

# ANALYSIS FOR TRUSTWORTHY SOFTWARE

DAVID VAN HORN

WITH SUPPORT FROM  
NSF, DARPA, CRA, & GOOGLE









## Example Apps (1:2)

★Invented in theater



PLI  
Blue-force tracking



DASH  
Messages



RTC  
Chat rooms



Collab  
Live Collaboration



SAR  
Coordinated Search & Rescue



STREAM  
Sensor streaming



SpeedTest  
Network performance

Works with network



Maps  
High res maps navigation, layers



Mapdraw  
Operational graphics



TRAQ  
GPS trace & media



Transheat ★  
Patrol heatmap



Debrief ★  
Patrol review



TIGR  
Events, Places  
People, Reports

With or without network



Patrolview  
Collector & Viewer



WhoDat ★  
Local population



Trip Ticket ★  
Personnel & Eqpt mgmt



Agora  
Apps portal & feedback



Nowtu  
User empowered training



Paranav  
Airborne navigation

With or without network



WAM ★  
Weapons and Ammunitions



Dimmer ★  
Night time ops



Transtalk  
Pashto and Dari Translator



MSD ★  
Minimum safety distance



Medical training modules  
Administer morphine,  
Apply tourniquet



Gammapix  
Radiation detection



ACOZ ★  
Julian date converter

Works without network

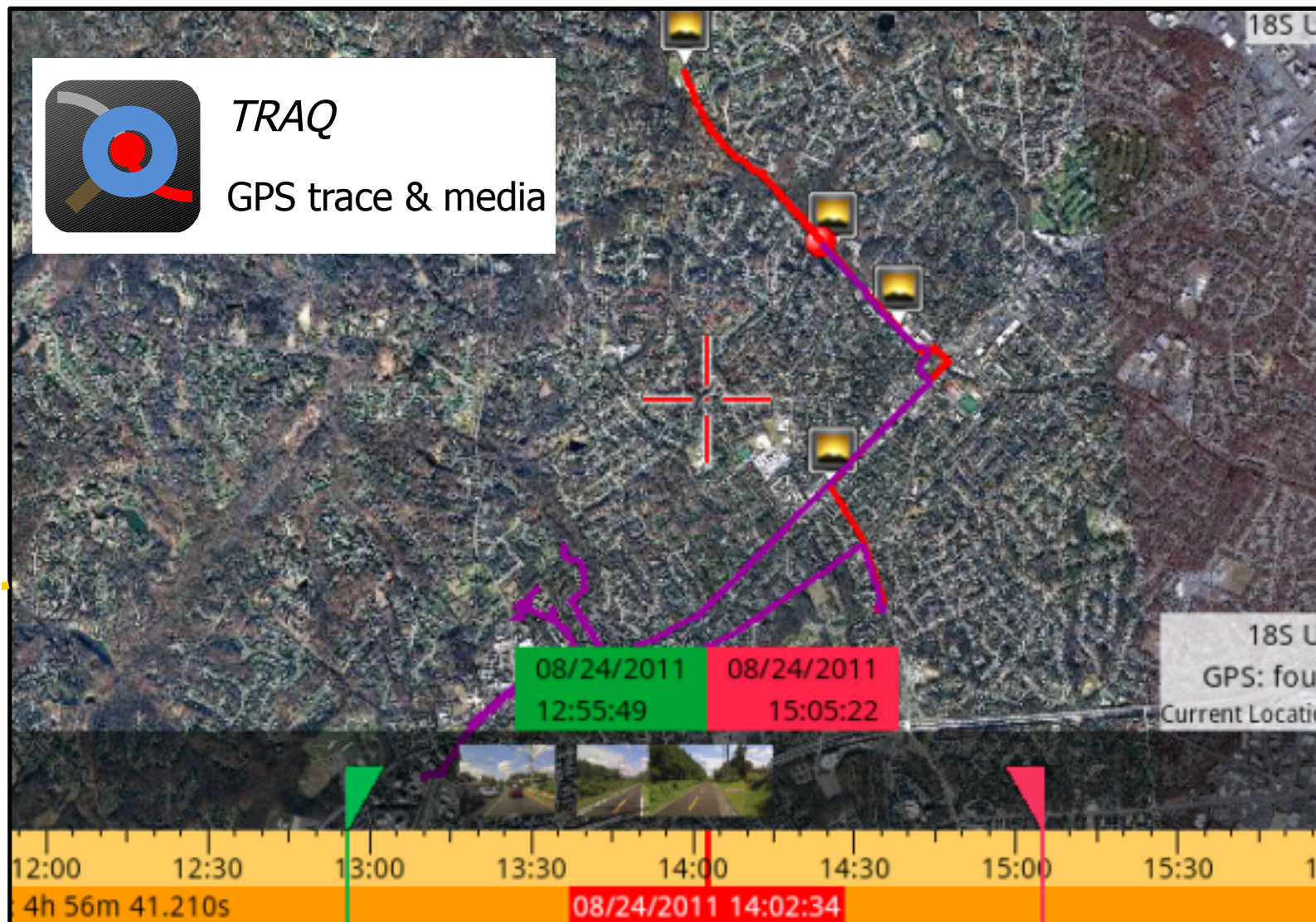






TRAQ

GPS trace & media











## Example Apps (1:2)

★Invented in theater



PLI  
Blue-force tracking



DASH  
Messages



RTC  
Chat rooms



Collab  
Live Collaboration



SAR  
Coordinated Search & Rescue



STREAM  
Sensor streaming



SpeedTest  
Network performance

Works with network



Maps  
High res maps navigation, layers



Mapdraw  
Operational graphics



TRAQ  
GPS trace & media



Transheat ★  
Patrol heatmap



Debrief ★  
Patrol review



TIGR  
Events, Places  
People, Reports

With or without network



Patrolview  
Collector & Viewer



WhoDat ★  
Local population



Trip Ticket ★  
Personnel & Eqpt mgmt



Agora  
Apps portal & feedback



Nowtu  
User empowered training



Paranav  
Airborne navigation

With or without network



WAM ★  
Weapons and Ammunitions



Dimmer ★  
Night time ops



Transtalk  
Pashto and Dari Translator



MSD ★  
Minimum safety distance



Medical training modules  
Administer morphine,  
Apply tourniquet



Gammapix  
Radiation detection



ACOZ ★  
Julian date converter

Works without network





# When is this software trustworthy?



## Example Apps (1:2)

★Invented in theater



PLI  
Blue-force tracking



DASH  
Messages



RTC  
Chat rooms



Collab  
Live Collaboration



SAR  
Coordinated Search & Rescue



STREAM  
Sensor streaming



SpeedTest  
Network performance

Works with network



Maps  
High res maps navigation, layers



Mapdraw  
Operational graphics



TRAQ  
GPS trace & media



Transheat ★  
Patrol heatmap



Debrief ★  
Patrol review



TIGR  
Events, Places  
People, Reports

With or without network



Patrolview  
Collector & Viewer



WhoDat ★  
Local population



Trip Ticket ★  
Personnel & Eqpt mgmt



Agora  
Apps portal & feedback



Nowtu  
User empowered training



Paranav  
Airborne navigation

With or without network



WAM ★  
Weapons and Ammunitions



Dimmer ★  
Night time ops



Transtalk  
Pashto and Dari Translator



MSD ★  
Minimum safety distance



Medical training modules  
Administer morphine,  
Apply tourniquet



Gammapix  
Radiation detection



ACOZ ★  
Julian date converter

Works without network



“When we trust a system, we trust it will behave as we expect it to.”

— Bruce Schneier

Trust “involves the risk of failure or harm to the trustor if the trustee will not behave as desired.”

— Wikipedia, *Trust (social sciences)*



# When is this software trustworthy?



## Example Apps (1:2)

★Invented in theater



PLI  
Blue-force  
tracking



DASH  
Messages



RTC  
Chat rooms



Collab  
Live Collaboration



SAR  
Coordinated  
Search & Rescue



STREAM  
Sensor streaming



SpeedTest  
Network performance

Works with network



Maps  
High res maps  
navigation, layers



Mapdraw  
Operational graphics



TRAQ  
GPS trace & media



Transheat ★  
Patrol heatmap



Debrief ★  
Patrol review



TIGR  
Events, Places  
People, Reports

With or without network



Patrolview  
Collector &  
Viewer



WhoDat ★  
Local population



Trip Ticket ★  
Personnel & Eqpt  
mgmt



Agora  
Apps portal & feedback



Nowtu  
User empowered  
training



Paranav  
Airborne navigation

With or without network



WAM ★  
Weapons and  
Ammunitions



Dimmer ★  
Night time ops



Transtalk  
Pashto and Dari  
Translator



MSD ★  
Minimum  
safety distance



Medical training modules  
Administer morphine,  
Apply tourniquet



Gammapix  
Radiation detection



ACOZ ★  
Julian date converter

Works without network































# When is this software trustworthy?



## Example Apps (1:2)

★Invented in theater

 <b>PLI</b> Blue-force tracking	 <b>Maps</b> High res maps navigation, layers	 <b>Patrolview</b> Collector & Viewer	 <b>WAM</b> ★ Weapons and Ammunitions
 <b>DASH</b> Messages	 <b>Mapdraw</b> Operational graphics	 <b>WhoDat</b> ★ Local population	 <b>Dimmer</b> ★ Night time ops
 <b>RTC</b> Chat rooms	 <b>TRAQ</b> GPS trace & media	 <b>Trip Ticket</b> ★ Personnel & Eqpt mgmt	 <b>Hello</b> ★ Pashto and Dari Translator
 <b>Collab</b> Live Collaboration	 <b>Transheat</b> ★ Patrol heatmap	 <b>AGORA</b> Apps portal & feedback	 <b>MSD</b> ★ Minimum safety distance
 <b>SAR</b> Coordinated Search & Rescue	 <b>Debrief</b> ★ Patrol review	 <b>Nowtu</b> User empowered training	 <b>Medical training modules</b> Administer morphine, Apply tourniquet
 <b>STREAM</b> Sensor streaming	 <b>TIGR</b> Events, Places People, Reports	 <b>Paranav</b> Airborne navigation	 <b>Gammapix</b> Radiation detection
 <b>SpeedTest</b> Network performance			 <b>ACOZ</b> ★ Julian date converter
Works with network	With or without network	With or without network	Works without network

When we can predict it will not misbehave.





# When is this software misbehaving?



## Example Apps (1:2)

★Invented in theater



PLI  
Blue-force  
tracking



DASH  
Messages



RTC  
Chat rooms



Collab  
Live Collaboration



SAR  
Coordinated  
Search & Rescue



STREAM  
Sensor streaming



SpeedTest  
Network performance

Works with network



Maps  
High res maps  
navigation, layers



Mapdraw  
Operational graphics



TRAQ  
GPS trace & media



Transheat ★  
Patrol heatmap



Debrief ★  
Patrol review



TIGR  
Events, Places  
People, Reports

With or without network



Patrolview  
Collector &  
Viewer



WhoDat ★  
Local population



Trip Ticket ★  
Personnel & Eqpt  
mgmt



Agora  
Apps portal & feedback



Nowtu  
User empowered  
training



Paranav  
Airborne navigation

With or without network



WAM ★  
Weapons and  
Ammunitions



Dimmer ★  
Night time ops



Hello  
Transtalk  
Pashto and Dari  
Translator



MSD ★  
Minimum  
safety distance



Medical training modules  
Administer morphine,  
Apply tourniquet



Gammapix  
Radiation detection



AC0Z ★  
Julian date converter

Works without network





# When is this software misbehaving?



*TRAQ*

GPS trace & media

When it sends GPS data  
to a non-white listed URL.



# When is this software misbehaving?



*Gammapix*

Radiation detection

When it uses the network.





# When is this software misbehaving?



*SpeedTest*

Network performance

When it uses the camera.





# When is this software misbehaving?



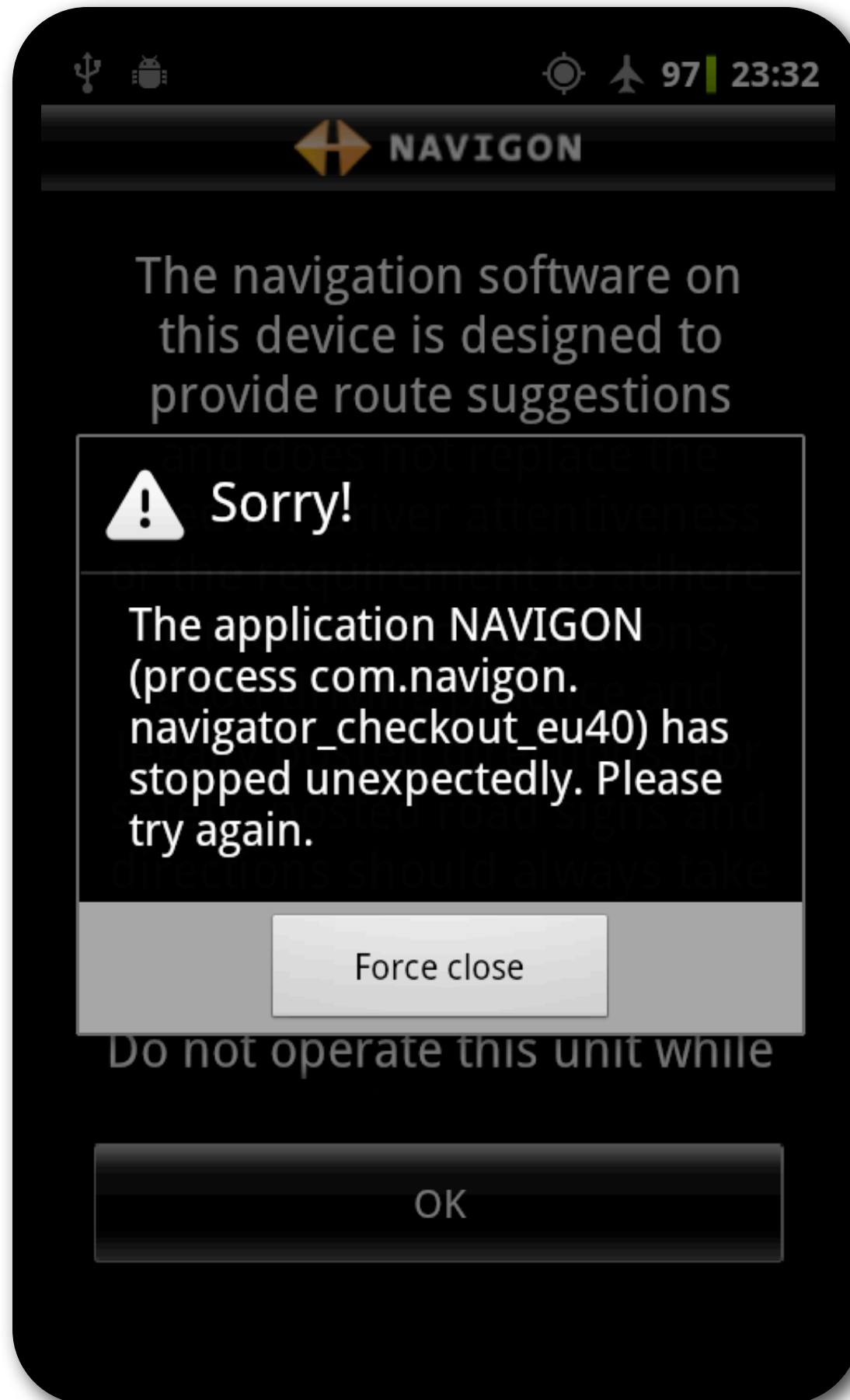
*Paranav*

Airborne navigation

When it raises an  
uncaught exception.



# When is this software misbehaving?



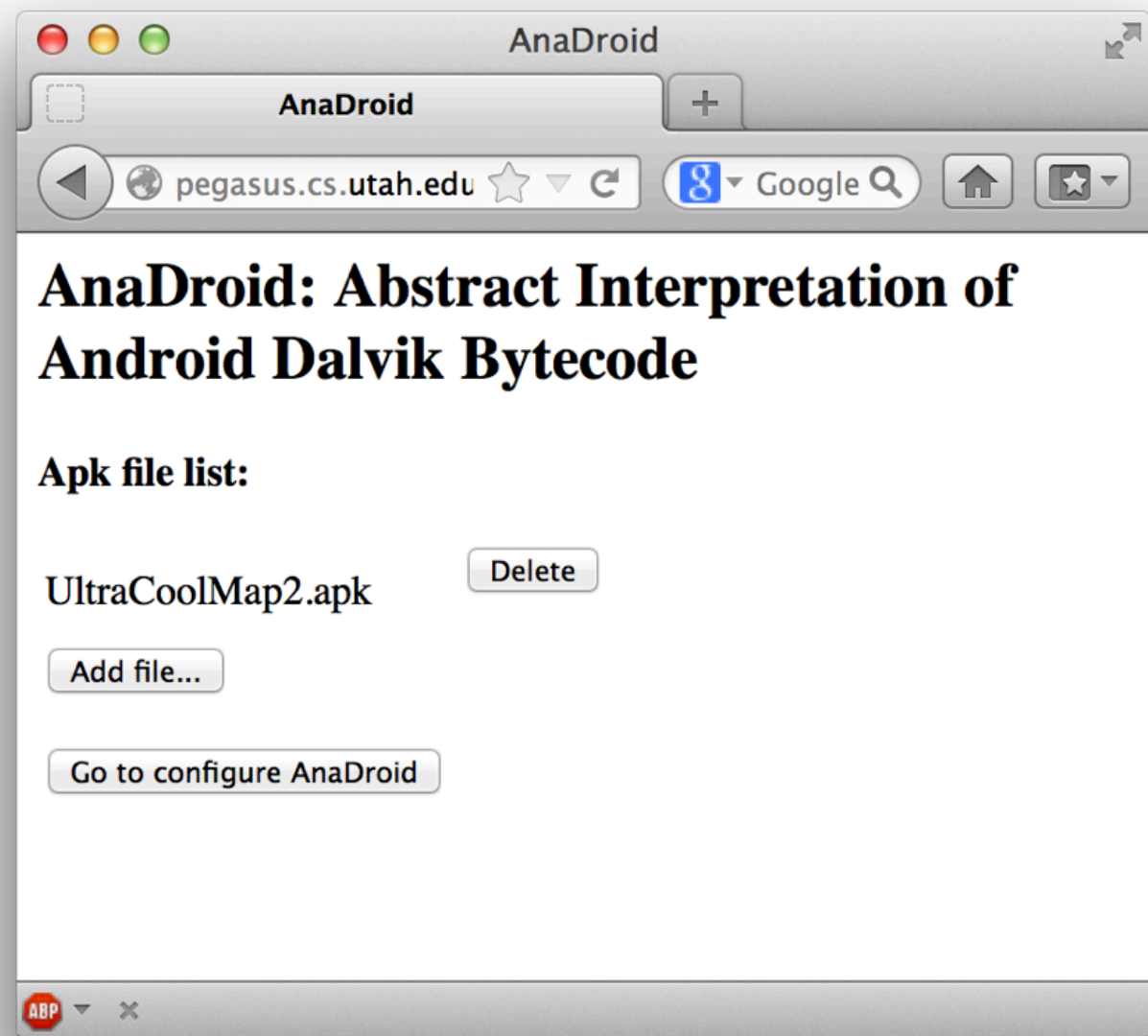


To trust software,  
we must predict its (mis)behavior.

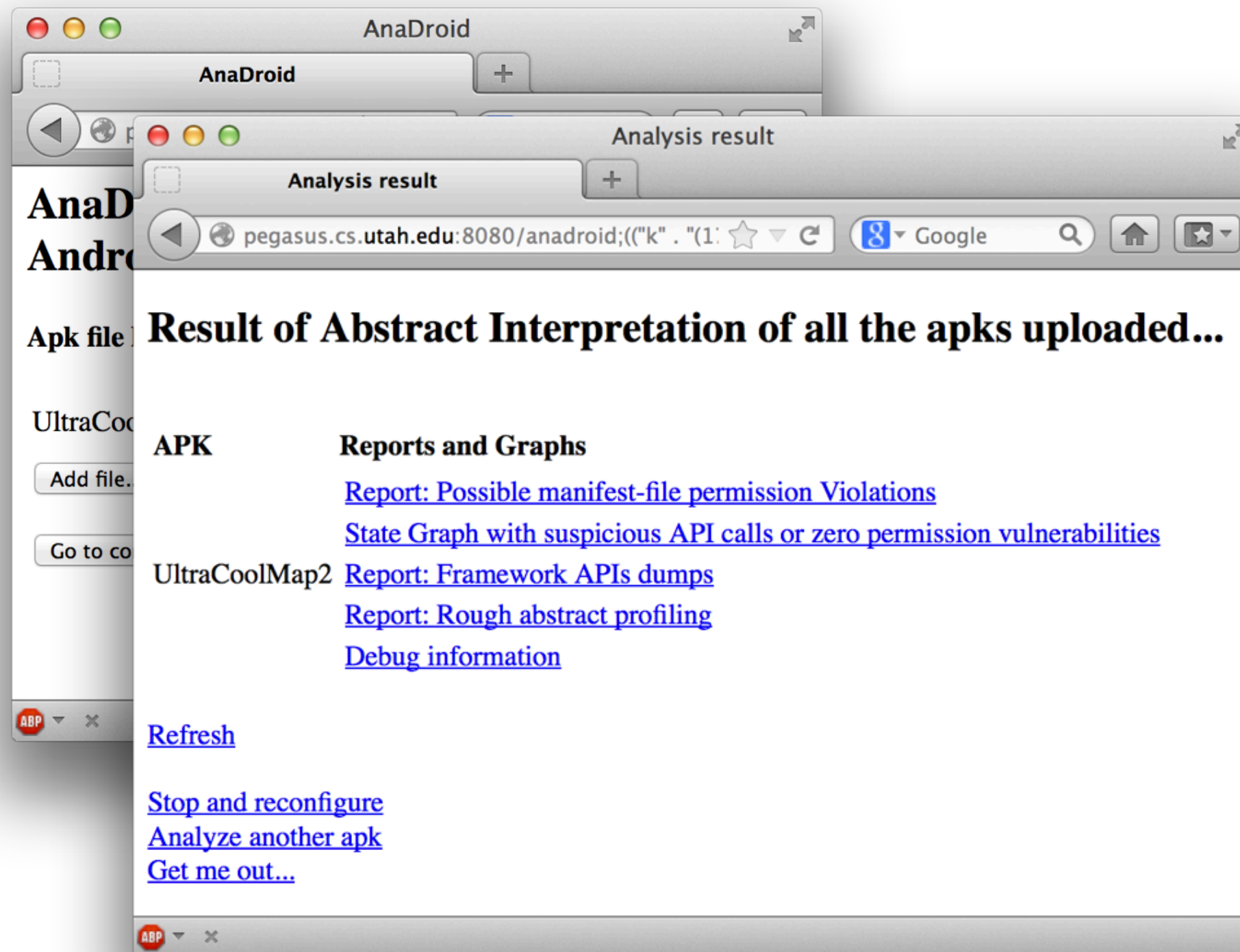
To trust software,  
we must predict its (mis)behavior.

I build tools and techniques for  
**predicting the behavior of software.**





Automated Program Analysis for  
Cybersecurity (APAC)



Analysis for  
Cybersecurity (APAC)



AnaDroid

Analysis result

pegasus.cs.utah.edu:8080/anadroid;(("k" . "(1: ☆ ▾ ↻) Google

## Result of Abstract Interpretation of all the apks uploaded...

APK	Reports and Graphs
	<a href="#">Report: Possible manifest-file permission Violations</a> <a href="#">State Graph with suspicious API calls or zero permission vulnerabilities</a>
UltraCoolMap2	<a href="#">Report: Framework APIs dumps</a> <a href="#">Report: Rough abstract profiling</a> <a href="#">Debug information</a>

[Refresh](#)

[Stop and reconfigure](#)  
[Analyze another apk](#)  
[Get me out...](#)



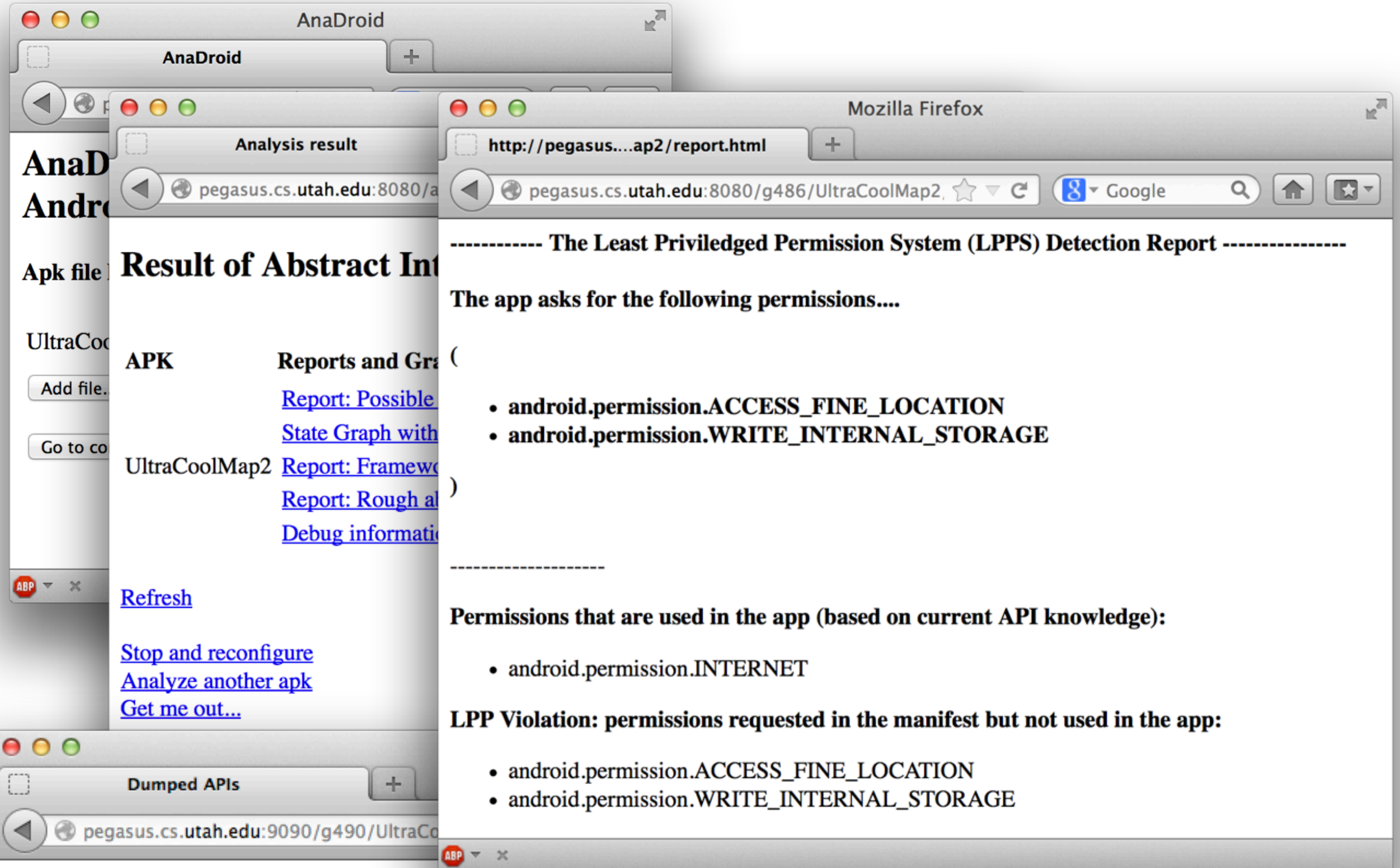
Analysis for

Dumped APIs

pegasus.cs.utah.edu:9090/g490/UltraCoolMap/all-apis.html ☆ ▾ ↻ Google

## APIs used in the app

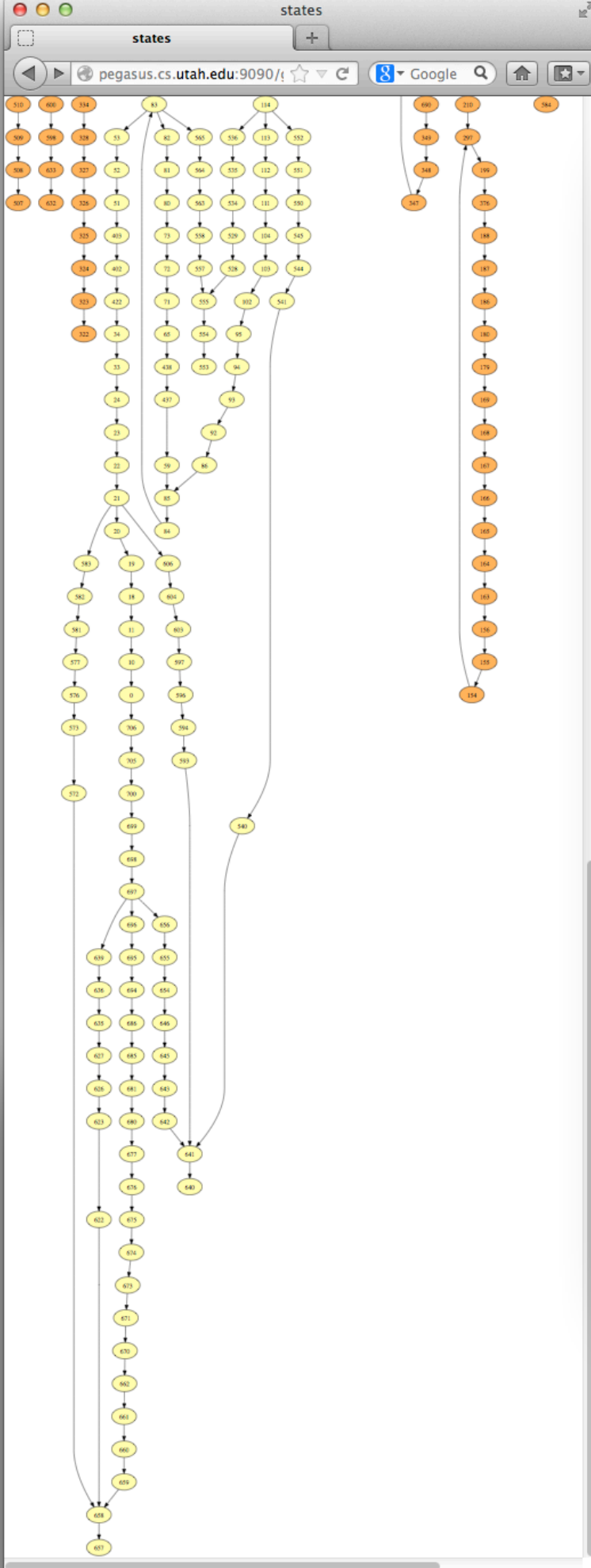
- (#s(compact-meth org/apache/http/impl/client/DefaultHttpClient/ ()) . #s(and-perms (android.permission.INTERNET)))
- (#s(compact-meth org/apache/http/client/methods/HttpGet/ ((object java/net/URI))) . #f)
- (#s(compact-meth org/apache/http/client/HttpClient/execute ((object org/apache/http/client/methods/HttpRequest))) . #f)



## APIs used in the app

- (#s(compact-meth org/apache/http/impl/client/DefaultHttpClient/ ()) . #s(and-perms (android.permission.INTERNET)))
- (#s(compact-meth org/apache/http/client/methods/HttpGet/ ((object java/net/URI))) . #f)
- (#s(compact-meth org/apache/http/client/HttpClient/execute ((object org/apache/http/client/methods/HttpRequest))) . #f)





Mozilla Firefox

http://pegasus....ap2/report.html

pegasus.cs.utah.edu:8080/g486/UltraCoolMap2

----- The Least Priviledged Permission System (LPPS) Detection Report -----

The app asks for the following permissions....

- android.permission.ACCESS\_FINE\_LOCATION
- android.permission.WRITE\_INTERNAL\_STORAGE

Permissions that are used in the app (based on current API knowledge):

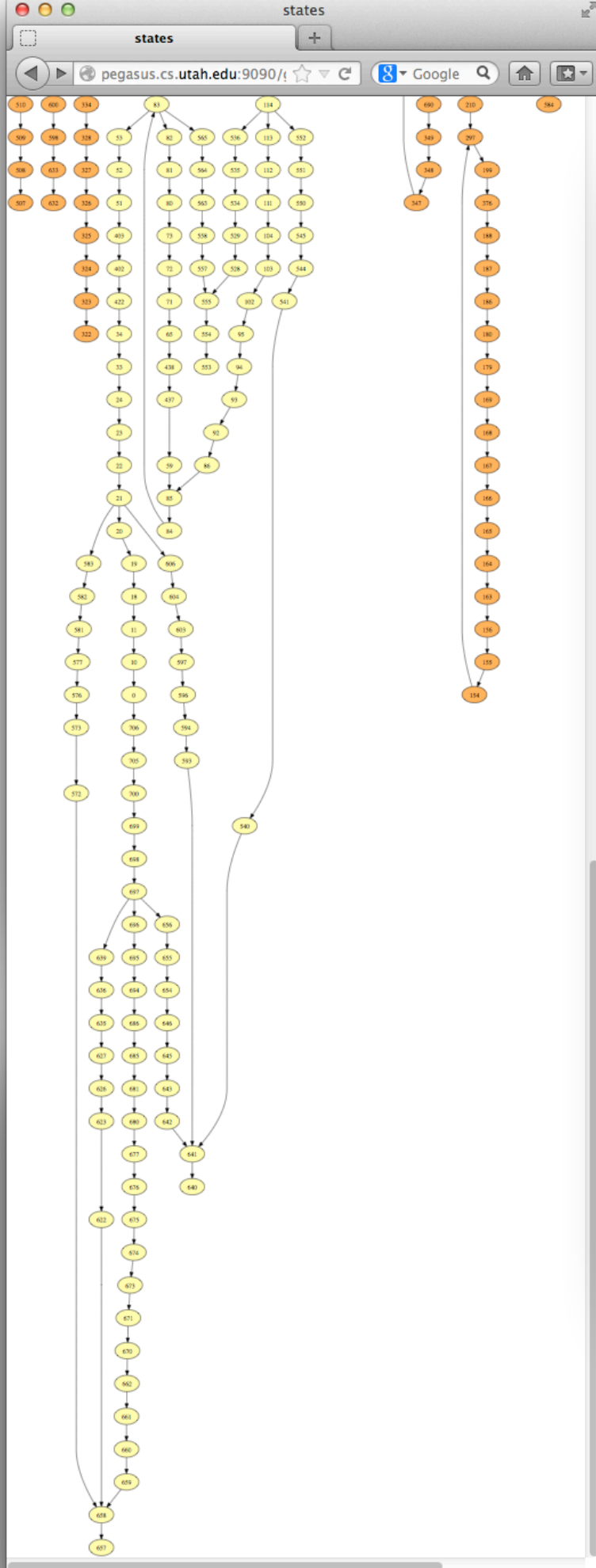
- android.permission.INTERNET

LPP Violation: permissions requested in the manifest but not used in the app:

- android.permission.ACCESS\_FINE\_LOCATION
- android.permission.WRITE\_INTERNAL\_STORAGE

ABP

```
impl/client/DefaultHttpClient/ ()) . #s(and-perms (android.permission.INTERNET)))  
client/methods/HttpGet/ ((object java/net/URI))) . #f)  
client/HttpClient/execute ((object org/apache/http/client/methods/HttpRequest))) . #f)
```



Mozilla Firefox

http://pegasus....ap2/report.html

pegasus.cs.utah.edu:8080/g486/UltraCoolMap2

----- The Least Priviledged Permission System (LPPS) Detection Report -----

The app asks for the following permissions....

Mozilla Firefox

http://pegasus....graph/639.html

pegasus.cs.utah.edu:9090/g491/UltraCoolMap/state-graph/639.html

State Details-----

Frame Pointer: #s(frame-pointer com/ultracoolmap/UltraCoolMapActivity\$ReallyBadName/doInBackground ())

Time: ((new-instance v0 org/apache/http/impl/client/DefaultHttpClient))

Statements:

- '((invoke-direct (v0) org/apache/http/impl/client/DefaultHttpClient/)
- (line 289)
- (new-instance v1 org/apache/http/client/methods/HttpGet)
- (const/4 v2 0)
- (aget-object v2 v4 v2)
- (invoke-direct
- (v1 v2)
- org/apache/http/client/methods/HttpGet/
- (object java/net/URI))

impl/client/

client/methods/HttpGet/ ((object java/net/URI))) . #f)

client/HttpClient/execute ((object org/apache/http/client/methods/HttpRequest))) . #f)



AndorsTrail	SplitTimer	SMSBackup
AndroidGame	SuperNote	SMSBlocker
AndroidPrivacyGuard_E	SuperSoduko	SMSPopup
Butane	SysMon	SysWatcherA
CalcA	SysWatcherB	SourceViewer
CalcB	TextSecure	UltraCoolMap
ConnectBot	ToDoList	YARR
CountdownTimer	Word Helper	AndroidsFortune
FunDraw	AndBible	CalcC
MorseCode	AndroidPrivacyGuard_M	CalcE
MyDrawA	BatteryIndicator	ColorMatcher
MyDrawC	CalcF	FullControl
NewsCollator	MediaFun	KitteyKittey
PasswordSaver	MyDrawD	Orienteering2
PersistentAssistant	OpenGPSTracker	Sanity
SmartWebCam	Orienteering1	TomDroid
SMSReminder	PicViewer	WiFinder
SourceViewer	Collaboration ShareLoc	



AndorsTrail	SplitTimer	SMSBackup
AndroidGame	SuperNote	SMSBlocker
AndroidPrivacyGuard_E	SuperSoduko	SMSPopup
Butane	SysMon	SysWatcherA
CalcA	SysWatcherB	SourceViewer
CalcB	TextSecure	UltraCoolMap
ConnectBot	ToDoList	YARR
CountdownTimer	Word Helper	AndroidsFortune
FunDraw	AndBible	CalcC
MorseCode	AndroidPrivacyGuard_M	CalcE
MyDrawA	BatteryIndicator	ColorMatcher
MyDrawC	CalcF	FullControl
NewsCollator	MediaFun	KitteyKittey
PasswordSaver	MyDrawD	Orienteering2
PersistantAssistant	OpenGPSTracker	Sanity
SmartWebCam	Orienteering1	TomDroid
SMSReminder	PicViewer	WiFinder
SourceViewer	Collaboration ShareLoc	





AndorsTrail	SplitTimer	SMSBackup
AndroidGame	SuperNote	SMSBlocker
AndroidPrivacyGuard_E	SuperSoduko	SMSPopup
Butane	SysMon	SysWatcherA
CalcA	SysWatcherB	SourceViewer
CalcB	TextSecure	UltraCoolMap
ConnectBot	ToDoList	YARR
CountdownTimer	Word Helper	AndroidsFortune
FunDraw	AndBible	CalcC
MorseCode	AndroidPrivacyGuard_M	CalcE
MyDrawA	BatteryIndicator	ColorMatcher
MyDrawC	CalcF	FullControl
NewsCollator	MediaFun	KitteyKittey
PasswordSaver	MyDrawD	Orienteering2
PersistentAssistant	OpenGPSTracker	Sanity
SmartWebCam	Orienteering1	TomDroid
SMSReminder	PicViewer	WiFinder
SourceViewer	Collaboration ShareLoc	



AndorsTrail	SplitTimer	SMSBackup
AndroidGame	SuperNote	SMSBlocker
AndroidPrivacyGuard_E	SuperSoduko	SMSPopup
Butane	SysMon	SysWatcherA
CalcA	SysWatcherB	SourceViewer
CalcB	TextSecure	UltraCoolMap
ConnectBot	ToDoList	YARR
CountdownTimer	Word Helper	AndroidsFortune
FunDraw	AndBible	CalcC
MorseCode	AndroidPrivacyGuard_M	CalcE
MyDrawA	BatteryIndicator	ColorMatcher
MyDrawC	CalcF	FullControl
NewsCollator	MediaFun	KitteyKittey
PasswordSaver	MyDrawD	Orienteering2
PersistentAssistant	OpenGPSTracker	Sanity
SmartWebCam	Orienteering1	TomDroid
SMSReminder	PicViewer	WiFinder
SourceViewer	Collaboration ShareLoc	





I build tools and techniques for  
predicting the behavior of software.

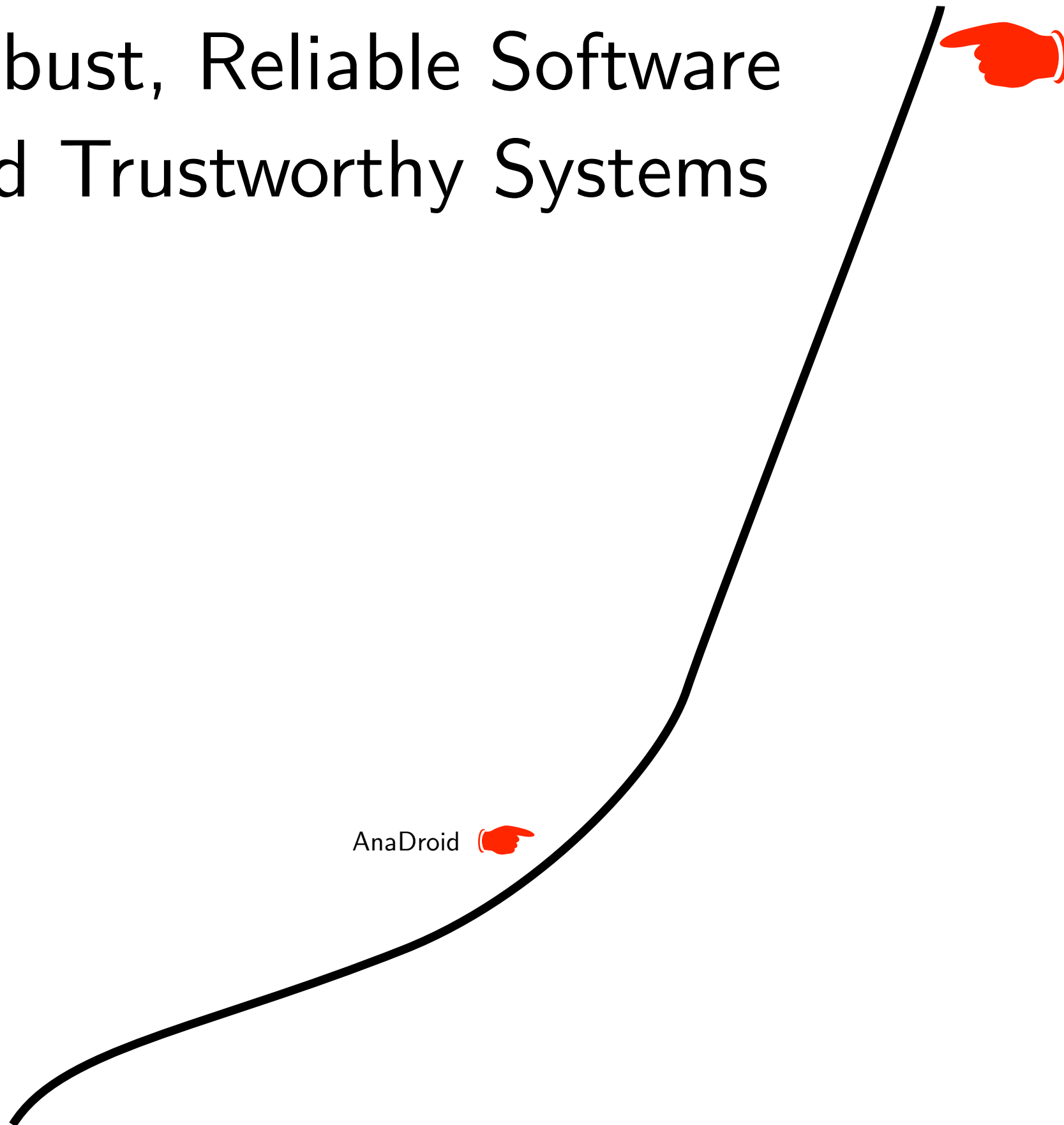
I build tools and techniques for  
**soundly** and **effectively**  
predicting the behavior of software.



AnaDroid



# Robust, Reliable Software and Trustworthy Systems





AnaDroid



# OUTLINE:

AnaDroid

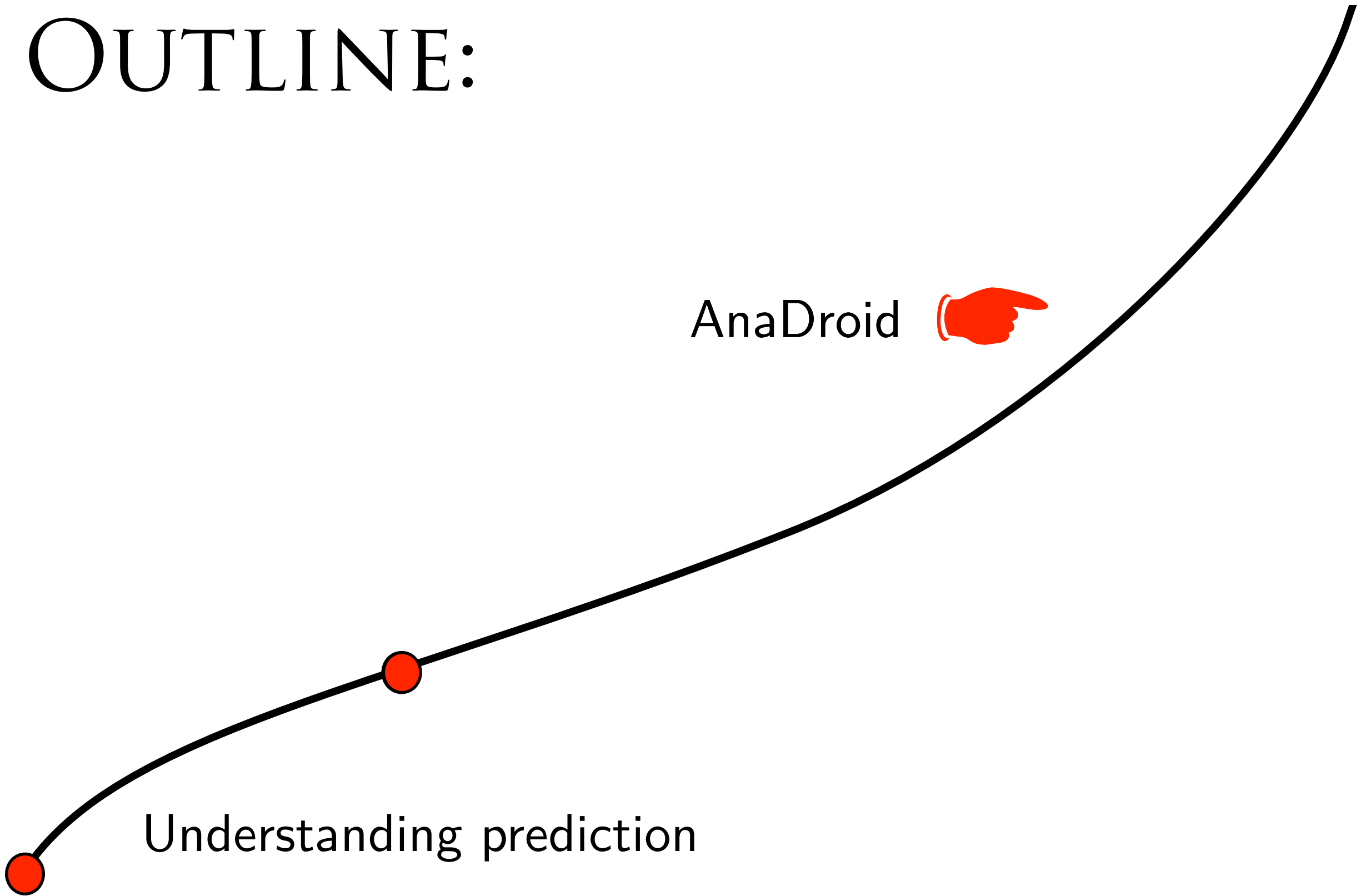




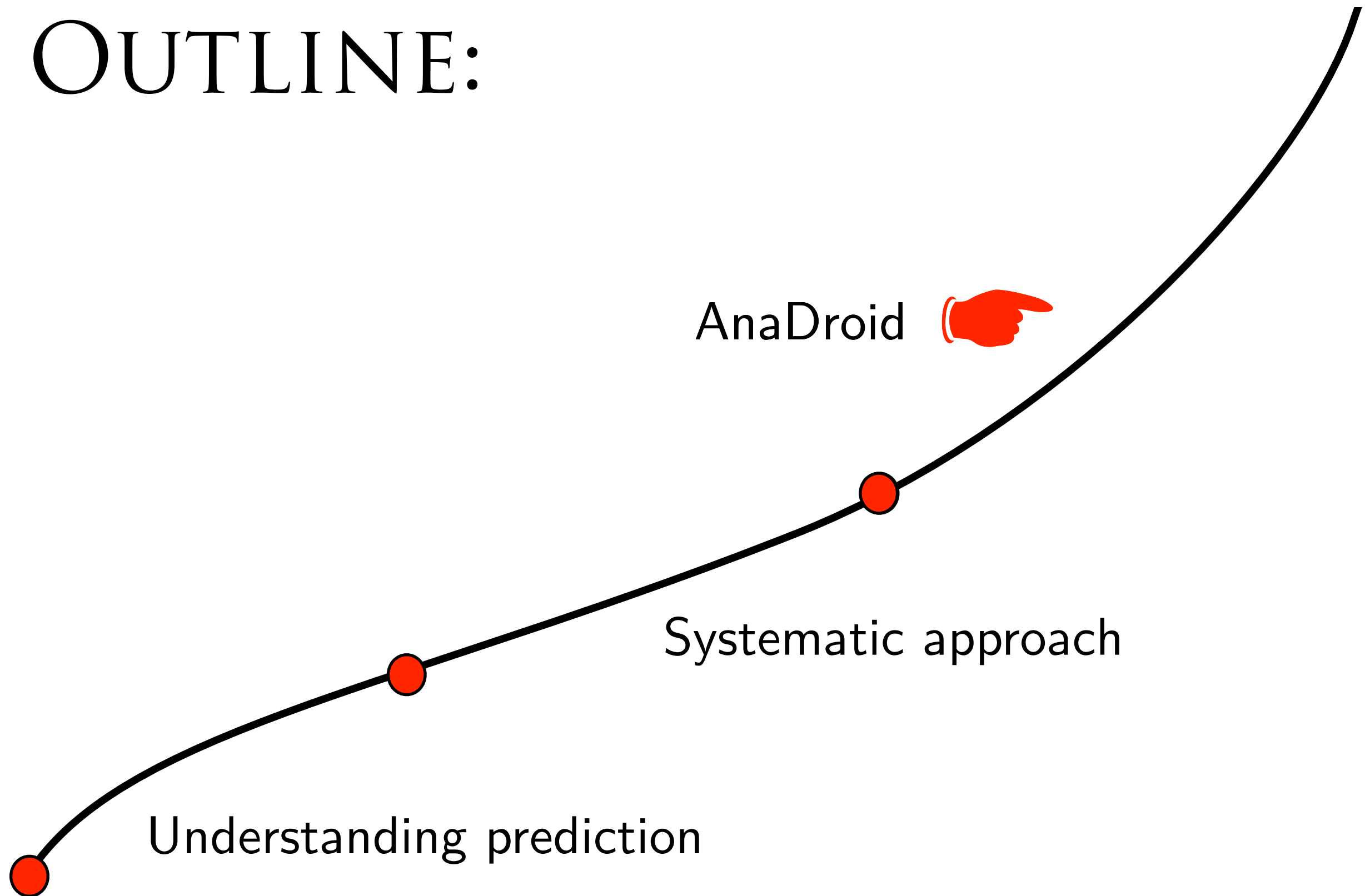
# OUTLINE:

AnaDroid 

Understanding prediction

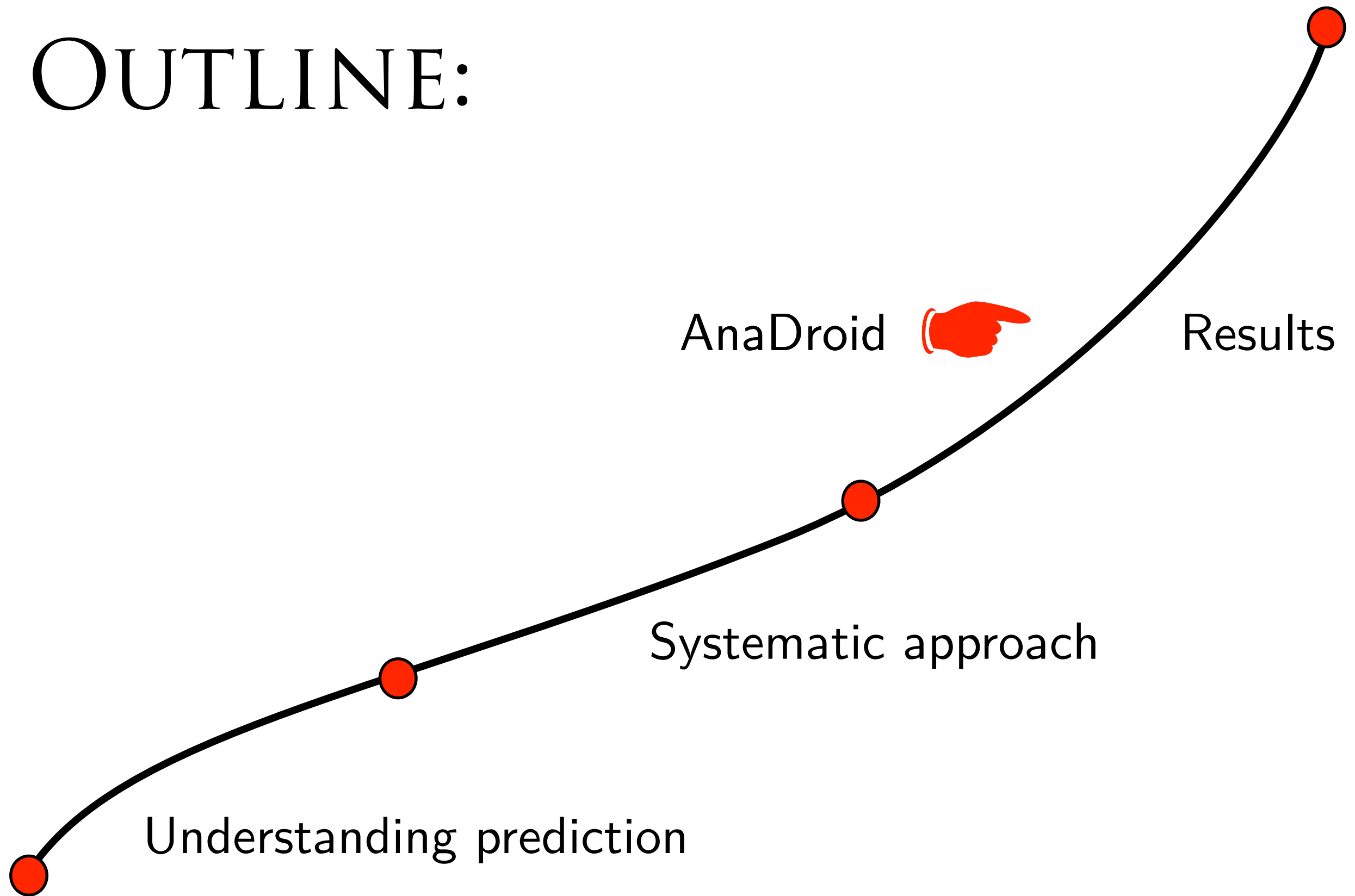


# OUTLINE:





# OUTLINE:



# PART I: UNDERSTANDING PREDICTION



To trust software, we must predict its (mis)behavior.

x.m()





To trust software, we must predict its (mis)behavior.

```
public void f(XYZ x) {  
    return x.m();  
}
```



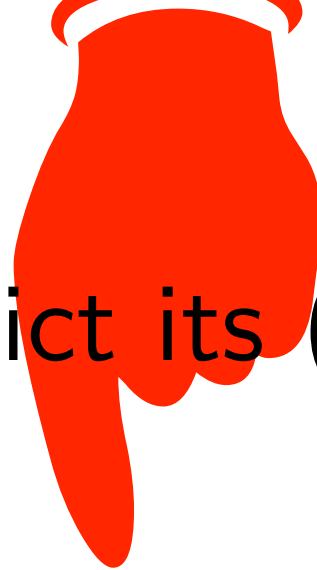
To trust software, we must predict its (mis)behavior.

```
public void f(XYZ x) {  
    return x.m();  
}
```



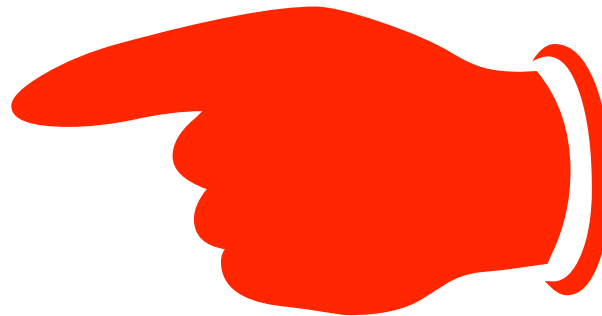
To trust software, we must predict its (mis)behavior.

```
public void f(XYZ x) {  
    return x.m();  
}
```





# Modern software uses computational values.

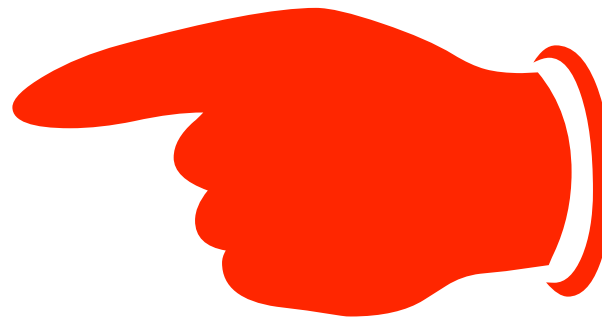


To predict control flow,  
we must predict data flow

To predict data flow,  
we must predict control flow



# Modern software uses computational values.



To predict control flow,  
we must predict data flow

To predict data flow,  
we must predict control flow



public class

Summary: Ctors | Methods | Inhe

## RemoteCallbackList

extends [Object](#)

java.lang.Object

↳ `android.os.RemoteCallbackList<E extends android.os.IInterface>`

## Class Overview

Takes care of the grunt work of maintaining a list of remote interfaces, typically for the use of performing callbacks from a `Service` to its clients. In particular, this:

- Keeps track of a set of registered `IInterface` callbacks, taking care to identify them through their underlying unique `IBinder` (by calling `IInterface.asBinder()`).
- Attaches a `IBinder.DeathRecipient` to each registered interface, so that it can be cleaned out of the list if its process goes away.
- Performs locking of the underlying list of interfaces to deal with multithreaded incoming calls and a thread-safe way to iterate over a snapshot of the list without holding its lock.

To use this class, simply create a single instance along with your service, and call its `register(E)` and `unregister(E)` methods as client register and unregister with your service. To call back on to the registered clients, use `beginBroadcast()`, `getBroadcastItem(int)`, and `finishBroadcast()`.

If a registered callback's process goes away, this class will take care of automatically removing it from the list. If you want to do additional work in this situation, you can create a subclass that implements the `onCallbackDied(E)` method.

# Java



java.util

## Class Observable

[java.lang.Object](#)

↳ [java.util.Observable](#)

```
public class Observable
extends Object
```

This class represents an observable object, or "data" in the model-view paradigm. It can be subclassed to represent an object that the application wants to have observed.

An observable object can have one or more observers. An observer may be any object that implements interface `Observer`. After an observable instance changes, an application calling the `Observable`'s `notifyObservers` method causes all of its

Java

### Constructor Summary

[Observable\(\)](#)

Construct an `Observable` with zero `Observers`.

### Method Summary

void	<a href="#">addObserver(<a href="#">Observer</a> o)</a> Adds an observer to the set of <a href="#">Observers</a> for this object, provided that it is not the same as some observer already in the set.
protected void	<a href="#">clearChanged()</a> Indicates that this object has no longer changed, or that it has already notified all of its observers of its most recent change, so that the <code>hasChanged</code> method will now return <code>false</code> .
int	<a href="#">countObservers()</a> Returns the number of observers of this <code>Observable</code> object.
void	<a href="#">deleteObserver(<a href="#">Observer</a> o)</a> Deletes an observer from the set of observers of this object.
void	<a href="#">deleteObservers()</a> Clears the observer list so that this object no longer has any observers.
boolean	<a href="#">hasChanged()</a> Tests if this object has changed.
void	<a href="#">notifyObservers()</a> If this object has changed, as indicated by the <code>hasChanged</code> method, then notify all of its observers and then call the <code>clearChanged</code> method to indicate that this object has no longer changed.
void	<a href="#">notifyObservers(<a href="#">Object</a> arg)</a> If this object has changed, as indicated by the <code>hasChanged</code> method, then notify all of its observers and then call the <code>clearChanged</code> method to indicate that this object has no longer changed.
protected void	<a href="#">setChanged()</a> Marks this <code>Observable</code> object as having been changed; the <code>hasChanged</code> method will now return <code>true</code> .



# XMLHttpRequest

W3C Candidate Recommendation 3 August 2010

This Version

<http://>

Latest Version

<http://>

Latest Edit

<http://>

Previous Versions

<http://>

<http://>

<http://>

<http://>

<http://>

<http://>

<http://>

<http://>

<http://>

Editor:

[Anne](#)

Copyright © 2010  
document use

## Abstract

The XMLHttpRequest  
functionality

## 1. Introduction

*This section is non-normative.*

The [XMLHttpRequest](#) object implements an interface exposed by a scripting engine that allows scripts to perform HTTP client functionality, such as submitting form data or loading data from a server. It is the ECMAScript HTTP API.

The name of the object is [XMLHttpRequest](#) for compatibility with the Web, though each component of this name is potentially misleading. First, the object supports any text based format, including XML. Second, it can be used to make requests over both HTTP and HTTPS (some implementations support protocols in addition to HTTP and HTTPS, but that functionality is not covered by this specification). Finally, it supports "requests" in a broad sense of the term as it pertains to HTTP; namely all activity involved with HTTP requests or responses for the defined HTTP methods.

Some simple code to do something with data from an XML document fetched over the network:

```
function test(data) {  
  // taking care of data  
}  
  
function handler() {  
  if(this.readyState == 4 && this.status == 200) {  
    // so far so good  
    if(this.responseXML != null && this.responseXML.getElementById('test').firstChild.data)  
      // success!  
      test(this.responseXML.getElementById('test').firstChild.data);  
    else  
      test(null);  
  } else if (this.readyState == 4 && this.status != 200) {  
    // fetched the wrong page or network error...  
    test(null);  
  }  
}  
  
var client = new XMLHttpRequest();  
client.onreadystatechange = handler;  
client.open("GET", "unicorn.xml");  
client.send();
```



# JavaScript

# Modern software is

A screenshot of the Node.js website in a web browser. The browser's address bar shows 'http://nodejs.org/'. The website has a dark background with the 'nodeJS' logo in white and green. On the left, there are links for 'Download', 'ChangeLog', 'About', 'v0.4.1 docs', and 'Wiki'. The main content area features the heading 'Evented I/O for V8 JavaScript.' followed by a paragraph: 'An example of a web server written in Node which responds with "Hello World" for every request.' Below this is a code block containing JavaScript code for a simple web server. A red hand icon with a halo points to the 'http' variable in the first line of the code. At the bottom, there is a paragraph explaining how to run the server and a terminal snippet showing the command and output.

Download  
ChangeLog  
About  
v0.4.1 docs  
Wiki

## nodeJS

Evented I/O for V8 JavaScript.

An example of a web server written in Node which responds with "Hello World" for every request.

```
var http = require('http');  
http.createServer(function (req, res) {  
  res.writeHead(200, {'Content-Type': 'text/plain'});  
  res.end('Hello World\n');  
}).listen(8124, "127.0.0.1");  
console.log('Server running at http://127.0.0.1:8124/');
```

To run the server, put the code into a file `example.js` and execute it with the `node` program:

```
% node example.js  
Server running at http://127.0.0.1:8124/
```

JavaScript



# Programming Ruby

## The Pragmatic Programmer's Guide

[Previous <](#)

[Contents ^](#)

[Next >](#)

## Object-Oriented Design Libraries

One of the interesting things about Ruby is the gap between design and implementation. In many other languages, the design level in other languages can be very high, but the implementation is often very poor.

To help in this process, Ruby has supported several design patterns.

- **The Visitor pattern** (Design Pattern) allows you to visit an object without having to know the internal structure of the object.
- **Delegation** is a way of composing objects that can be done using standard Ruby syntax.
- **The Singleton pattern** is a way of ensuring that only one particular class exists at a time.
- **The Observer pattern** implements a way of notifying a set of interested objects when the state of an object changes.

Normally, all four of these strategies require a lot of boilerplate code to be implemented. With Ruby, they can be implemented in a very clean and transparent way.

## Library: observer

The Observer pattern, also known as Publish/Subscribe, provides a simple mechanism for one object to inform a set of interested third-party objects when its state changes.

In the Ruby implementation, the notifying class mixes in the `Observable` module, which provides the methods for managing the associated observer objects.

