

# From Value to Architecture: The Exploration System of Systems

MIT

Dec. 1, 2004

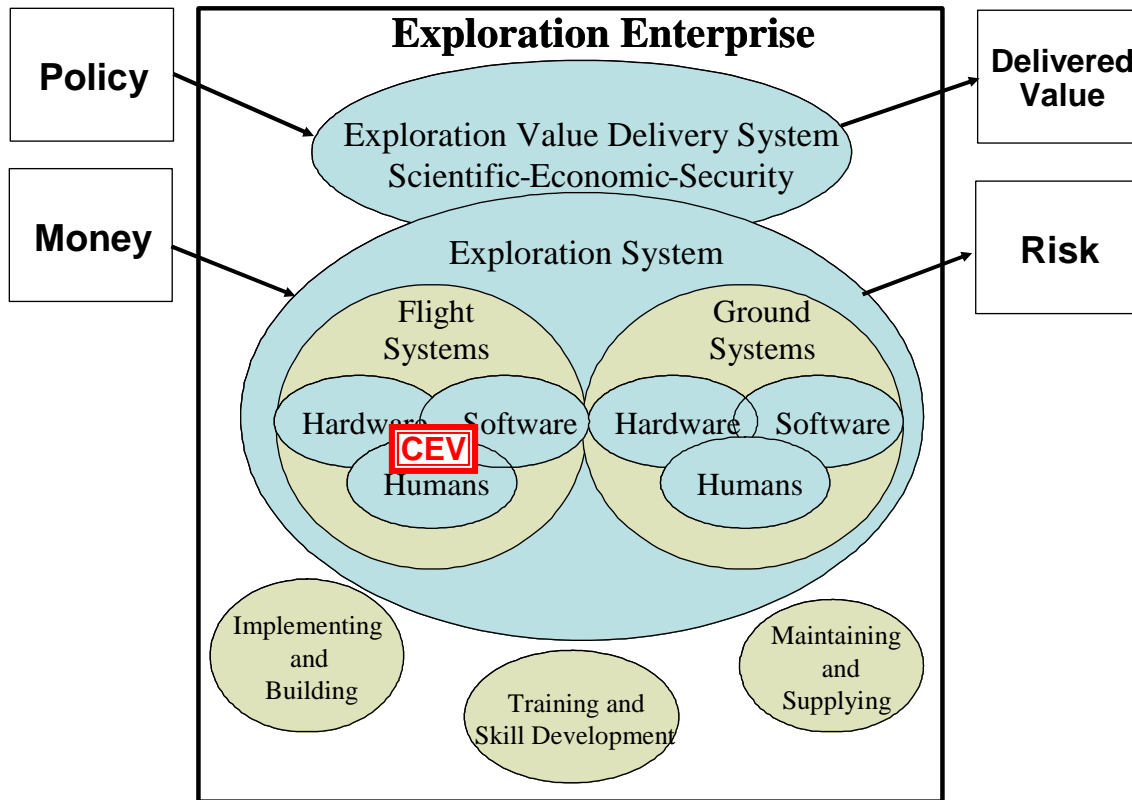


# Sustainability

- Our goal is to help NASA identify *sustainable* system of system architectures for exploration
- Sustainability requires *value delivery, affordability, risk management* and *policy robustness*
- Our approach is to:
  - Define measures of sustainability for design
  - Comprehensively search the architectural space(s)
  - Identify key policy, technology and operational decision branches
  - For each decision branch, optimize the system
  - Project the resulting functionality onto the CEV, to determine the robustness of its requirements to further downstream decisions
  - Identify the benefit of certain decision branches



# A Holistic View: the Sustainable System



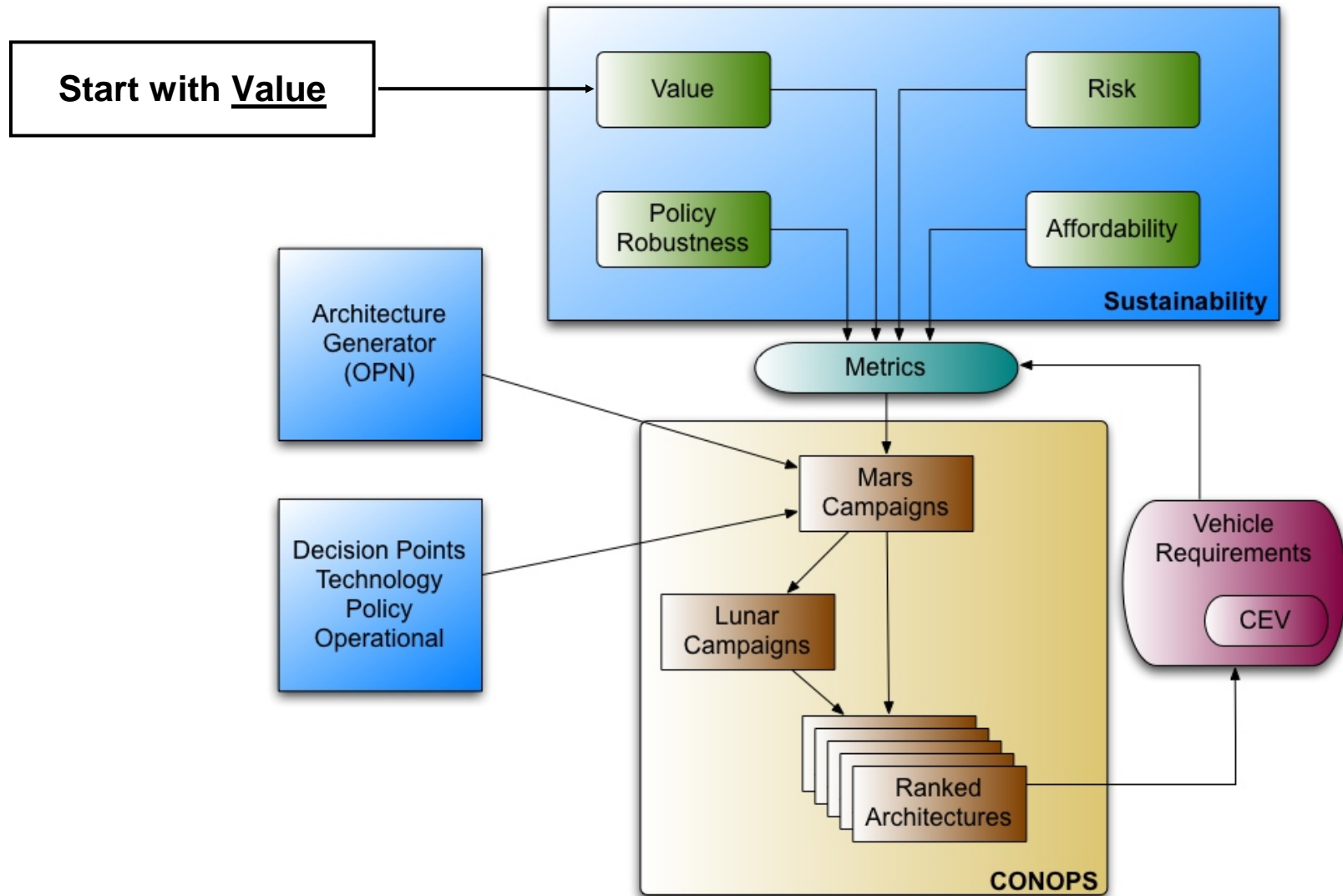
The sustainable system is this ...

Not this

- A ***Holistic*** view of the ground and flight elements, their development, operation and human capital, and the extended enterprise is necessary to ensure sustainability



# From Sustainability to Requirements





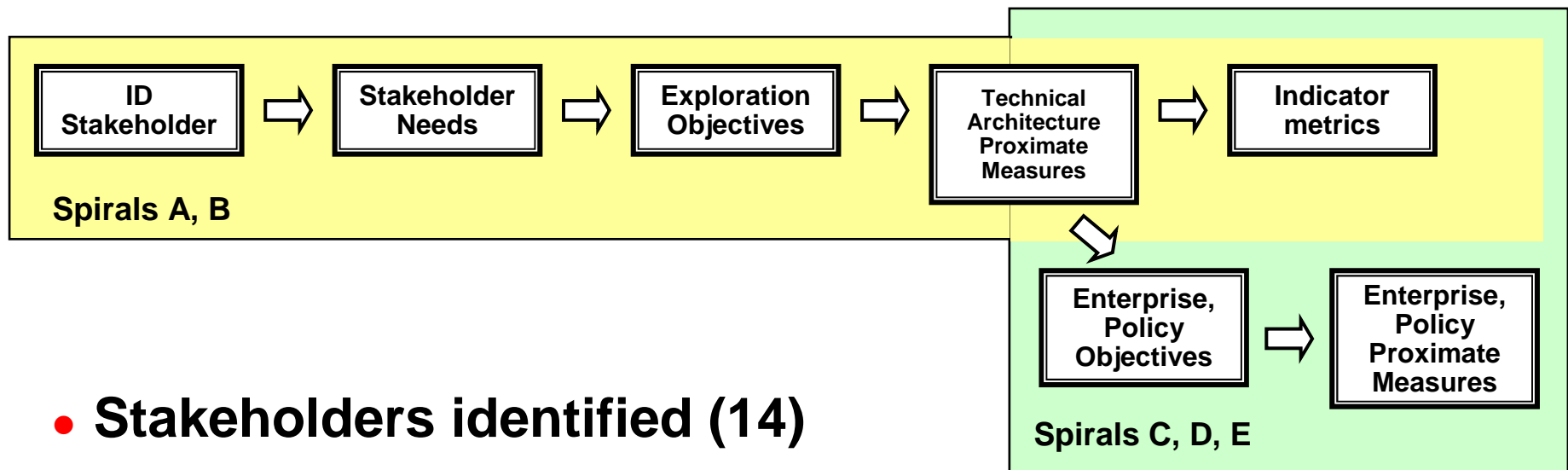
# Value Enables Sustainability

- A sustainable exploration system must produce outputs that are valued by stakeholders
- Approach: analyze and develop metrics to quantify the flow of benefits from exploration activities to stakeholders
- Analysis of value objectives helps assess approaches for delivering value to the stakeholders:
  - Through the technical architecture
  - Delivered by the enterprise architecture
  - Robust to policy fluctuations

**Deliberate focus on stakeholder value enables the proper design of technical system, organization, and policy to increase value delivered by the system over its decades-long lifecycle**



# Stakeholder Value Analysis Process



- Stakeholders identified (14)
- Stakeholder needs defined (~90)
- Exploration objectives (24)
- Technical architecture proximate measures (~18)
- Indicator metrics (~40)



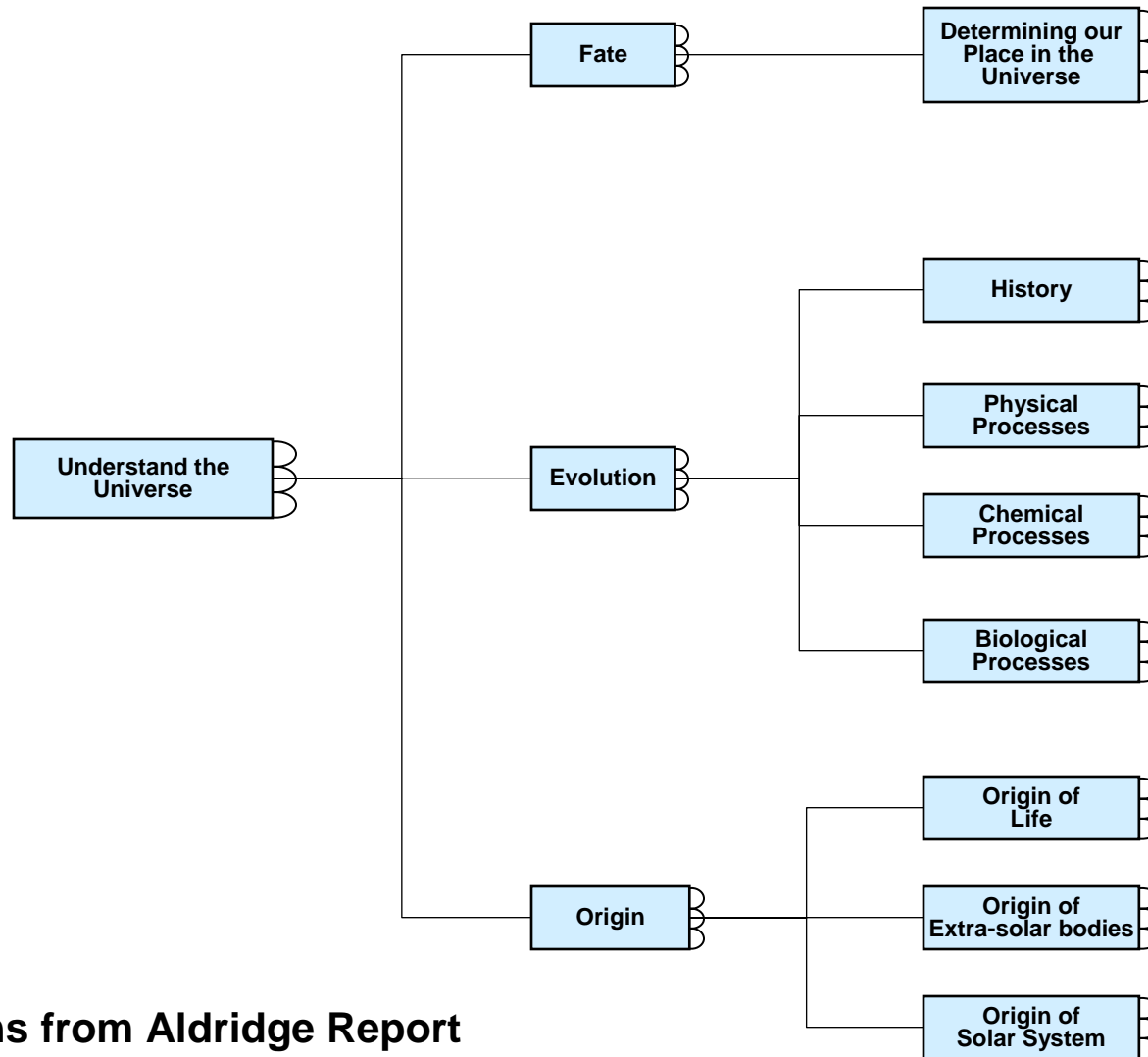
# Exploration System Stakeholders

- Addresses direct and indirect beneficiaries of space exploration activities
- Categorized into stakeholder super groups that correspond with general areas of societal impact

