



# Double-Beta Decay and the Neutrino

**Steve Elliott**



July 11, 2005

Steve Elliott

# Outline

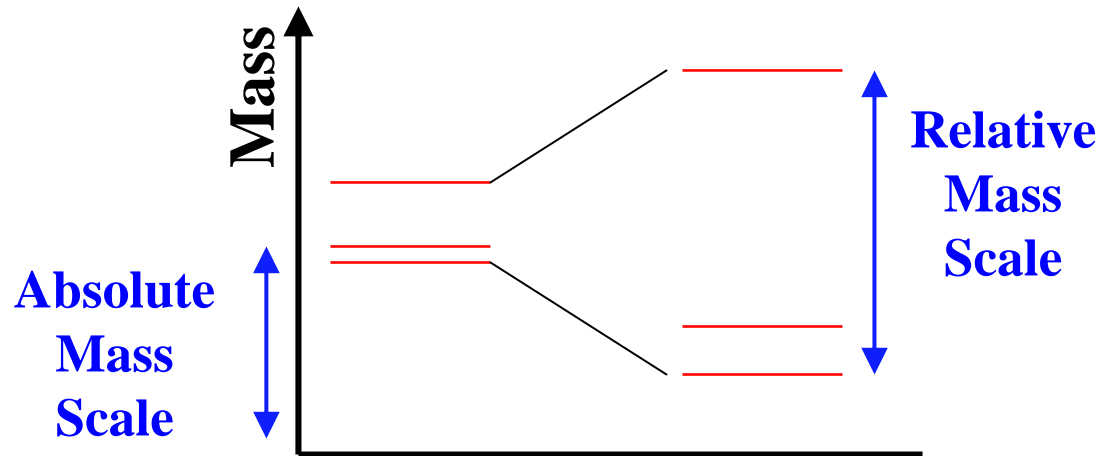
- **Double-Beta Decay and its relationship to the neutrino**
- **The experimental context**
  - **Where we're at and where we need to go**
- **Proposed future work**
  - **A focus on the Majorana Project**

# Why Neutrinos?

$\nu$  properties are critical input to many physics questions

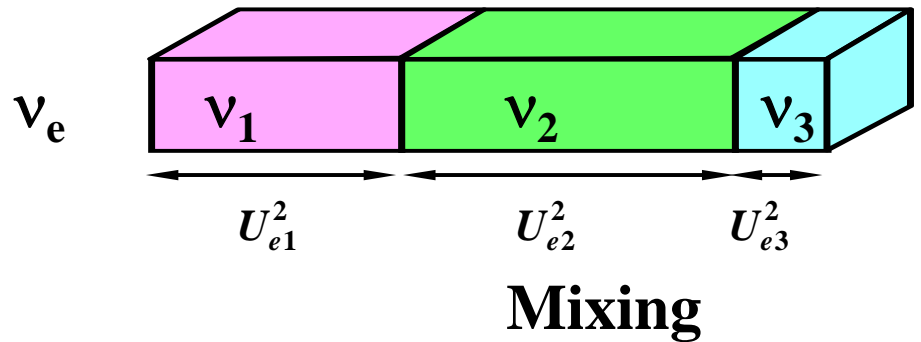
- **Particle/Nuclear Physics**
- **Cosmology**
- **Astrophysics**

# Neutrinos: What do we want to know?

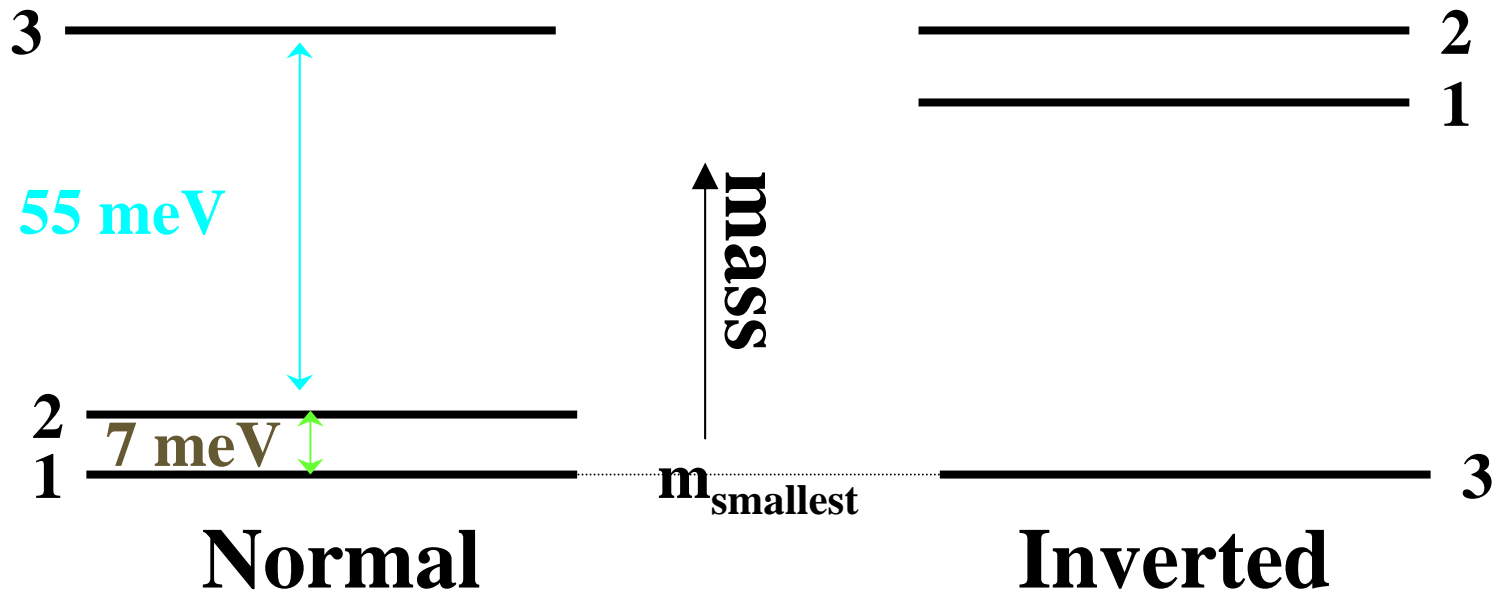


**Dirac or Majorana**

$$\begin{pmatrix} \nu_{\uparrow} \\ \nu_{\downarrow} \\ \bar{\nu}_{\downarrow} \\ \bar{\nu}_{\uparrow} \end{pmatrix} \text{ or } \begin{pmatrix} \nu_{\uparrow} \\ \nu_{\downarrow} \end{pmatrix}$$



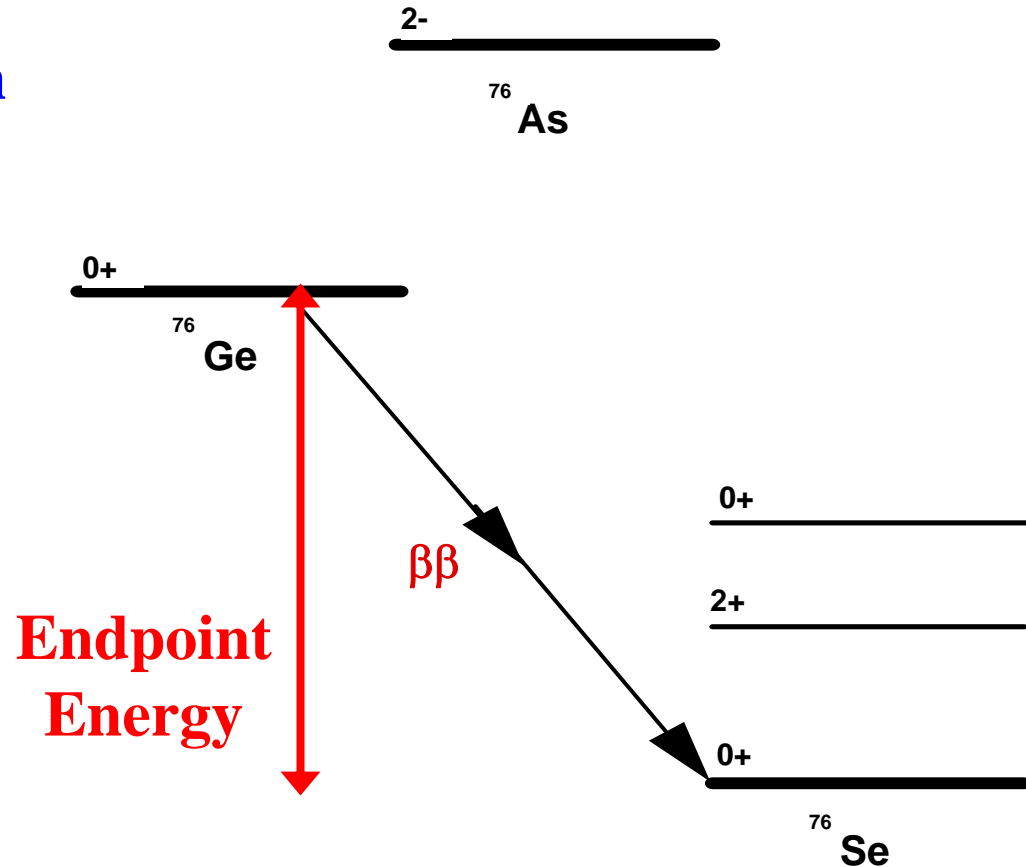
# Oscillations and Hierarchy Possibilities



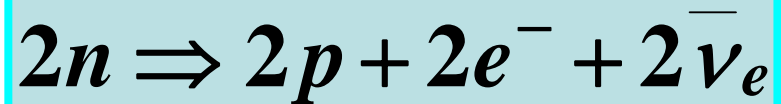
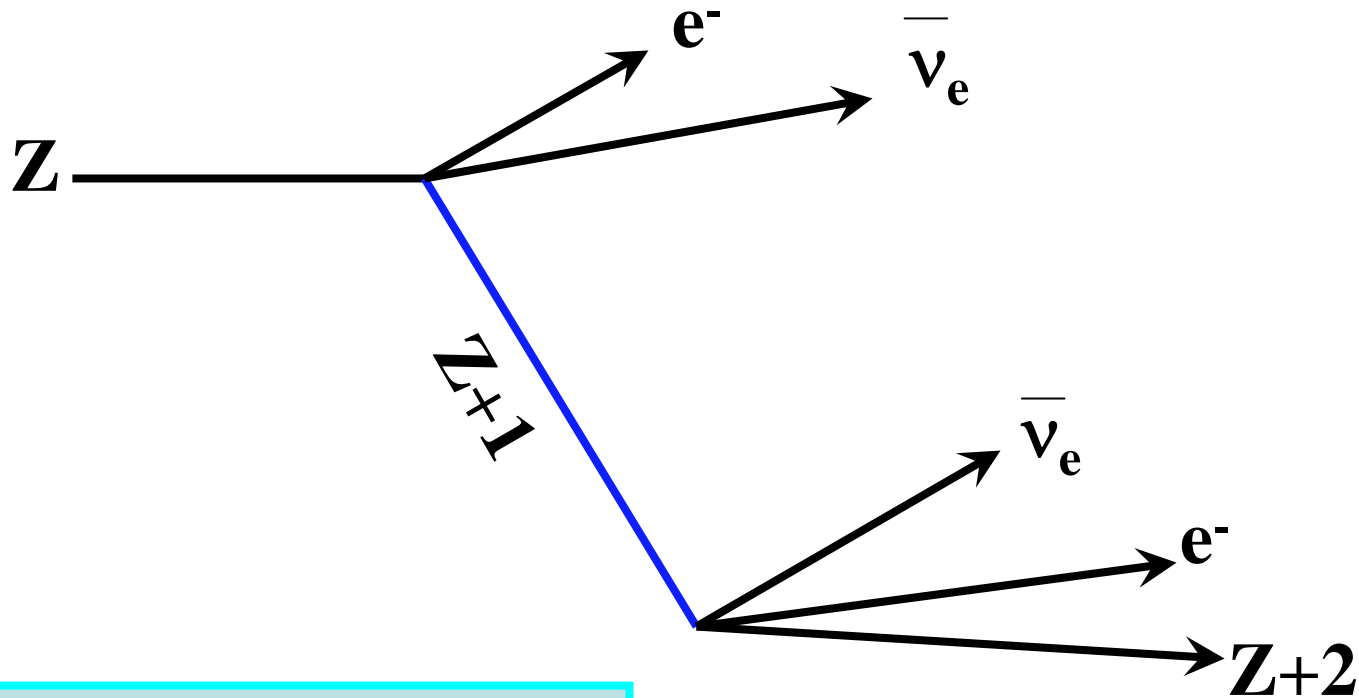
$\nu_e$  is composed of a large fraction of  $\nu_1$ .

# Example $\beta\beta$ Decay Scheme

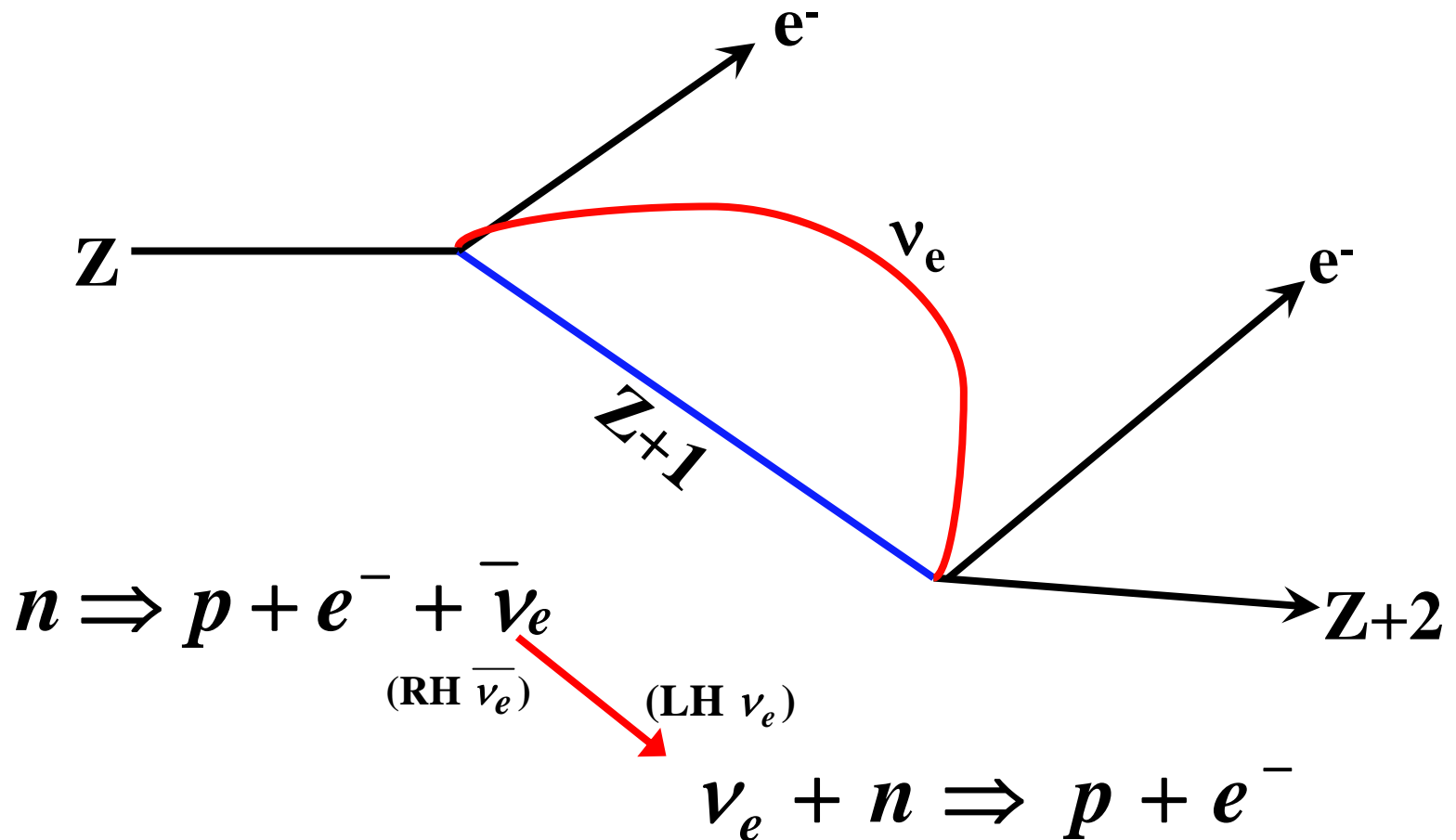
In many even-even nuclei,  $\beta$  decay is energetically forbidden. This leaves  $\beta\beta$  as the allowed decay mode.



