Attachment \#4: Assumptions and Calculations for mortality estimates

1. After calculating the predicted mortality from a research activity (e.g. aerial survey), the estimate needs to be rounded to a whole number because that number represents dead animals, which can only be accounted for by whole numbers.
a. All numbers should be rounded up to the nearest whole number. Numbers of dead animals cannot be rounded down because they represent a probability of mortality multiplied by a number of animals actually exposed (or permitted). While the probability of mortality may be low, once multiplied by a number of animals, the resultant number is either zero, one, or greater than one. (When you roll a die, the probability of getting any of the six numbers is $1 / 6$, but no matter how many times you roll, you can't get a 2.3.)
b. The rounding should occur by activity, not after summing the fractional mortality for all activities in a single permit or across all permits. The way the tables are presented in the EIS, the risk of mortality from one activity is independent of the risk of mortality from another. Thus if you calculate that 0.2 animals would die during surveys and 2.4 would die during capture, that's a total of $4(1+3)$ mortalities, not $3(0.2+2.4=2.6$ rounded to 3).
2. Permit tables need to be adjusted to match the assumptions used in calculating predicted mortality. For example, if the mortality for a given activity was calculated assuming $10 \%$ of the 10,000 animals surveyed were pups, then the permit holder cannot survey more than 1000 pups.
a. If it is not possible to determine in advance of the survey how many animals surveyed would be pups, or it is not possible after the survey to determine how many were pups, then the permit needs to be less specific (i.e. "all ages") and the mortality calculations need to be done using the conservative assumption that all animals are pups.
3. If the assumptions made in the calculations do not match the information in the applications but are based on additional information from the applicant, we need supplementary information from the applicants for the record. That needs to be a written correspondence from the applicant.
a. If the assumptions were not based on information from the applicants, NMFS needs to document why it would change a permit holders request and under what statutory or regulatory provision it has authority to do so.
4. Some of NMML's calculations do not appear to account for all the repeat takes for activities performed more than once. For example, under aerial survey on their spreadsheet the footnote indicates "maximum numbers expected to be taken, inclusive of potential for some to be taken multiple times." Yet in the "Frequency" column they indicate the activity would only be performed once. This method of math does not account for multiple takes per individual.
a. While it may not be reasonable to assume that all animals would be exposed to every survey, there is no way of knowing which ones are not. Thus the conservative way to calculate predicted mortality is to assume all animals are exposed every time.
b. In the case of captures, it is not clear how the "frequency" could equal 1 when the footnote indicates "inclusive of recaptures" which, according to the application, would be as many as 4 per animal. That would make the frequency 5.

Estimated mortality due to research activities, Northern fur seal EPS

|  | Permit year |  |  |
| :--- | ---: | ---: | ---: |
| Application/permit | 1 | 2 | 3 |
| NPUMMRC \#715-1883 | 6.03407 | 0 | 0 |
| NPUMMRC \#715-1884 | 1.96112 | 1.96112 | 1.96112 |
| ASLC \#881-1893 | 11.39 | 11.39 | 11.39 |
| St. Paul \#1118-1881 | 0.2728 | 0.2728 | 0.2728 |
| St. George \#1119-1882 | 0.2405 | 0.2405 | 0.2405 |
| Insley \#1045-1713 | 0.10775 | 0.10775 | 0.10775 |
| NMML \#782-1708 | 48.57683 | 48.57683 | 48.57683 |
| NPUMMRC \#715-1885 (for SSL research) | 0.10296 | 0.10296 | 0.10296 |
| NMML \#782-1889 (for SSL research) | 0.4347 | 0.4347 | 0.4347 |
| Total | 69.12073 | 63.08666 | 63.08666 |


| EIS Activity | Application activity | $\begin{aligned} & \hline \text { Age } \\ & \text { class } \\ & \hline \end{aligned}$ | Potentially exposed | Effect | Proportion affected | Mortality rate | Frequency | Predicted mortality | Predicted |  |  |  | 5 Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey |  | pups |  | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |
| On landcatwalks, tripods,cliffs cliffs |  | pups |  | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | $\overline{\text { non-pups }}$ |  | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups |  | pups |  | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | $\overline{\text { non-pups }}$ |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.8 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |
| Activities involving clearing rookery/haulout |  | pups |  | 65 Observed |  | 0.00001 | 12 | 0.0078 | 0.0078 | 0.0078 | 0.0078 | 0.0078 | 0.0078 Assumes that $50 \%$ of 130 incidental takes are pups |
|  |  |  |  | Alert | 1 | 0 | 12 | 0 | 0 | 0 | 0 | 0 | 0 Assumes that $50 \%$ of 130 incidental takes are pups |
|  |  |  |  | Enter water | 0.05 | 0.0001 | 12 | 0.0039 | 0.0039 | 0.0039 | 0.0039 | 0.0039 | 0.0039 Assumes that $50 \%$ of 130 incidental takes are pups |
|  |  |  |  | Injured | 0.0005 | 0.05 | 12 | 0.0195 | 0.0195 | 0.0195 | 0.0195 | 0.0195 | 0.0195 Assumes that $50 \%$ of 130 incidental takes are pups |
|  |  | non-pups |  | 65 Alert | 1 | 0 | 12 | 0 | 0 | 0 | 0 | 0 | 0 Assumes that $50 \%$ of 130 incidental takes are non-pups |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 12 | 0.0702 | 0.0702 | 0.0702 | 0.0702 | 0.0702 | 0.0702 Assumes that $50 \%$ of 130 incidental takes are non-pups |
|  |  |  |  | Injured | 0.0001 | 0.02 | 12 | 0.00156 | 0.00156 | 0.00156 | 0.00156 | 0.00156 | 0.00156 Assumes that $50 \%$ of 130 incidental takes are non-pups |
| Incidental disturbance during captures in breeding season |  | pups |  | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental disturbance during captures outside of breeding season |  | pups |  | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.05 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.0005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | $\overline{\text { non-pups }}$ |  | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Enter water | 0.2 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0 |  |  |  |  |  |
| Capturelrestraint effects |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint |  | pups |  | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  |  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |
|  |  |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Capture/chemical anesthesia |  | non-pups |  | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |
| (inhalable agent-isoflurane) |  |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Capture/chemical anesthesia |  | non-pups |  | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |
| (injectable) |  |  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
| Capture/chemical sedation |  | non-pups |  | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
| (injectable-eg valium) |  |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Intentional lethal take or permanent removal |  | pups |  | 0 Observed |  | 1 | 1 | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Unobserved |  | 1 | 1 | 0 |  |  |  |  |  |
| Handling effects: estimated increased risk $\begin{gathered}\text { Procedure- } \\ \text { animals }\end{gathered}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot-cold branding |  | pups |  | 0 Unobserved | 1 | 0.002 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures |  | pups |  | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Med risk" procedures |  | pups |  | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |
| "Elevated risk" procedures |  | pups |  | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
|  |  | non-pups |  | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
| Capture/transport/captivity effects |  |  | Procedure animals |  |  |  |  |  |  |  |  |  |  |
| Transport/holding/release |  | pups |  | 0 Observed Unobserved |  |  |  |  |  |  |  |  |  |
|  |  | non-pups |  | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |

715-1885

$\square$

| EIS Activity | Permitted activity | Ageclass | Potentiallyexposed $\quad$ Effect | Proportion affected | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 Comments |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey |  | pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |
| On land catwalks, tripods, cliffs | Task 1a | pups | 6500 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.0001 | 0.001 | 1 | 0.00065 | 0.00065 | 0.00065 | 0.00065 | 0.00065 | 0.00065 |
|  |  |  | Injured | 0.00005 | 0.05 | 1 | 0.01625 | 0.01625 | 0.01625 | 0.01625 | 0.01625 | 0.01625 |
|  | Task 1a | non-pups | 17750 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.005 | 0.0001 | 1 | 0.008875 | 0.008875 | 0.008875 | 0.008875 | 0.008875 | 0.008875 |
|  |  |  | Injured | 0.00001 | 0.02 | 1 | 0.00355 | 0.00355 | 0.00355 | 0.00355 | 0.00355 | 0.00355 |
|  | Task 1c | pups | 1000 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 1) |
|  |  |  | Enter water | 0.0001 | 0.001 | 1 | 0.0001 | 0.0001 | 0.0001 | 0.0001 | 0.0001 | 0.0001 1) |
|  |  |  | Injured | 0.00005 | 0.05 | 1 | 0.0025 | 0.0025 | 0.0025 | 0.0025 | 0.0025 | 0.0025 1) |
|  | Task 1c | non-pups | 1875 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 1) |
|  |  |  | Enter water | 0.005 | 0.0001 | 1 | 0.0009375 | 0.0009375 | 0.0009375 | 0.000938 | 0.000938 | 0.0009375 1) |
|  |  |  | Injured | 0.00001 | 0.02 | 1 | 0.000375 | 0.000375 | 0.000375 | 0.000375 | 0.000375 | 0.000375 1) |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups | Task 1eiv | pups | 6600 Observed |  | 0.00001 | 1 | 0.066 | 0.066 | 0.066 | 0.066 | 0.066 | 0.066 |
|  |  |  | Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.01 | 0.001 | 1 | 0.066 | 0.066 | 0.066 | 0.066 | 0.066 | 0.066 |
|  |  |  | Injured | 0.001 | 0.05 | 1 | 0.33 | 0.33 | 0.33 | 0.33 | 0.33 | 0.33 |
|  | Task 1eiv | non-pups | 3465 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.8 | 0.0001 | 1 | 0.2772 | 0.2772 | 0.2772 | 0.2772 | 0.2772 | 0.2772 |
|  |  |  | Injured | 0.0005 | 0.02 | 1 | 0.03465 | 0.03465 | 0.03465 | 0.03465 | 0.03465 | 0.03465 |
| Activities involving clearing rookery/haulout | Task 1bii | pups | 215775 Observed |  | 0.00001 | 1 | 2.15775 | 2.15775 | 2.15775 | 2.15775 | 2.15775 | 2.15775 |
|  |  |  | Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.05 | 0.0001 | 1 | 1.078875 | 1.078875 | 1.078875 | 1.078875 | 1.078875 | 1.078875 |
|  |  |  | Injured | 0.0005 | 0.05 | 1 | 5.394375 | 5.394375 | 5.394375 | 5.394375 | 5.394375 | 5.394375 |
|  | Task 1bii | non-pups | 97475 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.9 | 0.0001 | 1 | 8.77275 | 8.77275 | 8.77275 | 8.77275 | 8.77275 | 8.77275 |
|  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.19495 | 0.19495 | 0.19495 | 0.19495 | 0.19495 | 0.19495 |
|  | Task 3a | pups | 1500 Observed |  | 0.00001 | 1 | 0.015 | 0.015 | 0.015 | 0.015 | 0.015 | 0.015 1) |
|  |  |  | Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 1) |
|  |  |  | Enter water | 0.05 | 0.0001 | 1 | 0.0075 | 0.0075 | 0.0075 | 0.0075 | 0.0075 | 0.0075 1) |
|  |  |  | Injured | 0.0005 | 0.05 | 1 | 0.0375 | 0.0375 | 0.0375 | 0.0375 | 0.0375 | 0.0375 1) |
|  | Task 3a | non-pups | 1500 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 1) |
|  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.135 | 0.135 | 0.135 | 0.135 | 0.135 | $0.1351)$ |
|  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.003 | 0.003 | 0.003 | 0.003 | 0.003 | 0.003 1) |
| Incidental disturbance during captures in breeding season | Task 3biv | pups | 4160 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 3), 4) |
|  |  |  | Enter water | 0.001 | 0.001 | 1 | 0.00416 | 0.00416 | 0.00416 | 0.00416 | 0.00416 | 0.00416 3), 4) |
|  |  |  | Injured | 0.001 | 0.05 | 1 | 0.208 | 0.208 | 0.208 | 0.208 | 0.208 | 0.208 3), 4) |
|  | Task 3biv | non-pups | 3467 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 3), 4) |
|  |  |  | Enter water | 0.01 | 0.0001 | 1 | 0.003467 | 0.003467 | 0.003467 | 0.003467 | 0.003467 | 0.003467 3), 4) |
|  |  |  | Injured | 0.001 | 0.02 | 1 | 0.06934 | 0.06934 | 0.06934 | 0.06934 | 0.06934 | 0.06934 3), 4) |
| Incidental disturbance during captures outside of breeding season | Task 1evii | pups | 8400 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.05 | 0.0001 | 1 | 0.042 | 0.042 | 0.042 | 0.042 | 0.042 | 0.042 |
|  |  |  | Injured | 0.0005 | 0.05 | 1 | 0.21 | 0.21 | 0.21 | 0.21 | 0.21 | 0.21 |
|  | Task 1evii | non-pups | 7000 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  | Enter water | 0.2 | 0.0001 | 1 | 0.14 | 0.14 | 0.14 | 0.14 | 0.14 | 0.14 |
|  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.014 | 0.014 | 0.014 | 0.014 | 0.014 | 0.014 |
|  | Task 3bii | pups | 4200 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 1) |
|  |  |  | Enter water | 0.05 | 0.0001 | 1 | 0.021 | 0.021 | 0.021 | 0.021 | 0.021 | 0.021 1) |
|  |  |  | Injured | 0.0005 | 0.05 | 1 | 0.105 | 0.105 | 0.105 | 0.105 | 0.105 | $0.1051)$ |



|  |  | Unobserved | 0.0002 | 0 |
| :--- | :---: | :---: | :---: | :---: |
| "Elevated risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.001 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.001 | 0 |

1) Assumed all takes for activity occurring only with animals from EP stock
2) Assumed that 130 of 150 non-pups ( $86.7 \%$ ) were from EP stock and 20 from San Miguel Island. 130 nonpups includes all animals in subcategory " 70 of 150 "and $75 \%$ (or 60 ) of the remaining 80 animals.
3) Assumed that $86.7 \%$ (see previous footnote) of incidental takes were from EP stock
4) Assumed that $50 \%$ of incidental takes from EP stock for this activity accountable to (first) captures in breeding season, and $50 \%$ accountable to (second) captures outside of breeding season.
5) Assumed that all animals in subcategory " 70 of 150 " were from EP stock
6) Permit allows the use of isoflurane (chemical anesthesia) and valium (chemical sedation). Calculations based on higher mortality rate for capture/chemical anesthesia (inhalable agent).
7) Permit allows the use of valium (chemical sedation). Because physical restraint is required for sedated animals throughout the entire handling period, calculations are based on mortality rates for "Capture/physical restraint" as well a

| EIS Activity Permitted activity | $\begin{gathered} \text { Age } \\ \text { class } \end{gathered}$ | Potentiallyexposed $\quad$ Effect | $\begin{gathered} \text { Proportion } \\ \text { affected } \\ \hline \end{gathered}$ | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |  |
| On land catwalks, tripods, cliffs | pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |  |
|  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.01 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.8 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |  |
| Activities involving clearing rookery/haulout | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |  |
|  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.05 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.9 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0001 | 0.02 |  | 0 |  |  |  |  |  |  |
| Incidental disturbance during captures in breeding season | pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.01 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.02 |  | 0 |  |  |  |  |  |  |
| Incidental disturbance during captures Instrumentationoutside of breeding season | pups | 50 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.05 | 0.0001 | 1 | 0.00025 | 0.00025 | 0.00025 | 0.00025 | 0.00025 | 0.00025 |  |
|  |  | Injured | 0.0005 | 0.05 | 1 | 0.00125 | 0.00125 | 0.00125 | 0.00125 | 0.00125 | 0.00125 |  |
|  | non-pups | 125 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.2 | 0.0001 | 1 | 0.0025 | 0.0025 | 0.0025 | 0.0025 | 0.0025 | 0.0025 |  |
|  |  | Injured | 0.0001 | 0.02 | 1 | 0.00025 | 0.00025 | 0.00025 | 0.00025 | 0.00025 | 0.00025 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint Instrumentation | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 10 Observed |  | 0.004 | 2 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 |  |
|  |  | Unobserved |  | 0.0001 | 2 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 |  |
|  | non-pups | 5 Observed | 1 | 0.004 | 1 | 0.02 | 0.02 | 0.02 | 0.02 | 0.02 | 0.02 |  |
|  |  | Unobserved | 1 | 0.0001 | 1 | 0.0005 | 0.0005 | 0.0005 | 0.0005 | 0.0005 | 0.0005 |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
| (inhalable agent-isoflurane) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |  |
| (injectable) |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical sedation | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
| (injectable-eg valium) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Intentional lethal take or permanent removal | pups | 0 Observed |  | 1 | 1 | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved |  | 1 | 1 | 0 |  |  |  |  |  |  |
| Handling effects: estimated increased risk |  | $\begin{aligned} & \text { Procedure- } \\ & \text { animals } \end{aligned}$ |  |  |  |  |  |  |  |  |  |  |


| \|Permanent mark/hot-cold branding | pups | 0 Unobserved | 1 | 0.002 |  | 0 | 0.001 | 0.001 | 0.001 | 0.001 | 0.001 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures | pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| Instrumentation | non-pups | 10 Unobserved | 1 | 0.0001 | 1 | 0.001 |  |  |  |  |  |
| "Med risk" procedures | pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |
| "Elevated risk" procedures | pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
| Capture/transport/captivity effects | Procedure-animals |  |  |  |  |  |  |  |  |  |  |
| Transport/holding/release | pups | 0 Observed Unobserved |  |  |  |  |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Permanent mark/hot branding | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| "Med risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0002 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0002 |  | 0 |  |  |  |  |  |
| "Elevated risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |


| EIS Activity Application activity | $\begin{aligned} & \text { Age } \\ & \text { class } \end{aligned}$ | Potentially exposed Effect | Proportion | Mortality | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Incidental effects due to researcher presence in view of animal |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00001 | 0.02 |  |  |  |  |  |  |  |  |
|  | pups | 20000 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  | $20000 * 05$ (the proportion affected) $=1000$ incidental takes requested |
|  |  | Enter water | 0.0001 | 0.001 | 1 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 |  |
|  |  | Injured | 0.00005 | 0.05 | 1 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 |  |
|  | $\overline{\text { non-pups }}$ | 48000 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  | $48000 * 05$ (the proportion affected) $=2400$ incidental takes requested |
|  |  | Enter water | 0.005 | 0.0001 | 1 | 0.024 | 0.024 | 0.024 | 0.024 | 0.024 | 0.024 |  |
|  |  | Injured | 0.00001 | 0.02 | 1 | 0.0096 | 0.0096 | 0.0096 | 0.0096 | 0.0096 | 0.0096 |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |  |
|  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.01 | 0.001 |  |  |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.8 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |  |
| Activities involving clearing rookery/haulout Biosampling | pups | ${ }^{100} \mathbf{O b s e r v e d ~}$ | 1 | 0.00001 | 1 | 0.001 0 | 0.001 0 | ${ }_{0}^{0.001}$ | 0.001 0 | 0.001 0 | 0.001 |  |
|  |  | Alert | 0.05 | 0 | 1 | 0.0005 | 0.0005 | 0.0005 | 0.0005 | 0.0005 | ${ }_{0}$ |  |
|  |  | Injured | 0.0005 | 0.005 | 1 | ${ }^{0.0025}$ | 0.0025 | 0.0025 | 0.0025 | 0.0025 | ${ }_{0}^{0.0025}$ |  |
|  | non-pups | 350 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.9 | 0.0001 | 1 | 0.0315 | 0.0315 | 0.0315 | 0.0315 | 0.0315 | 0.0315 |  |
|  |  | Injured | 0.0001 | 0.02 | 1 | 0.0007 | 0.0007 | 0.0007 | 0.0007 | 0.0007 | 0.0007 |  |
| Incidental disturbance during captures in breeding season | pups | 0 Alert | 1 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.001 | 0.001 |  | 0 | 0 | 0 | 0 |  | 0 |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  | $\overline{\text { non-pups }}$ | 0 Alert | 1 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.01 | 0.0001 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Injured | 0.001 | 0.02 |  | 0 | 0 |  | 0 | 0 | 0 |  |
| Incidental disturbance during captures Disentanglement <br> outside of breeding season  | pups | 400 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.05 | 0.0001 | 1 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 |  |
|  |  | Injured | 0.0005 | 0.05 | 1 | 0.01 | 0.01 | 0.01 | 0.01 | 0.01 | 0.01 |  |
|  | non-pups | 4850 Alert | 1 | 0 | 1 | 0 | , | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.2 | 0.0001 | 1 | 0.097 | 0.097 | 0.097 | 0.097 | 0.097 | 0.097 |  |
|  |  | Injured | 0.0001 | 0.02 | 1 | 0.0097 | 0.0097 | 0.0097 | 0.0097 | 0.0097 | 0.0097 |  |
| Capture/restraint effects Capture/physical restraint | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| apture/chemical anesthesia (inhalable agent-isoflurane) | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |  |
| (injectable) ${ }_{\text {a }}$ | non-pups | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical sedation |  | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
| (injectable-eg valium) | $\begin{gathered} \text { pups } \\ \text { non-pups } \end{gathered}$ | Unobserved <br> 0 Observed |  | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | ${ }_{0}^{0} 0$ Unobserved |  | 1 | 1 | ${ }_{0}^{0}$ |  |  |  |  |  |  |
| Handling effects: estimated increased risk | Procedure- <br> animals |  |  |  |  |  |  |  |  |  |  |  |
| Permanent markhot-cold branding | pups | 0 Unobserved | 1 | 0.002 |  | 0 |  |  |  |  |  |  |
| "Low risk" procedures | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  | $\frac{\text { pups }}{\text { non-pups }}$ | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |  |
| "Med risk" procedures | $\frac{\text { non-pups }}{\text { pups }}$ | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |  |
| "Elevated risk" procedures | $\begin{gathered} \hline \text { pups } \\ \hline \text { non-pups } \\ \hline \end{gathered}$ | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |  |
| Capture/transport/captivity effects | $\begin{gathered} \text { Procedure- } \\ \text { animals } \\ \hline \end{gathered}$ |  |  |  |  |  |  |  |  |  |  |  |
| Transportholding/release | pups | 0 Observed Unobserved |  |  |  |  |  |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Permanent markhot branding | non-pups | 0 0 Onoserved Unobserved |  | 0.00 |  | 0 |  |  |  |  |  |  |
|  | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
| "Low risk" procedures |  | Unobserved |  | 0.0001 |  |  |  |  |  |  |  |  |
|  | non-pups | ${ }^{0} 0$ Observed |  | ${ }^{0}$ |  | ${ }_{0}$ |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |

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|  | Age | Potentially | Proportion | Mortality |  | Predict |  | dicted m | ality by | ermit year |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity Application activity | class | exposed Effect | affected | rate | Frequency | mortality | 1 | 2 | 3 | 4 | 5 | Comments |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |  |
| On land Island Sentinel Activities | pups | 20000 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  | 20000*.05 (the proportion affected)=1000 incidental takes |
| catwalks, tripods, |  | Enter water | 0.0001 | 0.001 | 1 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 |  |
| cliffs |  | Injured | 0.00005 | 0.05 | 1 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 |  |
|  | non-pups | 48000 Alert | 0.05 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  | 48000*.05 (the proportion affected)=2400 incidental takes |
|  |  | Enter water | 0.005 | 0.0001 | 1 | 0.024 | 0.024 | 0.024 | 0.024 | 0.024 | 0.024 |  |
|  |  | Injured | 0.00001 | 0.02 | 1 | 0.0096 | 0.0096 | 0.0096 | 0.0096 | 0.0096 | 0.0096 |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |  |
|  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.01 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.8 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |  |
| Activities involving clearing rookery/haulout Biosampling | pups | 200 Observed |  | 0.00001 | 1 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 | 0.002 |  |
|  |  | Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.05 | 0.0001 | 1 | 0.001 | 0.001 | 0.001 | 0.001 | 0.001 | 0.001 |  |
|  |  | Injured | 0.0005 | 0.05 | 1 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 |  |
|  | non-pups | 350 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.9 | 0.0001 | 1 | 0.0315 | 0.0315 | 0.0315 | 0.0315 | 0.0315 | 0.0315 |  |
|  |  | Injured | 0.0001 | 0.02 | 1 | 0.0007 | 0.0007 | 0.0007 | 0.0007 | 0.0007 | 0.0007 |  |
| Incidental disturbance during captures | pups | 0 Alert | 1 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
| in breeding season |  | Enter water | 0.001 | 0.001 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 | 0 |  | 0 | 0 | 0 |  |
|  | non-pups | 0 Alert | 1 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.01 | 0.0001 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Injured | 0.001 | 0.02 |  | 0 | 0 | 0 | 0 | 0 | 0 |  |
| Incidental disturbance during captures Disentanglement | pups | 500 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
| outside of breeding season |  | Enter water | 0.05 | 0.0001 | 1 | 0.0025 | 0.0025 | 0.0025 | 0.0025 | 0.0025 | 0.0025 |  |
|  |  | Injured | 0.0005 | 0.05 | 1 | 0.0125 | 0.0125 | 0.0125 | 0.0125 | 0.0125 | 0.0125 |  |
|  | non-pups | 6000 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |
|  |  | Enter water | 0.2 | 0.0001 | 1 | 0.12 | 0.12 | 0.12 | 0.12 | 0.12 | 0.12 |  |
|  |  | Injured | 0.0001 | 0.02 | 1 | 0.012 | 0.012 | 0.012 | 0.012 | 0.012 | 0.012 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
| (inhalable agent-isoflurane) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |  |
| (injectable) |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical sedation | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
| (injectable-eg valium) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Intentional lethal take or permanent removal | pups | 0 observed |  | 1 | 1 | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved |  | 1 | 1 | 0 |  |  |  |  |  |  |
| Handling effects: estimated increased risk |  | Procedure- animals |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot-cold branding | pups | 0 Unobserved | 1 | 0.002 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |  |
| "Low risk" procedures | pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |  |
| "Med risk" procedures | pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |  |
| "Elevated risk" procedures | pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |  |
| Capture/transport/captivity effects |  | Procedureanimals |  |  |  |  |  |  |  |  |  |  |
| Transport/holding/release | pups | 0 Observed Unobserved |  |  |  |  |  |  |  |  |  |  |
|  | $\overline{\text { non-pups }}$ | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |

