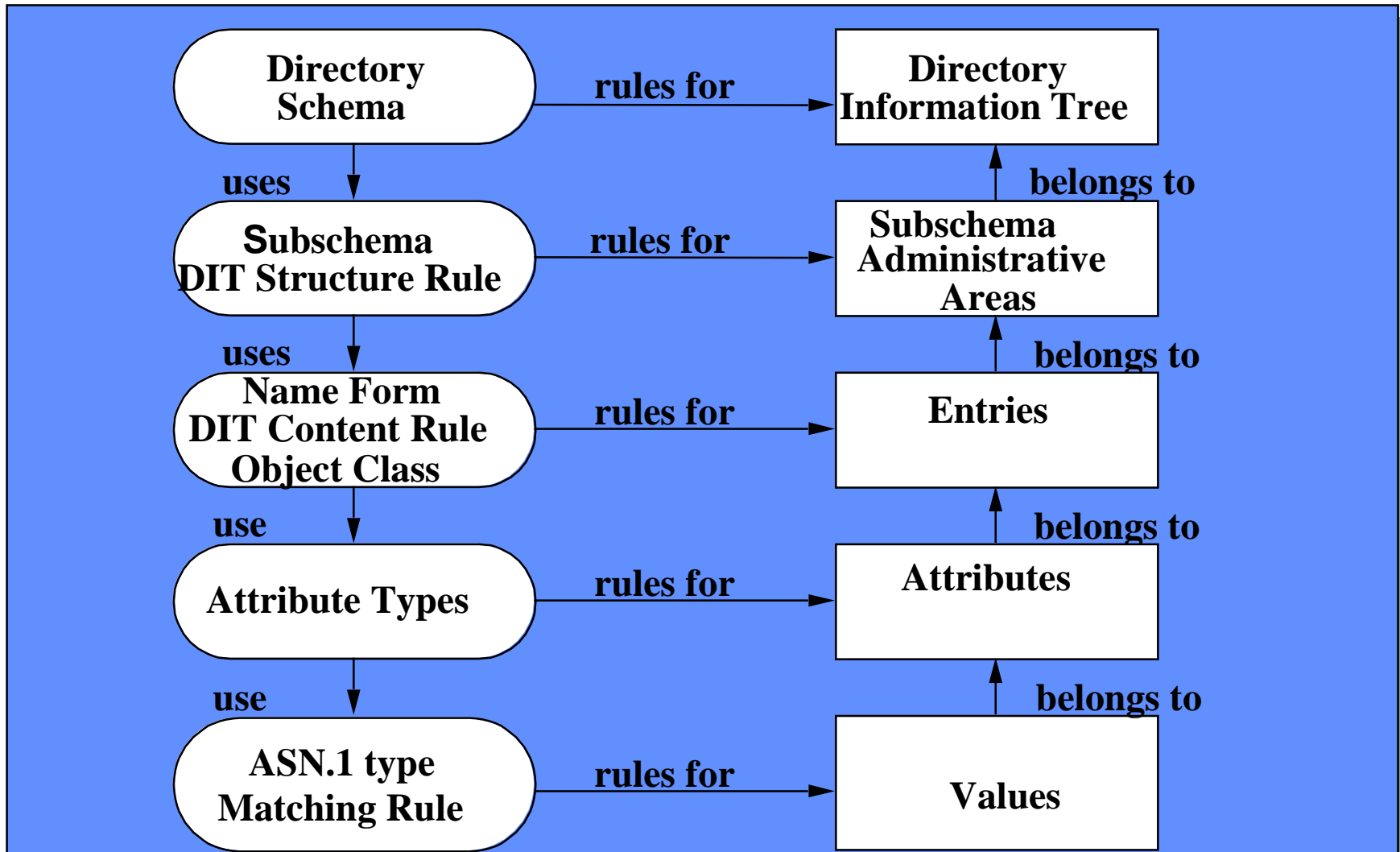
The structure of Directory entries



Directory Information Base (DIB)