# $\beta\beta(2\nu)$ : Allowed weak decay

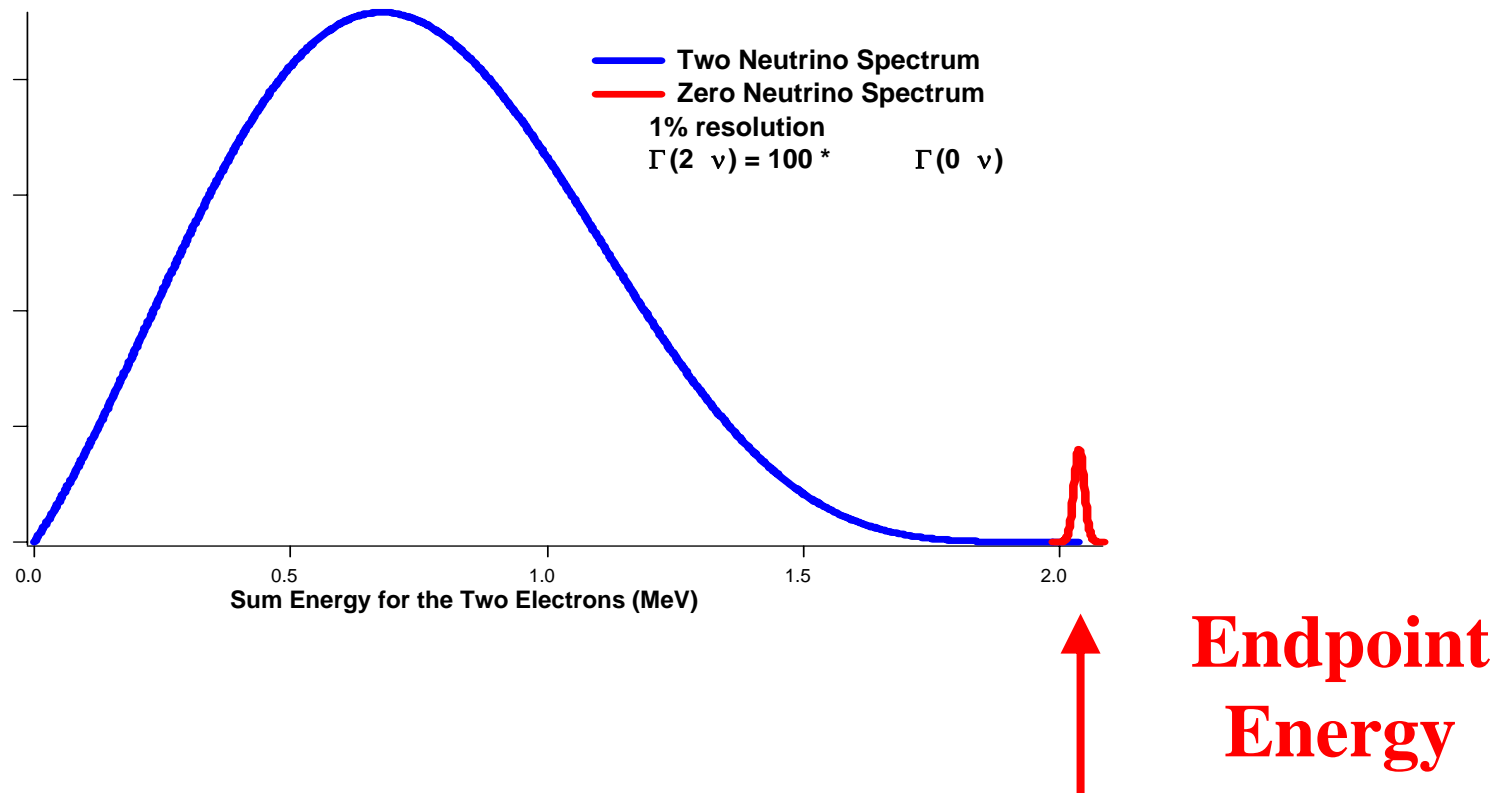


$\beta\beta(0\nu)$ : requires massive Majorana  $\nu$





# Energy Spectrum for the 2 e<sup>-</sup>



# $\beta\beta$ Decay Rates

$$\Gamma_{2\nu} = G_{2\nu} |M_{2\nu}|^2$$

$$\Gamma_{0\nu} = G_{0\nu} |M_{0\nu}|^2 m_\nu^2$$

**G** are calculable phase space factors.

$$G_{0\nu} \sim Q^5$$

**|M|** are nuclear physics matrix elements.

**Hard to calculate.**

**$m_\nu$  is where the interesting physics lies.**

# What about mixing, $m_\nu$ & $\beta\beta(0\nu)$ ?

No mixing:  $\langle m_{\beta\beta} \rangle = m_{\nu_e} = m_1$

$$\langle m_{\beta\beta} \rangle = \sum_{i=1}^3 |U_{ei}|^2 m_i \varepsilon_i \quad \text{virtual } \nu \text{ exchange}$$

$\varepsilon = \pm 1$ , CP cons.

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Compare to  $\beta$  decay result:

$$\langle m_\beta \rangle = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2}$$

real  $\nu$   
emission

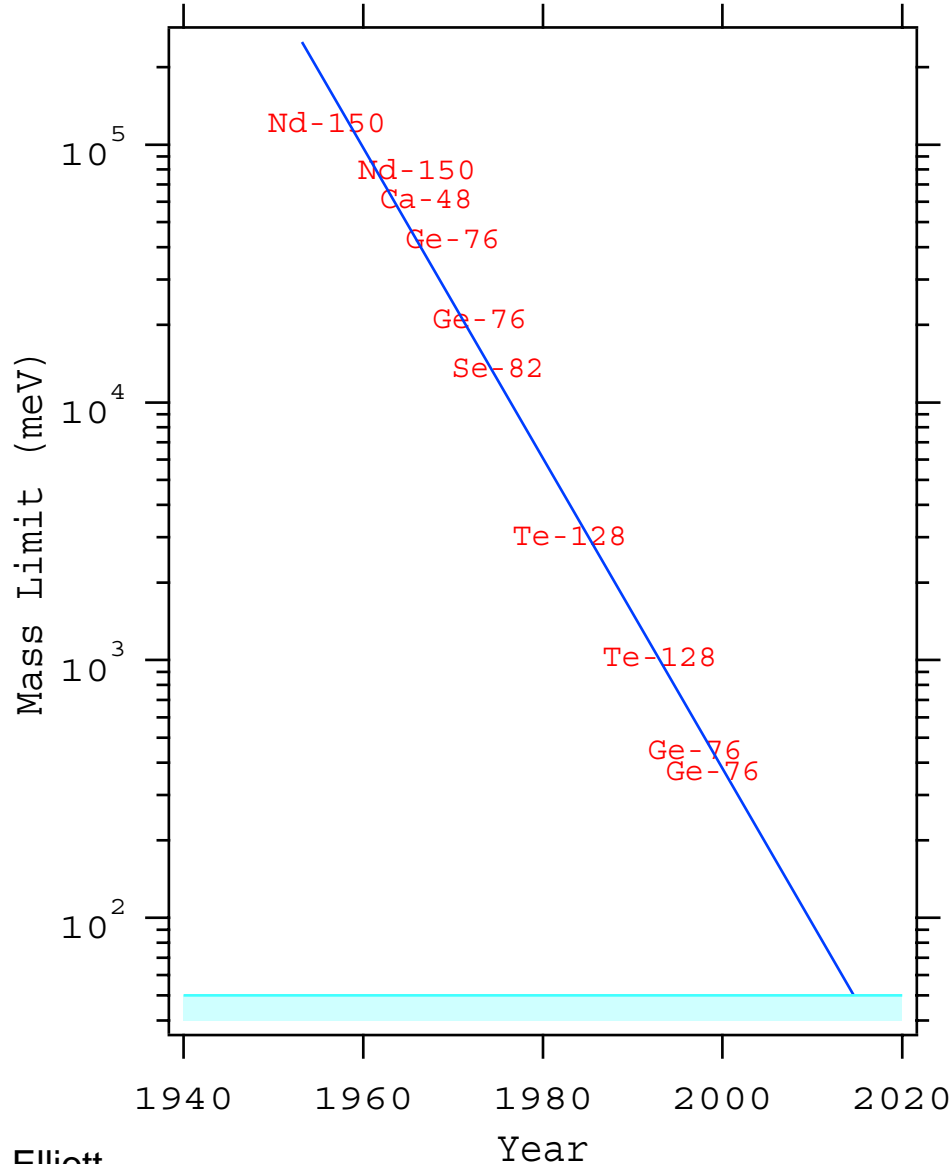
Compare to cosmology:

$$\Sigma = \sum m_i$$

# Double Beta Decay

Elliott & Vogel  
Annu. Rev. Part. Sci. 2002 52:115

<sup>48</sup> Ca	>1.4x10 <sup>22</sup> y	<(7.2-44.7) eV
<sup>76</sup> Ge	>1.9x10 <sup>25</sup> y	<0.35 eV
<sup>76</sup> Ge	>1.6x10 <sup>25</sup> y	<(0.33-1.35) eV
<sup>76</sup> Ge	=1.2x10 <sup>25</sup> y	=0.44 eV
<sup>82</sup> Se	>1.9x10 <sup>23</sup> y	<(1.3-3.2) eV
<sup>100</sup> Mo	>3.5x10 <sup>23</sup> y	<(0.7-1.2) eV
<sup>116</sup> Cd	>1.7x10 <sup>23</sup> y	<1.7 eV
<sup>128</sup> Te	>7.7x10 <sup>24</sup> y	<(1.1-1.5) eV
<sup>130</sup> Te	>5.5x10 <sup>23</sup> y	<(0.37-1.9) eV
<sup>136</sup> Xe	>4.4x10 <sup>23</sup> y	<(1.8-5.2) eV
<sup>150</sup> Nd	>1.2x10 <sup>21</sup> y	<3.0 eV