<b>Stakeholders Addressed</b>				
<b>Exploration</b>	<b>Science</b>	<b>Economic</b>	<b>Security</b>	<b>Public</b>
<b>Explorers, Engineers, NASA</b>	<b>Scientists, NASA, Other US agencies</b>	<b>Commercial enterprises, Other US agencies, engineers</b>	<b>DoD and Intelligence, International Partners</b>	<b>Media, Educators, Executive Branch, Congress, NASA</b>



# Scientific Needs Diagram



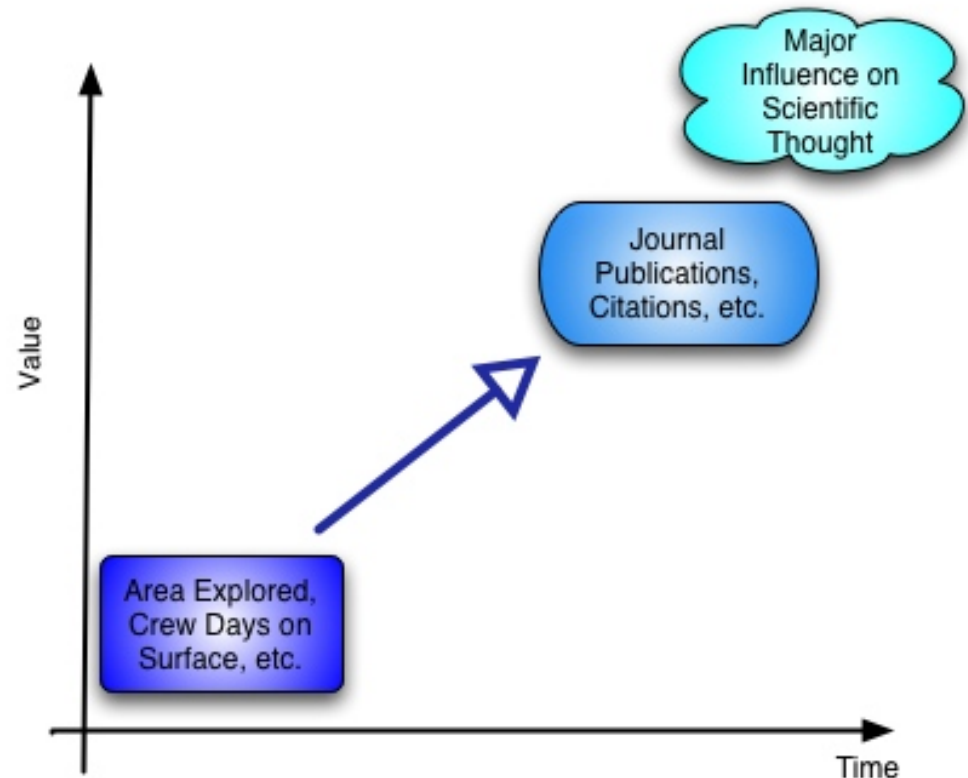
Definitions from Aldridge Report





# Value, Metrics and Proximate Measures

- Value is subjective in the eyes of the beneficiary, is hard to measure, and is often only present once the mission is completed - e.g influence on scientific thought
- Value can be characterized by indicative metrics, but these too may require mission completion - e.g publications and citation
- In order to be useful for ranking of candidate architectures, metrics must be related to *proximate measures* that are trajectory measures toward value - e.g. area explored, etc.





# Example: Explorer Value Flow to Architecture Metrics

- Master record captures individual stakeholder needs, objectives, and flow to aggregated objectives and measures
- Architecture proximate measures and indicators metrics summarize common needs within and across stakeholder categories

Mars Exploration Readiness Level 'ERL'			
Stakeholder	Need	OPM objectives	
Engineering community Engineers	Employment	To validate Mars exploration related technologies BY testing on the earth vicinity including Earth, LEO and the Moon	To pursue sustained exploration by locating and exploring in situ resources
Explorers Crew	Empowerment to explore	To increase mission participation BY providing virtual operations training	
Explorers Crew		To increase Mars operators knowledge BY performing land operations	
Explorers Scientists	Training	To increase science skills of explorers BY providing education	
Explorers Earth Operators	jobs	To provide jobs BY increasing sustainability of exploration system	
Engineers Mission Planners	operations knowledge	To increase Mars operators knowledge BY performing land operations	
Engineers Mission Architects	technology readiness	To increase ability to architect missions BY increasing awareness of technology readiness	
NASA	improved workforce	To improve workforce quality BY recruiting the top scientists and engineers	

Science Objectives			
Stakeholder	Need	OPM objectives	
Scientific community Scientists	Understanding of Universe	To increase understanding of the Universe BY providing science of exploration (video, data, images, samples)	To increase knowledge about the evolution of the solar system
	SCIENCE OBJECTIVES DETAILED ELSEWHERE		
NASA	Scientific Exploration	To increase knowledge gained from exploration BY conducting experiments	

HVE successful events (Inspiration)			
Stakeholder	Need	OPM objectives	
Congress	Stewardship of public interest - common good	To show effective stewardship public interest BY reviewing in Congressional hearings, NASA operating and exploring performance, space budget execution and cost-effectiveness	To increase and maintain high public interest and awareness
Congress	More effective Constituency representation	To provide effective constituency representation BY budgeting space program dollars to home districts	
Commercial Industry Space	Profitability	To increase profits BY increasing demand for space tourism	
Executive, President	Show Progress on space vision	To maintain progress in executing space vision BY stabilizing and modifying NASA funding and US space policy and legislation, respectively	
Executive, President	Favorable press coverage	To increase favorable press coverage BY increasing positive angle of high visibility events	
Commercial Industry Task force operators	workforce competence	To increase workforce competence and productivity BY obtaining workforce motivational events with humans in space	To increase and maintain high workforce motivation
Other / Probe DOE/	Inspire youth into science	To promote youth interest for science BY	To promote youth interest for science and

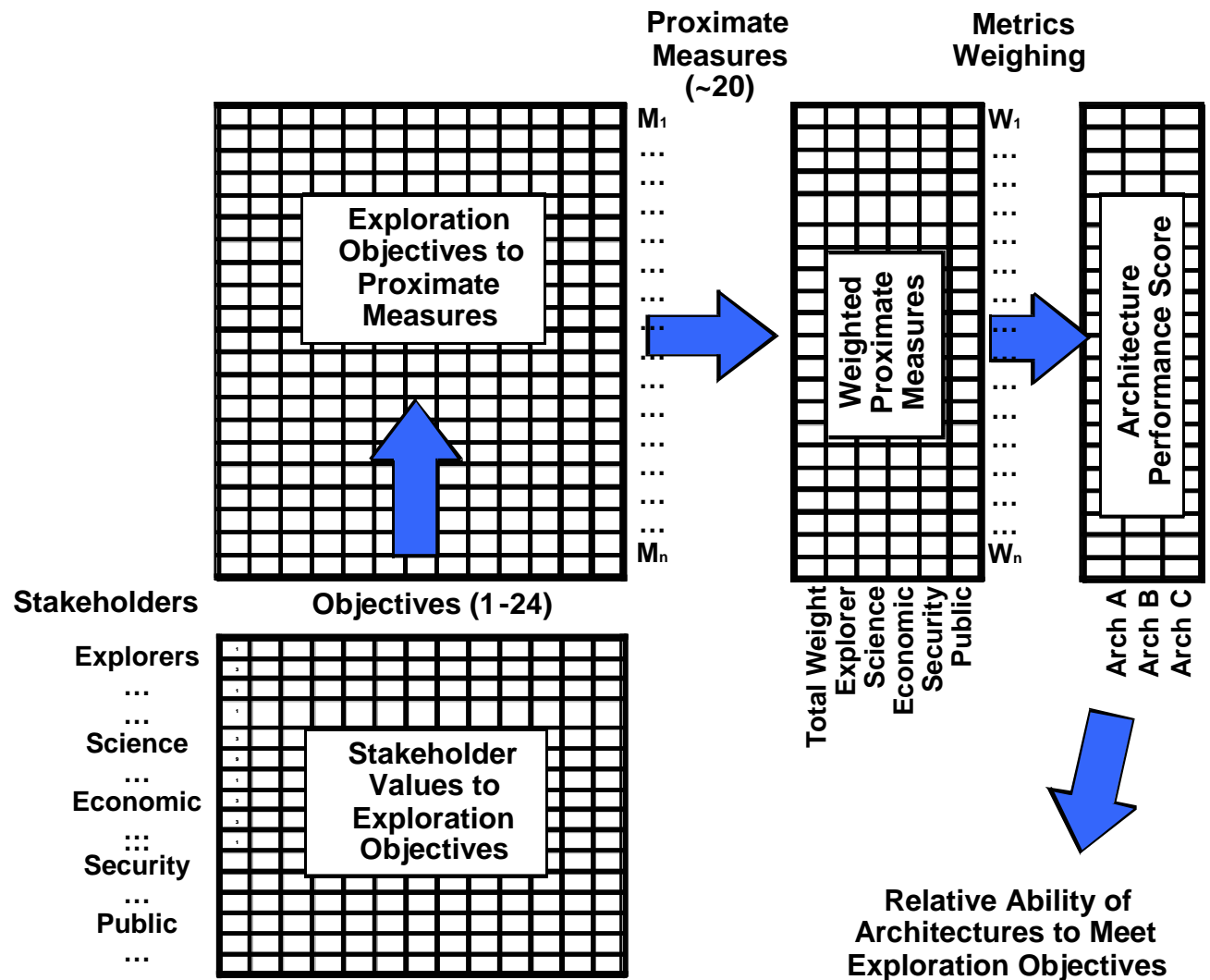
Stakeholder	Need	Objective	Aggregated Objective	Proximate Measure	Indicator Metric	
Other Agencies National Academies / NRC Public Public Public Educators Public Outreach Institutions (programs) Educators All Executive, President NASA Safety and Health Stakeholder DOD DOD Other Government Agencies Other Government Agencies Explorers Crew Explorers Crew Explorers Crew Other Government Agencies FAA NASA	Explorers Scientists	Scientific Exploration	TO Increase Knowledge gained from exploration BY conducting experiments	To increase knowledge about the solar system	Quality of Data  Amount of data	Recon and survey Spatial area of a given site that can be reached Diversity of sites Ability to temporally re-plan within mission (week to month) Ability to temporally re-plan and adapt in campaign  Exploration payload delivered to M surface Observation days for crew on surface Observation days for robots on surface
Explorers Crew				providing sufficient habitable volume and life support		
Explorers Crew	Safety			To increase safety BY limiting risk and maintaining health explorers		
Explorers Crew				To increase safety BY limiting risk and maintaining health explorers		
Other Government Agencies FAA	Air and space safety			To increase Air and space safety BY promoting Development of safety systems, and commercial access to space		
NASA	Crew Health & Safety			To improve Probability of Crew survival BY implementing Safety Efforts		





# Ranking the Objectives for Trade Studies

- Traces value from stakeholders to relative performance of individual architectures
- Multiple ranking methods produce consistent high-level ranking of objectives
- Ranking highlights:
  - Enterprise architecture
  - Policy robustness
  - High BW comm
  - Knowledge





# Sustainability: Policy Robustness

---

- **Background study on the policy robustness of major multi-year DoD programs, and the factors that make programs robust to the 1, 2 and 4 year policy cycles**
- **Initial metrics and guidelines are:**
  - **Better to *satisfy all stakeholders a minimum amount* than to satisfy a few stakeholders a great deal**
  - **Cost must be “flat” across the program**
  - **Steady cadence of successes, i.e., High Visibility Events**



# Sustainability: Risk

- Our preliminary approach to risk is Hazard-based and includes the following steps:
  - *Identify high-level hazards* for each mission phase and start tracking process
  - Assign a *severity* index to each hazard based on the potential losses to Human, Mission, Equipment, and Environment
  - Evaluate the hazard *mitigation* effect of architectural options and identify additional mitigation strategies/options
  - Compute a *relative hazard level* based on the mitigation potential of the combined architectural decisions
  - As needed, combine the relative hazard levels into a single risk/safety metric for each category (Human, Mission,...)
- Particularly well suited to unprecedented and integrated hardware/software systems



# Sustainability: Affordability

- **Developed a set of proximate metrics and indicators for affordability that allow relative ranking between architectures**
- **Development and operational cost**
  - **Hardware and transportation systems: dry mass of unique elements, wet mass, number of unique major individual elements in program**
  - **Communications and navigation system: precision, response time, gaps, availability, data volume, mass of elements**
  - **Software/Autonomy: precedentedness, criticality/reliability, complexity, timing requirements and constraints, level of ground support required**
- **Use of moon as development for Mars (commonality)**
  - **Development demonstrated on moon**
- **Programmatic risk**
  - **Decoupling of major program elements**
  - **New technology developed**
  - **Political risk of technology development (e.g. nuclear)**



# Metrics Describe Overall Exploration Sustainability

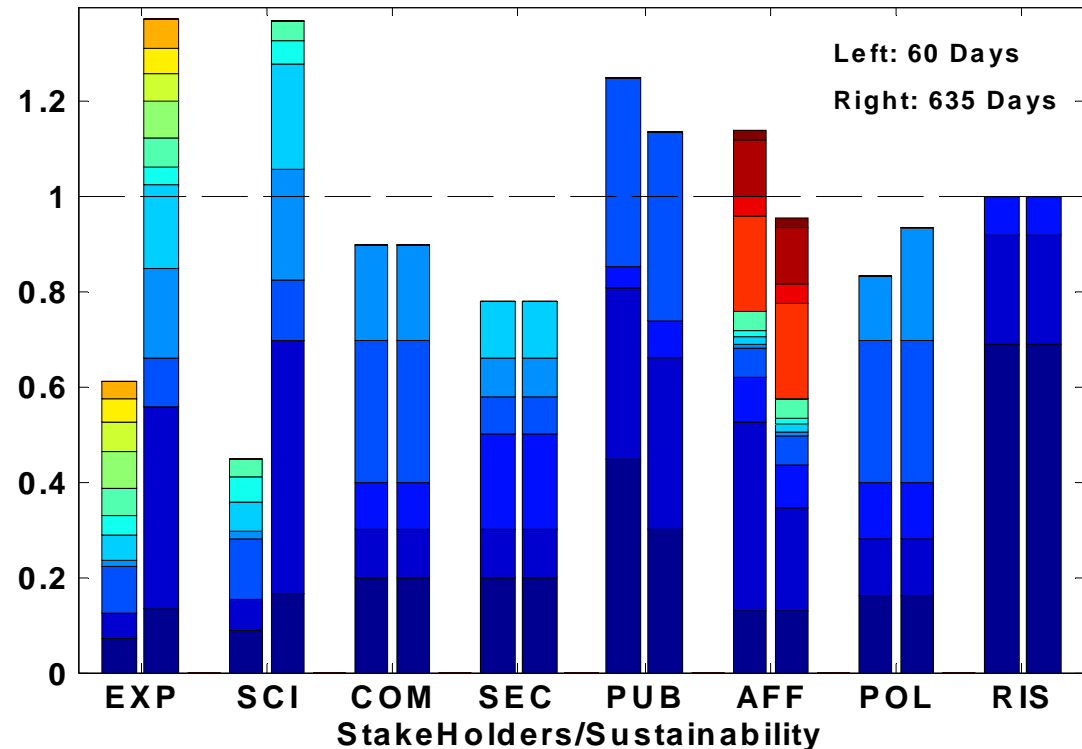
## ● Metrics Categories

- Explorers
- Science
- Commercial
- Security
- Public
- Affordability
- Policy Robustness
- Risk