Overview of the Directory schema



Object class for Certification Authority - X.521

```

certificationAuthority OBJECT-CLASS ::={
  SUBCLASS OF           { top }
  KIND                  auxiliary
  MUST CONTAIN         { cACertificate |
                          certificateRevocationList |
                          authorityRevocationList }
  MAY CONTAIN         { crossCertificatePair }
  ID                   id-oc-certificationAuthority }

```

***note that v2 CA object class may contain Delta Revocation List attribute**

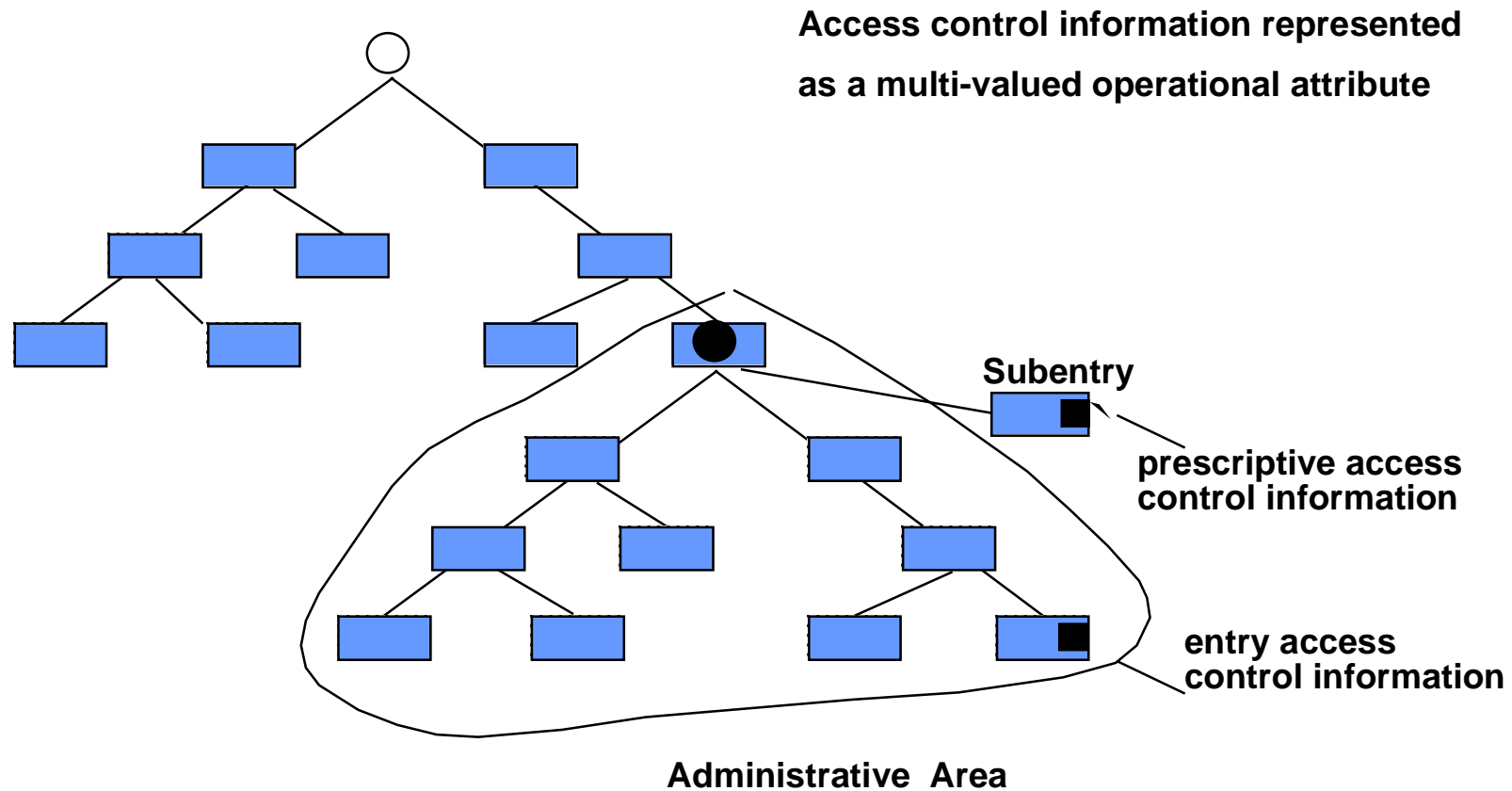
Object class for Certification Authority - draft-ietf-pkix-LDAPv2-schema-02.txt

```
pkiCA OBJECT-CLASS ::= {  
    SUBCLASS OF      { top}  
    KIND             auxiliary  
    MAY CONTAIN     {cACertificate |  
                      certificateRevocationList |  
                      authorityRevocationList |  
                      crossCertificatePair } }  
--ID { joint-iso-ccitt(2) ds(5) objectClass(6)pkiCA(22) }
```