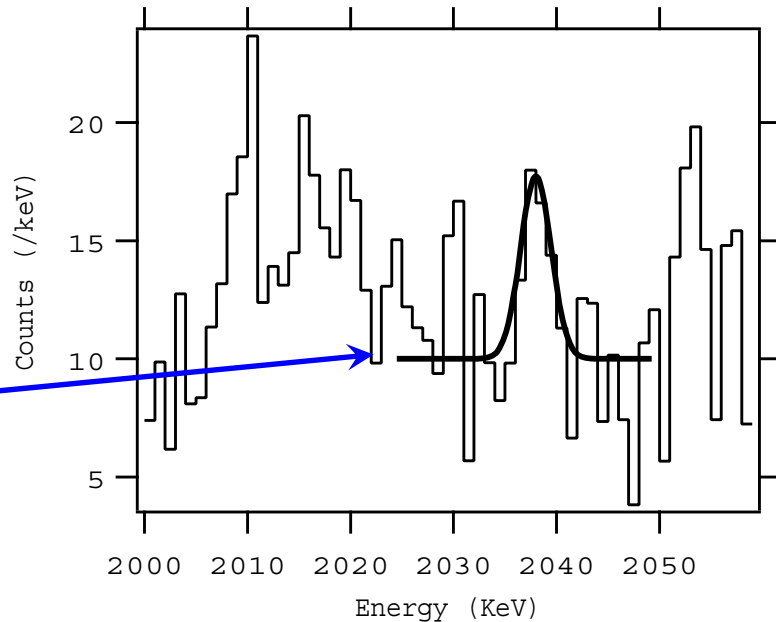
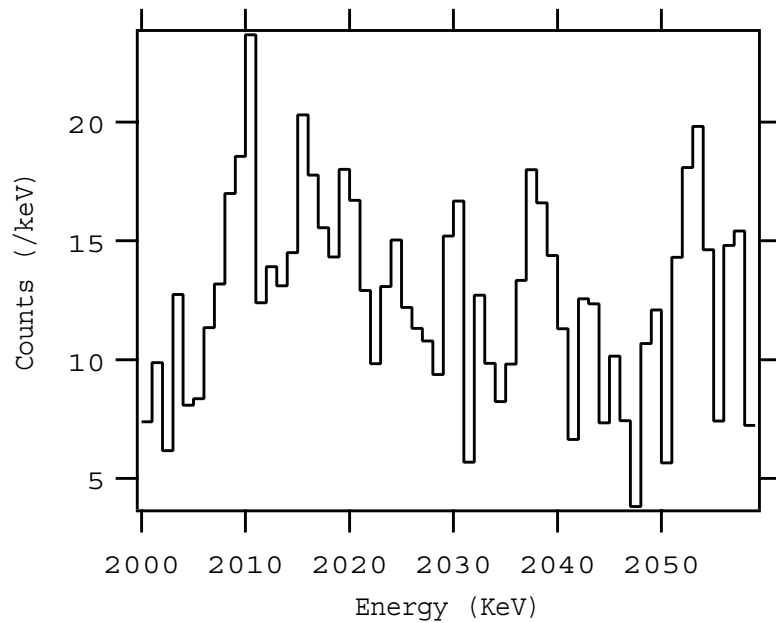
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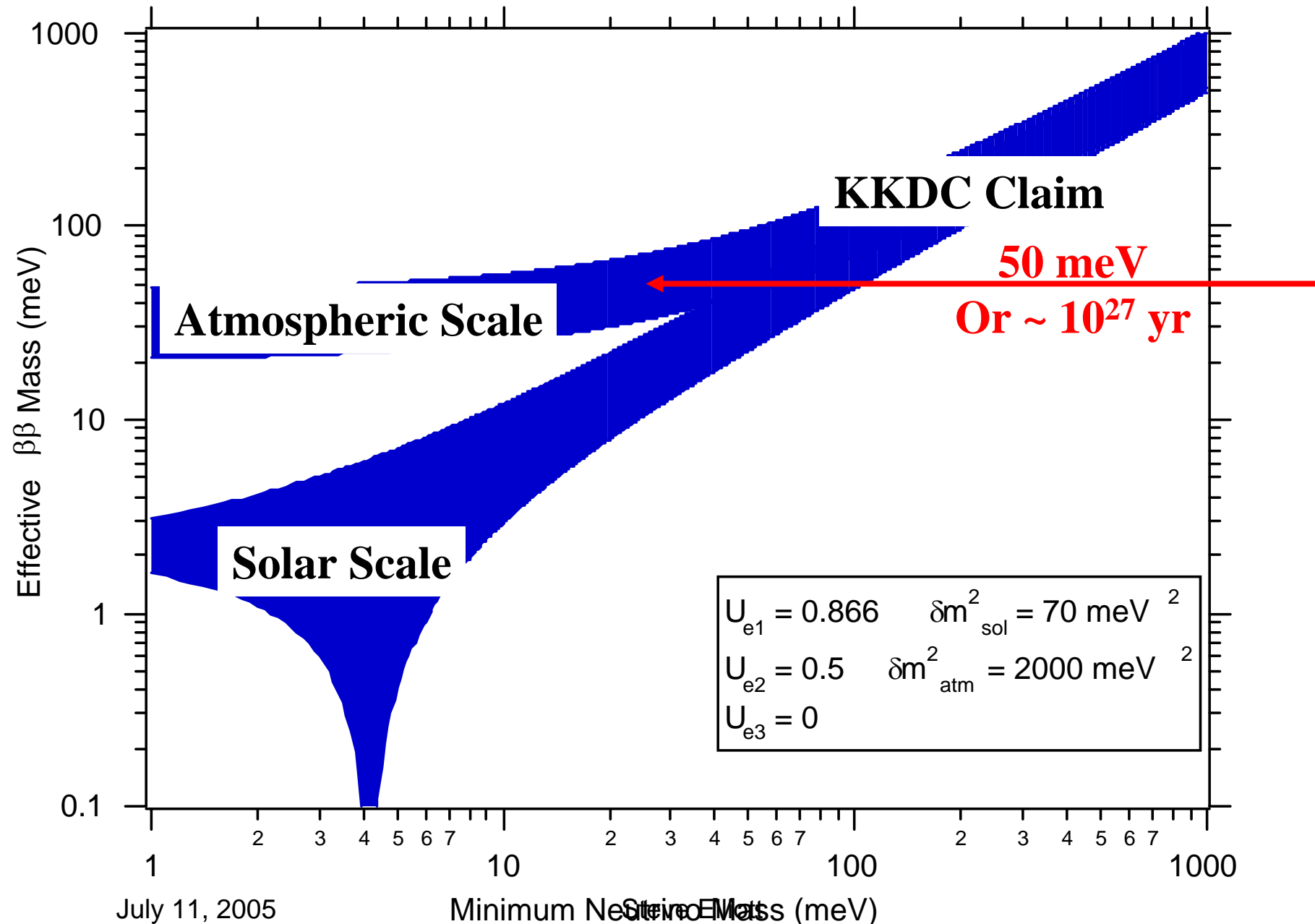
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# A Recent Claim

The “feature” at 2038 keV is arguably present. This will probably require experimental testing.

Background level depends on intensity fit to other peaks.





# The UCI $^{82}\text{Se}$ Experiment

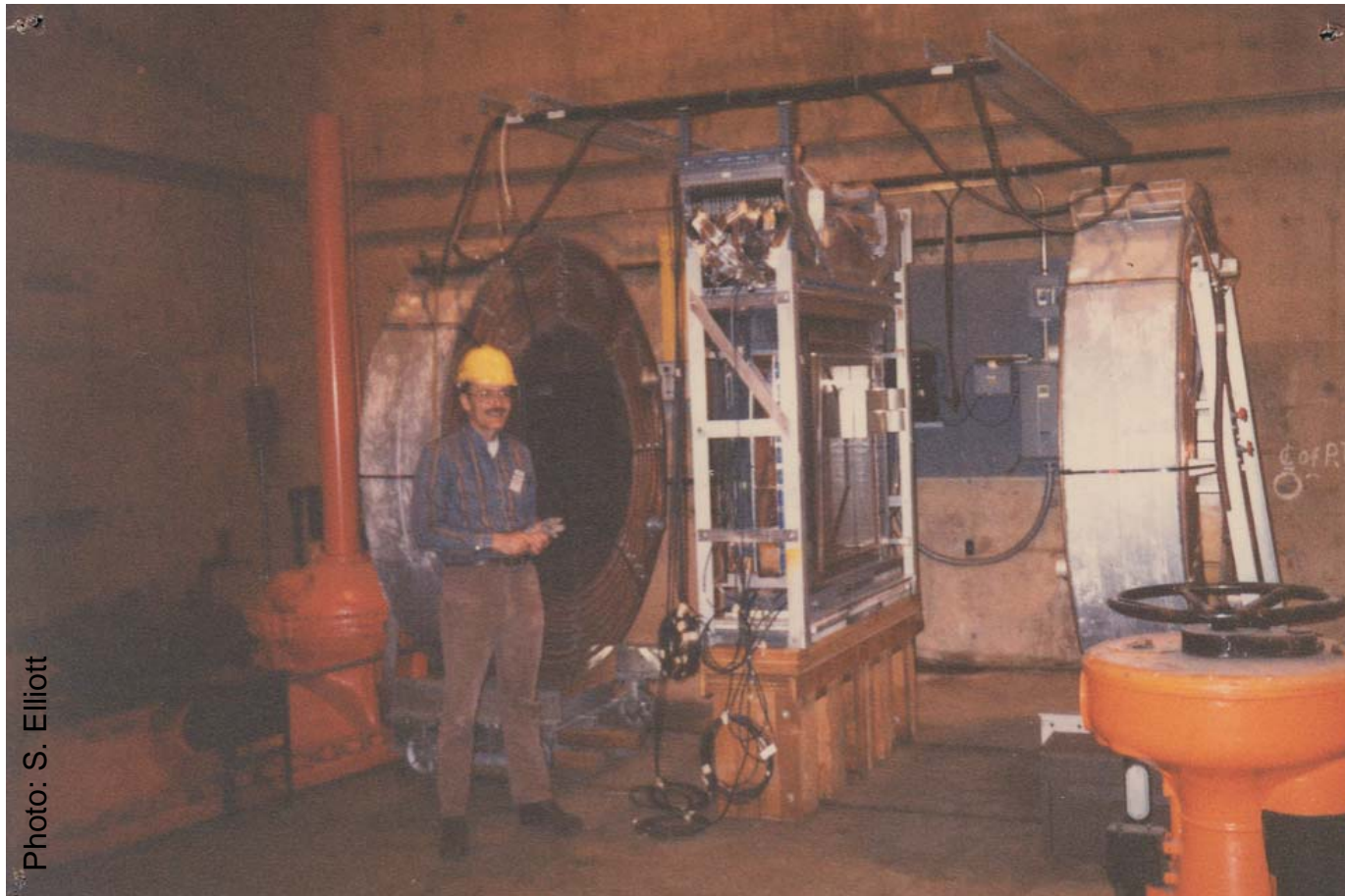
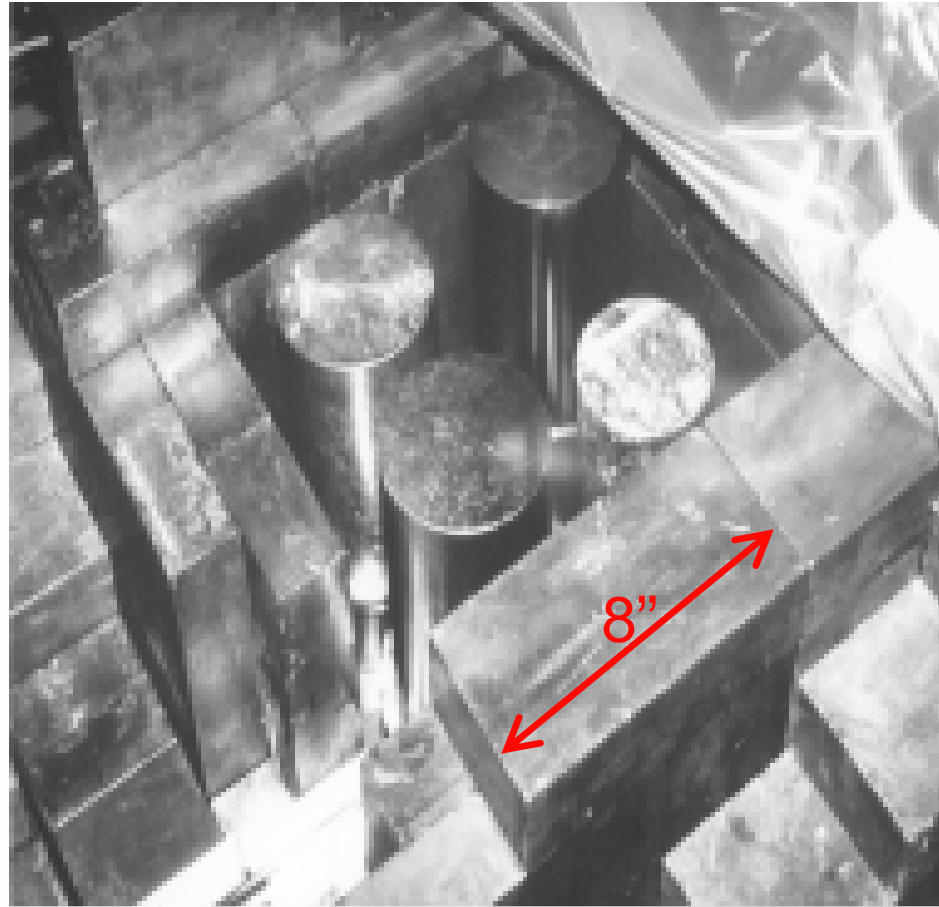


Photo: S. Elliott

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# The Heidelberg-Moscow Experiment



Foundations of Physics, 32, (2002)

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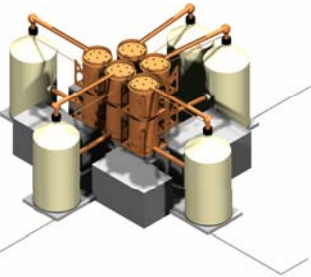
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# A Great Number of Proposed Experiments

<b>CARVEL</b>	<b>Ca-48</b>	<b>100 kg <sup>48</sup>CaWO<sub>4</sub> crystal scintillators</b>
<b>COBRA</b>	<b>Te-130</b>	<b>10 kg CdTe semiconductors</b>
<b>DCBA</b>	<b>Nd-150</b>	<b>20 kg Nd layers between tracking chambers</b>
<b>NEMO</b>	<b>Mo-100, Various</b>	<b>10 kg of <math>\beta\beta</math> isotopes (7 kg of Mo), expand to superNEMO</b>
<b>CAMEO</b>	<b>Cd-114</b>	<b>1 t CdWO<sub>4</sub> crystals</b>
<b>CANDLES</b>	<b>Ca-48</b>	<b>Several tons CaF<sub>2</sub> crystals in liquid scint.</b>
<b>CUORE</b>	<b>Te-130</b>	<b>750 kg TeO<sub>2</sub> bolometers</b>
<b>EXO</b>	<b>Xe-136</b>	<b>1 ton Xe TPC (gas or liquid)</b>
<b>GEM</b>	<b>Ge-76</b>	<b>1 ton Ge diodes in liquid nitrogen</b>
<b>GENIUS</b>	<b>Ge-76</b>	<b>1 ton Ge diodes in liquid nitrogen</b>
<b>GERDA</b>	<b>Ge-76</b>	<b>~30-40 kg Ge diodes in LN, expand to larger masses</b>
<b>GSO</b>	<b>Gd-160</b>	<b>2 t Gd<sub>2</sub>SiO<sub>5</sub>:Ce crystal scint. in liquid scint.</b>
<b>Majorana</b>	<b>Ge-76</b>	<b>~180 kg Ge diodes, expand to larger masses</b>
<b>MOON</b>	<b>Mo-100</b>	<b>Mo sheets between plastic scint., or liq. scint.</b>
<b>Xe</b>	<b>Xe-136</b>	<b>1.56 t of Xe in liq. Scint.</b>
<b>XMASS</b>	<b>Xe-136</b>	<b>10 t of liquid Xe</b>

# “Selected” Projects



**Majorana**

**CUORE**

**TeO<sub>2</sub> Crystal bolometers**

**EXO**

**Liquid Xe TPC, daughter tag**

**GERDA**

**Bare Ge detectors in LN**

**Majorana**

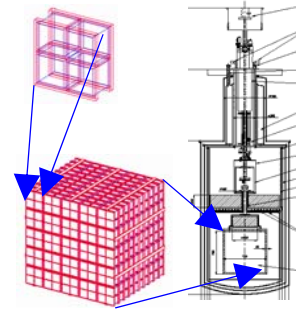
**Ge det. in traditional cryostat**

**MOON**

**Scint. sandwiching Mo foils**

**SuperNEMO**

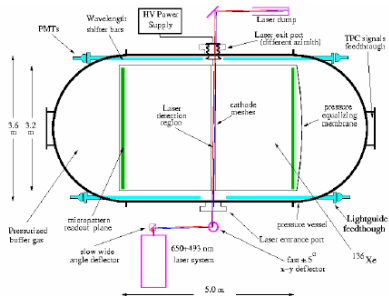
**Foils, tracking and scint.**



**CUORE**

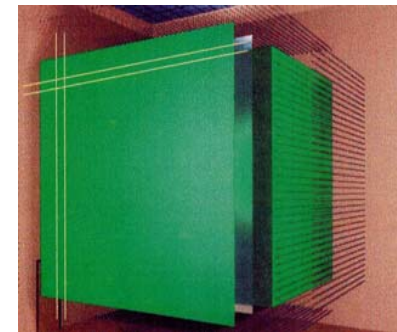
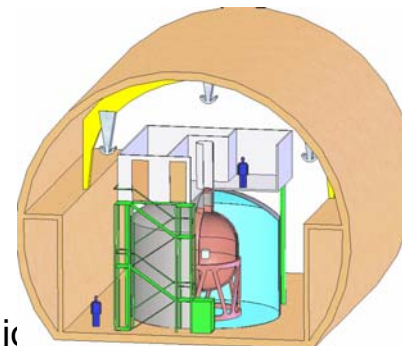
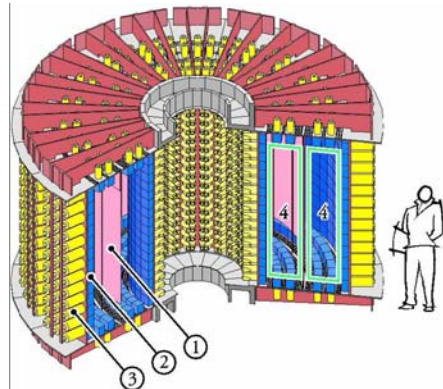
**EXO**