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|  |  | Unobserved | 0.0001 | 0 |
| :---: | :---: | :---: | :---: | :---: |
| Permanent markhot branding | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
| "Low risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
| "Med risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0002 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0002 | 0 |
| "Elevated risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.001 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.001 | 0 |


|  | Application activity | $\begin{aligned} & \text { Age } \\ & \text { class } \end{aligned}$ | Potentiallyexposed $\quad$ Effect | Proportion affected | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 Comments |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey |  | pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |
| On land <br> catwalks, tripods, <br> cliffs |  | pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups |  | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |
|  |  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.01 | 0.001 |  | - |  |  |  |  |  |
|  |  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.8 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |
| Activities involving clearing rookery/haulout |  | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |
|  |  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.05 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.0005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.9 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.0001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental disturbance during captures in breeding season |  | pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | $\overline{\text { non-pups }}$ | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.01 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental disturbance during captures outside of breeding season | Land captures | pups | 2750 Alert | , | 0 | 50 | 0 | 0 | 0 | 0 | 0 | 0 Assumes that $55 \%$ of 5,000 incidental takes are pups |
|  |  |  | Enter water | 0.05 | 0.0001 | 50 | 0.6875 | 0.6875 | 0.6875 | 0.6875 | 0.6875 | 0.6875 Assumes that $55 \%$ of 5,000 incidental takes are pups |
|  |  |  | Injured | 0.0005 | 0.05 | 50 | 3.4375 | 3.4375 | 3.4375 | 3.4375 | 3.4375 | 3.4375 Assumes that $55 \%$ of 5,000 incidental takes are pups |
|  |  | non-pups | 2250 Alert | 1 | 0 | 50 | 0 | 0 | 0 | 0 | - | 0 Assumes that $45 \%$ of 5,000 incidental takes are non-pups |
|  |  |  | Enter water | 0.2 | 0.0001 | 50 | 2.25 | 2.25 | 2.25 | 2.25 | 2.25 | 2.25 Assumes that $45 \%$ of 5,000 incidental takes are non-pups |
|  |  |  | Injured | 0.0001 | 0.02 | 50 | 0.225 | 0.225 | 0.225 | 0.225 | 0.225 | 0.225 Assumes that 45\% of 5,000 incidental takes are non-pups |
| Capturelrestraint effects |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint |  | pups | Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
|  |  | non-pups | Observed |  | 0.004 |  | 0 |  |  |  |  |  |
|  |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Capture/chemical anesthesia (inhalable agent-isoflurane) | Land captures | pups | 50 Observed | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 1), 2), 3) |
|  |  |  | Unobserved | 1 | 0.001 | 1 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 | 0.05 1), 2), 3) |
|  | Land captures | non-pups | 50 Observed | 1 | 0.004 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 2), 3) |
|  |  |  | Unobserved | 1 | 0.0001 | 1 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 2), 3) |
|  | Pelagic captures | non-pups | 200 Observed | 1 | 0.004 | 4 | 3.2 | 3.2 | 3.2 | 3.2 | 3.2 | 3.2 3) |
|  |  |  | Unobserved | 1 | 0.0001 | 4 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 3) |
| Capture/chemical anesthesia (injectable) |  | non-pups | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |
|  |  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
| Capture/chemical sedation (injectable-eg valium) |  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Intentional lethal take or permanent removal |  | pups non-pups | 0 Observed |  | 1 | 1 | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved |  | 1 | 1 | 0 |  |  |  |  |  |
| Handling effects: estimated increased risk |  |  | $\begin{gathered} \text { Procedure- } \\ \text { animals } \\ \hline \end{gathered}$ |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot-cold branding |  | pups | 0 Unobserved | 1 | 0.002 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures | Land captures | pups | 550 Unobserved | 1 | 0.0001 | 1 | 0.055 | 0.055 | 0.055 | 0.055 | 0.055 | 0.055 2) |
|  | Land captures | non-pups | 400 Unobserved | 1 | 0.0001 | 1 | 0.04 | 0.04 | 0.04 | 0.04 | 0.04 | 0.04 2) |
|  | Pelagic captures | non-pups | 9400 Unobserved | 1 | 0.0001 | 1 | 0.94 | 0.94 | 0.94 | 0.94 | 0.94 | 0.94 |
| "Med risk" procedures | Land captures | pups | 50 Unobserved | 1 | 0.0002 | 1 | 0.01 | 0.01 | 0.01 | 0.01 | 0.01 | $0.012)$ |
|  | Land captures | non-pups | 50 Unobserved | 1 | 0.0002 | 1 | 0.01 | 0.01 | 0.01 | 0.01 | 0.01 | $0.012)$ |
|  | Pelagic captures | non-pups | 1000 Unobserved | 1 | 0.0002 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| \|"Elevated risk" procedures |  | pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |


|  | non-pups |  | 0 | 0 | 0 | 0 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |



| Capture/chemical anesthesia (injectable) | non-pups | 0 Observed Unobserved |  | $\begin{array}{r} 0.01 \\ 0.001 \\ \hline \end{array}$ |  | 0 |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Capture/chemical sedation | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
| (injectable-eg valium) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Intentional lethal take or permanent removal | pups | 0 Observed |  | 1 | 1 | 0 |  |  |  |  |  |
|  | non-pups | 0 Unobserved |  | 1 | 1 | 0 |  |  |  |  |  |
| Handling effects: estimated increased risk |  |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot-cold branding | pups | 0 Unobserved | 1 | 0.002 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures Activity 2 | pups | 1200 Unobserved | 1 | 0.0001 | 1 | 0.12 | 0.12 | 0.12 | 0.12 | 0.12 | 0.12 |
| Activity 1 | non-pups | 30 Unobserved | 1 | 0.0001 | 1 | 0.003 | 0.003 | 0.003 | 0.003 | 0.003 | 0.003 |
| Activity 2 | non-pups | 1000 Unobserved | 1 | 0.0001 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |
| "Med risk" procedures Activity 2 | pups | 200 Unobserved | 1 | 0.0002 | 1 | 0.04 | 0.04 | 0.04 | 0.04 | 0.04 | 0.04 |
| Activity 2 | non-pups | 400 Unobserved | 1 | 0.0002 | 1 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 | 0.08 |
| "Elevated risk" procedures | pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
| Capture/transport/captivity effects |  |  |  |  |  |  |  |  |  |  |  |
| Transport/holding/release | pups | 0 Observed |  |  |  |  |  |  |  |  |  |
|  |  | Unobserved |  |  |  |  |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Permanent mark/hot branding | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| "Med risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0002 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.0002 |  | 0 |  |  |  |  |  |
| "Elevated risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |

1) Outside of breeding season, assume all incidental takes are related to captures ("worst case" scenario)

| EIS Activity Application activity | $\begin{gathered} \text { Age } \\ \text { class } \end{gathered}$ | Potentiallyexposed $\quad$ Effect | Proportionaffected | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 0.01 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |  |
| On land catwalks, tripods, cliffs | pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.005 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |  |
|  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.01 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.8 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |  |
| Activities involving clearing rookery/haulout | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |  |
|  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.05 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.9 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.0001 | 0.02 |  | 0 |  |  |  |  |  |  |
| Incidental disturbance during captures in breeding season | pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.001 | 0.001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |  |
|  |  | Enter water | 0.01 | 0.0001 |  | 0 |  |  |  |  |  |  |
|  |  | Injured | 0.001 | 0.02 |  | 0 |  |  |  |  |  |  |
| Incidental disturbance during c Pup capture for permanent outside of breeding season removal | pups | 100 Alert | 1 | 0 | 1 | 0 | 0 |  |  |  |  |  |
|  |  | Enter water | 0.05 | 0.0001 | 1 | 0.0005 | 0.0005 |  |  |  |  |  |
|  |  | Injured | 0.0005 | 0.05 | 1 | 0.0025 | 0.0025 |  |  |  |  |  |
|  | non-pups | 85 Alert | 1 | 0 | 1 | 0 | 0 |  |  |  |  |  |
|  |  | Enter water | 0.2 | 0.0001 | 1 | 0.0017 | 0.0017 |  |  |  |  |  |
|  |  | Injured | 0.0001 | 0.02 | 1 | 0.00017 | 0.00017 |  |  |  |  |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint $\begin{aligned} & \text { Pup capture for permanent } \\ & \text { removal }\end{aligned}$ | pups | 26 Observed | 1 | 0 | 1 | 0 | 0 |  |  |  |  | Does not include 6 that will be permanently removed |
|  |  | Unobserved | 1 | 0.001 | 1 | 0.026 | 0.026 |  |  |  |  | Does not include 6 that will be permanently removed |
|  | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |  |
| (inhalable agent-isoflurane) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | non-pups | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |  |
| (injectable) |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |  |
| Capture/chemical sedation | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |  |
| (injectable-eg valium) |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |  |
| Intentional lethal take or permi Pup capture for permanentremoval removal | pups | 6 Observed | 1 | 1 | 1 | 6 | 6 |  |  |  |  | Permanent removal of 6 pups |
|  | non-pups | 0 Unobserved |  | 1 | 1 | 0 | 0 |  |  |  |  |  |
| Handling effects: estimated increased risk $\begin{array}{c}\text { Procedure- } \\ \text { animals }\end{array}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot-cold branding | pups | 0 Unobserved | 1 | 0.002 |  | 0 |  |  |  |  |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |  |


| \|"Low risk" procedures | pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |
| "Med risk" procedures | pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |
| "Elevated risk" procedures | pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |
|  | non-pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |
| Capture/transport/captivity effects $\quad \begin{gathered}\text { Procedure- } \\ \text { animals }\end{gathered}$ |  |  |  |  |  |  |  |  |
| Transport/holding/release Pup capture for permanent | pups | 2 Observed | 1 | 0 | 1 | 0 | 0 | 1), 2) |
|  |  | Unobserved | 1 | 0.001 | 1 | 0.002 | 0.002 | 1), 2) |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |
| Permanent mark/hot branding | non-pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |
| "Low risk" procedures $\begin{aligned} & \text { Pup capture for permanent } \\ & \text { removal }\end{aligned}$ | pups | 4 Observed | 1 | 0 | 3 | 0 | 0 | 2) |
|  |  | Unobserved | 1 | 0.0001 | 3 | 0.0012 | 0.0012 | 2) |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.0001 |  | 0 |  |  |
| "Med risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.0002 |  | 0 |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.0002 |  | 0 |  |  |
| "Elevated risk" procedures | pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |
|  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |
|  |  | Unobserved |  | 0.001 |  | 0 |  |  |