Matching rules

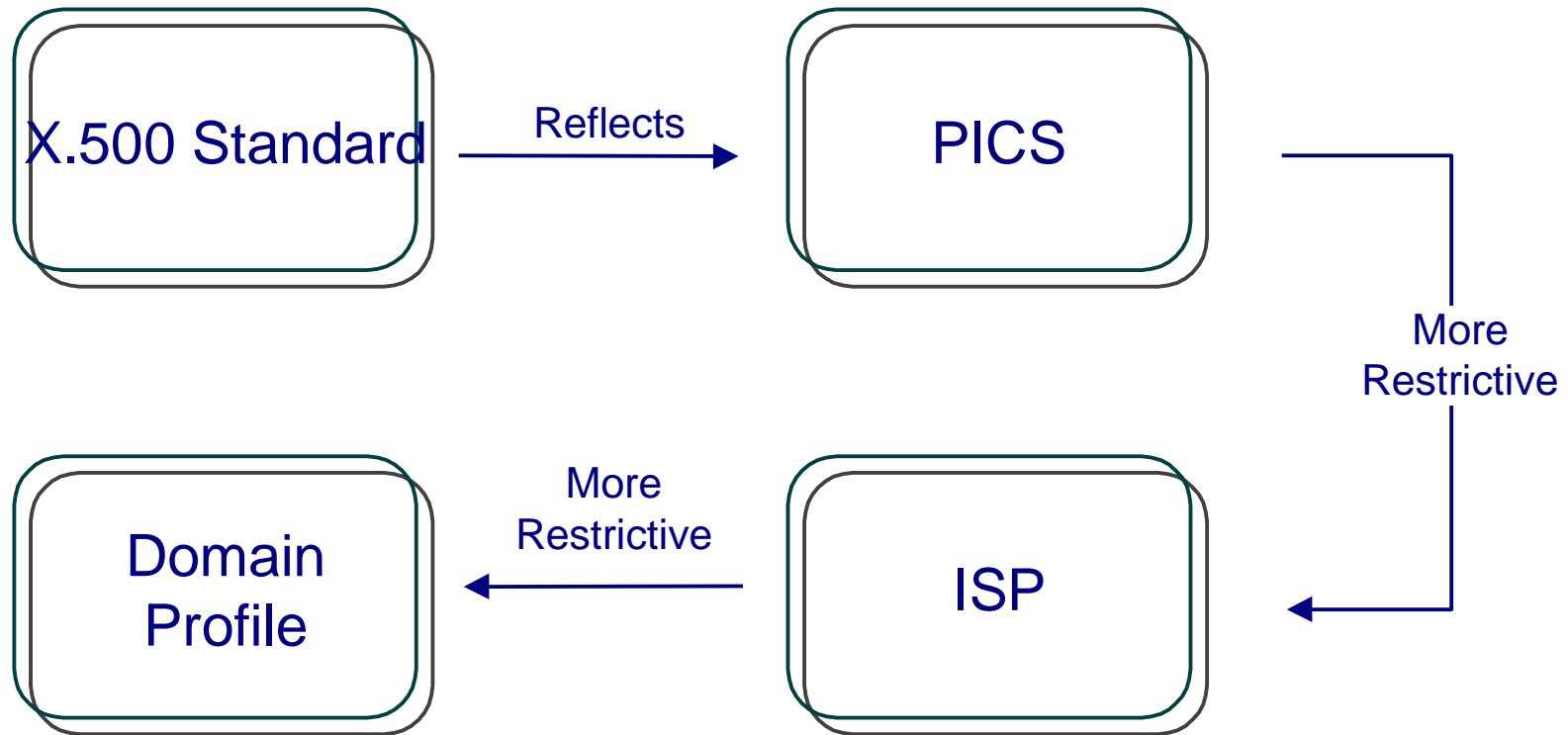
- Rules to compare a value presented by a user with a value stored in the Directory
- Each matching rule states
 - the attribute syntax that the matching rule applies to
 - the syntax of a user-presented value
 - how the comparison is performed
 - under what conditions a match is found to be True
- Built-in matching rules
 - present; equality; substrings; ordering; approximate