<code>add_observer(obj)</code>	Add <code>obj</code> as an observer on this object. <code>obj</code> will now receive notifications.
<code>delete_observer(obj)</code>	Delete <code>obj</code> as an observer on this object. It will no longer receive notifications.
<code>delete_observers</code>	Delete all observers associated with this object.
<code>count_observers</code>	Return the count of observers associated with this object.
<code>changed(newState=true)</code>	Set the changed state of this object. Notifications will be sent only if the changed state is <code>true</code> .
<code>changed?</code>	Query the changed state of this object.
<code>notify_observers(*args)</code>	If this object's changed state is <code>true</code> , invoke the <code>update</code> method in each currently associated observer in turn, passing it the given arguments. The changed state is then set to <code>false</code> .

The observers must implement the `update` method to receive notifications.

Ruby



## 1.3 Functions as values

OCaml is a functional language: functions in the full mathematical sense are supported and can be passed around freely just as any other piece of data. For instance, here is a `deriv` function that takes any float function as argument and returns an approximation of its derivative function:

```
# let deriv f dx = function x -> (f(x +. dx) -. f(x)) /. dx;;
val deriv : (float -> float) -> float -> float -> float = <fun>

# let sin' = deriv sin 1e-6;;
val sin' : float -> float = <fun>

# sin' pi;;
- : float = -1.00000000013961143
```

Even function composition is definable:

```
# let compose f g = function x -> f(g(x));;
val compose : ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b = <fun>

# let cos2 = compose square cos;;
val cos2 : float -> float = <fun>
```

Functions that take other functions as arguments are called “functionals”, or “higher-order functions”.

Functionals are especially useful to provide iterators or similar generic operations over a data structure. For instance, the standard OCaml library provides a `List.map` functional that applies a given function to each element of a list, and returns the list of the results:

```
# List.map (function n -> n * 2 + 1) [0;1;2;3;4];;
- : int list = [1; 3; 5; 7; 9]
```

This functional, along with a number of other list and array functionals, is predefined because it is often useful, but there is nothing magic with it: it can easily be defined as follows.

OCaml

## 10.2. `functools` — Higher-order functions and operations on callable objects

Python

Source code: [Lib/functools.py](#)

The `functools` module is for higher-order functions: functions that act on or return other functions. In general, any callable object can be treated as a function for the purposes of this module.

The `functools` module defines the following functions:

`functools.cmp_to_key(func)`

Transform an old-style comparison function to a key function. Used with tools that accept key functions (such as `sorted()`, `min()`, `max()`, `heapq.nlargest()`, `heapq.nsmallest()`, `itertools.groupby()`). This function is primarily used as a transition tool for programs being converted from Python 2 which supported the use of comparison functions.

Modern software uses  
**computational values.**

Modern software uses  
**computational values.**

To predict its behavior,  
we need **flow analysis.**



FLOW ANALYSIS OF LAMBDA EXPRESSIONS  
(Preliminary Version)

Neil D. Jones  
Aarhus University, Denmark

Jones, ICALP 1981

0. INTRODUCTION

A method is described to extract from an untyped  $\lambda$ -expression information about the sequence of intermediate  $\lambda$ -expressions obtained during its evaluation. The information can be used to give "safe positive answers" to questions involving termination or nontermination of the evaluation, dependence of one subexpression on another and type errors encountered while applying  $\delta$  rules, thus providing an alternative to techniques of Morris and Levy ([Mor68], [Lev75]). The method works by building a "safe description" of the set of states entered by a call-by-name interpreter and analyzing this description. A similar and more complete analysis of a call-by-value interpreter may be found in [Jon81].

From a flow analysis viewpoint these results extend existing interprocedural analysis methods to include call-by-name and the use of functions both as arguments to other functions and as the results returned by them. Further, the method naturally handles both local and global variables, extending [Cou77a] and [Sha80]. It seems clear that other traditional analyses such as available expressions, constant propagation, etc. can be carried out in this framework.

The main emphasis is on development of the framework and showing its relation to abstract interpretation, rather than on its efficient use in applications. A simplified and optimized version of the method would have applications in the efficient compilation of  $\lambda$ -calculus-based programming languages such as LISP, SCHEME and SASL ([McC63], [Ste75], [Tur76]).

The method provides a general way to find safe approximate descriptions of computations by algorithms which manipulate recursive data structures. It is thus not limited to the  $\lambda$ -calculus, but may be applied to analyze any programming language whose semantics can be implemented by an appropriate definitional interpreter.

Another application would be to extend the method to the flow analysis of denotational definitions of programming languages. This could be used in semantics-directed compiler generation as described in [JoS80], and provided the initial motivation for this study.

Related work

Lambda calculus evaluators have been studied in [Böh72], [Lan64], [McG70], [Plo75], [Rey72], [Sch80] and [Weg68]. Sufficient conditions for termination of

# FLOW ANALYSIS OF LAMBDA EXPRESSIONS (Preliminary Version)

Neil D. Jones  
Aarhus University, Denmark

## 0. INTRODUCTION

A method is described to extract from an untyped  $\lambda$ -expression information the sequence of intermediate  $\lambda$ -expressions obtained during its evaluation. This information can be used to give "safe positive answers" to questions involving termination or nontermination of the evaluation, dependence of one subexpression on another, and type errors encountered while applying  $\delta$  rules, thus providing an alternative to the techniques of Morris and Levy ([Mor68], [Lev75]). The method works by computing a "safe description" of the set of states entered by a call-by-name interpreter analyzing this description. A similar and more complete analysis of a call-by-value interpreter may be found in [Jon81].

From a flow analysis viewpoint these results extend existing interpretation and analysis methods to include call-by-name and the use of functions both as arguments to other functions and as the results returned by them. Further, the method also handles both local and global variables, extending [Cou77a] and [Sho77]. It is clear that other traditional analyses such as available expressions, common subexpression elimination, etc. can be carried out in this framework.

The main emphasis is on development of the framework and showing how it can be used to abstract interpretation, rather than on its efficient use in applications. A simplified and optimized version of the method would have applications in the compilation of  $\lambda$ -calculus-based programming languages such as LISP, Scheme, and SASL ([McC63], [Ste75], [Tur76]).

The method provides a general way to find safe approximate descriptions of computations by algorithms which manipulate recursive data structures. This is not limited to the  $\lambda$ -calculus, but may be applied to analyze any programming language whose semantics can be implemented by an appropriate definition.

Another application would be to extend the method to the flow analysis of operational definitions of programming languages. This could be used in semi-directed compiler generation as described in [JoS80], and provided the motivation for this study.

## Related work

Lambda calculus evaluators have been studied in [Böh72], [Lan64], [Plo75], [Rey72], [Sch80] and [Weg68]. Sufficient conditions for termination

# Control-Flow Analysis of Functional Programs

JAN MIDTGAARD, Aarhus University

We present a survey of control-flow analysis of functional programs, which has been the subject of extensive investigation throughout the past 30 years. Analyses of the control flow of functional programs have been formulated in multiple settings and have led to many different approximations, starting with the seminal works of Jones, Shivers, and Sestoft. In this article, we survey control-flow analysis of functional programs by structuring the multitude of formulations and approximations and comparing them.

Categories and Subject Descriptors: D.3.2 [Programming Languages]: Language Classifications—Applicative functional languages; F.3.1 [Logics and Meanings of Programs]: Specifying and Verifying and Reasoning about Programs

General Terms: Languages, Theory, Verification

Additional Key Words and Phrases: Control-flow analysis, higher-order functions

## ACM Reference Format:

Midtgaard, J. 2012. Control-flow analysis of functional programs. ACM Comput. Surv. 44, 3, Article 10 (June 2012), 33 pages.

DOI = 10.1145/2187671.2187672 <http://doi.acm.org/10.1145/2187671.2187672>

## 1. INTRODUCTION

Since the early days of programming, much effort has been devoted to understanding the control flow of a program. In a language with higher-order functions, the control flow of a program may not be apparent from the text of the program: it can be the result of a computation and therefore, the called function may not be available until runtime. A control-flow analysis approximates at compile time which functions may be applied at runtime, that is, it determines an approximate control flow of a given program.

In a language with higher-order functions, the control flow of a program may not be apparent from the text of the program: it can be the result of a computation and therefore, the called function may not be available until runtime. A control-flow analysis approximates at compile time which functions may be applied at runtime, that is, it determines an approximate control flow of a given program.

**Prerequisites.** We assume some familiarity with program analysis in general and with control-flow analysis in particular. For a tutorial or an introduction to the area, we refer to Nielson et al. [1999]. We also assume familiarity with functional programming and a basic acquaintance with continuation-passing style (CPS) [Steele Jr. 1978] and

Part of this work was done with support of the Carlsberg Foundation and an INRIA post-doc grant.

Authors' addresses: J. Midtgaard, Department of Computer Science, Aarhus University, Aabogade 34, DK-8200 Aarhus N., Denmark; email: [jmi@cs.au.dk](mailto:jmi@cs.au.dk).

Permission to make digital or hard copies of part or all of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies show this notice on the first page or initial screen of a display along with the full citation. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, to republish, to post on servers, to redistribute to lists, or to use any component of this work in other works requires prior specific permission and/or a fee. Permissions may be requested from Publications Dept., ACM, Inc., 2 Penn Plaza, Suite 701, New York, NY 10121-0701 USA, fax +1 (212) 869-0481, or [permissions@acm.org](mailto:permissions@acm.org).

© 2012 ACM 0360-0300/2012/06-ART10 \$10.00

DOI 10.1145/2187671.2187672 <http://doi.acm.org/10.1145/2187671.2187672>

Cites over 200 papers.

# Existing analyses (and their complexities)

0CFA

```
function twice(f,x) { return f(f(x)); }
```

```
twice(sqr,4);
```

```
twice dbl,5);
```



0CFA

```
function twice(f,x) { return f(f(x)); }
```

```
twice(sqr,4);
```

Two curved arrows originate from the arguments 'sqr' and '4' in the call 'twice(sqr,4);'. The first arrow points to the parameter 'f' in the function definition 'function twice(f,x)'. The second arrow points to the parameter 'x' in the function definition.

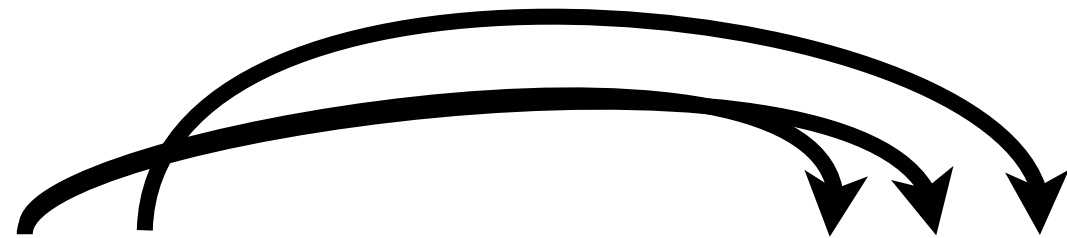
```
twice(db1,5);
```

0CFA

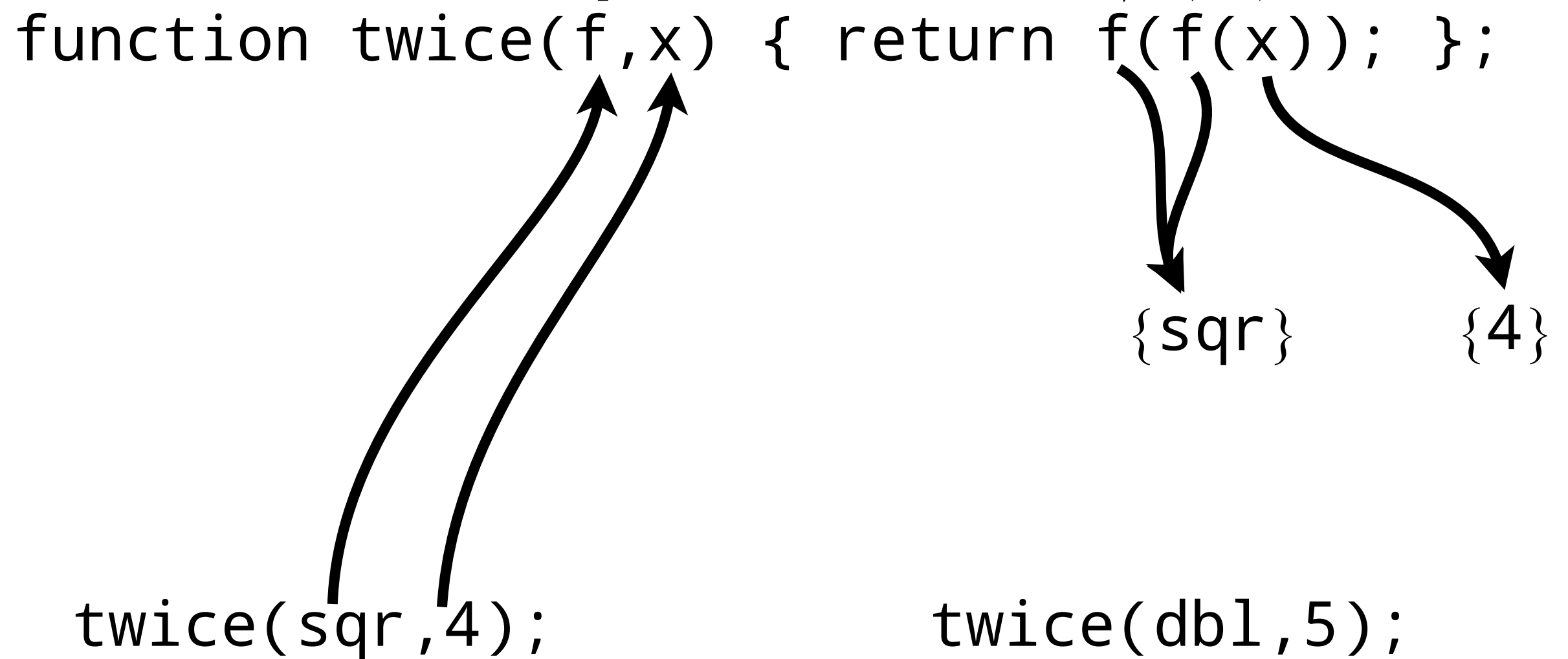
```
function twice(f,x) { return f(f(x)); }
```

```
twice(sqr,4);
```

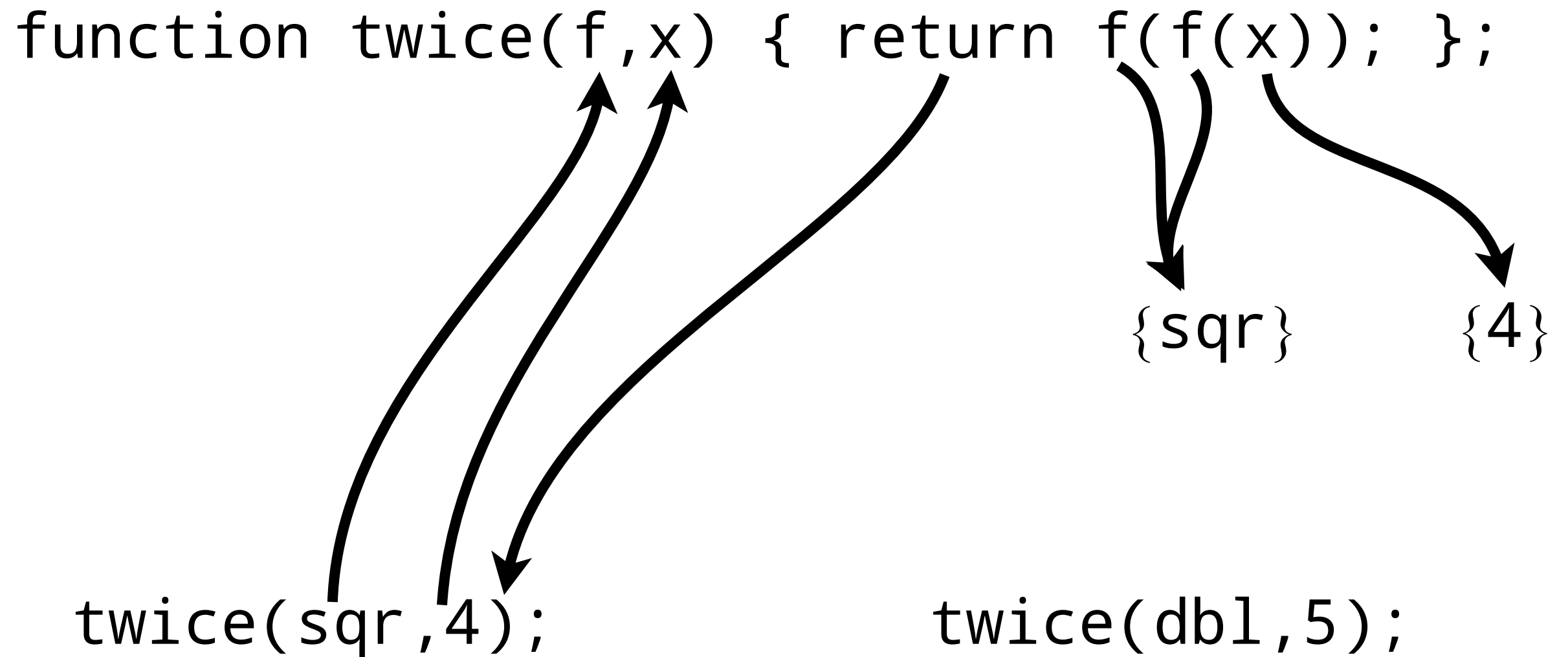
```
twice(db1,5);
```



0CFA

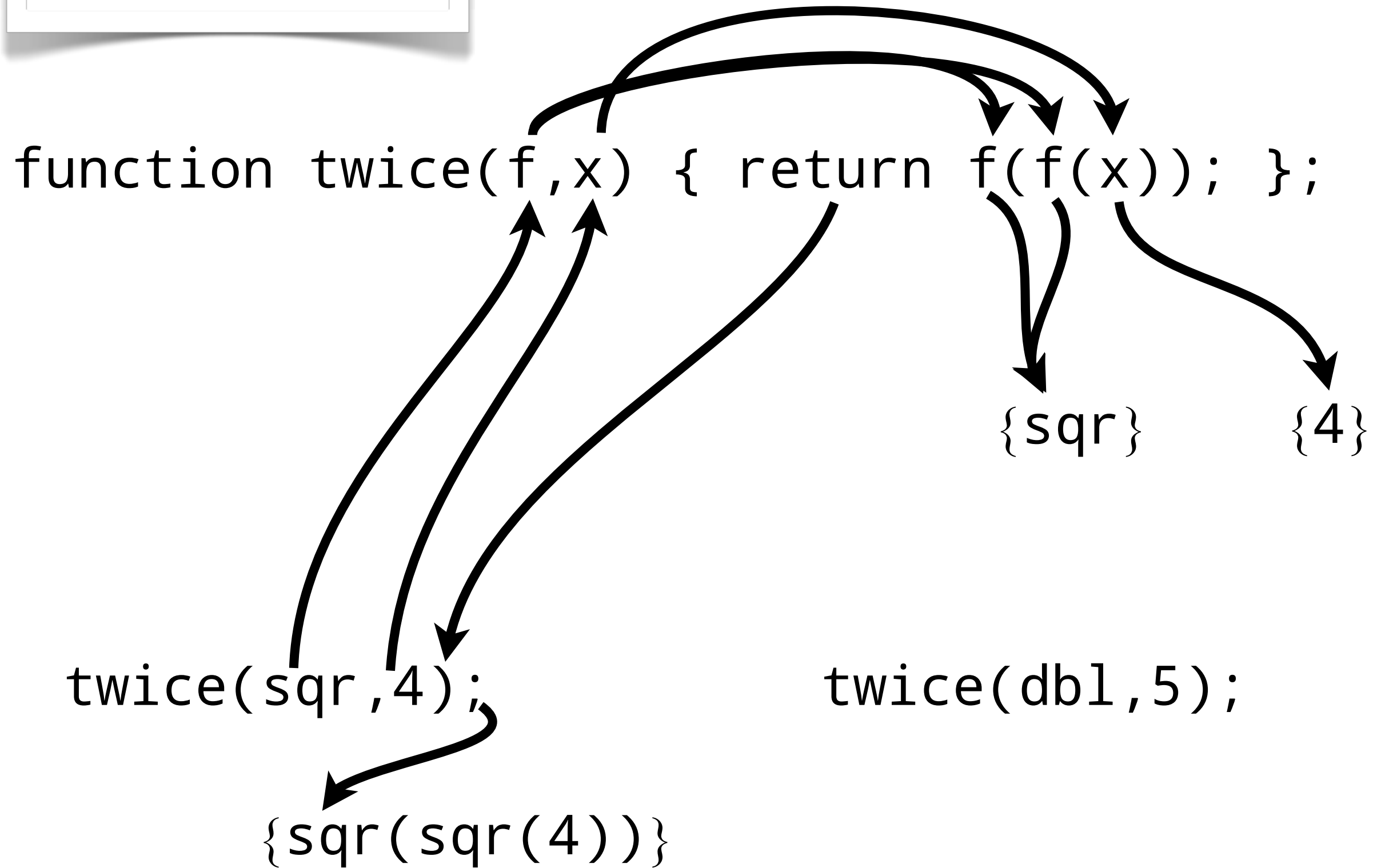


0CFA

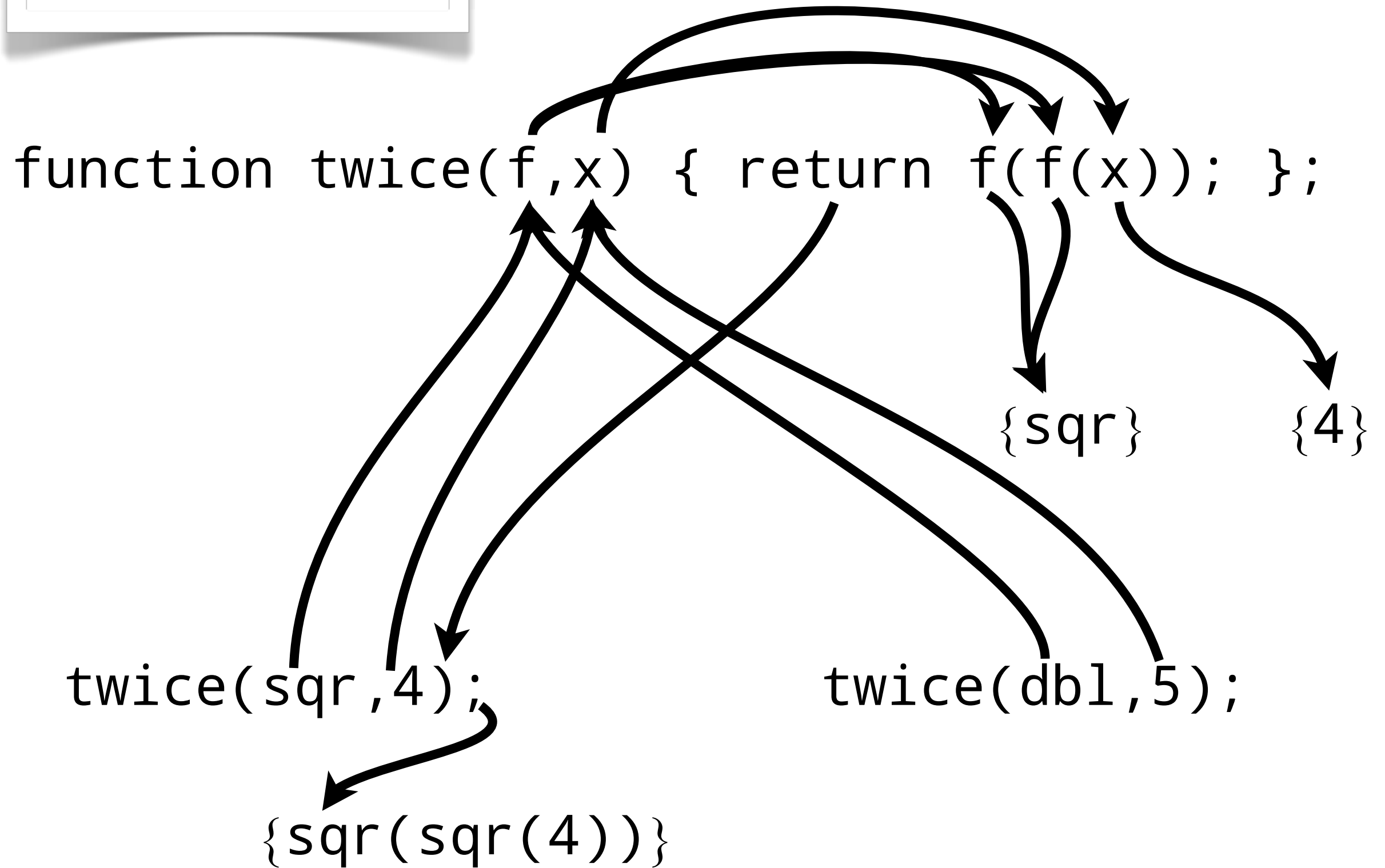




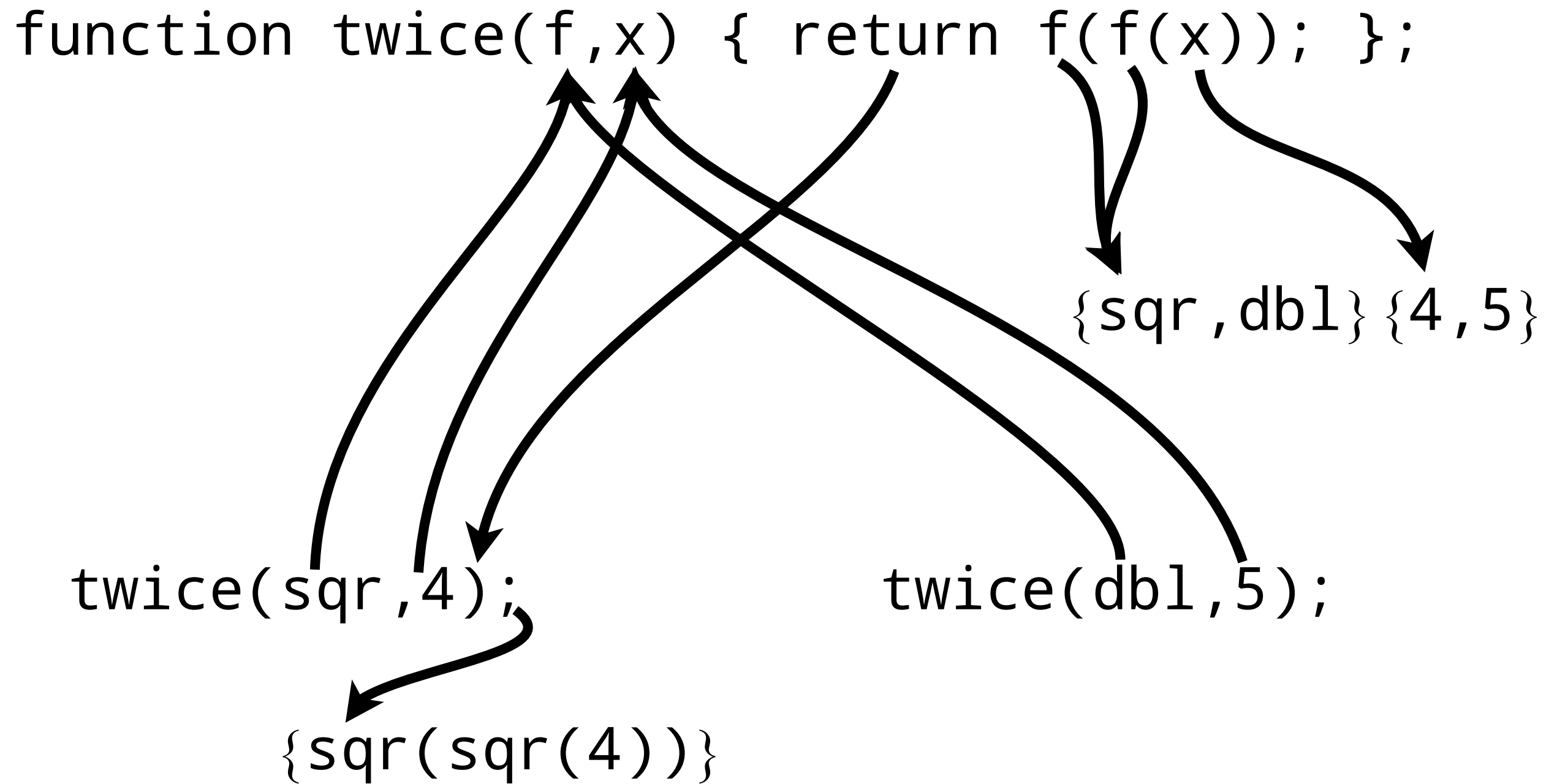
0CFA



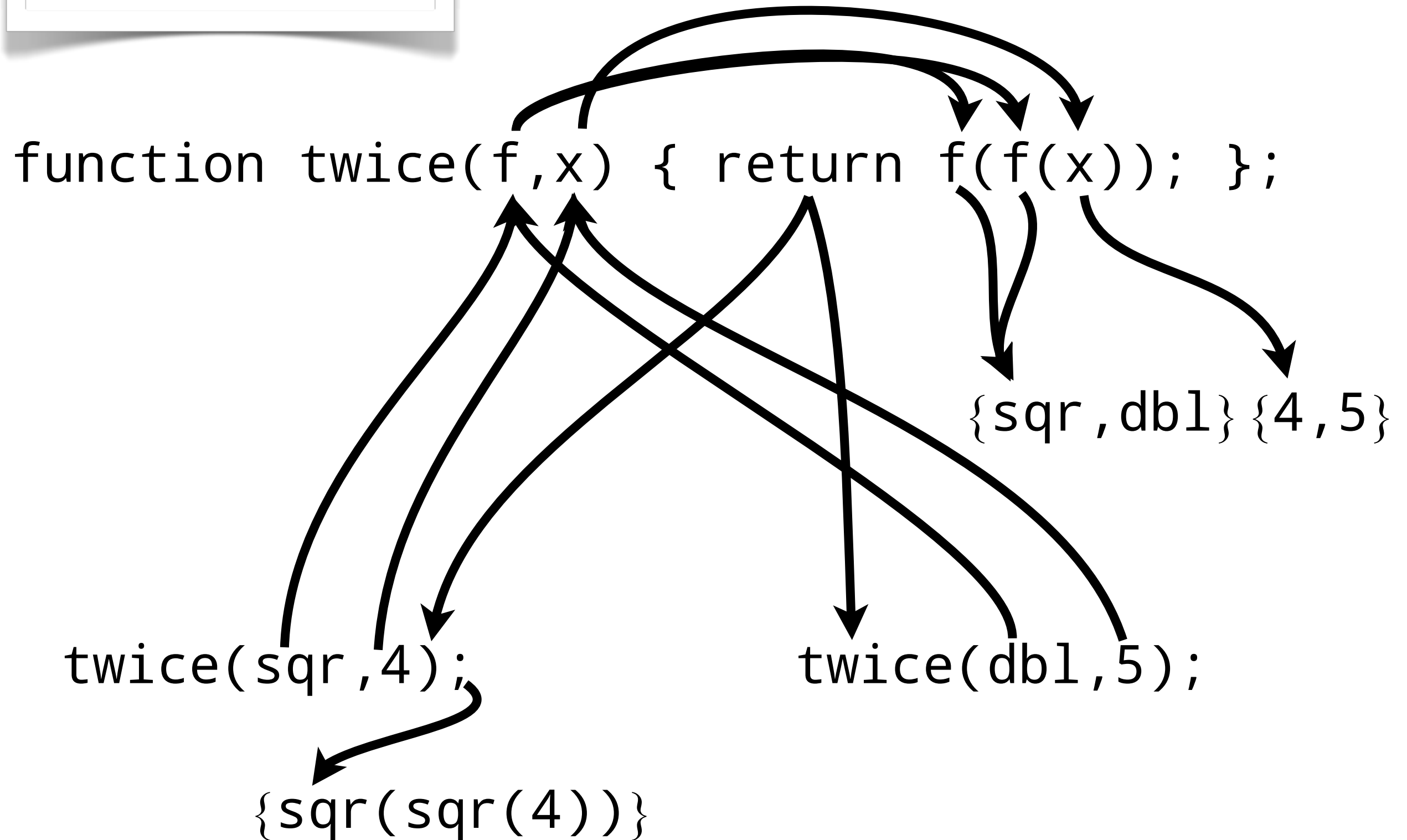
OCFA



0CFA

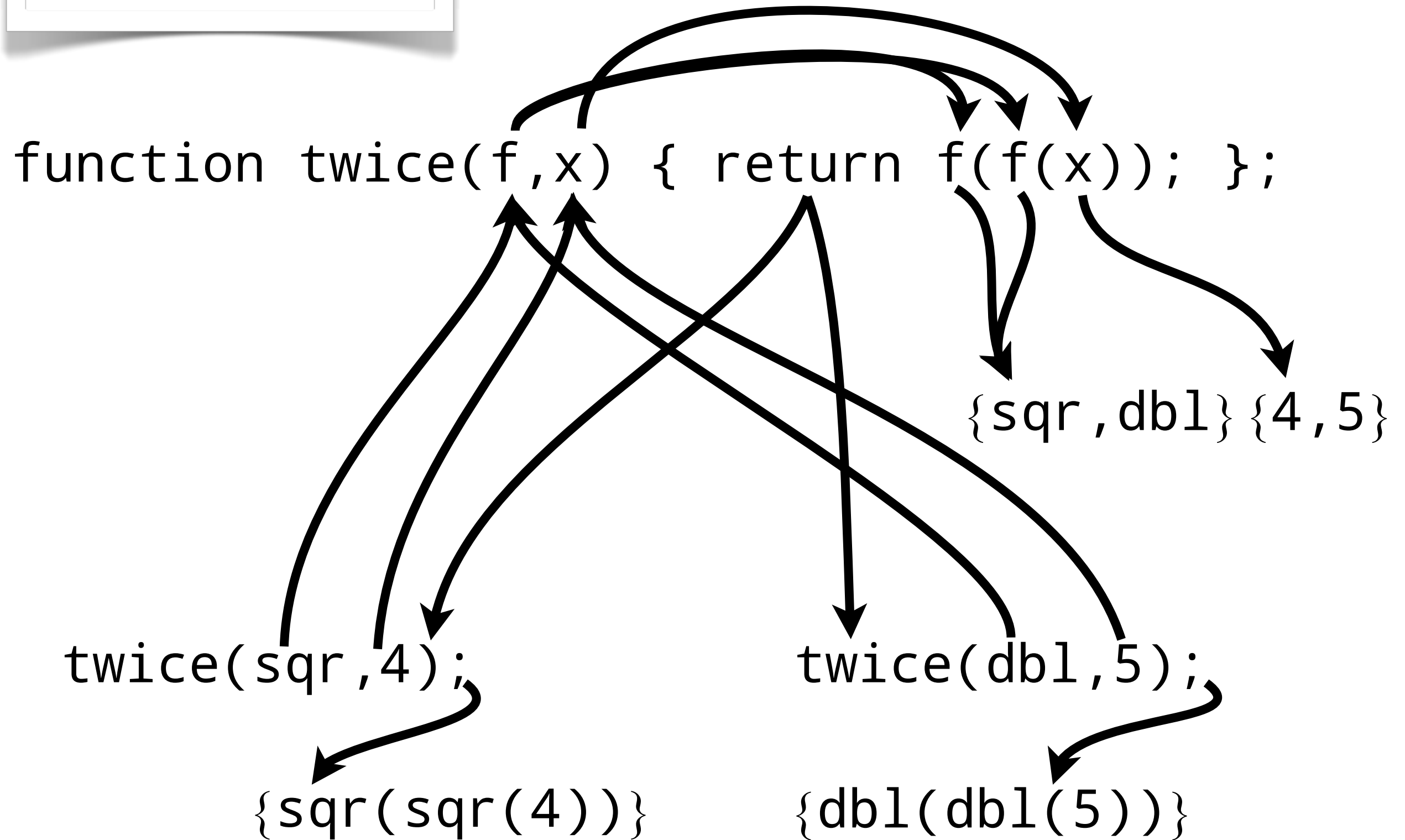


0CFA

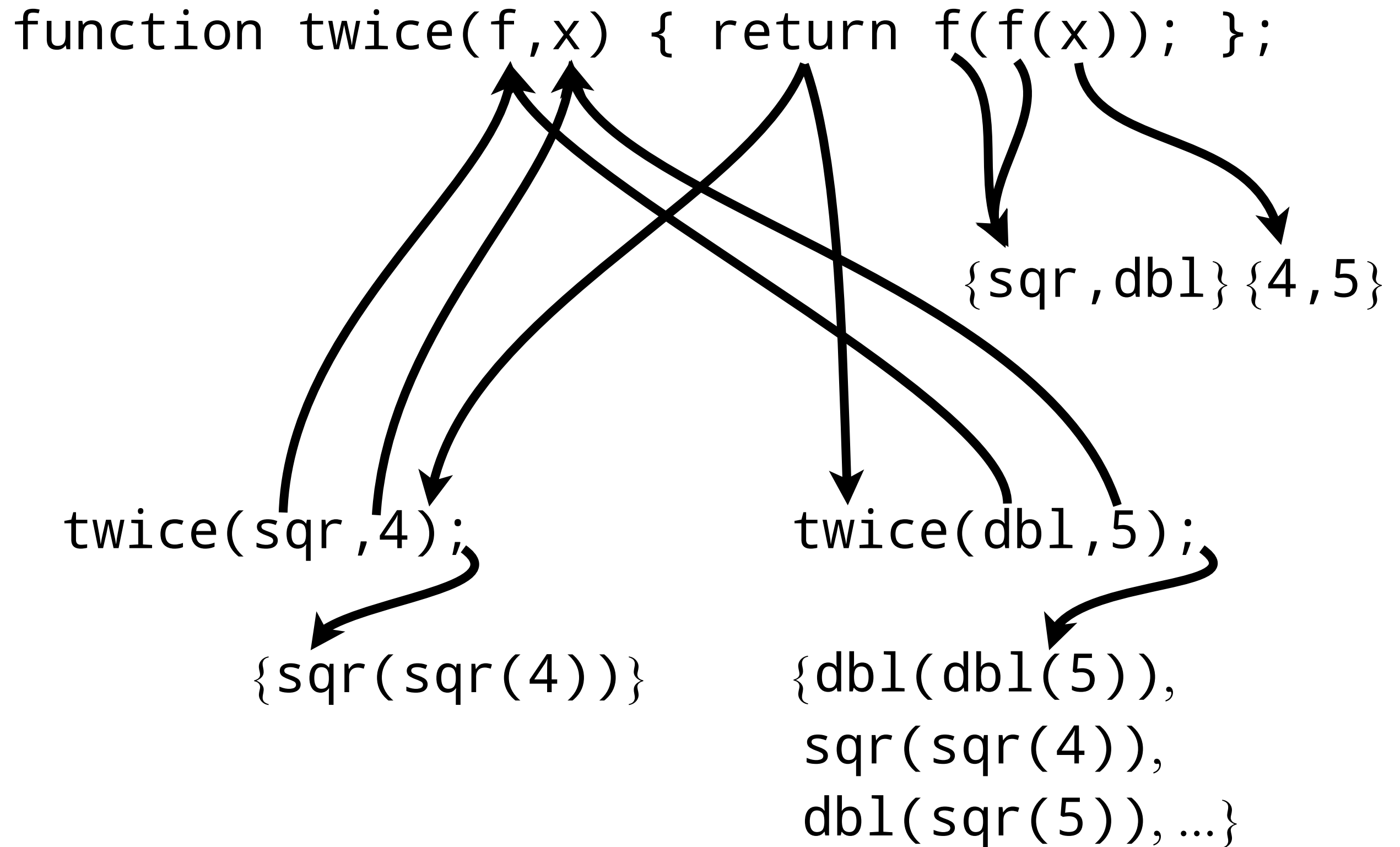




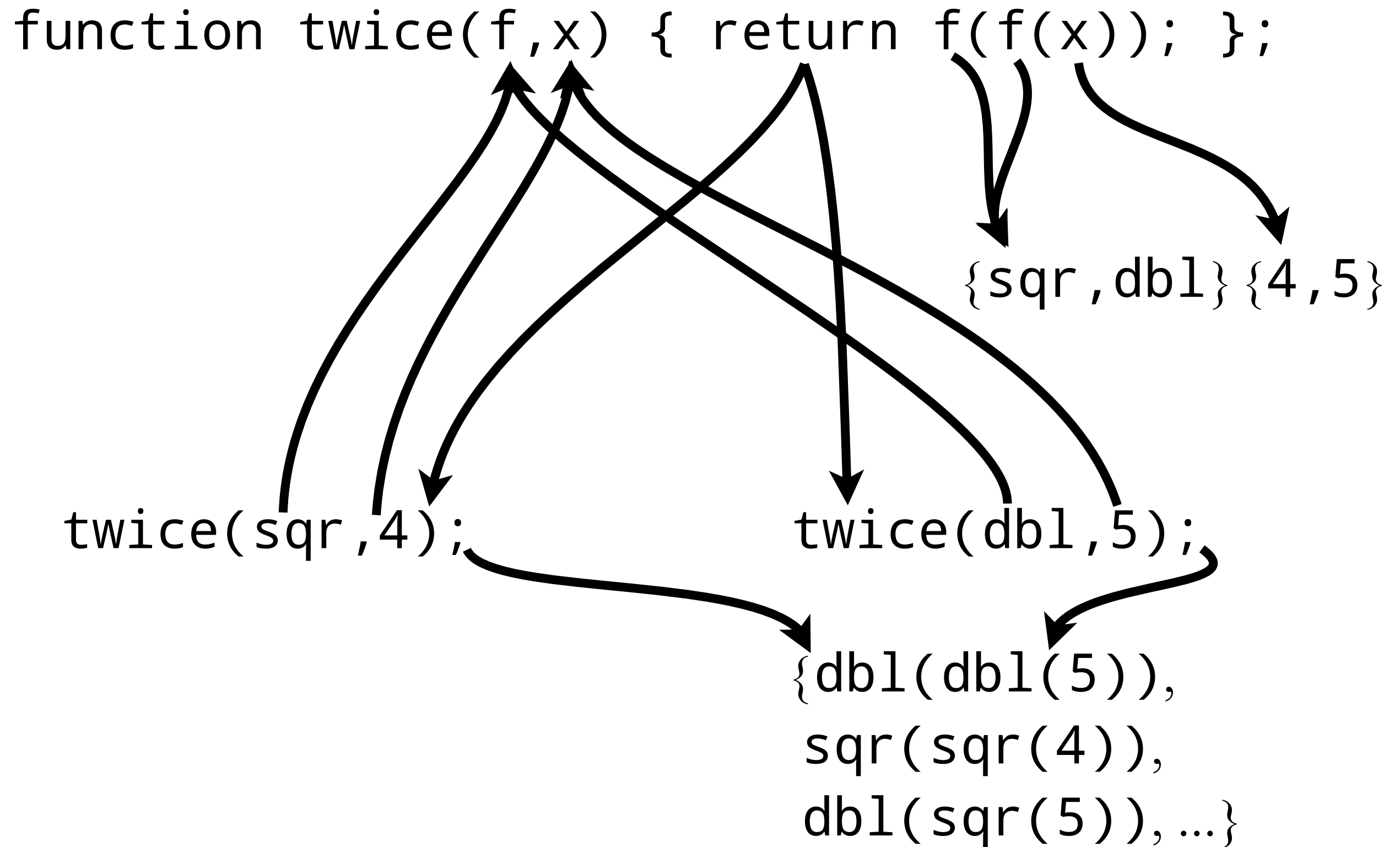
OCFA



OCFA



OCFA



# 1CFA

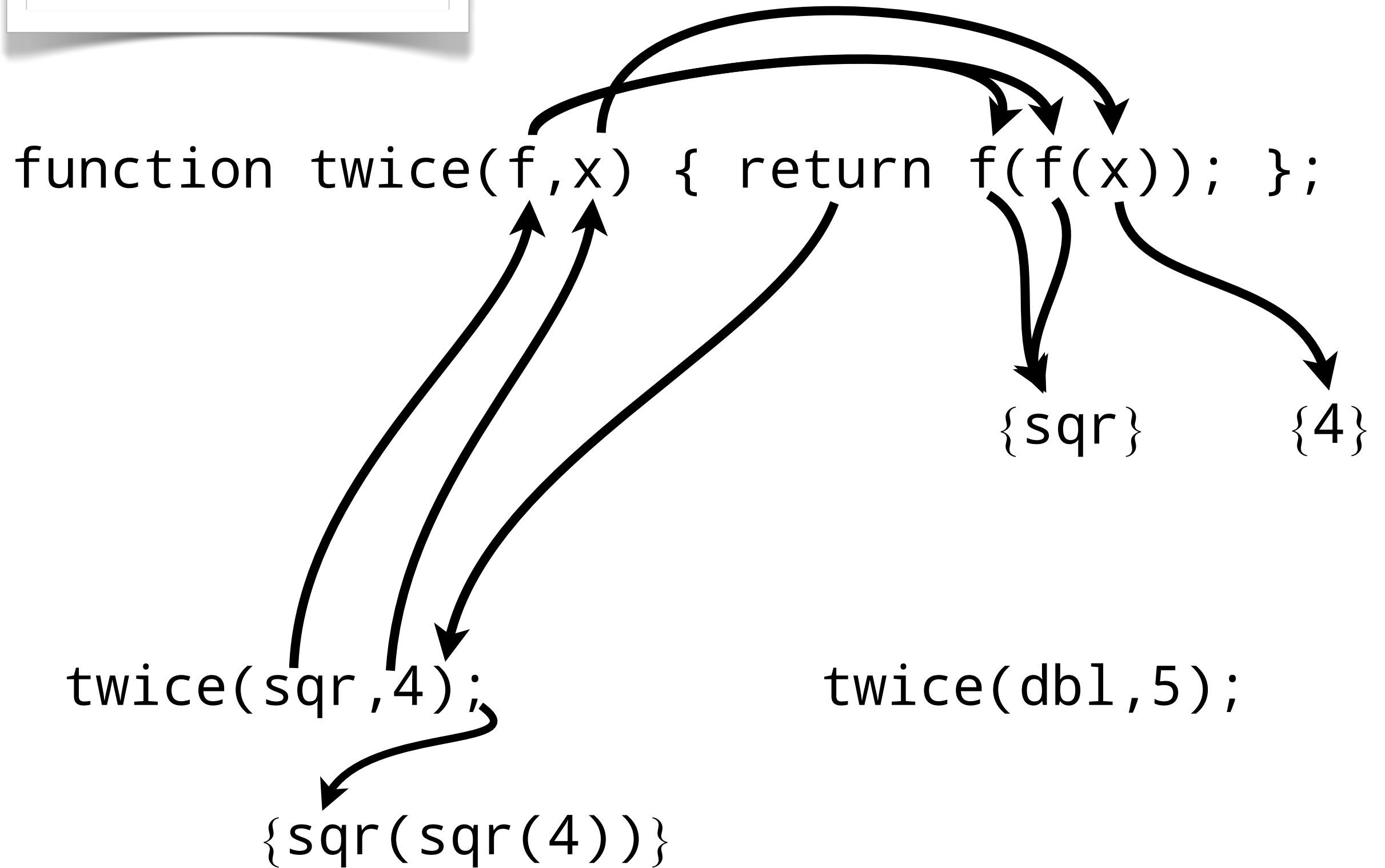
```
function twice(f,x) { return f(f(x)); }
```

```
twice(sqr,4);
```

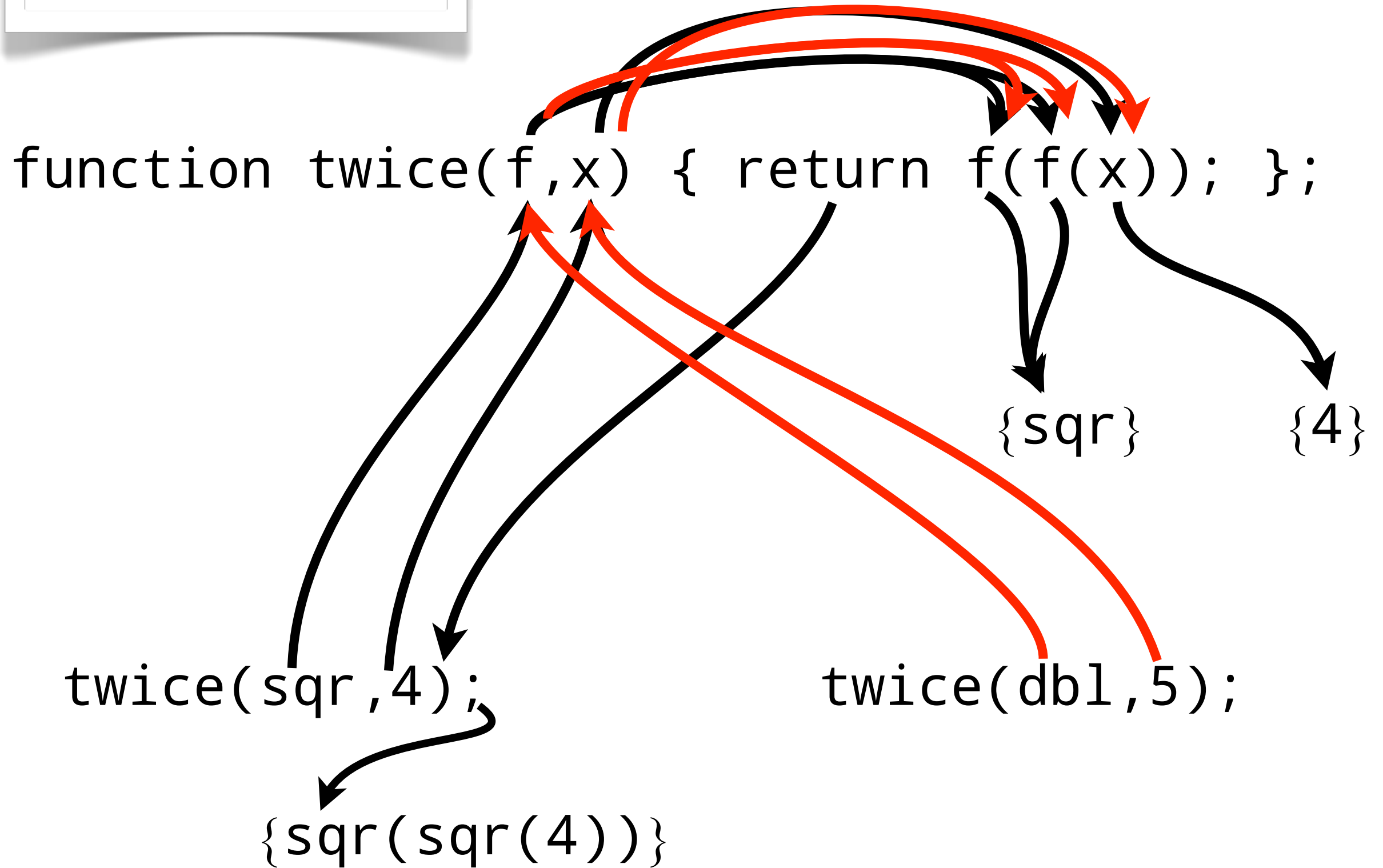
```
twice(db1,5);
```



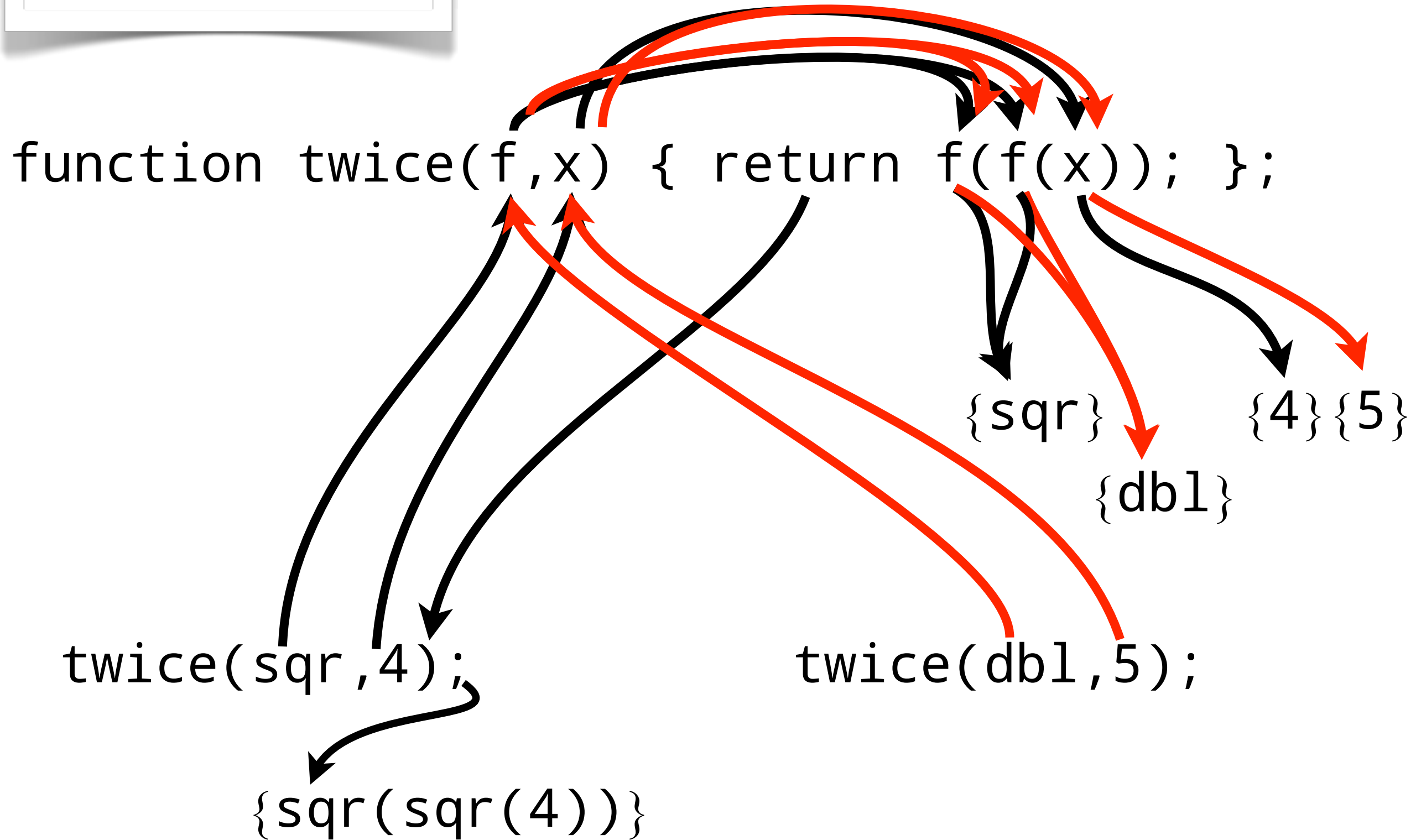
1CFA



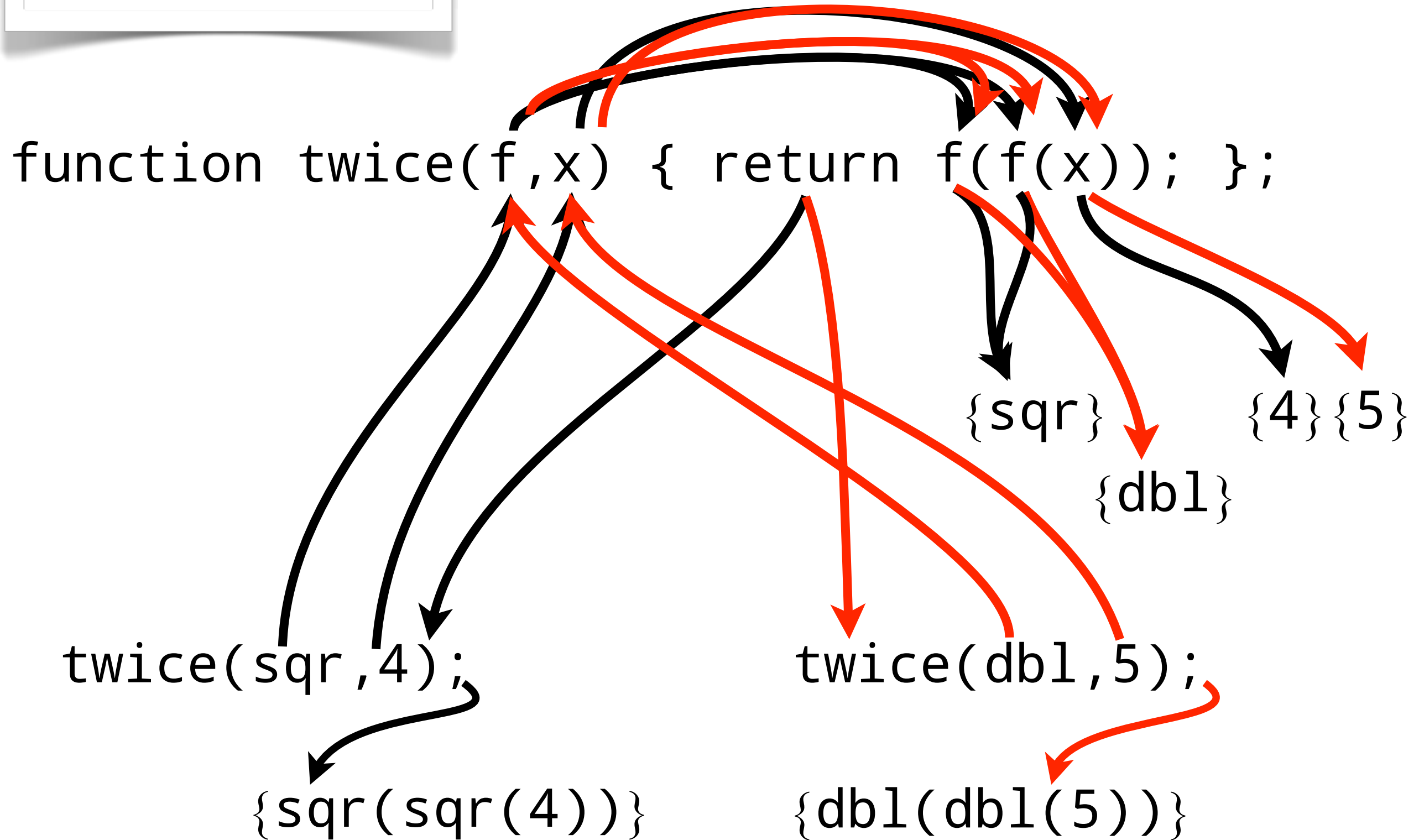
1CFA

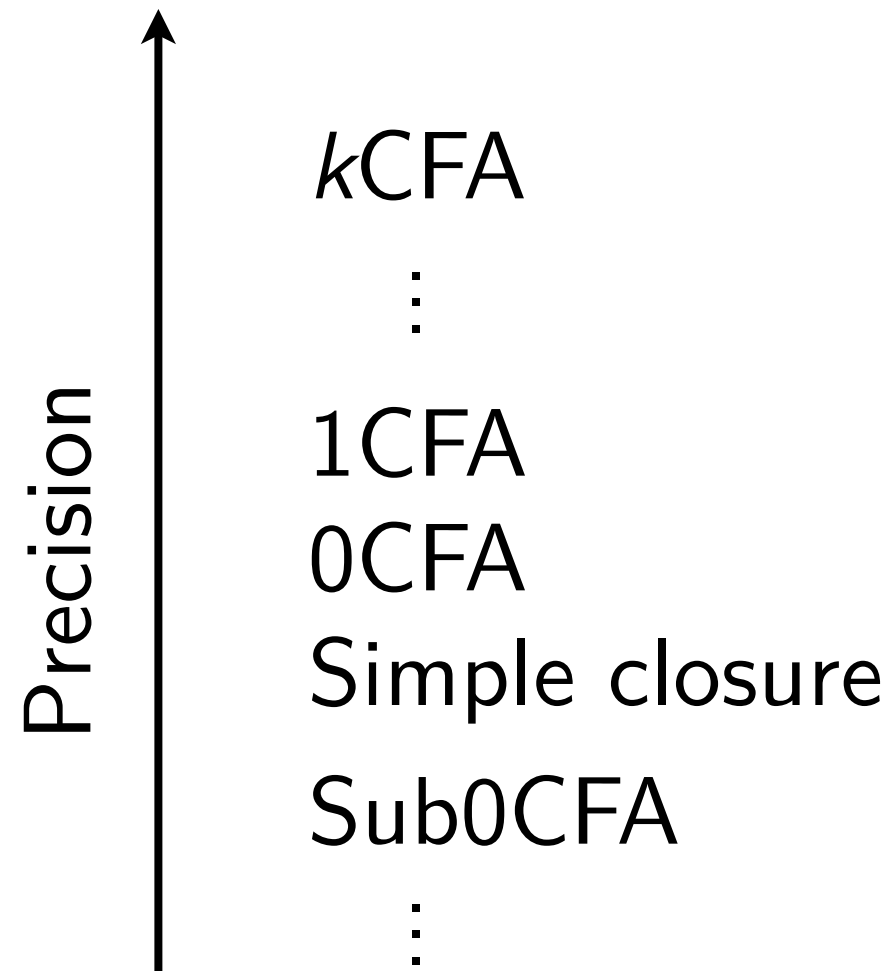


1CFA



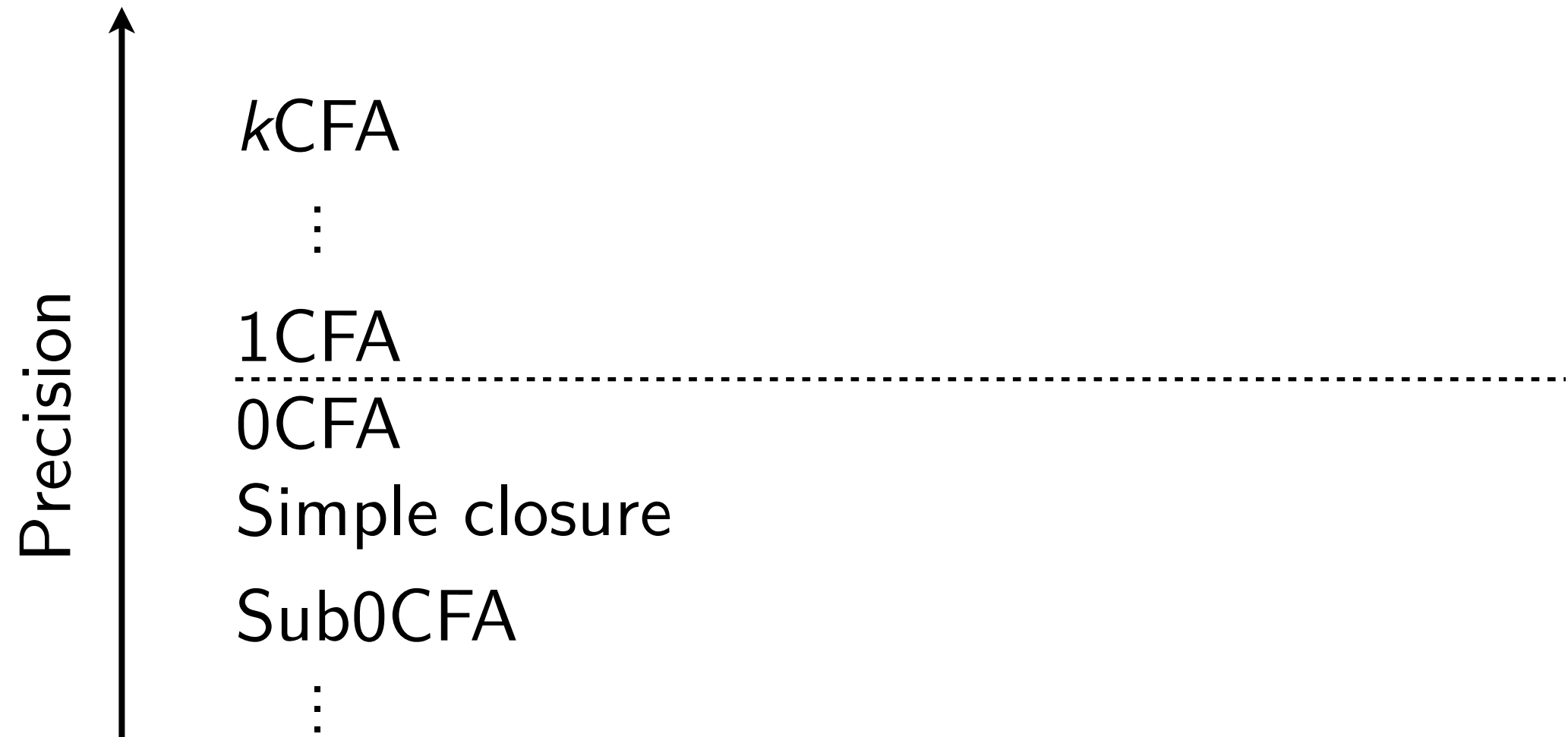
1CFA



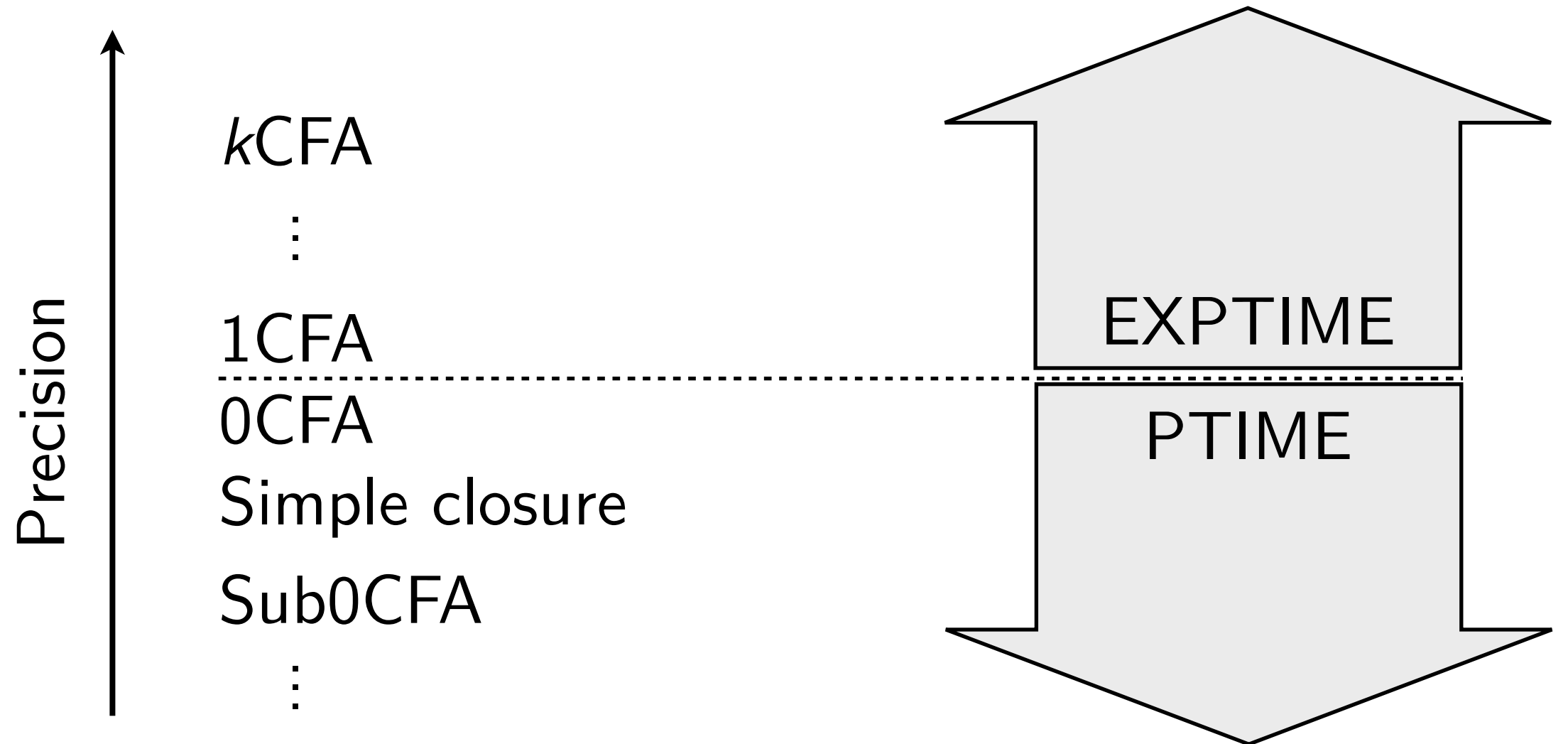


[ICFP'07, SAS'08, ICFP'08]