**MOON**



**NEMO**

**GERDA**



# An exciting time for $\beta\beta$ !

For at least  
one neutrino:

$$m_i > \sqrt{\delta m_{atmos}^2} \approx 50 \text{ meV}$$

For the next experiments:

$$\langle m_{\beta\beta} \rangle \leq 50 \text{ meV}$$

**$\langle m_{\beta\beta} \rangle$  in the range  
near 50 meV is very interesting.**

# APS Study and M-180

**The APS neutrino study on the future US Neutrino Program made a few things clear.**

[\(http://www.aps.org/neutrino/\)](http://www.aps.org/neutrino/)

- **Double-beta decay as one of the highest priorities.**
- **It recommends a staged approach beginning with 100-200 kg scaling later to 1 ton.**
  - Precision measurement at degenerate scale
  - Followed by discovery potential at atmospheric scale

**Majorana has responded by developing a proposal for a 180-kg detector.**

# Why a precision measurement?

**If  $\langle m_{\beta\beta} \rangle$  is near the degenerate scale:**

- **We will want to compare results from several isotopes to fully understand the underlying physics.**
- **A 10-20% decay rate measurement will allow effective comparisons between isotopes, when the matrix element uncertainty nears ~50%.**

# Observation of $\beta\beta(0\nu)$ implies massive Majorana neutrinos, but:

- Relative rates between isotopes might discern light neutrino exchange and heavy particle exchange as the  $\beta\beta$  mechanism.
- Relative rates between the ground and excited states might discern light neutrino exchange and right handed current mechanisms.

**Effective comparisons require experimental uncertainties to be small wrt theoretical uncertainties.**

# An Ideal Experiment

Maximize Rate/Minimize Background

$$\langle m_{\beta\beta} \rangle \propto \left( \frac{b\Delta E}{Mt_{live}} \right)^{\frac{1}{4}}$$

Large Mass (~ 1 ton)

Good source radiopurity

Demonstrated technology

Natural isotope

Small volume, source = detector

Good energy resolution

Ease of operation

Large Q value, fast  $\beta\beta(0\nu)$

Slow  $\beta\beta(2\nu)$  rate

Identify daughter

Event reconstruction

Nuclear theory

# Ge Basics

Large Mass (~ 1 ton):

Good source radiopurity:

Demonstrated technology:

Natural isotope

Small volume, source = det:

Good energy resolution:

Ease of operation

Large Q value, fast  $\beta\beta(0\nu)$

Slow  $\beta\beta(2\nu)$  rate

Identify daughter

Event reconstruction

Nuclear theory

120-500 kg of  $^{enr}\text{Ge}$

Intrinsic Ge, well understood

“Ready to Go”

Fiorini “internal source method

3-4 keV at 2039 keV, 0.2%

High duty cycle operation

2039 keV, above most radioactivities

$10^{21}$  yrs

segmentation, modularity, PSD

Low A - Shell Model and QRPA



# Advantages for Majorana



**$^{76}\text{Ge}$  offers the best combination of capabilities and sensitivities. Majorana is ready to proceed, with demonstrated technologies.**

- Favorable nuclear matrix element  $\langle M^{0\nu} \rangle = 2.4$  [Rod05].
- Reasonably slow  $2\nu\beta\beta$  rate ( $T_{1/2} = 1.4 \times 10^{21}$  y).
- Demonstrated ability to enrich from 7.44% to 86%.
- Ge as source & detectors.
- Elemental Ge maximizes the source-to-total mass ratio.
- Intrinsic high-purity Ge diodes.
- Excellent energy resolution — 0.16% at 2.039 MeV, for a ROI of 4 keV.
- Powerful background rejection.
  - Segmentation, granularity, timing, pulse shape discrimination
- Best limits on  $0\nu\beta\beta$  - decay used Ge (IGEX & Heidelberg-Moscow)
  - $T_{1/2} > 1.9 \times 10^{25}$  y
- Well-understood technologies
  - Commercial Ge diodes
  - Existing, well-characterized large Ge arrays (Gammasphere)

# The Majorana 180 kg Experiment Overview



Majorana is scalable, allowing expansion to 1000 kg.

## The 180 kg Experiment (M180)

### – Reference Design

- 171 segmented, n-type, 86% enriched  $^{76}\text{Ge}$  crystals.
- 3 independent, ultra-clean, electroformed Cu cryostat modules.
- Surrounded by a low-background passive shield and active veto.
- Located deep underground (6000 mwe).

### – Background Specification in the $0\nu\beta\beta$ peak ROI

1 count/t-y

### – Expected Sensitivity to $0\nu\beta\beta$ (for 3 years, or 0.46 t-y of $^{76}\text{Ge}$ exposure)

$T_{1/2} \geq 5.5 \times 10^{26}$  y (90% CL)

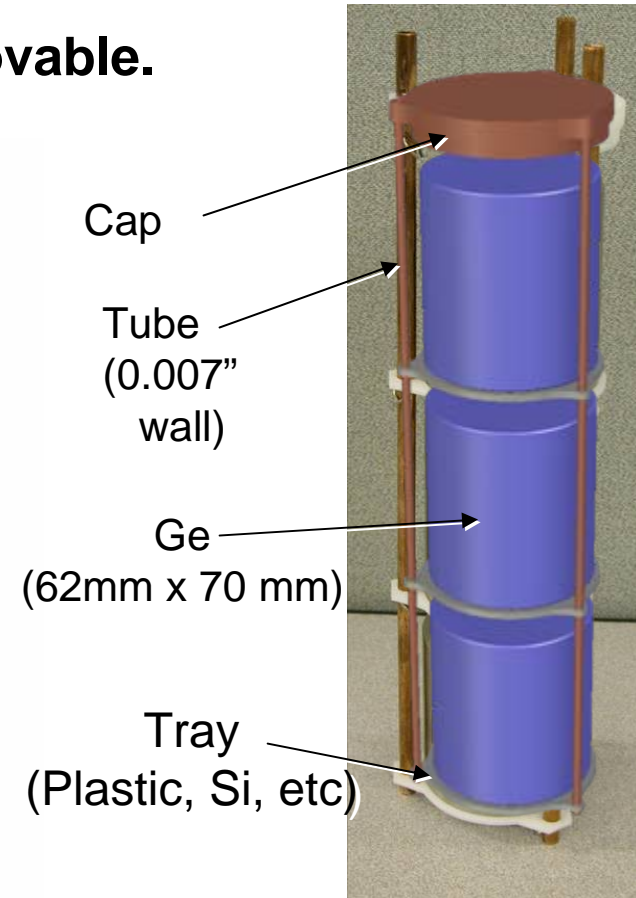
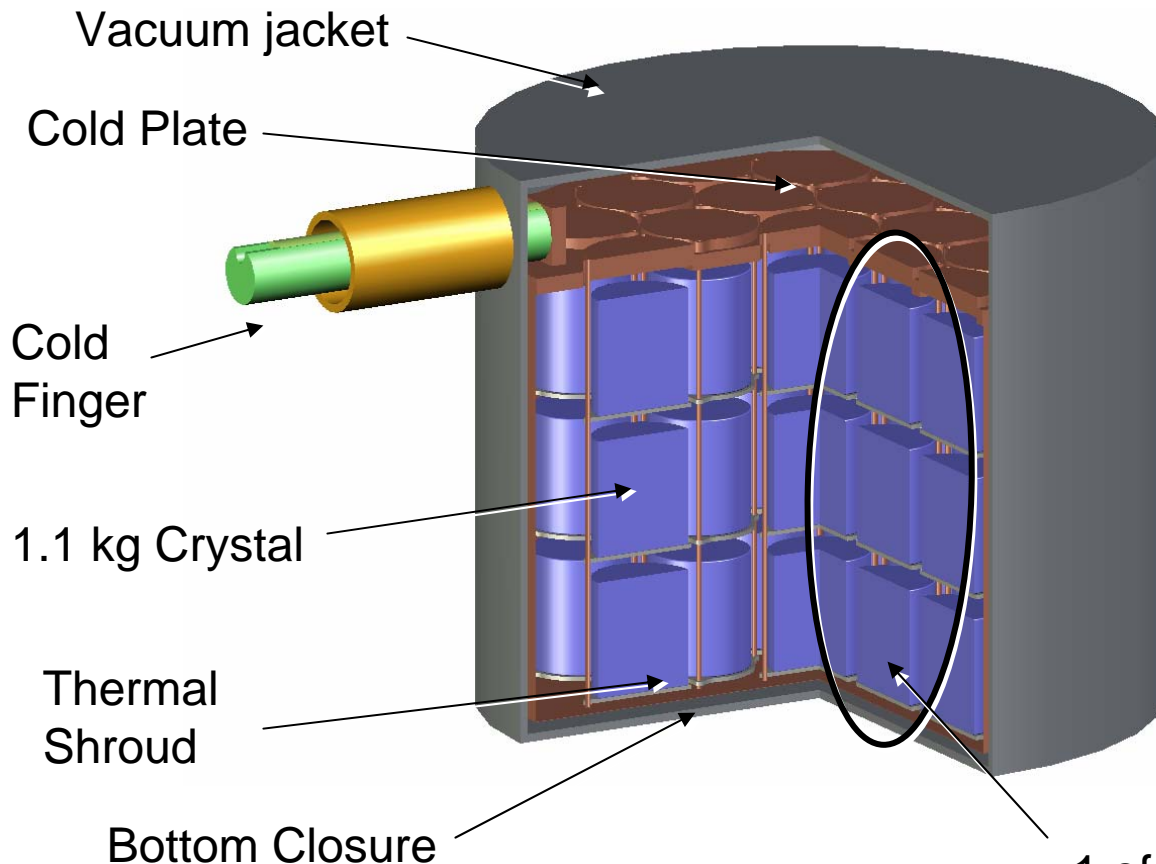
$\langle m_\nu \rangle < 100$  meV (90% CL) ([Rod05] RQRPA matrix elements)  
or a 10% measurement assuming a 400 meV value.

# The Majorana Modular Approach



- **57 crystal module**

- Conventional vacuum cryostat made with electroformed Cu.
- Three-crystal stack are individually removable.

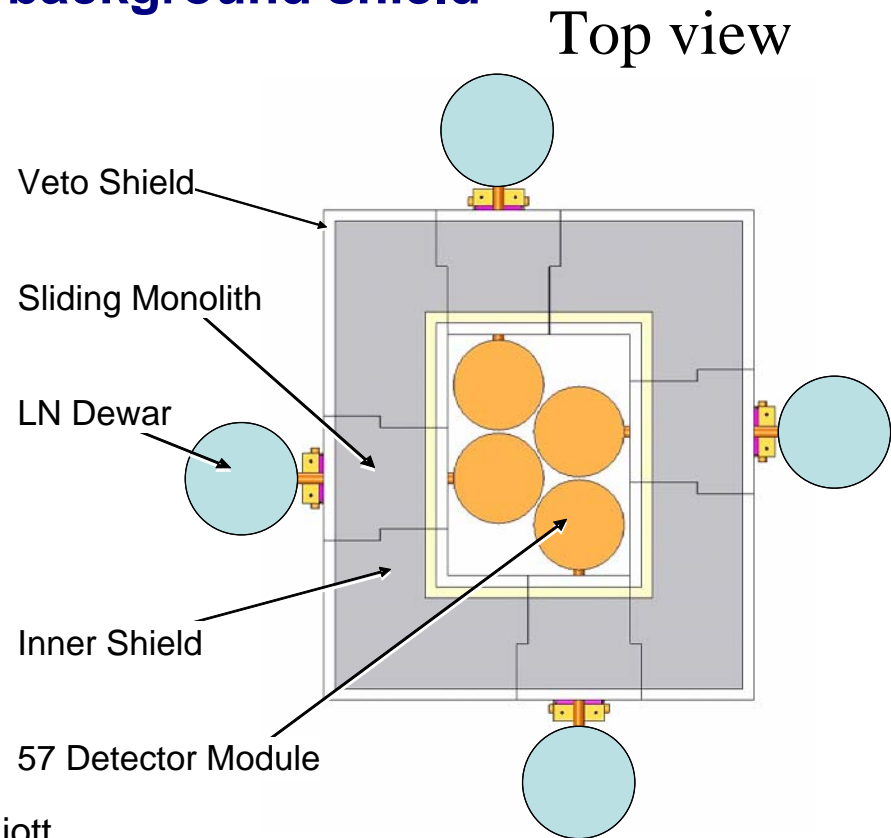
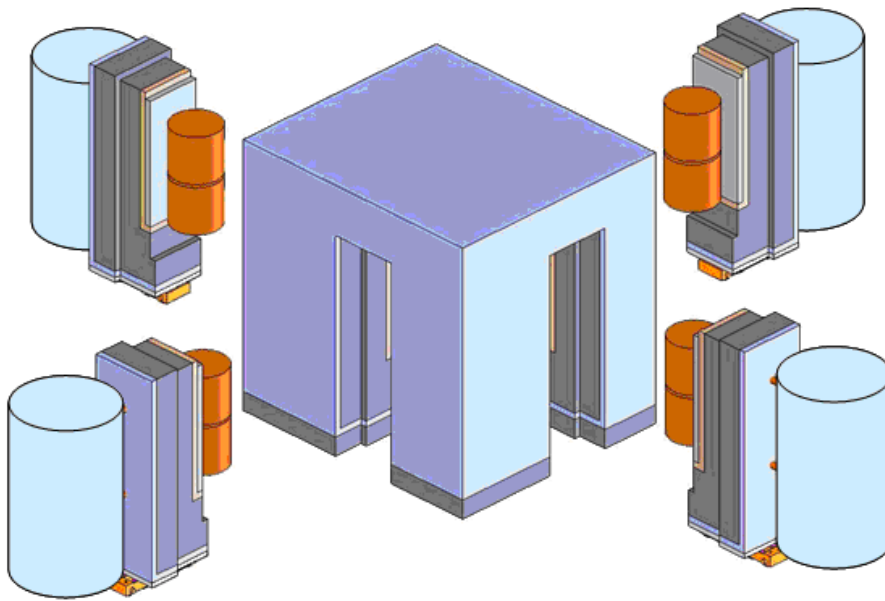