2) 2 pups held and released; does not include 6 pups for PERMANENT REMOVAL
${ }^{\text {2) } 2 \text { pups held and released; doe }}$

| EIS Activity | Application activity | $\begin{aligned} & \hline \text { Age } \\ & \text { class } \end{aligned}$ | $\begin{array}{ll} \hline \begin{array}{c} \text { Potentially } \\ \text { exposed } \end{array} & \text { Effect } \\ \hline \end{array}$ | $\begin{gathered} \text { Proportion } \\ \text { affected } \\ \hline \end{gathered}$ | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit yea |  |  |  | 5 Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | Incidental to sea lion | pups | 5500 Alert | 0.01 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 Assumes that 55\% of 5000 incidental takes are pups |
|  | aerial surveys |  | Enter water | 0.0001 | 0.001 | 6 | 0.0033 | 0.0033 | 0.0033 | 0.0033 | 0.0033 | 0.0033 Assumes that $55 \%$ of 5000 incidental takes are pups |
|  |  |  | Injured | 0.00005 | 0.05 | 6 | 0.0825 | 0.0825 | 0.0825 | 0.0825 | 0.0825 | 0.0825 Assumes that $55 \%$ of 5000 incidental takes are pups |
|  |  | non-pups | 4500 Alert | 0.01 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 Assumes that $45 \%$ of 5000 incidental takes are non-pups |
|  |  |  | Enter water | 0.005 | 0.0001 | 6 | 0.0135 | 0.0135 | 0.0135 | 0.0135 | 0.0135 | 0.0135 Assumes that $45 \%$ of 5000 incidental takes are non-pups |
|  |  |  | Injured | 0.00001 | 0.02 | 6 | 0.0054 | 0.0054 | 0.0054 | 0.0054 | 0.0054 | 0.0054 Assumes that $45 \%$ of 5000 incidental takes are non-pups |
| On landcatwalks, tripods,cliffs cliffs |  | pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.0001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.00005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 0.05 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.005 | 0.0001 |  | , |  |  |  |  |  |
|  |  |  | Injured | 0.00001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |
| Activities involving pup roundups |  | pups | 0 Observed |  | 0.00001 |  | 0 |  |  |  |  |  |
|  |  |  | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.01 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.8 | 0.0001 |  | - |  |  |  |  |  |
|  |  |  | Injured | 0.0005 | 0.02 |  | 0 |  |  |  |  |  |
| Activities involving clearing rookery/haulout | Incidental to sea lion pup | pups | 2500 Observed |  | 0.00001 | 1 | 0.025 | 0.025 | 0.025 | 0.025 | 0.025 | Assumes that $50 \%$ of 5000 incidental takes are pups (occurs early in pupping season as compared to above 0.025 comments) |
|  | counts |  | Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 Assumes that $50 \%$ of 5000 incidental takes are pups |
|  |  |  | Enter water | 0.05 | 0.0001 | 1 | 0.0125 | 0.0125 | 0.0125 | 0.0125 | 0.0125 | 0.0125 Assumes that $50 \%$ of 5000 incidental takes are pups |
|  |  |  | Injured | 0.0005 | 0.05 | 1 | 0.0625 | 0.0625 | 0.0625 | 0.0625 | 0.0625 | 0.0625 Assumes that $50 \%$ of 5000 incidental takes are pups |
|  |  | non-pups | 2500 Alert | 1 | 0 | 1 | 0 | 0 | 0 | 0 | - | 0 Assumes that $50 \%$ of 5000 incidental takes are non-pups |
|  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.225 | 0.225 | 0.225 | 0.225 | 0.225 | 0.225 Assumes that $50 \%$ of 5000 incidental takes are non-pups |
|  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 Assumes that $50 \%$ of 5000 incidental takes are non-pups |
| Incidental disturbance during captures in breeding season |  | pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.001 | 0.001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.001 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.01 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.001 | 0.02 |  | 0 |  |  |  |  |  |
| Incidental disturbance during captures outside of breeding season |  | pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.05 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.0005 | 0.05 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Alert | 1 | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Enter water | 0.2 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  |  | Injured | 0.0001 | 0.02 |  | 0 |  |  |  |  |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint |  | pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
|  |  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |
|  |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Capture/chemical anesthesia |  | non-pups | 0 Observed |  | 0.004 |  | 0 |  |  |  |  |  |
| (inhalable agent-isoflurane) |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Capture/chemical anesthesia |  | non-pups | 0 Observed |  | 0.01 |  | 0 |  |  |  |  |  |
| (injectable) |  |  | Unobserved |  | 0.001 |  | 0 |  |  |  |  |  |
| Capture/chemical sedation |  | non-pups | 0 Observed |  | 0 |  | 0 |  |  |  |  |  |
| (injectable-eg valium) |  |  | Unobserved |  | 0.0001 |  | 0 |  |  |  |  |  |
| Intentional lethal take or permanent removal |  | pups | 0 Observed |  | 1 | 1 | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved |  | 1 | 1 | 0 |  |  |  |  |  |
| Handling effects: estimated increased risk |  | $\begin{gathered} \text { Procedure- } \\ \text { animals } \\ \hline \end{gathered}$ |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot-cold branding |  |  |  | 1 | 0.002 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Low risk" procedures |  | pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved | 1 | 0.0001 |  | 0 |  |  |  |  |  |
| "Med risk" procedures |  | pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved | 1 | 0.0002 |  | 0 |  |  |  |  |  |
| "Elevated risk" procedures |  | pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
|  |  | non-pups | 0 Unobserved | 1 | 0.001 |  | 0 |  |  |  |  |  |
| Capture/transport/captivity effects |  |  | Procedure- animals |  |  |  |  |  |  |  |  |  |
| Transportholding/release |  | pups | 0 Observed |  |  |  |  |  |  |  |  |  |

782-1889

|  | Unobserved |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
| Permanent mark/hot branding | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
| "Low risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0001 | 0 |
| "Med risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0002 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.0002 | 0 |
| "Elevated risk" procedures | pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.001 | 0 |
|  | non-pups | 0 Observed | 0 | 0 |
|  |  | Unobserved | 0.001 | 0 |


|  |  |
| ---: | ---: |
| 4 | 5 |
| 1.96112 | 1.96112 |
| 11.39 | 11.39 |
| 0.2728 | 0.2728 |
| 0.2405 | 0.2405 |
| 0.10775 | 0.10775 |
| 48.57683 | 48.57683 |
| 0.10296 | 0.10296 |
| 0.4347 | 0.4347 |
| 63.08666 | 63.08666 |

Estimated mortality due to research activities, Steller sea lion eastern DPS.

|  | Permit year |  |  |  |  |  |  |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | :---: |
| Permit/Application | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | Notes |  |
| NMML 782-1889 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |  |  |
| ADFG 358-1888 | 15.8 | 15.8 | 15.8 | 15.8 | 15.8 |  |  |
| OSU 1034-1887 | 1.3 | 1.3 | 1.3 | 1.3 | 1.3 |  |  |
| NPUMMRC 715-1885 | 39.4 | 39.4 | 39.4 | 39.4 | 39.4 | 1 |  |
| ODFW 434-1892 | 7.4 | 7.4 | 7.4 | 7.4 | 7.4 |  |  |
| NMML 782-1702 | 0.1 | 0.1 | 0.0 | 0.0 | 0.0 |  |  |
| Total | 65.0 | 65.0 | 64.9 | 64.9 | 64.9 |  |  |
| Total w/o NPUMMRC | 25.5 | 25.5 | 25.5 | 25.5 | 25.5 |  |  |

Notes

1. Incidental disturbance takes requested in format not accomodated by EIS effects analysis, thus estimated mortalities are much higher than will occur.