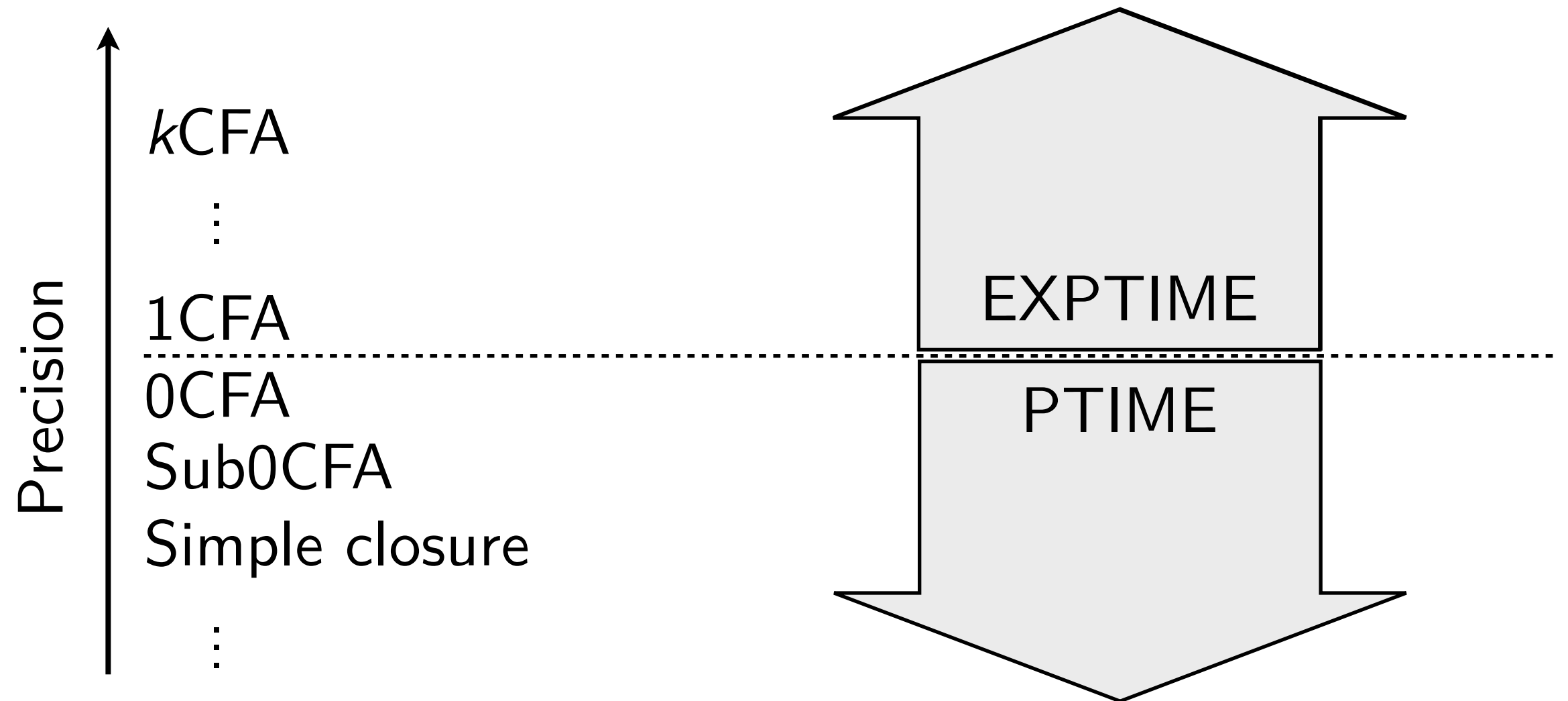


[ICFP'07, SAS'08, ICFP'08]

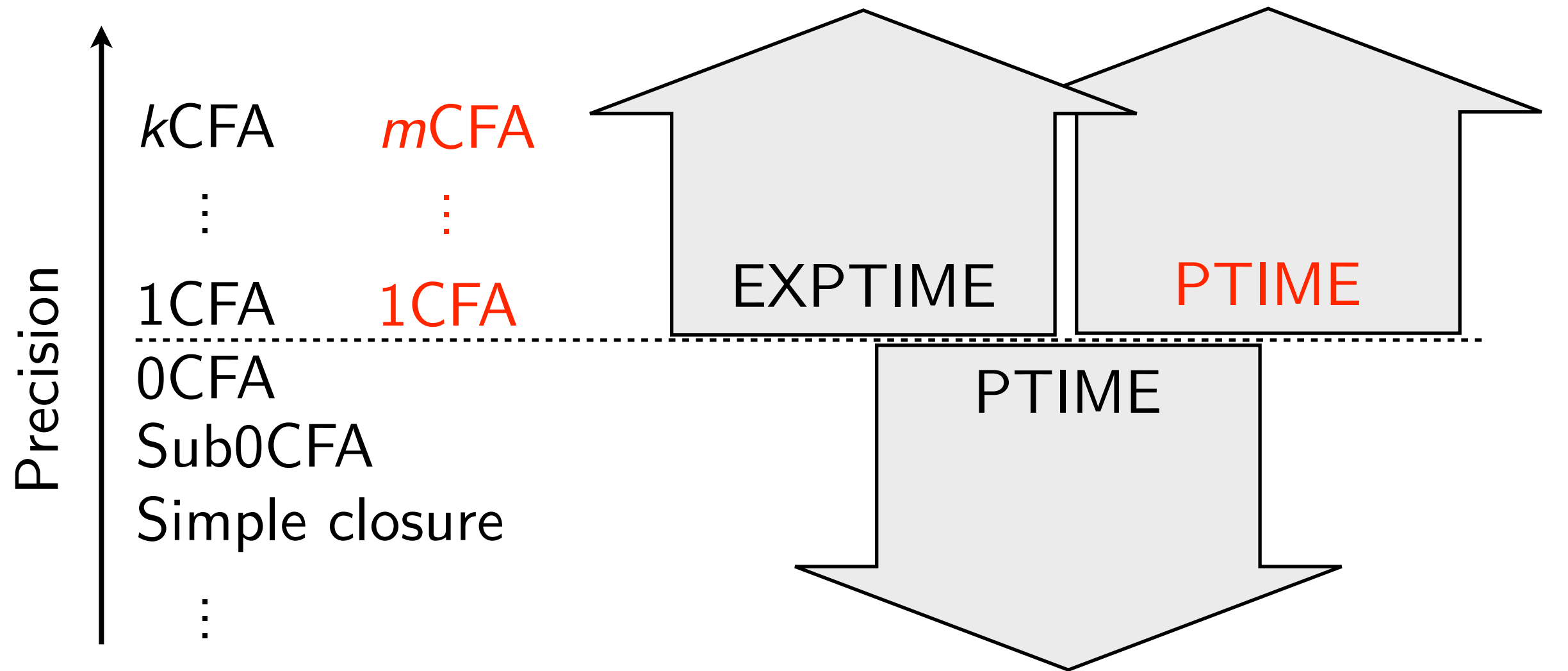


[ICFP'07, SAS'08, ICFP'08]

**Key insight:  
analysis is a kind of  
evaluation**



[ICFP'07, SAS'08, ICFP'08]



[ICFP'07, SAS'08, ICFP'08, **PLDI'10**]



FLEMMING NIELSON  
HANNE RIIS NIELSON  
CHRIS HANKIN

# Principles of Program Analysis



 Springer

[con]	$(\widehat{C}, \widehat{\rho}) \models c^\ell$ always
[var]	$(\widehat{C}, \widehat{\rho}) \models x^\ell$ iff $\widehat{\rho}(x) \subseteq \widehat{C}(\ell)$
[fn]	$(\widehat{C}, \widehat{\rho}) \models (\text{fn } x \Rightarrow e_0)^\ell$ iff $\{\text{fn } x \Rightarrow e_0\} \subseteq \widehat{C}(\ell)$
[fun]	$(\widehat{C}, \widehat{\rho}) \models (\text{fun } f \ x \Rightarrow e_0)^\ell$ iff $\{\text{fun } f \ x \Rightarrow e_0\} \subseteq \widehat{C}(\ell)$
[app]	$(\widehat{C}, \widehat{\rho}) \models (t_1^{\ell_1} \ t_2^{\ell_2})^\ell$ iff $(\widehat{C}, \widehat{\rho}) \models t_1^{\ell_1} \wedge (\widehat{C}, \widehat{\rho}) \models t_2^{\ell_2} \wedge$ $(\forall (\text{fn } x \Rightarrow t_0^{\ell_0}) \in \widehat{C}(\ell_1) :$ $(\widehat{C}, \widehat{\rho}) \models t_0^{\ell_0} \wedge$ $\widehat{C}(\ell_2) \subseteq \widehat{\rho}(x) \wedge \widehat{C}(\ell_0) \subseteq \widehat{C}(\ell)) \wedge$ $(\forall (\text{fun } f \ x \Rightarrow t_0^{\ell_0}) \in \widehat{C}(\ell_1) :$ $(\widehat{C}, \widehat{\rho}) \models t_0^{\ell_0} \wedge$ $\widehat{C}(\ell_2) \subseteq \widehat{\rho}(x) \wedge \widehat{C}(\ell_0) \subseteq \widehat{C}(\ell) \wedge$ $\{\text{fun } f \ x \Rightarrow t_0^{\ell_0}\} \subseteq \widehat{\rho}(f))$
[if]	$(\widehat{C}, \widehat{\rho}) \models (\text{if } t_0^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell$ iff $(\widehat{C}, \widehat{\rho}) \models t_0^{\ell_0} \wedge$ $(\widehat{C}, \widehat{\rho}) \models t_1^{\ell_1} \wedge (\widehat{C}, \widehat{\rho}) \models t_2^{\ell_2} \wedge$ $\widehat{C}(\ell_1) \subseteq \widehat{C}(\ell) \wedge \widehat{C}(\ell_2) \subseteq \widehat{C}(\ell)$
[let]	$(\widehat{C}, \widehat{\rho}) \models (\text{let } x = t_1^{\ell_1} \text{ in } t_2^{\ell_2})^\ell$ iff $(\widehat{C}, \widehat{\rho}) \models t_1^{\ell_1} \wedge (\widehat{C}, \widehat{\rho}) \models t_2^{\ell_2} \wedge$ $\widehat{C}(\ell_1) \subseteq \widehat{\rho}(x) \wedge \widehat{C}(\ell_2) \subseteq \widehat{C}(\ell)$
[op]	$(\widehat{C}, \widehat{\rho}) \models (t_1^{\ell_1} \text{ op } t_2^{\ell_2})^\ell$ iff $(\widehat{C}, \widehat{\rho}) \models t_1^{\ell_1} \wedge (\widehat{C}, \widehat{\rho}) \models t_2^{\ell_2}$

**Table 3.1:** Abstract Control Flow Analysis (Subsections 3.1.1 and 3.1.2).

[var]	$\rho \vdash x^\ell \rightarrow v^\ell$ if $x \in \text{dom}(\rho)$ and $v = \rho(x)$
[fn]	$\rho \vdash (\text{fn } x \Rightarrow e_0)^\ell \rightarrow (\text{close } (\text{fn } x \Rightarrow e_0) \text{ in } \rho_0)^\ell$ where $\rho_0 = \rho \mid FV(\text{fn } x \Rightarrow e_0)$
[fun]	$\rho \vdash (\text{fun } f \ x \Rightarrow e_0)^\ell \rightarrow (\text{close } (\text{fun } f \ x \Rightarrow e_0) \text{ in } \rho_0)^\ell$ where $\rho_0 = \rho \mid FV(\text{fun } f \ x \Rightarrow e_0)$
[app <sub>1</sub> ]	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (ie_1 \ ie_2)^\ell \rightarrow (ie'_1 \ ie_2)^\ell}$
[app <sub>2</sub> ]	$\frac{\rho \vdash ie_2 \rightarrow ie'_2}{\rho \vdash (v_1^{\ell_1} \ ie_2)^\ell \rightarrow (v_1^{\ell_1} \ ie'_2)^\ell}$
[app <sub>fn</sub> ]	$\rho \vdash ((\text{close } (\text{fn } x \Rightarrow e_1) \text{ in } \rho_1)^{\ell_1} \ v_2^{\ell_2})^\ell \rightarrow$ $(\text{bind } \rho_1[x \mapsto v_2] \text{ in } e_1)^\ell$
[app <sub>fun</sub> ]	$\rho \vdash ((\text{close } (\text{fun } f \ x \Rightarrow e_1) \text{ in } \rho_1)^{\ell_1} \ v_2^{\ell_2})^\ell \rightarrow$ $(\text{bind } \rho_2[x \mapsto v_2] \text{ in } e_1)^\ell$ where $\rho_2 = \rho_1[f \mapsto \text{close } (\text{fun } f \ x \Rightarrow e_1) \text{ in } \rho_1]$
[bind <sub>1</sub> ]	$\frac{\rho_1 \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (\text{bind } \rho_1 \text{ in } ie_1)^\ell \rightarrow (\text{bind } \rho_1 \text{ in } ie'_1)^\ell}$
[bind <sub>2</sub> ]	$\rho \vdash (\text{bind } \rho_1 \text{ in } v_1^{\ell_1})^\ell \rightarrow v_1^\ell$
[if <sub>1</sub> ]	$\frac{\rho \vdash ie_0 \rightarrow ie'_0}{\rho \vdash (\text{if } ie_0 \text{ then } e_1 \text{ else } e_2)^\ell \rightarrow (\text{if } ie'_0 \text{ then } e_1 \text{ else } e_2)^\ell}$
[if <sub>2</sub> ]	$\rho \vdash (\text{if true}^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \rightarrow t_1^\ell$
[if <sub>3</sub> ]	$\rho \vdash (\text{if false}^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \rightarrow t_2^\ell$
[let <sub>1</sub> ]	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (\text{let } x = ie_1 \text{ in } e_2)^\ell \rightarrow (\text{let } x = ie'_1 \text{ in } e_2)^\ell}$
[let <sub>2</sub> ]	$\rho \vdash (\text{let } x = v_1^{\ell_1} \text{ in } e_2)^\ell \rightarrow (\text{bind } \rho_0[x \mapsto v] \text{ in } e_2)^\ell$ where $\rho_0 = \rho \mid FV(e_2)$
[op <sub>1</sub> ]	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (ie_1 \text{ op } ie_2)^\ell \rightarrow (ie'_1 \text{ op } ie_2)^\ell}$
[op <sub>2</sub> ]	$\frac{\rho \vdash ie_2 \rightarrow ie'_2}{\rho \vdash (v_1^{\ell_1} \text{ op } ie_2)^\ell \rightarrow (v_1^{\ell_1} \text{ op } ie'_2)^\ell}$
[op <sub>3</sub> ]	$\rho \vdash (v_1^{\ell_1} \text{ op } v_2^{\ell_2})^\ell \rightarrow v^\ell$ if $v = v_1 \text{ op } v_2$

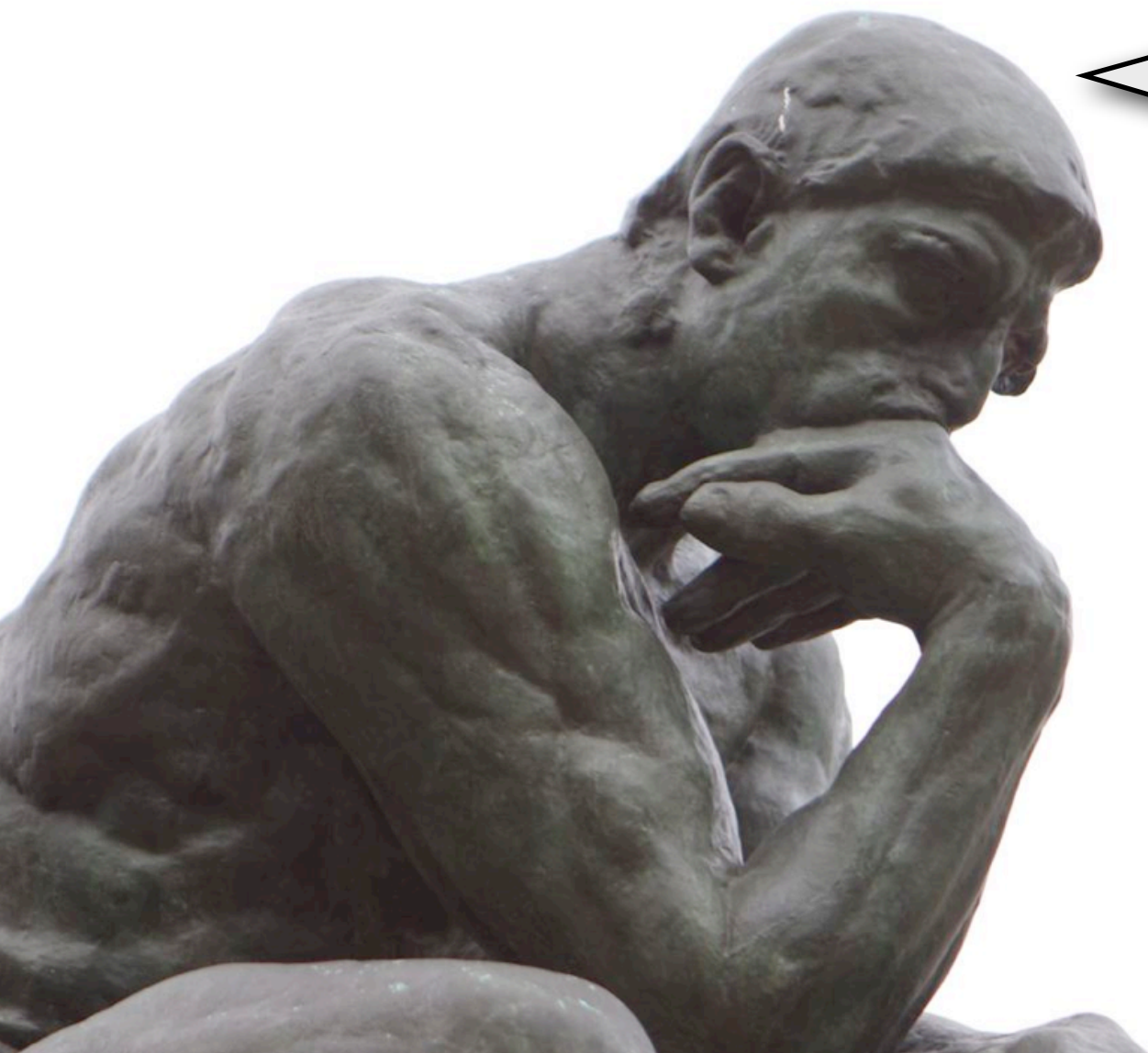
**Table 3.3:** The Structural Operational Semantics of FUN (part 2).





$[var]$	$\rho \vdash x^\ell \rightarrow v^\ell \quad \text{if } x \in \text{dom}(\rho) \text{ and } v = \rho(x)$
$[fn]$	$\rho \vdash (\text{fn } x \Rightarrow e_0)^\ell \rightarrow (\text{close } (\text{fn } x \Rightarrow e_0) \text{ in } \rho_0)^\ell$ where $\rho_0 = \rho \mid FV(\text{fn } x \Rightarrow e_0)$
$[fun]$	$\rho \vdash (\text{fun } f \ x \Rightarrow e_0)^\ell \rightarrow (\text{close } (\text{fun } f \ x \Rightarrow e_0) \text{ in } \rho_0)^\ell$ where $\rho_0 = \rho \mid FV(\text{fun } f \ x \Rightarrow e_0)$
$[app_1]$	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (ie_1 \ ie_2)^\ell \rightarrow (ie'_1 \ ie_2)^\ell}$
$[app_2]$	$\frac{\rho \vdash ie_2 \rightarrow ie'_2}{\rho \vdash (v_1^{\ell_1} \ ie_2)^\ell \rightarrow (v_1^{\ell_1} \ ie'_2)^\ell}$
$[app_{fn}]$	$\rho \vdash ((\text{close } (\text{fn } x \Rightarrow e_1) \text{ in } \rho_1)^{\ell_1} \ v_2^{\ell_2})^\ell \rightarrow$ $(\text{bind } \rho_1[x \mapsto v_2] \text{ in } e_1)^\ell$
$[app_{fun}]$	$\rho \vdash ((\text{close } (\text{fun } f \ x \Rightarrow e_1) \text{ in } \rho_1)^{\ell_1} \ v_2^{\ell_2})^\ell \rightarrow$ $(\text{bind } \rho_2[x \mapsto v_2] \text{ in } e_1)^\ell$ where $\rho_2 = \rho_1[f \mapsto \text{close } (\text{fun } f \ x \Rightarrow e_1) \text{ in } \rho_1]$
$[bind_1]$	$\frac{\rho_1 \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (\text{bind } \rho_1 \text{ in } ie_1)^\ell \rightarrow (\text{bind } \rho_1 \text{ in } ie'_1)^\ell}$
$[bind_2]$	$\rho \vdash (\text{bind } \rho_1 \text{ in } v_1^{\ell_1})^\ell \rightarrow v_1^\ell$
$[if_1]$	$\frac{\rho \vdash ie_0 \rightarrow ie'_0}{\rho \vdash (\text{if } ie_0 \text{ then } e_1 \text{ else } e_2)^\ell \rightarrow (\text{if } ie'_0 \text{ then } e_1 \text{ else } e_2)^\ell}$
$[if_2]$	$\rho \vdash (\text{if true}^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \rightarrow t_1^\ell$
$[if_3]$	$\rho \vdash (\text{if false}^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \rightarrow t_2^\ell$
$[let_1]$	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (\text{let } x = ie_1 \text{ in } e_2)^\ell \rightarrow (\text{let } x = ie'_1 \text{ in } e_2)^\ell}$
$[let_2]$	$\rho \vdash (\text{let } x = v_1^{\ell_1} \text{ in } e_2)^\ell \rightarrow (\text{bind } \rho_0[x \mapsto v] \text{ in } e_2)^\ell$ where $\rho_0 = \rho \mid FV(e_2)$
$[op_1]$	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (ie_1 \ op \ ie_2)^\ell \rightarrow (ie'_1 \ op \ ie_2)^\ell}$
$[op_2]$	$\frac{\rho \vdash ie_2 \rightarrow ie'_2}{\rho \vdash (v_1^{\ell_1} \ op \ ie_2)^\ell \rightarrow (v_1^{\ell_1} \ op \ ie'_2)^\ell}$
$[op_3]$	$\rho \vdash (v_1^{\ell_1} \ op \ v_2^{\ell_2})^\ell \rightarrow v^\ell \quad \text{if } v = v_1 \ op \ v_2$

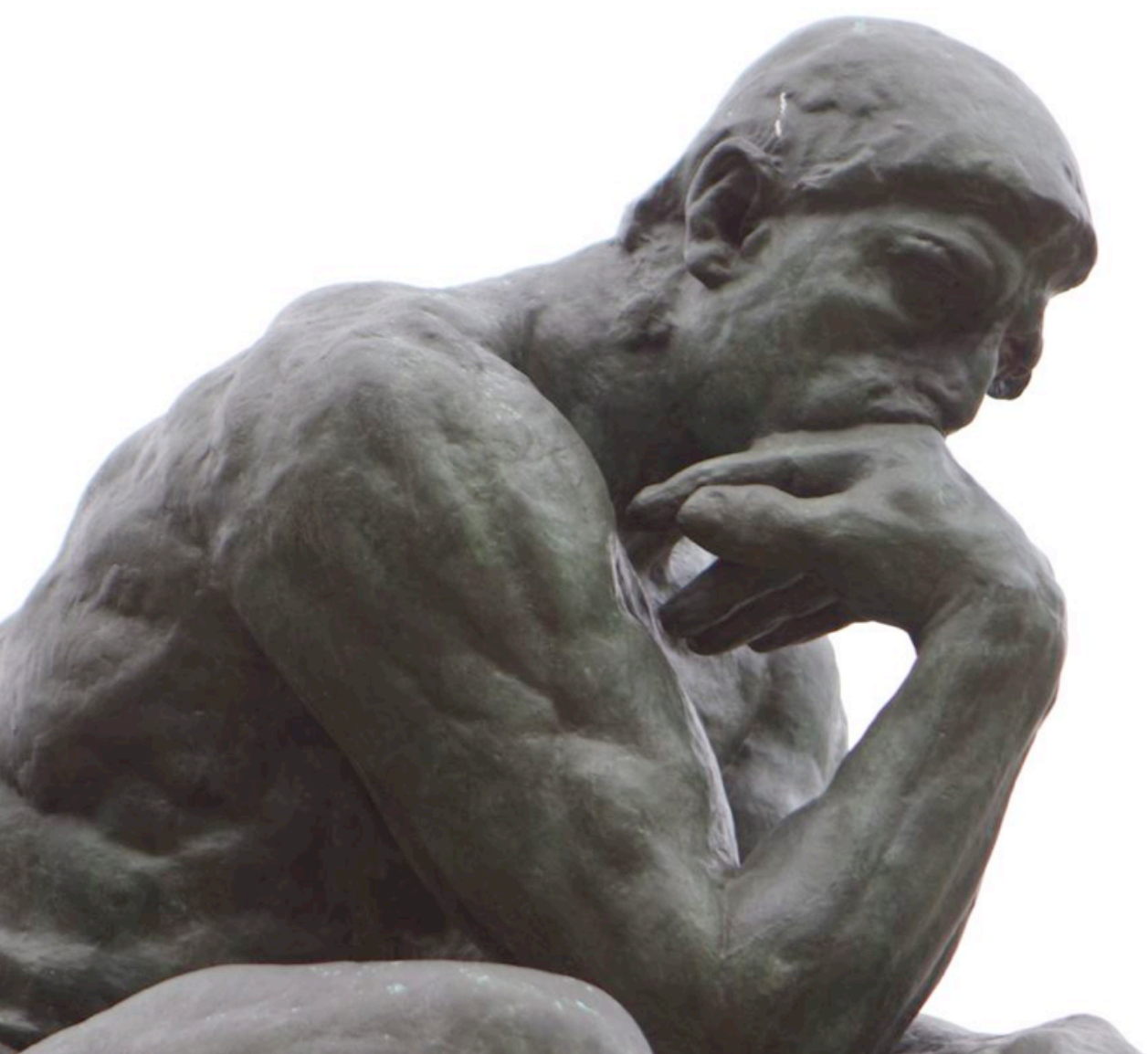
**Table 3.3:** The Structural Operational Semantics of FUN (part 2).



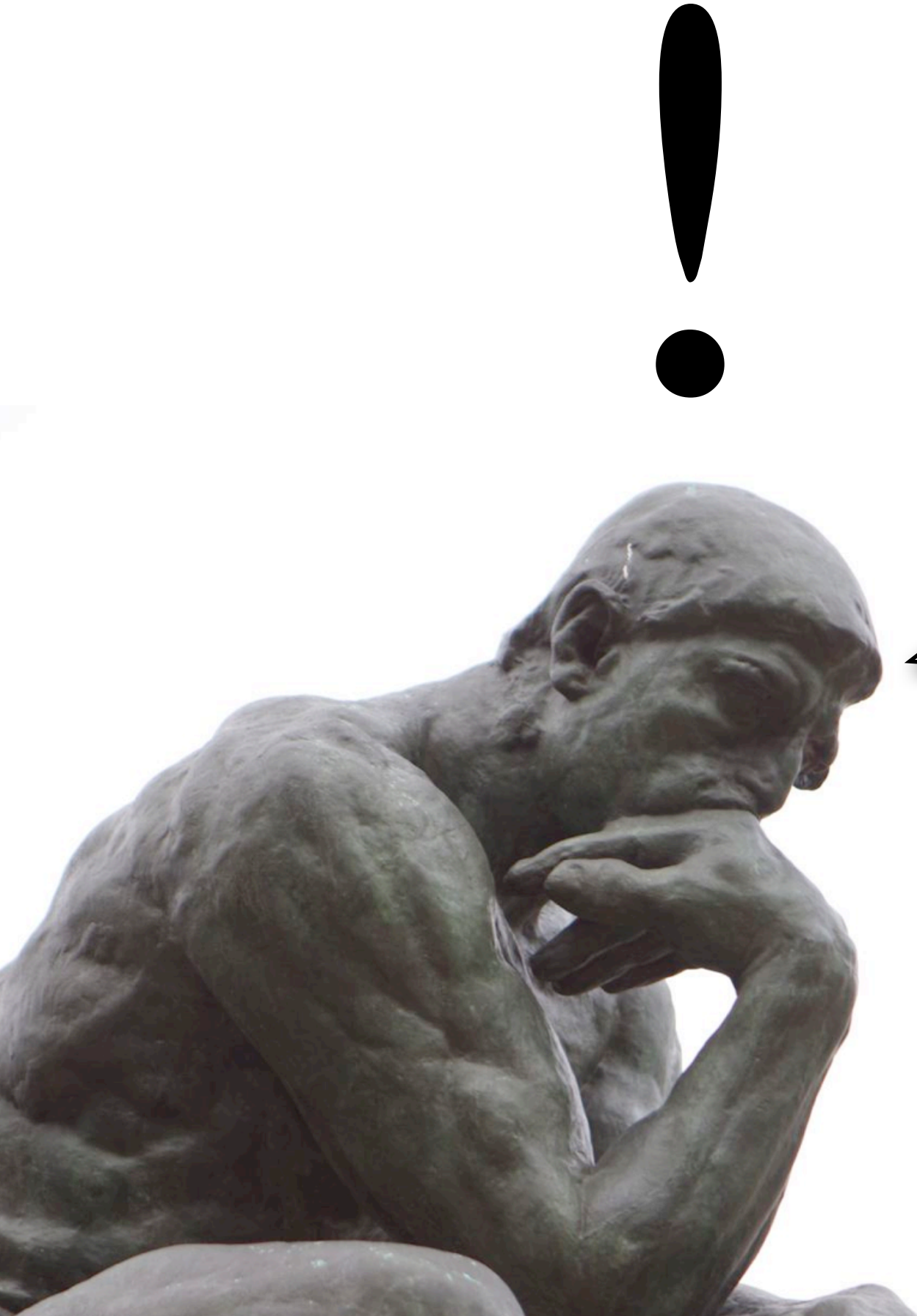
$[var]$	$\rho \vdash x^\ell \rightarrow v^\ell$ if $x \in \text{dom}(\rho)$ and $v = \rho(x)$
$[fn]$	$\rho \vdash (\text{fn } x \Rightarrow e_0)^\ell \rightarrow (\text{close } (\text{fn } x \Rightarrow e_0) \text{ in } \rho_0)^\ell$ where $\rho_0 = \rho \mid FV(\text{fn } x \Rightarrow e_0)$
$[fun]$	$\rho \vdash (\text{fun } f \ x \Rightarrow e_0)^\ell \rightarrow (\text{close } (\text{fun } f \ x \Rightarrow e_0) \text{ in } \rho_0)^\ell$ where $\rho_0 = \rho \mid FV(\text{fun } f \ x \Rightarrow e_0)$
$[app_1]$	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (ie_1 \ ie_2)^\ell \rightarrow (ie'_1 \ ie_2)^\ell}$
$[app_2]$	$\frac{\rho \vdash ie_2 \rightarrow ie'_2}{\rho \vdash (v_1^{\ell_1} \ ie_2)^\ell \rightarrow (v_1^{\ell_1} \ ie'_2)^\ell}$
$[app_{fn}]$	$\rho \vdash ((\text{close } (\text{fn } x \Rightarrow e_1) \text{ in } \rho_1)^{\ell_1} \ v_2^{\ell_2})^\ell \rightarrow$ $(\text{bind } \rho_1[x \mapsto v_2] \text{ in } e_1)^\ell$
$[app_{fun}]$	$\rho \vdash ((\text{close } (\text{fun } f \ x \Rightarrow e_1) \text{ in } \rho_1)^{\ell_1} \ v_2^{\ell_2})^\ell \rightarrow$ $(\text{bind } \rho_2[x \mapsto v_2] \text{ in } e_1)^\ell$ where $\rho_2 = \rho_1[f \mapsto \text{close } (\text{fun } f \ x \Rightarrow e_1) \text{ in } \rho_1]$
$[bind_1]$	$\frac{\rho_1 \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (\text{bind } \rho_1 \text{ in } ie_1)^\ell \rightarrow (\text{bind } \rho_1 \text{ in } ie'_1)^\ell}$
$[bind_2]$	$\rho \vdash (\text{bind } \rho_1 \text{ in } v_1^{\ell_1})^\ell \rightarrow v_1^\ell$
$[if_1]$	$\frac{\rho \vdash ie_0 \rightarrow ie'_0}{\rho \vdash (\text{if } ie_0 \text{ then } e_1 \text{ else } e_2)^\ell \rightarrow (\text{if } ie'_0 \text{ then } e_1 \text{ else } e_2)^\ell}$
$[if_2]$	$\rho \vdash (\text{if true}^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \rightarrow t_1^\ell$
$[if_3]$	$\rho \vdash (\text{if false}^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell \rightarrow t_2^\ell$
$[let_1]$	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (\text{let } x = ie_1 \text{ in } e_2)^\ell \rightarrow (\text{let } x = ie'_1 \text{ in } e_2)^\ell}$
$[let_2]$	$\rho \vdash (\text{let } x = v_1^{\ell_1} \text{ in } e_2)^\ell \rightarrow (\text{bind } \rho_0[x \mapsto v] \text{ in } e_2)^\ell$ where $\rho_0 = \rho \mid FV(e_2)$
$[op_1]$	$\frac{\rho \vdash ie_1 \rightarrow ie'_1}{\rho \vdash (ie_1 \ op \ ie_2)^\ell \rightarrow (ie'_1 \ op \ ie_2)^\ell}$
$[op_2]$	$\frac{\rho \vdash ie_2 \rightarrow ie'_2}{\rho \vdash (v_1^{\ell_1} \ op \ ie_2)^\ell \rightarrow (v_1^{\ell_1} \ op \ ie'_2)^\ell}$
$[op_3]$	$\rho \vdash (v_1^{\ell_1} \ op \ v_2^{\ell_2})^\ell \rightarrow v^\ell \quad \text{if } v = v_1 \ \text{op} \ v_2$

**Table 3.3:** The Structural Operational Semantics of FUN (part 2).









[con]  $(\hat{C}, \hat{\rho}) \models c^\ell$  always

[var]  $(\hat{C}, \hat{\rho}) \models x^\ell$  iff  $\hat{\rho}(x) \subseteq \hat{C}(\ell)$

[fn]  $(\hat{C}, \hat{\rho}) \models (\text{fn } x \Rightarrow e_0)^\ell$  iff  $\{\text{fn } x \Rightarrow e_0\} \subseteq \hat{C}(\ell)$

[fun]  $(\hat{C}, \hat{\rho}) \models (\text{fun } f \ x \Rightarrow e_0)^\ell$  iff  $\{\text{fun } f \ x \Rightarrow e_0\} \subseteq \hat{C}(\ell)$

[app]  $(\hat{C}, \hat{\rho}) \models (t_1^{\ell_1} \ t_2^{\ell_2})^\ell$   
iff  $(\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2} \wedge$   
 $(\forall (\text{fn } x \Rightarrow t_0^{\ell_0}) \in \hat{C}(\ell_1) :$   
 $(\hat{C}, \hat{\rho}) \models t_0^{\ell_0} \wedge$   
 $\hat{C}(\ell_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(\ell)) \wedge$   
 $(\forall (\text{fun } f \ x \Rightarrow t_0^{\ell_0}) \in \hat{C}(\ell_1) :$   
 $(\hat{C}, \hat{\rho}) \models t_0^{\ell_0} \wedge$   
 $\hat{C}(\ell_2) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_0) \subseteq \hat{C}(\ell) \wedge$   
 $\{\text{fun } f \ x \Rightarrow t_0^{\ell_0}\} \subseteq \hat{\rho}(f))$

[if]  $(\hat{C}, \hat{\rho}) \models (\text{if } t_0^{\ell_0} \text{ then } t_1^{\ell_1} \text{ else } t_2^{\ell_2})^\ell$   
iff  $(\hat{C}, \hat{\rho}) \models t_0^{\ell_0} \wedge$   
 $(\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2} \wedge$   
 $\hat{C}(\ell_1) \subseteq \hat{C}(\ell) \wedge \hat{C}(\ell_2) \subseteq \hat{C}(\ell)$

[let]  $(\hat{C}, \hat{\rho}) \models (\text{let } x = t_1^{\ell_1} \text{ in } t_2^{\ell_2})^\ell$   
iff  $(\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2} \wedge$   
 $\hat{C}(\ell_1) \subseteq \hat{\rho}(x) \wedge \hat{C}(\ell_2) \subseteq \hat{C}(\ell)$

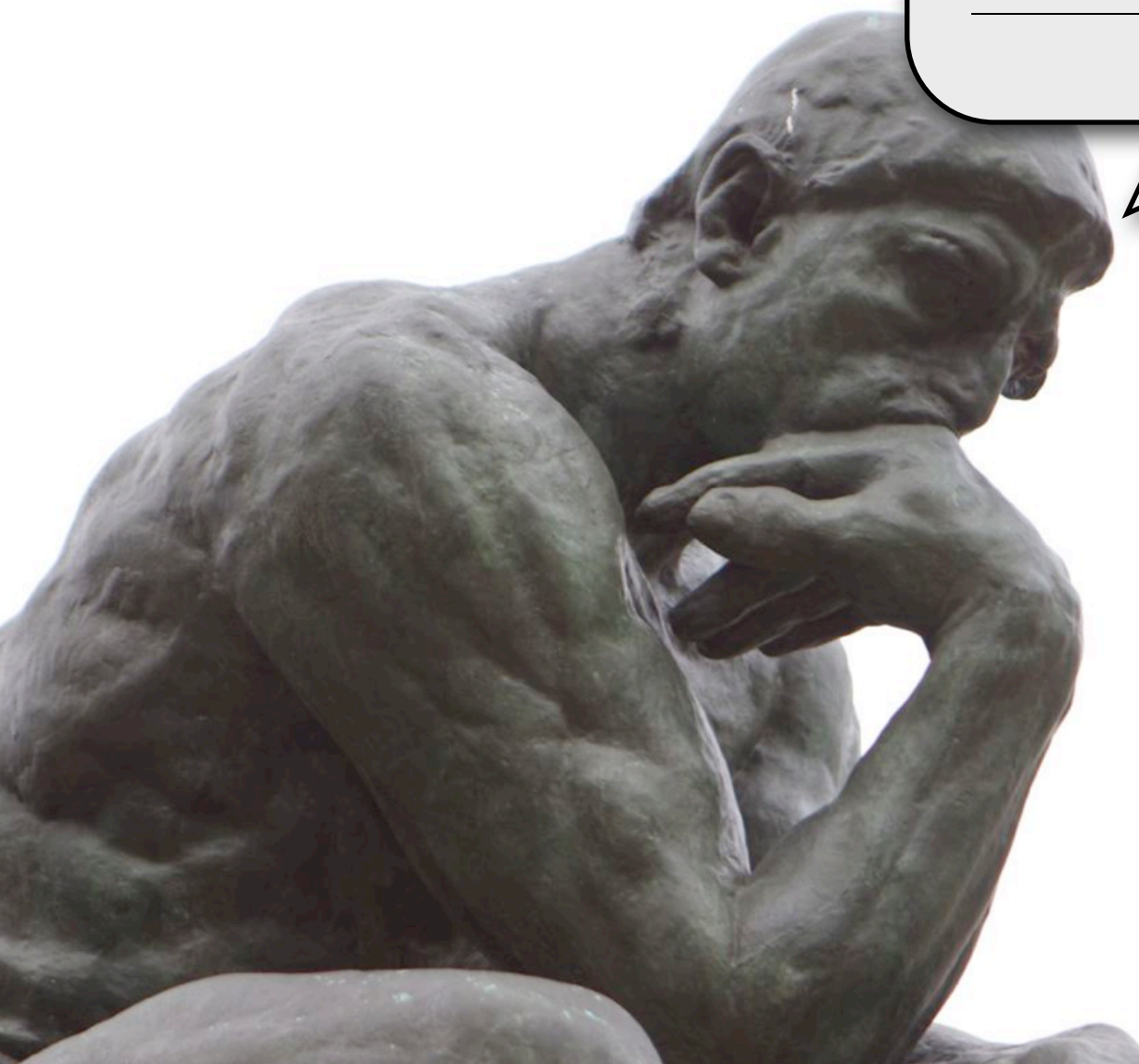
[op]  $(\hat{C}, \hat{\rho}) \models (t_1^{\ell_1} \text{ op } t_2^{\ell_2})^\ell$  iff  $(\hat{C}, \hat{\rho}) \models t_1^{\ell_1} \wedge (\hat{C}, \hat{\rho}) \models t_2^{\ell_2}$

**Table 3.1:** Abstract Control Flow Analysis (Subsections 3.1.1 and 3.1.2).

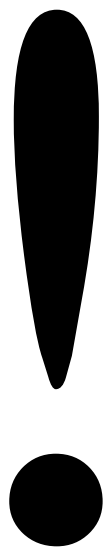
$((\lambda x^\beta . e)^{\ell_\lambda} v^{\ell_v})^{\ell_a}$	$\longrightarrow$	$e[v^{\ell_v}/x^\beta]$	SUBST
$(n^{\ell_n} v^{\ell_v})^{\ell_a}$	$\longrightarrow$	$(\text{blame } \lambda \mathcal{R})^{\ell_a}$	APP-ERROR
$(\text{if0 } 0^{\ell_0} e_1 e_2)^\ell$	$\longrightarrow$	$e_1$	IF0-TRUE
$(\text{if0 } v^{\ell_v} e_1 e_2)^\ell$	$\longrightarrow$	$e_2$	IF0-FALSE
$(\text{any}_f^{\ell\ell'} \Leftarrow v^{\ell_v})^{\ell_c}$	$\longrightarrow$	$v^\ell$	ANY
$(\langle e_1 e_2 \text{ any}_f^{\ell\ell'} \rangle_f^{\ell^+ \ell^-} \Leftarrow v_{e\dots}^{\ell_v})^{\ell_c}$	$\longrightarrow$	$e_1[v_{e\dots e_2}^\ell/\varepsilon^\ell]$	ANY-TRIP
$(\text{int}_f^{\ell\ell'} \Leftarrow n^{\ell_n})^{\ell_c}$	$\longrightarrow$	$n^\ell$	INT-INT
$(\text{int}_f^{\ell\ell'} \Leftarrow \vec{v}^{\ell_v})^{\ell_c}$	$\longrightarrow$	$(\text{blame } f \mathcal{R})^{\ell'}$	INT-LAM
$(\langle e_1 e_2 \text{ int}_f^{\ell\ell'} \rangle_f^{\ell^+ \ell^-} \Leftarrow n_{e\dots}^{\ell_n})^{\ell_c}$	$\longrightarrow$	$e_1[n_{e\dots e_2}^\ell/\varepsilon^\ell]$	INT-TRIP-INT
$(\langle e_1 e_2 \text{ int}_f^{\ell\ell'} \rangle_f^{\ell^+ \ell^-} \Leftarrow \vec{v}^{\ell_v})^{\ell_c}$	$\longrightarrow$	$(\text{blame } f \mathcal{R})^{\ell'}$	INT-TRIP-LAM
$((c_1 \rightarrow c_2)_f^{\ell\ell'} \Leftarrow \vec{v}^{\ell_v})^{\ell_c}$	$\longrightarrow$	$((c_1 \dashrightarrow c_2)_f^{\ell\ell'} \Leftarrow \vec{v}^{\ell_v})^{\ell_c}$	LAM-LAM
$((c_1 \rightarrow c_2)_f^{\ell\ell'} \Leftarrow n^{\ell_n})^{\ell_c}$	$\longrightarrow$	$(\text{blame } f \mathcal{R})^{\ell'}$	LAM-INT
$(\langle e_1 e_2 (c_1 \rightarrow c_2)_f^{\ell\ell'} \rangle_f^{\ell^+ \ell^-} \Leftarrow \vec{v}_{e\dots}^{\ell_v})^{\ell_c}$	$\longrightarrow$	$e_1[((c_1 \dashrightarrow c_2)_f^{\ell\ell'} \Leftarrow \vec{v}_{e\dots e_2}^{\ell_v})^{\ell_c}/\varepsilon^\ell]$	LAM-TRIP-LAM
$(\langle e_1 e_2 (c_1 \rightarrow c_2)_f^{\ell\ell'} \rangle_f^{\ell^+ \ell^-} \Leftarrow n^{\ell_n})^{\ell_c}$	$\longrightarrow$	$(\text{blame } f \mathcal{R})^{\ell'}$	LAM-TRIP-INT
$((c_1 \dashrightarrow c_2)_f^{\ell\ell'} \Leftarrow \vec{v}^{\ell_v})^{\ell_c} w^{\ell_w})^{\ell_a}$	$\longrightarrow$	$(c_2 \Leftarrow (\vec{v}^{\ell_v} (c_1 \Leftarrow w^{\ell_w})^{\text{lab}^+(c_1)} \text{lab}^-(c_2)) \text{lab}^+(c_2))$	SPLIT

**Figure 7.** Reduction rules.

# Semantics of contracts







Source\Sink	$\text{int}_h^{\ell_5^+ \ell_5^-}$	$\langle \dots e_5 \text{int}_h^{\ell_5^+ \ell_5^-} \rangle_h^{\ell_6^+ \ell_6^-}$	$\text{any}_h^{\ell_5^+ \ell_5^-}$	$\langle \dots e_5 \text{any}_h^{\ell_5^+ \ell_5^-} \rangle_h^{\ell_6^+ \ell_6^-}$
$n_{e_1 \dots}^{\ell_n}$		$\left\{ \ell_n \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_n \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\text{int}_f^{\ell_1^+ \ell_1^-}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_1 \text{int}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\text{any}_f^{\ell_1^+ \ell_1^-}$		$\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_1 \text{any}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$				$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$(\lambda x^\beta . e^\ell)_{e_1 \dots}^{\ell_\lambda}$		$\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$		$\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell_5^+) \subseteq \varphi(\beta)$ $\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell) \subseteq \varphi(\ell_5^-)$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$(c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-}$		$\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_3 (c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-} \rangle_f^{\ell_4^+ \ell_4^-}$				$\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell_5^+) \subseteq \varphi(\ell_1^-)$ $\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell_2^+) \subseteq \varphi(\ell_5^-)$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$

Source\Sink	$(e^{\ell_5} e^{\ell_6})_{\ell_a}$	$(c_i^{\ell_7^+ \ell_7^-} \rightarrow c_h^{\ell_8^+ \ell_8^-})_h^{\ell_5^+ \ell_5^-}$	$\langle \dots e_5 (c_i^{\ell_7^+ \ell_7^-} \rightarrow c_h^{\ell_8^+ \ell_8^-})_h^{\ell_5^+ \ell_5^-} \rangle_h^{\ell_6^+ \ell_6^-}$
$n_{e_1 \dots}^{\ell_n}$	$\{ \ell_n \subseteq \varphi(\ell_5) \} \Rightarrow \{ \langle \lambda, \mathcal{R} \rangle \subseteq \psi(\ell_a) \}$	$\{ \ell_n \subseteq \varphi(\ell_5^-) \} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$	
$\text{int}_f^{\ell_1^+ \ell_1^-}$	$\{ \ell_1^+ \subseteq \varphi(\ell_5) \} \Rightarrow \{ \langle \lambda, \mathcal{R} \rangle \subseteq \psi(\ell_a) \}$	$\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$	
$\langle \dots e_1 \text{int}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$			
$\text{any}_f^{\ell_1^+ \ell_1^-}$			
$\langle \dots e_1 \text{any}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$			
$(\lambda x^\beta . e^\ell)_{e_1 \dots}^{\ell_\lambda}$	$\{ \ell_\lambda \subseteq \varphi(\ell_5) \} \Rightarrow \varphi(\ell_6) \subseteq \varphi(\beta)$ $\{ \ell_\lambda \subseteq \varphi(\ell_5) \} \Rightarrow \varphi(\ell) \subseteq \varphi(\ell_a)$	$\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell_7^+) \subseteq \varphi(\beta)$ $\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell) \subseteq \varphi(\ell_8^-)$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$	
$(c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-}$	$\{ \ell_3^+ \subseteq \varphi(\ell_5) \} \Rightarrow \varphi(\ell_6) \subseteq \varphi(\ell_1^-)$ $\{ \ell_3^+ \subseteq \varphi(\ell_5) \} \Rightarrow \varphi(\ell_2^+) \subseteq \varphi(\ell_a)$		$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$ $\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell_7^+) \subseteq \varphi(\ell_1^-)$ $\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \} \Rightarrow \varphi(\ell_2^+) \subseteq \varphi(\ell_8^-)$
$\langle \dots e_3 (c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-} \rangle_f^{\ell_4^+ \ell_4^-}$			$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$

**Table 1.** Constraints creation for source-sink pairs.

# Analysis of contracts

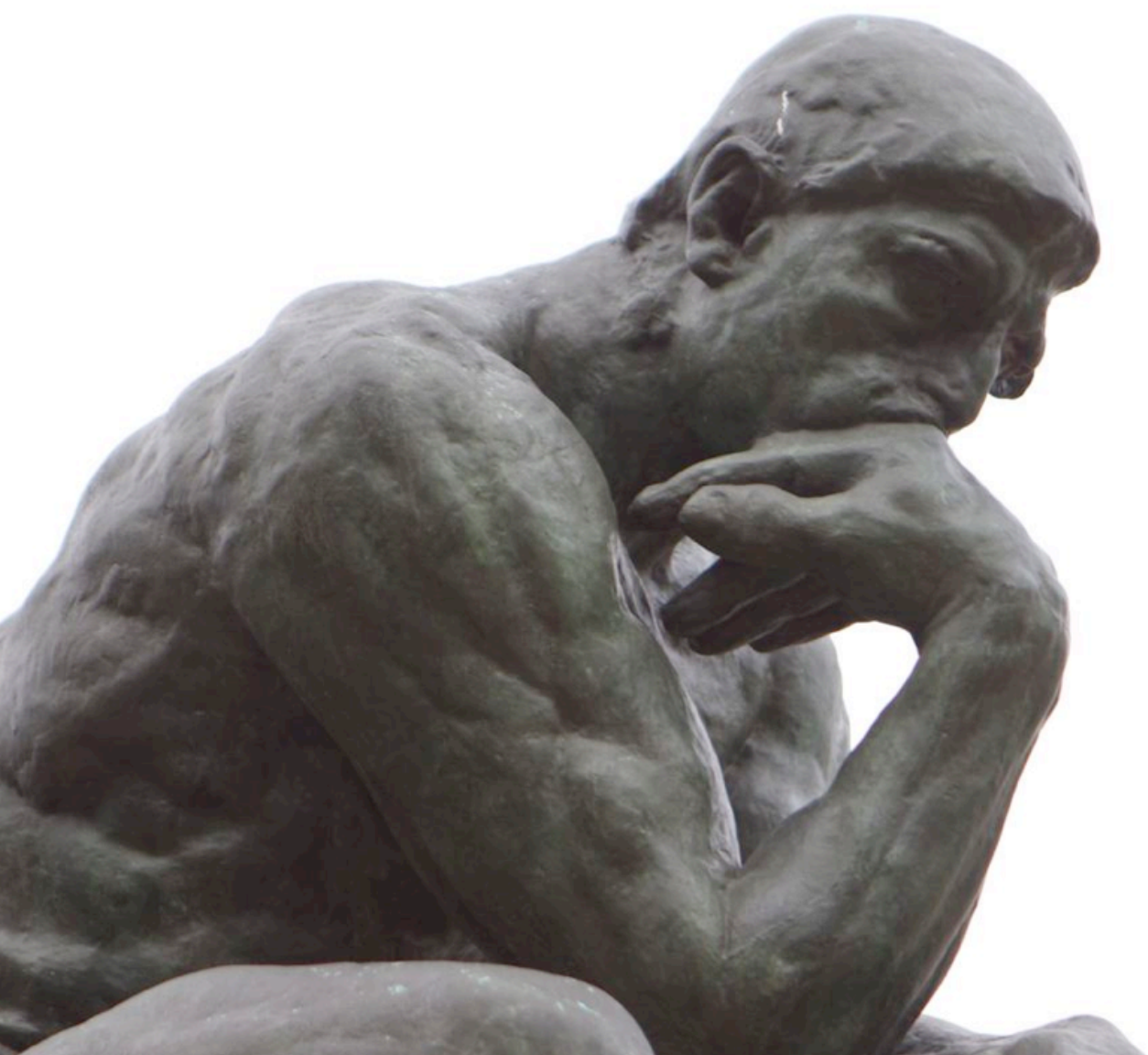
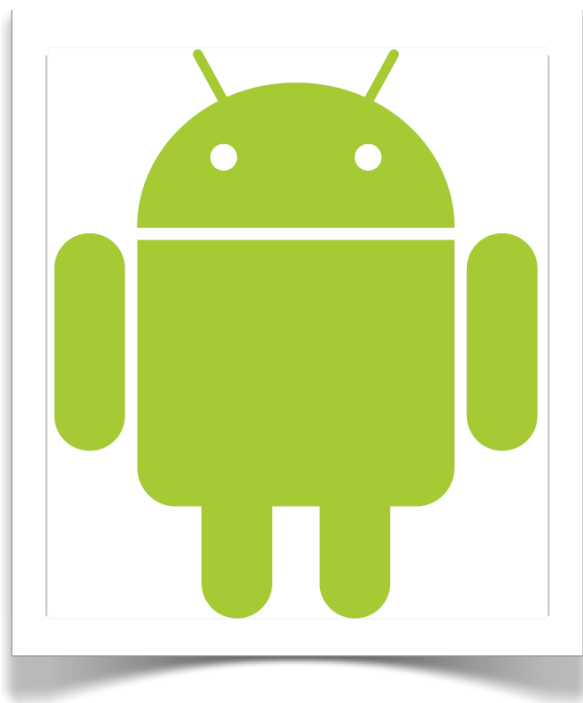
&@# \$!

Source\Sink	$\text{int}_h^{\ell_5^+ \ell_5^-}$	$\langle \dots e_5 \text{int}_h^{\ell_5^+ \ell_5^-} \rangle_h^{\ell_6^+ \ell_6^-}$	$\text{any}_h^{\ell_5^+ \ell_5^-}$	$\langle \dots e_5 \text{any}_h^{\ell_5^+ \ell_5^-} \rangle_h^{\ell_6^+ \ell_6^-}$
$n_{e_1 \dots}^{\ell_n}$		$\left\{ \ell_n \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_n \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\text{int}_f^{\ell_1^+ \ell_1^-}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_1 \text{int}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\text{any}_f^{\ell_1^+ \ell_1^-}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_1 \text{any}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$				$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$(\lambda x^\beta . e^\ell)_{e_1 \dots}^{\ell_\lambda}$		$\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell_5^+) \subseteq \varphi(\beta) \right\}$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell) \subseteq \varphi(\ell_5^-) \right\}$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$ $e_1 \dots \not\sqsubseteq e_5$
$(c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-}$		$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$		$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_3 (c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-} \rangle_f^{\ell_4^+ \ell_4^-}$				$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell_5^+) \subseteq \varphi(\ell_1^-) \right\}$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell_2^+) \subseteq \varphi(\ell_5^-) \right\}$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$ $e_3 \not\sqsubseteq e_5$

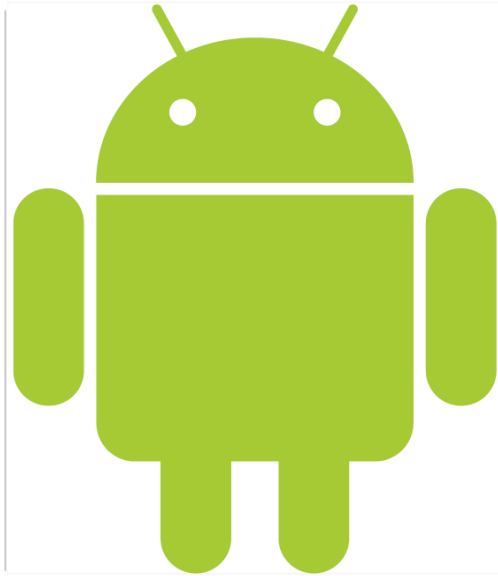
Source\Sink	$(e^{\ell_5} e^{\ell_6})_{\ell_a}$	$(c_i^{\ell_7^+ \ell_7^-} \rightarrow c_h^{\ell_8^+ \ell_8^-})_h^{\ell_5^+ \ell_5^-}$	$\langle \dots e_5 (c_i^{\ell_7^+ \ell_7^-} \rightarrow c_h^{\ell_8^+ \ell_8^-})_h^{\ell_5^+ \ell_5^-} \rangle_h^{\ell_6^+ \ell_6^-}$
$n_{e_1 \dots}^{\ell_n}$	$\left\{ \ell_n \subseteq \varphi(\ell_5) \right\} \Rightarrow \{ \langle \lambda, \mathcal{R} \rangle \subseteq \psi(\ell_a) \}$	$\left\{ \ell_n \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$	
$\text{int}_f^{\ell_1^+ \ell_1^-}$	$\left\{ \ell_1^+ \subseteq \varphi(\ell_5) \right\} \Rightarrow \{ \langle \lambda, \mathcal{R} \rangle \subseteq \psi(\ell_a) \}$		$\left\{ \ell_1^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{R} \rangle \subseteq \psi(\ell_5^-) \}$
$\langle \dots e_1 \text{int}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$			
$\text{any}_f^{\ell_1^+ \ell_1^-}$			
$\langle \dots e_1 \text{any}_f^{\ell_1^+ \ell_1^-} \rangle_f^{\ell_2^+ \ell_2^-}$			
$(\lambda x^\beta . e^\ell)_{e_1 \dots}^{\ell_\lambda}$	$\left\{ \ell_\lambda \subseteq \varphi(\ell_5) \Rightarrow \varphi(\ell_6) \subseteq \varphi(\beta) \right\}$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5) \Rightarrow \varphi(\ell) \subseteq \varphi(\ell_a) \right\}$	$\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell_7^+) \subseteq \varphi(\beta) \right\}$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell) \subseteq \varphi(\ell_8^-) \right\}$ $\left\{ \ell_\lambda \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$ $e_1 \dots \not\sqsubseteq e_5$	
$(c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-}$	$\left\{ \ell_3^+ \subseteq \varphi(\ell_5) \Rightarrow \varphi(\ell_6) \subseteq \varphi(\ell_1^-) \right\}$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5) \Rightarrow \varphi(\ell_2^+) \subseteq \varphi(\ell_a) \right\}$		$\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell_7^+) \subseteq \varphi(\ell_1^-) \right\}$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \Rightarrow \varphi(\ell_2^+) \subseteq \varphi(\ell_8^-) \right\}$ $\left\{ \ell_3^+ \subseteq \varphi(\ell_5^-) \right\} \Rightarrow \{ \langle h, \mathcal{O} \rangle \subseteq \psi(\ell_5^-) \}$ $e_3 \not\sqsubseteq e_5$
$\langle \dots e_3 (c_g^{\ell_1^+ \ell_1^-} \rightarrow c_f^{\ell_2^+ \ell_2^-})_f^{\ell_3^+ \ell_3^-} \rangle_f^{\ell_4^+ \ell_4^-}$			

Table 1. Constraints creation for source-sink pairs.