## ● Outcome

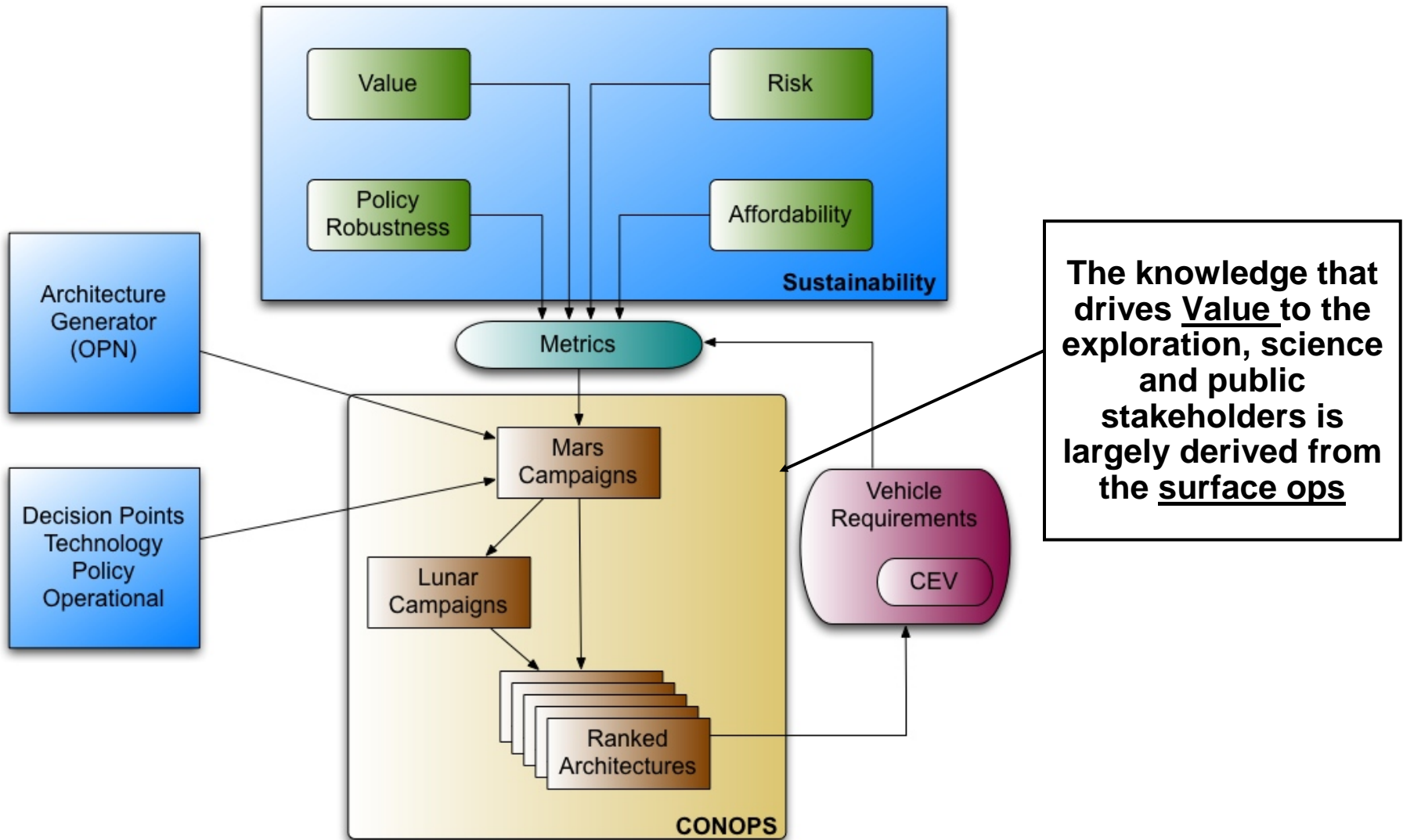
- Metrics help define relative exploration system sustainability during trade studies
- Trades among categories are subjective and ultimately up to the “decision maker”

Mars: 60 vs 635 Surface Day Missions





# From Requirements to Surface Operations







# Mars-Back™

- **Ultimate goal is sustainable human and robotic exploration of Mars**
- **Approach:**
  - **Define candidate Mars missions that produce high value**
  - **Derive lunar missions to increase Mars Exploration Readiness Level**
    - ◆ **Secondary objective maximizes lunar science and exploration goals**
  - **Develop architectures in support of Mars and moon missions**
  - **Refine architectures to deliver maximum value**



# Mars Surface Operational Architecture

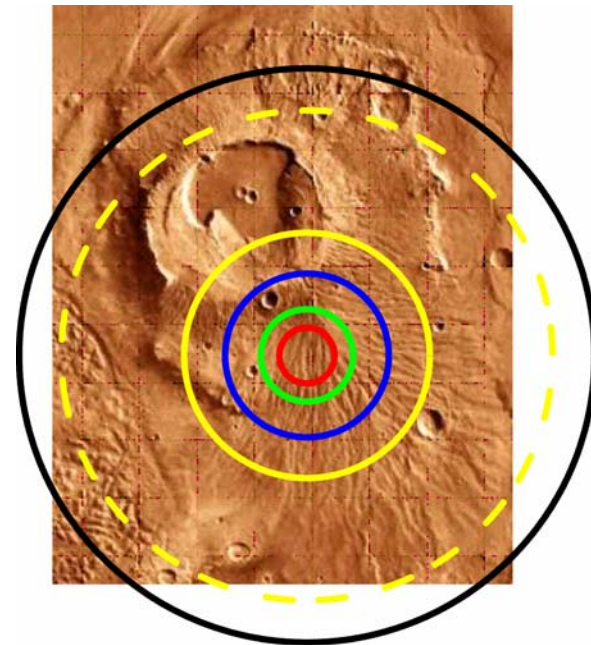
- **During Spiral B, two candidate Mars missions were studied:**
  - Short stay (~60 Surface Day Mission, ~535 day total)
  - Long stay (~600 Surface Day Mission, ~930 day total)
- **Main exploration activities will be:**
  - Sample collection, searching for water and life by surface sample collection and meter depth drilling
  - Interacting with the robots that will have been exploring the area before the arrival of humans
- **Examine architecture for:**
  - Surface mobility
  - Habitation
  - Power
  - Deferred: ISRU, exploration measurement, consumables, robotic exploration



# Surface Mobility - Mars

- **Martian topography is made up of diverse terrain including: polar caps, massive volcanoes, ice rich plains, gullies, channels, and mesas, at large length scales**
- **Examine 6 mobility architectures, each with tolerance for total failure of primary system**
  - **The pressurized rovers are sized for a traverse duration of 3 Earth days**
- **Pressurized rovers give range needed for exploration of diverse features, and loiter time far from base**

11km		Walk (2.7 km/h)
18 km		1 open rover (12 km/h)
32 km		2 open rovers (12km/h)
48km		1 press. rover + 1 open at base
96 km		1 press. rover + 1 open in tow
112 km		2 pressurized rovers (15 km/h)



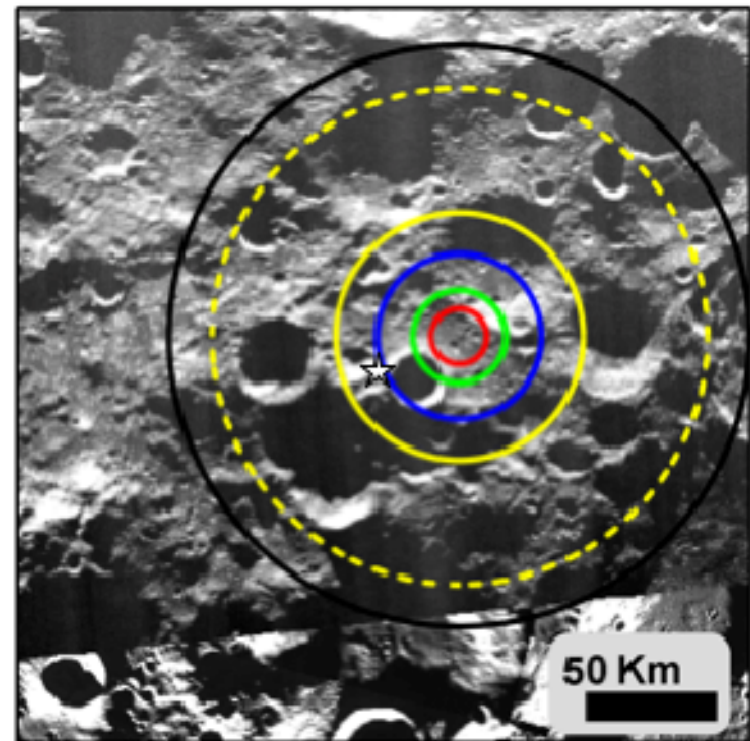
Mars - Apollinaris Patera



# Surface Mobility - Mars Back to Moon

- The moon may be considered to consist of four major units: mare, highlands, craters, and shadowed regions that may contain ice
- An area of primary lunar science interest is the poles
- MERL considerations:
  - Crater slopes on moon and Mars have comparable inclinations
  - Open rovers will give range to several craters of eternal darkness
  - Pressurized rovers can be added later to explore nearby basins
- Pressurized rover will be needed for Mars, and can be tested on moon
- Open rovers will be useful at both moon and Mars

11km		Walk (2.7 km/h)
18 km		1 open rover (12 km/h)
32 km		2 open rovers (12km/h)
48km		1 press. rover + 1 open at base
96 km		1 press. rover + 1 open in tow
112 km		2 pressurized rovers (15 km/h)

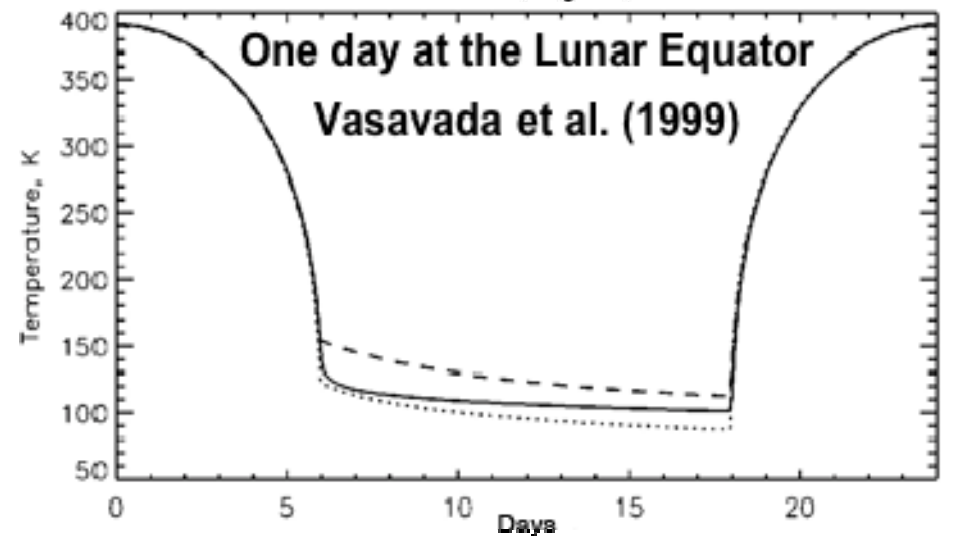
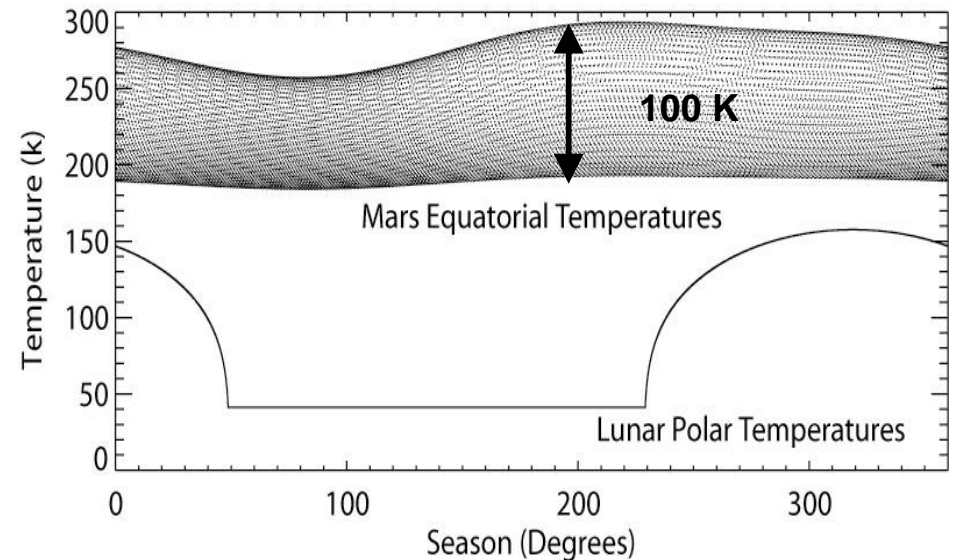