|  | Application activity | $\begin{aligned} & \text { Age } \\ & \text { class } \end{aligned}$ | Potentially exposed | Effect | Proportionaffected | $\begin{gathered} \hline \text { Mortality } \\ \text { rate } \\ \hline \end{gathered}$ | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity |  |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| On rookeries during breeding season |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.01 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
| Roundups for branding |  |  |  | Observed mortality during activity | 1 | 0.007 |  | 0.0 |  |  |  |  |  |  |
| On rookeries during breeding season |  | non-pups | 0 | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  | Task 2 inc. dist. For installations | non-pups | 1500 | Alert | 1 | 0.0 | 8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 8 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 2 inc. dist. For servicing | non-pups | 1200 | Alert | 1 | 0.0 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  |  |  |  |  | otal by year: | 1.3 | 1.3 | 1.3 | 1.3 | 1.3 |  |
| Notes |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Non-breeding season activities (pa | \& Table 2). Reflects | maximum, not lik | predicted mo | ortality because installations will lik | ccur well-away | from animals | or when no | nimals are pres | ( Hornin |  |  |  |  |  |




|  |  | $\begin{aligned} & \hline \text { Age } \\ & \text { class } \end{aligned}$ | Potentiallyexposed | Effect | Proportion affected | Mortality rate | Frequency | Predicted mortality |  | dicted m | ality by | mit year |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity | Application activity |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | 1. Aerial survey breeding season | pups | 6000 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 1 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 |  |
|  |  | non-pups | 10000 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | 2. Aerial survey non-breeding | non-pups | 10000 | Alert | 0.05 | 0.0 | 4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  | season |  |  | Enter water | 0.01 | 0.0001 | 4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 4 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Vessel surveys |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.01 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (breeding season) |  | Enter water | 0.1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (non-breeding season) |  | Enter water | 0.3 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| On land |  | pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| On rookeries during breeding season |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.01 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
| Roundups for branding |  |  |  | Observed mortality during activity | 1 | 0.007 |  | 0.0 |  |  |  |  |  |  |
| On rookeries during breeding season |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 5000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint |  | pups | 0 | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 0 | Observed mortality during activity | 1 | 0.002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia |  | pups | 0 | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
| (inhalable agent-isoflurane) |  | non-pups | 24 | Observed mortality during activity | 1 | 0.004 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia |  | non-pups |  | Observed mortality during activity | 1 | 0.034 |  | 0.0 |  |  |  |  |  |  |
| (injectable) |  |  |  | Unobserved/post-capture mortality | 1 | 0.011 |  | 0.0 |  |  |  |  |  |  |
| Capture/chemical sedation |  | non-pups |  | Observed mortality during activity | 1 | 0 |  | 0.0 |  |  |  |  |  |  |
| (injectable-eg valium) |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
| Lethal take or permanent removal |  | pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
| Handling effects: estimated increased risk |  |  | $\begin{gathered} \text { Procedure- } \\ \text { animals } \\ \hline \end{gathered}$ |  |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot branding |  | pups |  | Unobserved/post-capture mortality | 1 | 0.002 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 24 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Low risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 144 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Med risk" procedures |  | pups | 48 | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups |  | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 |  |  |  |  |  |  |
| "Elevated risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
| Notes |  |  |  |  |  |  |  | otal by year: | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |  |
| 1. These are the maximum numbers expected to be taken, inclusive of potential for some to be taken multiple times (application pg. 11). |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2. Maximum number of expected takes, inclusive of up to 5 repeated takes (application page 15). |  |  |  |  |  |  |  |  |  |  |  |  |  |  |



| EIS Activity | Application activity | $\begin{gathered} \text { Ag } \\ \text { class } \end{gathered}$ | Potentially | Effect | Proportion | Mortality |  | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | exposed |  | affected | rate | Frequency |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | Harassment incidental to aerial surveys | non-pups | 4500 |  | 0.05 | 0.0 | 5 | 0.0 | 0.0 | 0.0 |  |  |  | 1,2 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 5 | 0.0 | 0.0 | 0.0 |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 5 | 0.0 | 0.0 | 0.0 |  |  |  |  |
|  |  |  |  |  |  |  | Total by year: | ,oar by year. | 0.1 | 0.1 | 0.0 | 0.0 | 0.0 | 3 |
| Notes: |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Permit states 4,500 takes up to $40 \times$ per year, but only about 5 surveys p |  | year are conducted (Pat Gearin, NMML). |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |

Estimated mortality due to research activities, Steller sea lion western DPS.

|  | Permit year |  |  |  |  |  |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| Permit/Application | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | Notes |
| NMML 782-1889 | 8.7 | 8.3 | 8.3 | 8.3 | 8.7 |  |
| UAF/AEB 1049-1886 | 1.2 | 2.7 | 1.2 | 2.7 | 1.2 |  |
| ADFG 358-1888 | 2.3 | 2.3 | 2.3 | 2.3 | 2.3 |  |
| OSU 1034-1887 | 3.1 | 3.1 | 3.1 | 3.1 | 3.1 |  |
| NPUMMRC 715-1884 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| NPUMMRC 715-1885 | 100.0 | 100.0 | 100.0 | 100.0 | 100.0 | 1 |
| ASLC 881-1890 | 12.0 | 12.0 | 12.0 | 12.0 | 12.0 |  |
| St Paul 1118-1881 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| St George 1119-1882 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Total | 127.4 | 128.5 | 127.0 | 128.5 | 127.4 |  |
| Total w/o NPUMMRC inc. dist. | 27.4 | 28.5 | 27.0 | 28.5 | 27.4 |  |

Notes

1. Incidental disturbance takes requested in format not accomodated by EIS effects analysis, thus estimated mortalities are inaccurate and much higher than will occur.

|  |  | Age | Potentially |  | Proportion | Mortality |  | Predicted | Predic | d mor | lity by | rmit |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity | Application activity | class | exposed | Effect | affected | rate | Frequency | mortality | 1 | 2 | 3 | 4 | 5 | Notes |
| Incidental effects due to researc | esence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding | 1. Incidental disturbance | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Notes: |  |  |  |  |  |  | Total by year: |  | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| 1. Entered into most conservative (ie, greatest risk) category. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |



|  |  | $\begin{gathered} \hline \text { Age } \\ \text { class } \\ \hline \end{gathered}$ | Potentially exposed | Effect | Proportion affected | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity | Application activity |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey |  | pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Vessel surveys |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.01 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (breeding season) |  | Enter water | 0.1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (non-breeding season) |  | Enter water | 0.3 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| On land | 3. Behavioral/demographic | pups | 668 | Alert | 0.05 | 0.0 | 61 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  | observations | (Jun-Jul) |  | Enter water | 0 | 0.001 | 61 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 61 | 2.0 | 2.0 | 2.0 | 2.0 | 2.0 | 2.0 |  |
|  |  | non-pups | 4332 | Alert | 0.05 | 0.0 | 61 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  | (Jun-Jul) |  | Enter water | 0.01 | 0.0001 | 61 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 61 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |  |
|  |  | non-pups | 10000 | Alert | 0.05 | 0.0 | 304 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  | (Aug-May) |  | Enter water | 0.01 | 0.0001 | 304 | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 304 | 6.1 | 6.1 | 6.1 | 6.1 | 6.1 | 6.1 |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| On rookeries during breeding season | 1. Incidental disturbance for | pups | 2667 | Alert | 1 | 0.0 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
| (ground counts, scats, captures) | scat collection, monitoring, |  |  | Enter water | 0.01 | 0.001 | 2 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  | cameras, aerial surveys, etc. |  |  | Injured | 0.001 | 0.05 | 2 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 |  |
| Roundups for branding |  |  | 0 | Observed mortality during activity | 1 | 0.001 |  | 0.0 |  |  |  |  |  |  |
| On rookeries during breeding season | 1. Incidental disturbance for | non-pups | 37333 | Alert | 1 | 0.0 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
| (ground counts, scats, captures) | scat collection, monitoring, |  |  | Enter water | 0.9 | 0.0001 | 2 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 |  |
|  | cameras, aerial surveys, etc. |  |  | Injured | 0.0001 | 0.02 | 2 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Haulouts, rookeries non-breeding | 1. Incidental disturbance for | pups | 0 | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) | scat collection, monitoring, |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  | cameras, aerial surveys, etc. |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 40000 | Alert | 1 | 0.0 | 10 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 10 | 36.0 | 36.0 | 36.0 | 36.0 | 36.0 | 36.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 10 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 |  |
| On rookeries during breeding season | 2. Harrasment from collection | pups | 2667 | Alert | 1 | 0.0 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
| (ground counts, scats, captures) | of carcasses. |  |  | Enter water | 0.01 | 0.001 | 2 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 2 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 |  |
| Roundups for branding |  |  |  | Observed mortality during activity | 1 | 0.007 |  | 0.0 |  |  |  |  |  |  |
| On rookeries during breeding season | 2. Harrasment from collection | non-pups | 37333 | Alert | 1 | 0.0 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
| (ground counts, scats, captures) | of carcasses. |  |  | Enter water | 0.9 | 0.0001 | 2 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 2 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Haulouts, rookeries non-breeding | 2. Harrasment from collection | pups | 0 | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) | of carcasses. |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 40000 | Alert | 1 | 0.0 | 10 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 10 | 36.0 | 36.0 | 36.0 | 36.0 | 36.0 | 36.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 10 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 |  |