July 11, 2005

# The Majorana Shield - Conceptual Design



- Allows modular deployment, early operation
- contains up to eight 57-crystal modules (M180 populates 3 of the 8 modules)
- four independent, sliding units
- 40 cm bulk Pb, 10 cm ultra-low background shield
- active  $4\pi$  veto detector



July 11, 2005

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# The Majorana Reference Plan



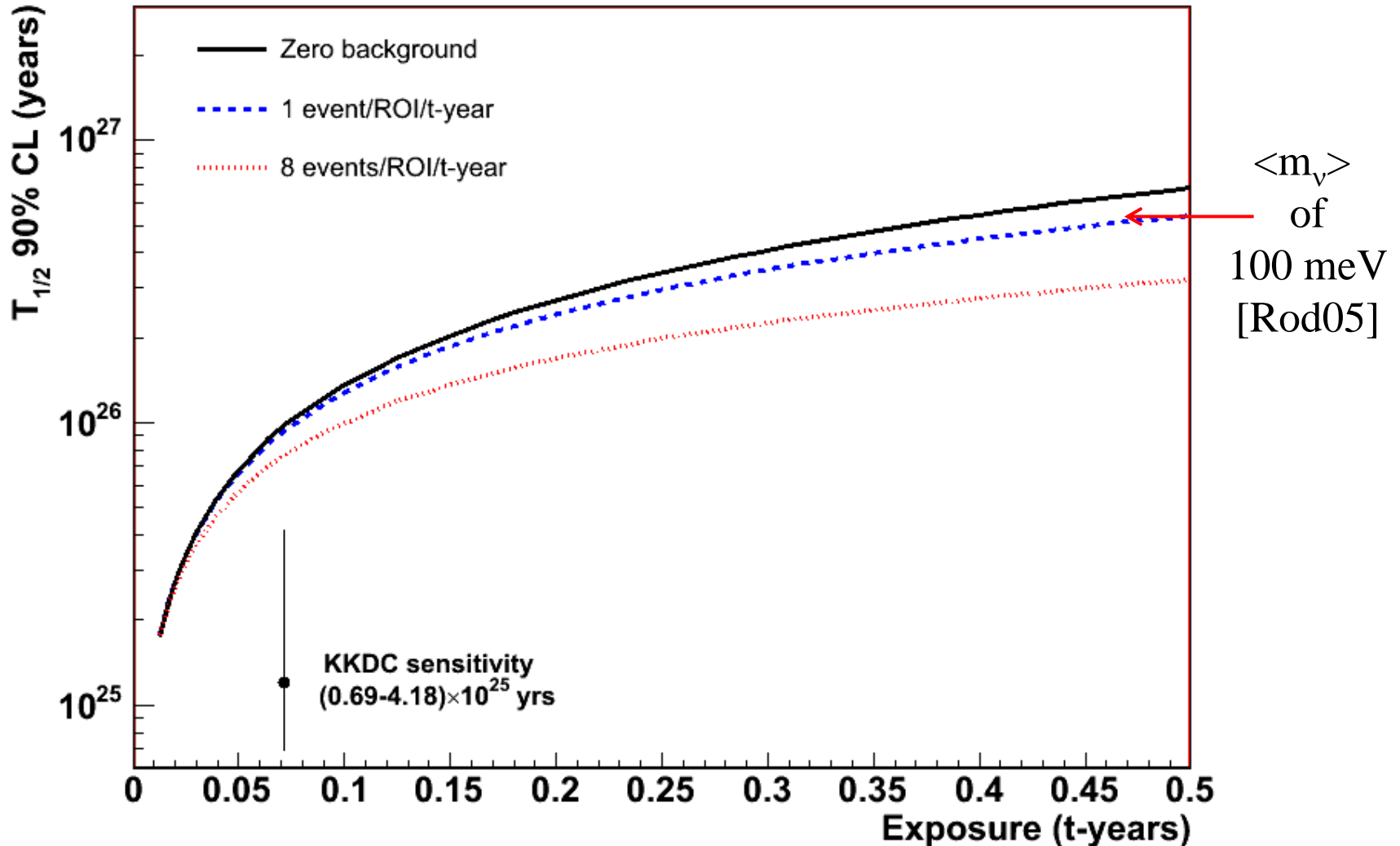
- **Enrichment: Ge ~200 kg of intrinsic Ge metal, enriched to 86% in  $^{76}\text{Ge}$ , from the ECP in Russia**
- **Transport: surface ship this Ge to a detector manufacturing company in North America to produce Ge crystals, suitable for detector fabrication**
- **Crystals: produce approximately 180 1.1-kg, n-type, segmented Ge detectors with each segmentation geometry consisting of 2 segments**
- **Module Assembly: install detectors into Cu cryostats that have been electroformed underground**
- **Module Installation: install modules into an ultra-pure graded shield**
- **Shielding: incorporate an active, neutron and cosmic ray anti-coincidence detector (a veto system) into the Pb shield, deep underground**
- **Front End Signals: electronically read out the Ge detector signals with one high-bandwidth electronic channel per crystal and one low-bandwidth electronic channel per segment**
- **Acquisition: use commercial electronics technology for the data acquisition electronics**

# Majorana Sensitivity vs. Background



$$T_{1/2} = \frac{\ln(2)}{\sqrt{B}} \times \varepsilon N t = \frac{\ln(2)}{\sqrt{B}} \times \varepsilon \alpha \frac{A_0}{W} M \times t$$

$$\langle m_\nu \rangle \propto (T_{1/2})^{-1/2} \propto B^{1/4}$$



# Reducing Backgrounds - Two Basic Strategies



- **Directly reduce intrinsic, extrinsic, & cosmogenic activities**
  - **Select and use ultra-pure materials**
  - **Minimize all non “source” materials**
  - **Clean passive shield**
  - **Go deep — reduced  $\mu$ 's & related induced activities**
- **Utilize background rejection techniques**
  - **Energy resolution**
    - $0\nu\beta\beta$  is a single site phenomenon
    - **Many backgrounds have multiple site interactions**
  - **Active veto detector**
  - **Granularity [multiple detectors]**
    - **Pulse shape discrimination (PSD)**
    - **Segmentation**
  - **Single Site Time Correlated events (SSTC)**

**Demonstrating backgrounds requires:**

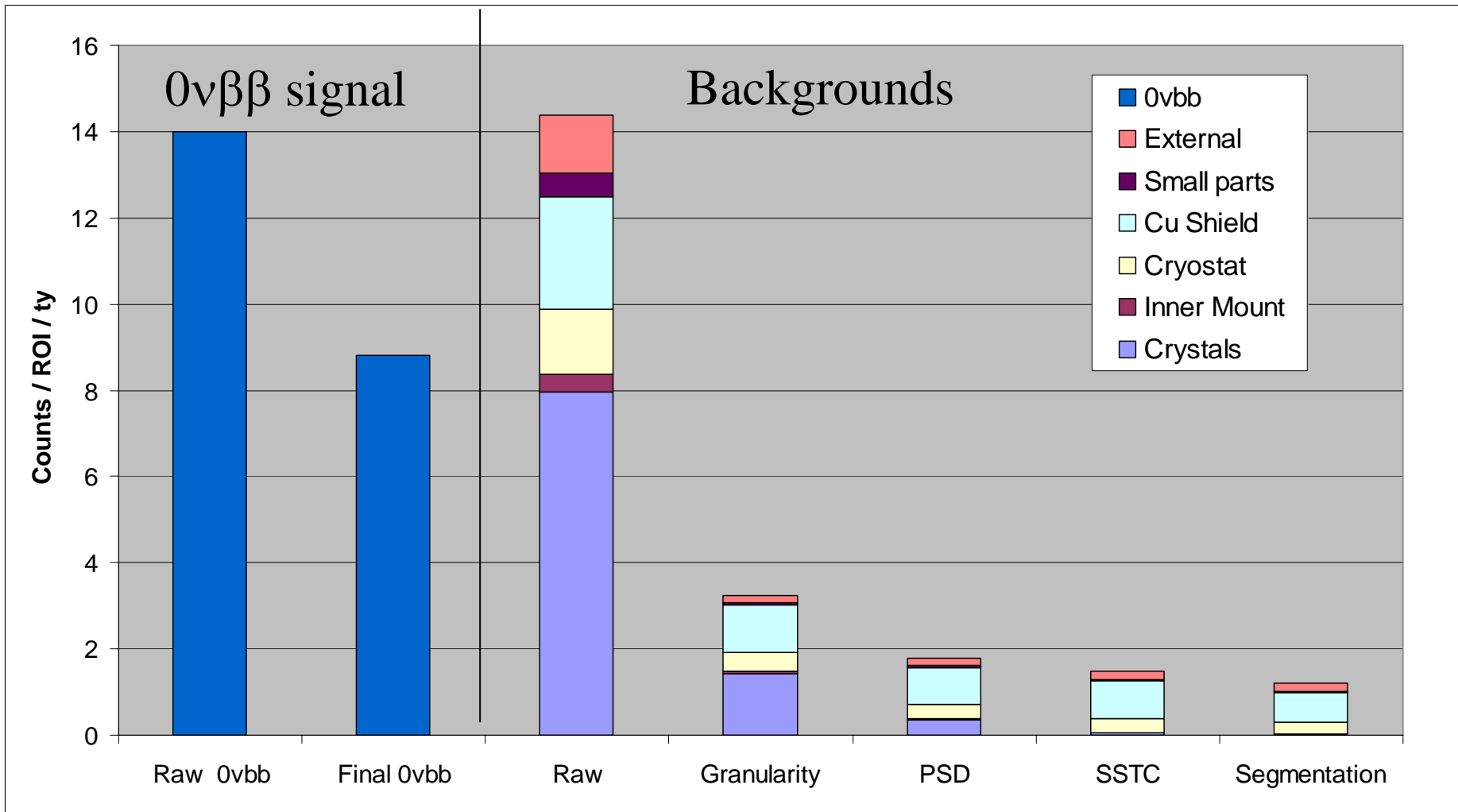
- Sensitive assay capabilities
- Reliable and verified simulations

# Cuts vs. Background Estimates



2039 keV peak plus cuts discriminates  $0\nu\beta\beta$ -decay from backgrounds

Only known activities that occur at 2039 keV are very weak branches, with corresponding strong peaks that will appear elsewhere in the spectrum





# Estimated backgrounds in the $0\nu\beta\beta$ -decay ROI



Background Source		Gross and Net Rates for Important Isotopes			Total Est. Background (per t-y)	
		Counts in ROI per t-y			Counts in ROI	
		$^{68}\text{Ge}$	$^{60}\text{Co}$			
Germanium (100 day exp)	Gross	2.54	1.22			
	Net	0.01	0.02	0.03	←	
		$^{208}\text{Tl}$	$^{214}\text{Bi}$	$^{60}\text{Co}$		
Inner Mount	Gross	0.12	0.03	0.26		
	Net	0.01	0.00	0.00	0.01	
Cryostat	Gross	0.77	0.16	0.58		
	Net	0.22	0.04	0.00	0.26	←
Copper Shield	Gross	2.28	0.30	0.02		
	Net	0.64	0.06	0.00	0.70	←
Small Parts	Gross	0.18	0.04	0.34		
	Net	0.02	0.01	0.00	0.03	
External Sources (6000 mwe)		muons	cosmic activity	( $\alpha, n$ )		
		Gross	0.03	1.33	0.003	
		Net	0.003	0.18	0.003	0.18
2 $\nu$ $\beta\beta$ -decay					< 0.01	
<b>TOTAL SUM</b>					<b>1.21</b>	

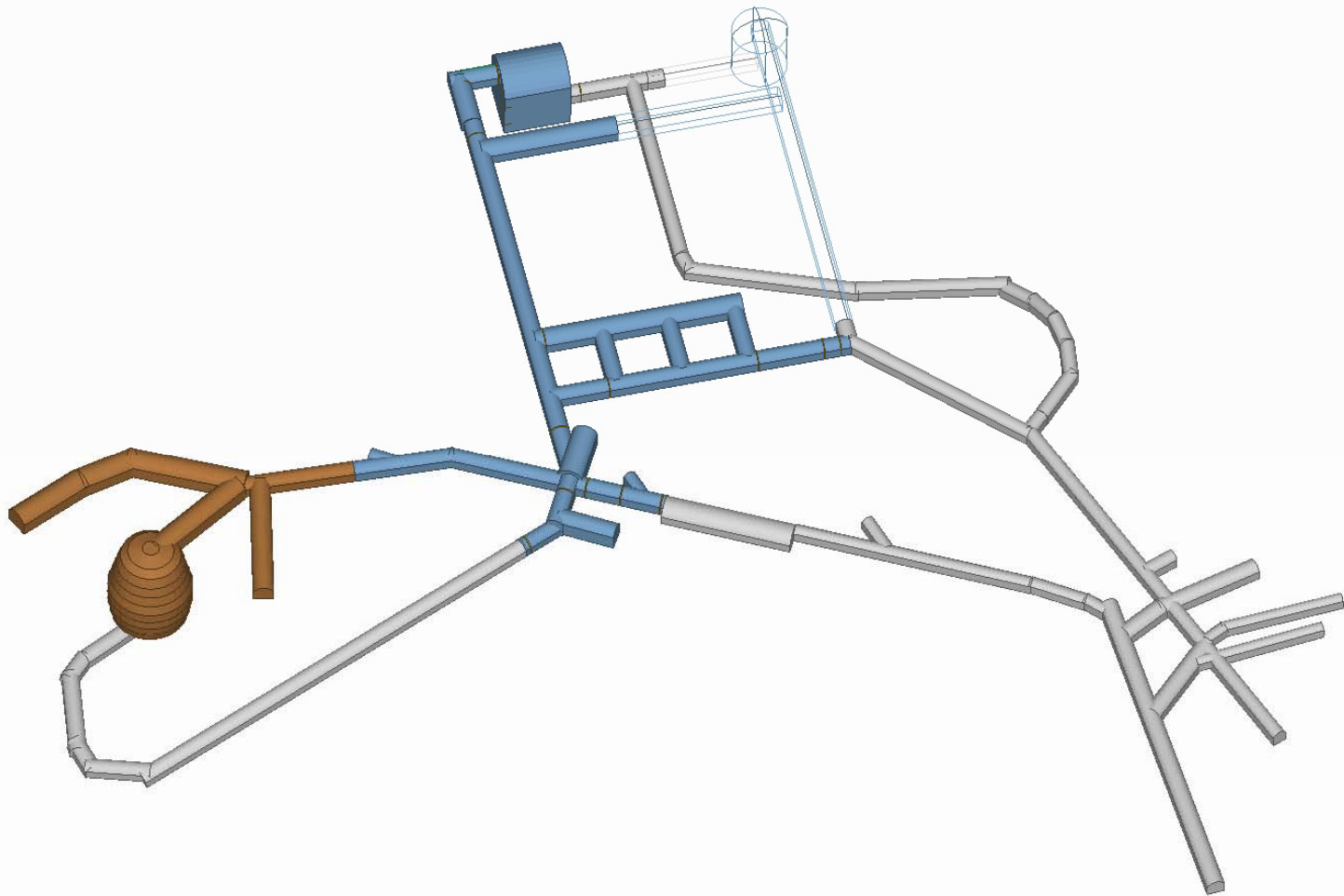
Crystals are clean

Dominated by  $^{232}\text{Th}$  in Cu

Must go deep

- "Gross" indicates level of activity before any analysis cuts are applied.
- "Net" indicates level of activity after cuts have been applied.