Lunar South pole ☆



# Habitation - Mars/Moon Thermal

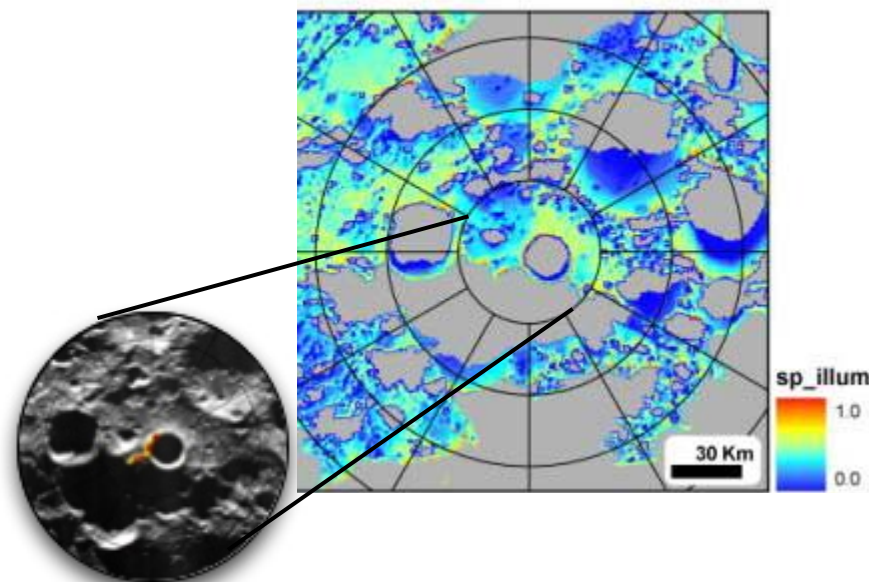
- Mars reference architecture is habitat near Martian equator, for up to 600 days: large diurnal temperature range (100K), but small seasonal temperature changes
- MERL considerations - two Lunar environments considered:
  - Lunar pole (within the 1.5° of axis tilt): no diurnal temperature changes, but large seasonal changes
  - Lunar equator: huge diurnal temperature range (300 K), but virtually no seasonal change
- During summer of up to 150 Earth days, lunar poles have temp within about 50 K, and range is about 100 K below that of Mars
- Run up to 150 day missions at lunar poles, and design for slightly harsher thermal conditions





# Power - Mars/Moon Insolation

- Mars reference architecture is solar power near equator, reduced flux, Martian day cycle from day to night
- MERL considerations - two Lunar environments considered
  - Lunar equator: 14 earth day lunar night probably precludes solar as primary option
  - Lunar pole: high topography is permanently illuminated
    - ◆ >630 m at pole, but must also consider local topography.
    - ◆ >1700 m at 89°
  - South pole has about 28 km<sup>2</sup> which pass this test (shown above in red)
  - North polar topography is everywhere too low to be illuminated in winter
- Use solar power plant in regions of eternal sunlight for lunar base





# Surface Operations Objectives - Moon

- **Primary objective of increasing Mars Exploration Readiness Level achieved by Lunar testing of Martian exploration techniques, procedures and equipment**
- **Poles operationally advantageous for long stays**
  - **Areas 1.5 degrees from the poles are permanently illuminated during the 6-month lunar summers**
  - **Sun angle is low; surface temperatures are moderated**
  - **Topographically high areas are permanently lit at South Pole**
- **Secondary objective of maximizing lunar exploration/science benefit achieved**
  - **“Craters of eternal darkness” permit the investigation of “excess” hydrogen**
  - **Geology and Aitken basin near South Pole provide numerous scientifically interesting exploration destinations**



# Lunar Campaign - **Accrete Assets**

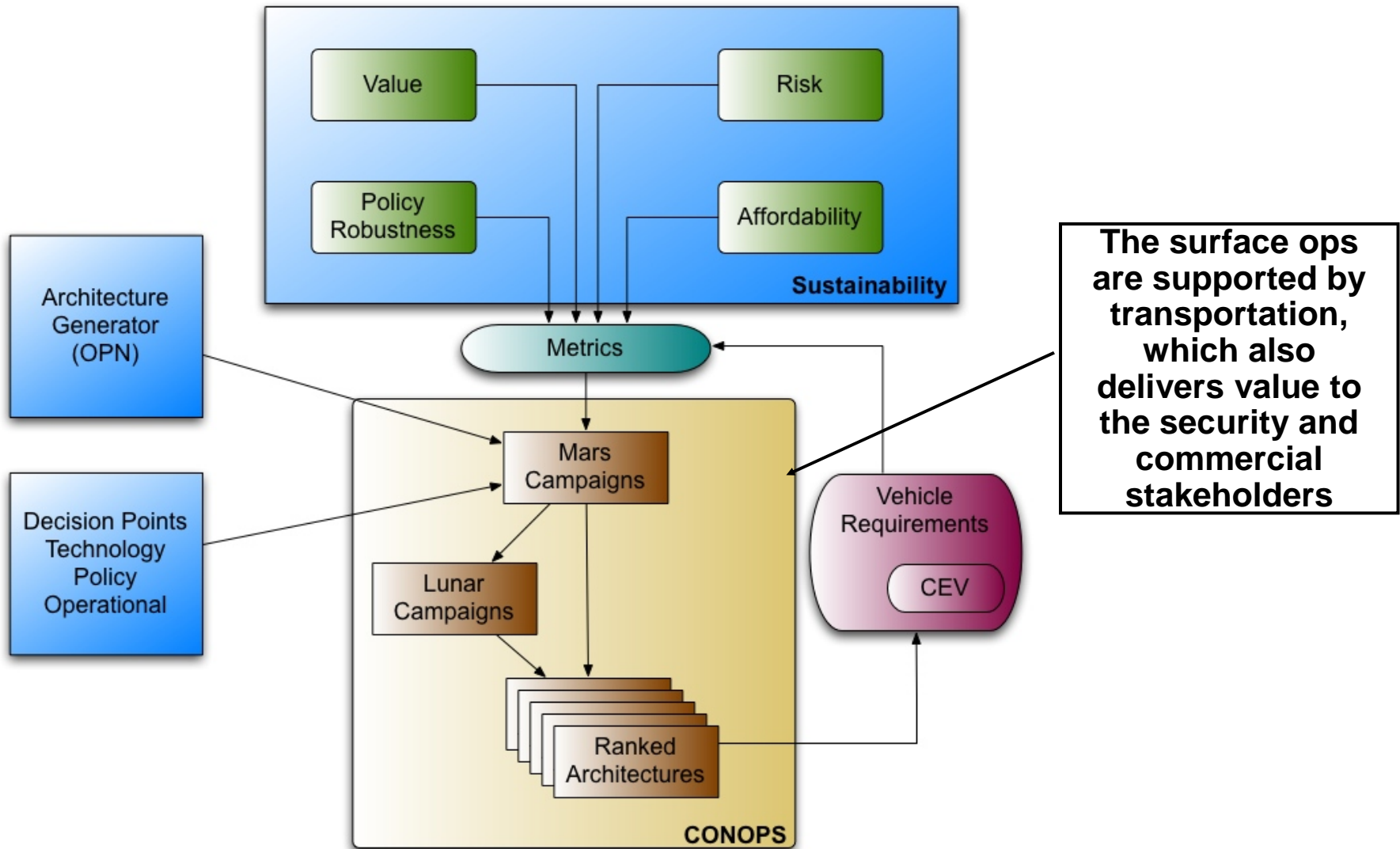
The objective of the lunar campaign is to increase the MERL Land on Equator for first mission, but quickly move on to polar region in order to start accretion of assets for long term stays

<b>Mission Description</b>	<b>Capability Acquired</b>	<b>Asset Accretion</b>	<b>Risk Retired</b>
Equatorial landing No Hab, No Rovers	Manned landing	Navigation, science, communication equipment	Precision manned landing
Polar landing No Hab, 1 Open Rover	Operations on poles	1 Open Rover, other equipment	Polar survivability/operations
Polar landing Hab, 1 Open Rover	Medium term stay, larger traversable area	1 Additional Rover, Hab	Surviving lunar day/night
Polar landing Pressurized rover, more crew (?)	Over-night excursions, larger crew support on base	Pressurized rover, additional infrastructure	Over-night stays away from base
Polar landing Power plant	Long term stay, power generation	Power plant	Power generation on surface, long duration stay on surface
Polar landing ISRU equipment	In-Situ resource utilization	Heavy machinery, ISRU plant	Surface resources utilization, operation of heavy equipment





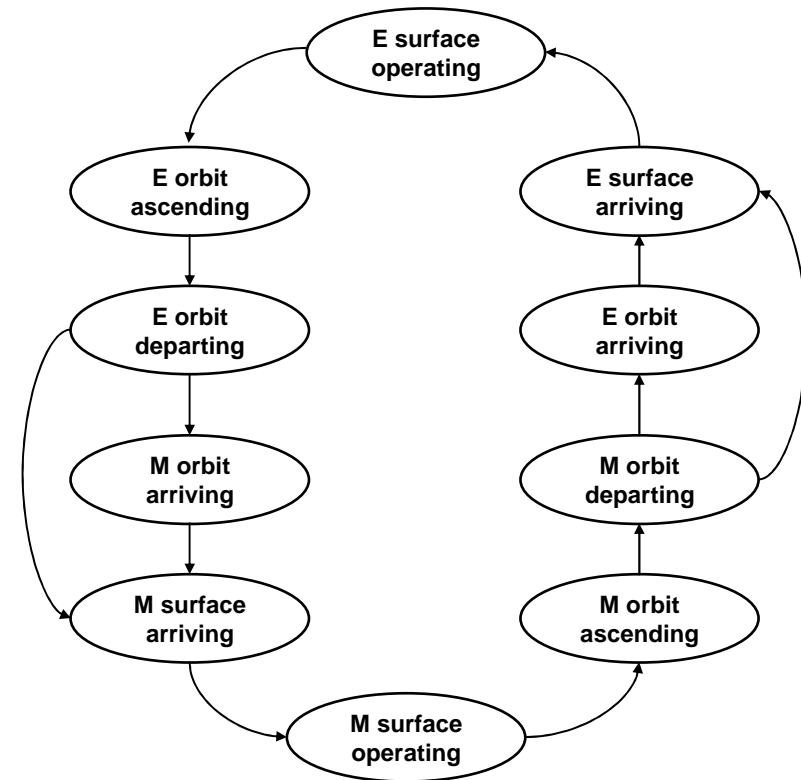
# From Requirements to Transportation





# Transportation Architecture Generator

- Systematically and comprehensively explore the space of transportation itineraries from LEO to M surface
- Created a discrete event simulator (OPN) which includes all possible operational sequences and associated hardware elements
- Simplified version produces over 600 itineraries for one M mission





# Transportation Architecture Generator

---

See associated “.mov” file

QuickTime™ and a  
Animation decompressor  
are needed to see this picture.



# Technology/Policy/Operational Branches

- **Technology**

- ISRU
- Aerocapture
- Nuclear Thermal Rockets
- Solar/Nuclear Electric Propulsion
- Nuclear surface power
- Level of autonomy
- Highly Elliptical Orbital Rendezvous
- Rendezvous in transit
- Artificial gravity
- High-closure ECLSS (H<sub>2</sub>O, O<sub>2</sub>)
- Low boil-off propellant storage
- In-space propellant transfer

- **Policy/operational**

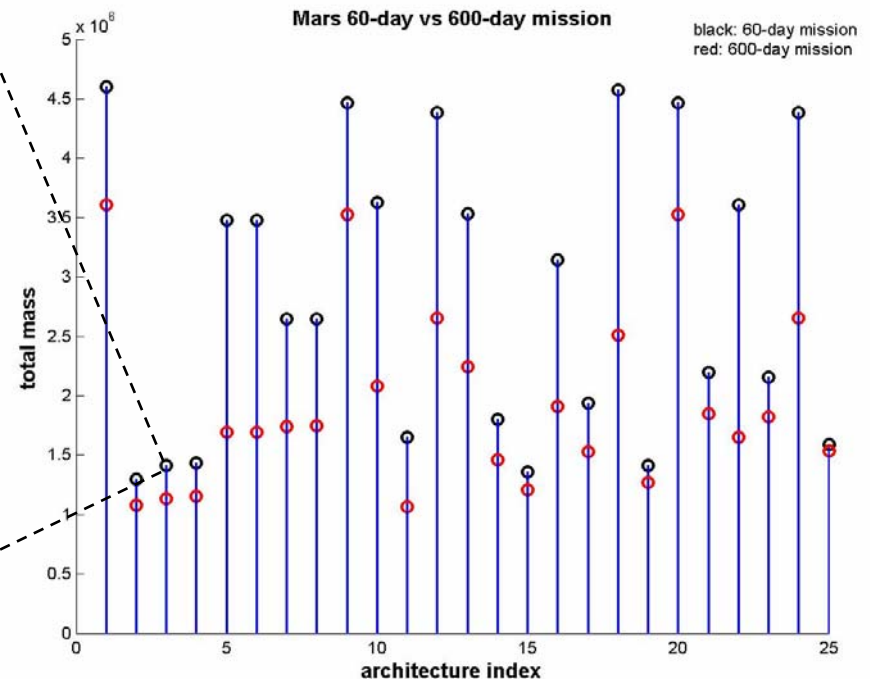
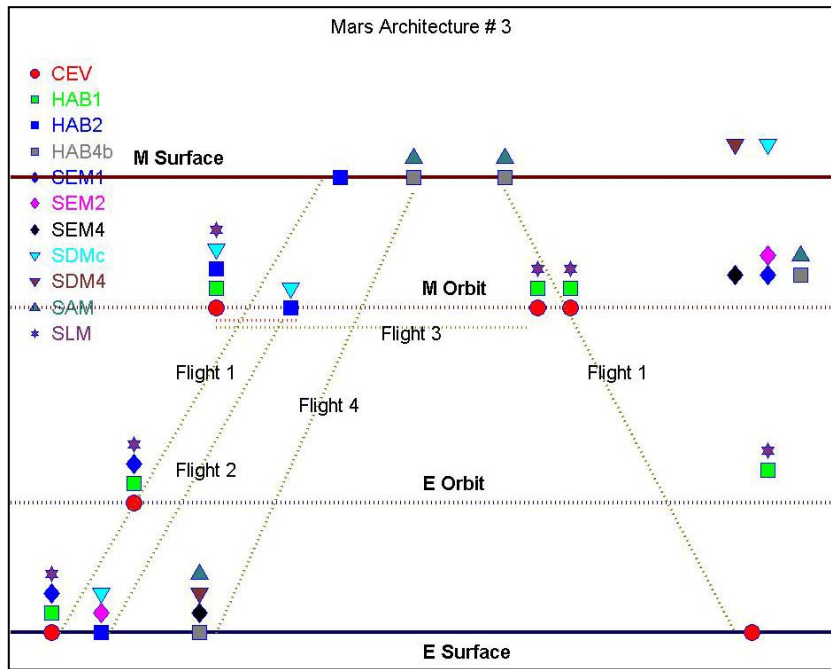
- HLLV (yes/no, size)
- Level of abort options
- Nuclear (yes/no)
- Free-return trajectory (yes/no)
- Initial Mars mission duration (short/long)
- De-investing in the moon
- Level of international involvement
- Level of commercial involvement

**For each itinerary, there are technical level trades, and technology/policy/operational decision branches that are set**