# Analysis of contracts







Op & Format	Mnemonic / Syntax	Arguments	Description
76: invoke-direct/range			
77: invoke-static/range			
78: invoke-interface/range			
79..7a 10x	(unused)		(unused)
7b..8f 12x	unop vA, vB	A: destination register or pair (4	Perform the identified unary operation on

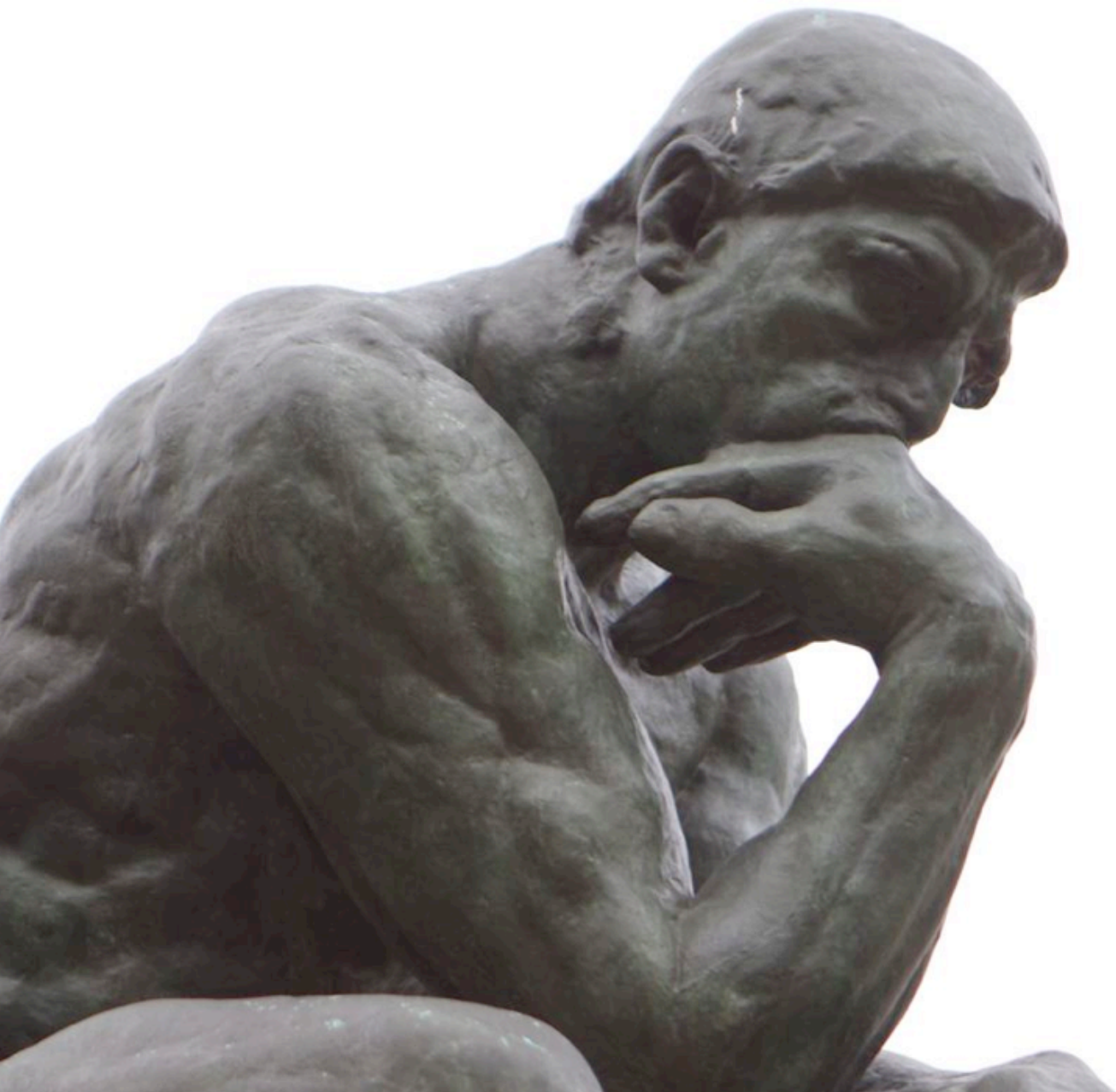
Op & Format	Mnemonic / Syntax	Arguments	Description
be: div-long/2addr			
bf: rem-long/2addr			
c0: and-long/2addr			
c1: or-long/2addr			
c2: xor-long/2addr			
c3: shl-long/2addr			
c4: shr-long/2addr			
c5: ushr-long/2addr			
c6: add-float/2addr			
c7: sub-float/2addr			
c8: mul-float/2addr			
c9: div-float/2addr			
ca: rem-float/2addr			
cb: add-double/2addr			
cc: sub-double/2addr			
cd: mul-double/2addr			
ce: div-double/2addr			
cf: rem-double/2addr			
d0..d7 22s	binop/lit16 vA, vB, #+CCCC d0: add-int/lit16 d1: rsub-int (reverse subtract) d2: mul-int/lit16 d3: div-int/lit16 d4: rem-int/lit16 d5: and-int/lit16 d6: or-int/lit16 d7: xor-int/lit16	A: destination register (4 bits) B: source register (4 bits) C: signed int constant (16 bits)	Perform the indicated binary op on the indicated register (first argument) and literal value (second argument), storing the result in the destination register.  <b>Note:</b> rsub-int does not have a suffix since this version is the main opcode of its family. Also, see below for details on its semantics.
d8..e2 22b	binop/lit8 vAA, vBB, #+CC d8: add-int/lit8 d9: rsub-int/lit8 da: mul-int/lit8 db: div-int/lit8 dc: rem-int/lit8 dd: and-int/lit8 de: or-int/lit8 df: xor-int/lit8 e0: shl-int/lit8 e1: shr-int/lit8 e2: ushr-int/lit8	A: destination register (8 bits) B: source register (8 bits) C: signed int constant (8 bits)	Perform the indicated binary op on the indicated register (first argument) and literal value (second argument), storing the result in the destination register.  <b>Note:</b> See below for details on the semantics of rsub-int.
e3..ff 10x	(unused)		(unused)

b0..cf 12x	binop/2addr vA, vB b0: add-int/2addr b1: sub-int/2addr b2: mul-int/2addr b3: div-int/2addr b4: rem-int/2addr b5: and-int/2addr b6: or-int/2addr b7: xor-int/2addr b8: shl-int/2addr b9: shr-int/2addr ba: ushr-int/2addr bb: add-long/2addr bc: sub-long/2addr bd: mul-long/2addr	A: destination and first source register or pair (4 bits) B: second source register or pair (4 bits)	Perform the identified binary operation on the two source registers, storing the result in the first source register.
------------	---	---	---



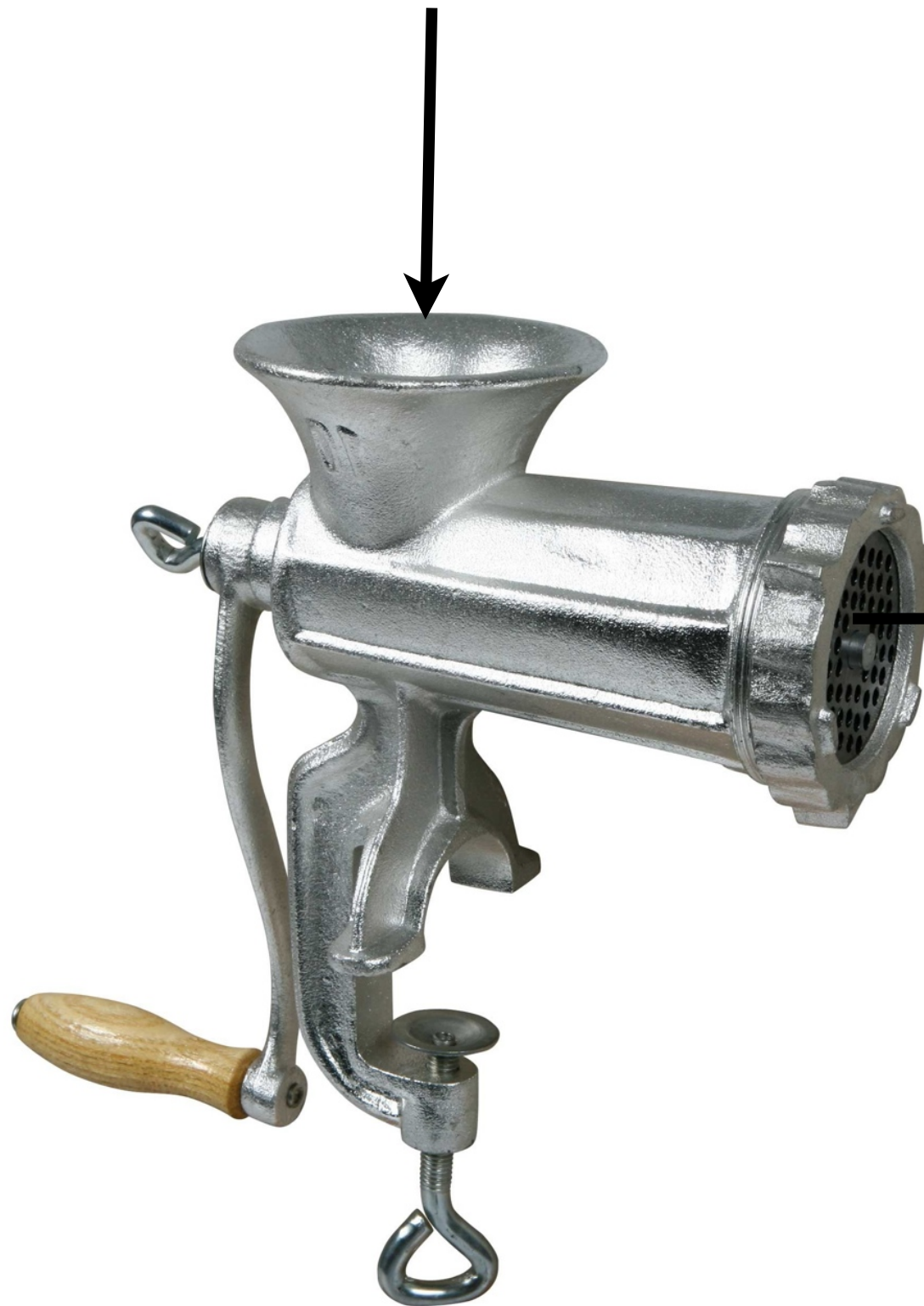
**We need a  
systematic approach.**

**EVALUATOR**



**ANALYSIS**

**EVALUATOR**

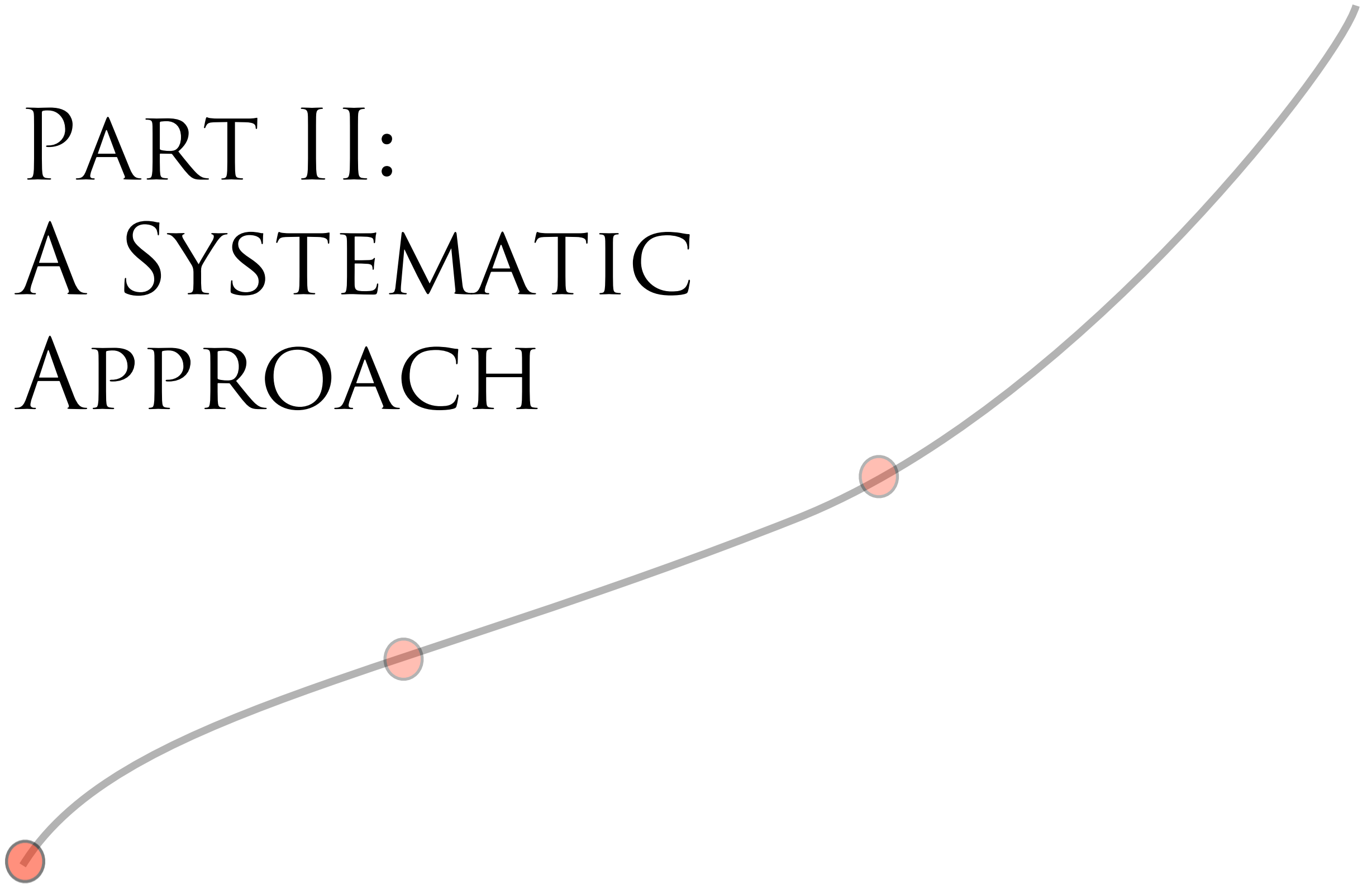


**ANALYSIS**

[ICFP'10, CACM'11, JFP'12]



# PART II: A SYSTEMATIC APPROACH



# **Abstracting Abstract Machines**

$$e ::= x \mid e \ e \mid \lambda x. e$$

$$e ::= x \mid e \ e \mid \lambda x. e$$



variable

$e ::= x \mid e e \mid \lambda x. e$



variable

application


$e ::= x \mid e e \mid \lambda x. e$

variable

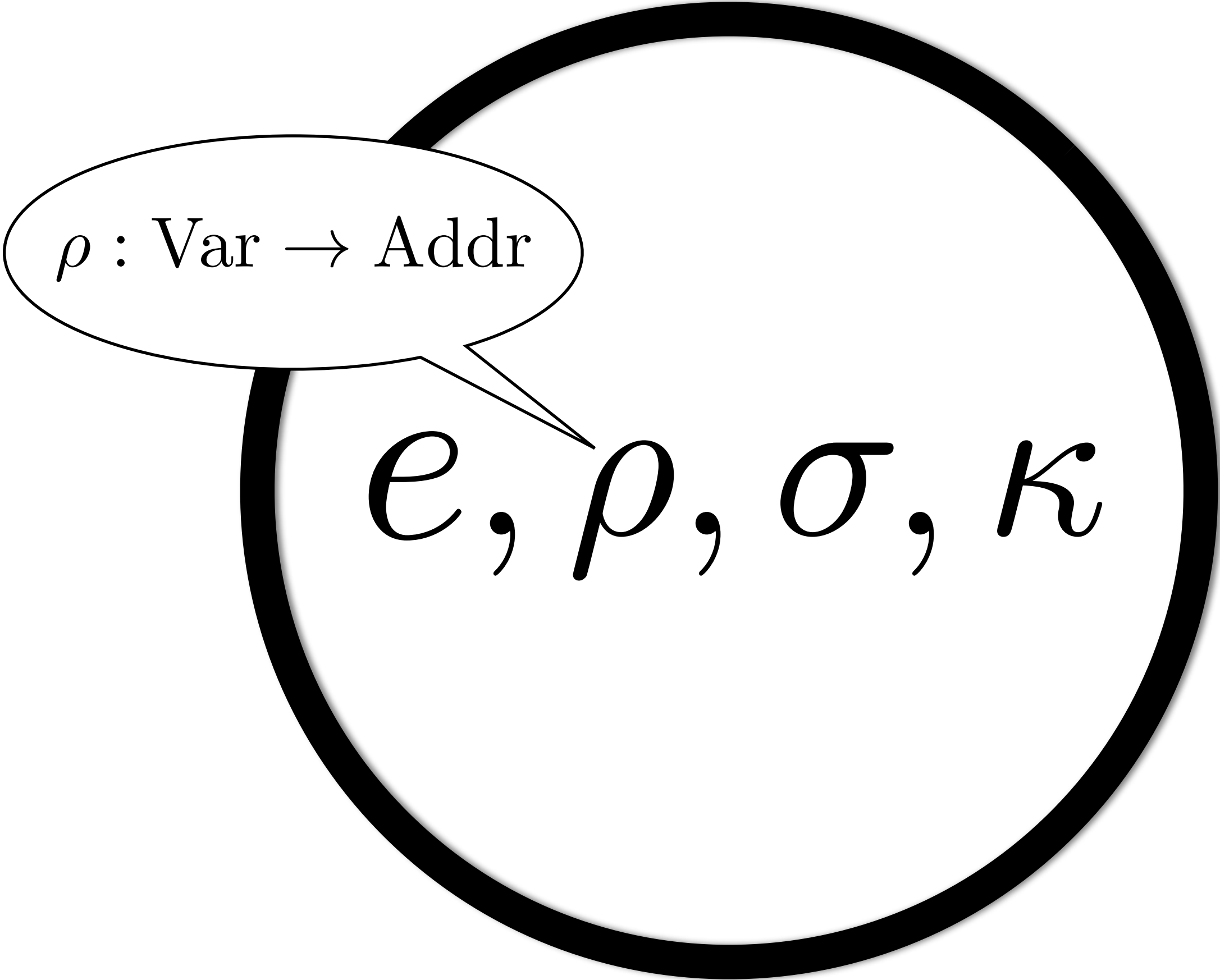
application

$e ::= x \mid e e \mid \lambda x. e$

anonymous  
function

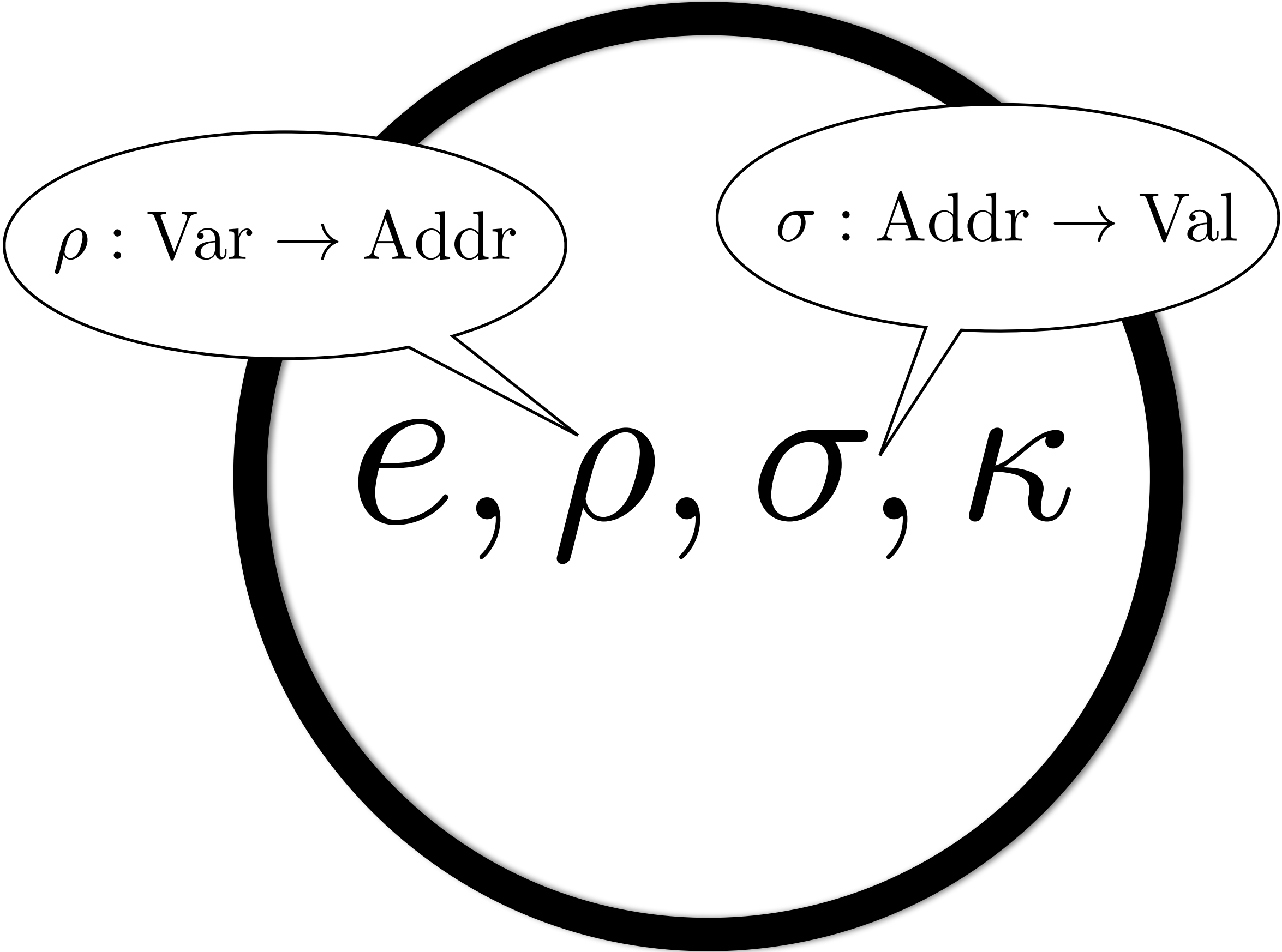


$e, \rho, \sigma, \kappa$



$\rho : \text{Var} \rightarrow \text{Addr}$

$e, \rho, \sigma, \kappa$



$\rho : \text{Var} \rightarrow \text{Addr}$

$\sigma : \text{Addr} \rightarrow \text{Val}$

$e, \rho, \sigma, \kappa$



$\rho : \text{Var} \rightarrow \text{Addr}$

$\sigma : \text{Addr} \rightarrow \text{Val}$

$e, \rho, \sigma, \kappa$

$\epsilon \mid (e, \rho) \cdot \kappa \mid v \cdot \kappa$

$$\begin{array}{ll}
\langle x, \rho, \sigma, \kappa \rangle & \mapsto \langle v, \rho, \sigma, \kappa \rangle \quad \text{if } v = \sigma(\rho(x)) \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle & \mapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle & \mapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x.e, \rho) \cdot \kappa \rangle & \mapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto v], \kappa \rangle
\end{array}$$

CESK machine  
Felleisen & Friedman, '88

$$\begin{array}{ll}
\langle x, \rho, \sigma, \kappa \rangle & \mapsto \langle v, \rho, \sigma, \kappa \rangle \quad \text{if } v = \sigma(\rho(x)) \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle & \mapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle & \mapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x.e, \rho) \cdot \kappa \rangle & \mapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto v], \kappa \rangle
\end{array}$$

CESK machine  
Felleisen & Friedman, '88


$$\begin{array}{ll}
\langle x, \rho, \sigma, \kappa \rangle & \longmapsto \langle v, \rho, \sigma, \kappa \rangle \quad \text{if } v = \sigma(\rho(x)) \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle & \longmapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x.e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto v], \kappa \rangle
\end{array}$$

CESK machine  
Felleisen & Friedman, '88

$$\begin{array}{ll}
\langle x, \rho, \sigma, \kappa \rangle & \longmapsto \langle v, \rho, \sigma, \kappa \rangle \quad \text{if } v = \sigma(\rho(x)) \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle & \longmapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x.e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto v], \kappa \rangle
\end{array}$$

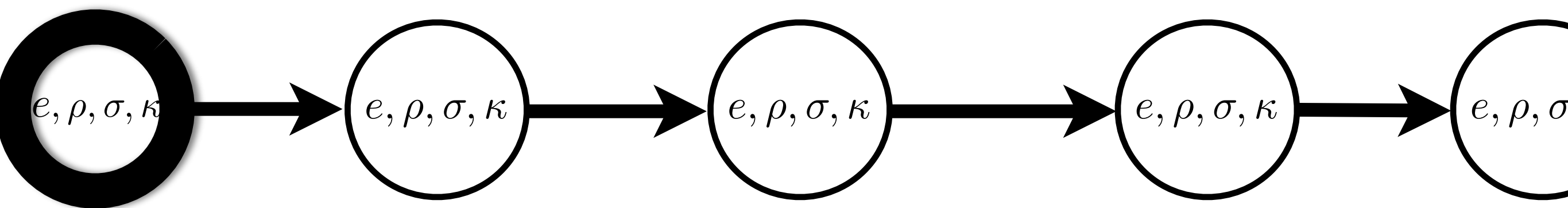
CESK machine  
Felleisen & Friedman, '88

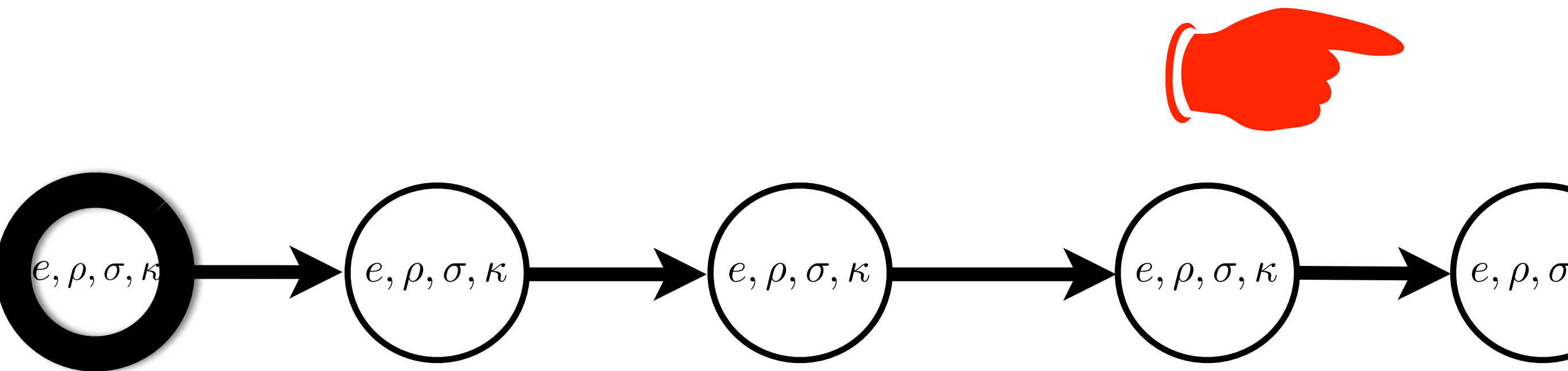




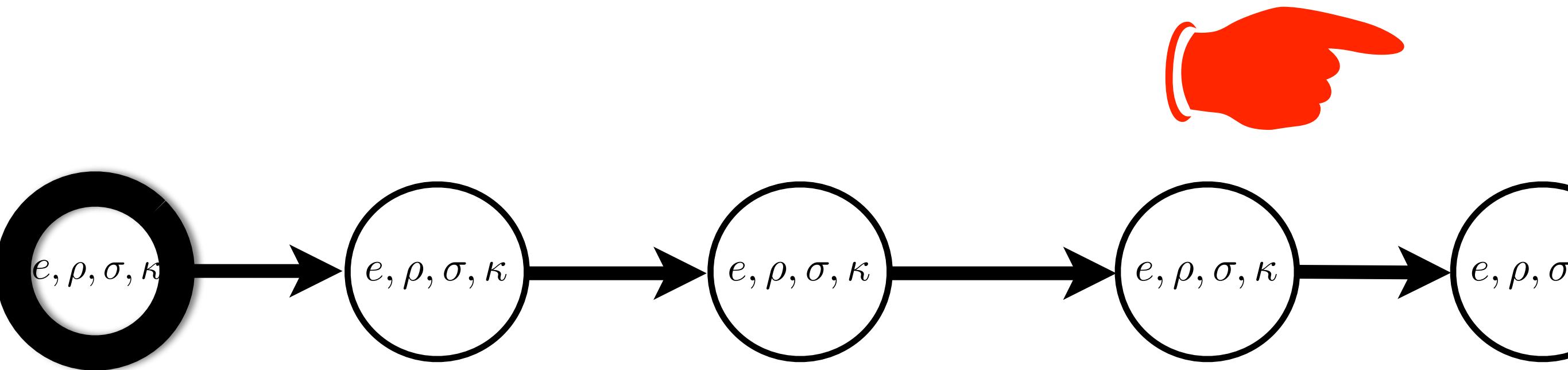
$e, \rho, \sigma, \kappa$





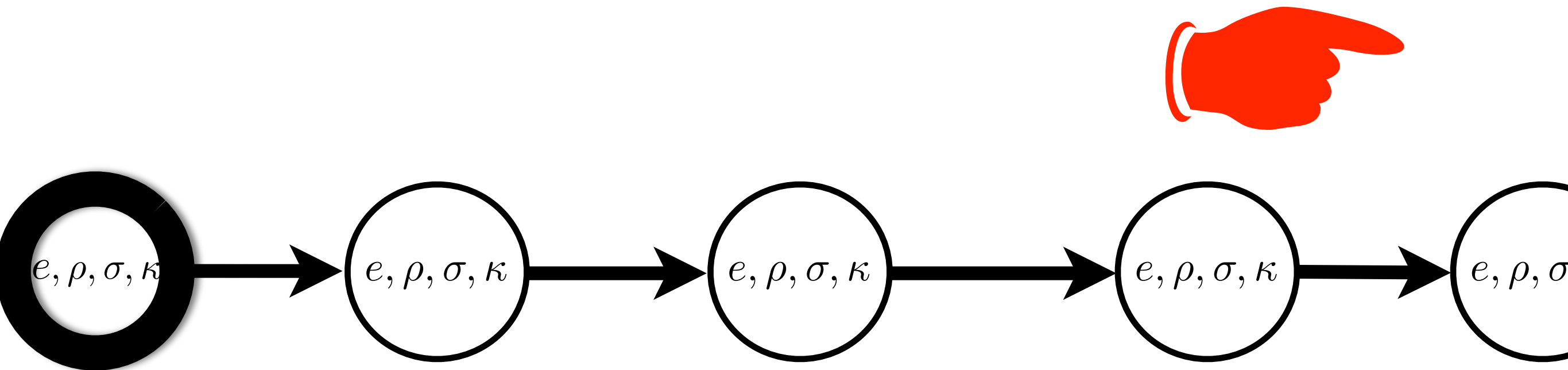


We cannot predict because the future is undecidable.





We cannot predict because the future is undecidable.



Program analysis

=

**sound, computable approximations**

Key idea:

**EVALUATOR**

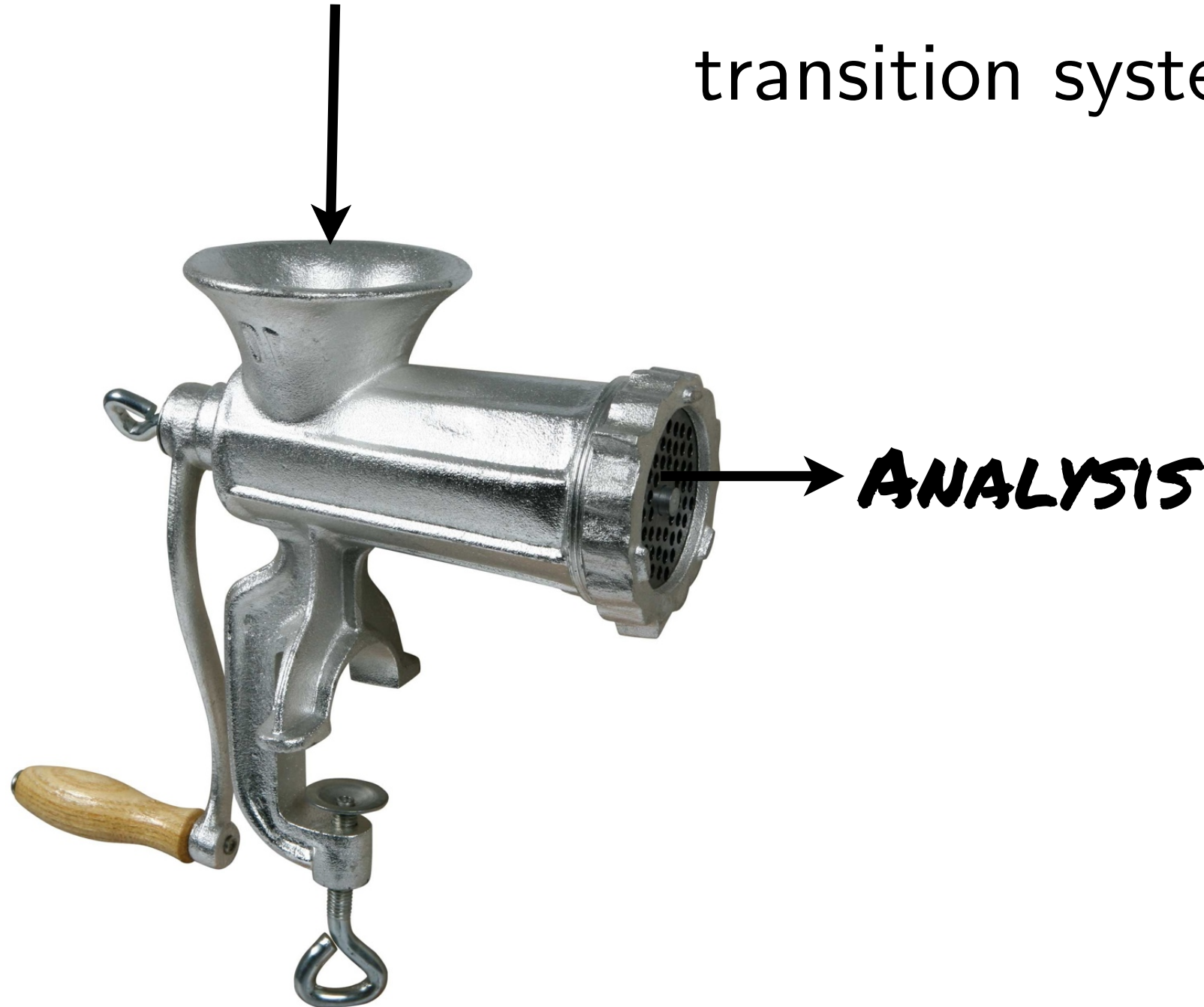


**ANALYSIS**

Key idea:

**EVALUATOR**

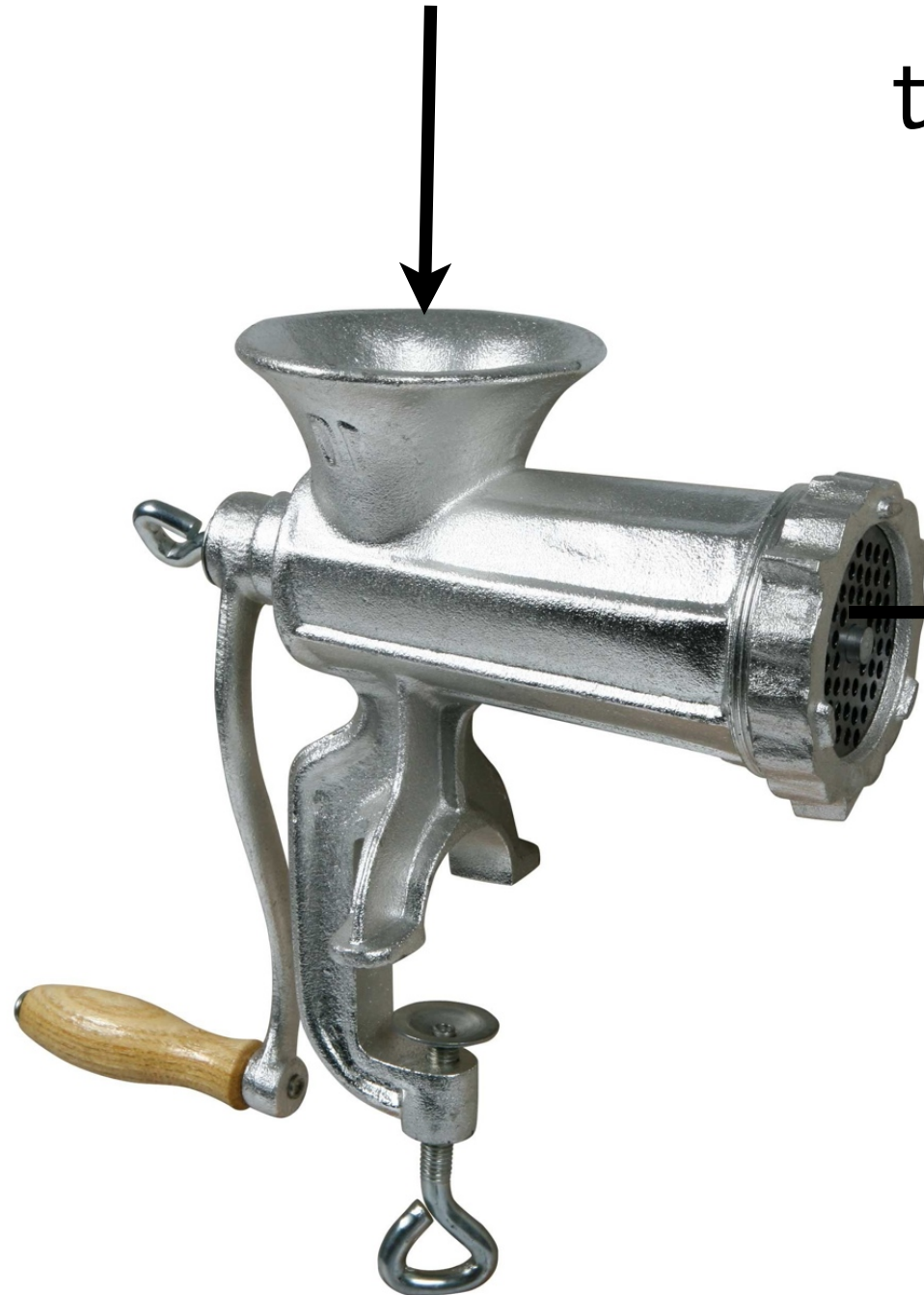
infinite, deterministic  
transition system



Key idea:


**EVALUATOR**

infinite, deterministic  
transition system



**ANALYSIS**

finite, non-deterministic  
transition system



$e, \rho, \sigma, \kappa$



Idea: make it finite

$e, \rho, \sigma, \kappa$

Idea: make it finite

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

Idea: make it finite

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

Idea: make it finite

$\sigma : \text{Addr} \rightarrow \text{Val}$

$e, \rho, \hat{\sigma}, \hat{\kappa}$

Idea: make it finite

$\sigma : \text{Addr} \rightarrow \text{Val}$

$e, \rho, \hat{\sigma}, \hat{\kappa}$

$\epsilon \mid (e, \rho) \cdot \kappa \mid v \cdot \kappa$

Idea: make it finite

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

$$\epsilon \mid (e, \rho) \cdot \kappa \mid v \cdot \kappa$$



Idea: make it finite

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

$$\epsilon \mid (e, \rho) \cdot \kappa \quad v \cdot \kappa$$

$$\epsilon \mid (e, \rho), \quad a \mid v, \quad a$$

$$\begin{array}{ll}
\langle x, \rho, \sigma, \kappa \rangle & \longmapsto \langle v, \rho, \sigma, \kappa \rangle \quad \text{if } v = \sigma(\rho(x)) \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle & \longmapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x. e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto v], \kappa \rangle
\end{array}$$

$$\begin{array}{ll}
\langle x, \rho, \sigma, \kappa \rangle & \longmapsto \langle v, \rho, \sigma, \kappa \rangle \quad \text{if } v = \sigma(\rho(x)) \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle & \longmapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x.e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho[x \mapsto a], \sigma[a \mapsto v], \kappa \rangle
\end{array}$$

$$\begin{array}{ll}
\langle x, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle v, \rho, \hat{\sigma}, \hat{\kappa} \rangle \quad \text{if } v \in \hat{\sigma}(\rho(x)) \\
\langle e_0 \ e_1, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle e_0, \rho, \hat{\sigma} \sqcup [a \mapsto \hat{\kappa}], (e_1, \rho), a \rangle \\
\langle v, \hat{\sigma}, (e, \rho), a \rangle & \longmapsto \langle e, \rho, \hat{\sigma}, v, a \rangle \\
\langle v, \hat{\sigma}, (\lambda x.e, \rho), a \rangle & \longmapsto \langle e, \rho[x \mapsto a'], \hat{\sigma} \sqcup [a' \mapsto v], \hat{\kappa} \rangle \\
& \text{if } \hat{\kappa} \in \hat{\sigma}(a)
\end{array}$$

$$\begin{aligned}
\langle x, \rho, \sigma, \kappa \rangle &\longmapsto \langle v, \rho, \sigma, \kappa \rangle \\
\langle e_0 \ e_1, \rho, \sigma, \kappa \rangle &\longmapsto \langle e_0, \rho, \sigma, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \sigma, (e, \rho) \cdot \kappa \rangle &\longmapsto \langle e, \rho, \sigma, v \cdot \kappa \rangle \\
\langle v, \sigma, (\lambda x.e, \rho) \cdot \kappa \rangle &\longmapsto \langle e, \rho[x \mapsto v], \sigma, \kappa \rangle
\end{aligned}$$

$k$ CFA

$\vdots$

1CFA


0CFA

Simple closure

Sub0CFA

$\vdots$

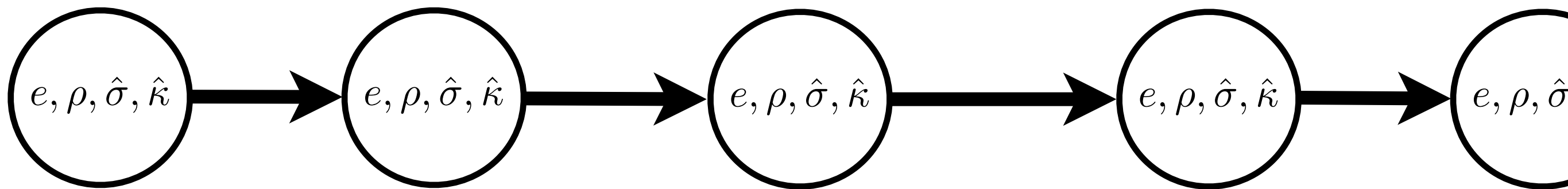
$$\begin{aligned}
\langle x, \rho, \hat{\sigma}, \hat{\kappa} \rangle &\longmapsto \langle v, \rho, \hat{\sigma}, \hat{\kappa} \rangle \text{ if } v \in \hat{\sigma}(\rho(x)) \\
\langle e_0 \ e_1, \rho, \hat{\sigma}, \hat{\kappa} \rangle &\longmapsto \langle e_0, \rho, \hat{\sigma} \sqcup [a \mapsto \hat{\kappa}], (e_1, \rho), a \rangle \\
\langle v, \hat{\sigma}, (e, \rho), a \rangle &\longmapsto \langle e, \rho, \hat{\sigma}, v, a \rangle \\
\langle v, \hat{\sigma}, (\lambda x.e, \rho), a \rangle &\longmapsto \langle e, \rho[x \mapsto a'], \hat{\sigma} \sqcup [a' \mapsto v], \hat{\kappa} \rangle \\
&\text{if } \hat{\kappa} \in \hat{\sigma}(a)
\end{aligned}$$

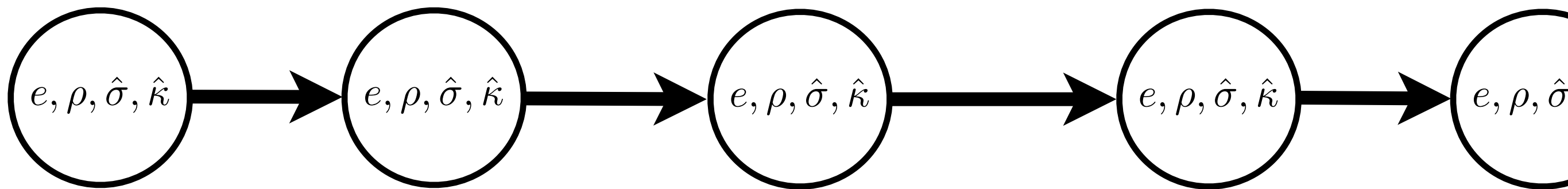


$e, \rho, \hat{\sigma}, \hat{\kappa}$

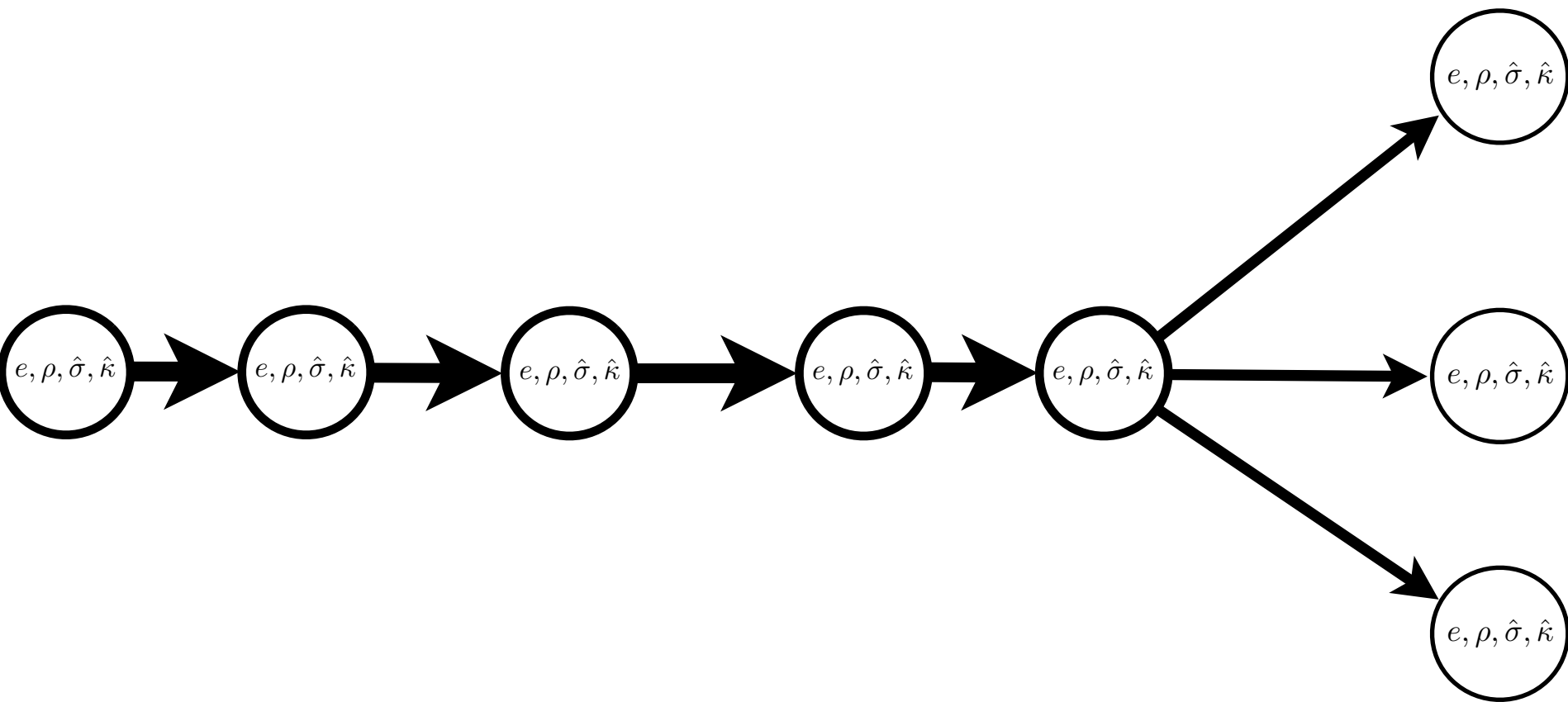

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

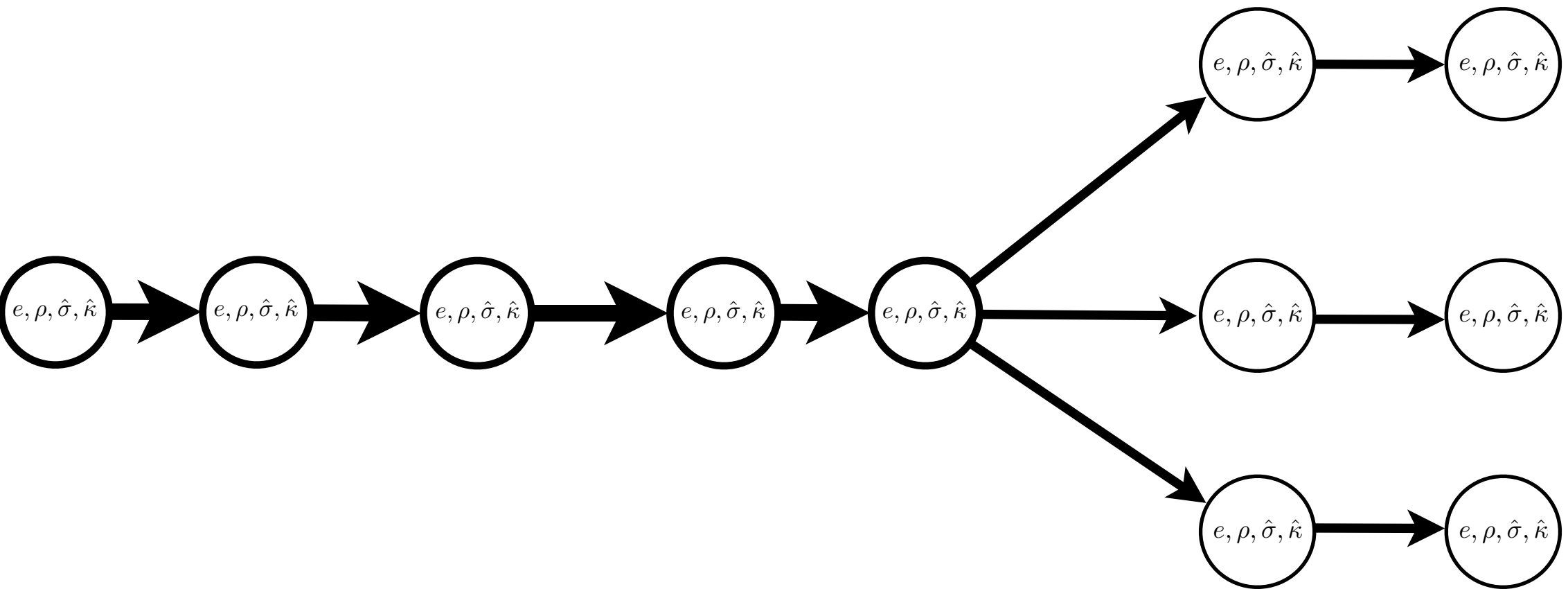


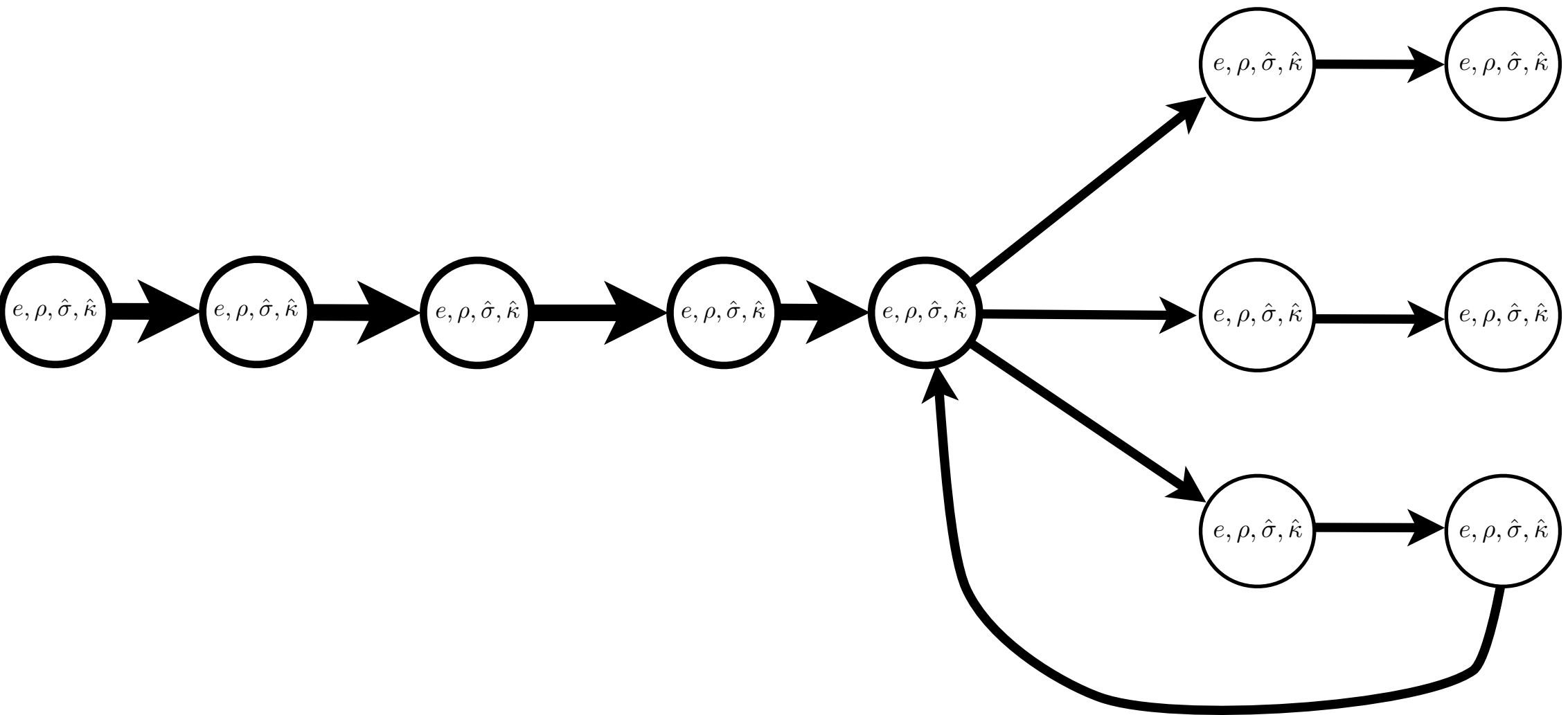




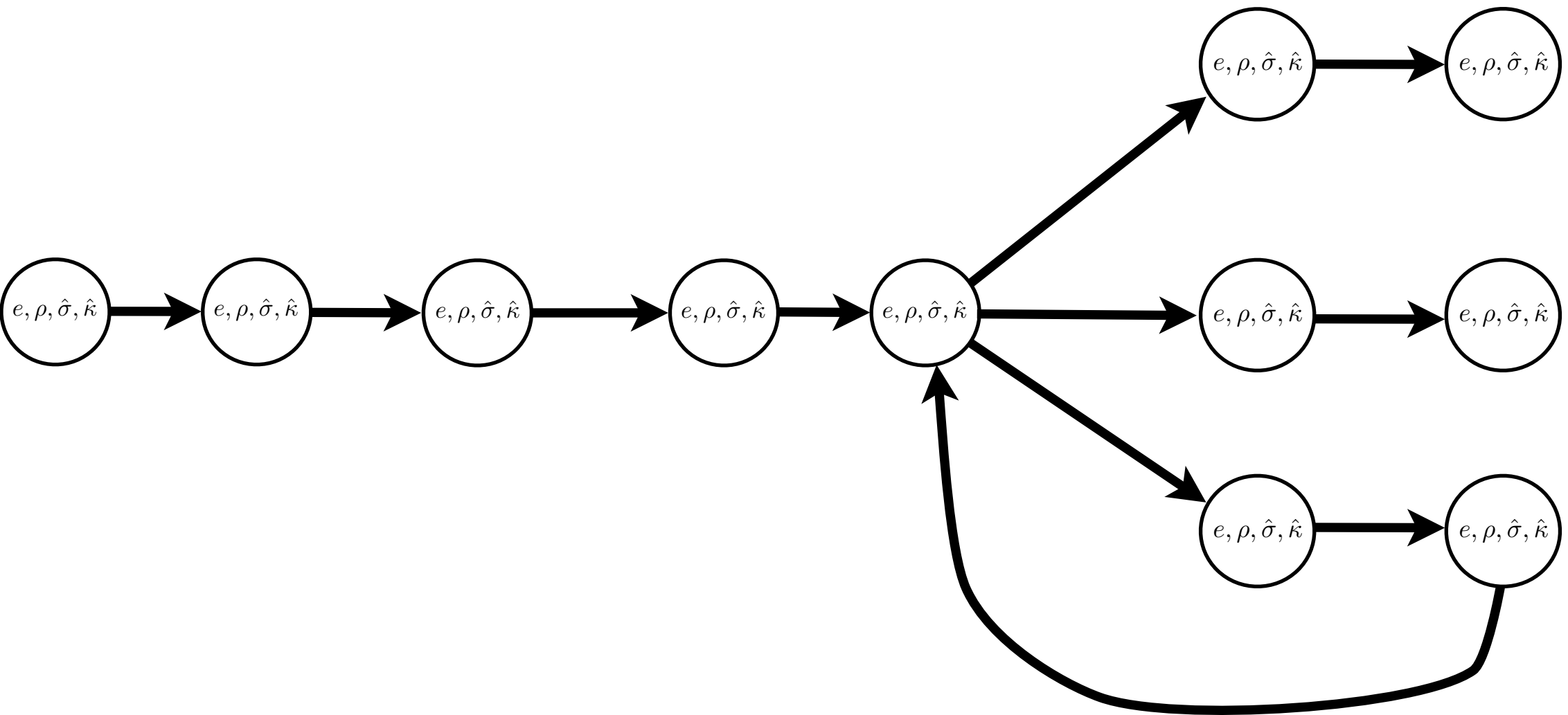


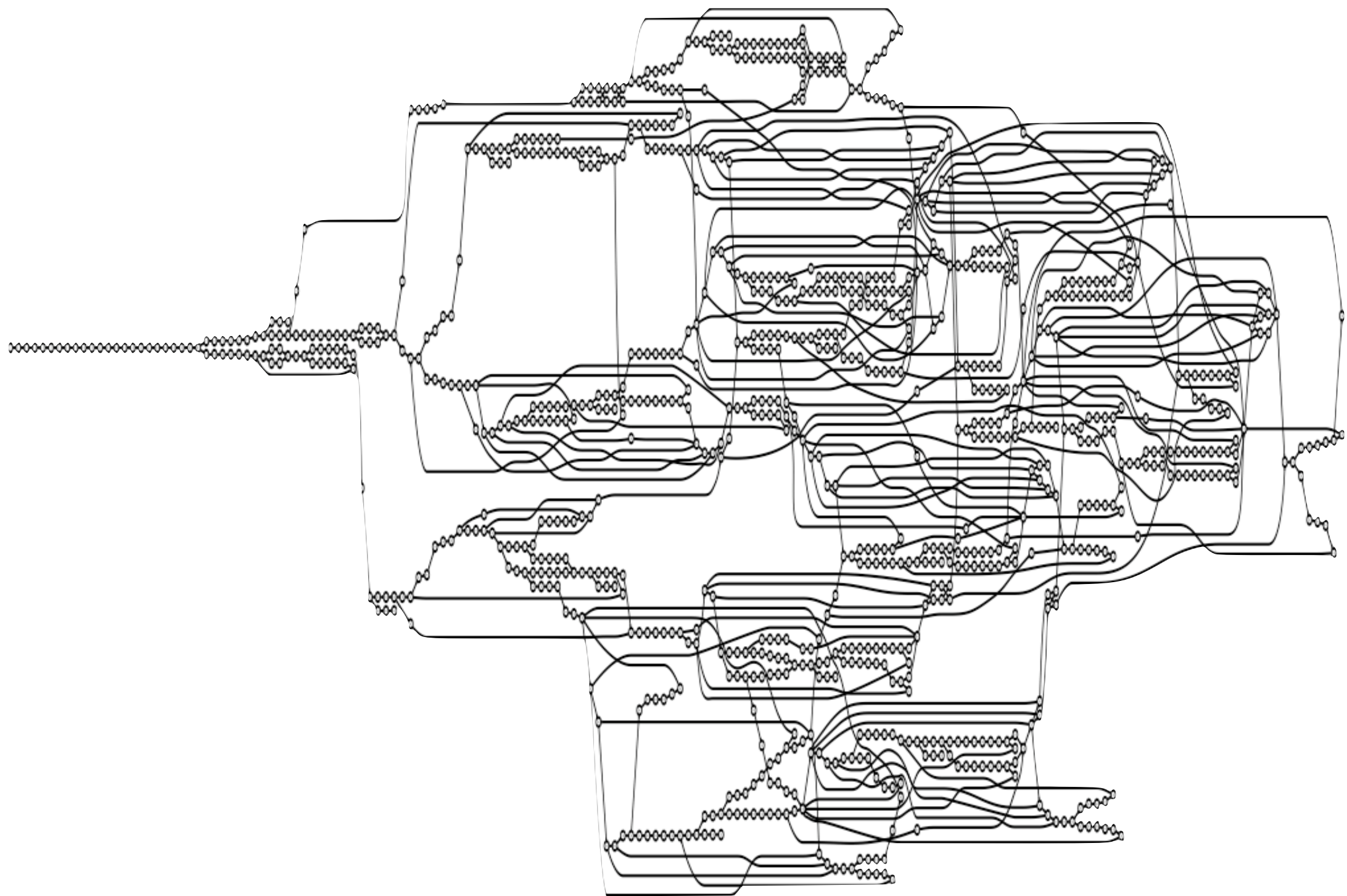




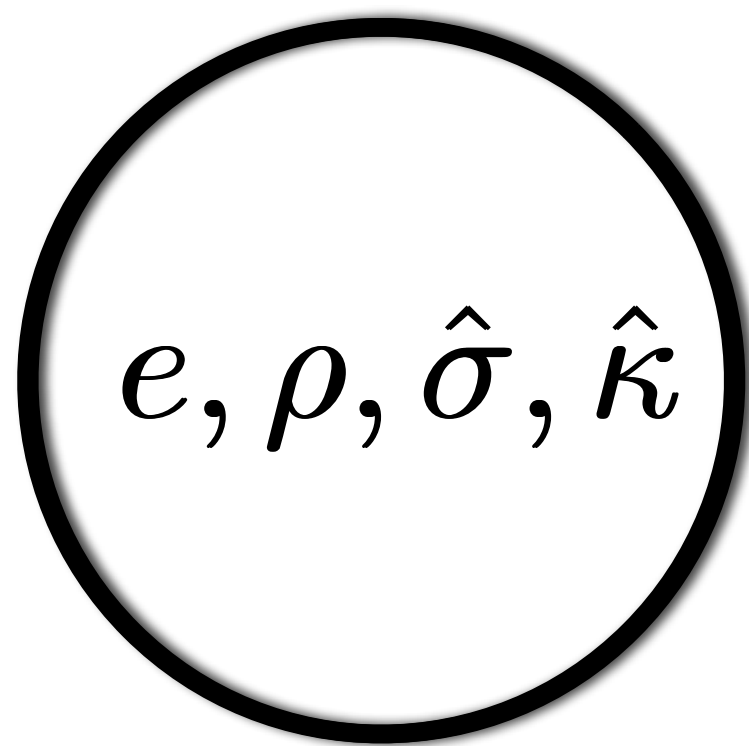
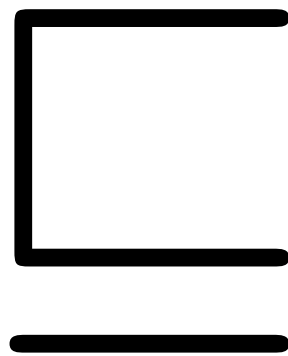


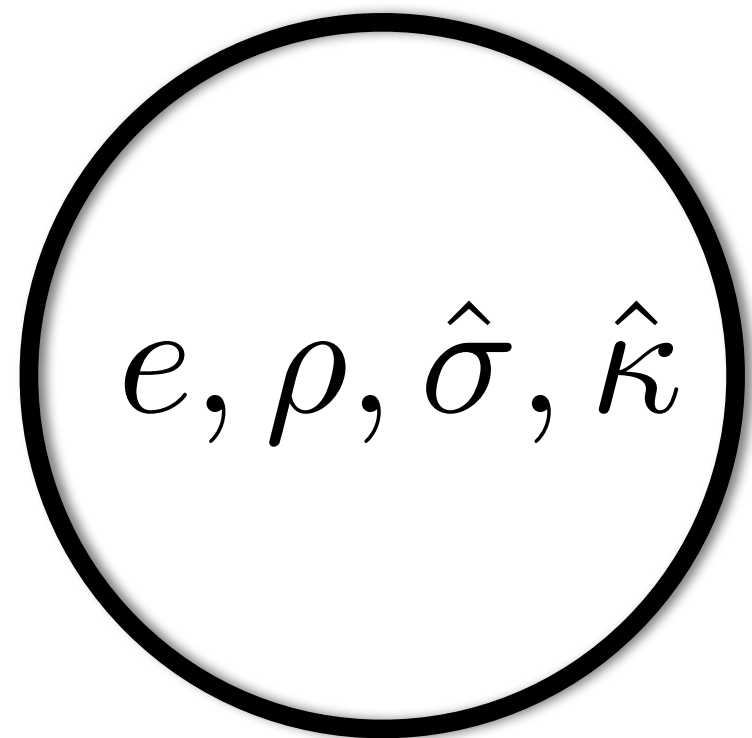
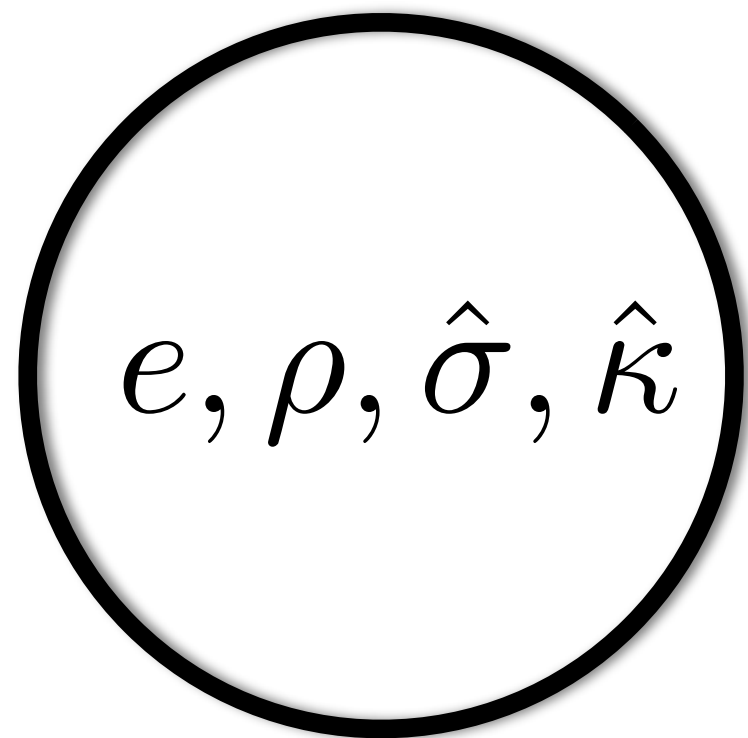
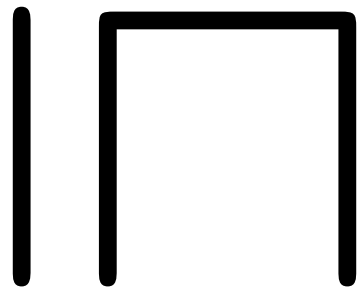