Security Control Model



***Presumption - anything that is in a Border DSA is read-only to any entity that has access to that network**

ISO/ITU DIRECTORY STANDARDS



Directory specifications

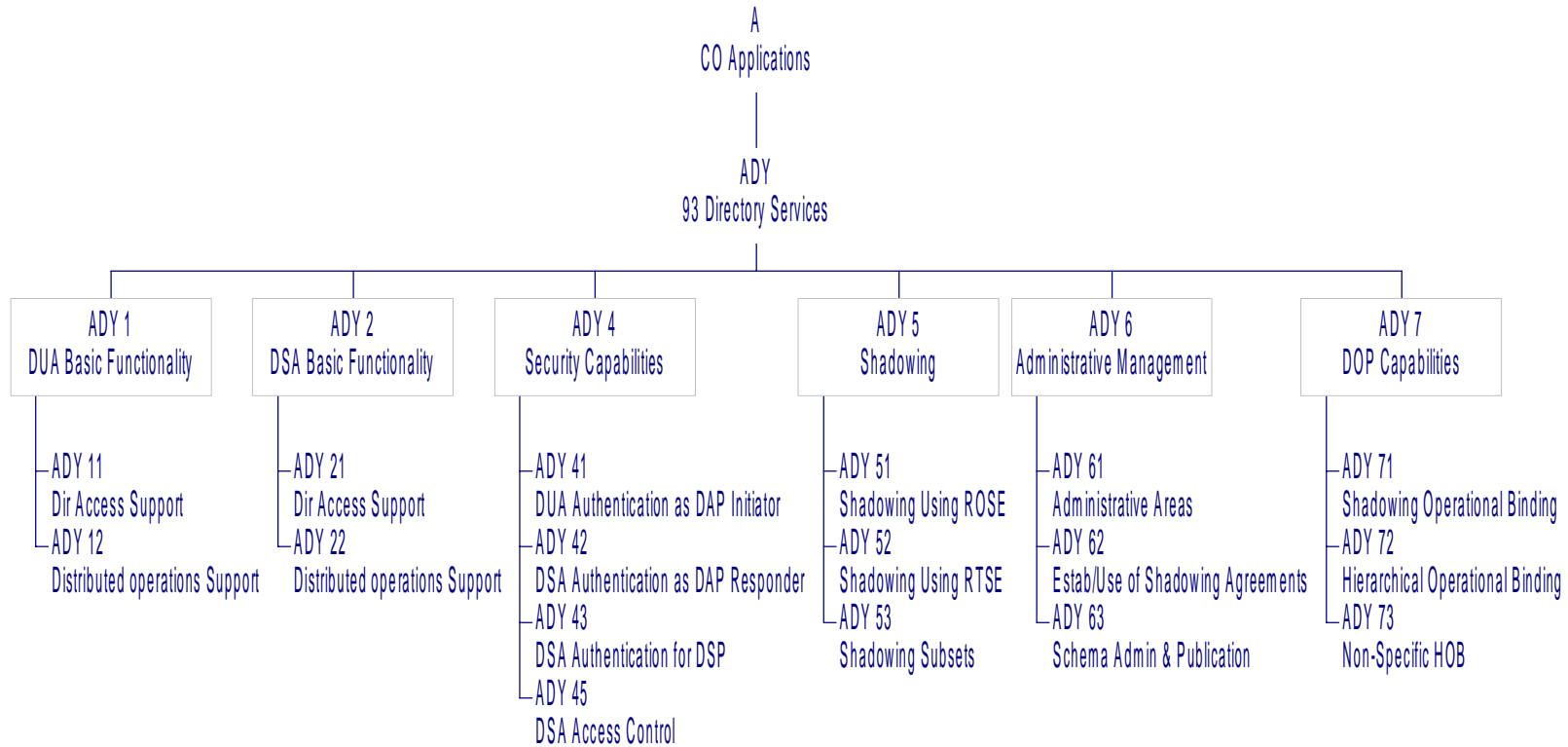
	ITU-T	ISO/IEC
Overview of Models, Concepts, and Services	X.500	9594-1
Models	X.501	9594-2
Authentication Framework	X.509	9594-8
Abstract Service Definition	X.511	9594-3
Procedures for Distributed Operation	X.518	9594-4
Protocol Specifications	X.519	9594-5
Selected Attribute Types	X.520	9594-6
Selected Object Classes	X.521	9594-7
Replication	X.525	9594-9
System Management	X.530	9594-10