# Architecture Screening

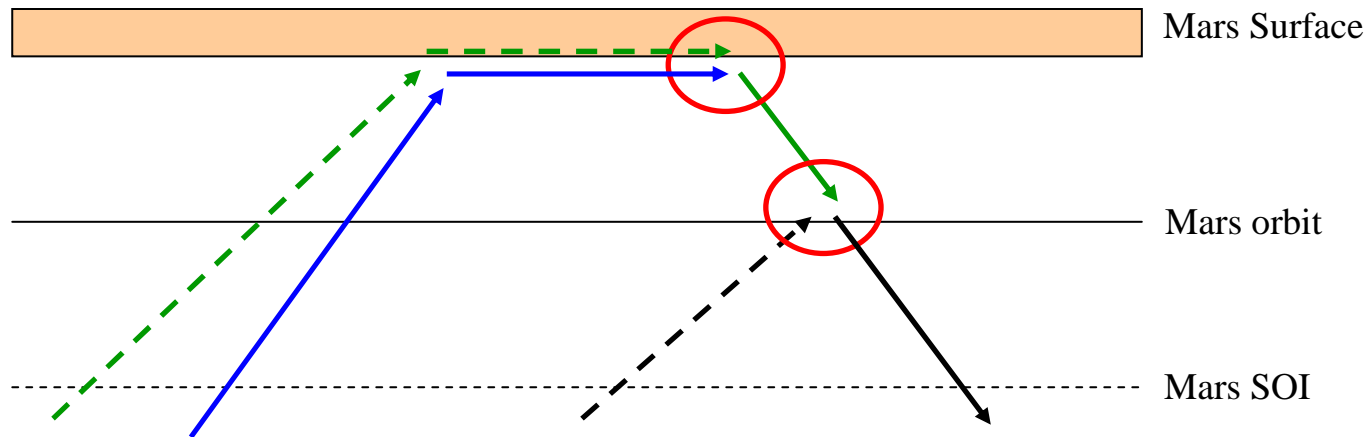
- For over 600 itineraries, and fixed technology/operational decisions, optimization determines best mix of sub-system technologies
- Automated evaluation and visualization tools developed to screen and analyze results, ranked by IMLEO as primary metric for cost



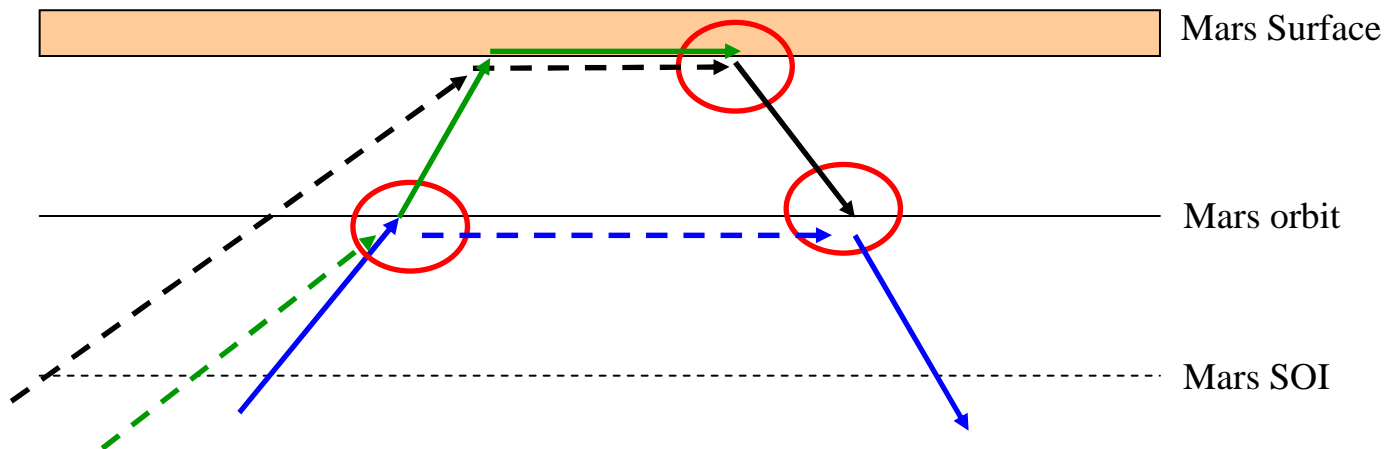


# Mars Trade Baseline Examples

*Arch 2: NASA JSC Mars Design Reference Mission*

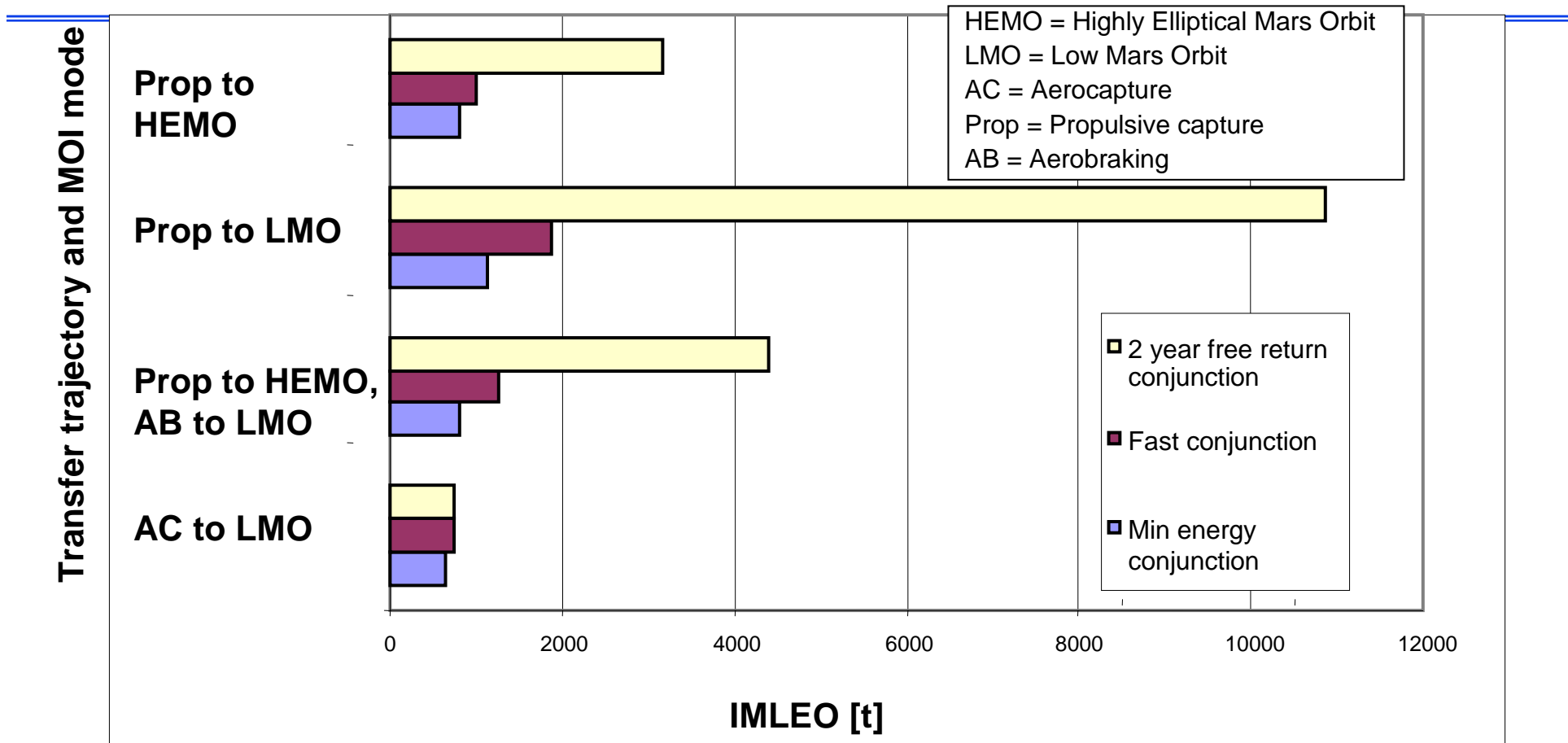


*Arch 3: DRM/Apollo Blend*





# Technology/Transit/Insertion Operational Options



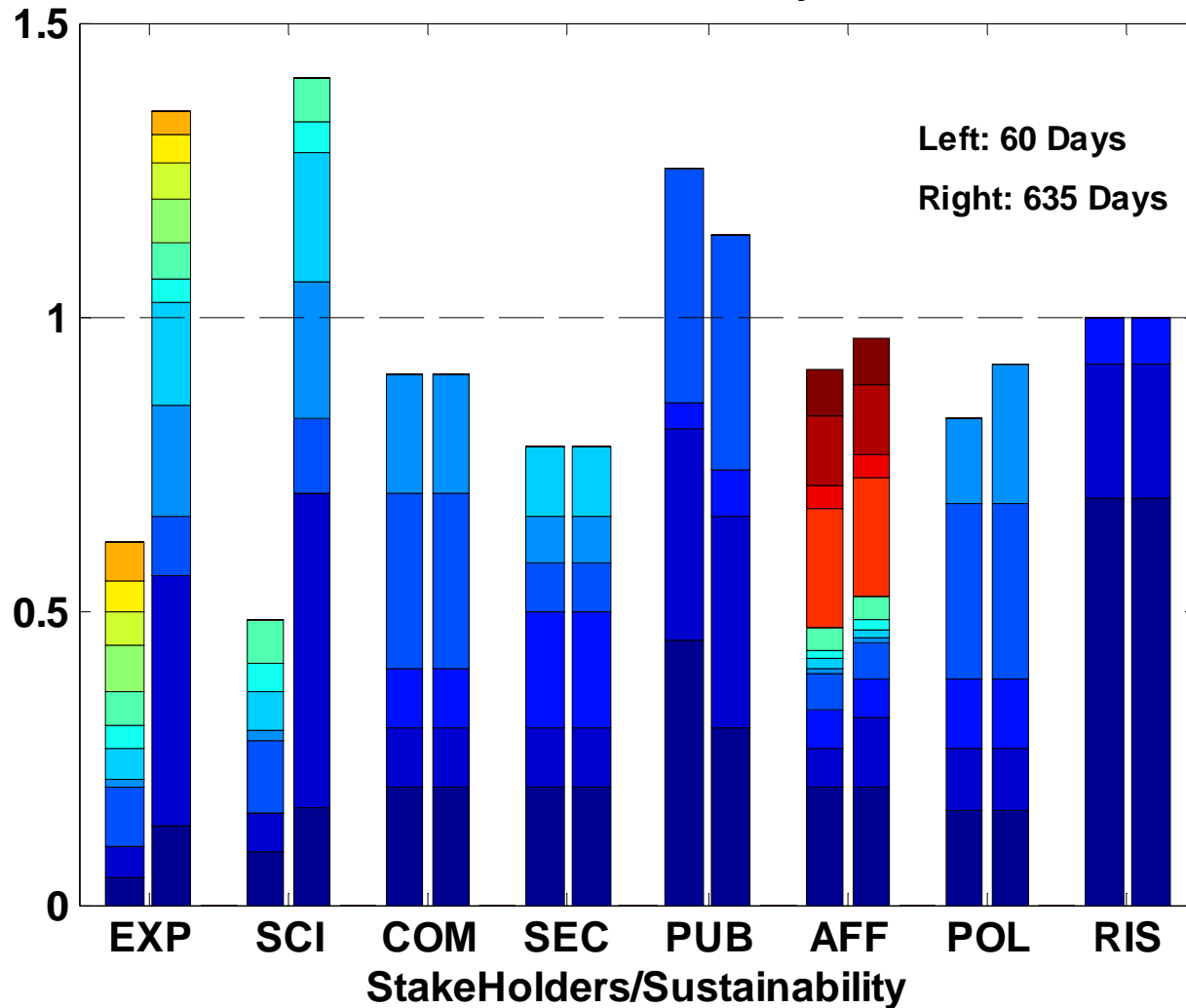
To keep IMLEO below 1000t requires:

Aerocapture, which supports both abort to orbit and free return, or Rendezvous in a Highly Elliptical Mars Orbit (HEMO), which supports abort to orbit, but not free return



# Short vs. Long Mars Mission Metrics

Mars: 60 vs 635 Surface Day Missions



- Mars 60 Day
  - Higher value for Public Stakeholder due to occurrence of high visibility event
  - Lower Policy Robustness metric due to low benefit to Scientific stakeholder
- Mars 635 Day
  - Longer surface stay results in significantly higher benefits to Explorer and Scientific stakeholders
  - Higher Affordability metric due to significantly less mass in LEO





# Commonality and Modularity of Propulsion Systems

## 2 Approaches:

1. Design for Mars, reuse hardware for lunar missions

- **Pros:**
  - Mars requirements are accommodated exactly
  - Design of hardware elements spread out over longer time
- **Cons:**
  - Necessitates design of more hardware elements

2. Design for moon and Mars, modularize propellant tanks, structure and engines on the subsystem level

- **Pros:**
  - Necessitates less hardware design
  - Economies of scale
- **Cons:**
  - Higher development risk (complex modular propulsion stage)