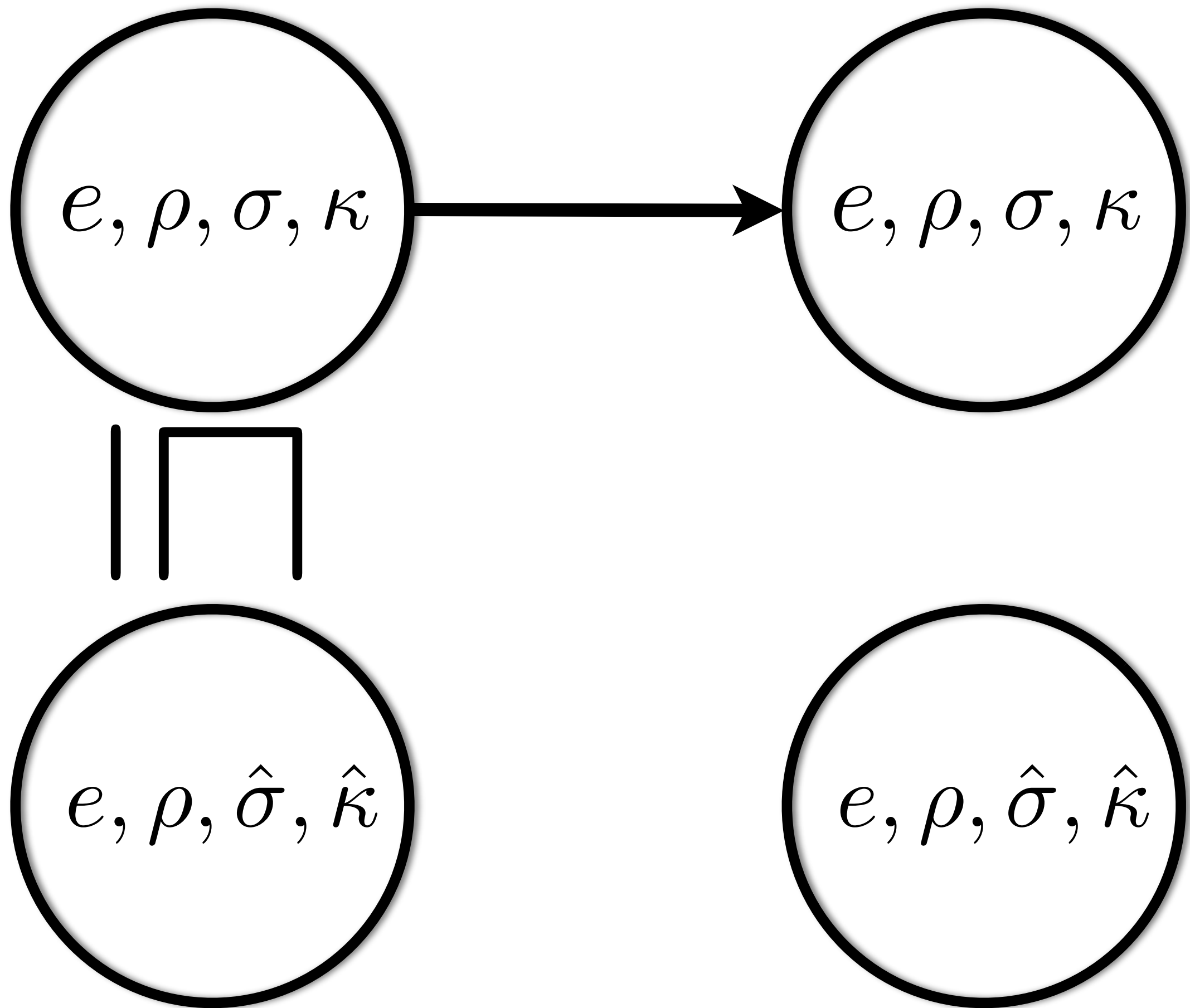




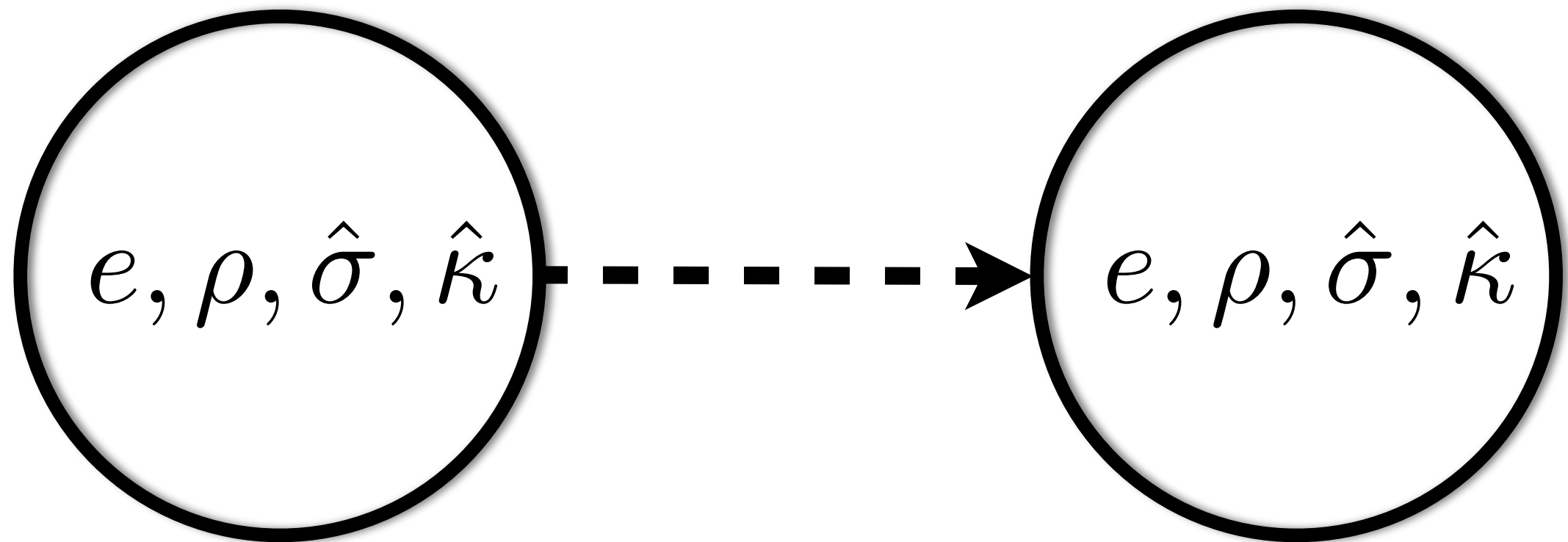
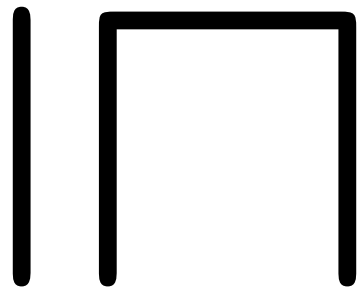
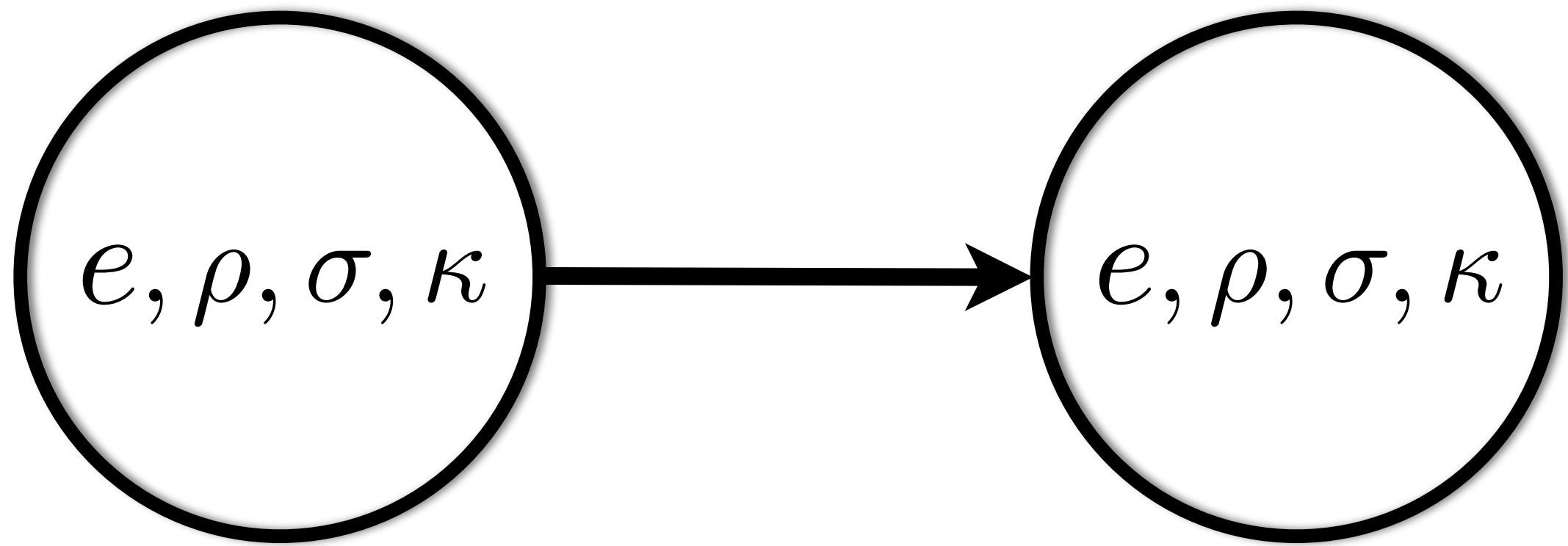
**Soundness**  
**(the safety of predictions)**

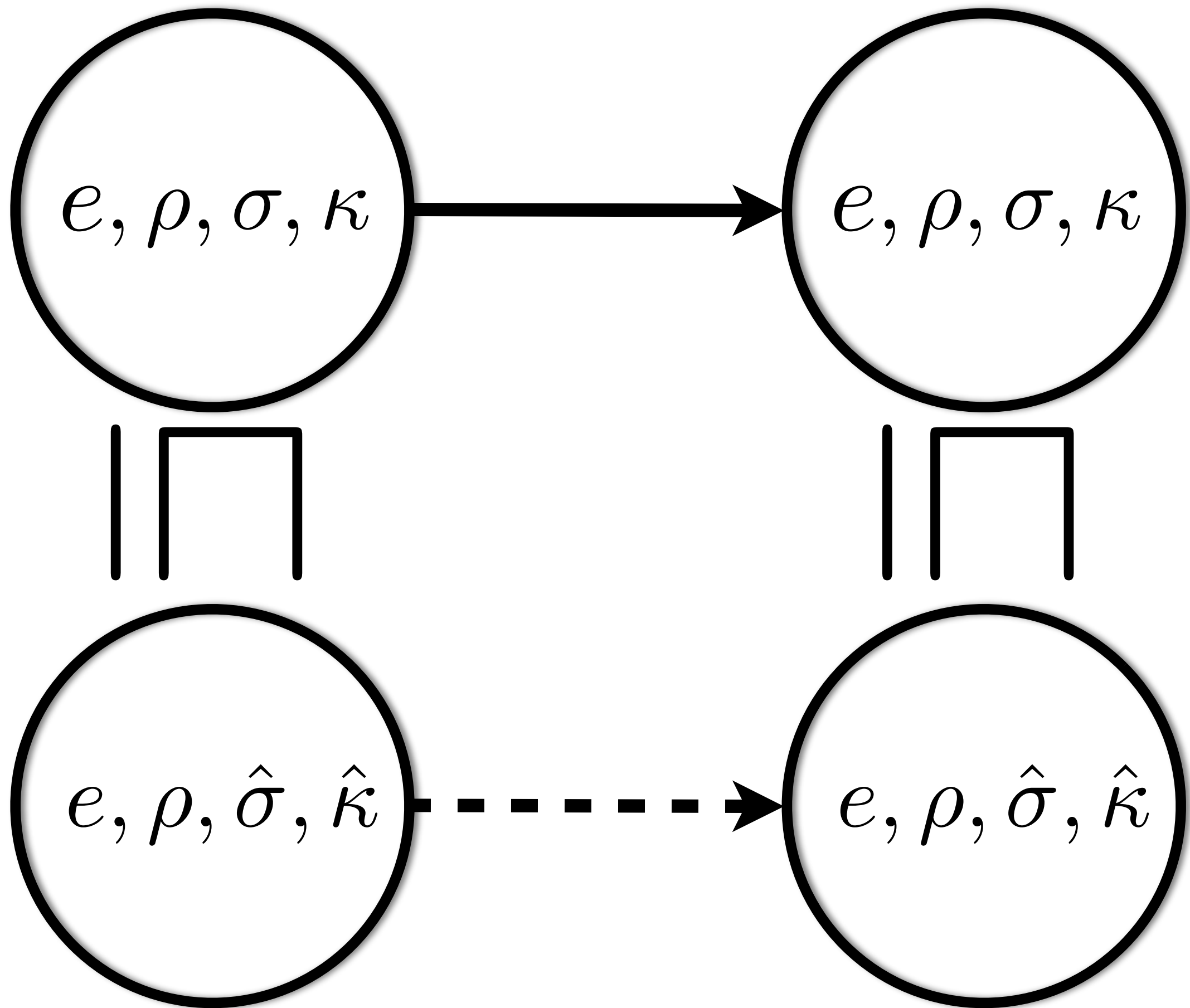




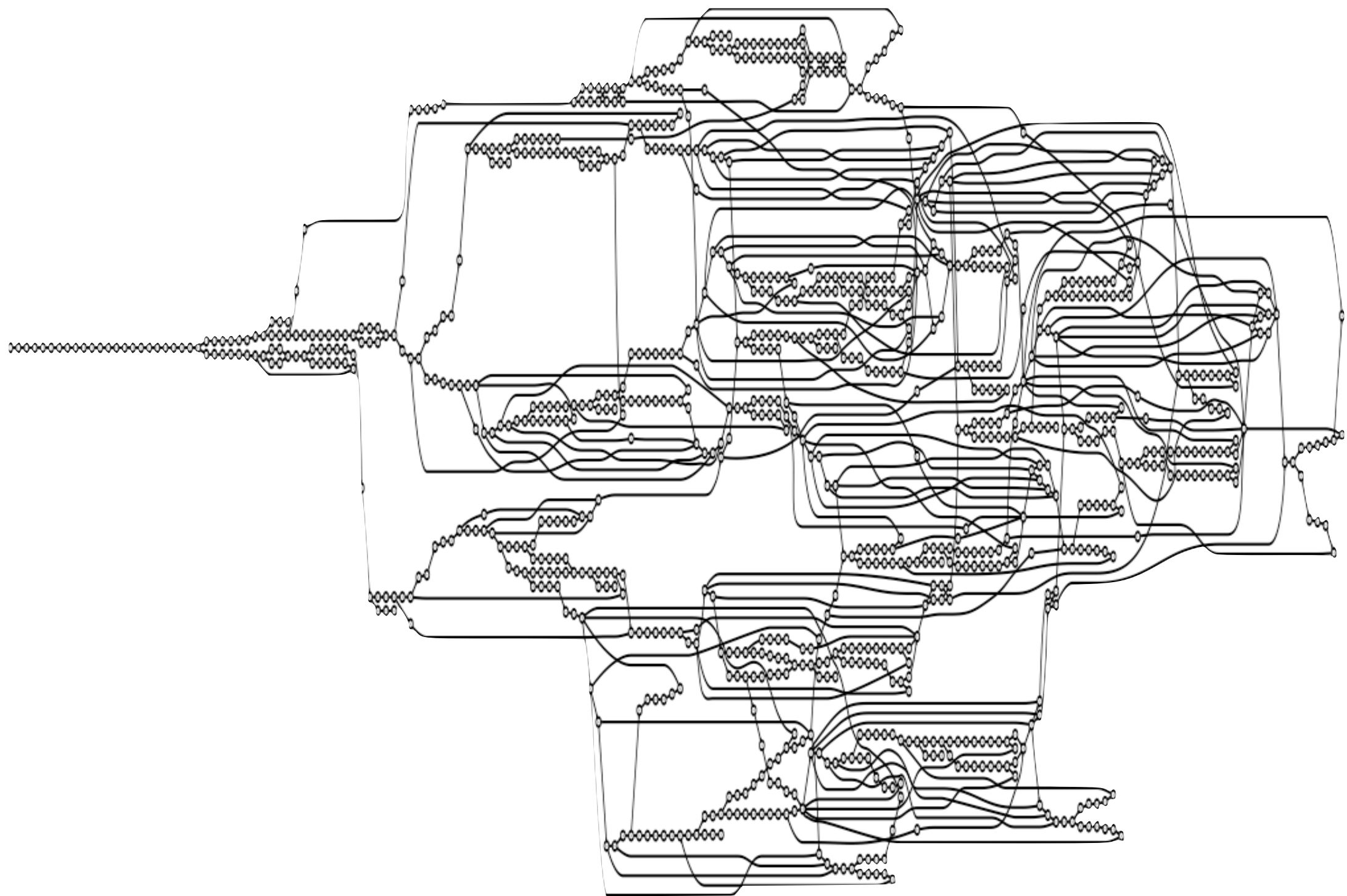


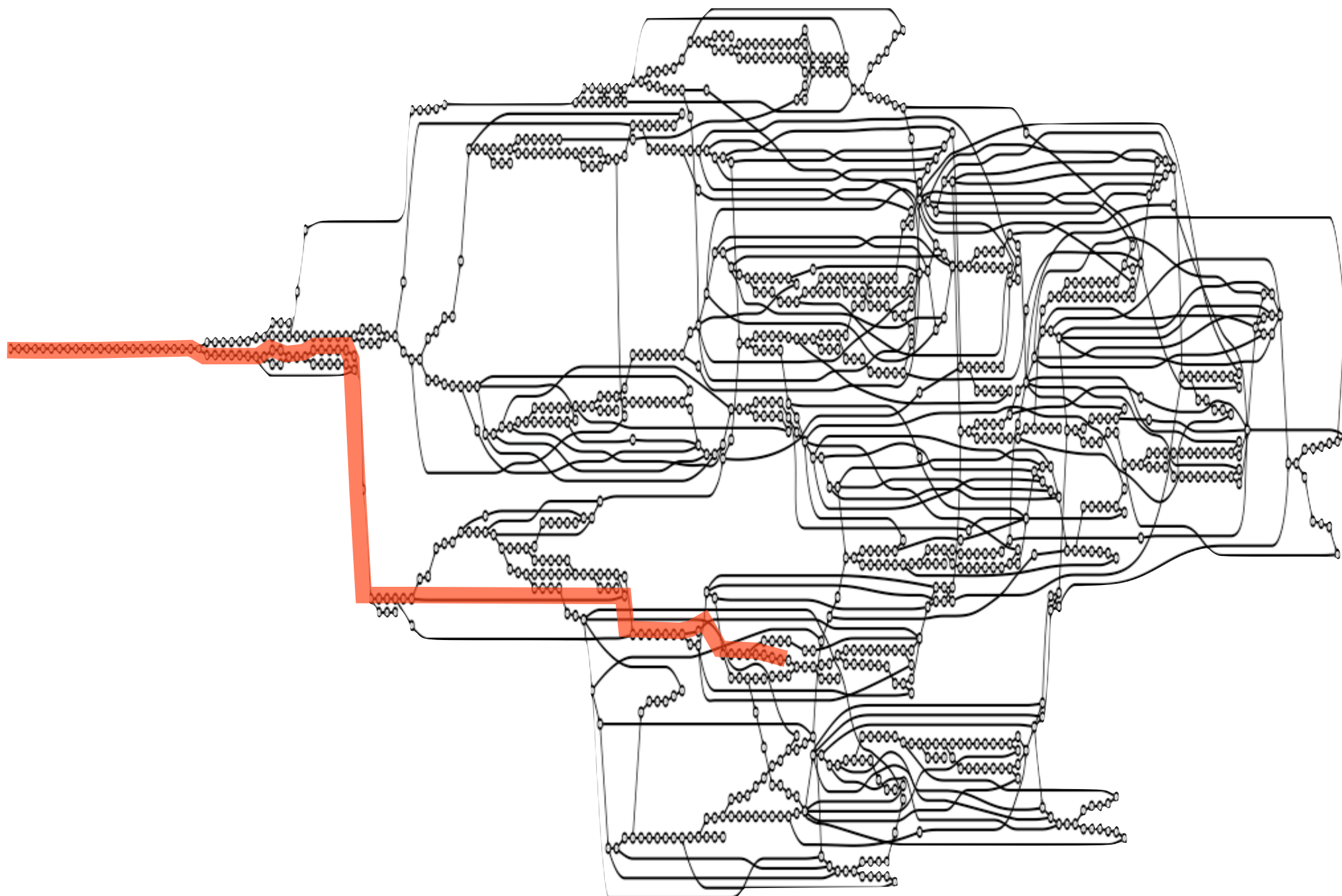


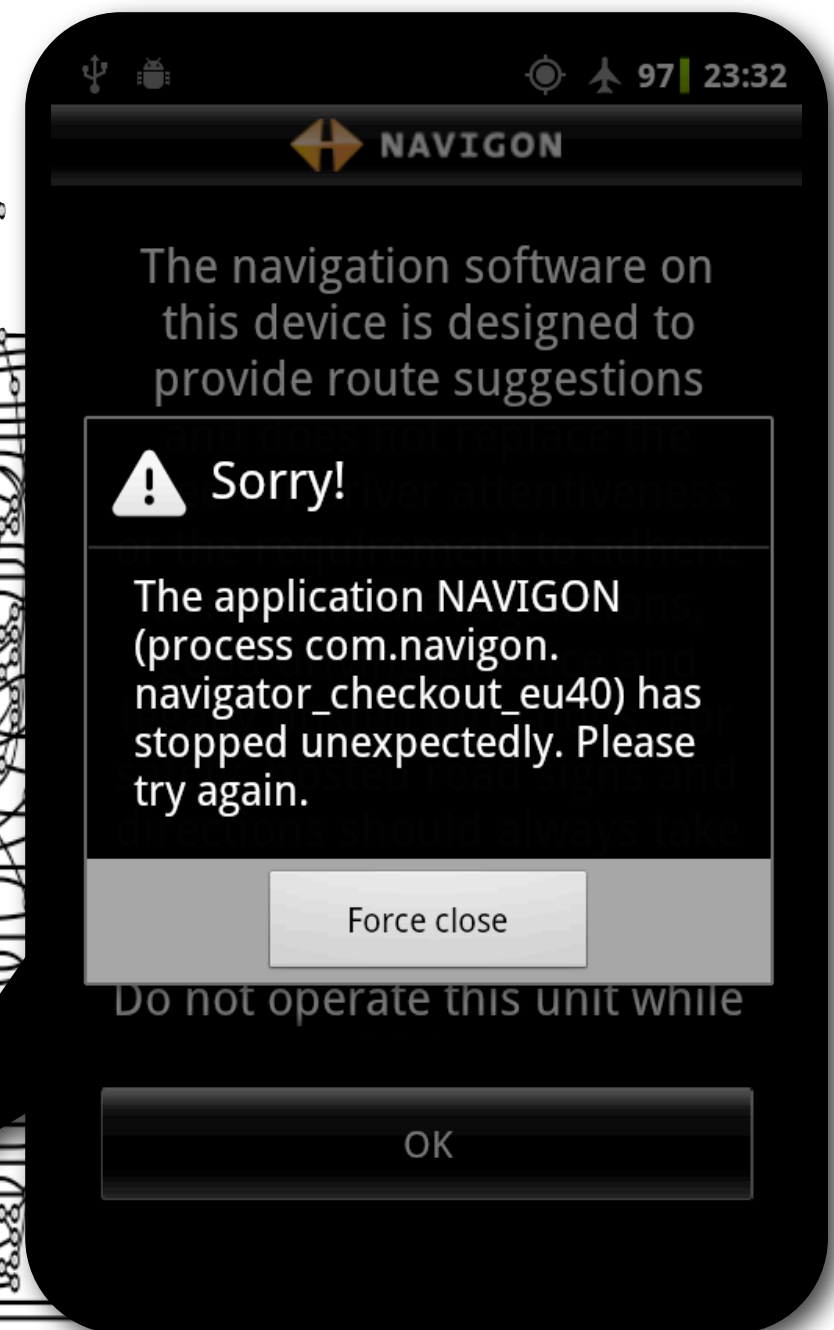
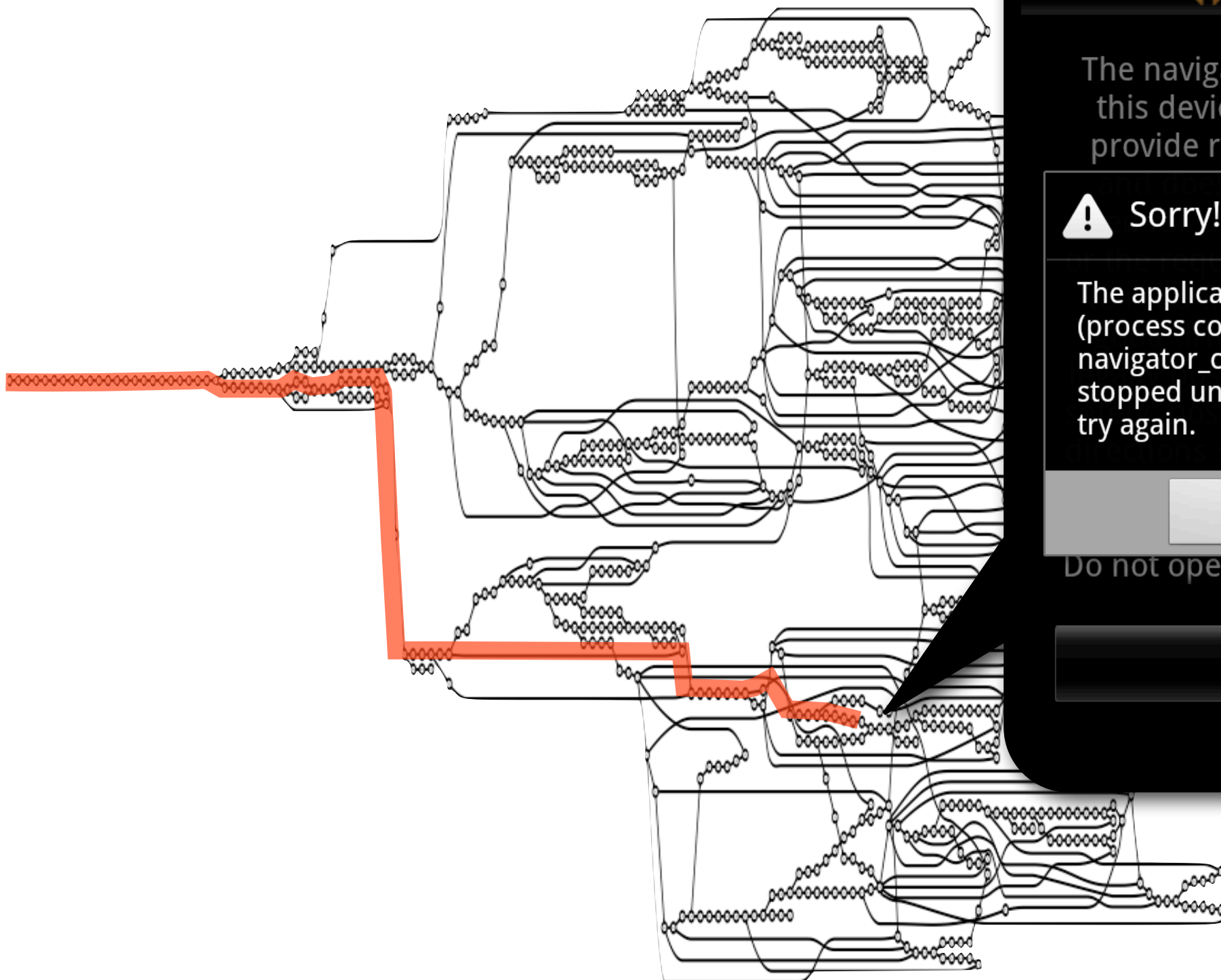




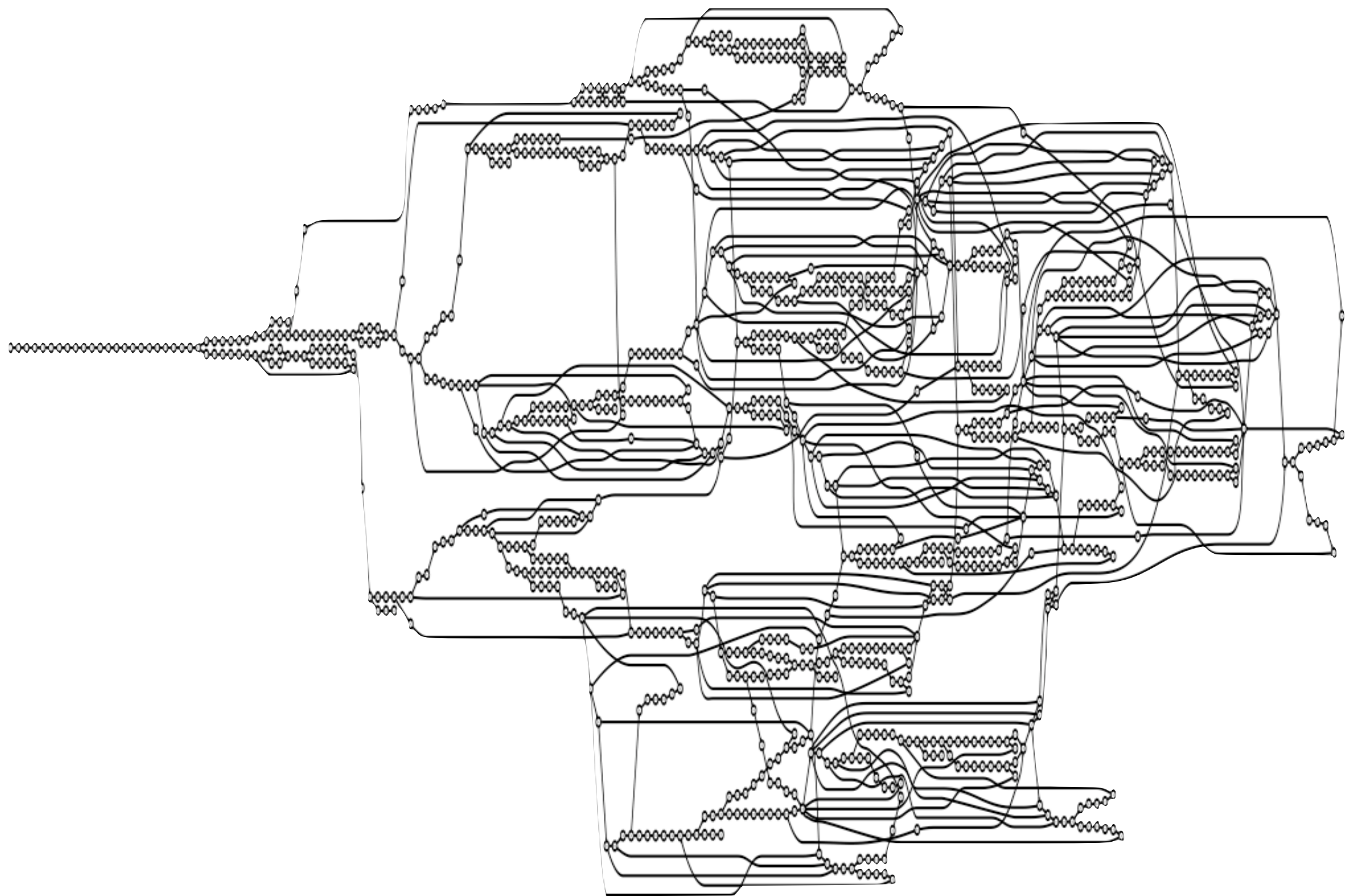


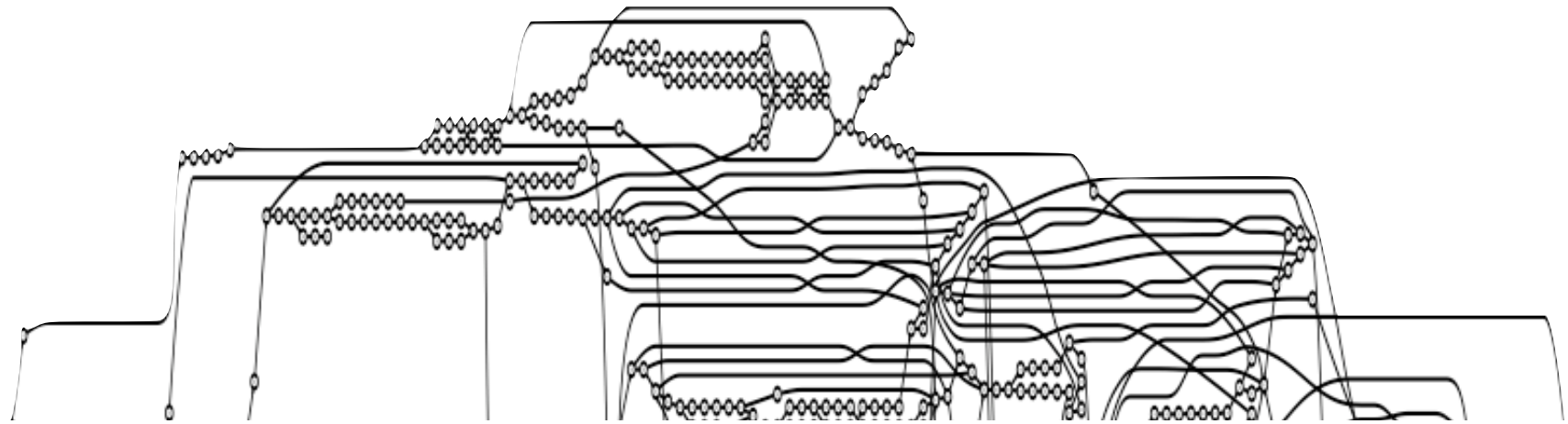




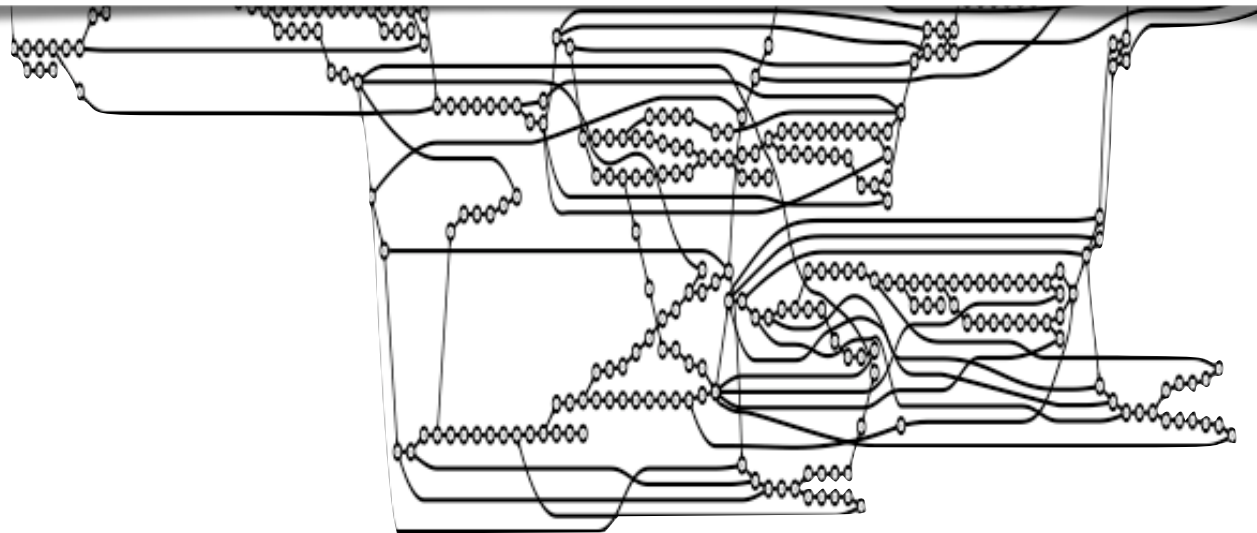






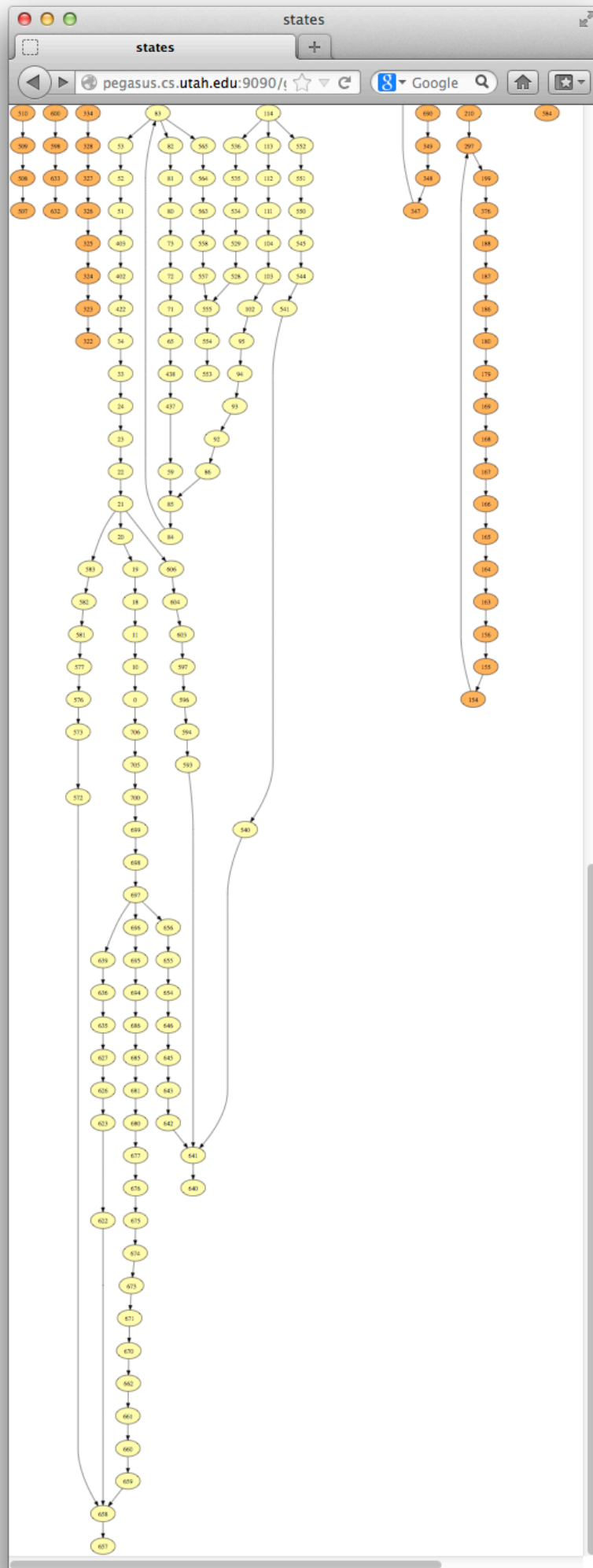


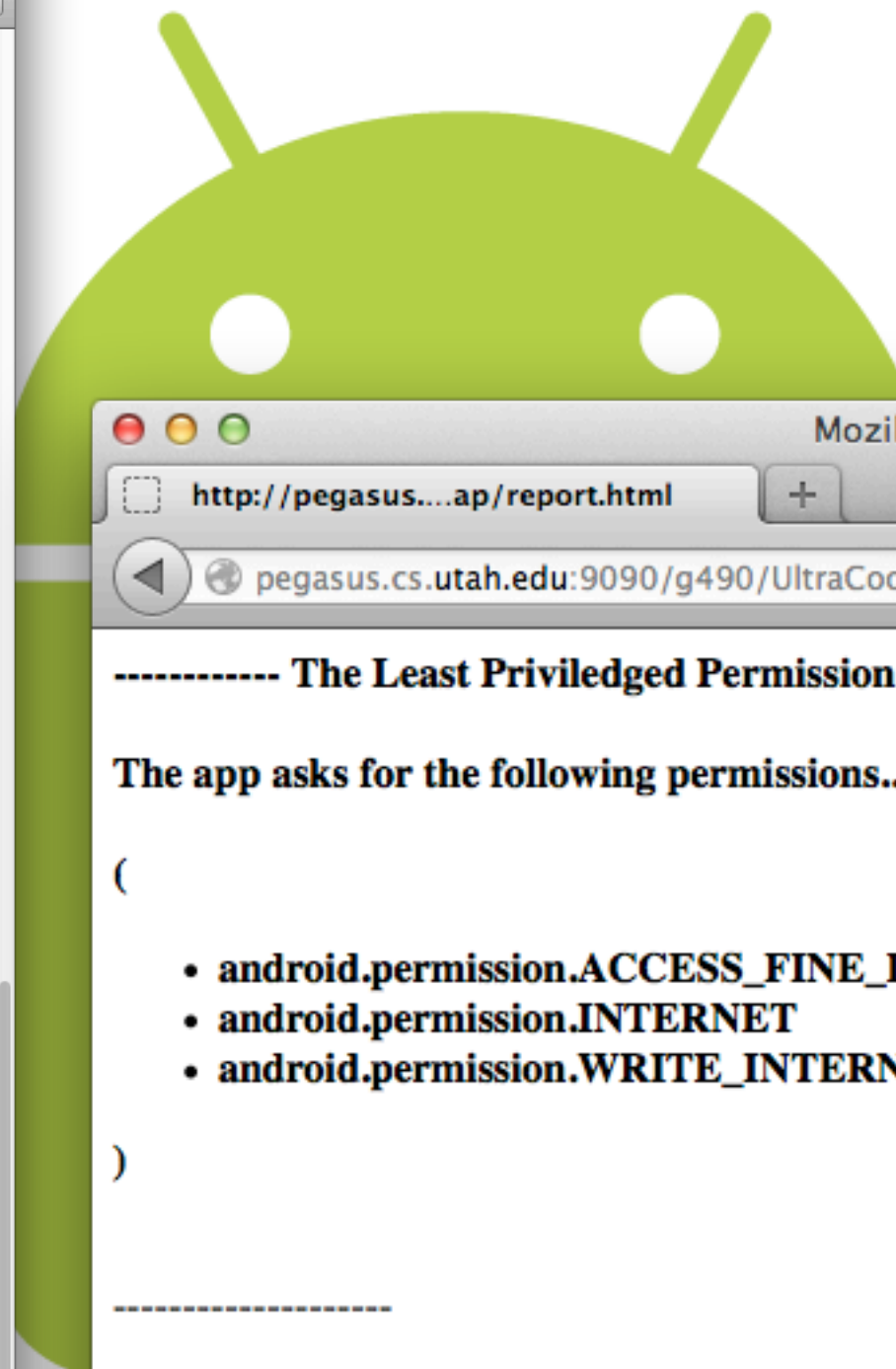
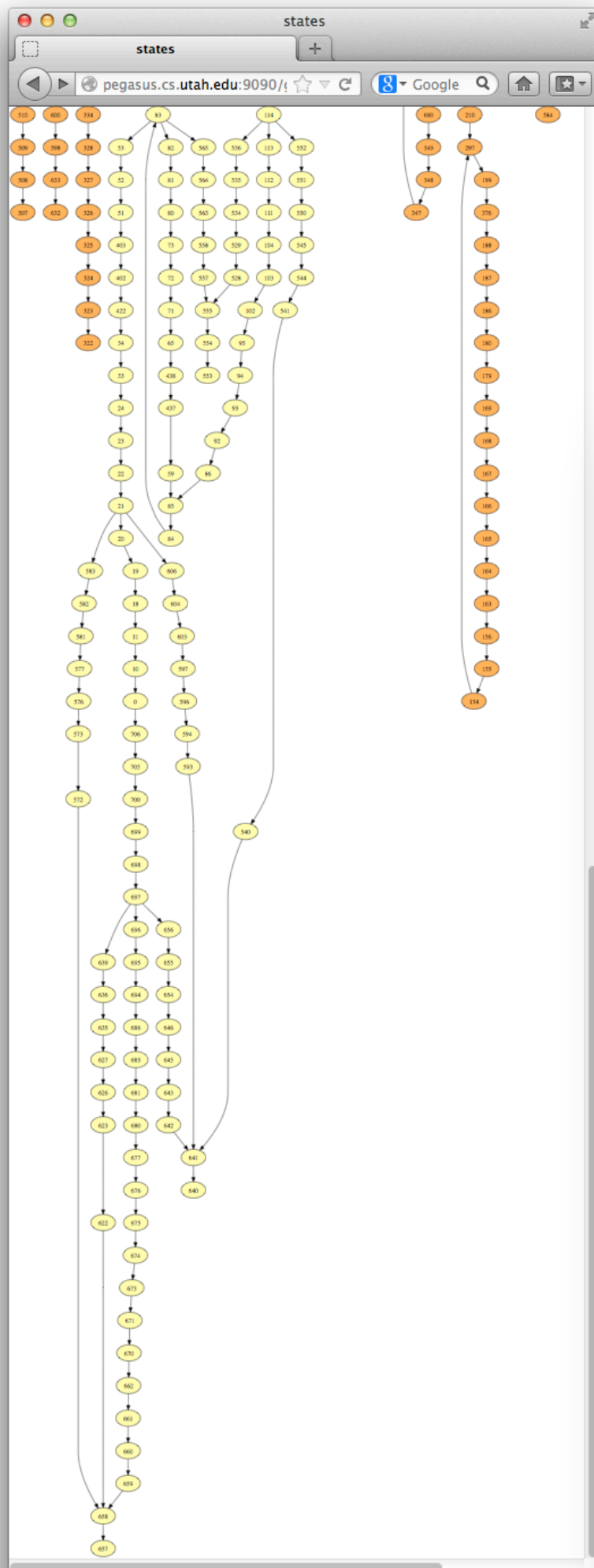
If it doesn't misbehave in the abstract,  
it doesn't misbehave.



$$e ::= x \mid e \ e \mid \lambda x. e$$







Mozilla Firefox

http://pegasus....ap/report.html

pegasus.cs.utah.edu:9090/g490/UltraCoolMap

Google

----- The Least Priviledged Permission System (LPPS) Detection Report -----

The app asks for the following permissions....

(

- android.permission.ACCESS\_FINE\_LOCATION
- android.permission.INTERNET
- android.permission.WRITE\_INTERNAL\_STORAGE

)

-----

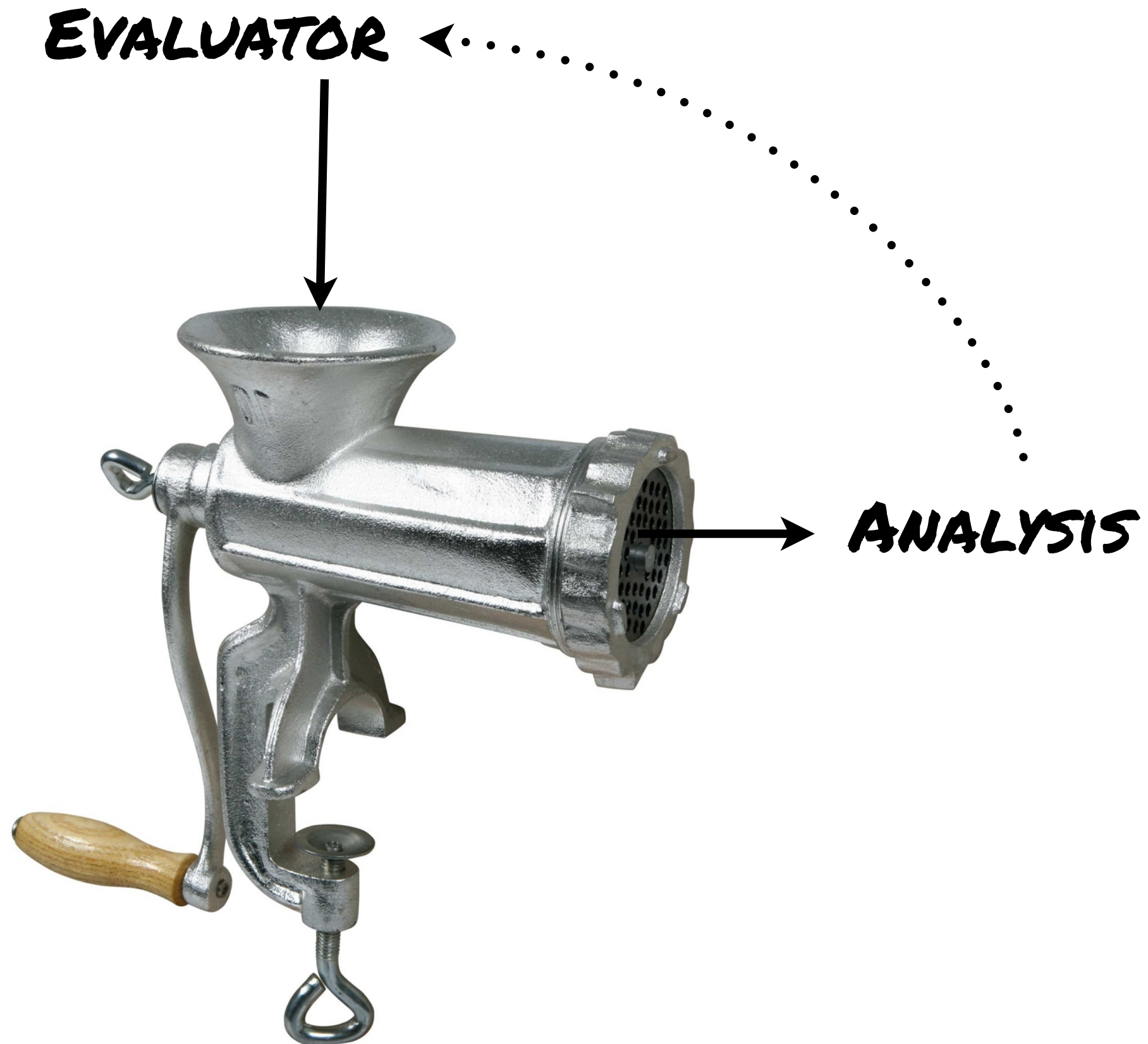
Permissions that are used in the app (based on current API knowledge):

- android.permission.INTERNET

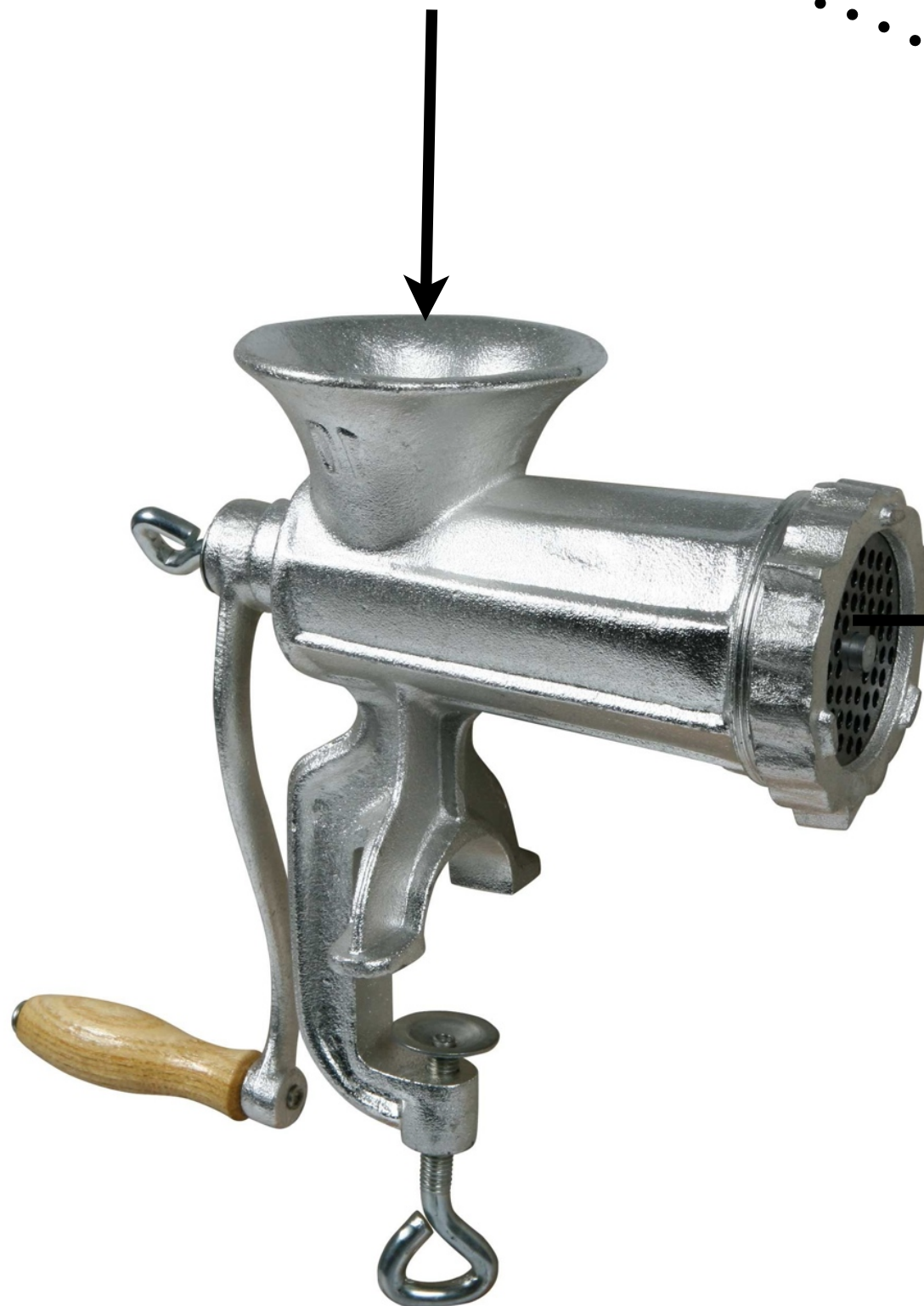
LPP Violation: permissions requested in the manifest but not used in the app:

- android.permission.ACCESS\_FINE\_LOCATION
- android.permission.WRITE\_INTERNAL\_STORAGE

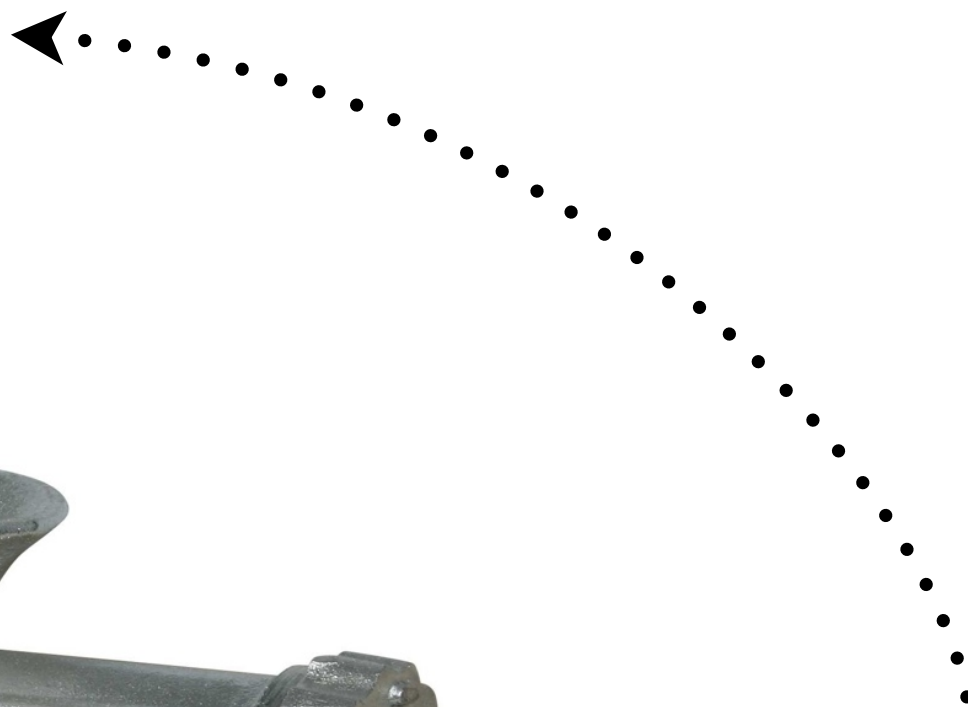




**EVALUATOR**



**ANALYSIS**



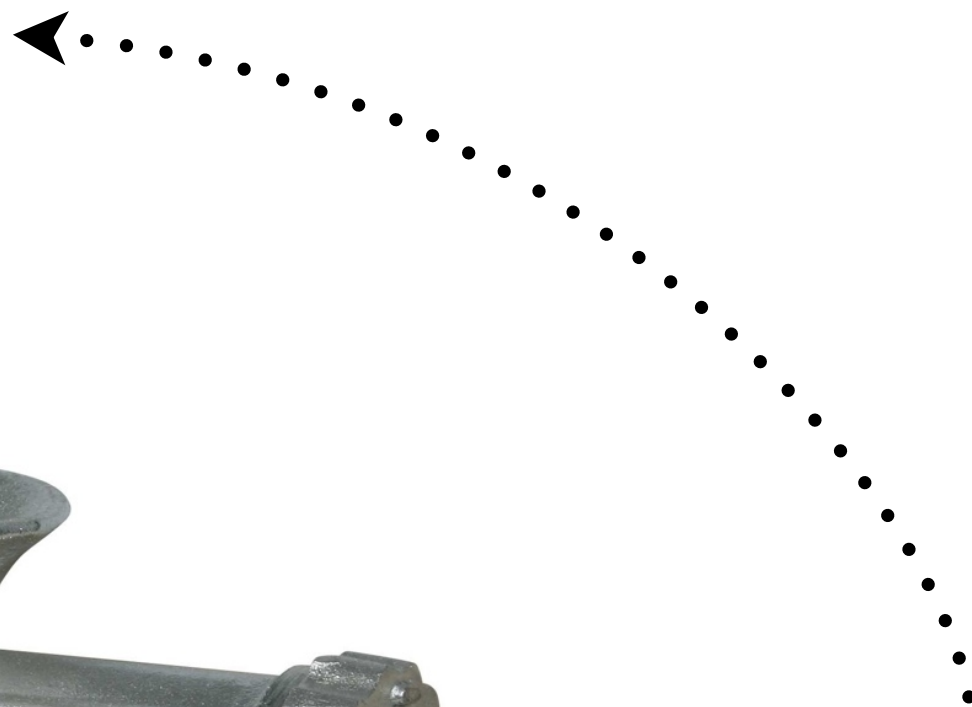
**EVALUATOR**



**ANALYSIS**



**EVALUATOR**

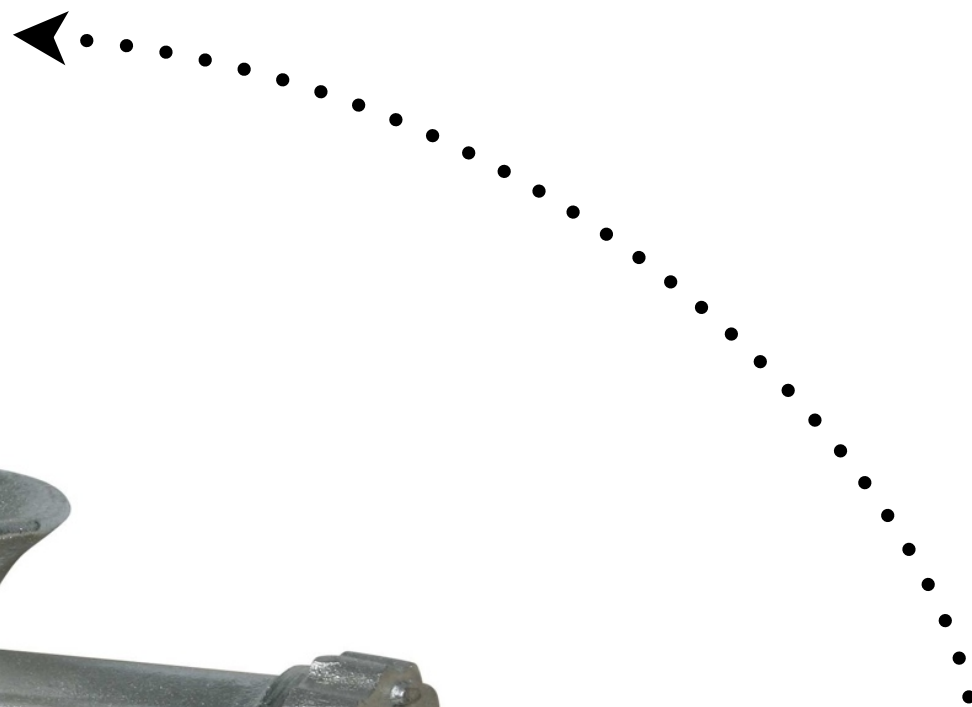


**ANALYSIS**





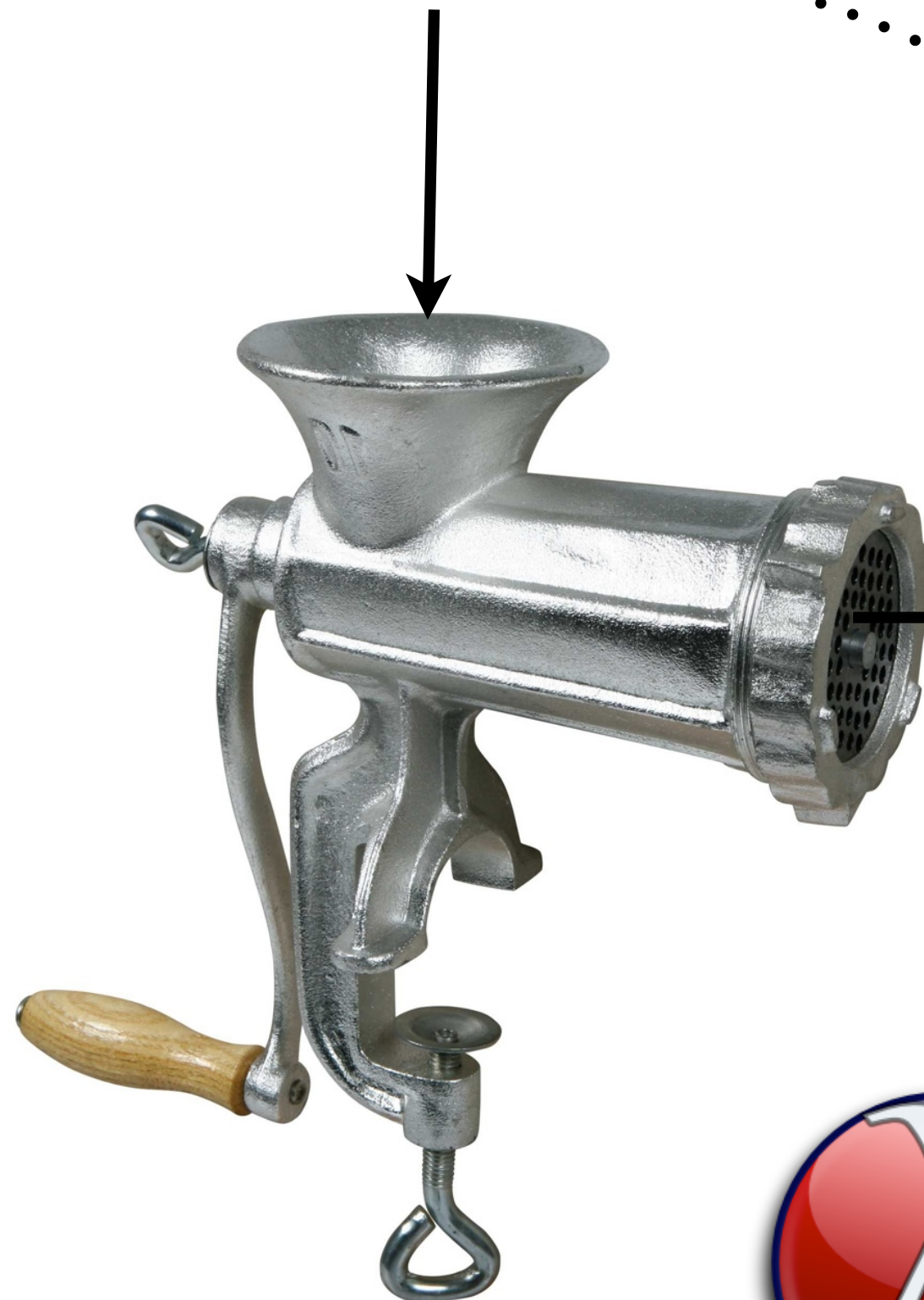
**EVALUATOR**



**ANALYSIS**



**EVALUATOR**



**ANALYSIS**





# Improving precision



$f(x);$

```
function f(z) {  
    ...  
    return;  
}
```

$f(y);$

`f(x);`



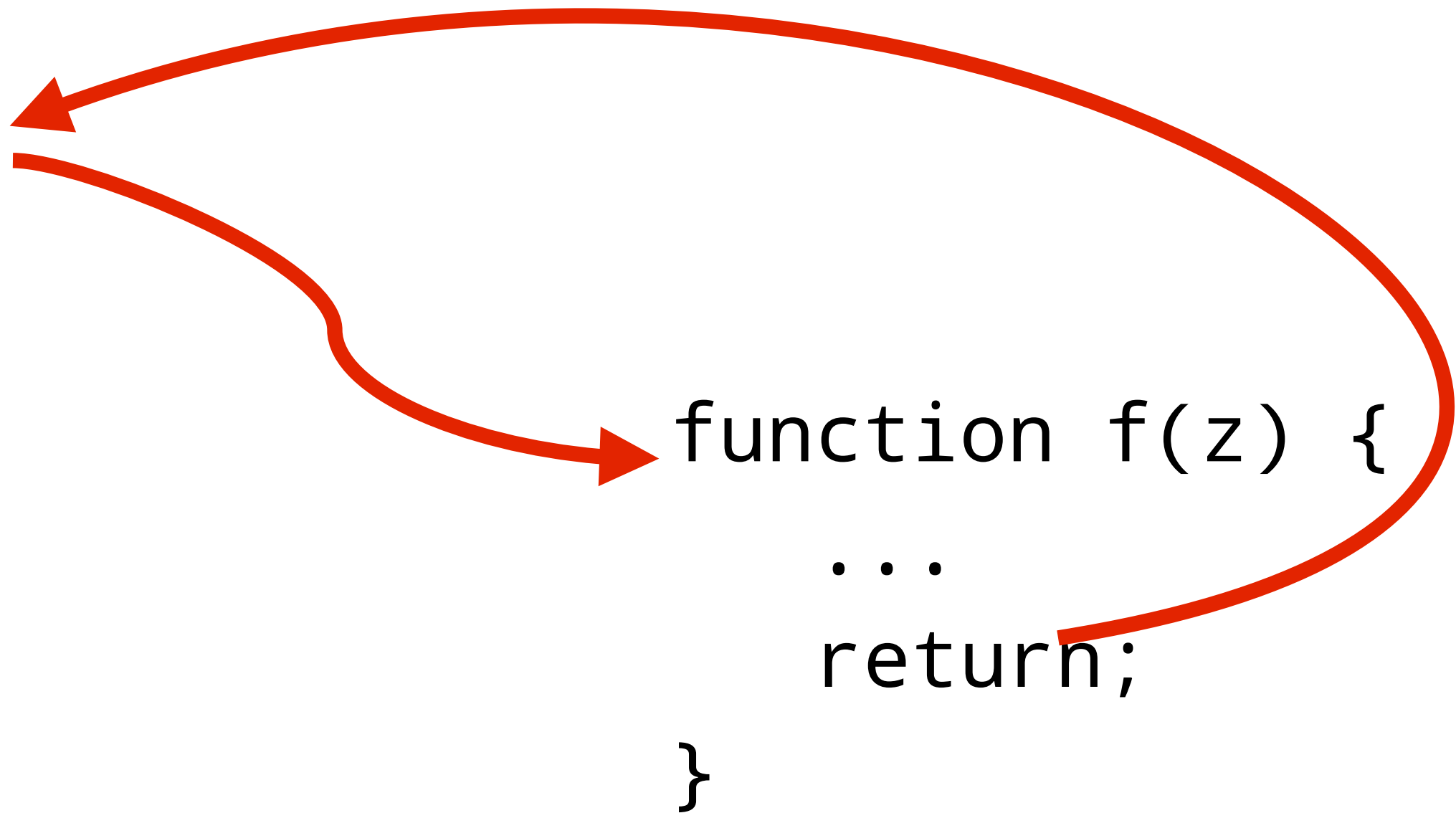
```
function f(z) {  
    ...  
    return;  
}
```