# Maybe we'll go to SNOlab



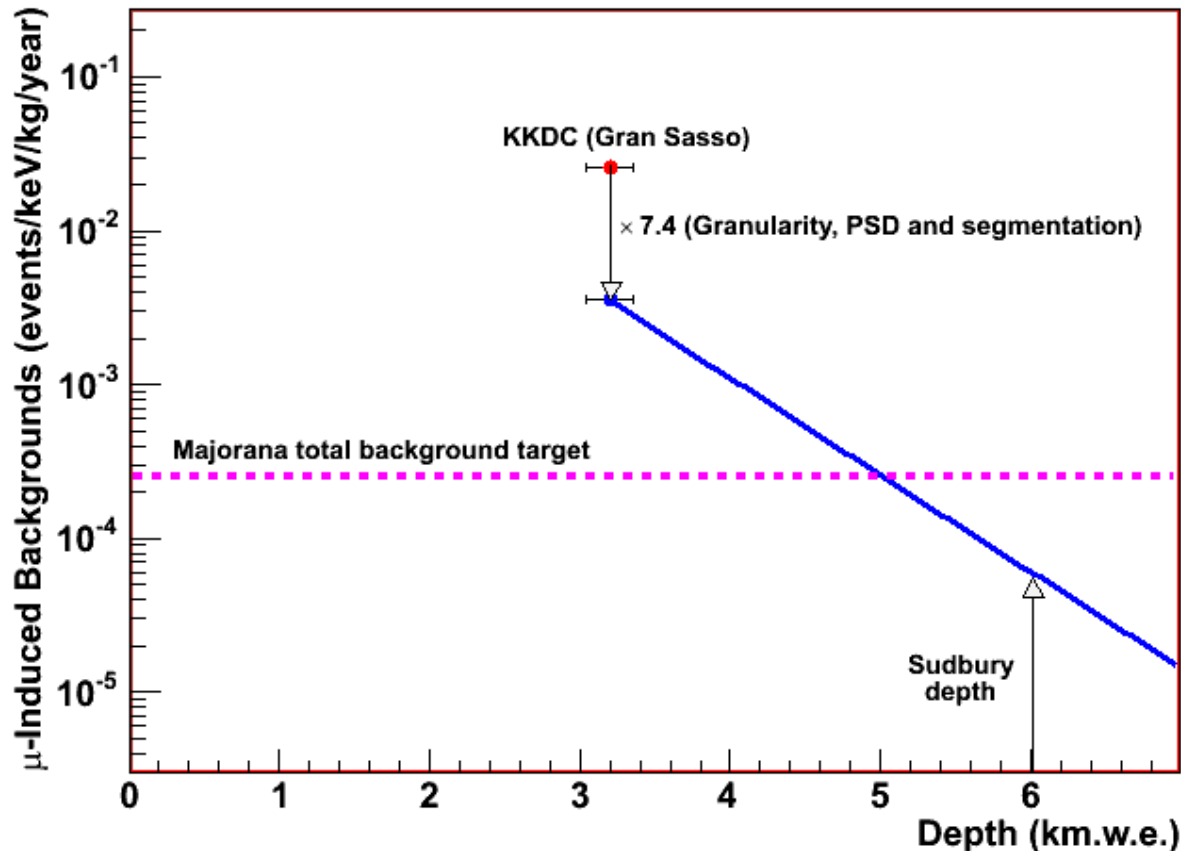
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# Backgrounds for Majorana vs. Depth



At Sudbury depth, 6000 mwe, calculate that about 15-20% of the expected background in ROI will be from  $\mu$  induced activities in Ge and the nearby cryostat materials (dominated by fast neutrons).



Mei and Hime  
2005

# Readiness - Backgrounds



- Simulations
  - **MaGe** — GEANT4 based development package
    - being developed in cooperation with GERDA
  - Verified against a variety of Majorana low-background counting systems as well as others, e.g. MSU Segmented Ge, GERDA.
  - Fluka for  $\mu$ -induced calculations, tested against UG lab data.
- Assay
  - **Radiometric** (Current sensitivity  $\sim 8 \mu\text{Bq/kg}$  (2 pg/g) for  $^{232}\text{Th}$ )
    - Counting facilities at PNNL, Oroville (LBNL), WIPP, Soudan, Sudbury.
  - **Mass Spect.** (Current sensitivity 2-4  $\mu\text{Bq/kg}$  (0.5-1 pg/g) for  $^{232}\text{Th}$ )
    - Using Inductively Coupled Plasma Mass Spectrometry, have made recent progress on using  $^{229}\text{Th}$  tracer.
    - ICPMS has the requisite sensitivity (fg/g).
    - Present limitations on reagents being addressed by sub-boiling distillation.
    - ICPMS expected to reach needed 1  $\mu\text{Bq/kg}$  sensitivity.
- **Key specifications**
  - Cu at 1  $\mu\text{Bq/kg}$  (current  $\leq 8 \mu\text{Bq/kg}$ )
  - cleanliness on a large scale (100 kg)

# Readiness - Ultra-Pure Cu



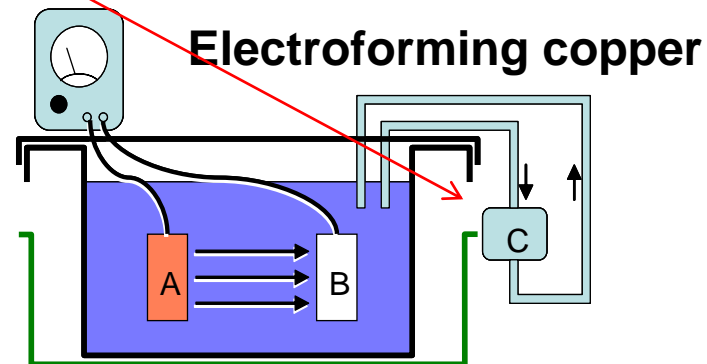
- **Constructed electroformed Cu cryostat**

- 30 cm dia x 30 cm high
- Vacuum tested

- **Th chain purity in Cu is key**

- Ra and Th must be eliminated
- Remove Ra, Th by ion exchange during electroforming
- Starting stock  $<9 \mu\text{Bq/kg } ^{232}\text{Th}$

- **Using  $^{229}\text{Th}$  tracer, demonstrated a factor of  $> 8000$  Th rejection via electroforming**

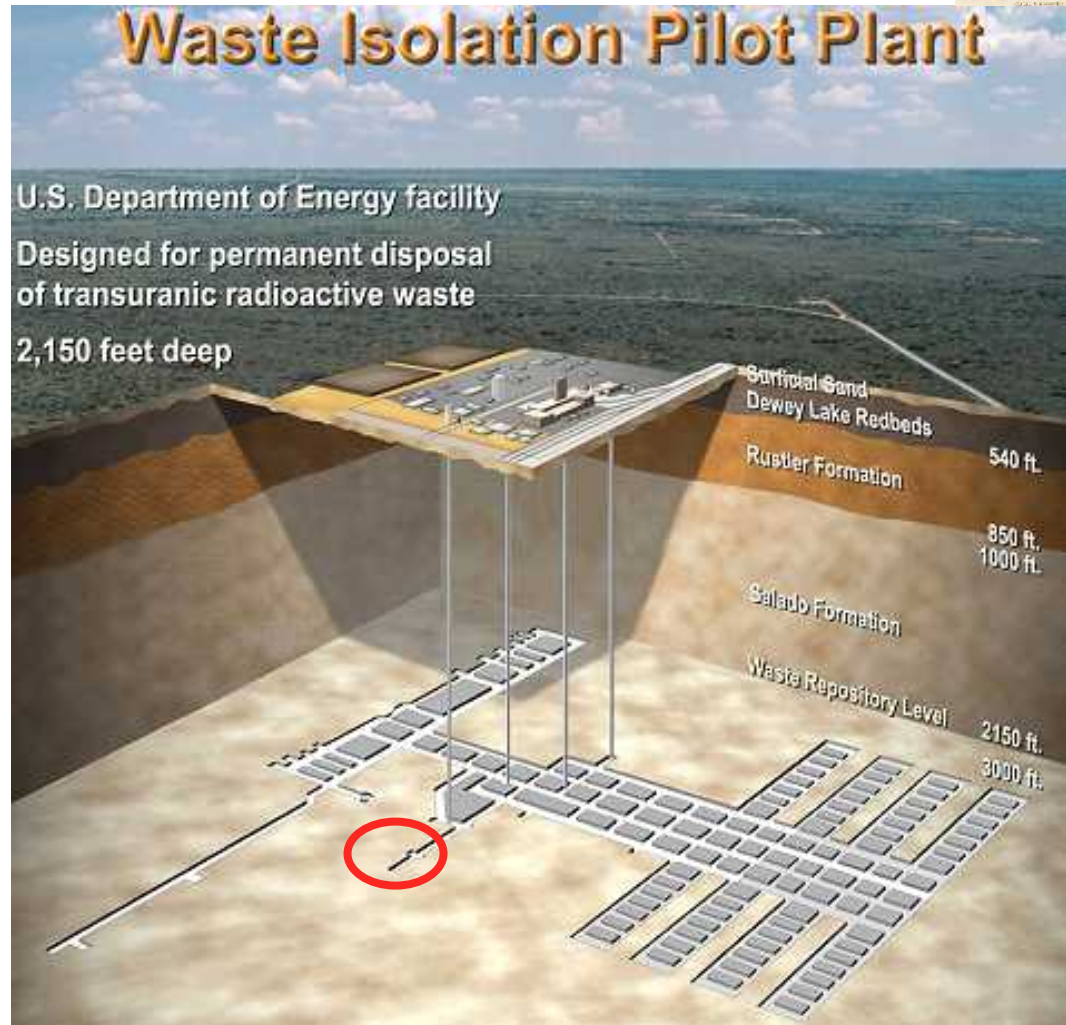


We expect to achieve the  $1 \mu\text{Bq/kg } ^{232}\text{Th}$  specification

# WIPP



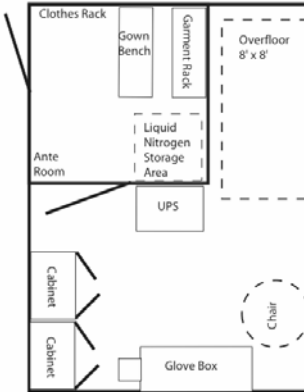
- DOE Facility
- Impressive infrastructure
- Modest depth (1600 mwe)
- Science as add-on to primary mission
- Low background counting lab being built  
**MEGA-SEGA**



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# WIPP Construction



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# Assembling MEGA at WIPP



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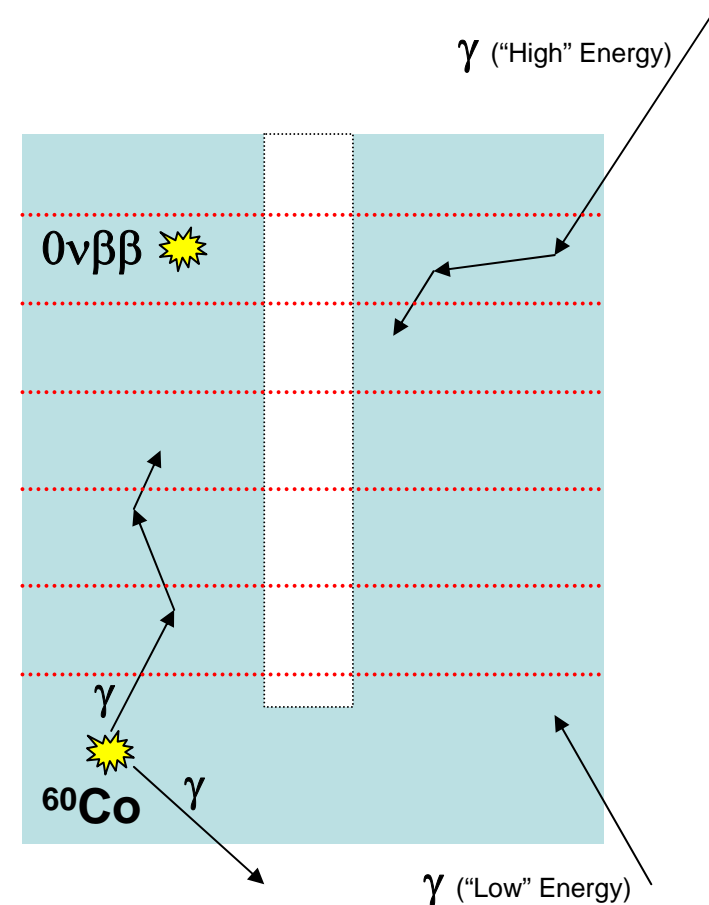
# Readiness - Crystal Segmentation



- **Segmentation**
  - Multiple conductive contacts
  - Additional electronics and small parts
  - Rejection greater for more segments
- **Background discrimination**
  - Multi-site energy deposition
    - Simple two-segment rejection
    - Sophisticated multi-segment signal processing can provide 2 mm reconstruction of events
- **Demonstrated**

(Note: reference plan has 2 segments)

  - MSU experiment (4x8 segments)
  - LANL Clover detector (2 segments)
  - LLNL+LBNL detector (8x5 segments)