6 %

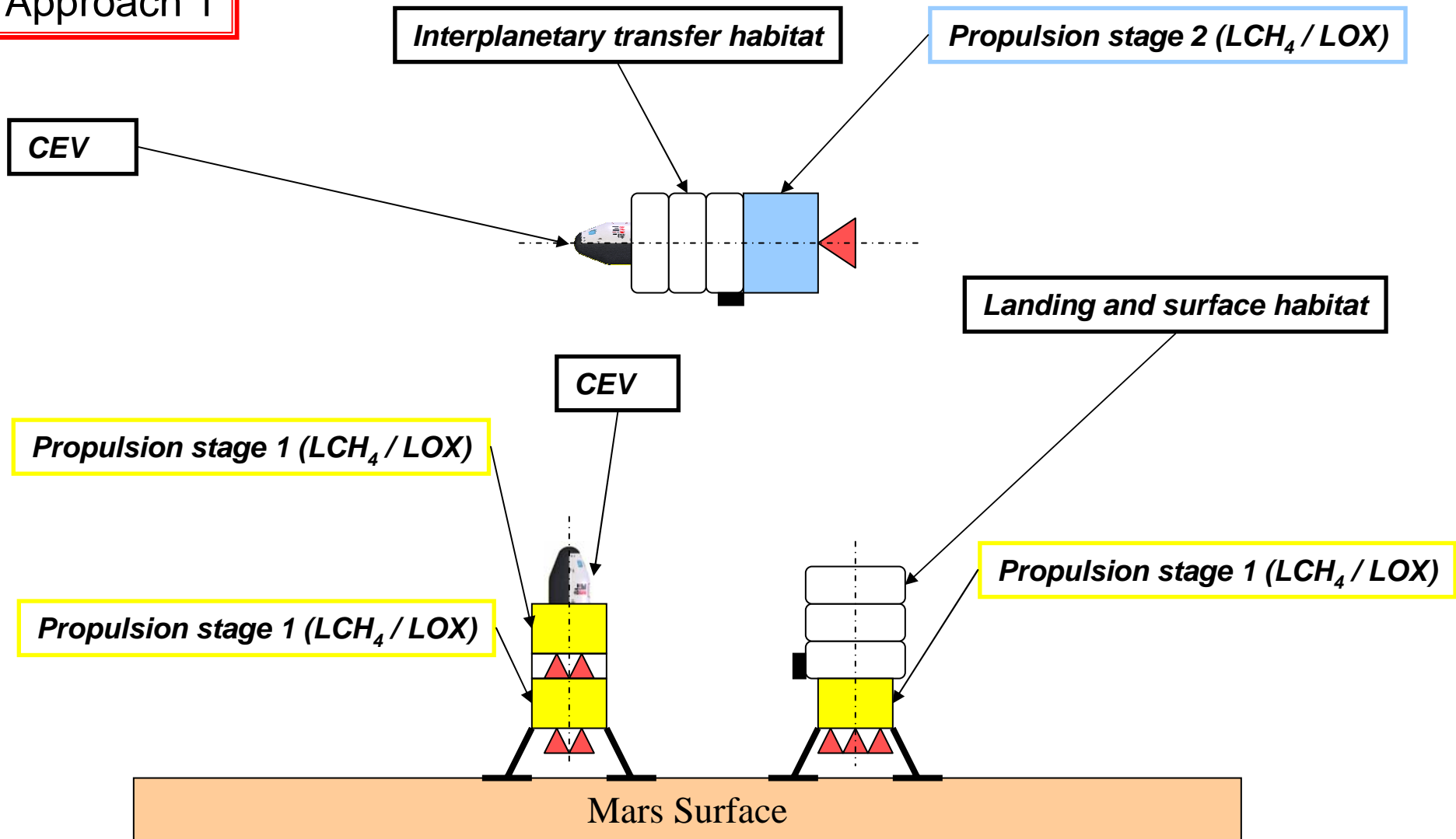
Mass overhead due to commonality / modularization (preliminary)

10 %



# Long Mars Mission Hardware (Architecture 3)

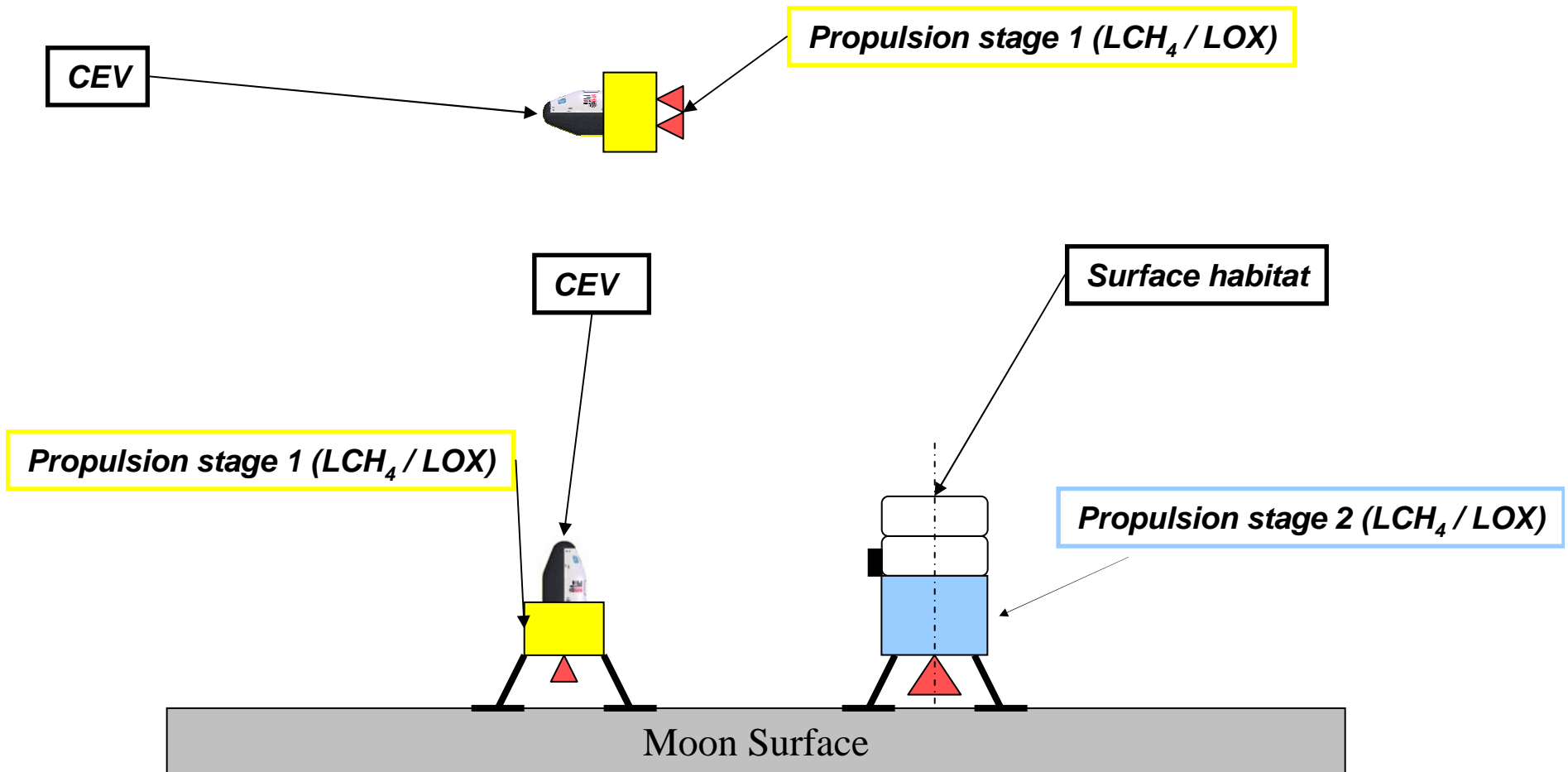
Approach 1





# Lunar variant (180 day surface stay)

## Approach 1

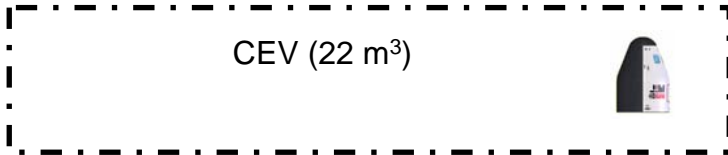




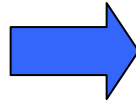
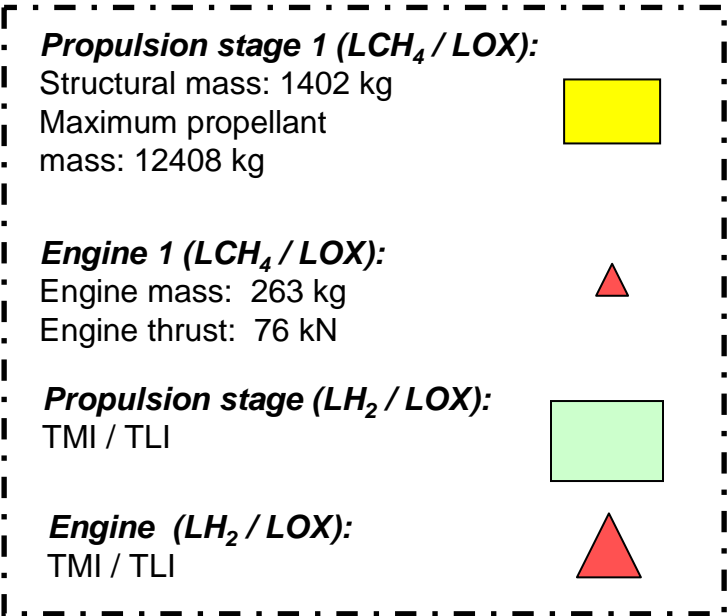
# Notional Hardware Development Roadmap

## Approach 1

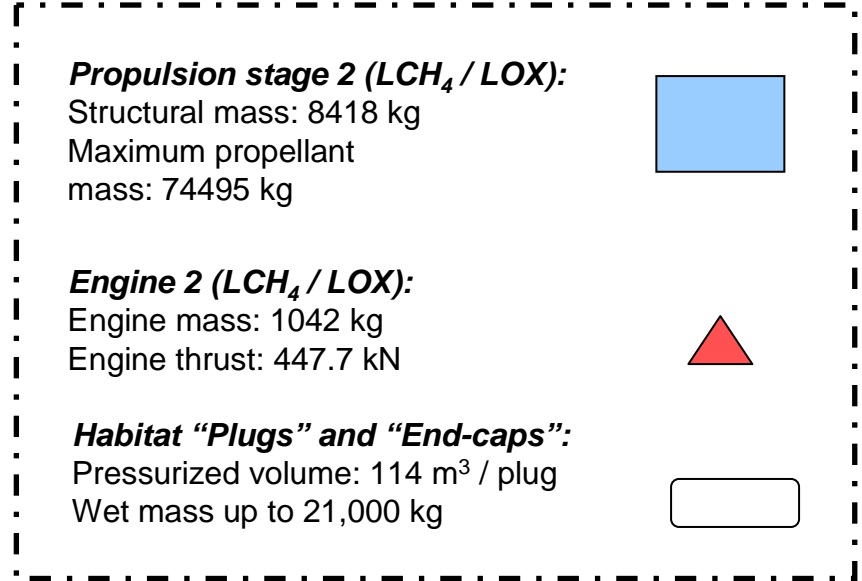
### LEO Mission Hardware (Spiral 1)



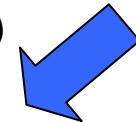
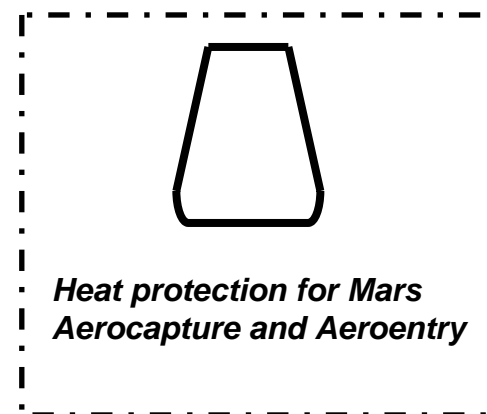
### Short Moon Mission Hardware (Spiral 2)



### Long Moon Mission Hardware (Spiral 3)



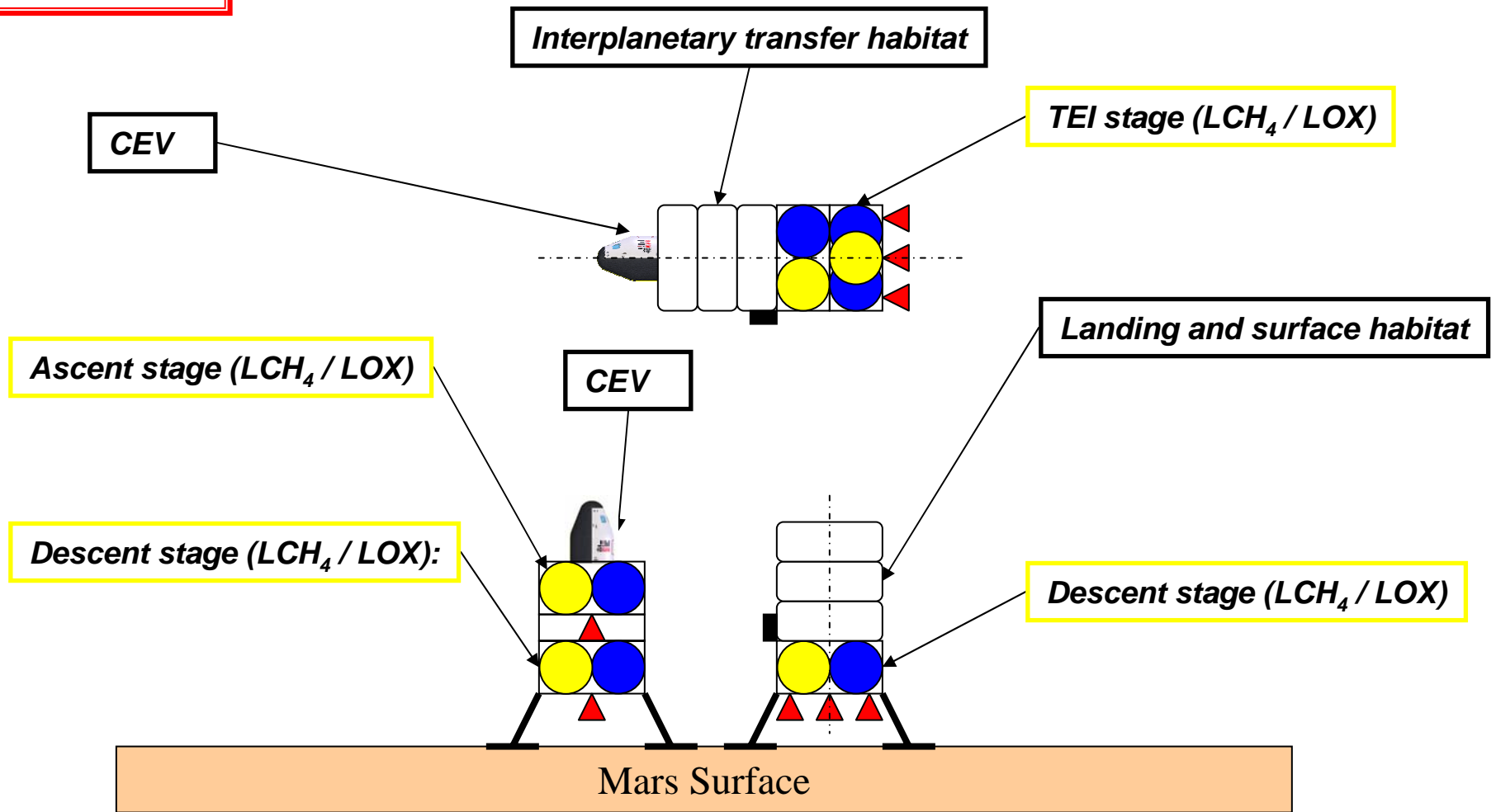
### Mars Mission Hardware (Spiral 4+)