`f(y);`

`f(x);`

```
function f(z) {  
    ...  
    return;  
}
```

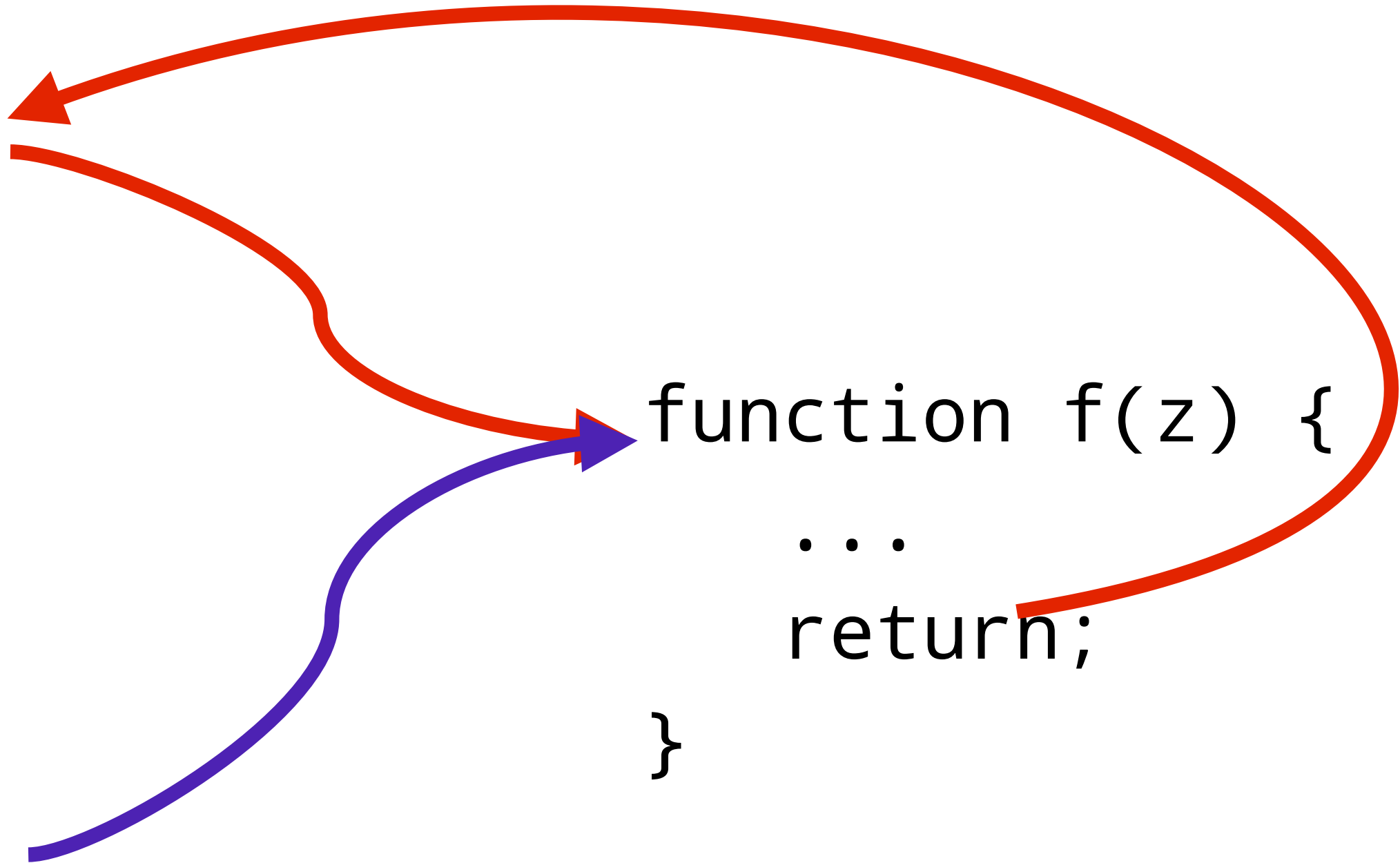
`f(y);`



`f(x);`

`f(y);`

```
function f(z) {  
    ...  
    return;  
}
```





`f(x);`

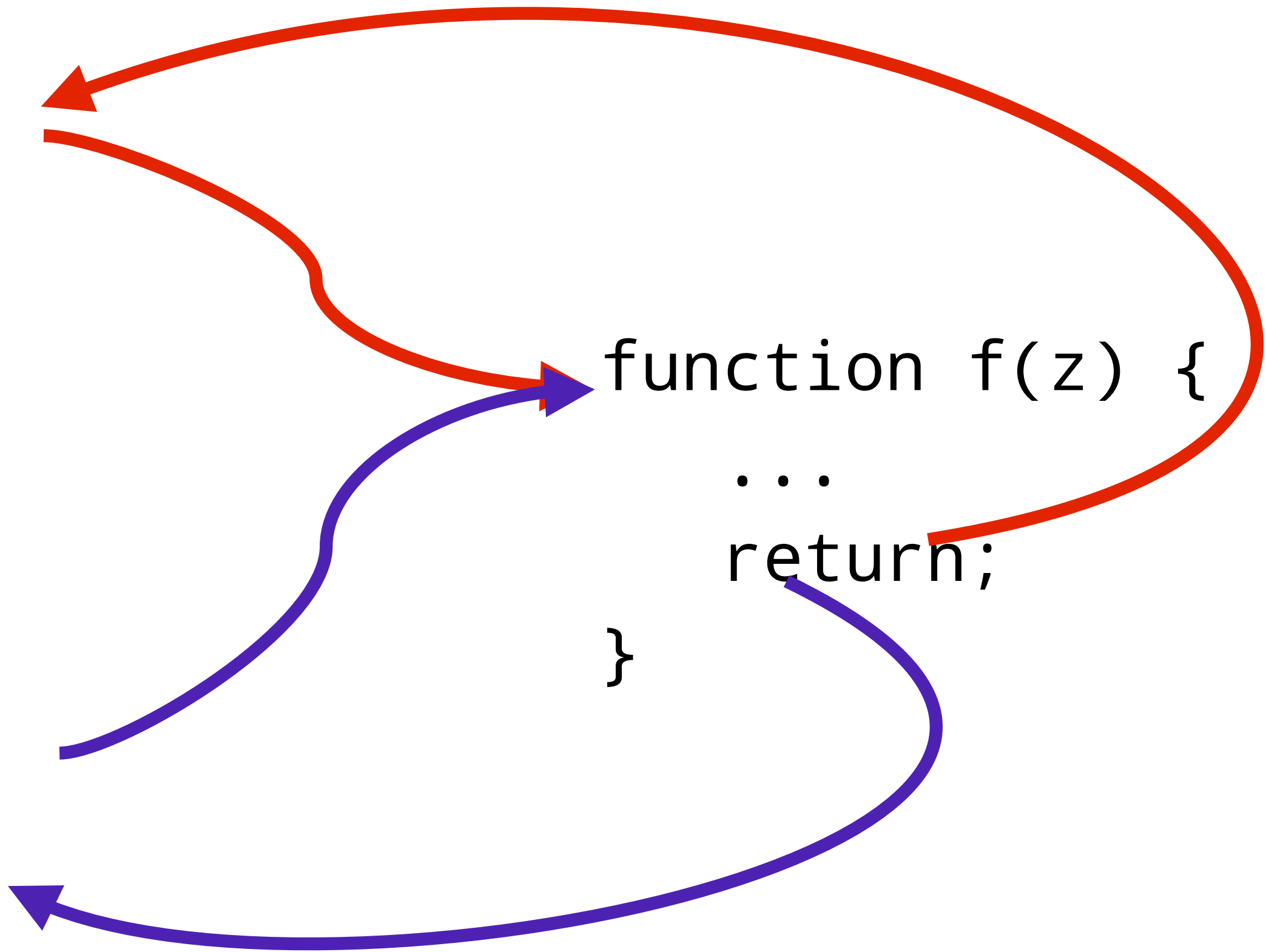
`function f(z) {`

`...`

`return;`

`}`

`f(y);`



`f(x);`

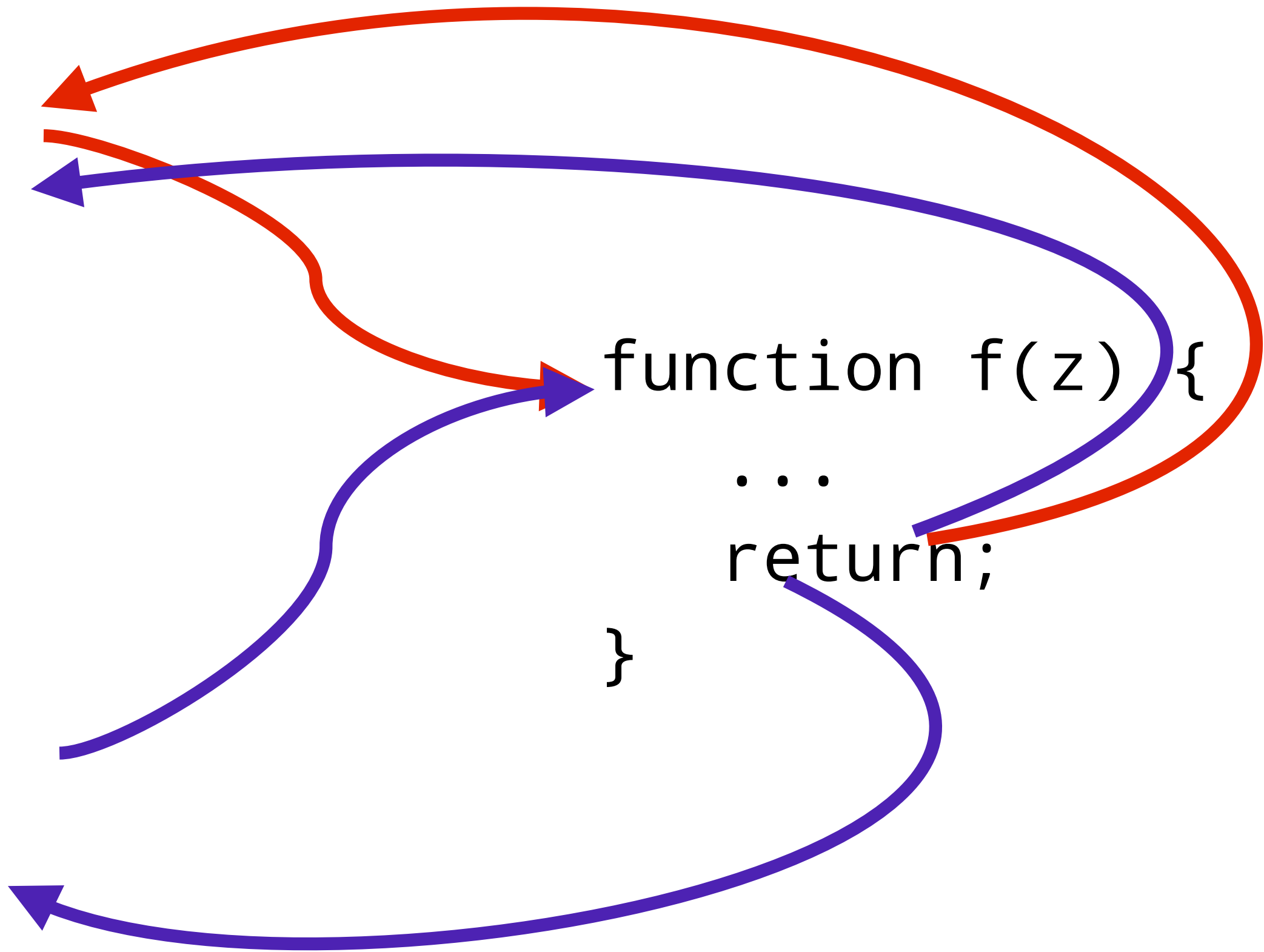
`function f(z) {`

`...`

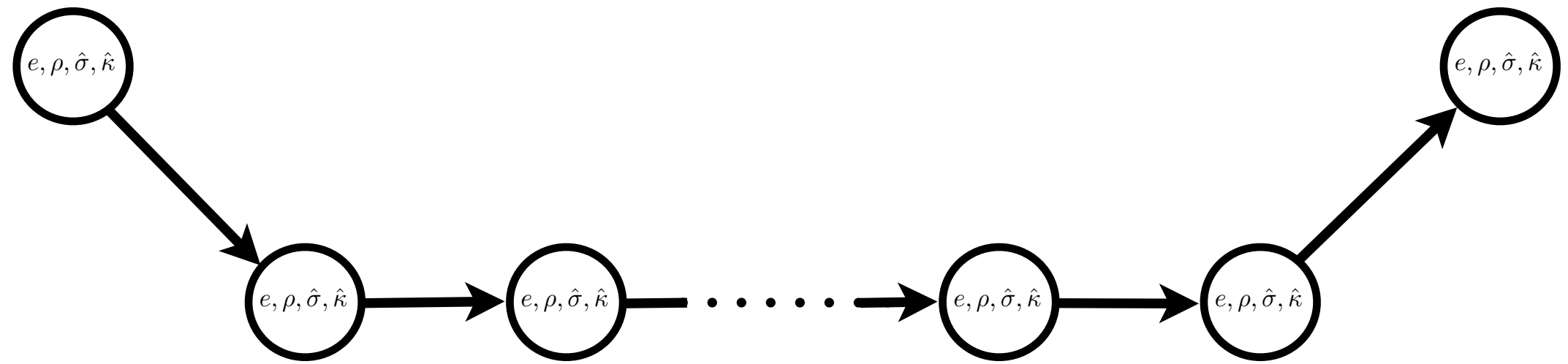
`return;`

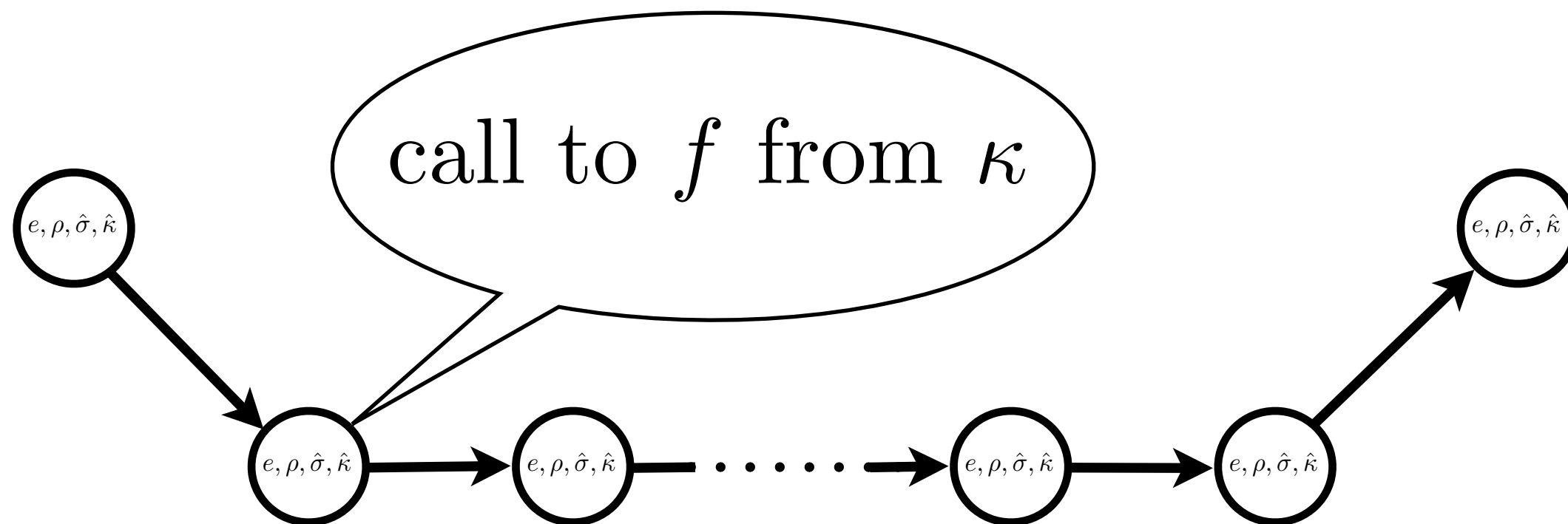
`}`

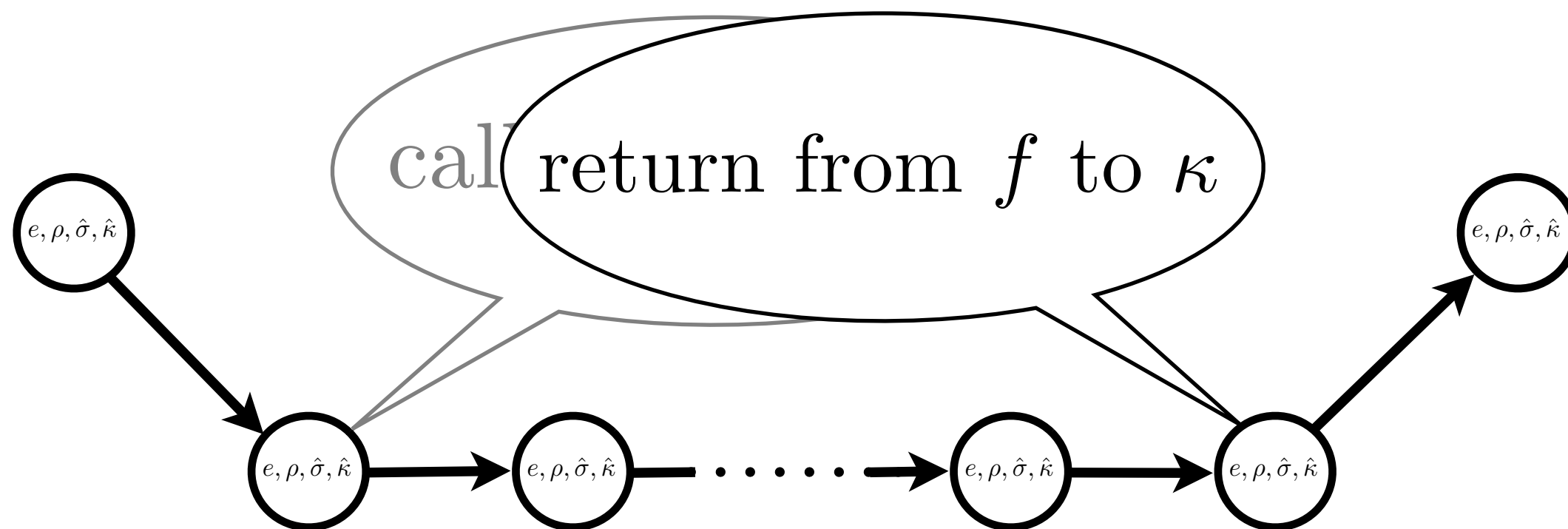
`f(y);`



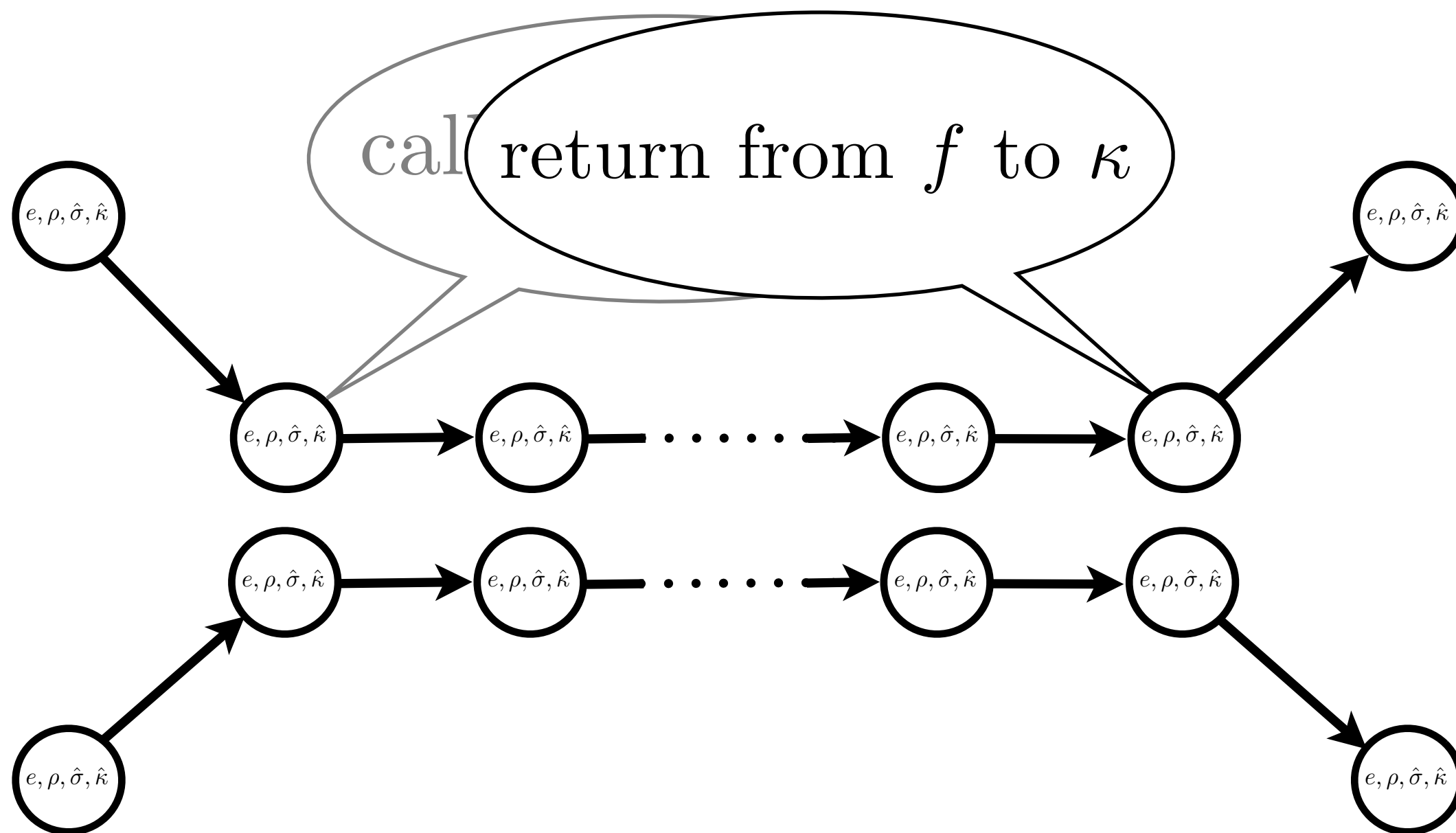
$$e, \rho, \hat{\sigma}, \hat{k}$$

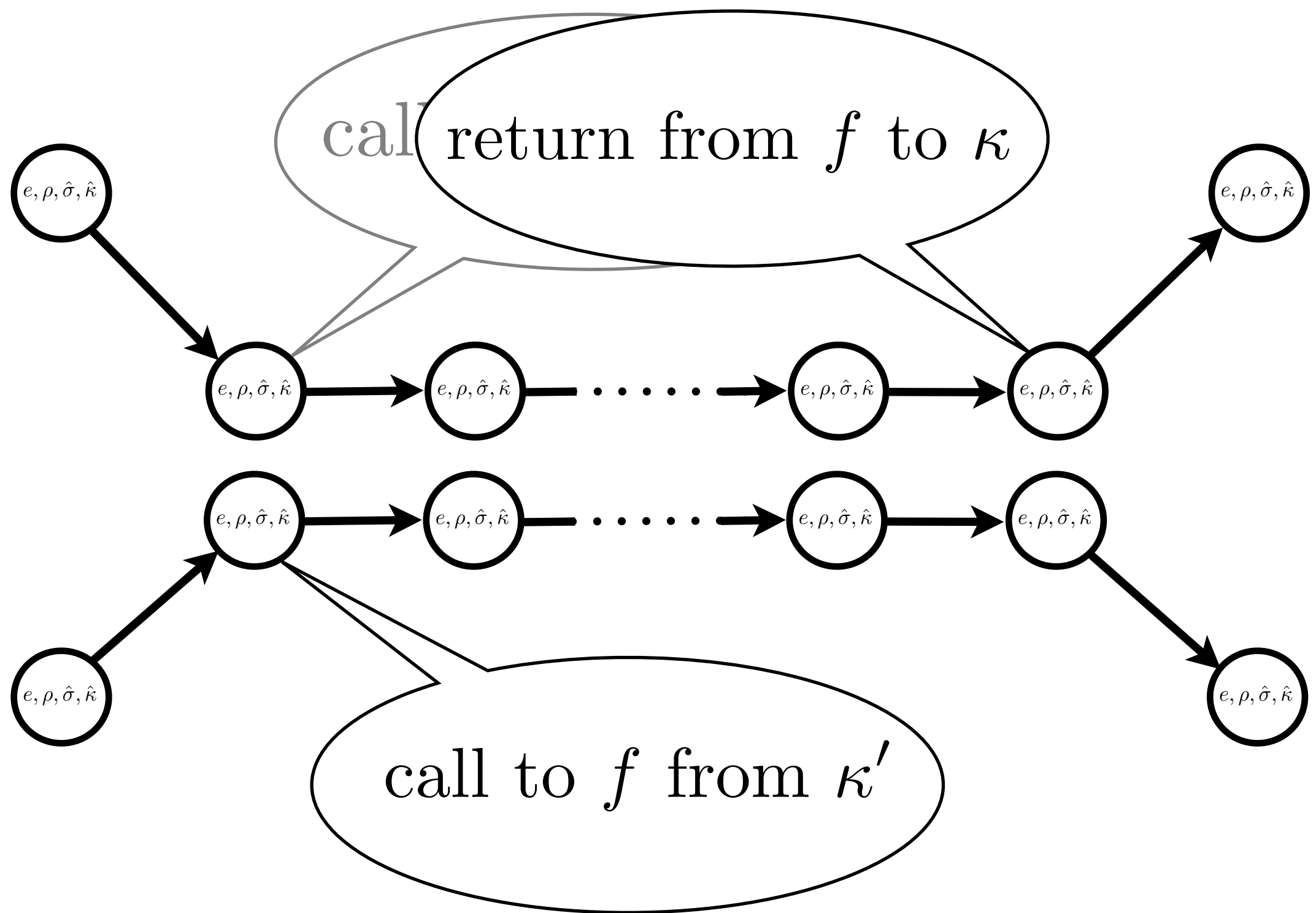


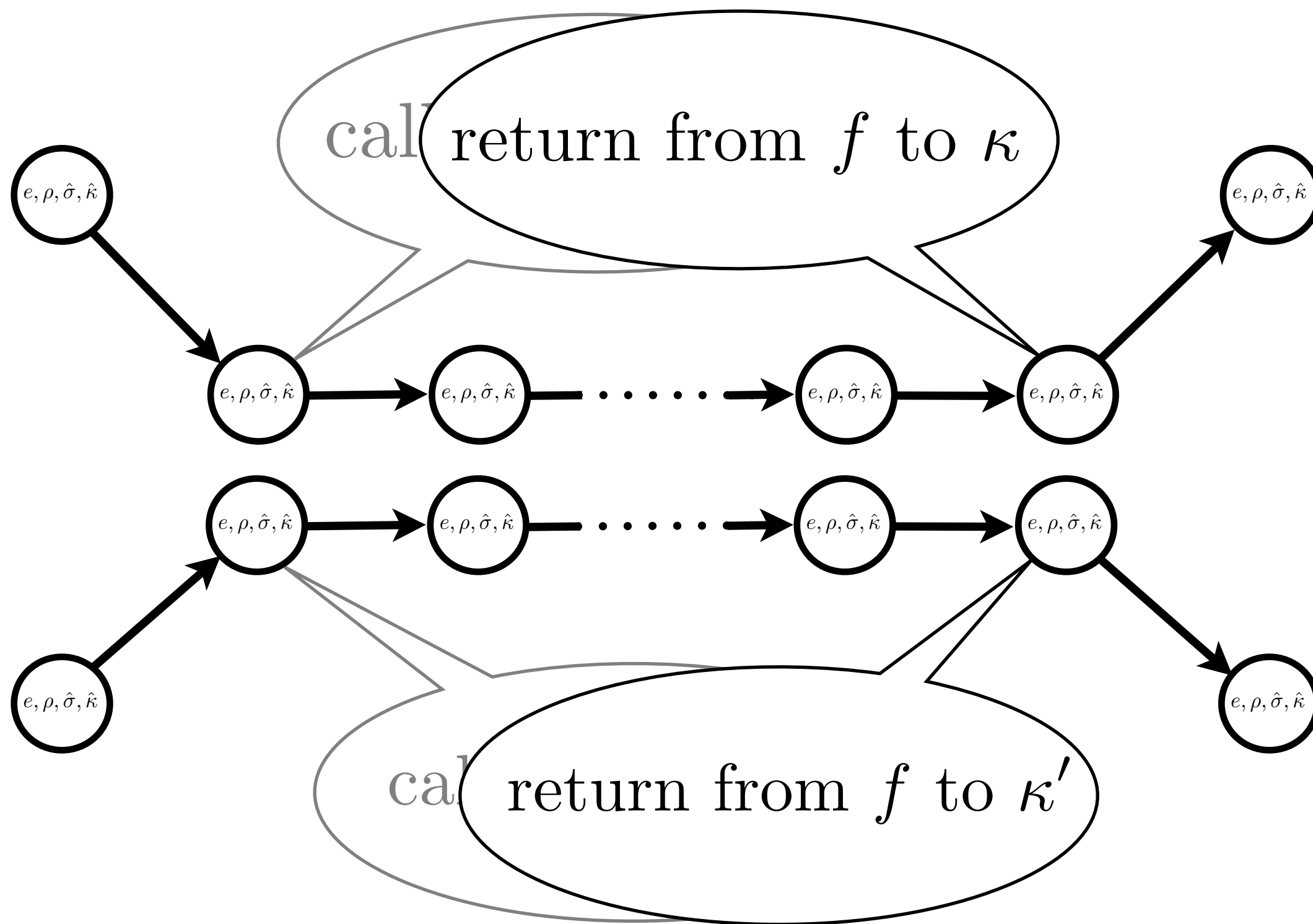


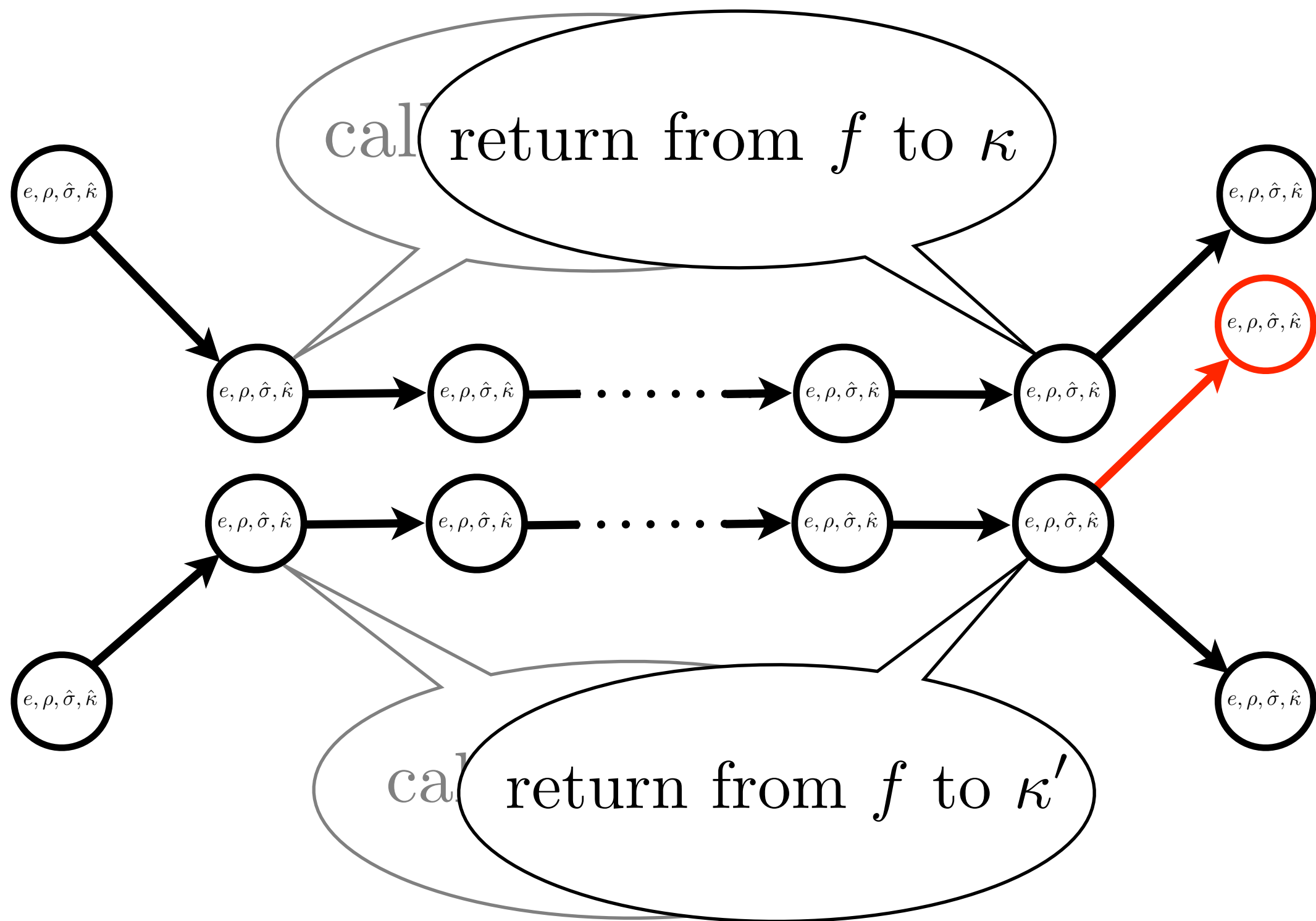


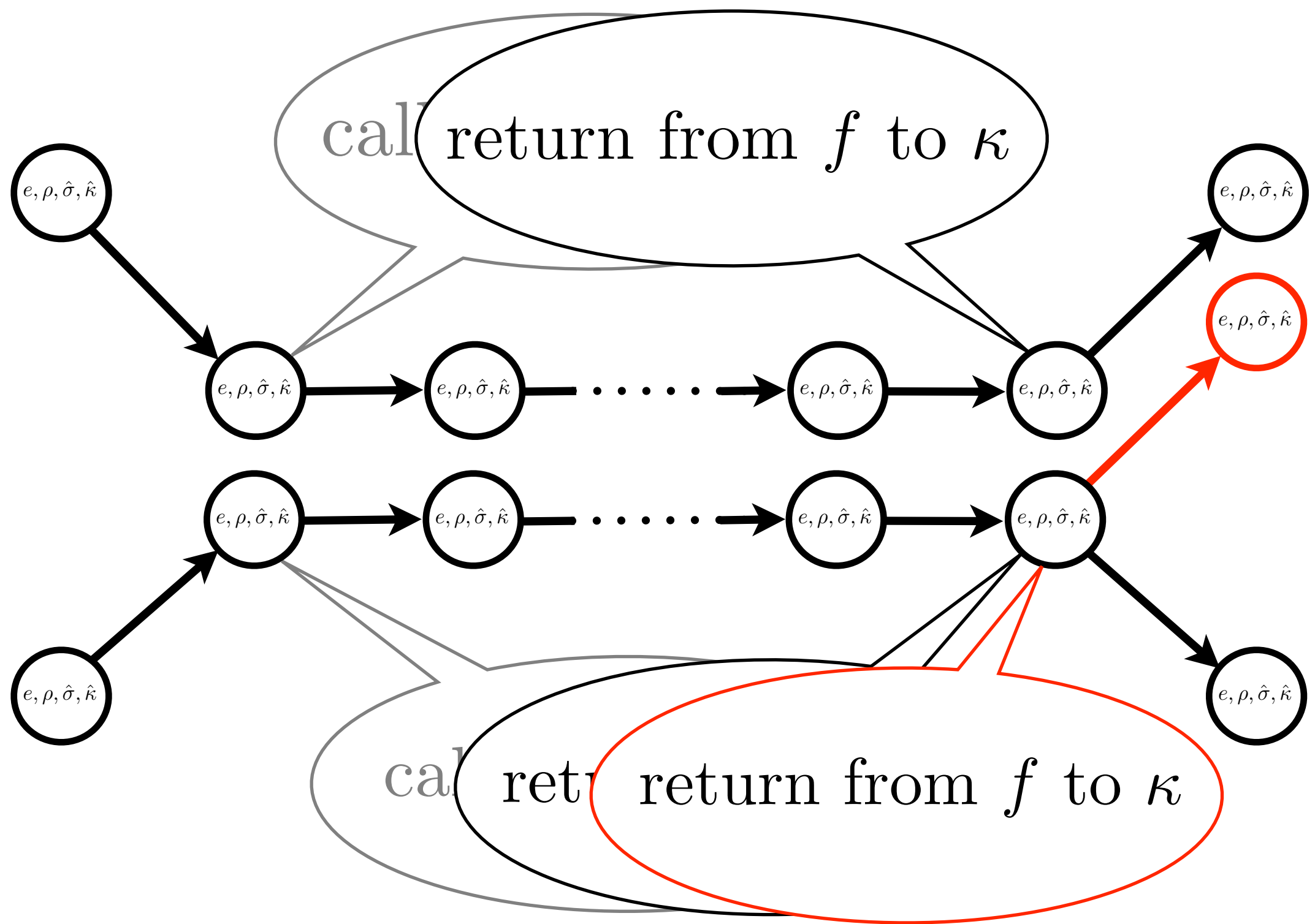


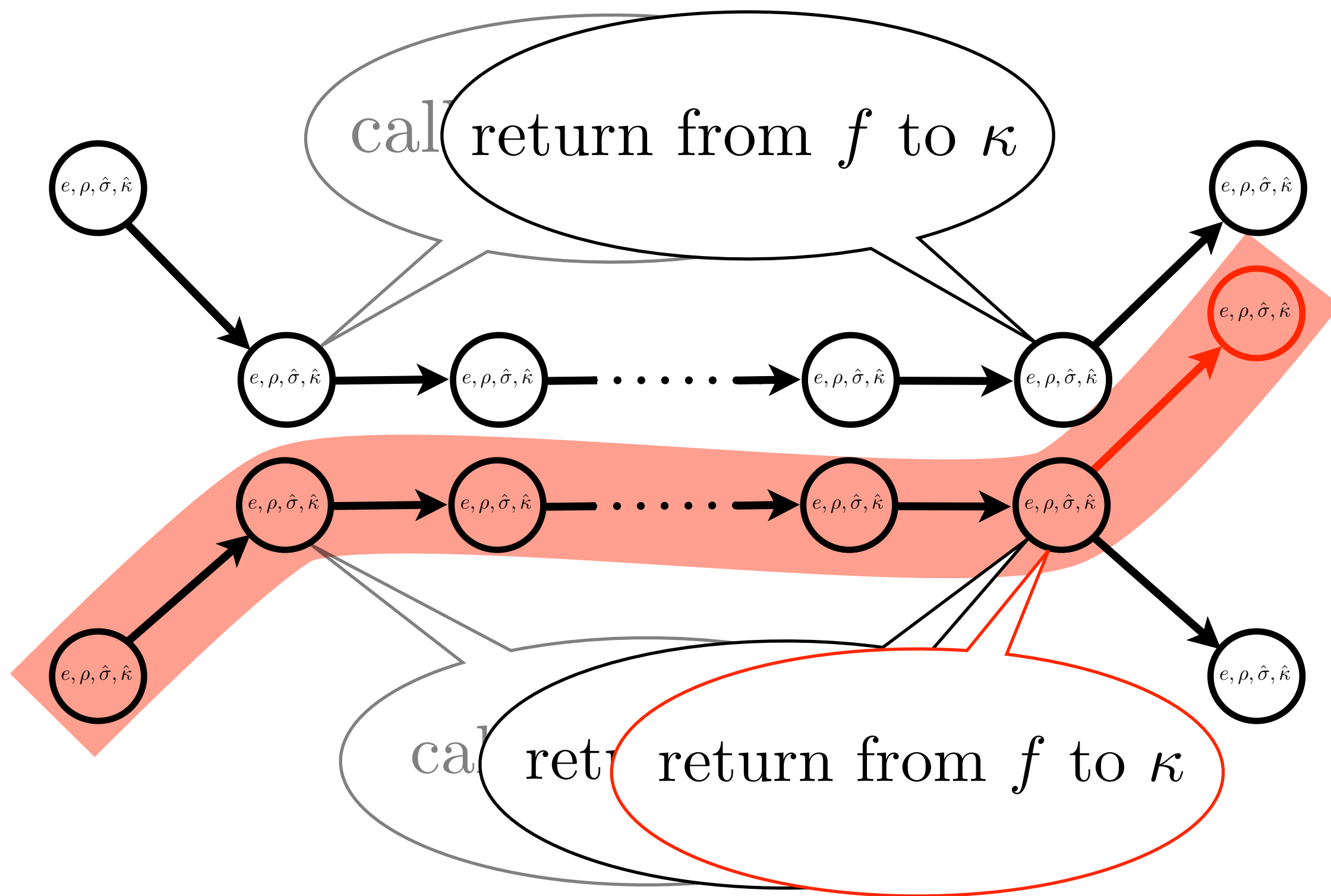














Idea: make it finite

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

$$\epsilon \mid (e, \rho) \cdot \kappa \quad v \cdot \kappa$$

$$\epsilon \mid (e, \rho), a \mid v, a$$

Idea: make it finite

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

$$\epsilon \mid (e, \rho) \cdot \kappa \quad v \cdot \kappa$$

$$\epsilon \mid (e, \rho), \quad a \mid v, \quad a$$

Idea: make it ~~finite~~

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

$$\epsilon \mid (e, \rho) \cdot \kappa \quad v \cdot \kappa$$

$$\epsilon \mid (e, \rho), \quad a \mid v, \quad a$$

Idea: make it ~~finite~~ **DECIDABLE**

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$$e, \rho, \hat{\sigma}, \hat{\kappa}$$

$$\epsilon \mid (e, \rho) \cdot \kappa \mid v \cdot \kappa$$

$$\epsilon \mid (e, \rho), a \mid v, a$$

Idea: make it ~~finite~~ **DECIDABLE**

$$\hat{\sigma} : \widehat{\text{Addr}} \rightarrow \mathcal{P}(\text{Val})$$

$$\sigma : \text{Addr} \rightarrow \text{Val}$$

$e, \rho, \hat{\sigma}, \kappa$

$$\epsilon \mid (e, \rho) \cdot \kappa \mid v \cdot \kappa$$

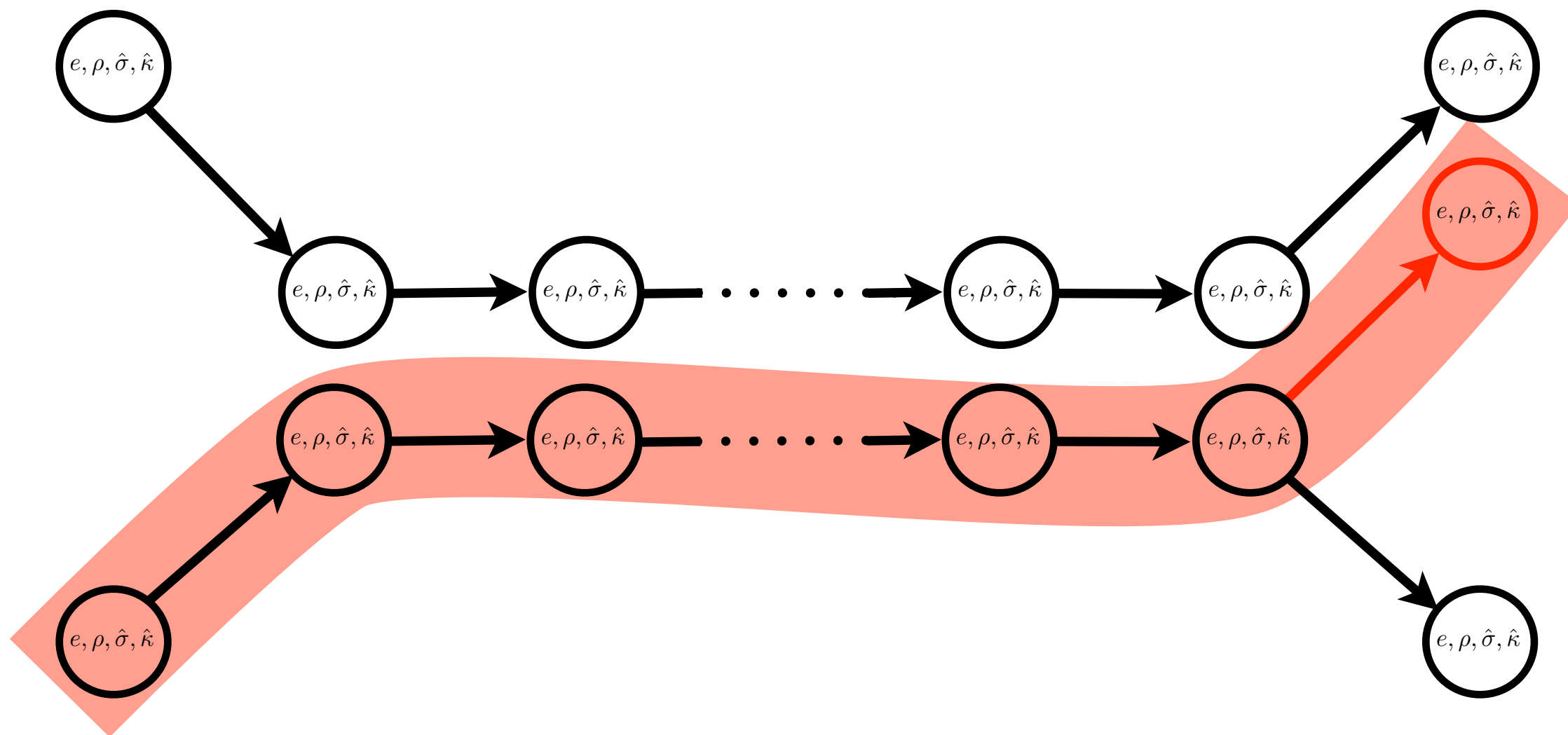
$$\begin{array}{ll}
\langle x, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle v, \rho, \hat{\sigma}, \hat{\kappa} \rangle \quad \text{if } v \in \hat{\sigma}(\rho(x)) \\
\langle e_0 \ e_1, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle e_0, \rho, \hat{\sigma} \sqcup [a \mapsto \hat{\kappa}], (e_1, \rho), a \rangle \\
\langle v, \hat{\sigma}, (e, \rho), a \rangle & \longmapsto \langle e, \rho, \hat{\sigma}, v, a \rangle \\
\langle v, \hat{\sigma}, (\lambda x. e, \rho), a \rangle & \longmapsto \langle e, \rho[x \mapsto a'], \hat{\sigma} \sqcup [a' \mapsto v], \hat{\kappa} \rangle \\
& \text{if } \hat{\kappa} \in \hat{\sigma}(a)
\end{array}$$

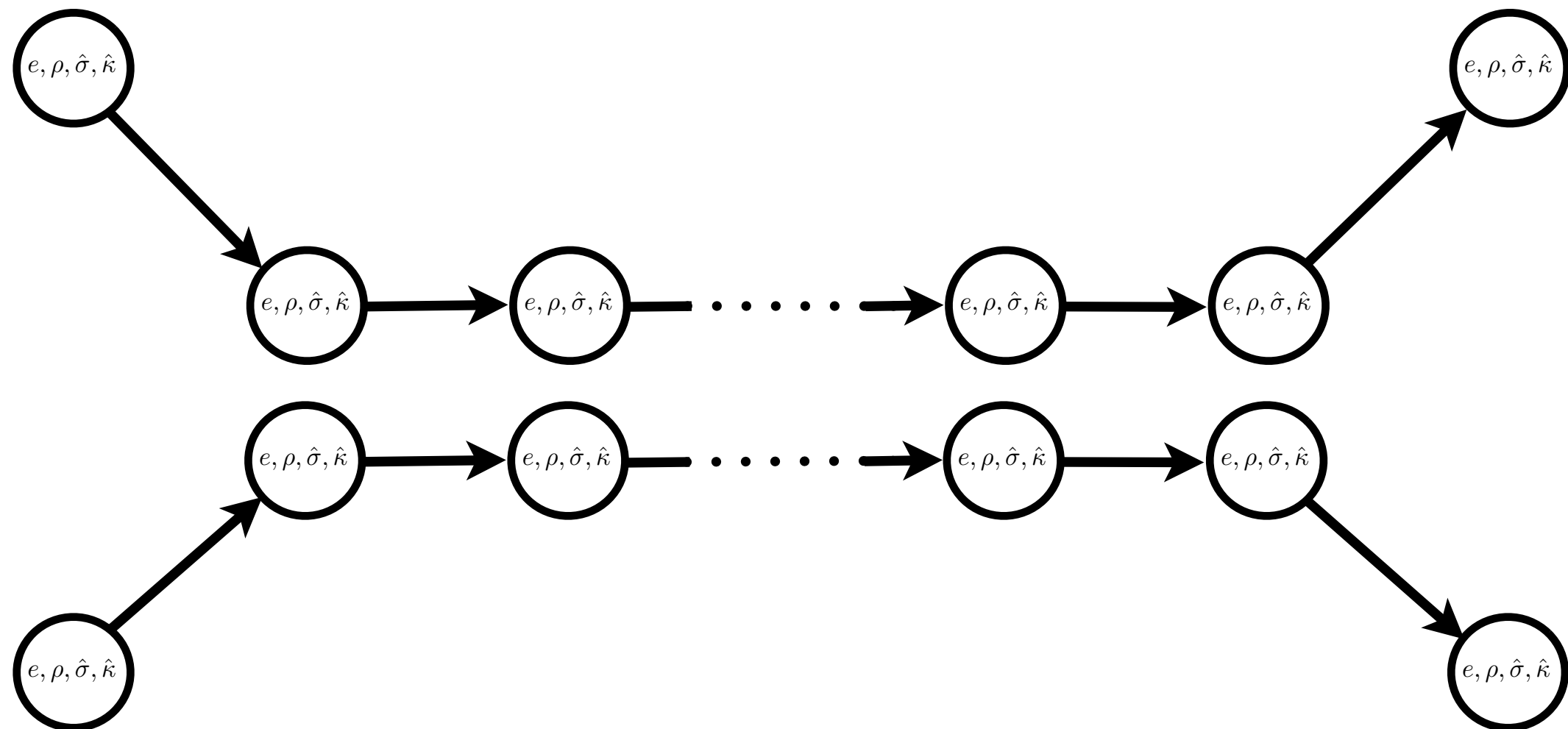
$$\begin{array}{ll}
\langle x, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle v, \rho, \hat{\sigma}, \hat{\kappa} \rangle \quad \text{if } v \in \hat{\sigma}(\rho(x)) \\
\langle e_0 \ e_1, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle e_0, \rho, \hat{\sigma} \sqcup [a \mapsto \hat{\kappa}], (e_1, \rho), a \rangle \\
\langle v, \hat{\sigma}, (e, \rho), a \rangle & \longmapsto \langle e, \rho, \hat{\sigma}, v, a \rangle \\
\langle v, \hat{\sigma}, (\lambda x. e, \rho), a \rangle & \longmapsto \langle e, \rho[x \mapsto a'], \hat{\sigma} \sqcup [a' \mapsto v], \hat{\kappa} \rangle \\
& \text{if } \hat{\kappa} \in \hat{\sigma}(a)
\end{array}$$



$$\begin{array}{ll}
\langle x, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle v, \rho, \hat{\sigma}, \hat{\kappa} \rangle \quad \text{if } v \in \hat{\sigma}(\rho(x)) \\
\langle e_0 \ e_1, \rho, \hat{\sigma}, \hat{\kappa} \rangle & \longmapsto \langle e_0, \rho, \hat{\sigma} \sqcup [a \mapsto \hat{\kappa}], (e_1, \rho), a \rangle \\
\langle v, \hat{\sigma}, (e, \rho), a \rangle & \longmapsto \langle e, \rho, \hat{\sigma}, v, a \rangle \\
\langle v, \hat{\sigma}, (\lambda x.e, \rho), a \rangle & \longmapsto \langle e, \rho[x \mapsto a'], \hat{\sigma} \sqcup [a' \mapsto v], \hat{\kappa} \rangle \\
& \text{if } \hat{\kappa} \in \hat{\sigma}(a)
\end{array}$$

$$\begin{array}{ll}
\langle x, \rho, \hat{\sigma}, \kappa \rangle & \longmapsto \langle v, \rho, \hat{\sigma}, \kappa \rangle \quad \text{if } v \in \hat{\sigma}(\rho(x)) \\
\langle e_0 \ e_1, \rho, \hat{\sigma}, \kappa \rangle & \longmapsto \langle e_0, \rho, \hat{\sigma}, (e_1, \rho) \cdot \kappa \rangle \\
\langle v, \hat{\sigma}, (e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho, \hat{\sigma}, v \cdot \kappa \rangle \\
\langle v, \hat{\sigma}, (\lambda x.e, \rho) \cdot \kappa \rangle & \longmapsto \langle e, \rho[x \mapsto a], \hat{\sigma} \sqcup [a \mapsto v], \kappa \rangle
\end{array}$$