| EIS Activity | Application activity | Age <br> class Potentially <br> exposed |  | Effect | Proportion | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | 1 |  |  |  |  | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding | Task 1a incidental disturbance | pups | 1200 |  | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 1800 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 1b incidental disturbance | non-pups | 2000 | Alert | 1 | 0.0 | 8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
|  | for installation |  |  | Enter water | 0.9 | 0.0001 | 8 | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 1b incidental disturbance | non-pups | 2800 | Alert | 1 | 0.0 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
|  | for 6-mo service |  |  | Enter water | 0.9 | 0.0001 | 2 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/chemical anesthesia |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 |  | 0.0 |  |  |  |  |  |  |
| (inhalable agent-isoflurane) |  | non-pups | 140 | Observed mortality during activity | 1 | 0.004 | 1 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia |  | non-pups |  | Observed mortality during activity | 1 | 0.034 |  | 0.0 |  |  |  |  |  |  |
| (injectable) |  |  |  | Unobserved/post-capture mortality | 1 | 0.011 |  | 0.0 |  |  |  |  |  |  |
| Capture/chemical sedation |  | non-pups |  | Observed mortality during activity | 1 | 0 |  | 0.0 |  |  |  |  |  |  |
| (injectable-eg valium) |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
| Lethal take or permanent removal |  | pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
| capture/transport/captivity effects $\begin{array}{c}\text { Procedure- } \\ \text { animals }\end{array}$ <br> a  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/transport/holding/release |  | non-pups | 140 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical sedation |  | non-pups |  | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
| (injectable-eg valium) |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Permanent mark/hot branding |  | non-pups | 140 | Observed mortality during activity | 1 | 0 | 1 | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 |  |  |  |  |  |  |
| "Low risk" procedures |  | non-pups | 980 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| "Med risk" procedures |  | non-pups | 140 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Elevated risk" procedures |  | non-pups | 100 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  |  |  |  |  |  |  | Total by year: |  | 3.1 | 3.1 | 3.1 | 3.1 | 3.1 |  |
| Notes: |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Depends on captures by ADFG/NMML, used ADFG ratio to estimate pup fraction (40\%) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  | $\begin{aligned} & \text { Age } \\ & \text { class } \end{aligned}$ | Potentially exposed | Effect | $\begin{gathered} \text { Proportion } \\ \text { affected } \\ \hline \end{gathered}$ | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity | Application activity |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey |  | pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Vessel surveys |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.01 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (breeding season) |  | Enter water | 0.1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (non-breeding season) |  | Enter water | 0.3 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| On land |  | pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| On rookeries during breeding season | Task 1 inc. disturbance for | pups | 1000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
| (ground counts, scats, captures) | captures etc |  |  | Enter water | 0.01 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Roundups for branding |  |  | 240 | Observed mortality during activity | 1 | 0.001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
| On rookeries during breeding season | Task 1 inc. disturbance for | non-pups | 2000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
| (ground counts, scats, captures) | captures etc |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Haulouts, rookeries non-breeding |  | pups |  | Alert | 1 | 0.0 | 1 | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 |  |  |  |  |  |  |
|  | Task 1 inc. disturbance for | non-pups | 7000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  | captures etc |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 2 incidental disturbance for | non-pups | 4000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  | captures etc |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 0 | Observed mortality during activity | 1 | 0.002 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
| Capture/chemical anesthesia | Task1 | pups | 200 | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
| (inhalable agent-isoflurane) |  |  | 40 | Unobserved/post-capture mortality | 1 | 0.001 | 5 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  | Task 1, 2mo-1yr + 1-4 yr olds | non-pups | 80 | Observed mortality during activity | 1 | 0.004 | 3 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 2 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 3 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 2, 6-11 mo \& 1-4 yr olds | non-pups | 150 | Observed mortality during activity | 1 | 0.004 | 1 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 2 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 1, Ad F (60 Aug-May) | non-pups | 60 | Observed mortality during activity | 1 | 0.004 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 1, Ad F (40*3 Aug-May, Jun-Jul) | non-pups | 80 | Observed mortality during activity | 1 | 0.004 | 3 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 3 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 3 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia | Task 1, Ad F (40x3; Jun-Jul ) | non-pups | 40 | Observed mortality during activity | 1 | 0.034 | 3 | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | 3 |
| (injectable) |  |  |  | Unobserved/post-capture mortality | 1 | 0.011 | 3 | 1.3 | 1.3 | 1.3 | 1.3 | 1.3 | 1.3 |  |
| Lethal take or permanent removal |  | pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |


|  |  | Age | Potentially |  | Proportion | Mortality |  | Predicted | Predic | d mor | lity by | ermit |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity | Application activity | class | exposed | Effect |  |  | Frequency | mortality | 1 | 2 | 3 | 4 | 5 | Notes |
| Handling effects: estimated incre | risk |  | $\begin{gathered} \text { Procedure- } \\ \text { animals } \\ \hline \end{gathered}$ |  |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot branding | Task 1, <2mo olds | pups | 240 | Unobserved/post-capture mortality | 1 | 0.002 | 1 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |  |
|  | Task 1, 2mo-1yr + 1-4 yr olds, adults | non-pups | 220 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 2 (Table 2) |  | 120 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Low risk" procedures | Task 1 | pups | 2240 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  | Task 1, 2mo-1yr + 1-4 yr olds, adults | non-pups | 5940 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 4 |
| "Med risk" procedures | Task 1 | pups | 200 | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  | Task 1, 2mo-1yr + 1-4 yr olds, adults | non-pups | 1320 | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 |  |
| "Elevated risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
| Capture/transport/captivity effec |  |  | Procedureanimals |  |  |  |  |  |  |  |  |  |  |  |
| Capture/transport/holding/release | Task 2 (Table 3) | non-pups | 30 | Observed mortality during activity | 1 | 0 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical sedation |  | non-pups |  | Observed mortality during activity | 1 | 0 | 1 | 0.0 |  |  |  |  |  |  |
| (injectable-eg valium) |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 |  |  |  |  |  |  |
| Permanent mark/hot branding | Task 2 (Table 3) | non-pups | 30 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Low risk" procedures | Task 2 (Table 3) | non-pups | 1680 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
| "Med risk" procedures | Task 2 (Table 3) | non-pups | 120 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Elevated risk" procedures |  | non-pups | 30 | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Notes: |  |  |  |  |  |  |  | otal by year: | 12.0 | 12.0 | 12.0 | 12.0 | 12.0 |  |
| 1. Distribution of request for 10,00 | s incidental to captures, scat collection | tc. 10,000 are the | xpected takes in | clusive of repeated takes/individual | uss Andrews, A | ASLC) for Tas |  |  |  |  |  |  |  |  |
| The additional 4000 for task 2 ( 3 | PWS/Res Bay up to 15 each, 500 in | diak/Aleutians up | es each) also re | epresent total expected takes inclusi | of repeated tak | kes/individual | (Jo-Ann Melish | , ASLC). |  |  |  |  |  |  |
| Request for any additional "unlim | isturbances for collection of expelled $p$ | centas, aborted f | and dead sea lio | ons is not included in this analysis. |  |  |  |  |  |  |  |  |  |  |
| 2. Assumed that captures of 2 mo | Ids is by net, uw noose, seine, or floati | pen.(yes, for Ta | lish-ASLC) |  |  |  |  |  |  |  |  |  |  |  |
| 3. Only intend to dart adult females | ) captured in Jun-Jul (application pg 25; | Russ Andrews, A | ssume all receiv | ve inhalable anesthesia. |  |  |  |  |  |  |  |  |  |  |
| 5. Metabolic chamber measurements assessed as "low risk". Temporary dry holding and short-term fasting experiments are uncategorized. |  |  |  |  | mentament |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |


| EIS Activity | Application activity | $\begin{gathered} \hline \text { Age } \\ \text { class } \\ \hline \end{gathered}$ | Potentially exposed | Effect | Proportion | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey |  | pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Vessel surveys |  | pups | 2000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
|  |  |  |  | Injured | 0.01 | 0.05 | 1 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |  |
|  |  | non-pups | 3000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  | (breeding season) |  | Enter water | 0.1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  | (non-breeding season) |  | Enter water | 0.3 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| On land |  | pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  | 4 |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| On rookeries during breeding season |  | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.01 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
| Roundups for branding |  |  |  | Observed mortality during activity | 1 | 0.001 |  | 0.0 |  |  |  |  |  |  |
| On rookeries during breeding season |  | non-pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding |  | pups | 400 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 1600 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Observed mortality during activity | 1 | 0.002 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
| Capture/chemical anesthesia |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 |  | 0.0 |  |  |  |  |  |  |
| (inhalable agent-isoflurane) |  | non-pups (2mo-3yr) | 165 | Observed mortality during activity | 1 | 0.004 | 1 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 5 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia |  | non-pups |  | Observed mortality during activity | 1 | 0.034 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
| (injectable) |  |  |  | Unobserved/post-capture mortality | 1 | 0.011 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Capture/chemical sedation |  | non-pups |  | Observed mortality during activity | 1 | 0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| (injectable-eg valium) |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Lethal take or permanent removal |  | pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |
| Handling effects: estimated increased risk |  |  | Procedure-animals |  |  |  |  |  |  |  |  |  |  |  |
| Permanent mark/hot branding |  | pups |  | Unobserved/post-capture mortality | 1 | 0.002 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 165 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Low risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 1885 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
| "Med risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 375 | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.1 |  |  |  |  |  |  |
| "Elevated risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | otal by year: | 2.3 | 2.3 | 2.3 | 2.3 | 2.3 |  |
| Notes: |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Assumes pups comprise 40\% of the total rookery population (Rea-ADFG) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2. Distribution of resight effort will change year to year - assumed all in breeding season for this exercise given that is when most impact is calculated (typically will have 20-30\% of the resight effort during non-breeding season) (Rea-ADFG) <br> 3. Assumes non-pups comprise $60 \%$ of the total rookery population (Rea-ADFG) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4. Total request for Activity 3 WDPS (Rea-ADFG) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5. Potentially exposed is the total number of captures, inclusive of any recaptures of individuals (Rea-ADFG). |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