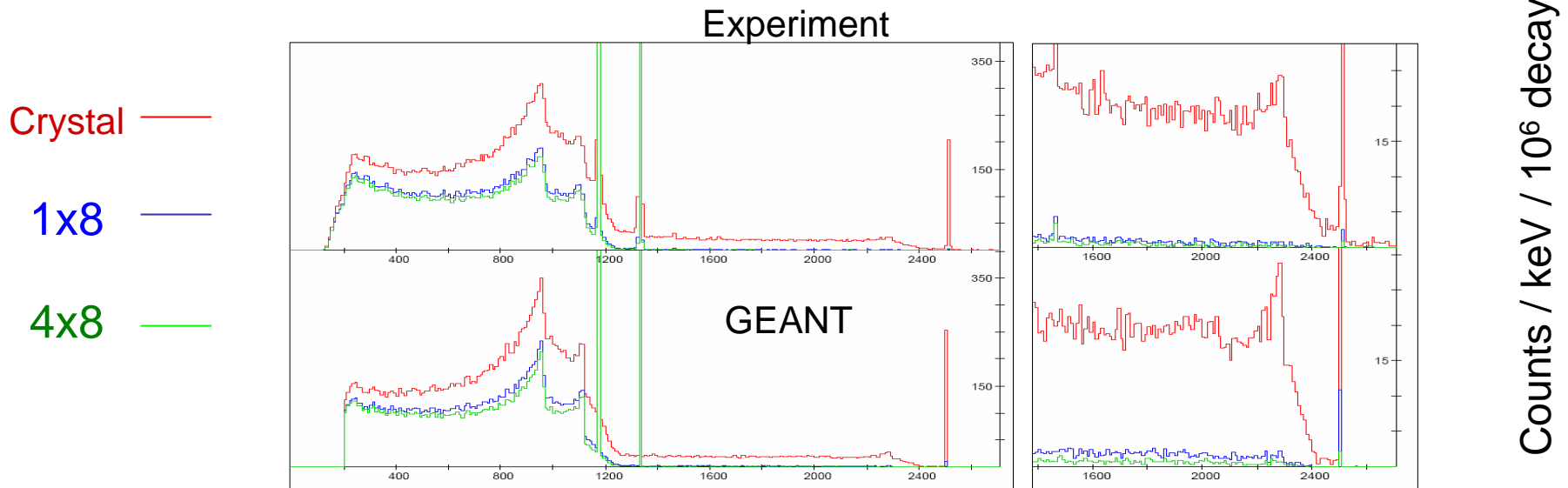


# Segmentation test & simulation comparison



## Experiment with MSU/NSCL Segmented Ge Array

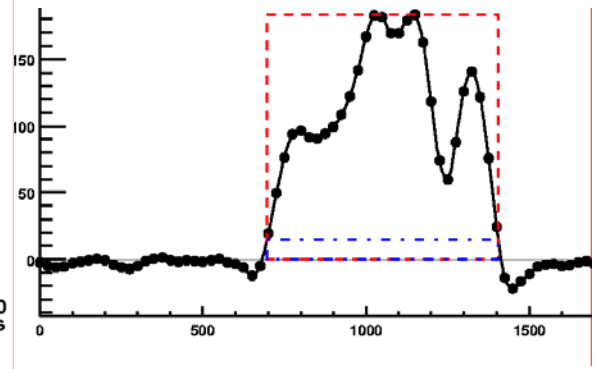
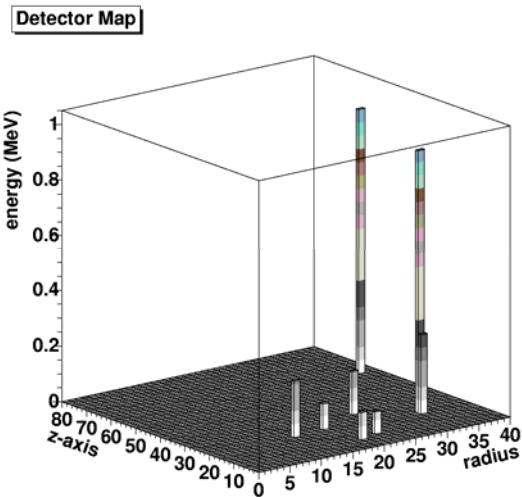
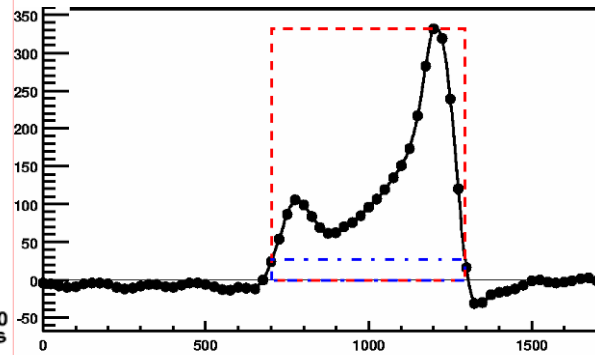
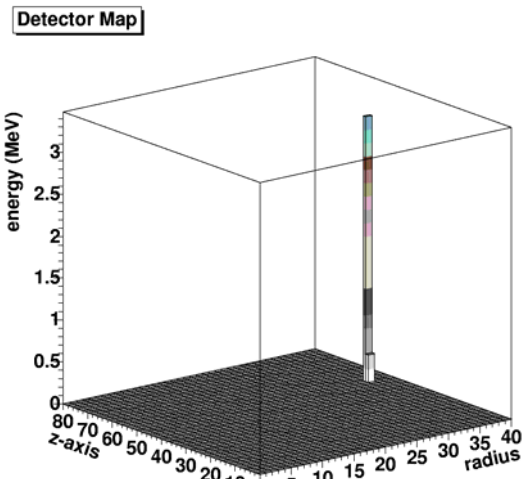
- N-type, 8 cm long, 7 cm diameter
- 4x8 segmentation scheme: 4 angular 90 degrees each, 8 longitudinal, 1 cm each
- $^{60}\text{Co}$  source
- **Segmentation successfully rejects backgrounds.**
- **In good agreement with the simulations**



# Readiness - Pulse Shape Discrimination (PSD)



## Central contact (radial) PSD



- Excellent rejection for internal  $^{68}\text{Ge}$  and  $^{60}\text{Co}$  (x4)
- Moderate rejection of external 2615 keV (x0.8)
- Shown to work well with segmentation
- Demonstrated capability
  - central contact
  - outer contacts

PSD uses off-the-shelf waveform digitizers

July 11, 2005

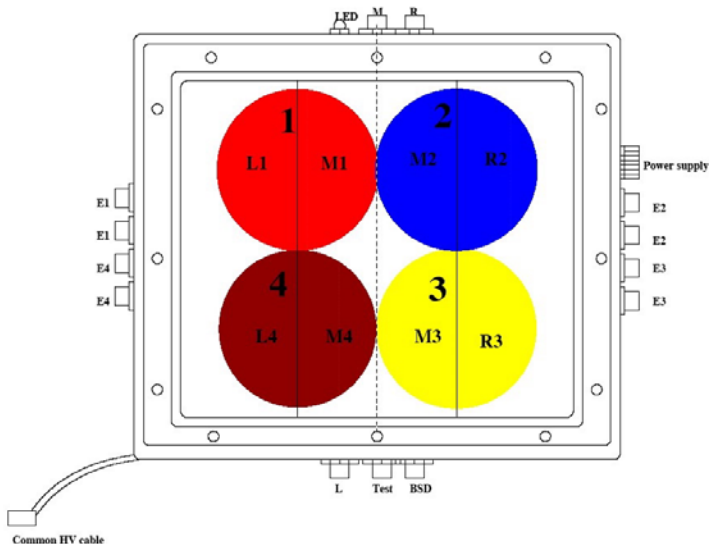
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# Demonstration of Segmentation & PSD



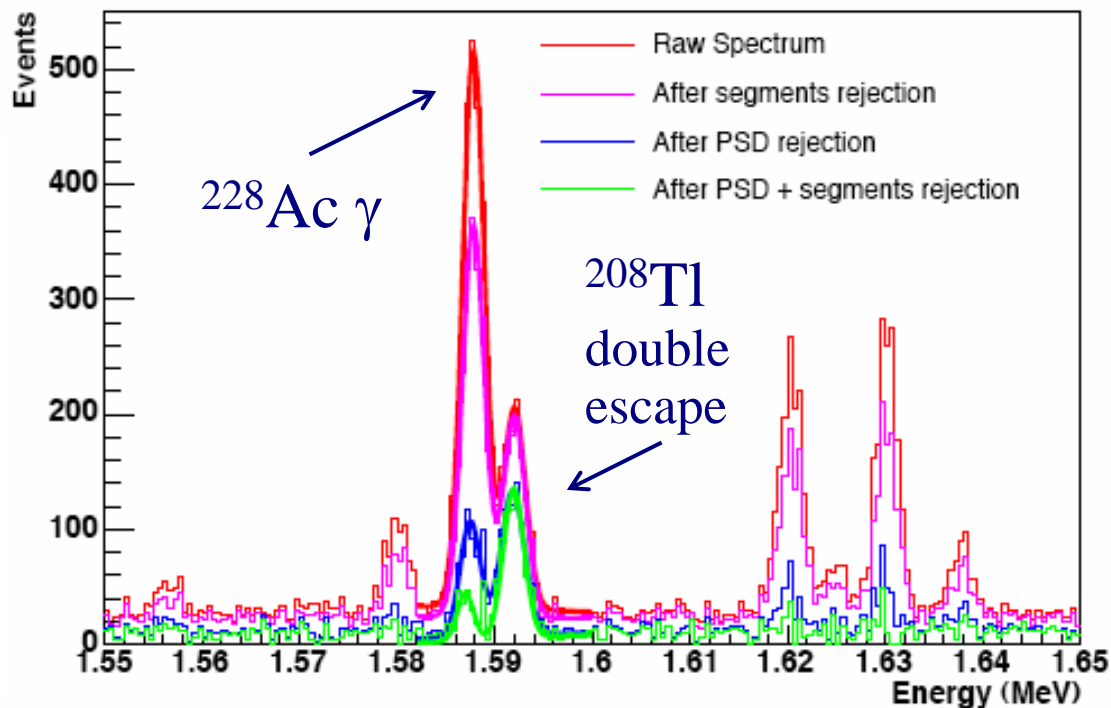
We have data that demonstrates the hypothesis that the PSD and segmentation cuts are independent.

## Clover detector

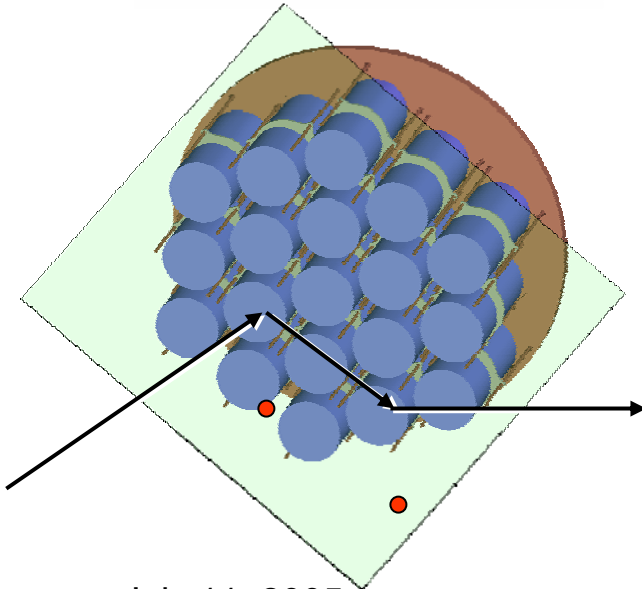
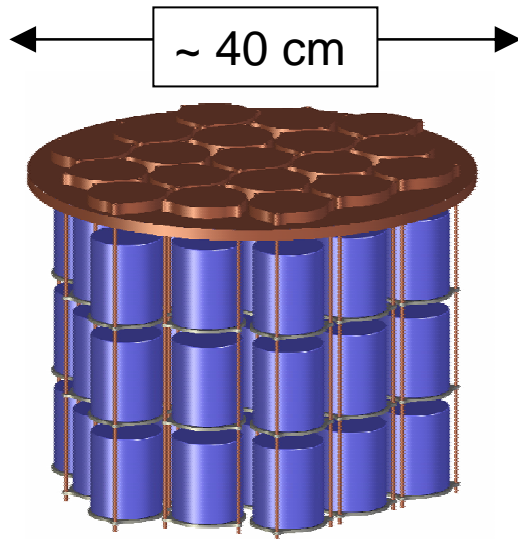


4-Crystals

Th source



# Array Granularity *detector-to-detector rejection*



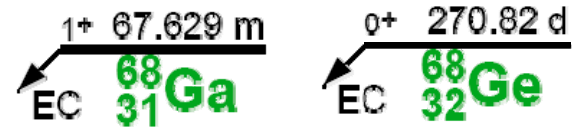
- **Simultaneous signals in two detectors cannot be  $0\nu\beta\beta$**
- **Requires tightly packed Ge**
- **Successful against:**
  - $^{208}\text{Tl}$  and  $^{214}\text{Bi}$ 
    - Supports/small parts (~5x)
    - Cryostat/shield (~2x)
  - Some neutrons
  - Muons (~10x)
- **Simulation and validation with Clover**

Granularity is basically free and a powerful background suppressor.