`f(x);`

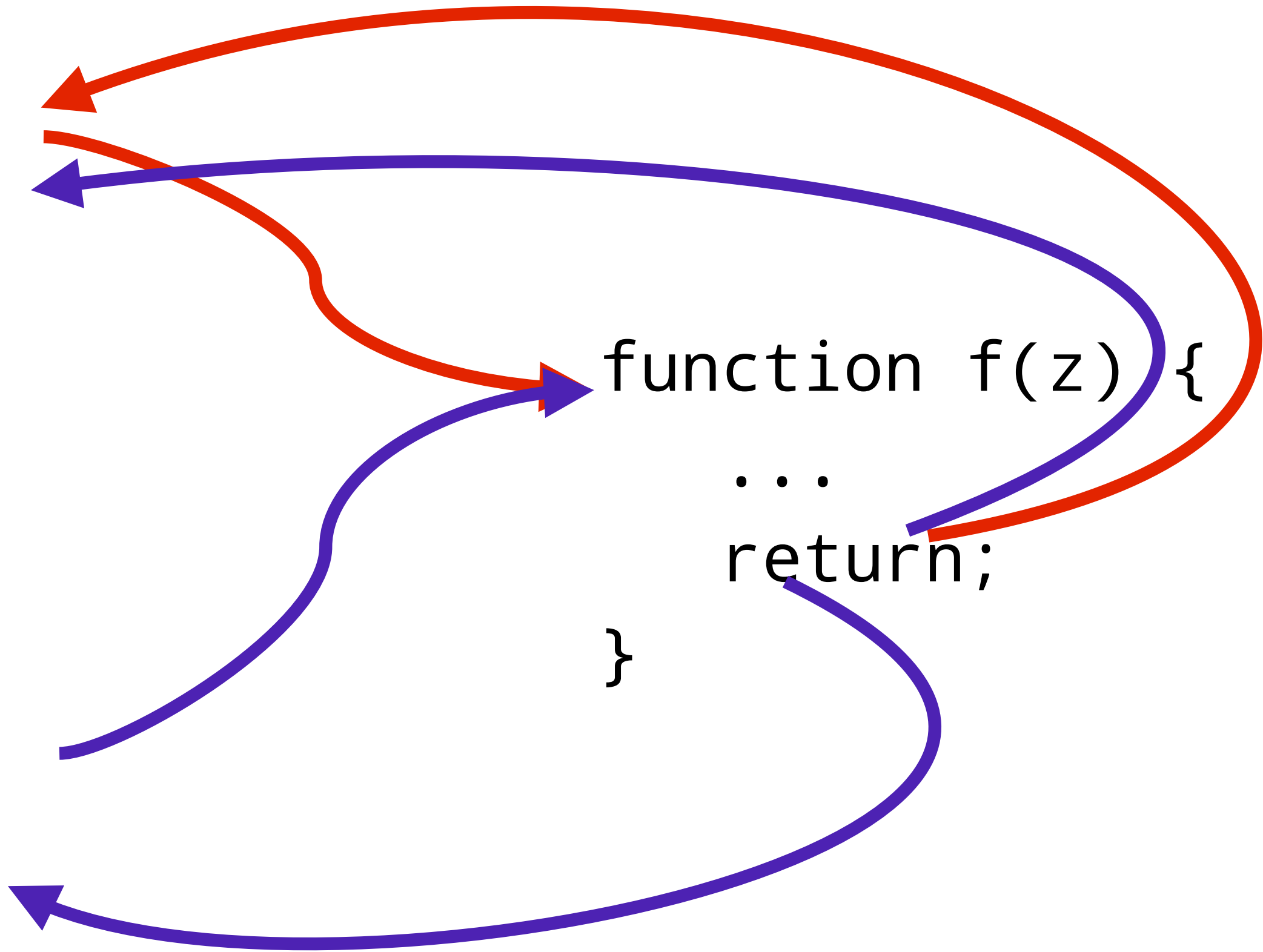
`function f(z) {`

`...`

`return;`

`}`

`f(y);`



`f(x);`

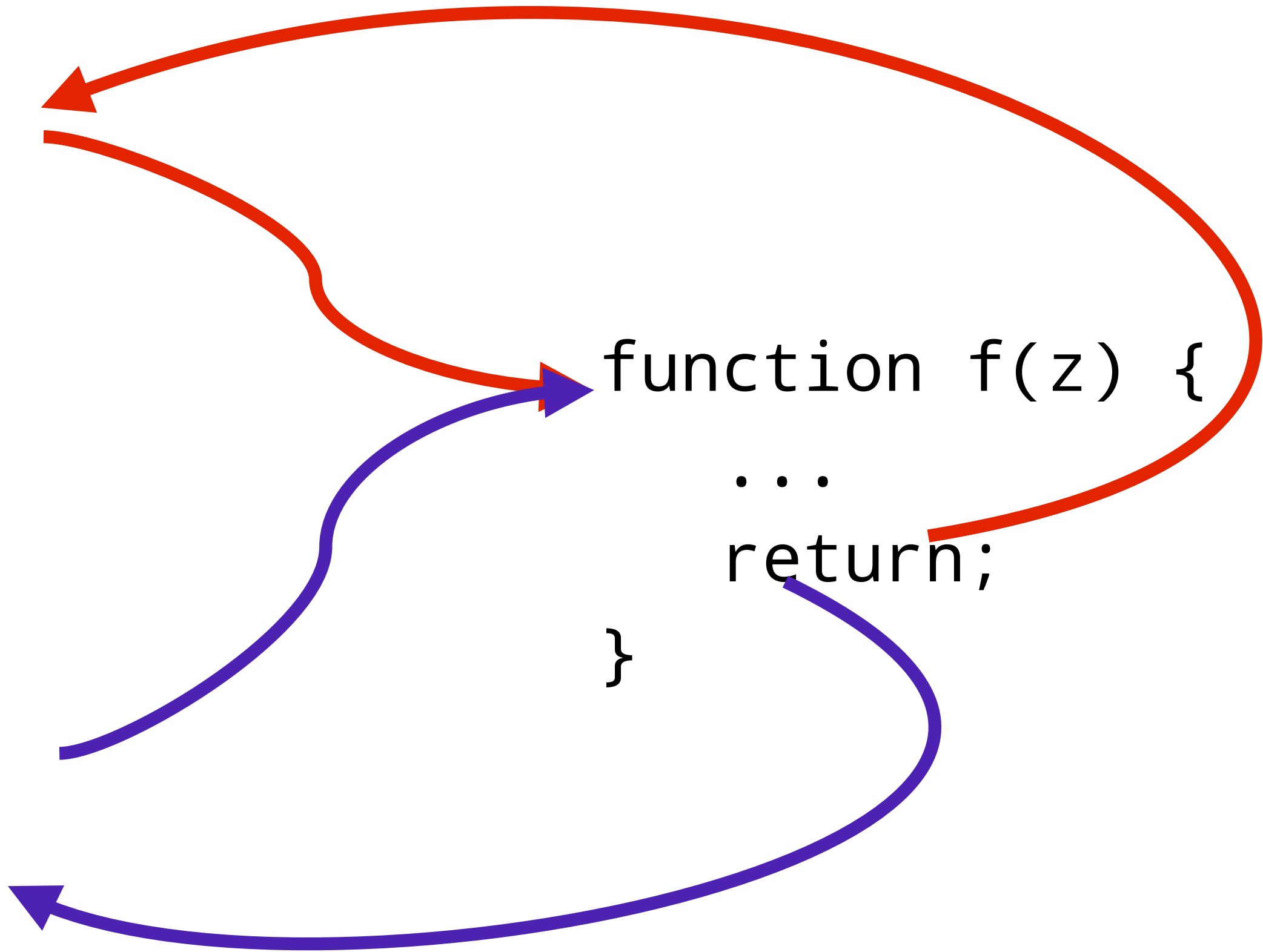
`function f(z) {`

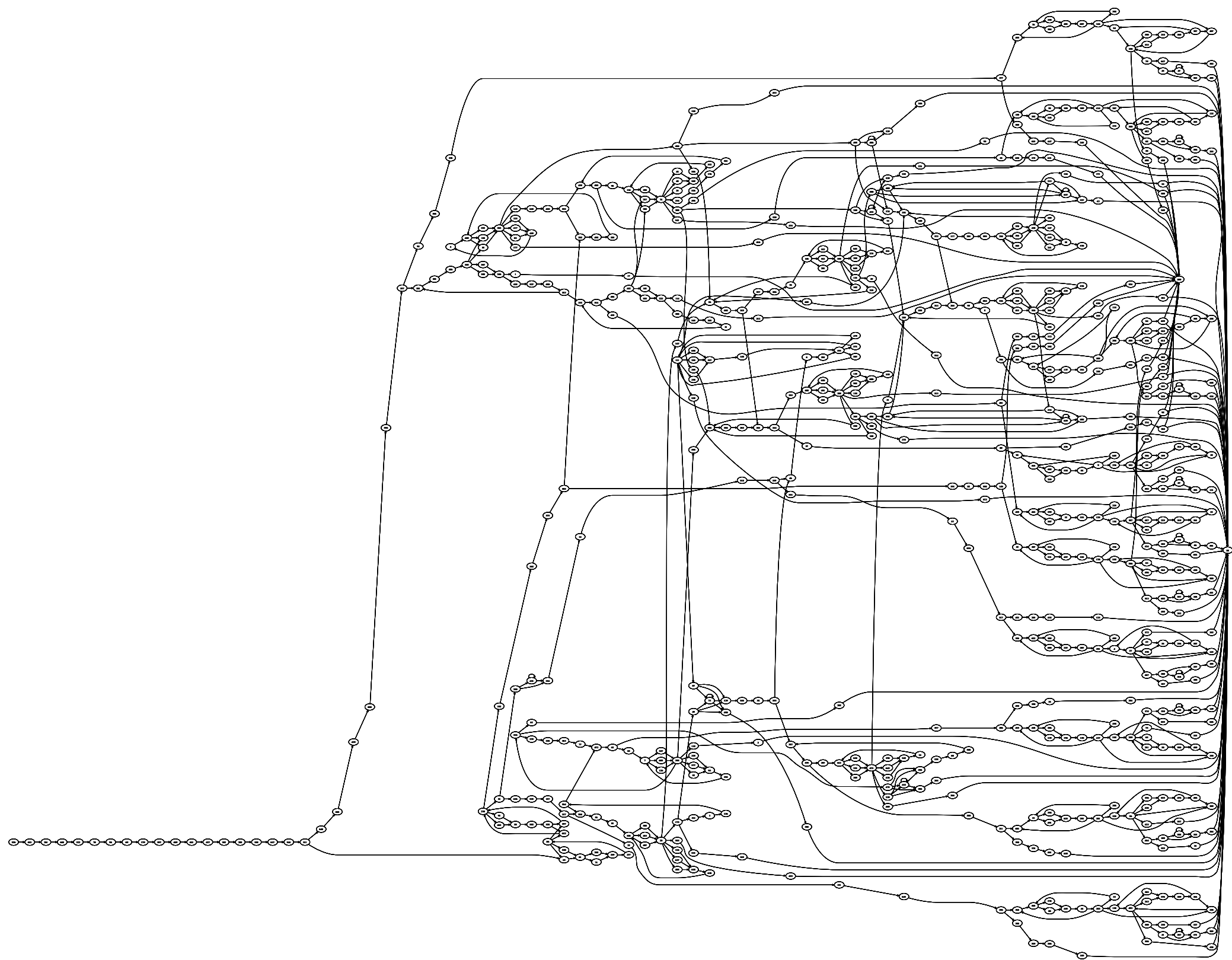
`...`

`return;`

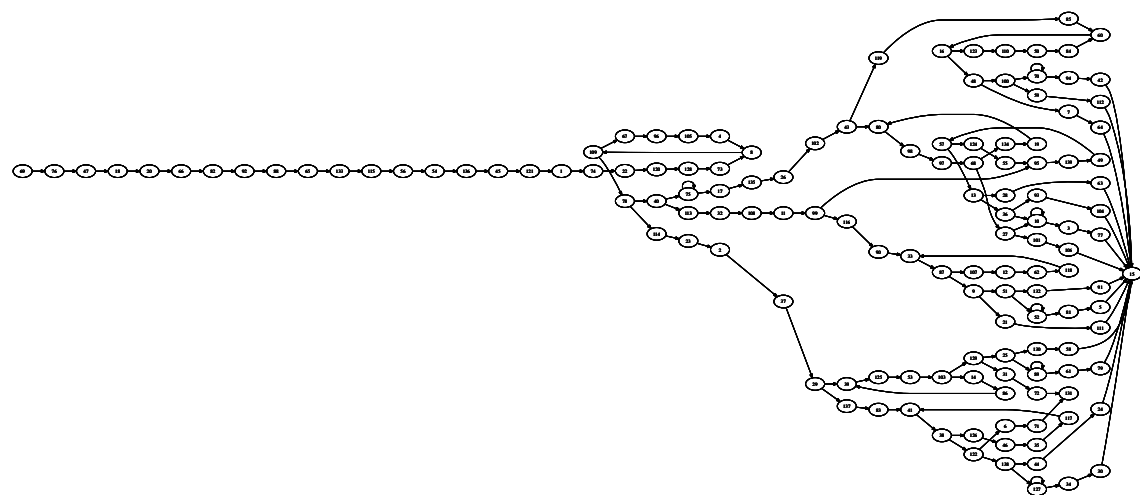
`}`


`f(y);`



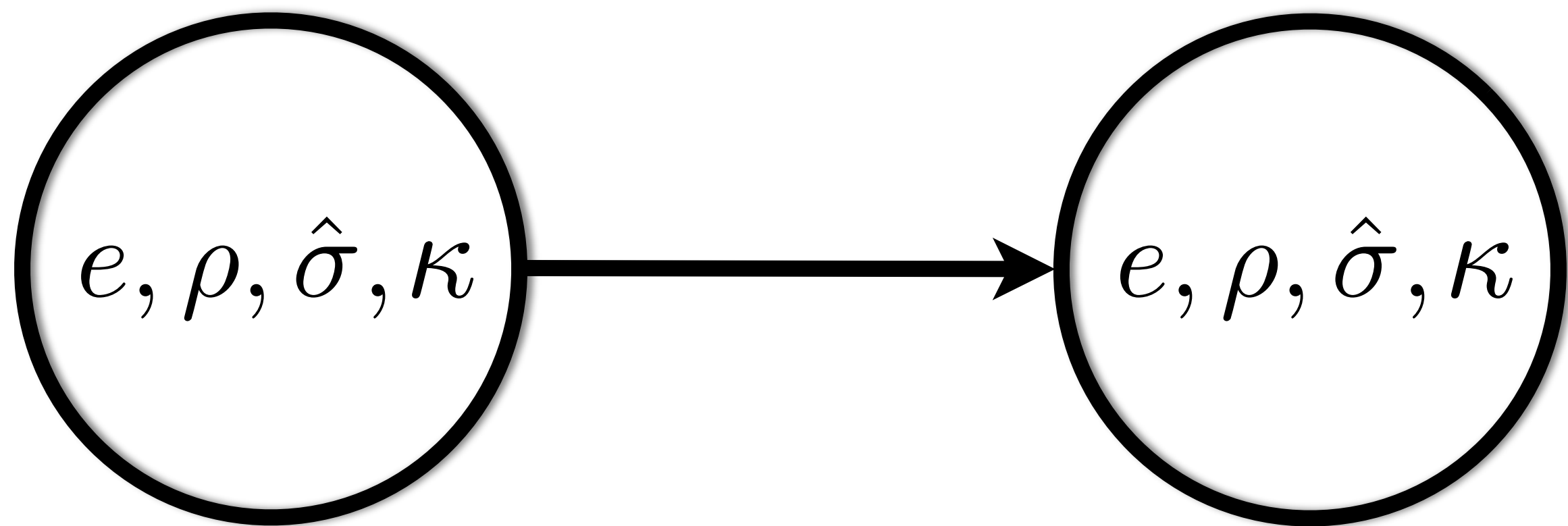


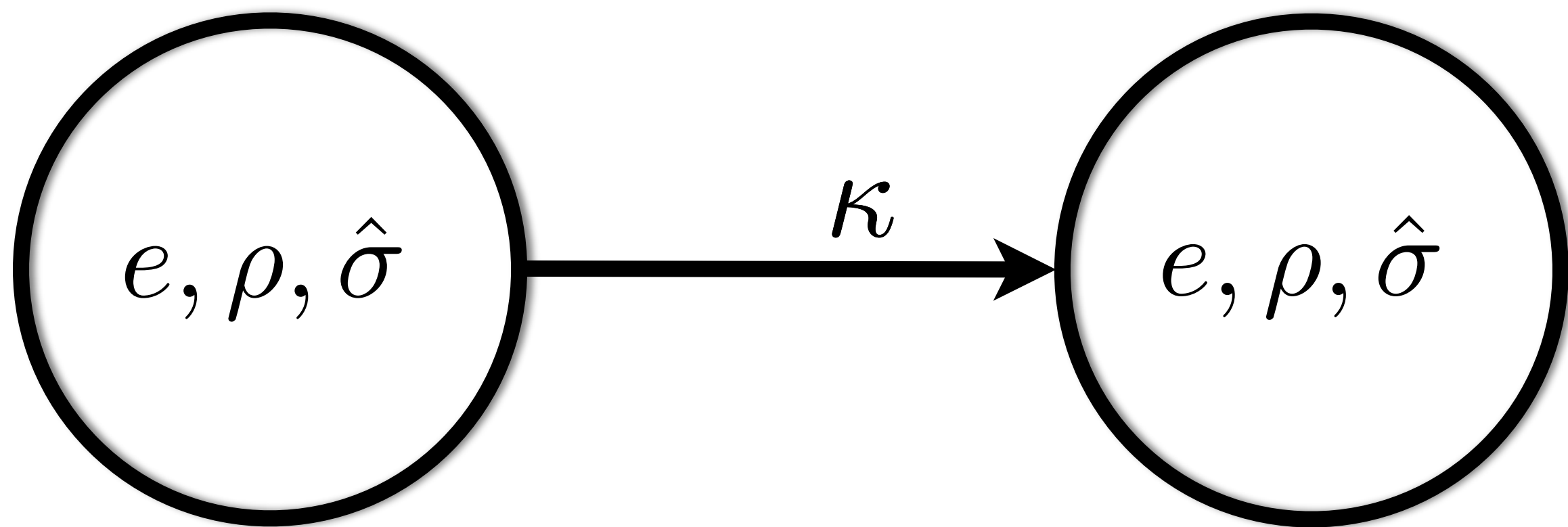


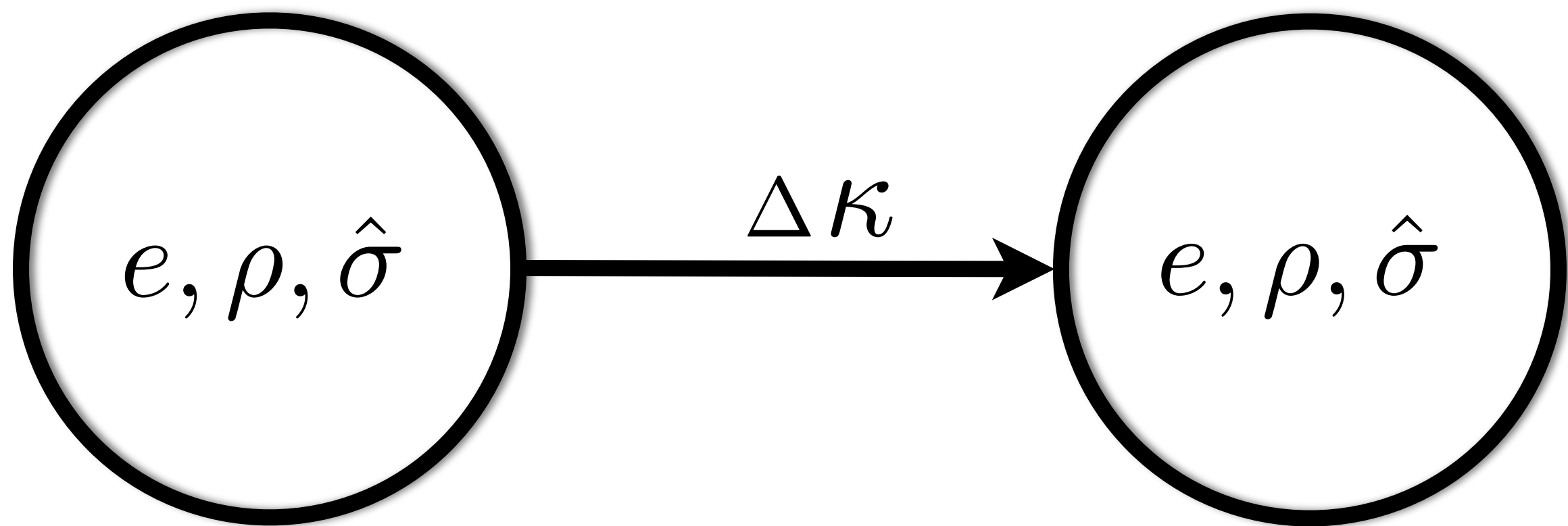


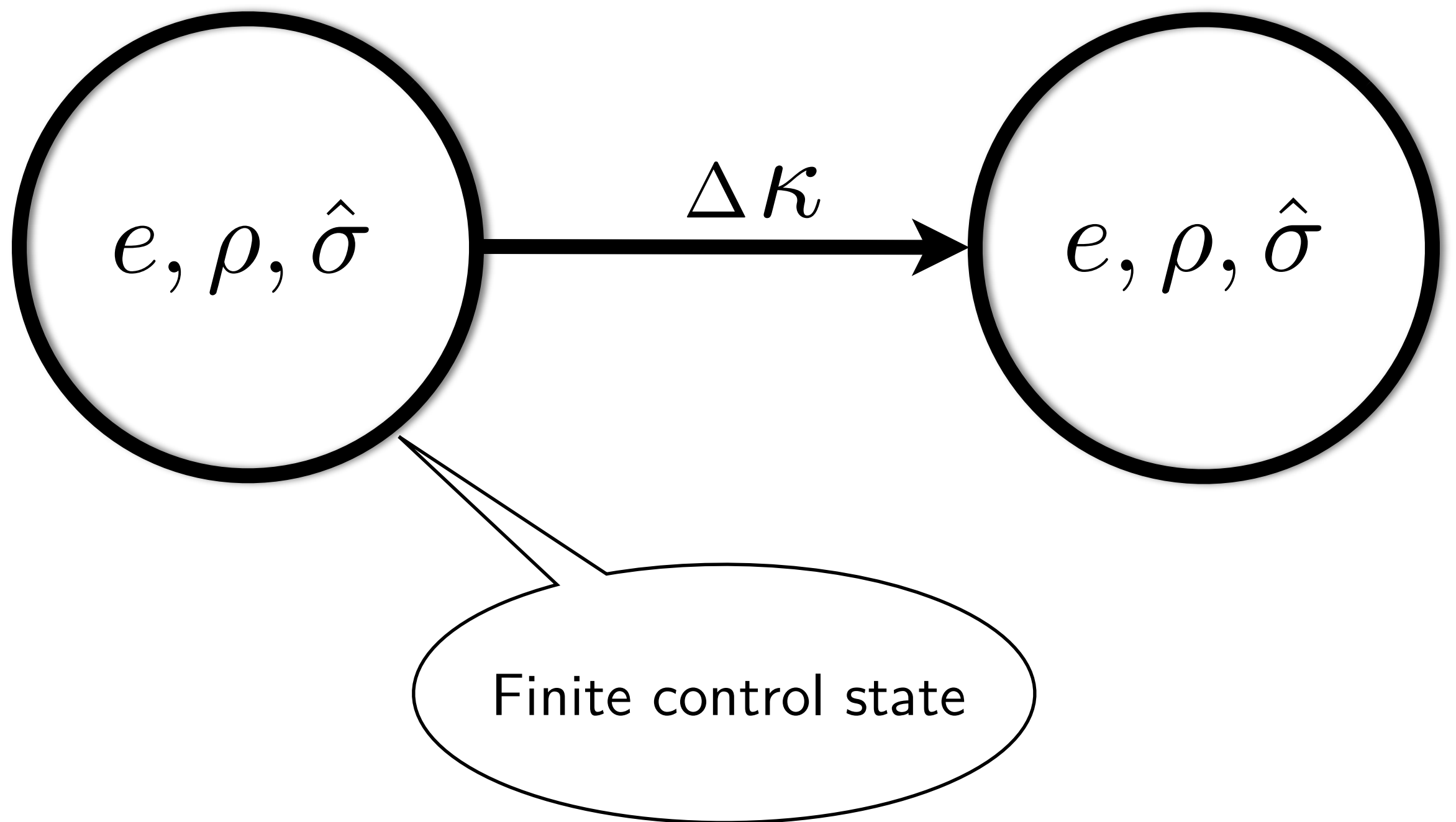


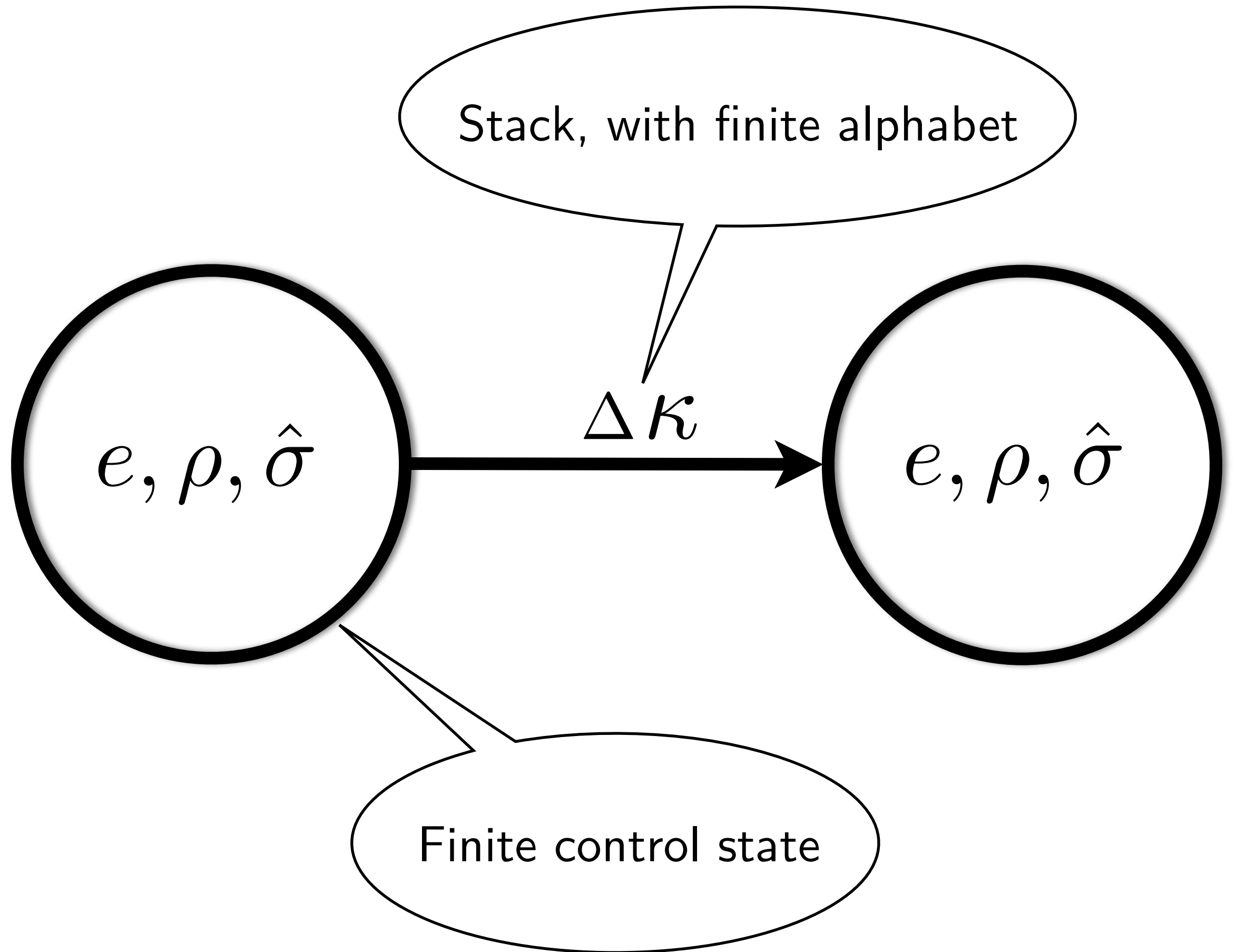
$e, \rho, \hat{\sigma}, \kappa$













Stack, with finite alphabet

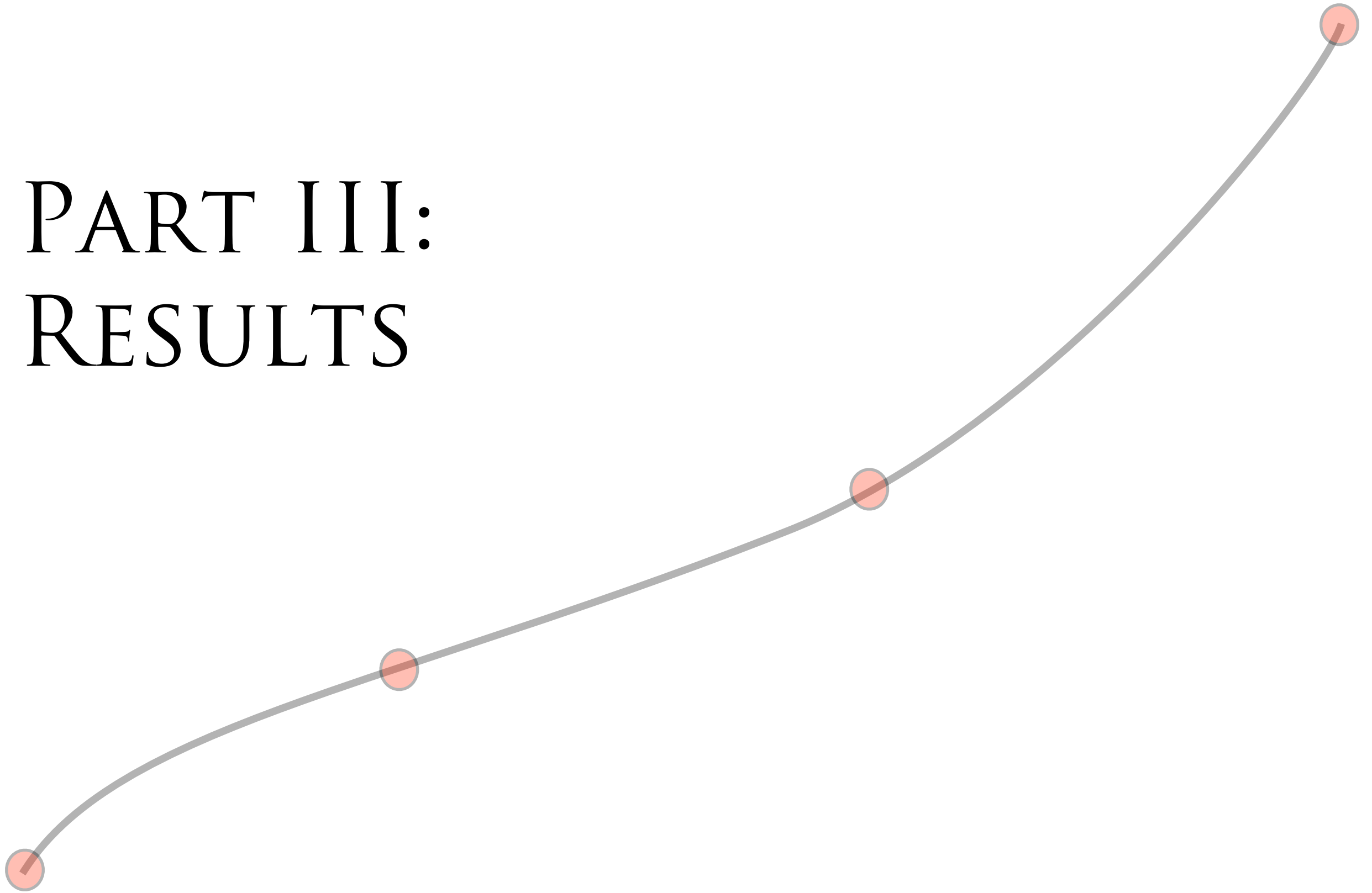
$e, \rho, \hat{\sigma}$

$\Delta K$   
**PDA**

$e, \rho, \hat{\sigma}$

Finite control state

# PART III: RESULTS



AndorsTrail	SplitTimer	SMSBackup
AndroidGame	SuperNote	SMSBlocker
AndroidPrivacyGuard_E	SuperSoduko	SMSPopup
Butane	SysMon	SysWatcherA
CalcA	SysWatcherB	SourceViewer
CalcB	TextSecure	UltraCoolMap
ConnectBot	ToDoList	YARR
CountdownTimer	Word Helper	AndroidsFortune
FunDraw	AndBible	CalcC
MorseCode	AndroidPrivacyGuard_M	CalcE
MyDrawA	BatteryIndicator	ColorMatcher
MyDrawC	CalcF	FullControl
NewsCollator	MediaFun	KitteyKittey
PasswordSaver	MyDrawD	Orienteering2
PersistentAssistant	OpenGPSTracker	Sanity
SmartWebCam	Orienteering1	TomDroid
SMSReminder	PicViewer	WiFinder
SourceViewer	Collaboration ShareLoc	



# Improving Exception-flow analysis



```
a.foo()
```

```
try {  
    b.foo()  
} catch {  
    ...  
}
```

```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

a.foo()



```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

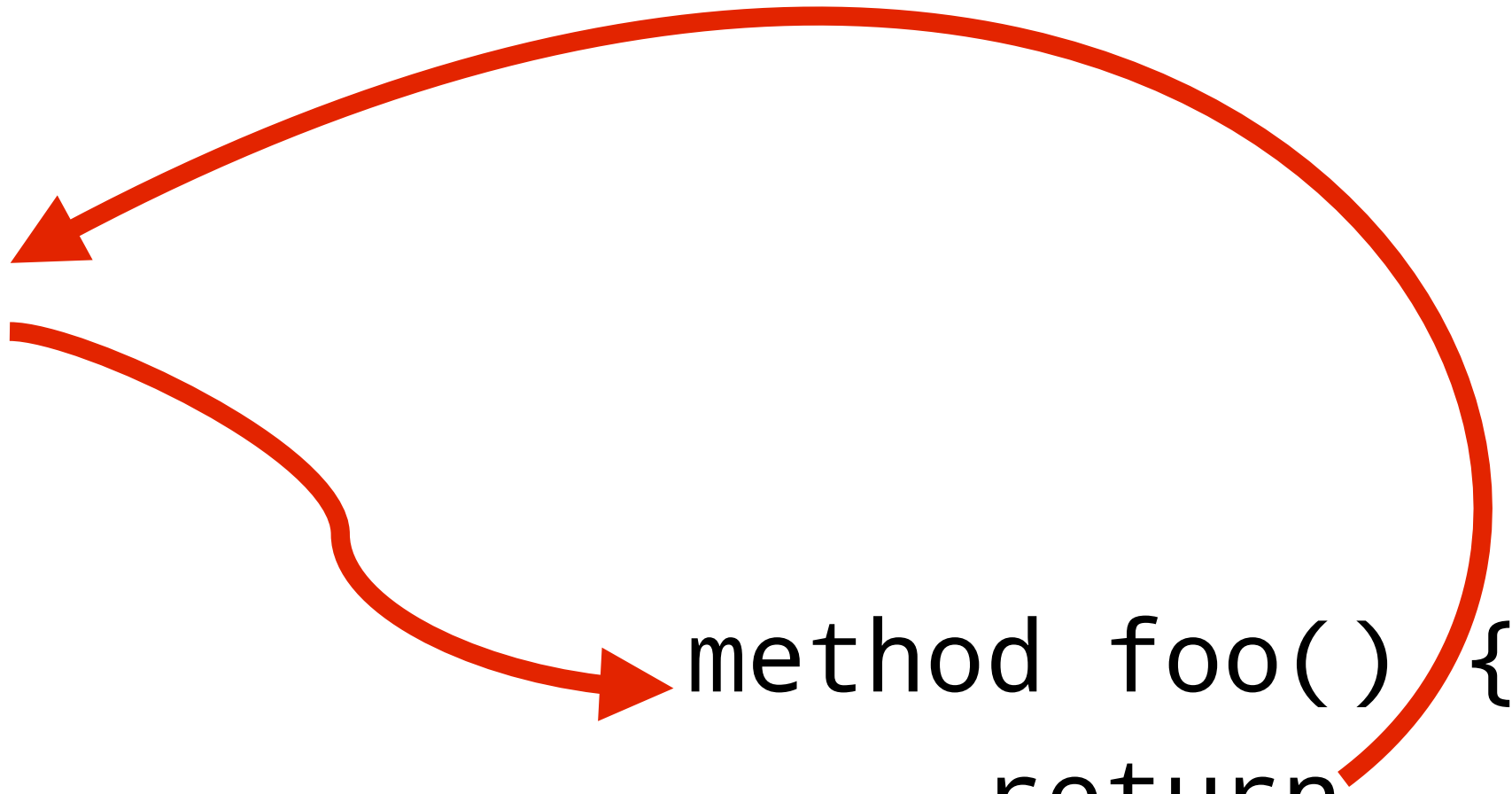
```
try {  
    b.foo()  
} catch {  
    ...  
}
```



a.foo()

```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

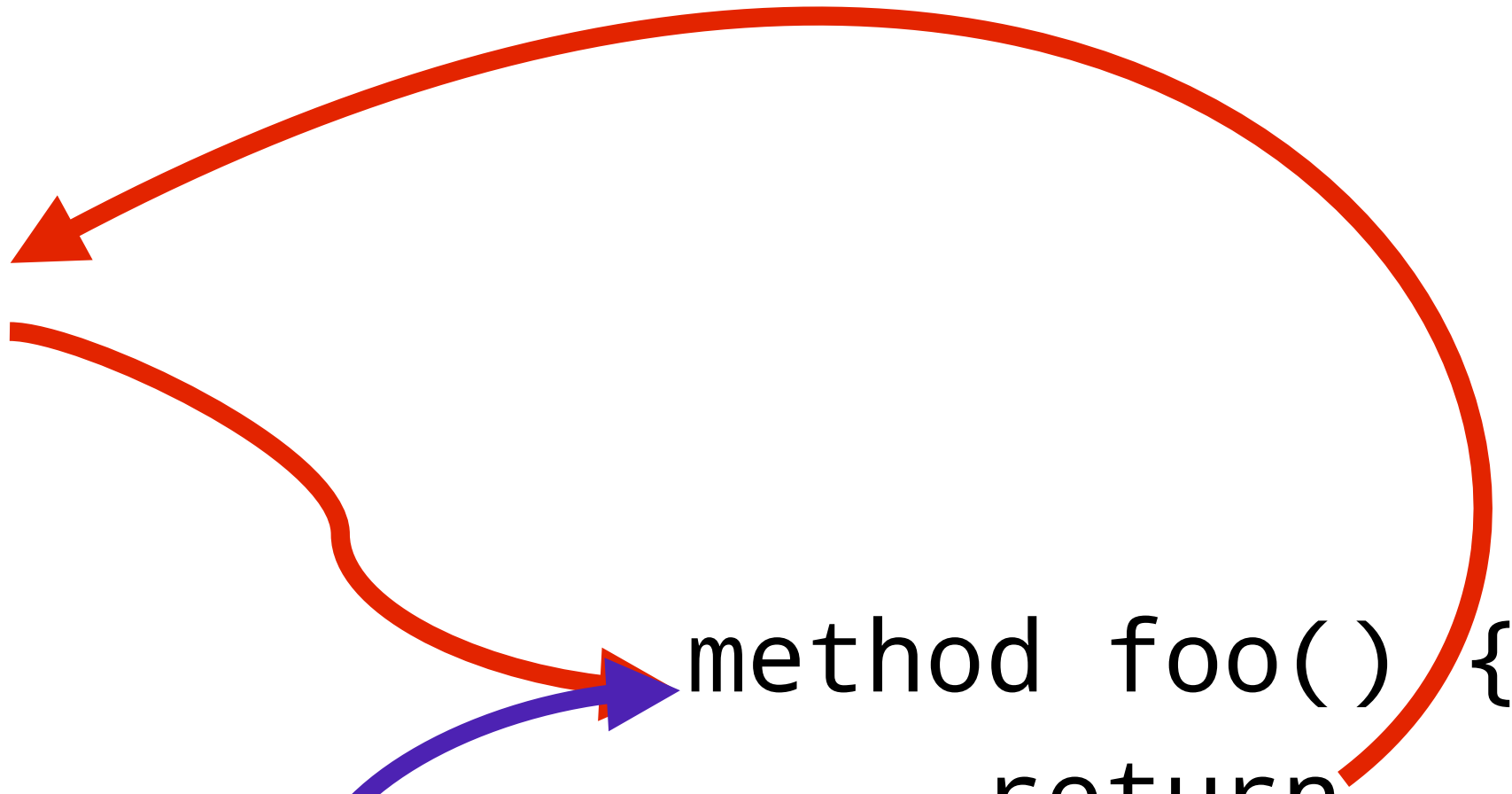
```
try {  
    b.foo()  
} catch {  
    ...  
}
```



a.foo()

```
try {  
  b.foo()  
} catch {  
  ...  
}
```

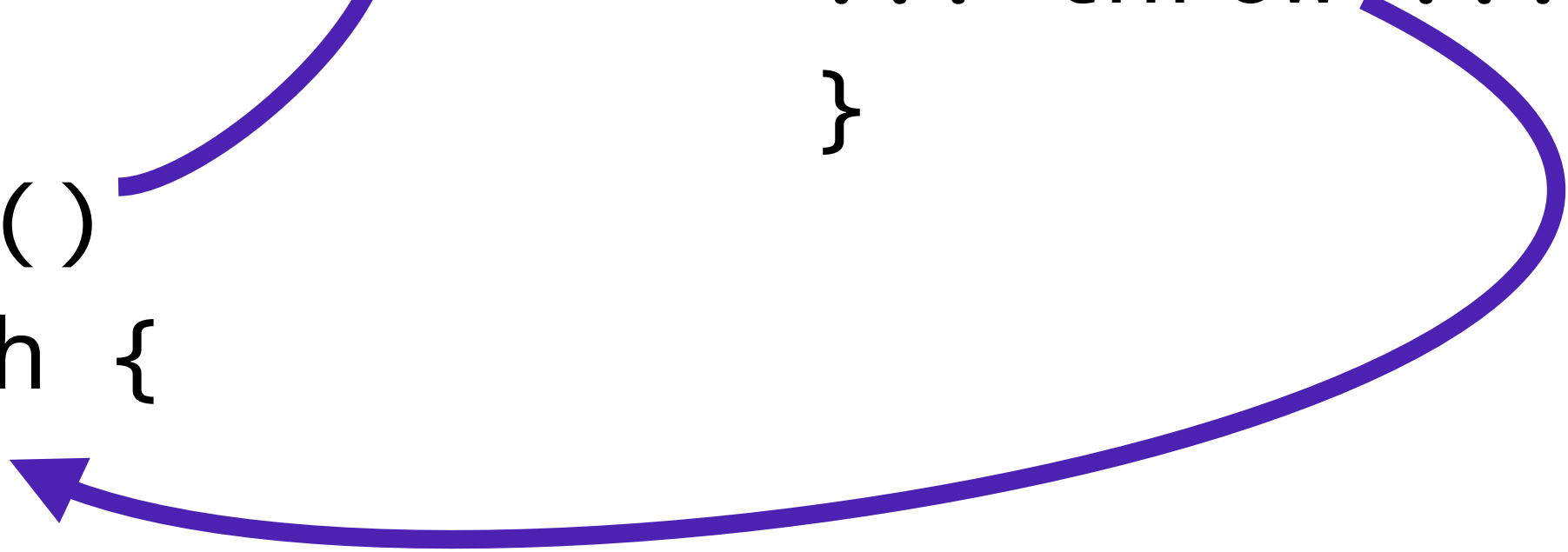
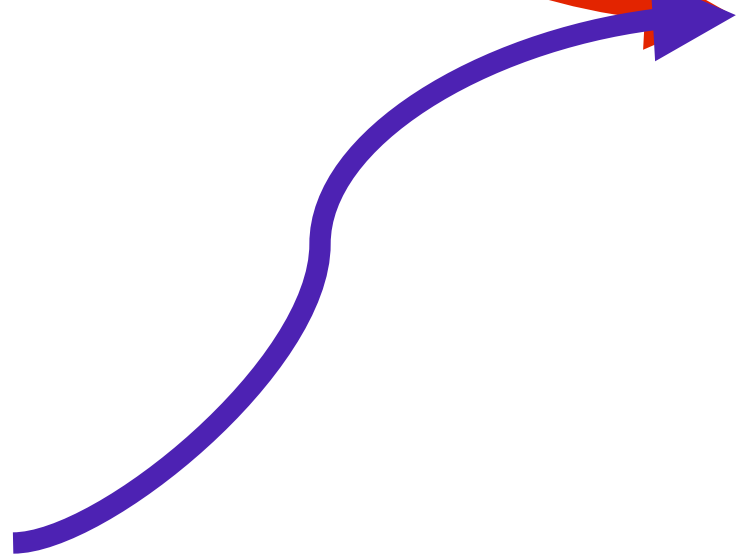
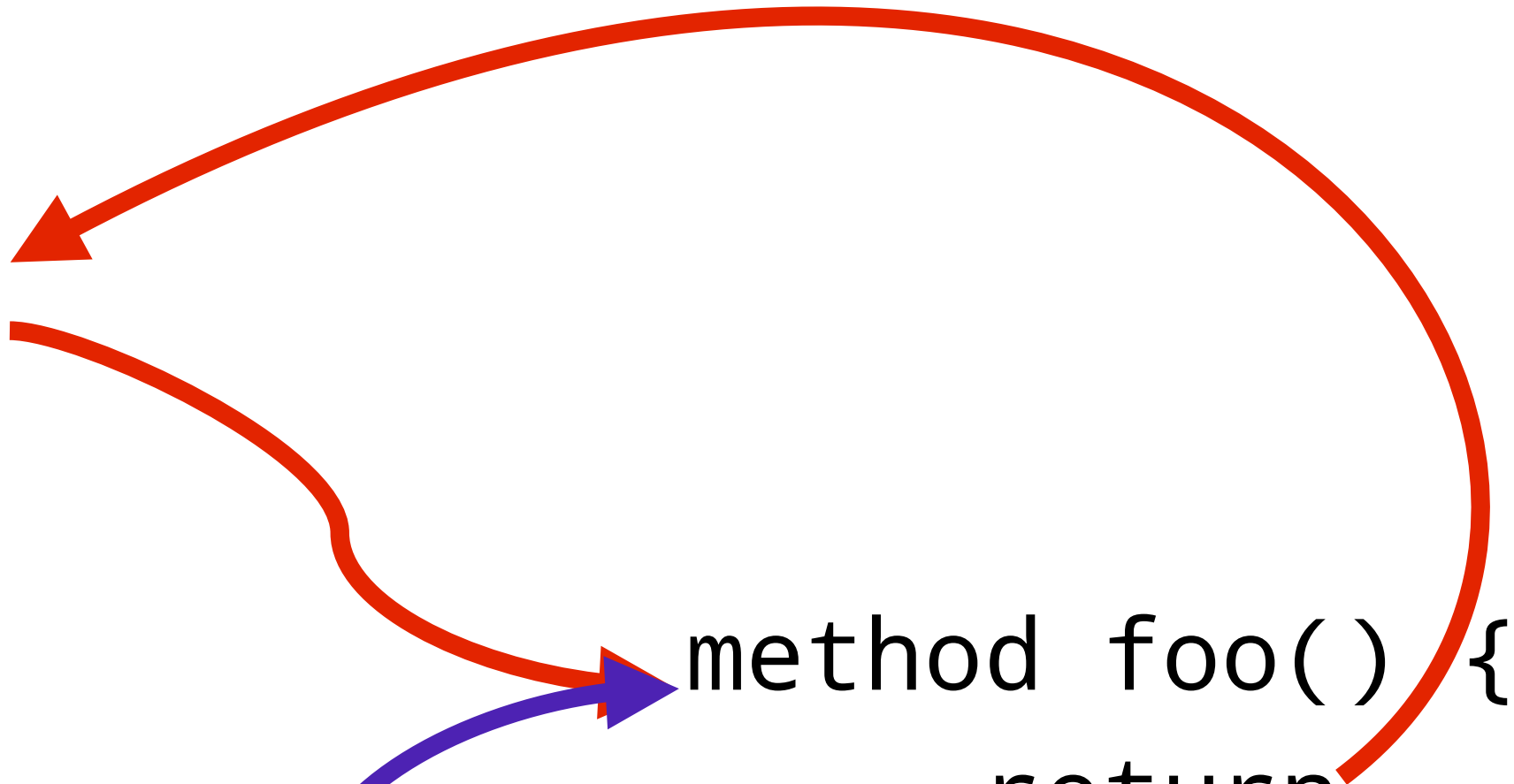
```
method foo() {  
  ... return ...  
  ... throw ...  
}
```



a.foo()

```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

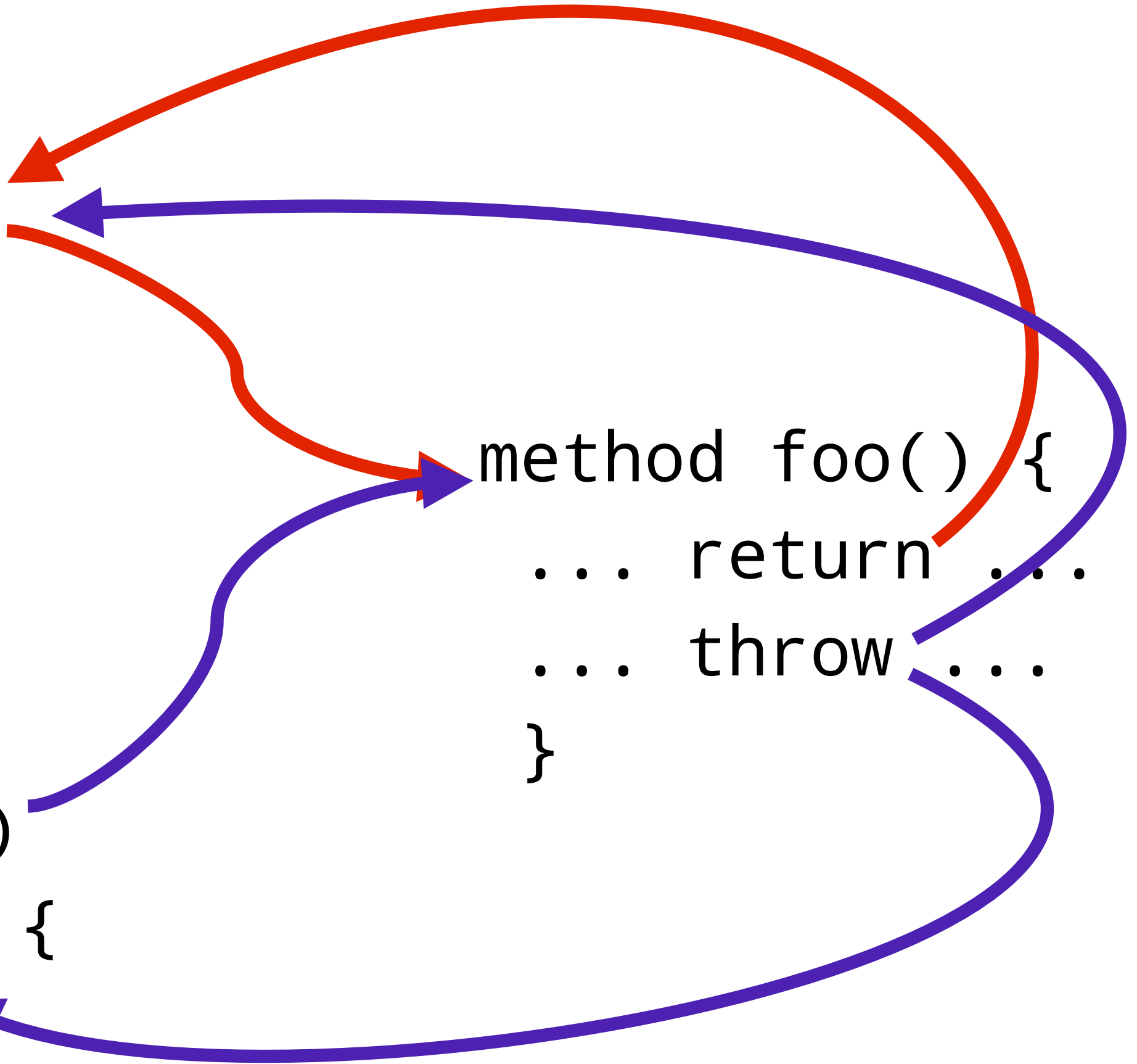
```
try {  
    b.foo()  
} catch {  
    ...  
}
```



a.foo()

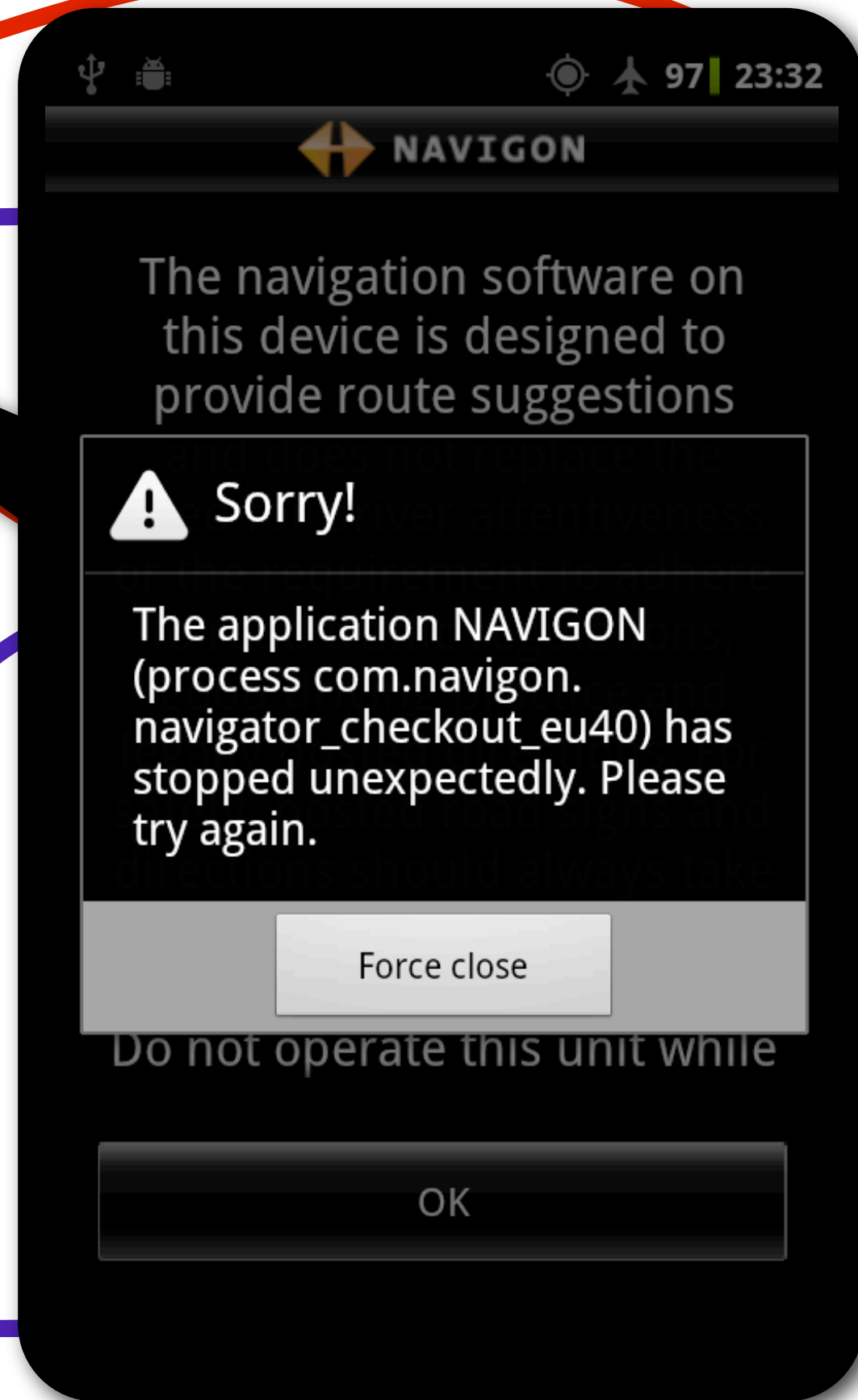
```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

```
try {  
    b.foo()  
} catch {  
    ...  
}
```

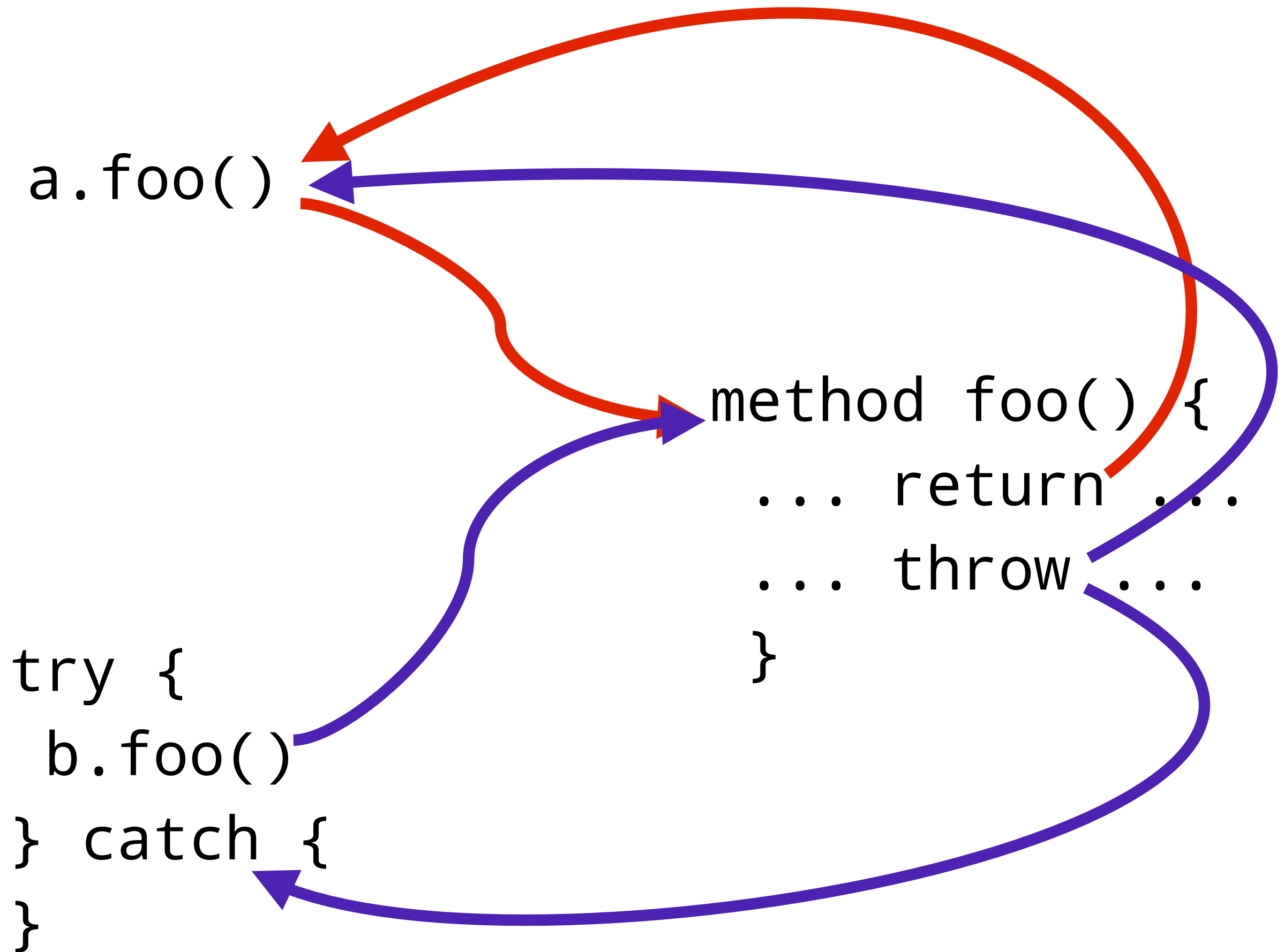


a.foo()

```
try {  
    b.foo()  
} catch {  
    ...  
}
```





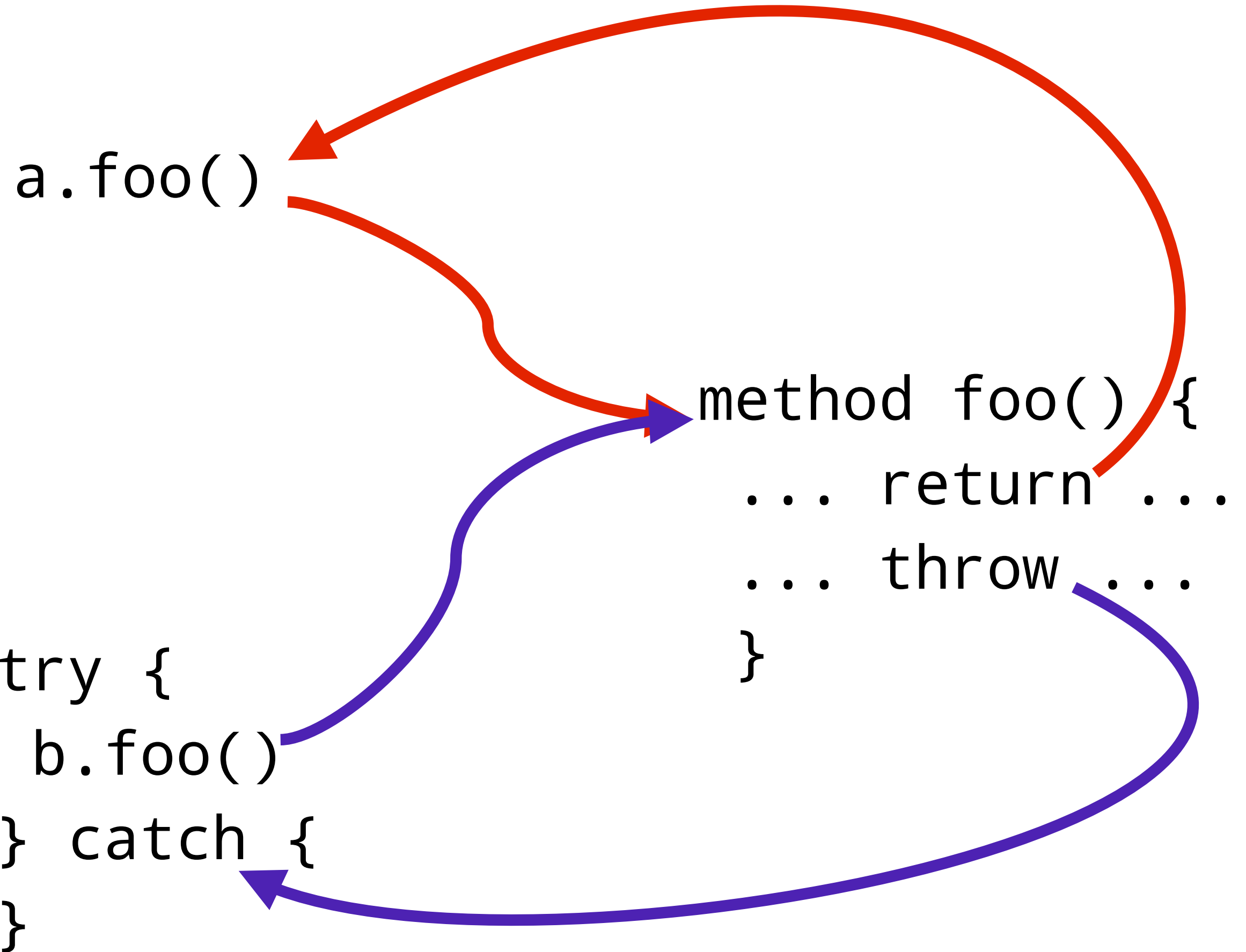




a.foo()

```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

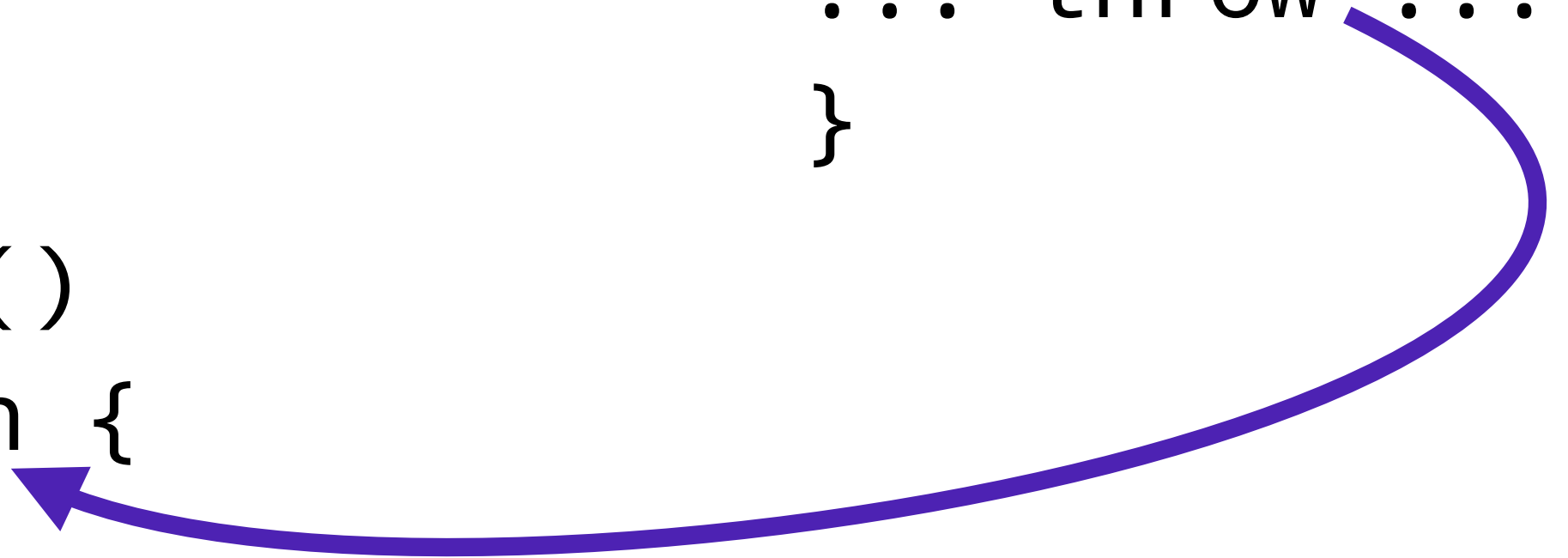
```
try {  
    b.foo()  
} catch {  
}
```



```
a.foo()
```

```
method foo() {  
    ... return ...  
    ... throw ...  
}
```

```
try {  
    b.foo()  
} catch {  
}
```



Program	Variable points-to	Throw-Catch edges	Time
antlr 35KLOC	614	2277	>4 hours
lusearch 87KLOC	348	2378	46 minutes
pmd 55KLOC	343	2284	56 minutes

Bravenboer & Smaragdakis, ISSSTA'09

Program	Variable points-to	Throw-Catch edges	Time
antlr 35KLOC	614 2	2277 65	>4 hours 1.1 hours
lusearch 87KLOC	348 2	2378 59	46 minutes 46 minutes
pmd 55KLOC	343 2	2284 38	56 minutes 22 minutes

Pushdown Exception Flow Analysis

**Run-time  
Techniques at  
Analysis-time**

# Abstract Models of Memory Management\*

Greg Morrisett      Matthias Felleisen      Robert Harper  
Carnegie Mellon      Rice University      Carnegie Mellon  
jgmorris@cs.cmu.edu   matthias@cs.rice.edu   rwh@cs.cmu.edu

## Abstract

Most specifications of garbage collectors concentrate on the low-level algorithmic details of *how* to find and preserve accessible objects. Often, they focus on bit-level manipulations such as “scanning stack frames,” “marking objects,” “tagging data,” *etc.* While these details are important in some contexts, they often obscure the more fundamental aspects of memory management: *what* objects are garbage and *why*?

We develop a series of calculi that are just low-level enough that we can express allocation and garbage collection, yet are sufficiently abstract that we may formally prove the correctness of various memory management strategies. By making the heap of a program syntactically apparent, we can specify memory actions as rewriting rules that allocate values on the heap and automatically dereference pointers to such objects when needed. This formulation permits the specification of garbage collection as a relation that removes portions of the heap without affecting the outcome of the evaluation.

Our high-level approach allows us to specify in a compact manner a wide variety of memory management techniques, including standard trace-based garbage collection (*i.e.*, the family of copying and mark/sweep collection algorithms), generational collection, and type-based, tag-free collection. Furthermore, since the definition of garbage is based on the *semantics* of the underlying language instead of the conservative approximation of inaccessibility, we are able to specify and prove the idea that type inference can be used to collect some objects that are accessible but never used.

\*This work was sponsored in part by the Advanced Research Projects Agency (ARPA), CSTO, under the title “The Fox Project: Advanced Development of Systems Software,” ARPA Order No. 8313, issued by ESD/AVS under Contract No. F19628-91-C-0168, Wright Laboratory, Aeronautical Systems Center, Air Force Materiel Command, USAF, and ARPA grant No. F33615-93-1-1330. Views and conclusions contained in this document are those of the authors and should not be interpreted as necessarily representing official policies or endorsements, either expressed or implied, of Wright Laboratory or the United States Government.

Permission to make digital/hard copies of all or part of this material without fee is granted provided that the copies are not made or distributed for profit or commercial advantage, the ACM copyright/server notice, the title of the publication and its date appear, and notice is given that copyright is by permission of the Association for Computing Machinery, Inc. (ACM). To copy otherwise, to republish, to post on servers or to redistribute to lists, requires specific permission and/or fee.  
FPCA '95 La Jolla, CA USA © 1995 ACM 0-89791-7795/0006...\$3.50

## 1 Memory Safety

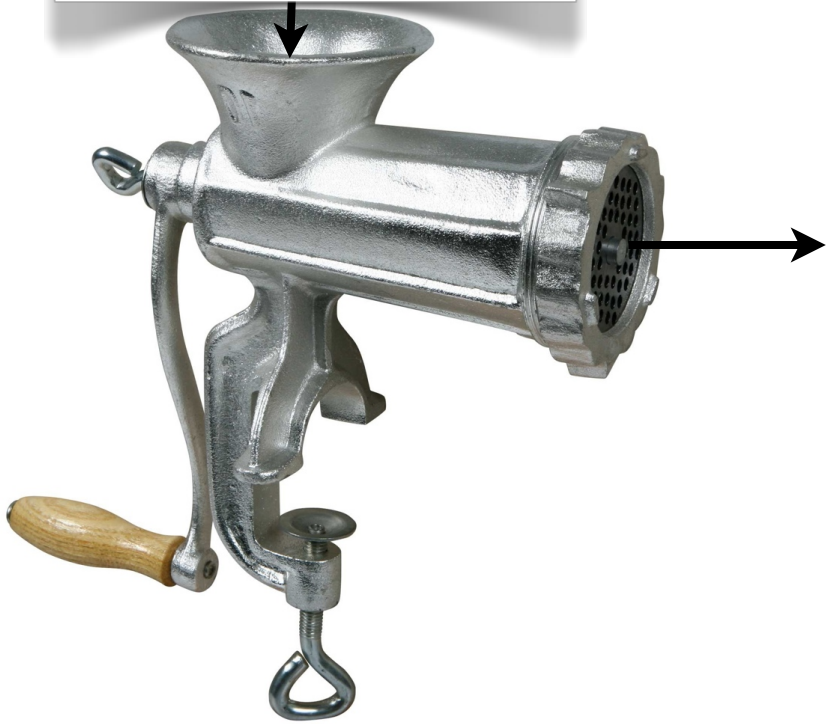
Advanced programming languages manage memory allocation and deallocation automatically. Automatic memory managers, or garbage collectors, significantly facilitate the programming process because programmers can rely on the language implementation for the delicate tasks of finding and freeing unneeded objects. Indeed, the presence of a garbage collector ensures *memory safety* in the same way that a type system guarantees *type safety*: no program written in an advanced programming language will crash due to dangling pointer problems while allocation, access, and deallocation are transparent. However, in contrast to type systems, memory management strategies and particularly garbage collectors rarely come with a compact formulation and a formal proof of soundness. Since garbage collectors work on the machine representations of abstract values, the very idea of providing a proof of memory safety sounds unrealistic given the lack of simple models of memory operations.

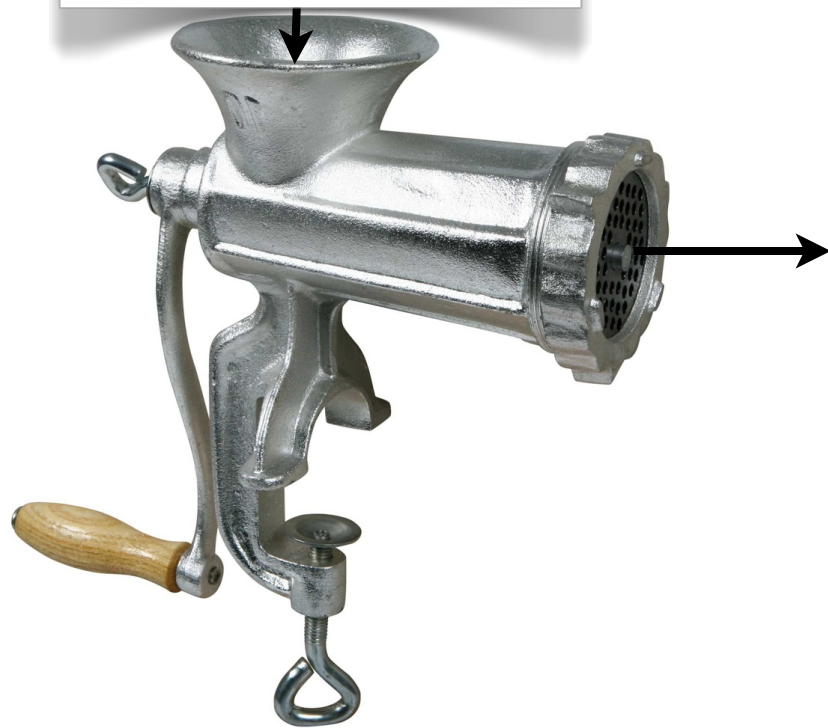
The recently developed syntactic approaches to the specification of language semantics by Felleisen and Hieb [11] and Mason and Talcott [18, 19] are the first execution models that are intensional enough to permit the specification of memory management actions and yet are sufficiently abstract to permit compact proofs of important properties. Starting from the  $\lambda_v$ -S calculus of Felleisen and Hieb, we design compact specifications of a number of memory management ideas and prove several correctness theorems.

The basic idea underlying the development of our garbage collection calculi is the representation of a program's run-time memory as a global series of syntactic declarations. The program evaluation rules allocate large objects in the global declaration, which represents the heap, and automatically dereference pointers to such objects when needed. As a result, garbage collection can be specified as any relation that removes portions of the current heap without affecting the result of a program's execution.