Protocol Implementation Conformance Statement (PICS)

- **Used to evaluate conformance to the standard by a particular implementation**
 - ✓ Shows which capabilities and options have been implemented.
- **One PICS associated with each X.500 protocol**
 - ✓ DAP, DSP, DOP, and DISP
 - ⇒ ITU - X.583, X.584, X.585, X.586
 - ⇒ ISO - ISO/IEC 13248-1, 13248-2, 13248-3, 13248-4
- **Available at URL:**
<ftp://ftp.bull.com/pub/OSIdirectory/93specification/PICSproforma>

International Standardized Profiles (ISPs)

Directory A-Profile Taxonomy



ADY1-DUA Basic Functionality

- ADY 11 DUA Support of Directory Access Protocol, 16 Jun 98
- ADY 12 DUA Support of Distributed Operations, 16 Jun 98

ADY2-DSA Basic Functionality

- ADY 21 DSA Support of Directory Access Protocol, 16 Jun 98
- ADY 22 DSA Support of Distributed Operations, 20 Jan 97

ADY4-Security Capabilities

- ADY 41 DUA Authentication as DAP Initiator, 19 Jun 98
- ADY 42 DSA Authentication as DAP Responder, 19 Jun 98
- ADY 43 DSA Authentication for DSP, 22 Jul 96
- ADY 45 Simplified and Basic Access Control (combined 44 and 45), 12 Jul 98

ADY5-Shadowing

- ADY 51 Shadowing using ROSE, 12 Jul 96
- ADY 52 Shadowing using RTSE, no editor
- ADY 53 Shadowing Subsets, 12 Jul 96

ADY6-Administration Management

- ADY 61 Administrative areas, 26 Jun 98
- ADY 62 Establishment and Utilisation of Shadowing Agreements, 17 Jan 97
- ADY 63 Schema Administration and Publication, 10 Jun 98

ADY7-DOP Capabilities

- ADY 71 Shadowing Operational Binding, 30 Jul 96
- ADY 72 Hierarchical Operational Binding, Dec 97 -
draft-ietf-ldapext-hobs-01.txt
- ADY 73 Non-specific Hierarchical Binding - no
editor