# Readiness - Time Correlations

- $^{68}\text{Ge}$  is worst initial raw background
  - $^{68}\text{Ge} \rightarrow 10.367 \text{ keV x-ray, 95\% eff}$
  - $^{68}\text{Ga} \rightarrow 2.9 \text{ MeV beta}$
- Cut for 3-5 half-lives after signals



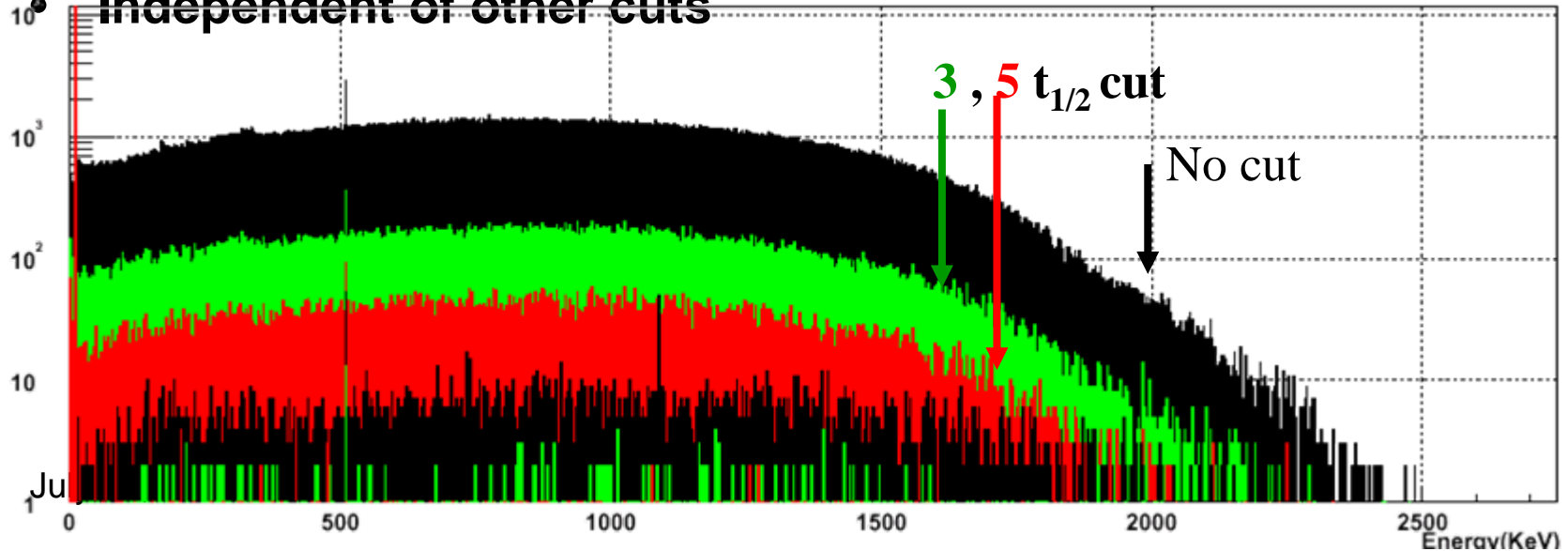
$Q_{\text{EC}} = 2921.1$

$Q_{\text{EC}106}$

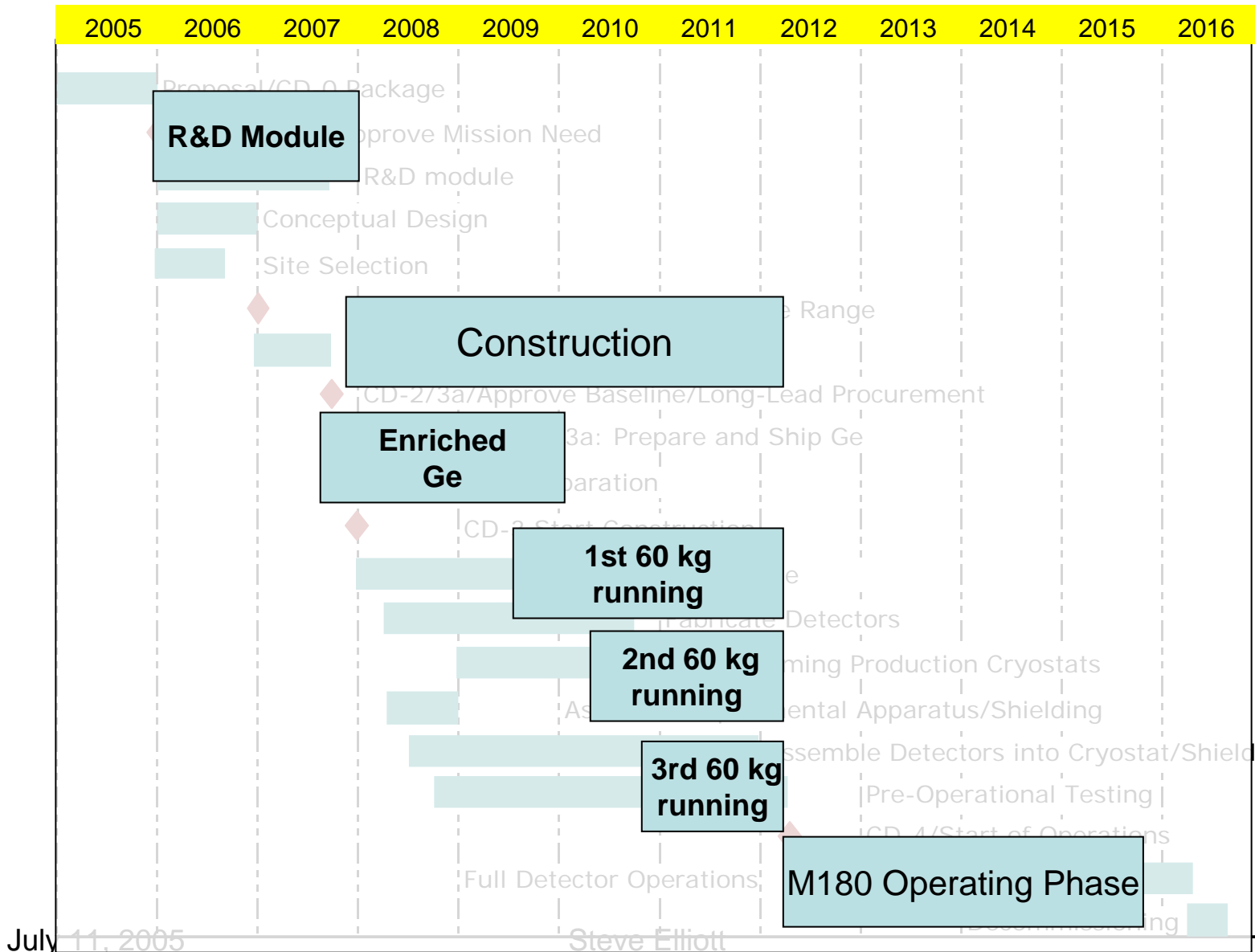
SSTC is powerful against our largest raw background,  $^{68}\text{Ge}$ .



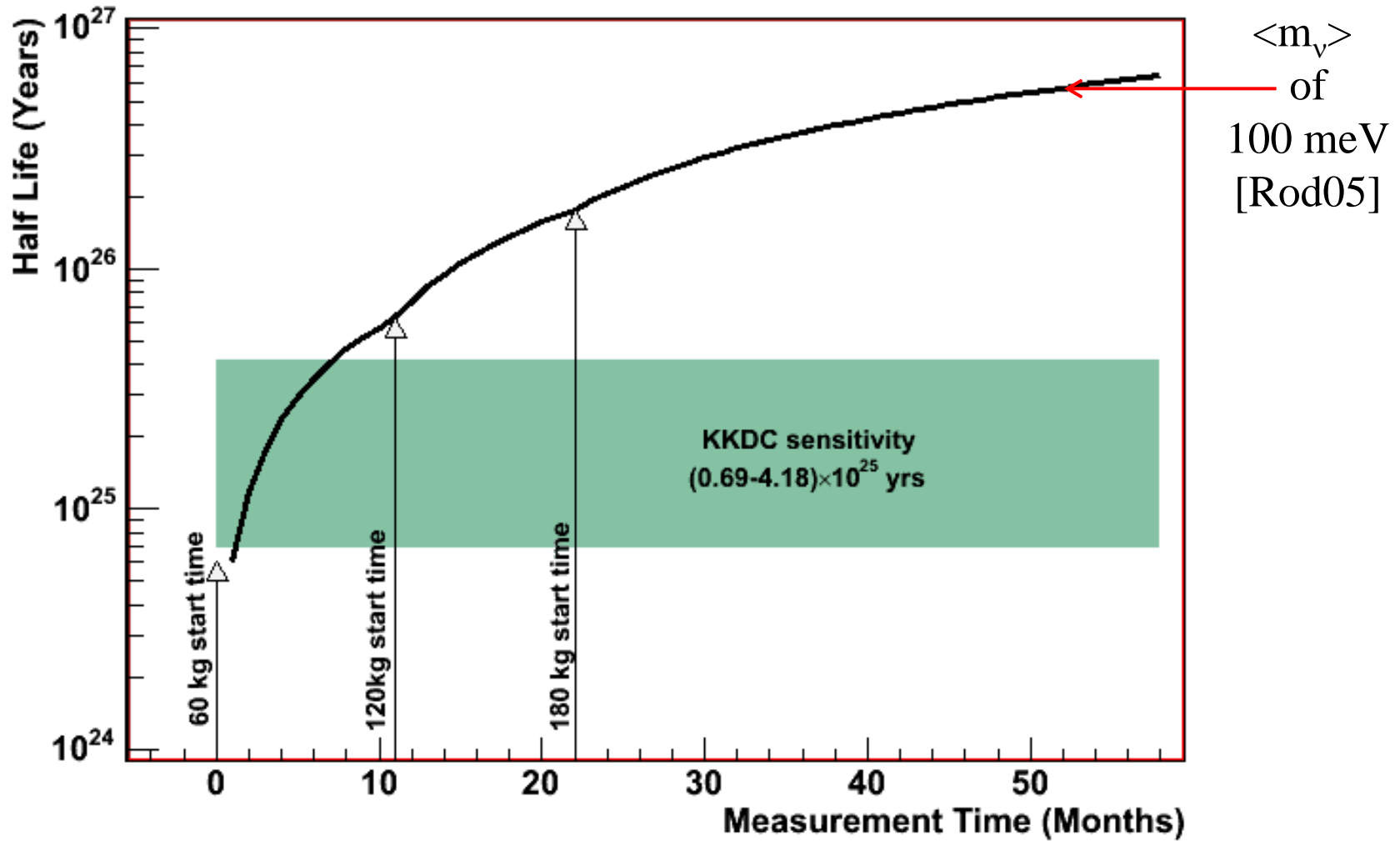
Independent of other cuts



# Schedule



# Majorana Sensitivity: Realistic runtime





To deduce  $m_{\beta\beta}$  from  $\tau$ , one needs Matrix Elements

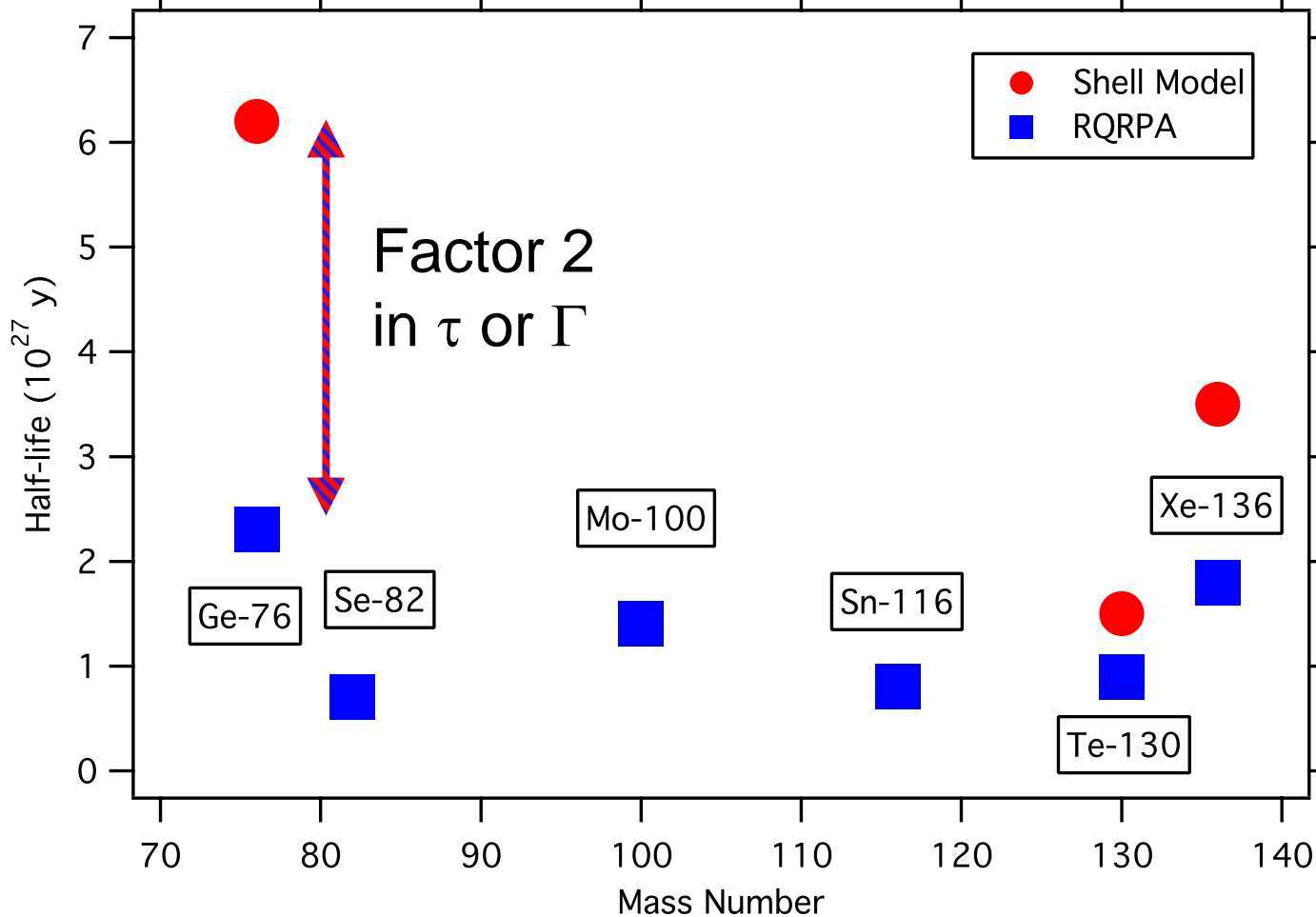
$$\frac{1}{\tau_{0\nu}} = G_{0\nu} |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

- If  $\beta\beta$  is observed, the qualitative physics conclusions are profound regardless of  $|M|$ .
- There are many calculations of  $|M|$ . Which should be used to deduce  $m_{\beta\beta}$ ?
- **How do we interpret the uncertainty associated with the nuclear physics?**

# Progress in Understanding the Matrix Element Uncertainty

- Previous spread is mostly due to the various implementations of QRPA.
- Rodin et al. show that QRPA results tighten up (typically to ~20% uncertainty in half life):
  - When implementation differences are accounted for
  - One uses  $\beta\beta(2\nu)$  to set the free parameter
- Recent shell model numbers are comparable (differ < factor of 2). But these calculations are still evolving.

# RQRPA\* and Shell Model Predictions



$$m_{\beta\beta} \approx \sqrt{\Gamma}$$

$$\delta m_{\beta\beta} \approx \frac{1}{2} \delta \Gamma$$

\*renormalized  
quasiparticle  
random phase  
approximation

## Progress in testing the matrix elements

- Rodin *et al.* used  $\beta\beta(2\nu)$  to set free parameter in QRPA. They found that this removed most of the spread in the  $\beta\beta(0\nu)$  QRPA values. (nucl-th/0503063)
- Suhonen showed that this technique for setting  $g_{pp}$  predicted poor  $\beta$  and  $\beta^+$  rates. He advocates using those measurements to set the parameter. (nucl-th/0412064)
- **We'll be watching this productive debate closely.**

# Summary

- **Science:**
  - *Neutrino mass interest*
  - *Potential for discovery*
  - *Even null results will be interesting*
- **Infrastructure:**
  - *Enrichment availability/Underground facility development*
- **Moderate-sized apparatus:**
  - *Modest footprint*
  - *No need for large underground cavity*
- **Low Risk:**
  - *Proven technology/ Modular instrument / Re-configurable*
- **Experienced and Substantial Collaboration**
  - *Long neutrino science track record, many technical resources*

# The Majorana Collaboration



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Operated by Battelle for the U.S. Department of Energy

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July 11, 2005

*Note: Red text indicates students*

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