# Long Mars Mission (Architecture 3)

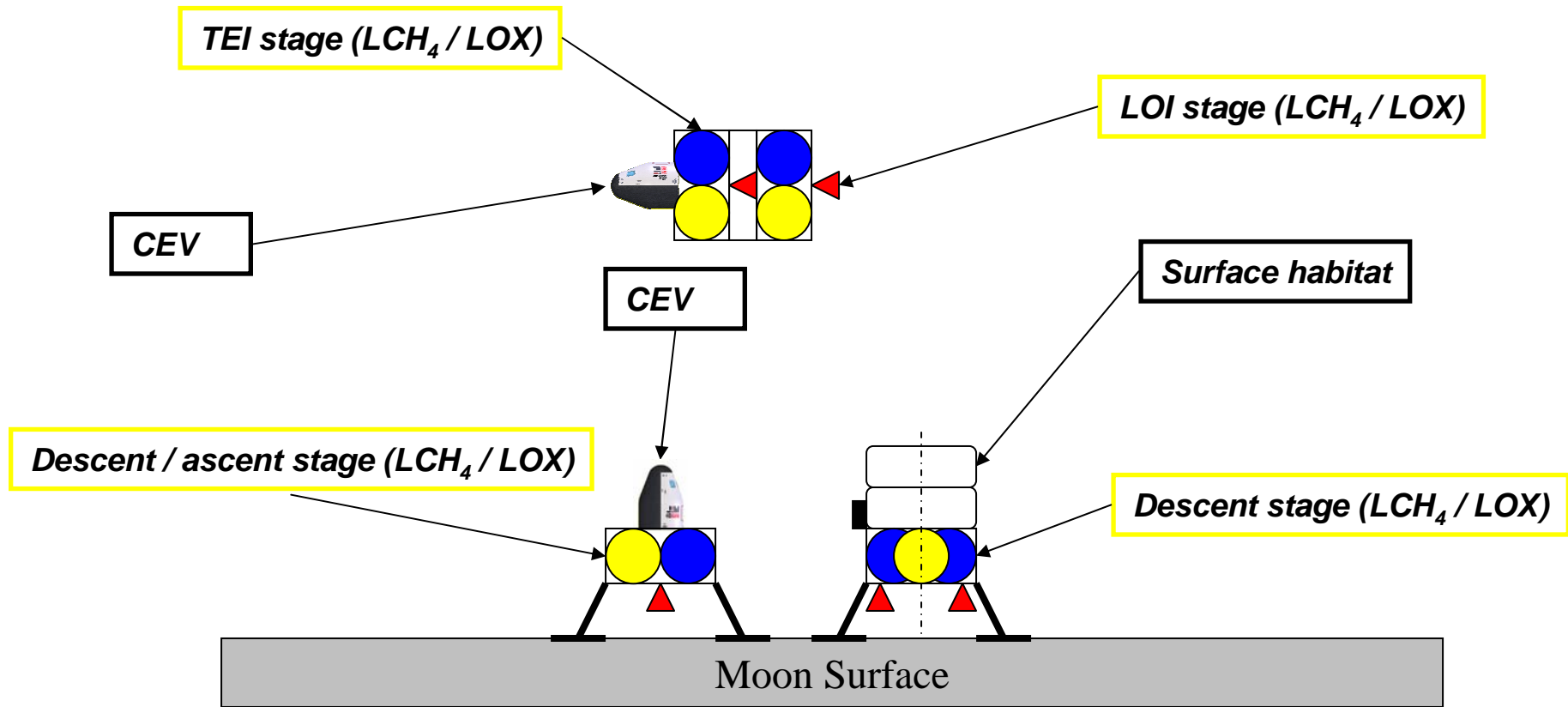
## Approach 2





# Lunar Variant (180 Day Surface Stay)

## Approach 2

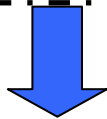
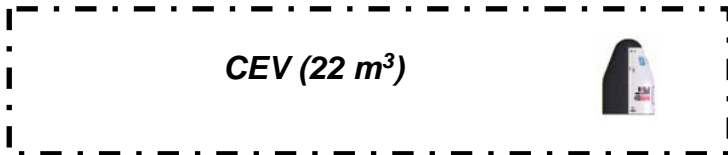




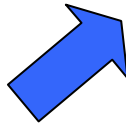
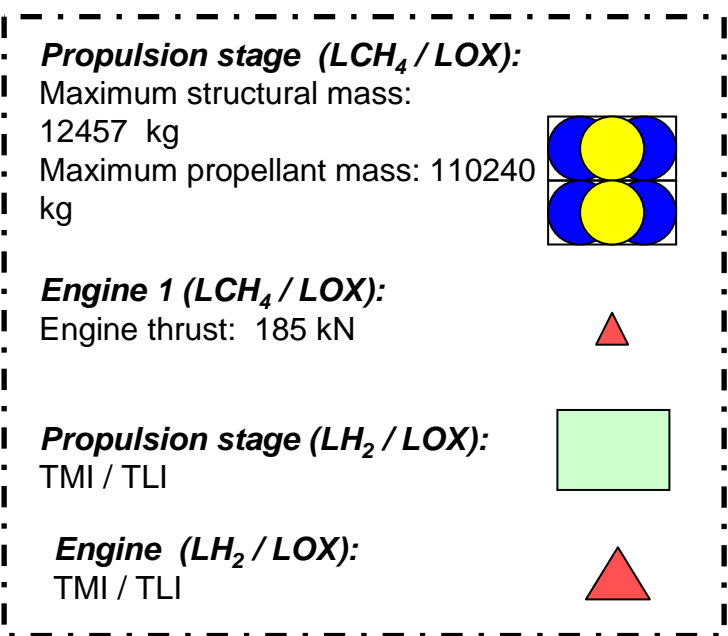
# Notional Hardware Development Roadmap

## Approach 2

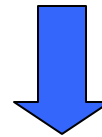
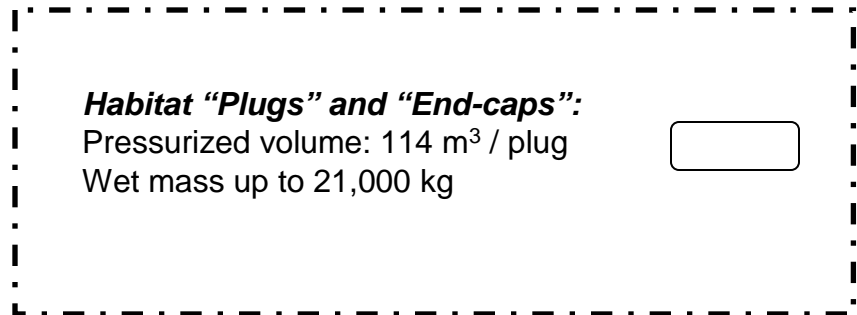
### LEO Mission Hardware (Spiral 1)



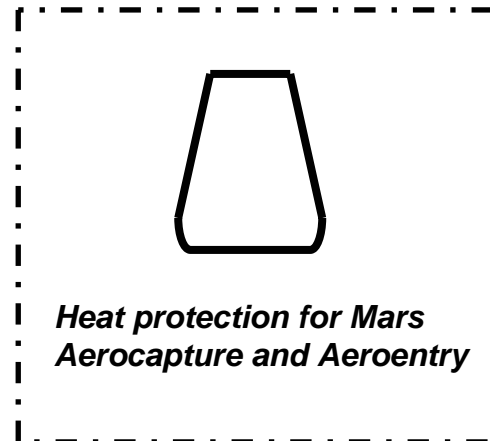
### Short Moon Mission Hardware (Spiral 2)



### Long Moon Mission Hardware (Spiral 3)



### Mars Mission Hardware (Spiral 4+)



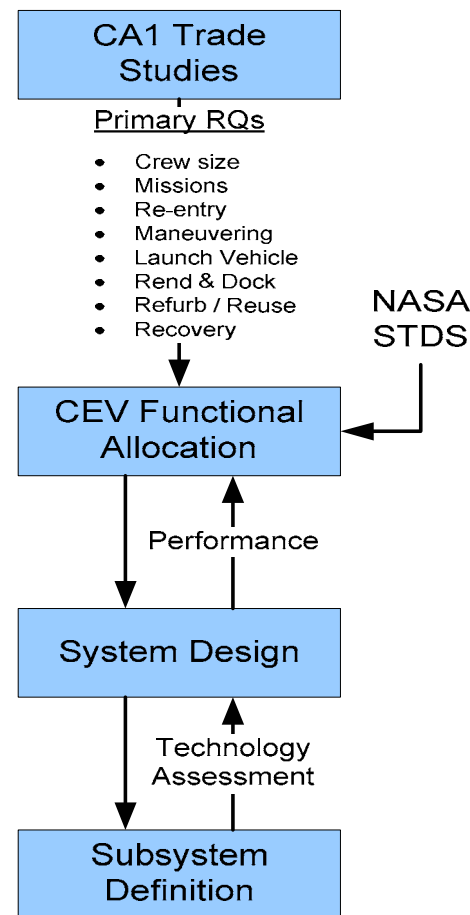
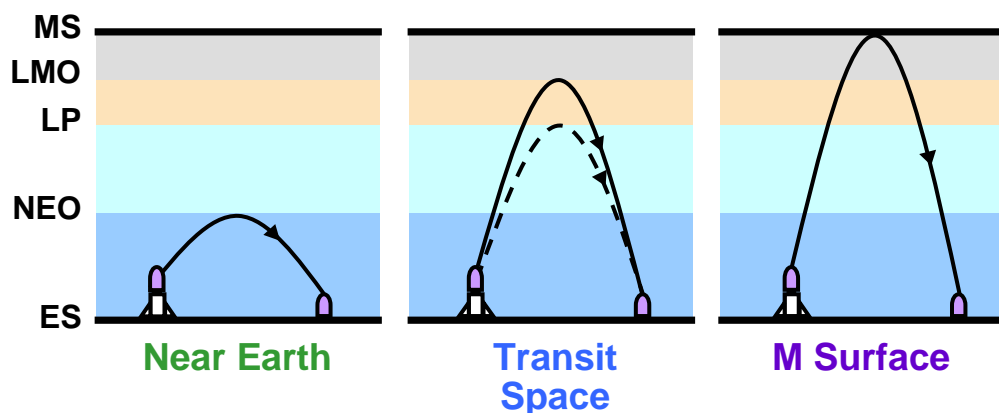


# Requirements Flow-Down to CEV

- **Primary CEV allocation from CA1 architectures, currently carrying RQs for multiple destinations**

Near Earth	Remains in Earth vicinity
Transit Space	Orbits in destination vicinity
M Surface	Achieves destination surface

- **CEV design trade studies lead to subsystem definition**
  - Performance and technology assessment feedback to CEV RQs
- **Established DOORS database with CEV RQs and links to trade studies**

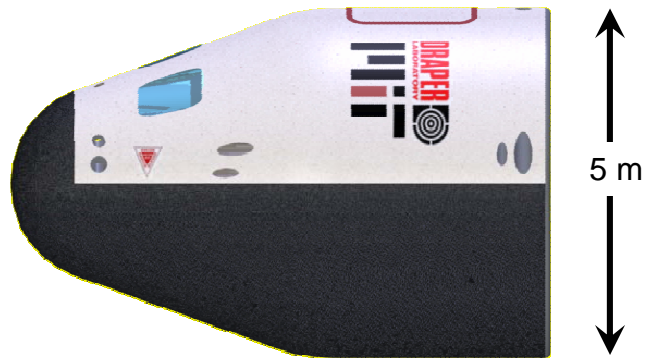






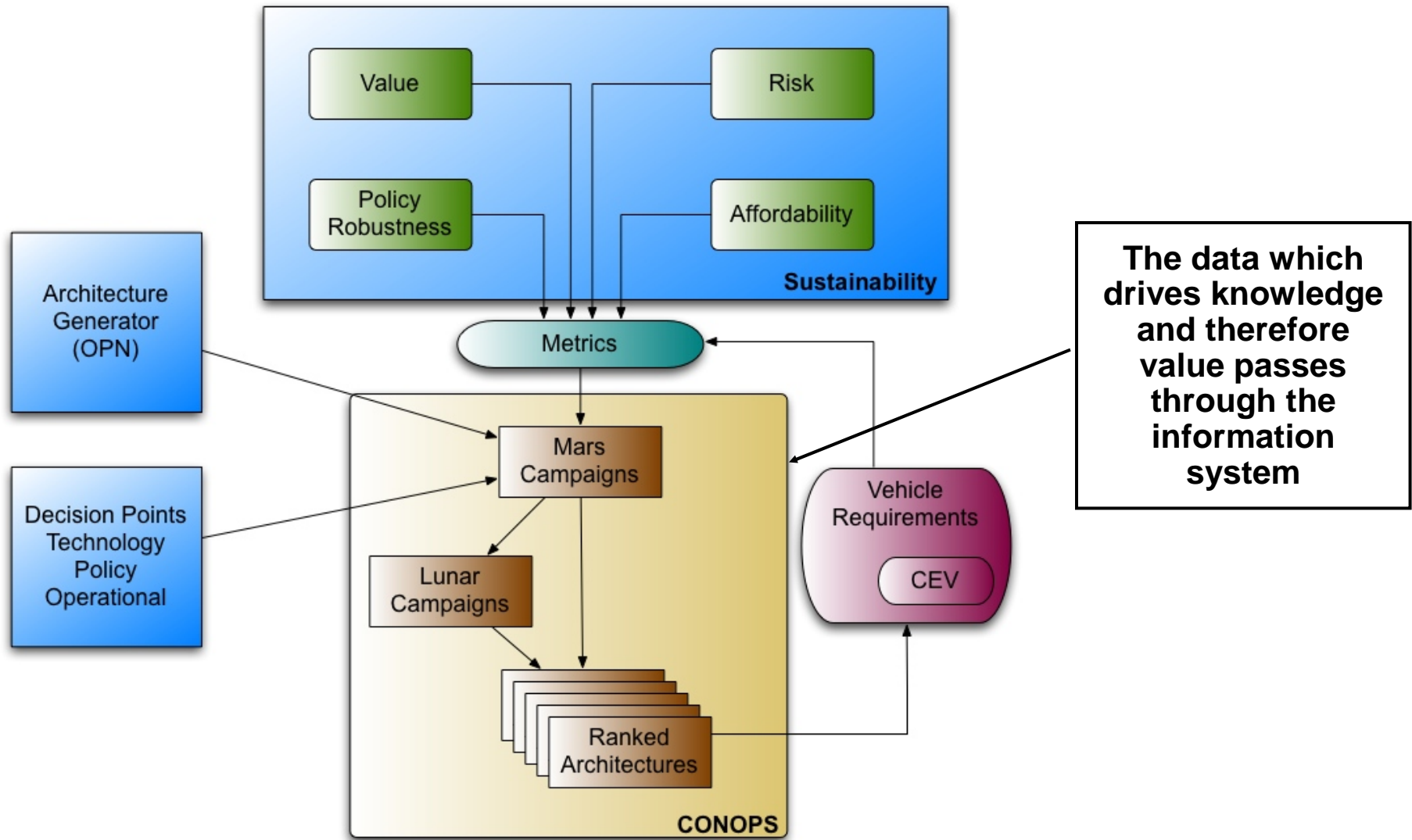
# Baseline CEV Concept

Crew Exploration Vehicle Details	
Nominal Crew	4
Endurance	14 days x 4 crew
Pressurized Volume	60 m <sup>3</sup>
Habitable Volume	20-30 m <sup>3</sup>
Power (average)	5 kW
L/D (bi-conic)	0.6
Total (dry)	7,500 kg
Propellant	600 kg
Total (wet)	8,100 kg