| EIS Activity | Application activity | $\begin{aligned} & \text { Age } \\ & \text { class } \end{aligned}$ | Potentially exposed | Effect | Proportionaffected | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | Quarterly aerial survey | pups | 3664 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  |  | non-pups | 9336 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 13000 | Alert | 0.05 | 0.0 | 3 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 3 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 3 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  | Biennial replicate aerial | pups | 3664 | Alert | 0.05 | 0.0 | 7 | 0.0 |  | 0.0 |  | 0.0 |  | 3 |
|  | surveys |  |  | Enter water | 0 | 0.001 | 7 | 0.0 |  | 0.0 |  | 0.0 |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 7 | 1.3 |  | 1.3 |  | 1.3 |  |  |
|  |  | non-pups | 9336 | Alert | 0.05 | 0.0 | 7 | 0.0 |  | 0.0 |  | 0.0 |  | 4 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 7 | 0.1 |  | 0.1 |  | 0.1 |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 7 | 0.1 |  | 0.1 |  | 0.1 |  |  |
| Vessel surveys | Boat-based quarterly | non-pups | 1000 | Alert | 1 | 0.0 | 4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
|  | brand resighting | (non-breeding season) |  | Enter water | 0.3 | 0.0001 | 4 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| On land | Land-based biweekly | non-pups | 500 | Alert | 0.05 | 0.0 | 20 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 6 |
|  | brand resighting |  |  | Enter water | 0.01 | 0.0001 | 20 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 20 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding |  | non-pups | 2000 | Alert | 1 | 0.0 | 4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 | 4 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  |  |  |  |  | otal by year: | 1.2 | 2.7 | 1.2 | 2.7 | 1.2 |  |
| 1. June survey; estimated as pup count from 2005 NMML survey for C/WGOA |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2. Sep/Dec/Mar surveys |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3. Estimated as pup count from 2005 NMML survey for C/WGOA |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4. Difference of 13000 and estimated pup count from 2005 NMML survey |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5.Application take table states no rookery visits during breeding season. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6. During midSep-midMay, thus no | ake category by EIS definition |  |  |  |  |  |  |  |  |  |  |  |  |  |


| EIS Activity | Application activity | Age class | Potentially exposed | Effect | Proportion affected | Mortality rate | Frequency | Predicted mortality | Predicted mortality by permit year |  |  |  |  | Notes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | 1 | 2 | 3 | 4 | 5 |  |
| Incidental effects due to researcher presence in view of animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Aerial survey | 1. Aerial survey: breeding season | pups | 13000 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1,2 |
|  |  |  |  | Enter water | 0 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 1 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 |  |
|  |  | non-pups | 32000 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  | 2. Aerial survey: non-breeding season | non-pups | 28000 | Alert | 0.05 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1, 4 |
|  |  |  |  | Enter water | 0.01 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Vessel surveys | 4. Incidental disturbance... | pups | 1000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
|  |  |  |  | Enter water | 0 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.01 | 0.05 | 1 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |  |
|  |  | non-pups | 5000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
|  |  | (breeding season) |  | Enter water | 0.1 | 0.0001 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 5000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
|  |  | (non-breeding season) |  | Enter water | 0.3 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| On land |  | pups | 0 | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0 | 0.001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 0 | Alert | 0.05 | 0.0 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Enter water | 0.01 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
| Incidental effects due to researcher presence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| On rookeries during breeding season | 3. Ground counts | pups | 7100 | Alert | 1 | 0.0 | 1 | 0.0 |  | 0.0 | 0.0 | 0.0 |  | 6 |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.01 | 0.001 | 1 | 0.1 |  | 0.1 | 0.1 | 0.1 |  |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 1 | 0.4 |  | 0.4 | 0.4 | 0.4 |  |  |
| Roundups for branding |  |  | 400 | Observed mortality during activity | 1 | 0.001 | 1 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 |  |
| On rookeries during breeding season <br> (ground counts, scats, captures) (ground counts, scats, captures) | 3. Ground counts | non-pups | 18000 | Alert | 1 | 0.0 | 1 | 0.0 |  | 0.0 | 0.0 | 0.0 |  | 6 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 1.6 |  | 1.6 | 1.6 | 1.6 |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 |  | 0.0 | 0.0 | 0.0 |  |  |
| On rookeries during breeding season | 3. Ground counts | pups | 9000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 |  |  |  | 0.0 | 7 |
| (ground counts, scats, captures) |  |  |  | Enter water | 0.01 | 0.001 | 1 | 0.1 | 0.1 |  |  |  | 0.1 |  |
|  |  |  |  | Injured | 0.001 | 0.05 | 1 | 0.5 | 0.5 |  |  |  | 0.5 |  |
|  |  | non-pups | 21000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 |  |  |  | 0.0 | 7 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 1.9 | 1.9 |  |  |  | 1.9 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 |  |  |  | 0.0 |  |
| Haulouts, rookeries non-breeding | 4. Incidental disturbance... | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups | 7000 | Alert | 1 | 0.0 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 1 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/restraint effects |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Capture/physical restraint | 6. Capture, restraint, measurements | pups | 700 | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 |  |
|  |  | non-pups | 0 | Observed mortality during activity | 1 | 0.002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia | 6. Capture, restraint, measurements | pups | 400 | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 |  |
| (inhalable agent-isoflurane) |  | non-pups | 180 | Observed mortality during activity | 1 | 0.004 | 1 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | 8,9 |
|  |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Capture/chemical anesthesia |  | non-pups | 10 | Observed mortality during activity | 1 | 0.034 | 1 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 0.3 | 10 |
| (injectable) |  |  |  | Unobserved/post-capture mortality | 1 | 0.011 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| Capture/chemical sedation |  | non-pups |  | Observed mortality during activity | 1 | 0 |  | 0.0 |  |  |  |  |  |  |
| (injectable-eg valium) |  |  |  | Unobserved/post-capture mortality | 1 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
| Lethal take or permanent removal |  | pups |  | Observed mortality during activity | 1 | , | 1 | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Observed mortality during activity | 1 | 1 | 1 | 0.0 |  |  |  |  |  |  |


|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Permanent mark/hot branding | 6.h. | pups | 400 | Unobserved/post-capture mortality | 1 | 0.002 | 1 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 |  |
|  |  | non-pups | 180 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| "Low risk" procedures | 6a,b,d,f,g,l,j,k,l,m,n | pups | 2150 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
|  |  | non-pups | 1980 | Unobserved/post-capture mortality | 1 | 0.0001 | 1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |  |
| "Med risk" procedures | 6c,e | pups |  | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups | 360 | Unobserved/post-capture mortality | 1 | 0.0002 | 1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |  |
| "Elevated risk" procedures |  | pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  | non-pups |  | Unobserved/post-capture mortality | 1 | 0.001 | 1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  |  |  |  |  |  | 8.7 | 8.3 | 8.3 | 8.3 | 8.7 |  |
| Notes: |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. Pups are defined in the EIS as being on the rookery during the breeding season (June/July) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2. '13000 is the maximum number expected to be taken, inclusive of potential for some to be taken 2 x (application pg. 11). |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3. 32000 is the maximum number expected to be taken, inclusive of potential multiple disturbance (application pg. 11) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4. 28,000 is the maximum number expected to be taken, inclusive of potential for some to be taken up to $4 \times$ (application page 12). |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5. Distributed from request for 23000 takes, total (application pg 15) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6. Non-range wide survey years |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 7. Range-wide survey in 2007 and 2011 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 8. Assumes non-pup captures are with uw noose, floating pen, or at-sea, and that any adults sedated by dart delivery would also be anesthetized with isoflurane. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 9. Includes age groups $2 \mathrm{mo}-3 \mathrm{yr}(120)$ and $>3 \mathrm{yr}(60)$; application pages states these are maximum takes inclusive of potential for recapture. $\quad$. $\quad$, |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  | Age | Potentially |  | Proportion | Mortality |  | Predicted | Predi | d mo | ality by | ermit |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| EIS Activity | Application activity | class | exposed | Effect | affected | rate | Frequency | mortality | 1 | 2 | 3 | 4 | 5 | Notes |
| Incidental effects due to researc | esence among animals |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Haulouts, rookeries non-breeding | 1. Incidental disturbance | pups |  | Alert | 1 | 0.0 |  | 0.0 |  |  |  |  |  |  |
| (scats, resights, captures) |  |  |  | Enter water | 0.9 | 0.0001 |  | 0.0 |  |  |  |  |  |  |
|  |  |  |  | Injured | 0.0001 | 0.02 |  | 0.0 |  |  |  |  |  |  |
|  |  | non-pups |  | Alert | 1 | 0.0 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1 |
|  |  |  |  | Enter water | 0.9 | 0.0001 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
|  |  |  |  | Injured | 0.0001 | 0.02 | 12 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| Notes: |  |  |  |  |  |  | Total by year: |  | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |  |
| 1. Entered into most conservative (ie, greatest risk) category. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

