

Proposal to use limited latest entry practice with successive entry serial records

Preface:

During the review of RDA part 1, members of the RDA discussion list asked if there should have been any consideration of latest entry in the drafting of RDA. Members of CONSER and the CRCC reviewing RDA decided it would be a good idea to develop a discussion paper focused on a limited use of latest entry with successive entry cataloging. The groups will discuss the idea to decide if a further proposal should be presented to the JSC.

Proposal: Continue to use successive entry for major title changes in serials but use a latest entry approach for minor title changes. When a minor title change occurs, the basis of description is changed to match the latest issue. The title proper is changed to match the variant found on the latest issue. The earlier title proper is given in field 247 and the issues which contained the earlier title proper are noted.

Pros/Cons:

Pros

1) Much of the RDA discussion about advantages of a limited use of latest entry revolved around being able to prominently display the current title to all users of the metadata for a serial. Prominent current title displays are helpful:

- To readers and reference staff that approach the catalog with current title citation
- In dealing with systems that only provide 245 for check-in
- Working with orders and claims that are printed using 245, 260, etc. from bib record.
- With shelving current issues of periodicals by title where title continues to be shelved under older 245 (or label on shelf doesn't match 245 if shelved by new title).
- With spine title which doesn't match 245 in record.

2) The principle of representation listed in RDA 0.1.2 that "...the description should reflect the resource's representation of itself," seems supportive of a limited use of latest entry to prominently display variant titles on later issues in the catalog record as the current title appearing on issues of a serial

3) Recognition that catalogs such as the ZDB have successfully implemented a limited use of latest entry for minor title changes to better serve the users of the catalogs.

4) Making use of latest entry for minor title changes in serials also brings the practices for serials and integrating resources closer in line. If identifying a resource by the latest title and publishing information is important for any continuing resource, the need is similar.

Cons

1) Not all users approach the OPAC with a current title citation, some need to track down citations to earlier titles. It is possible to provide search access through variant titles with our current practices.

2) In looking at the bullets under the first pro above, most refer to library functions, should these be the driving factors in this proposal? It may be that our systems are not flexible enough now or are not optimally configured to provide clear displays for such functions.

3) Making changes to the title proper and adding 247 fields for previous titles may be more time consuming than our current practices.

4) Unless there is an automated way to deal with changes in the title as its been cited in linked records, other records that cite the title and series authority records a great deal of work is involved in making changes in associated records manually.

Questions:

1) How will such a change impact interaction with related systems? It appears that PubMed indexing, at least one indexing activity that feeds off our bibliographic data, will not adjust well to this change without significant system changes.

2) Is it easier for systems to put records together, rather than pull them apart? Successive entry records may provide the granularity needed for future systems to manipulate separate records for displaying FRBR relationships, super work display and in future ILS systems. How well will such a change work for our current systems? Will there be an impact in terms of matching and de-duplication where stable strings of text, identifiers and other portions of the record are relied upon to make decisions about duplicates?

Additional considerations not included in this proposal:

Reverting fully to latest entry cataloging

Though there is interest and discussion about pursuing a complete reversion to latest entry cataloging in the cataloging community that is not what is being proposed in this paper. Implementation concerns and international harmonization are just two factors that make complete reversion to latest entry a much larger issue and a different discussion from what is being proposed here.

Changes to minor title change rules

The proposal does not discuss the possibilities for changing minor change rules that were raised during discussion of RDA. The *minor* title change category in 21.2C2 b) ix was mentioned as a candidate for being a *major* title change. Words indicating a type of resource that are added or dropped within the first five words have a big impact on matching the record to the resource and may also account for a large percentage of the type of minor title changes we encounter.

Provisional Key title

For the sake of simplicity, the proposal does not include the establishment of a “provisional key title” or “benchmark title” which would remain constant when minor title changes take place. The provisional key title would be used to determine major title changes and could be used as the citation in linking entries, added entries in other records, and series authority records. It is provisional in the sense that any cataloger could establish the title at the time of cataloging, subject to an ISSN center verifying it as a key title. It would take the place of a uniform title.

Example 1:

Latest entry for minor change example

Renette Davis

University of Chicago Library

Started with OCLC #39854525, The foreign companies in Mexico yearbook.