Functional Profiles

- FDY 11 Common Directory Use, 17 Jul 96
- FDY 12 Directory System Schema, 17 Jul 96

Implementor's Guide

- Compilation of reported defects and their resolutions to the 1988 and 1993 editions of the ITU X.500 Recommendations and ISO/IEC 9594 standard
- ISO requires ballot on draft technical corrigenda
- Categories of defects
 - editorial errors
 - technical errors, such as omissions or inconsistencies
 - ambiguities
- Version 10 - March 97
 - <ftp://ftp.bull.com/pub/OSIdirectory/DefectResolution/ImplementorsGuide/V10/>

LDAP V3 Core documents:

- RFC 2251 : Lightweight Directory Access Protocol (v3)
- RFC 2252 : Lightweight Directory Access Protocol (v3) : Attribute Syntax Definitions
- RFC 2253 : Lightweight Directory Access Protocol (v3) : UTF-8 String Representation of Distinguished Names
- RFC 2254 : The String Representation of LDAP Search Filters
- RFC 2255 : The LDAP URL Format
- RFC 2256 : A Summary of the X.500(96) User Schema for use with LDAPv3

LDAP Extensions documents

- draft-ietf-asid-ldapv3-simple-paged-03.txt
- draft-ietf-ldapext-sorting-01.txt
- draft-ietf-asid-ldapv3-dynamic-08.txt
- draft-ietf-ldapext-lang-01.txt
- draft-ietf-ldapext-ldapv3-tls-04.txt
- draft-ietf-ldapext-ldapv3-vlv-02.txt
- draft-ietf-ldapext-acl-reqts-01.txt
- draft-ietf-ldapext-authmeth-03.txt
- draft-ietf-ldapext-ldap-c-api-01.txt
- draft-ietf-ldapext-x509-sasl-00.txt
- draft-ietf-asid-ldap-domains-02.txt

LDAP Extensions documents, con't

- [draft-ietf-ladpext-referral-00.txt](#)
- [draft-ietf-ldapext-acl-model-01.txt](#)
- [draft-ietf-ldapext-signops-03.txt](#)
- [draft-ietf-ldapext-psearch-01.txt](#)
- [draft-ietf-ldapext-java-api-02.txt](#)
- [draft-ietf-ldapext-trigger-01.txt](#)
- [draft-ietf-ldapext-c-api-vlv-01.txt](#)
- [draft-ietf-ldapext-c-api-psearch-00.txt](#)
- [draft-ietf-ldapext-ldapv3-dupent-00.txt](#)
- [draft-ietf-ldapext-families-00.txt](#)

www.ietf.org/ids.by.wg/ldapext.html

Other Documents ??

- draft-good-ldap-changelog-00.txt
- draft-weiser-replica-req-01.txt
- draft-ietf-asid-ldap-mult-mast-rep-02.txt
- draft-ietf-asid-ldap-repl-info-01.txt
- draft-smith-ldap-inetorgperson-00.txt
- draft-ietf-asid-ldap-rpcschema-00.txt
- draft-ietf-asid-schema-pilot-00.txt
- draft-ietf-asid-nis-schema-01.txt
- draft-good-ldap-ldif-01.txt
- draft-ietf-isd-ldapv3-wp-00.txt
- draft-ietf-asid-ldapv3-dynatt-01.txt
- draft-ietf-ldapext-ldapv3-txn-00.txt

Open Group LDAP V3 Profiles

- Defined LDAP V3 profiles for use within the LDAP V3 test suites (http://www.opengroup.org/orc/DOCS/LDAP_PR/)
- Status of Base Documents, but are not yet Final Documents
 - RO :Read-Only LDAP Server ('core' documents)
 - RW:Read-Write LDAP server ('core' + referral + tls)
 - CERT:Certification Application Profile (RW + pkix-ipkiopp)
 - WP:White Pages Application Profile (CERT requirements + LIPS)
 - SSO:Single Sign On Application LDAP Profile (very high level requirements)