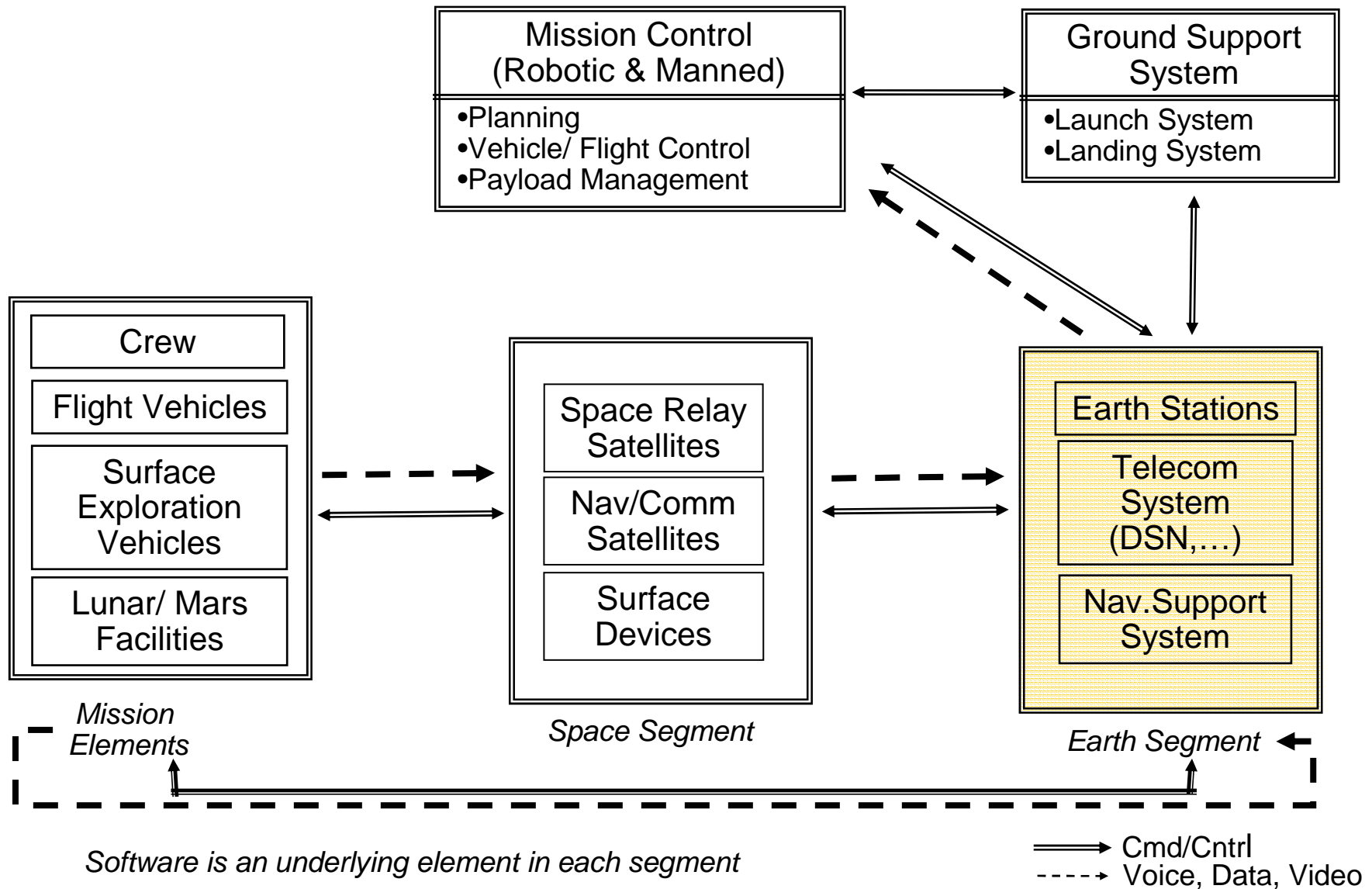


# From Requirements to Information System





# Information Architecture and Management





# Nav/Comm Metrics

Used three different indicator metrics to rank candidate nav/comm architectures:

- Navigation - **Mean Response Time** (MRT): Average time user must wait to obtain good position estimate (defined by  $DOP < 5$ ). DOP is the quality of user-satellites geometry
- Communication - **Comm Metric** (CM) is the weighed sum of availability, gap time between contacts, and data volume/time/Watt
- Mass - Total **Mass** of satellites in orbit



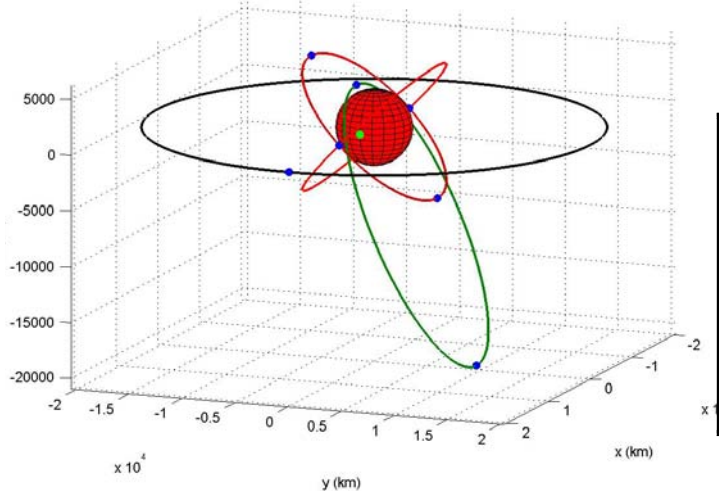
# Analysis of Representative Architectures (Mars)

Name	Class	# Sats
Mars1	Onboard	-
Mars2a	Circular	1 (+1L4)
Mars2b		2 (+1L4)
Mars2c		4 (+1L4)
Mars3a	Elliptic	2
Mars3b		4
Mars4	Stationary	1
Mars5	Hybrid	2C+2E
Mars6		3E+1S
Mars7		3C+1S

	Circular	Elliptic	Stat.	Hybrid
<i>Equatorial</i>	Mars2b	Mars3b	Mars4	Mars6
Nav	Red	Red	Red	Yellow
Comm	Red	Yellow	Yellow	Green
Mass	Green	Yellow	Green	Yellow

	<i>Mid-latitude</i>			
Nav	Red	Green	Red	Green
Comm	Red	Yellow	Yellow	Yellow
Mass	Green	Yellow	Green	Yellow

Navsats trajectories in inertial reference frame



### Color Code:

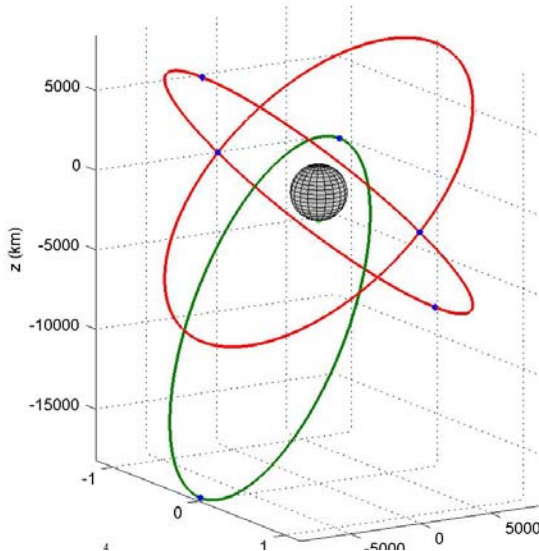
Nav	MRT=0 (Realtime)	MRT<~1min (but no realtime)	1min<MRT<1 h	MRT>1h
Comm	CM 5	4≤CM≤5	3≤CM≤4	CM≤2
Mass	M<150kg	150<M<300	300<M<400	M>400



# Analysis of Representative Architectures (Moon/Mars-back)

Name	Class	# sats
Moon1	Onboard	-
Moon2a	Circular	1
Moon2b		4
Moon3a	Elliptic	4
Moon3b		6
Moon4a	L1	1
Moon4b		4
Moon4c		6
Moon5	Hybrid	3C+3E
Moon6		4E+2L1

Navsats trajectories in inertial reference frame

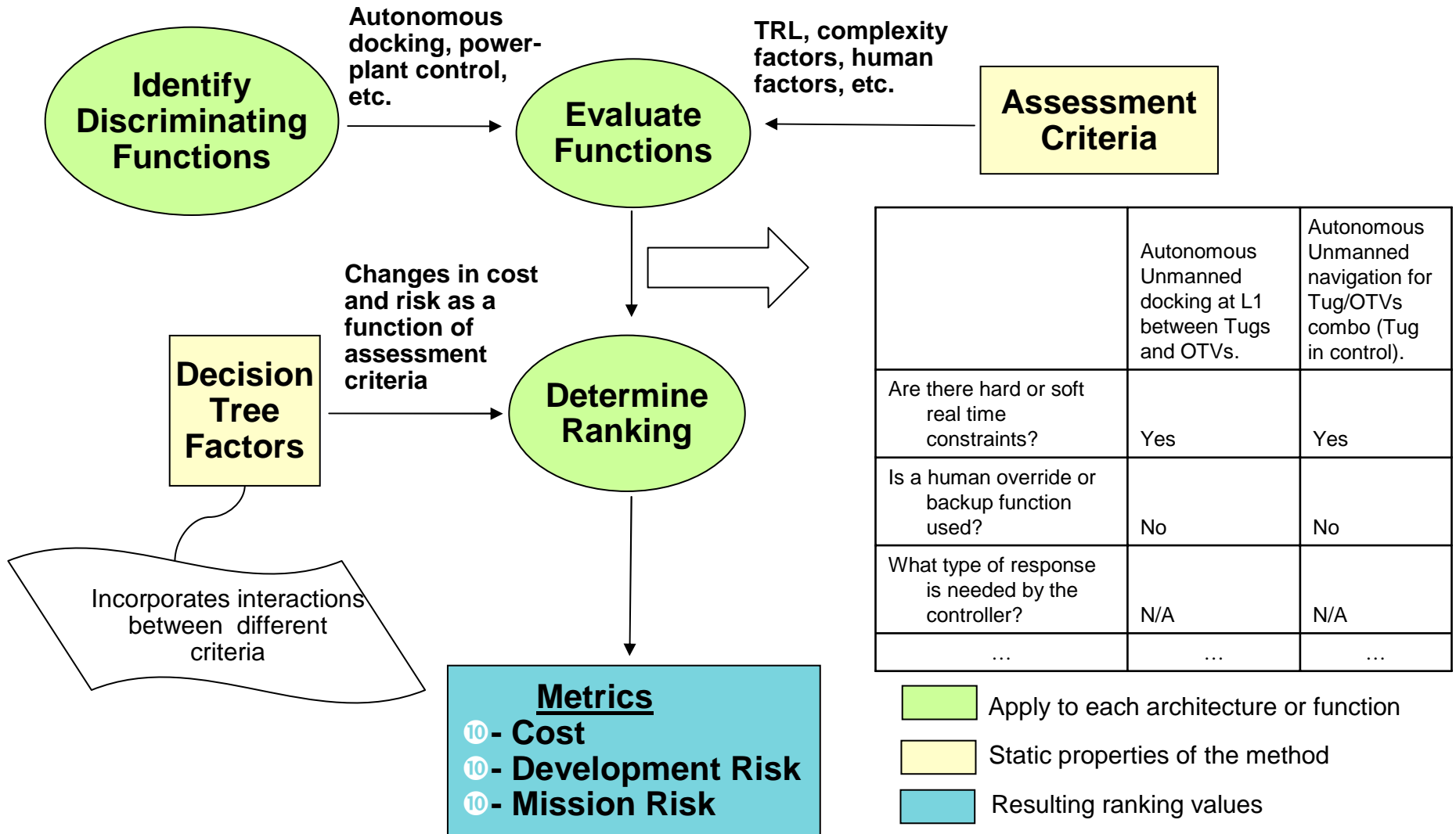


South Pole	Circular	Elliptic	L1	Hybrid
	Moon2b	Moon3a	Moon4b	Moon6
Nav	Yellow	Light Green	Yellow	Green
Comm	Yellow	Green	Yellow	Green
Mass	Yellow	Light Green	Light Green	Red

- Elliptic orbits provide the best combined performance.
- Allows testing of elliptic component of Mars nav/comm architecture during lunar operations.



# Software Tools and Metrics





# Summary

---

- **A *sustainable* exploration program must focus on delivering value throughout its lifetime to all stakeholders**
  - We must deliver value, and make all the stakeholders aware that we are delivering value
- **A Mars-back focus should be maintained throughout the architecture and mission development process**
  - Increasing credible evidence that design of the system for Mars, and progressive development and deployment on the moon, will only cause minor to modest “suboptimality” for the moon





# Summary - Rationale for Mars via Moon

---

- **Crew must arrive on Mars the first time with a wide variety of assets fully operational: landers, rovers, habitats, power, etc.**
- **Using moon as development test bed has many systematic advantages:**
  - **Moon exploration will provide value to many external stakeholders, including scientific, security, commercial and public**
  - **Can progressively deploy hardware classes to the moon, compatible with available funding, supporting affordability**
  - **The long preparation time for Mars direct will not yield a string of high visibility events - not policy robust**
  - **In the event of significant malfunction, crew can be returned from the moon on flexible schedule and quickly, with significant impact on risk**



# Future Efforts

- **Exhaustively examine architectures for surface operations, transportation and information/SW systems, and identify likely system of systems**
- **Identify key technology/policy/operational decision points, and quantify the impact of the decision on sustainability**
- **Project requirements from diverse architectures onto CEV to determine requirement robustness**
- **Systematically examine value delivery system, enterprise architecture based on lean models, and policy robustness of exploration**

**We want to work with you!**