Before:

```
=LDR 01204cas 2200385 a 4500
=001 ocm39854525\
=003 OCoLC
=005 20060424024717.0
=008 980911c19uu9999enkar\\r\\0\\0engld
=010 \\$asn 98048917
=040 \\$aSLC$cSLC$dWAU$dCUS$dIXA$dBID$dDLC
=012 \\$i9810
=019 \\$a43482133
=022 \\$a1463-1008
=042 \\$alcd
=043 \\$an-mx--
=050 00$aHG4092.Z65$bF67
=092 \\$a332. 6730972$bF7142
=049 \\$aDLCC
=245 04$aThe foreign companies in Mexico yearbook.
=246 30$aMexico
=246 1\\$iIssues for <2002/3-> have title:$aForeign companies in Mexico
=260 \\$aLondon, UK :$bCommercial Intelligence Service
=300 \\$av. :$bill. ;$c30 cm.
=310 \\$aAnnual
=500 \\$aDescription based on: 1998.
=500 \\$aLatest issue consulted: 2005.
=530 \\$aAlso available on CD-ROM.
=650 \0$aCorporations, Foreign$zMexico$vDirectories.
=650 \0$aBusiness enterprises, Foreign$zMexico$vDirectories.
=650 \0$aForeign subsidiaries$zMexico$vDirectories.
=650 \0$aJoint ventures$zMexico$vDirectories.
=710 2\\$aCommercial Intelligence Service (London, England)
```

Final record in University of Chicago test database at:

<http://libback.uchicago.edu/>

000 01204nas 2200385 a 4500
005 20060418091906.0
008 980911c19uu9999enkar r 0 0eng d
010 \$asn 98048917
012 \$i9810
019 \$a43482133
022 \$a1463-1008
035 \$a(OCOLC)39854525
040 \$aSLC\$cSLC\$dWAU\$dCUS\$dIXA\$dBID\$dDLC\$dCGU
042 \$alcd
043 \$an-mx---
049 \$aCGUA
050 0 0 \$aHG4092.Z65\$bF67
092 \$a332. 6730972\$bF7142
245 0 4 \$aThe foreign companies in Mexico.
246 3 0 \$aMexico
247 1 0 \$aForeign companies in Mexico yearbook \$f<1998>
260 \$aLondon, UK :\$bCommercial Intelligence Service
300 \$av. :\$bill. ;\$c30 cm.
310 \$aAnnual
500 \$aDescription based on: 2005.
530 \$aAlso available on CD-ROM.
650 0 \$aCorporations, Foreign\$zMexico\$vDirectories.
650 0 \$aBusiness enterprises, Foreign\$zMexico\$vDirectories.
650 0 \$aForeign subsidiaries\$zMexico\$vDirectories.
650 0 \$aJoint ventures\$zMexico\$vDirectories.
710 2 \$aCommercial Intelligence Service (London, England)
850 \$aDLC\$aWaU

Example 2

Renette Davis
University of Chicago Library
April 18, 2006

Started with OCLC #4889294, Impact (New Orleans, La.)

Records can be viewed in University of Chicago test database at:

<http://libback.uchicago.edu/>

Note that there may be an unwanted subfield c in the linking entries. That is a linking setup problem that hopefully will be taken care of by the time you view the records. You should, however, be able to click on a linking entry and go automatically to the related record.

Before:

=LDR 01383cas 2200397 a 4500
=001 ocm04889294\
=003 OCoLC
=005 20060424024717.0
=008 790424d19772000lauer1ne\\\\\\0\\a0engld
=010 \\\$asn 89059102
=040 \\\$aLNU\$cLNU\$dLNP\$dNSD\$dLRU
=022 0\\\$a1082-5398
=037 \\\$bImpact, POB 52079, New Orleans, LA 70152
=042 \\\$alcd\$ansdp
=043 \\\$an-us-la
=082 10\$a051\$212
=090 \\\$aHQ75\$b.l56
=049 \\\$aDLCC
=130 0\\\$almpact (New Orleans, La.)
=210 0\\\$almpact\$b(New Orleans La.)
=222 \\0\$almpact\$b(New Orleans, La.)
=245 00\$almpact :\$bNew Orleans gay news.
=246 1\\\$ilssues for Jan. 14, 2000- have title:\$almpact news
=260 \\\$aNew Orleans, La. :\$bL & M Productions
=300 \\\$av.\$bill.\$c36 cm.
=310 \\\$aBiweekly\$b<June 17, 1983>-
=321 \\\$aMonthly\$b1977-May 1983
=362 1\\\$aBegan in 1977?; ceased with Aug. 10, 2000 issue.
=500 \\\$aPublisher: Scafide Enterprises, <Mar. 31-Apr. 13, 1995->
=500 \\\$aDescription based on: Vol. 1, no. 4 (Dec. 1977).
=500 \\\$aLatest issue consulted: Vol. 25, no. 15 (July 28, 2000).
=650 \\0\$aGays\$zLouisiana\$zNew Orleans\$vNewspapers.
=752 \\\$aUnited States\$bLouisiana\$cOrleans\$dNew Orleans.
=785 04\$tSouthern voice (Atlanta, Ga.)\$gAug. 10, 2000\$x1088-1840\$w(DLC)sn
95020324\$w(OCoLC)30372368

After:

000 01383nas 2200397 a 4500
005 20060418103259.0
008 790424d19772000lauer1ne 0 a0eng d
010 \$asn 89059102
012 \$l1
022 0 \$a1082-5398
035 \$(OCOLC)04889294
037 \$bImpact, POB 52079, New Orleans, LA 70152
040 \$aLNU\$cLNU\$dLNP\$dNSD\$dLRU
042 \$alcd\$ansdp
043 \$an-us-la
049 \$aCGUA
082 1 0 \$a051\$212
090 \$aHQ75\$b.I56
130 0 \$aImpact news (New Orleans, La.)
210 0 \$aImpact\$b(New Orleans La.)
222 0 \$aImpact\$b(New Orleans, La.)
245 1 0 \$aImpact news :\$bNew Orleans gay news.
247 1 0 \$aImpact \$f<Vol. 1, no. 4 (Dec. 1977)>
260 \$aNew Orleans, La. :\$bScafide Enterprises
300 \$av.\$bill.\$c36 cm.
310 \$aBiweekly\$b<June 17, 1983>-
321 \$aMonthly\$b1977-May 1983
362 1 \$aBegan in 1977?; ceased with Aug. 10, 2000 issue.
500 \$aPublisher: L & M Productions, <Vol. 1, no. 4 (Dec. 1977)>
500 \$aDescription based on: Vol. 25, no. 15 (July 28, 2000).
650 0 \$aGays\$zLouisiana\$zNew Orleans\$vnNewspapers.
752 \$aUnited States\$bLouisiana\$cOrleans\$dNew Orleans.
785 04 \$tSouthern voice (Atlanta, Ga.)\$x1088-1840

Related record for Second latest entry for minor change example

Before:

=LDR 01248cas 2200361 a 4500
=001 ocm30372368\
=003 OCoLC
=005 20060424024717.0
=008 940503c19uu9999gauuu1p\\\\\\\\0\\a0eng\\d
=010 \\\$asn 95020324
=040 \\\$aEEM\$cEEM\$dDLC\$dNSD\$dEYM\$dOCLCQ\$dLRU
=012 \\\$11
=022 0\\\$a1088-1840
=037 \\\$bSouthern Voice, 1095 Zonolite Rd., Atlanta, GA 30306
=042 \\\$alcd\$ansdp
=043 \\\$an-usu--\$an-us-ga
=050 \\4\$aHQ76.8.U5\$bS72
=082 10\$a975\$212
=049 \\\$aDLCC
=130 0\\\$aSouthern voice (Atlanta, Ga.)
=210 0\\\$aSouth. voice\$b(Atl. Ga.)
=222 \\0\$aSouthern voice\$b(Atlanta, Ga.)
=245 00\$aSouthern voice.
=260 \\\$aAtlanta, GA :\$bRyan Publications
=300 \\\$av. :\$bill. ;\$c41 cm.
=500 \\\$aDescription based on: Vol. 4, no. 5 (Apr. 25-May 8, 1991); title from caption.
=500 \\\$aLatest issue consulted: Vol. 9, no. 2 (Feb. 29, 1996).
=650 \\0\$aLesbians\$zSouthern States\$vPeriodicals.
=650 \\0\$aGay men\$zSouthern States\$vPeriodicals.
=650 \\0\$aGay men\$zGeorgia\$zAtlanta\$vPeriodicals.
=650 \\0\$aLesbians\$zGeorgia\$zAtlanta\$vPeriodicals.
=780 05\$tImpact (New Orleans, La.)\$gAug. 10, 2000\$w(DLC)sn89059102\$x1082-5398\$w(OCoLC)04889294

Related record for Second latest entry for minor change example

After:

000 01248nas 2200361 a 4500
005 20060418103640.0
008 940503c19uu9999gauuu1p 0 a0eng d
010 \$asn 95020324
012 \$l1
022 0 \$a1088-1840
035 \$a(OCoLC)30372368
037 \$bSouthern Voice, 1095 Zonolite Rd., Atlanta, GA 30306
040 \$aEEM\$cEEM\$dDLC\$dNSD\$dEYM\$dOCLCQ\$dLRU
042 \$alcd\$ansdp
043 \$an-usu--\$an-us-ga
049 \$aCGUA
050 4 \$aHQ76.8.U5\$bS72
082 1 0 \$a975\$212
130 0 \$aSouthern voice (Atlanta, Ga.)
210 0 \$aSouth. voice\$b(Atl. Ga.)
222 0 \$aSouthern voice\$b(Atlanta, Ga.)
245 1 0 \$aSouthern voice.
260 \$aAtlanta, GA :\$bRyan Publications
300 \$av. :\$bill. ;\$c41 cm.
500 \$aDescription based on: Vol. 4, no. 5 (Apr. 25-May 8, 1991); title
from caption.
500 \$aLatest issue consulted: Vol. 9, no. 2 (Feb. 29, 1996).
650 0 \$aLesbians\$zSouthern States\$vPeriodicals.
650 0 \$aGay men\$zSouthern States\$vPeriodicals.
650 0 \$aGay men\$zGeorgia\$zAtlanta\$vPeriodicals.
650 0 \$aLesbians\$zGeorgia\$zAtlanta\$vPeriodicals.
780 0 5 \$tImpact news (New Orleans, La.)\$x1082-5398