In Section 2, we present a small functional programming language,  $\lambda_{gc}$ , with a rewriting semantics that makes allocation explicit. We define a semantic notion of garbage collection for  $\lambda_{gc}$  and prove that there is no *optimal* collection strategy that is computable. In Section 3, we specify the “free-variable” garbage collection rule which models trace-based collectors including mark/sweep and copying collectors. We prove that the free-variable rule is correct and provide two “implementations” at the syntactic level: the first corresponds to a copying collector, the second to a generational one.

In Section 4, we formalize so-called “tag-free” collection algorithms for explicitly-typed, monomorphic languages such as Pascal and Algol [7, 29, 8]. We show how to *recover*





Improved precision and efficiency via abstract GC



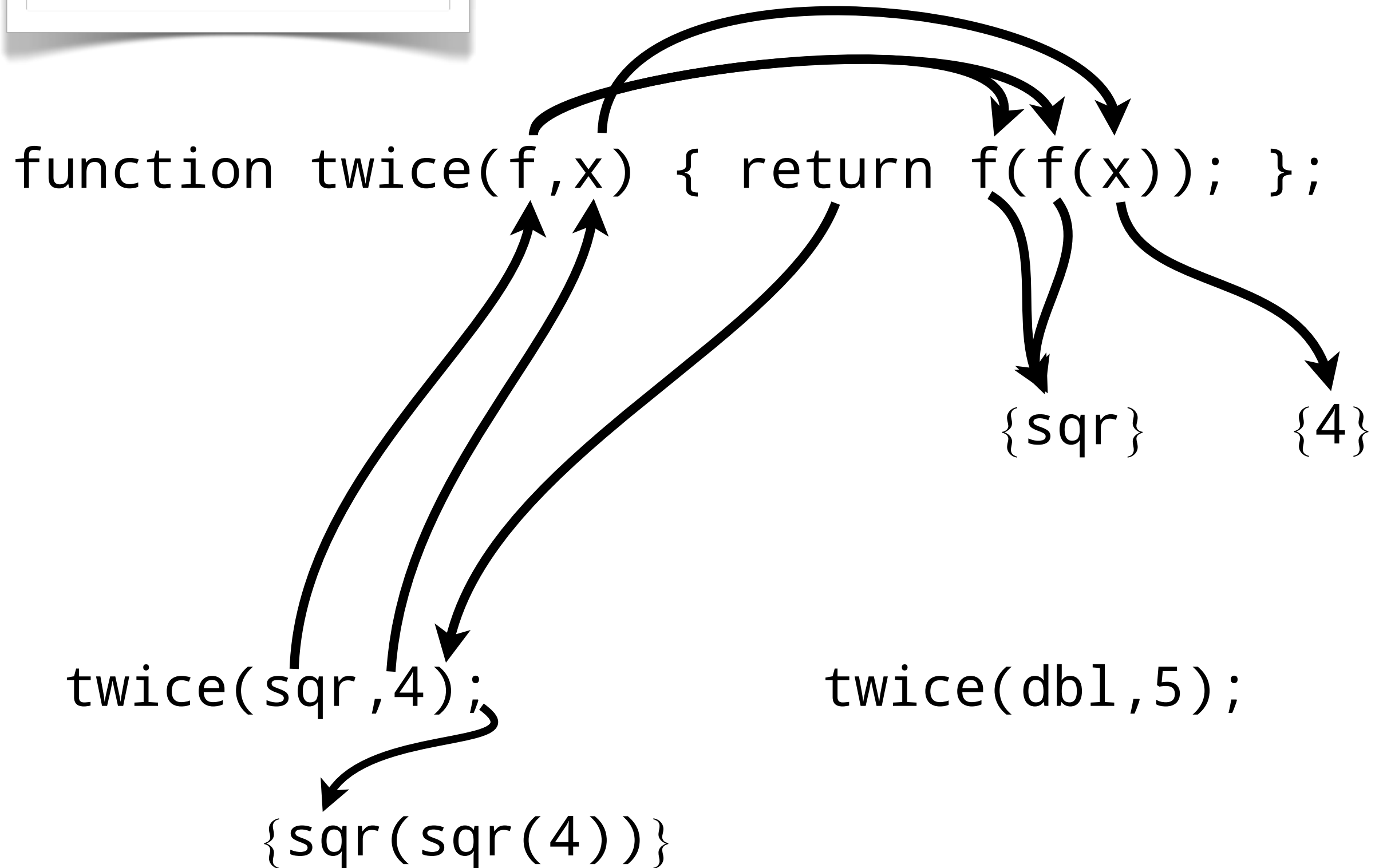
OCFA + GC

```
function twice(f,x) { return f(f(x)); };
```

```
twice(sqr,4);
```

```
twice(dbl,5);
```

OCFA + GC



OCFA + GC

```
function twice(f,x) { return f(f(x)); }
```

```
twice(sqr,4);
```



```
{sqr(sqr(4))}
```

```
twice(db1,5);
```

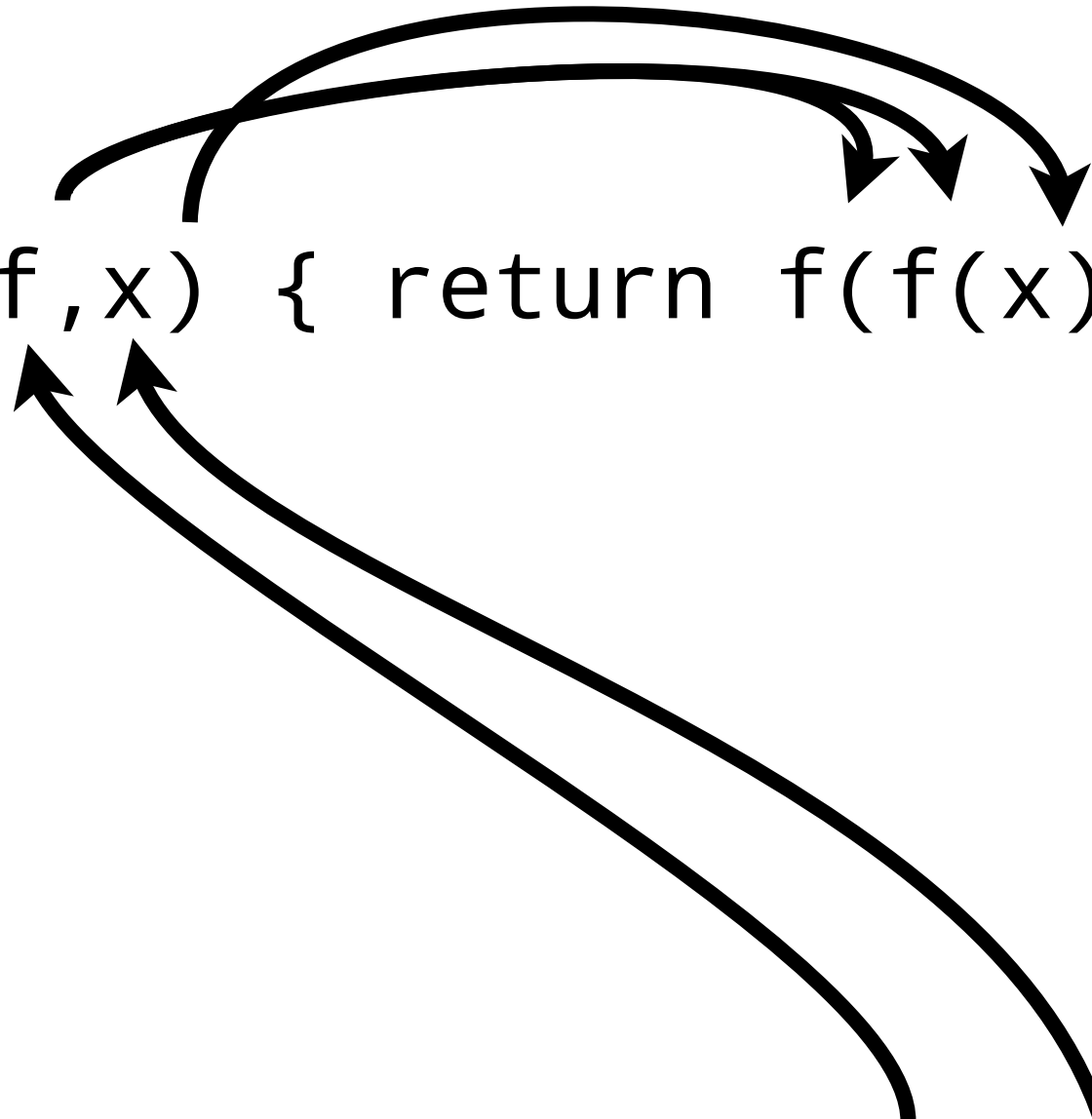
OCFA + GC

```
function twice(f,x) { return f(f(x)); };
```

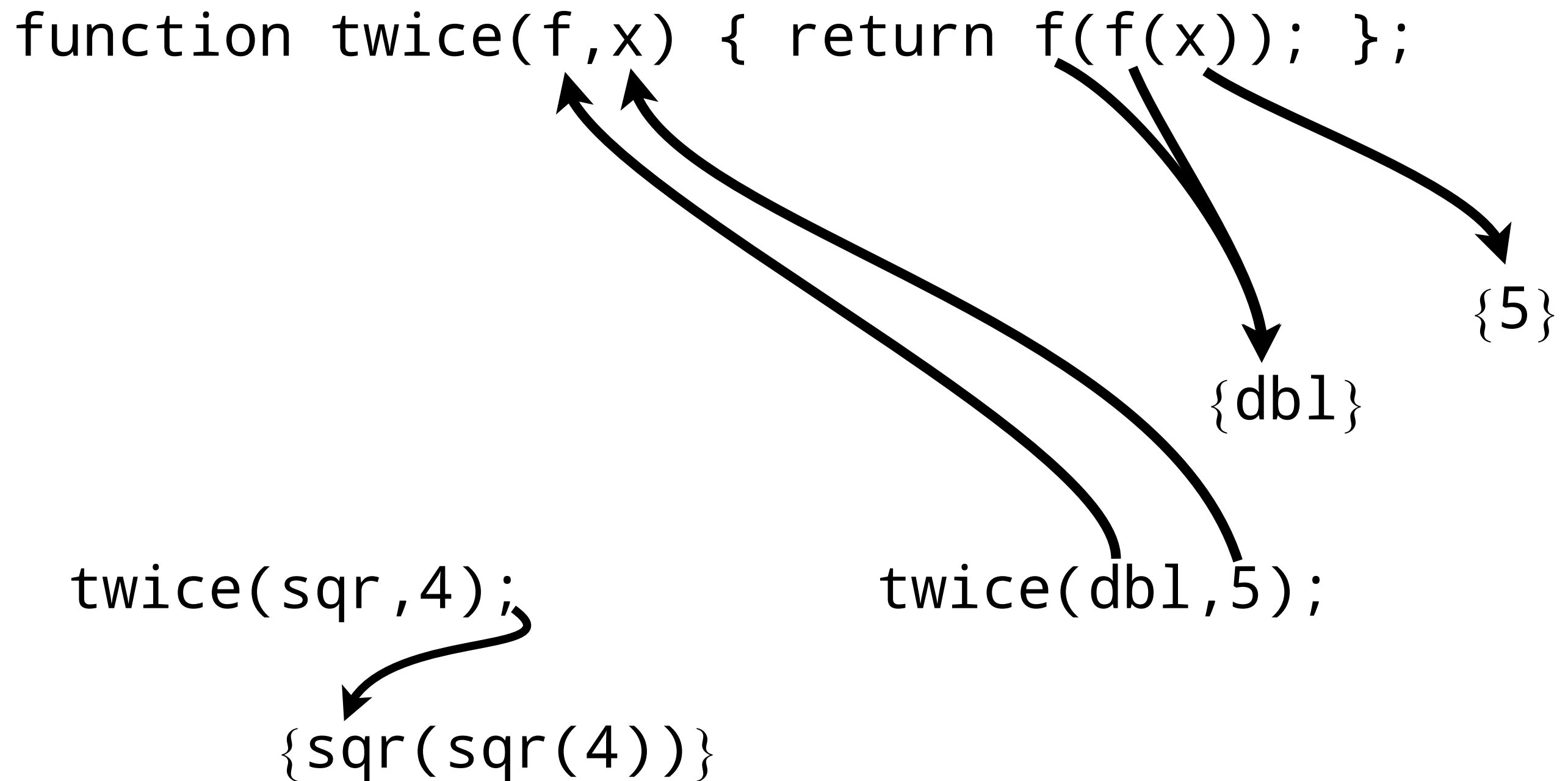
```
twice(sqr,4);
```

```
{sqr(sqr(4))}
```

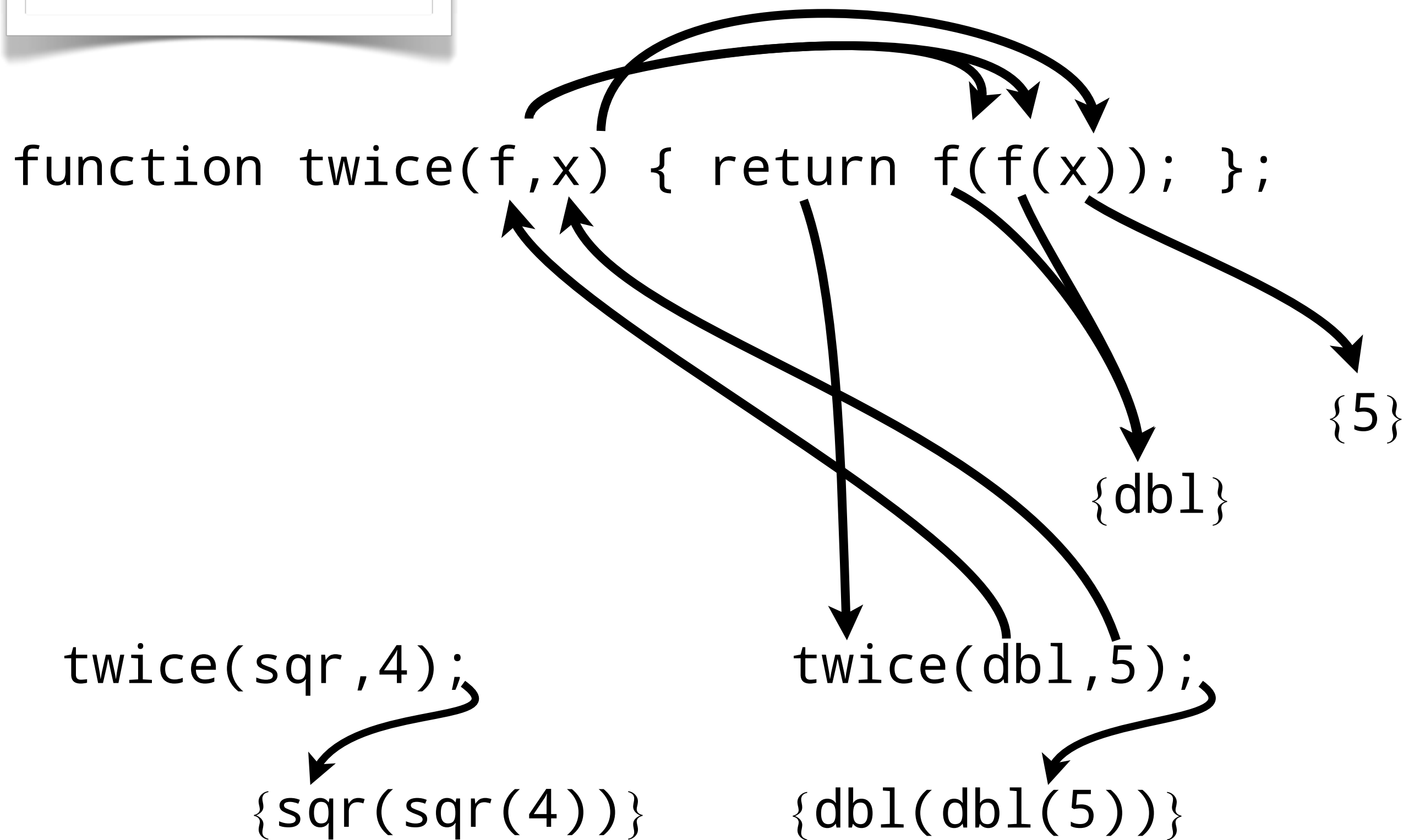
```
twice(dbl,5);
```

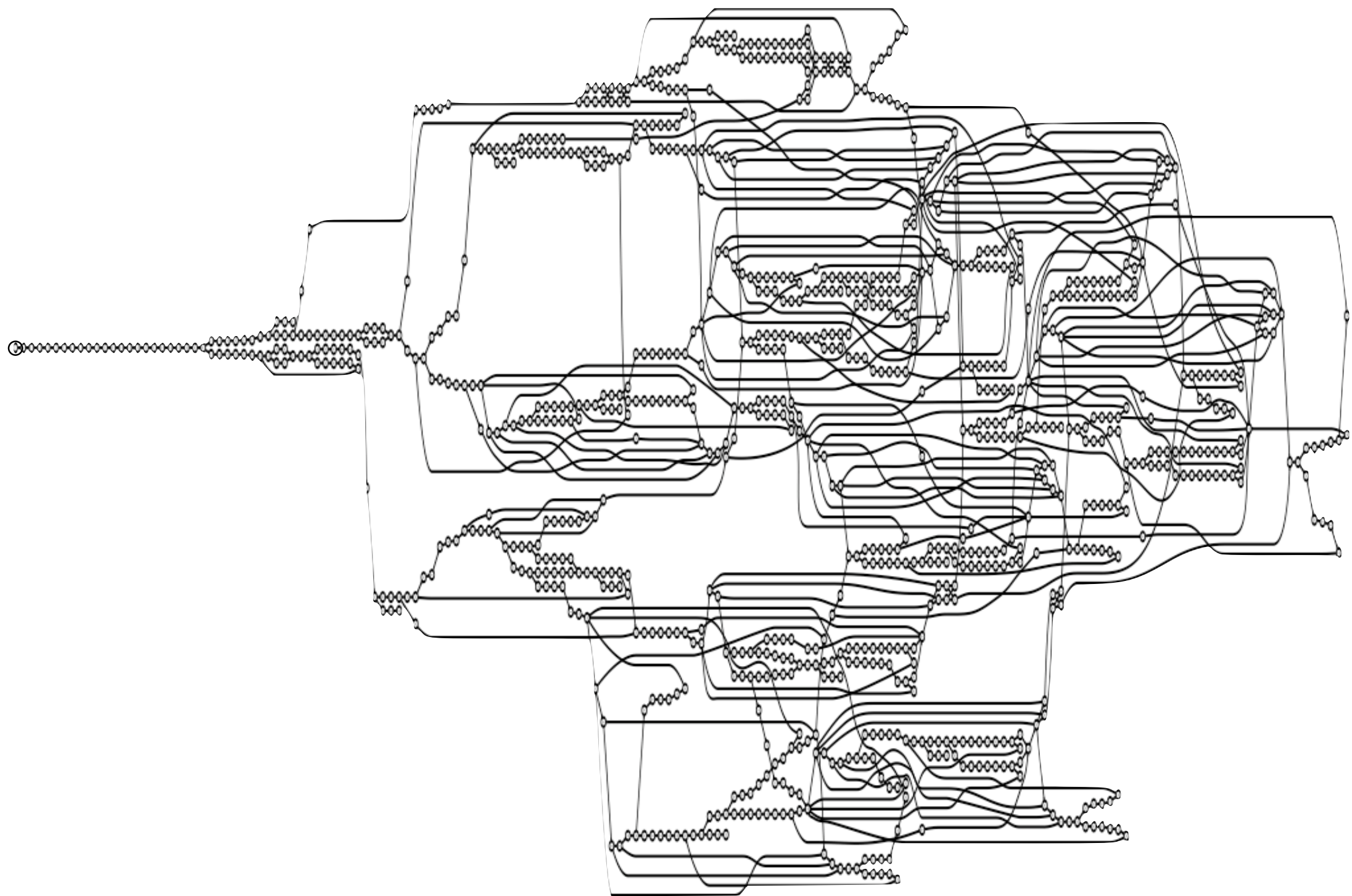



OCFA + GC



OCFA + GC

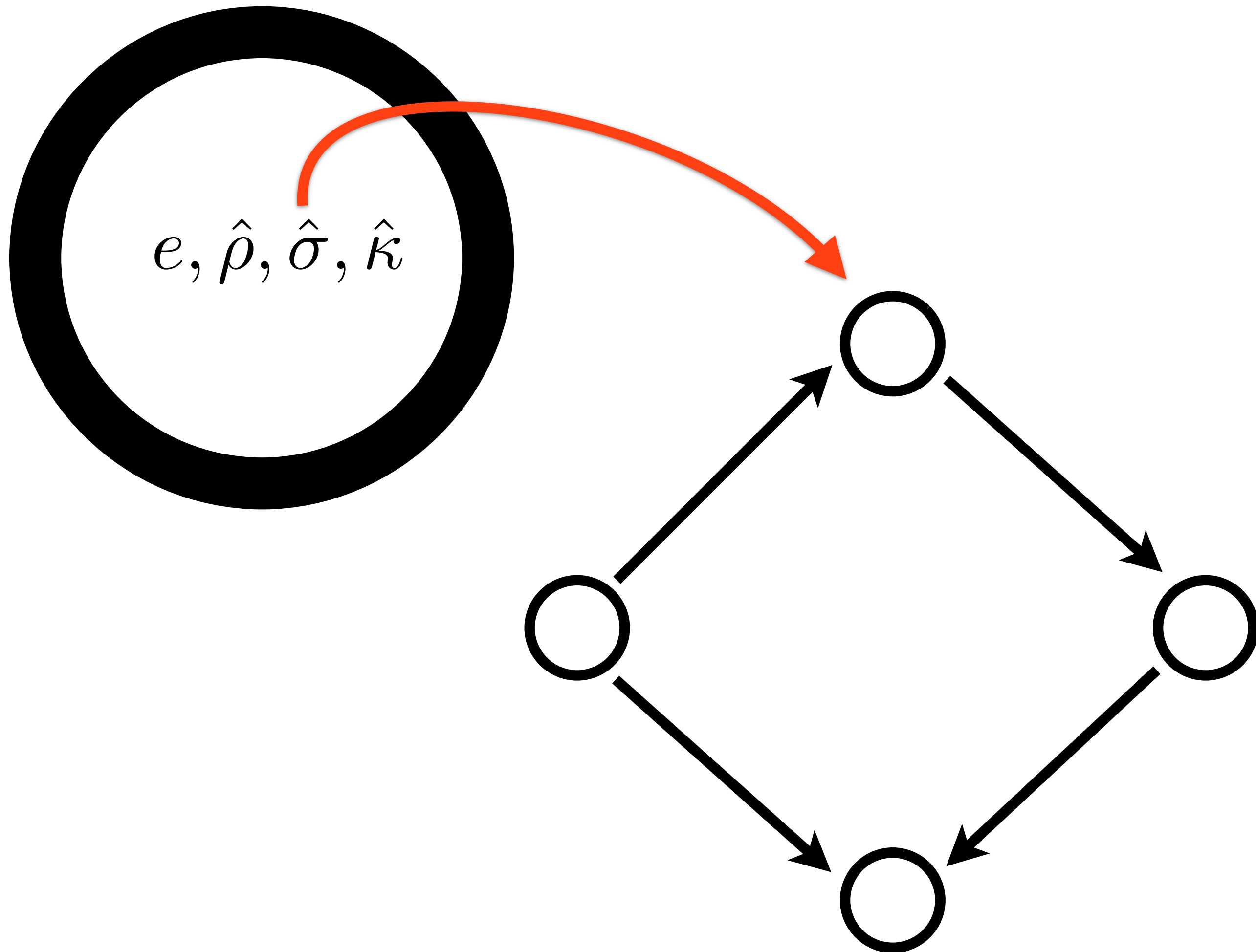


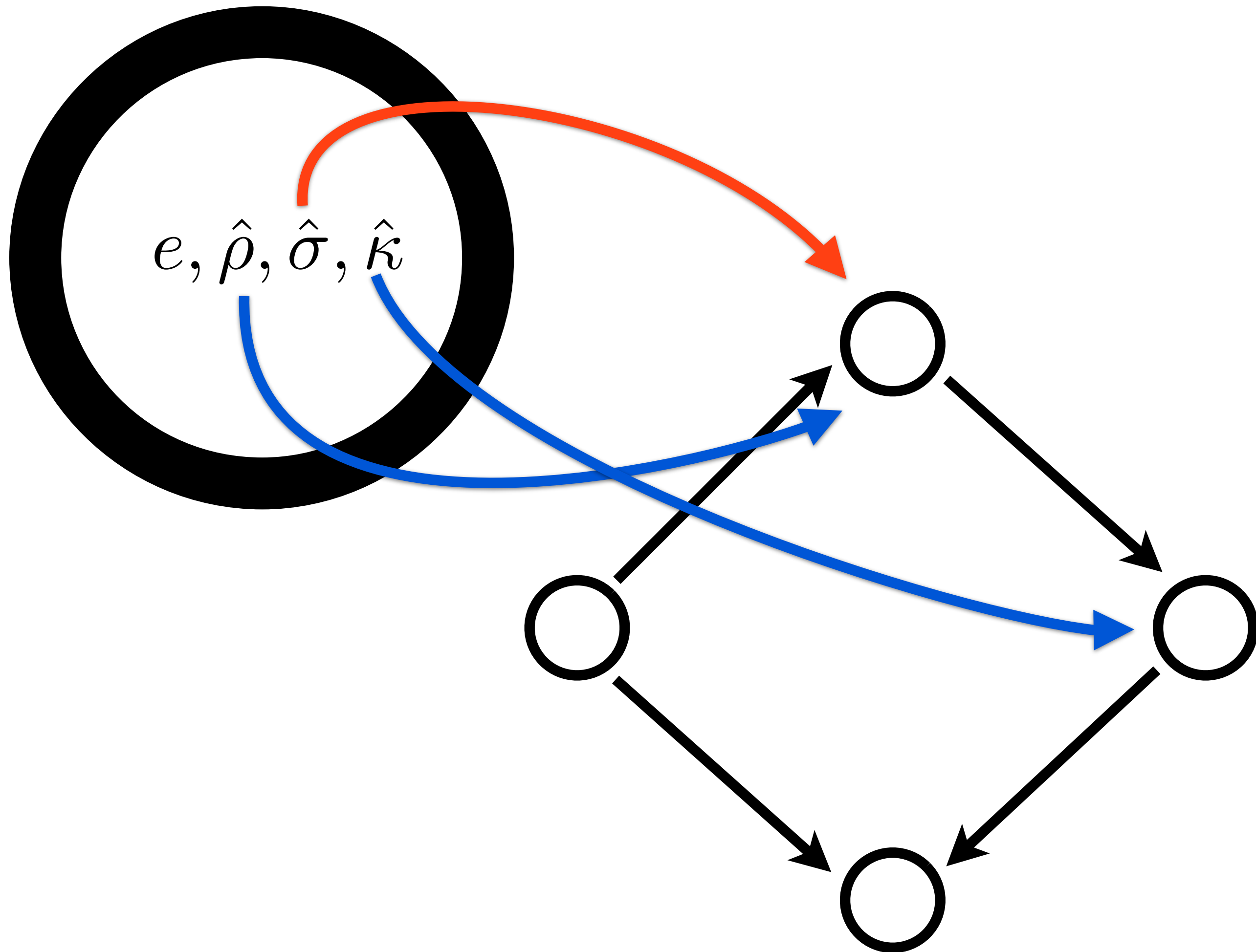


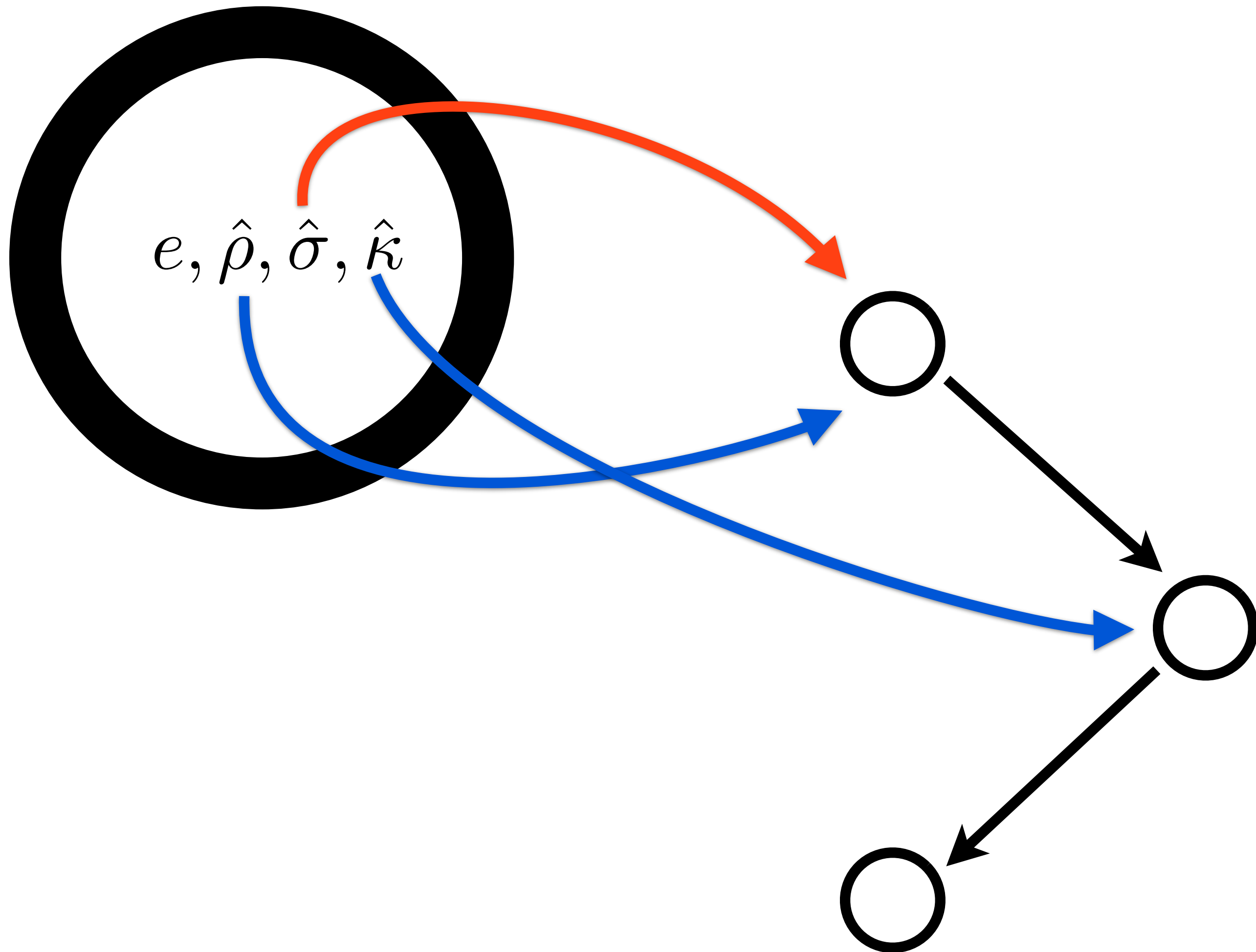



$e, \hat{\rho}, \hat{\sigma}, \hat{\kappa}$



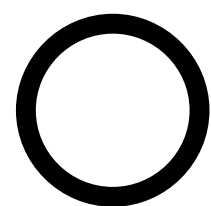


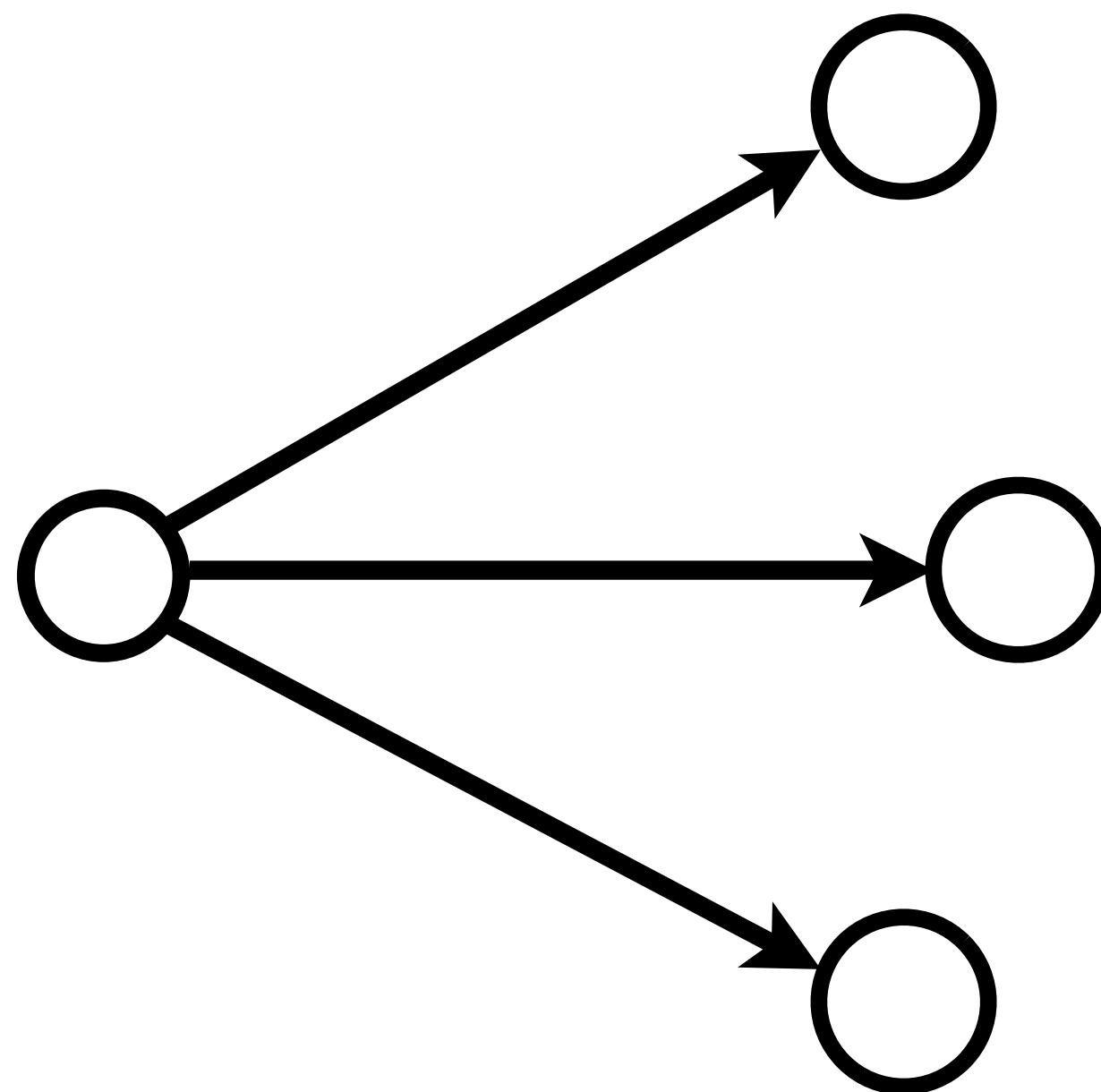




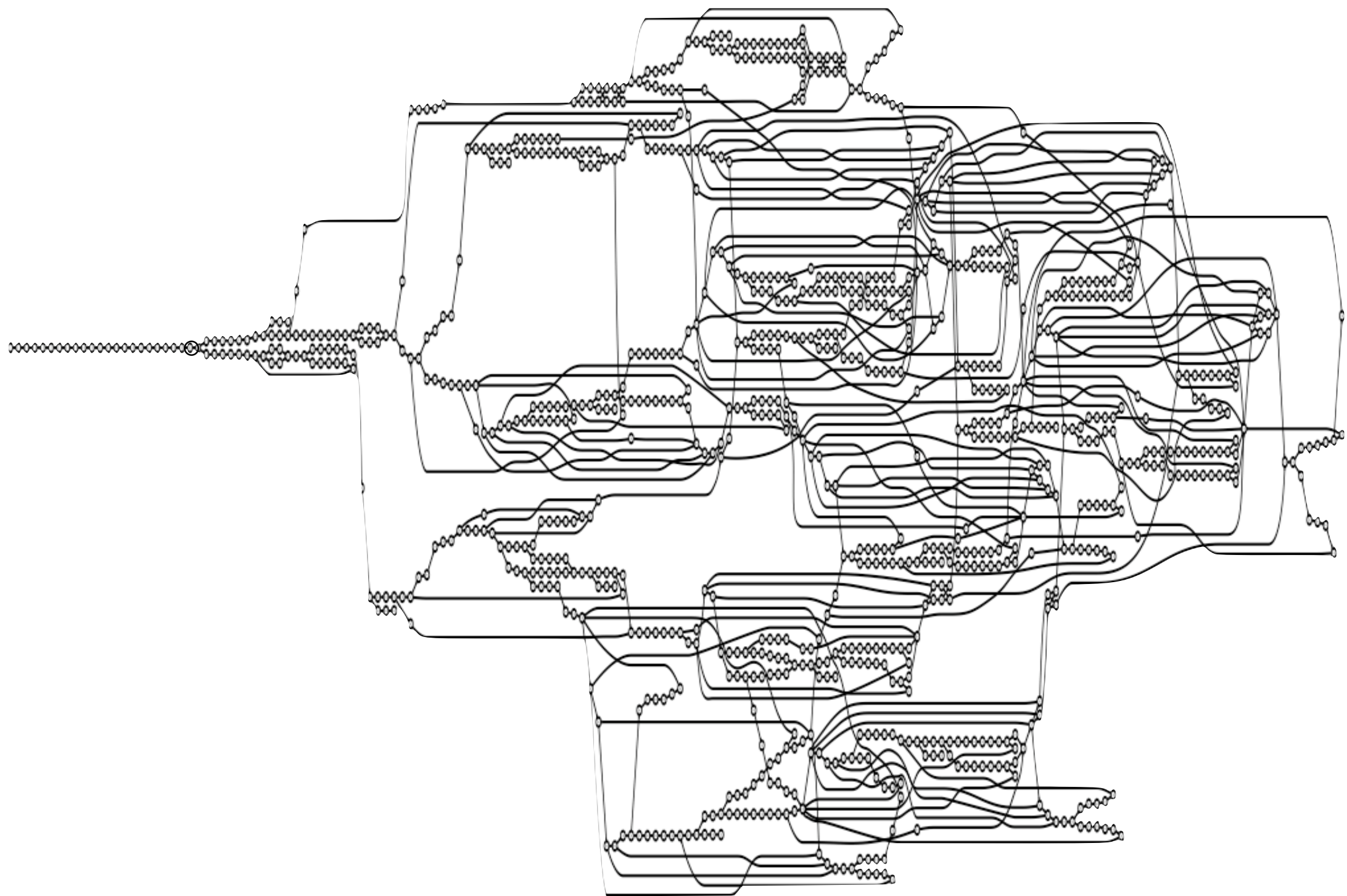


$e, \hat{\rho}, \hat{\sigma}', \hat{k}$

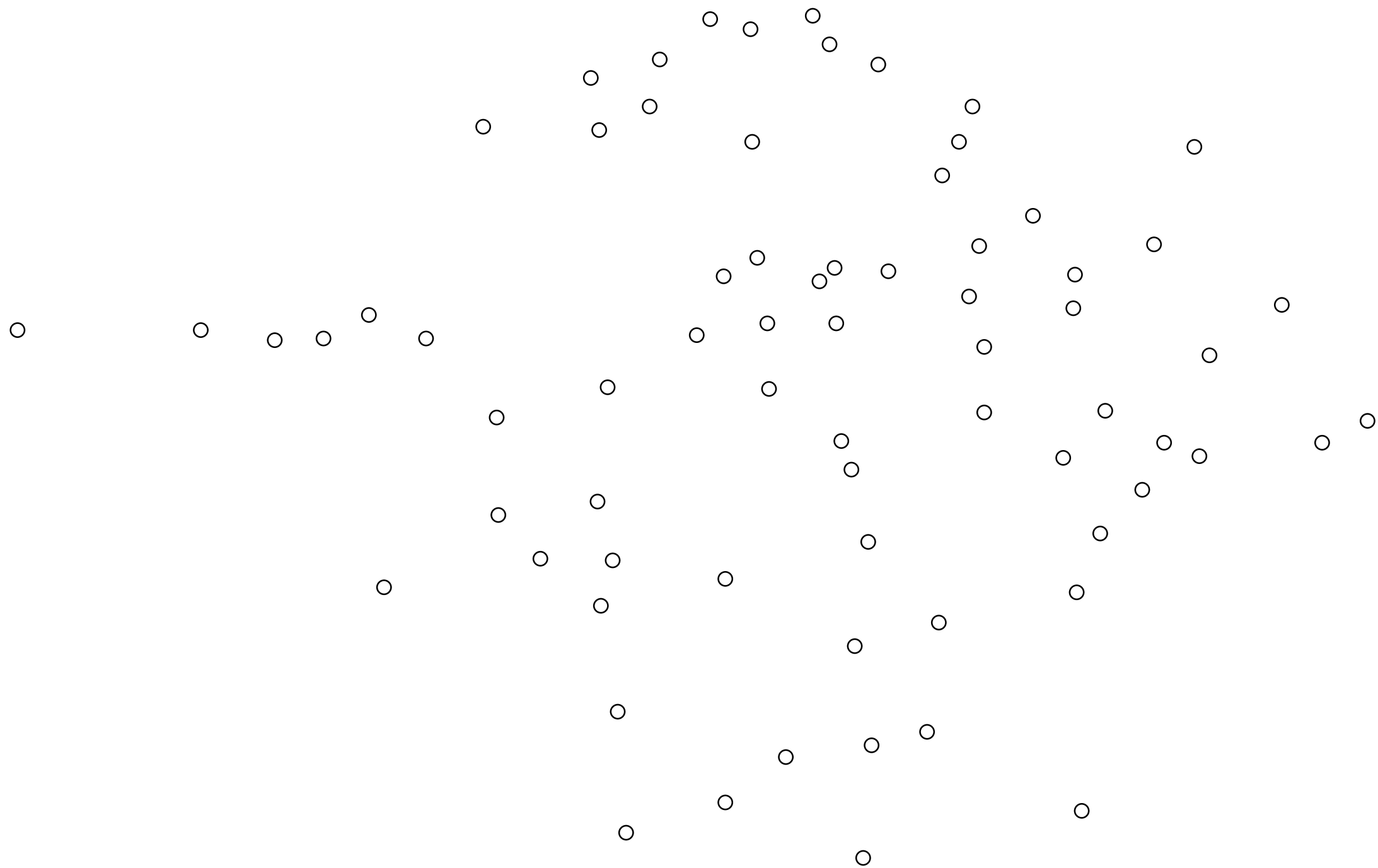


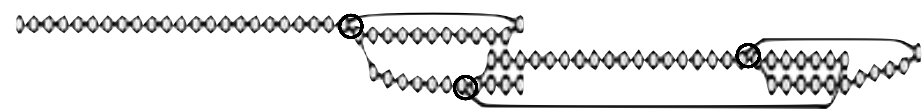






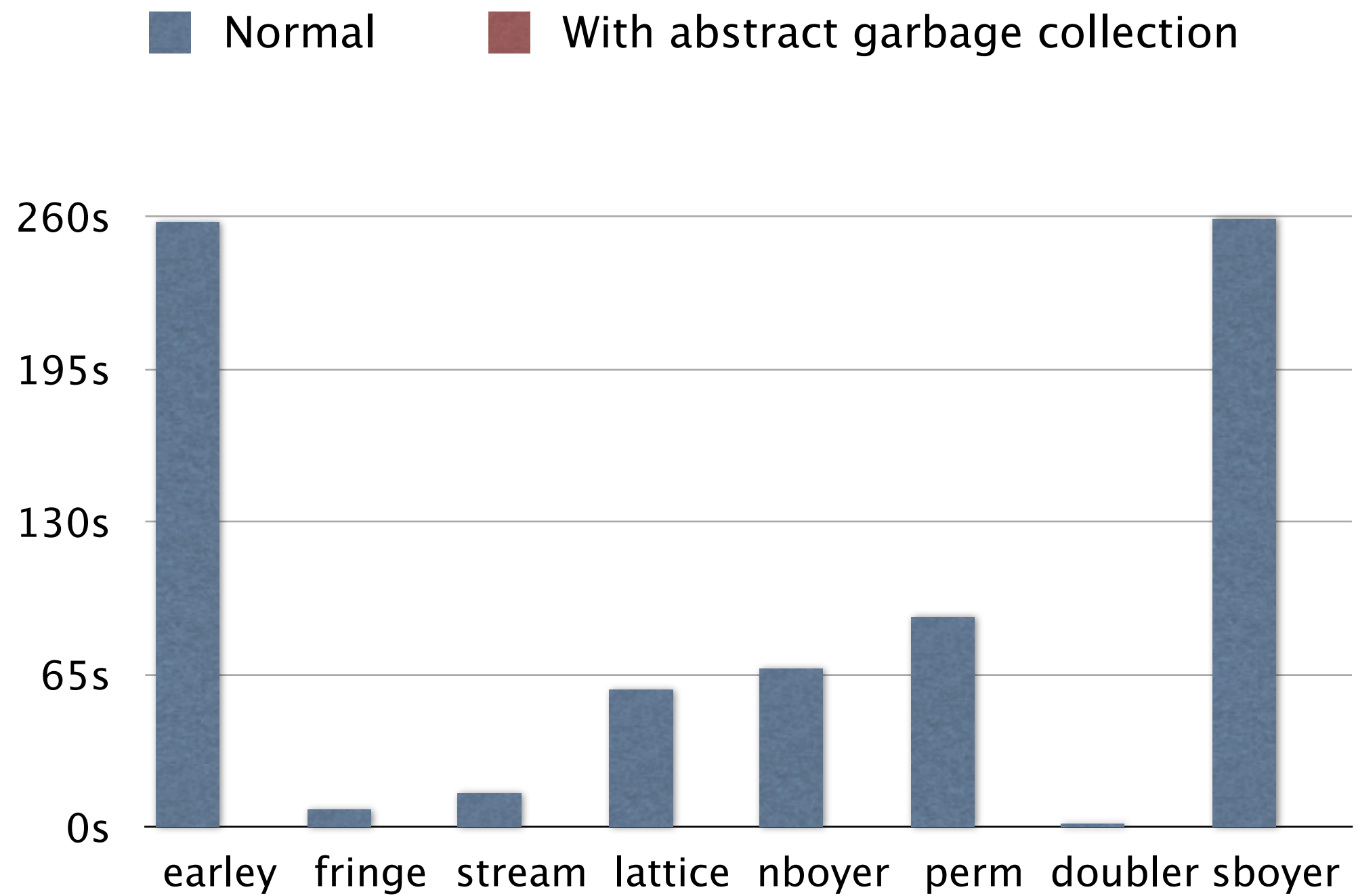




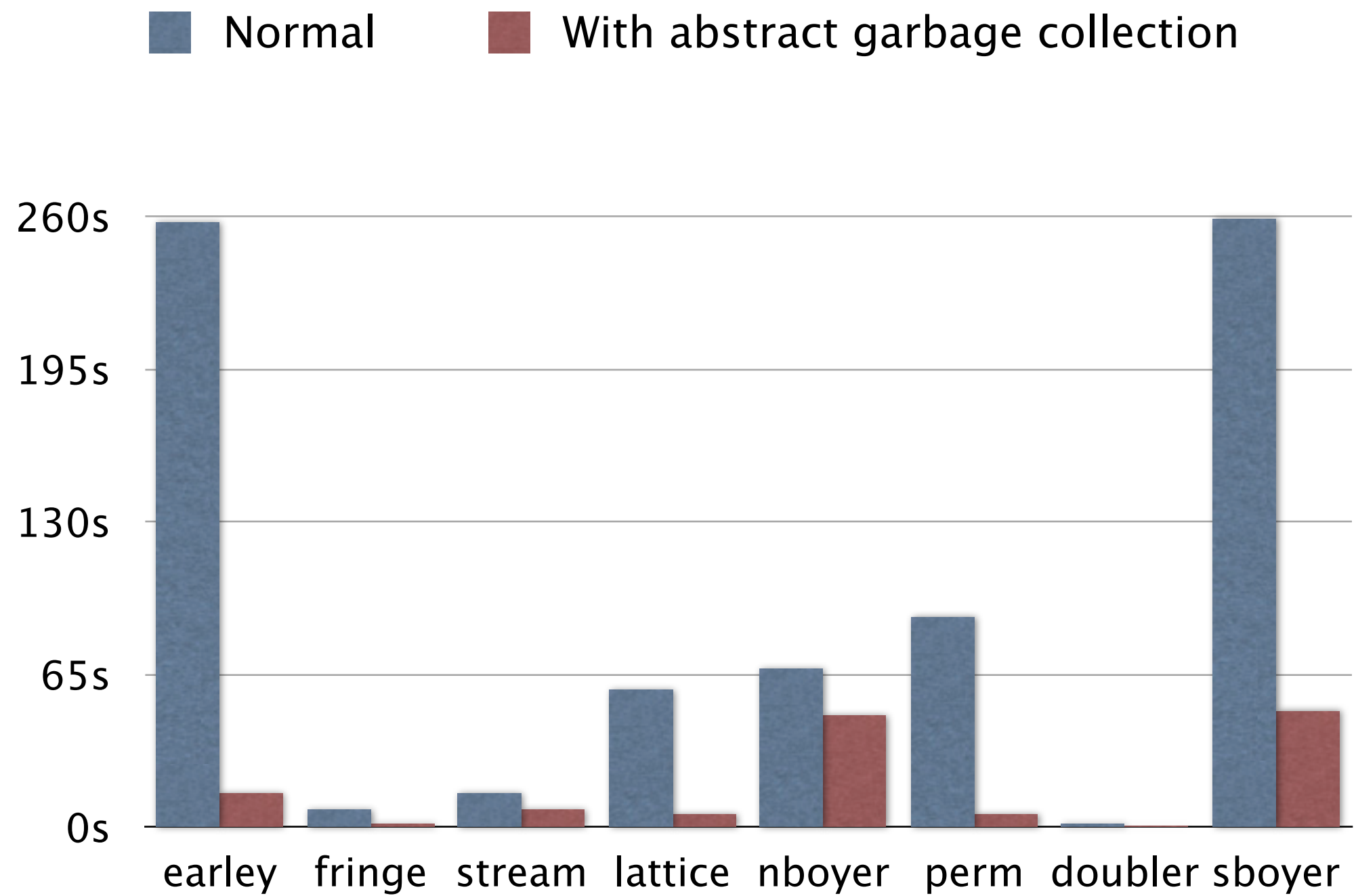


■ Normal      ■ With abstract garbage collection

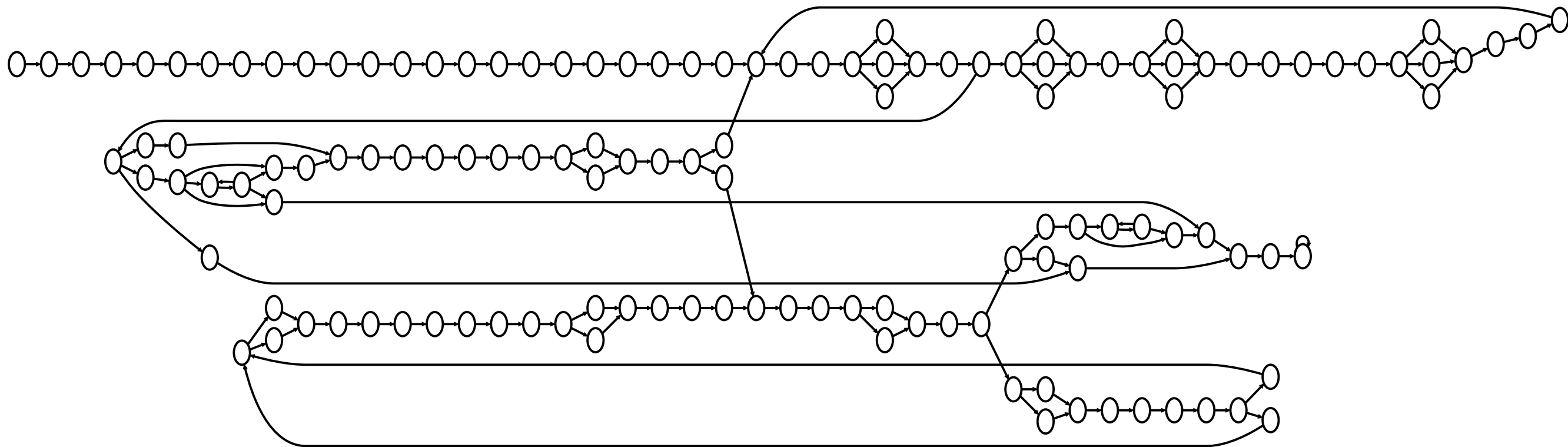
Analysis time



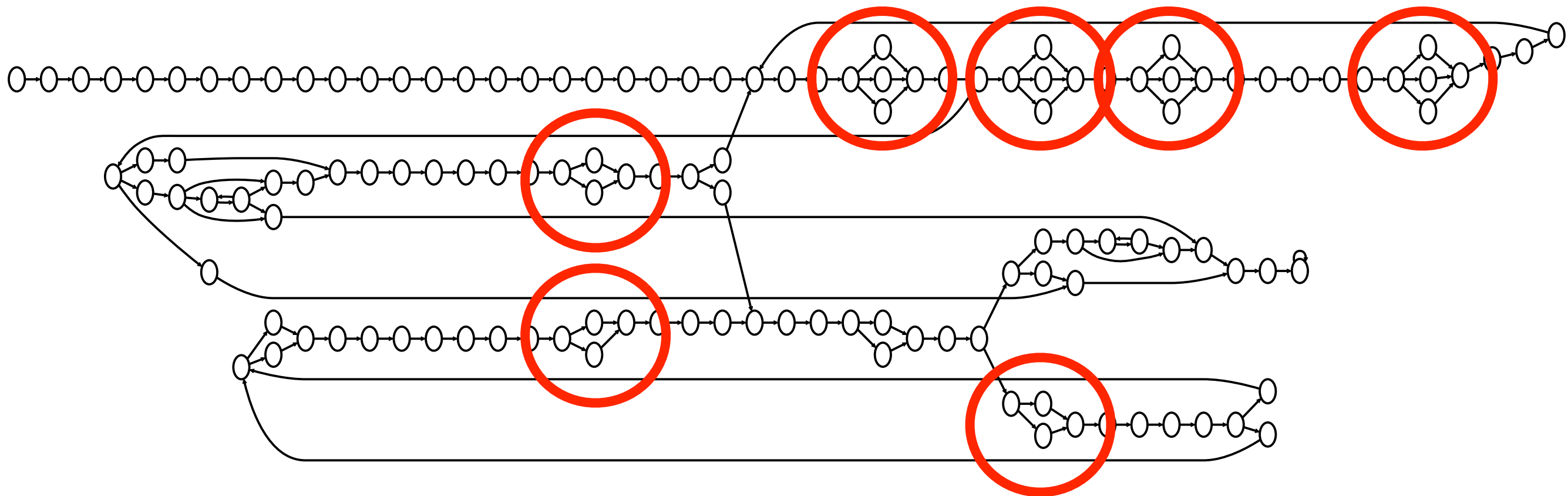
Analysis time



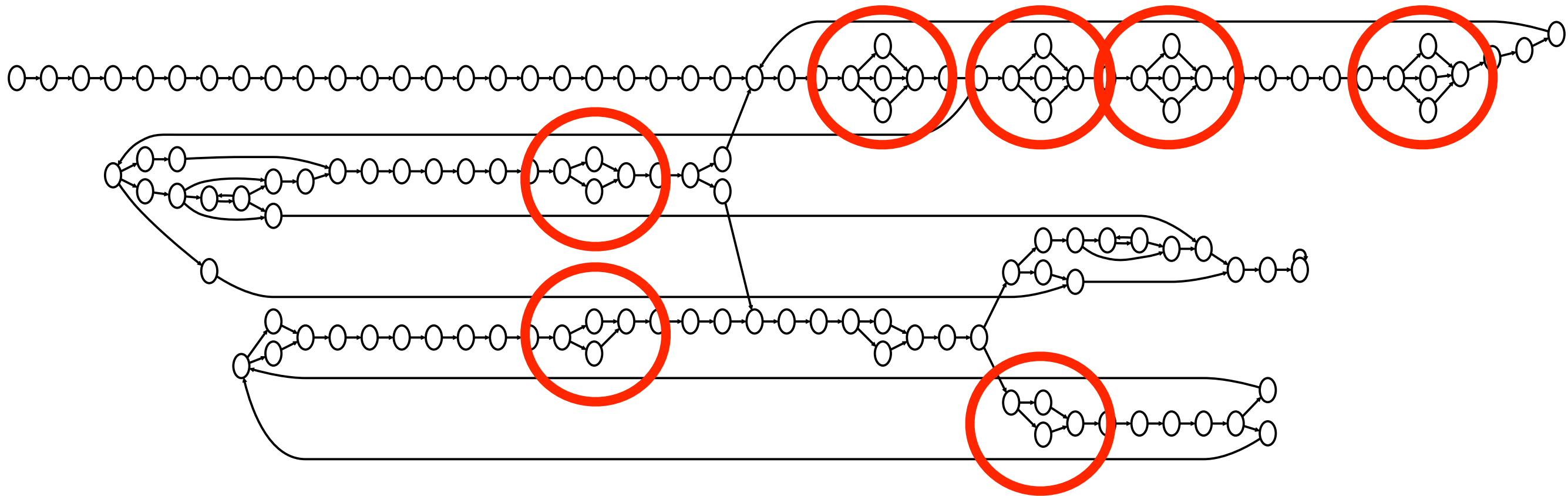
Analysis time



# PROBLEM: NEEDLESS NON-DETERMINISM



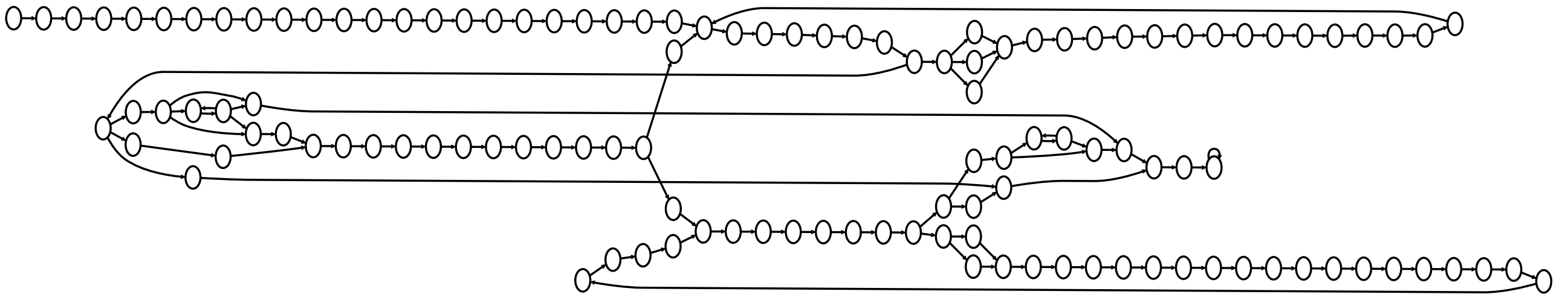
**PROBLEM: NEEDLESS NON-DETERMINISM**



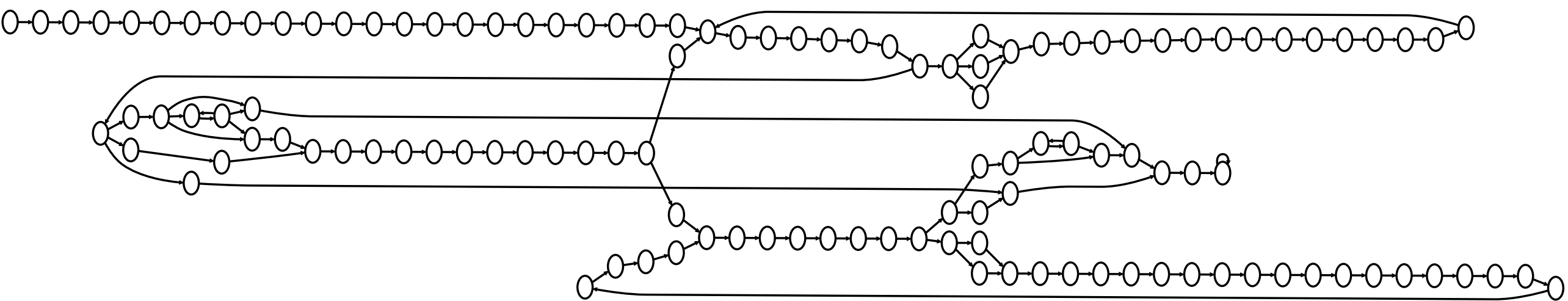
**SOLUTION: LAZY NON-DETERMINISM**



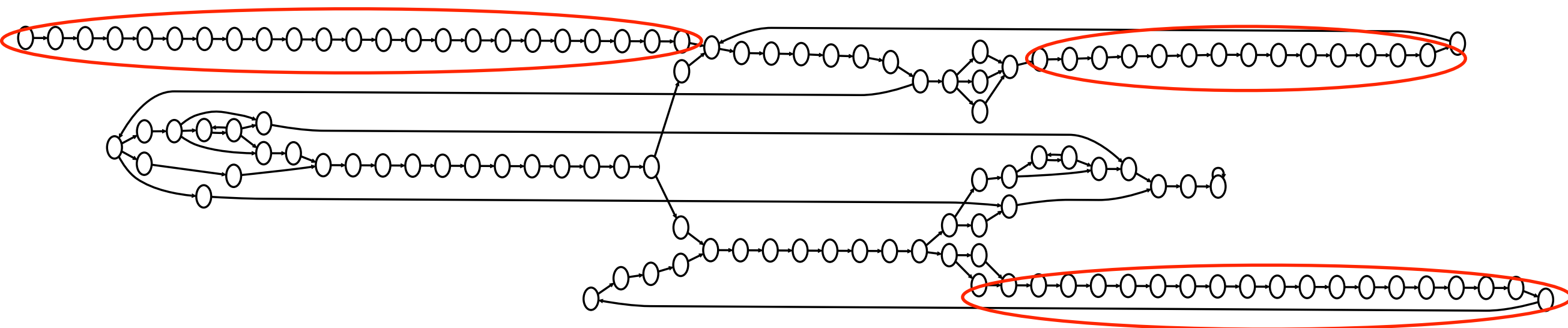
**PROBLEM: NEEDLESS NON-DETERMINISM**



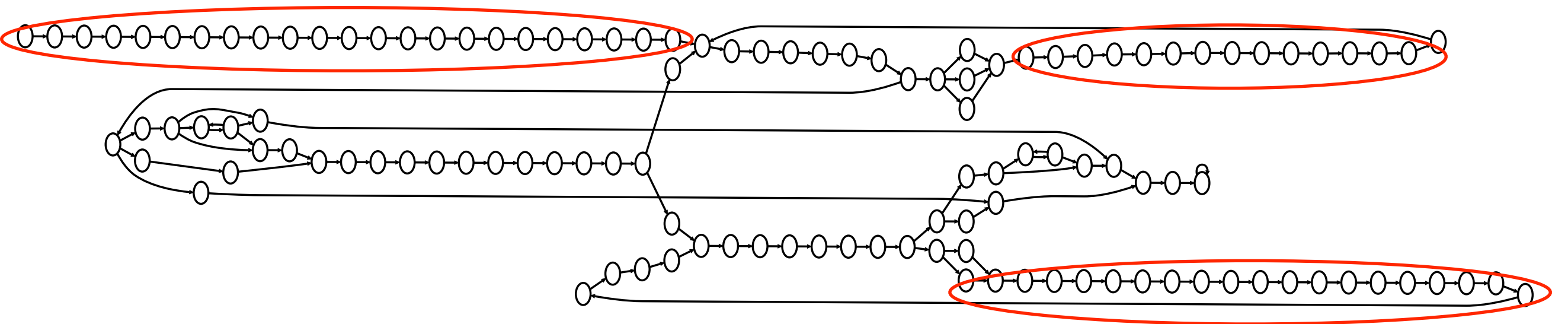
**SOLUTION: LAZY NON-DETERMINISM**



# PROBLEM: LONG CORRIDORS

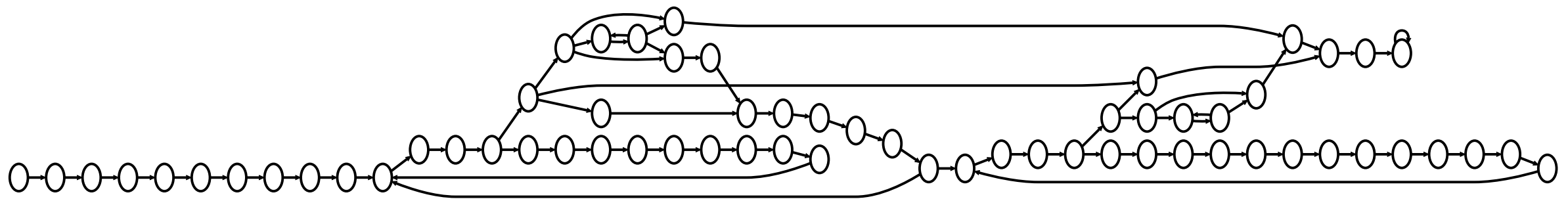


# PROBLEM: LONG CORRIDORS

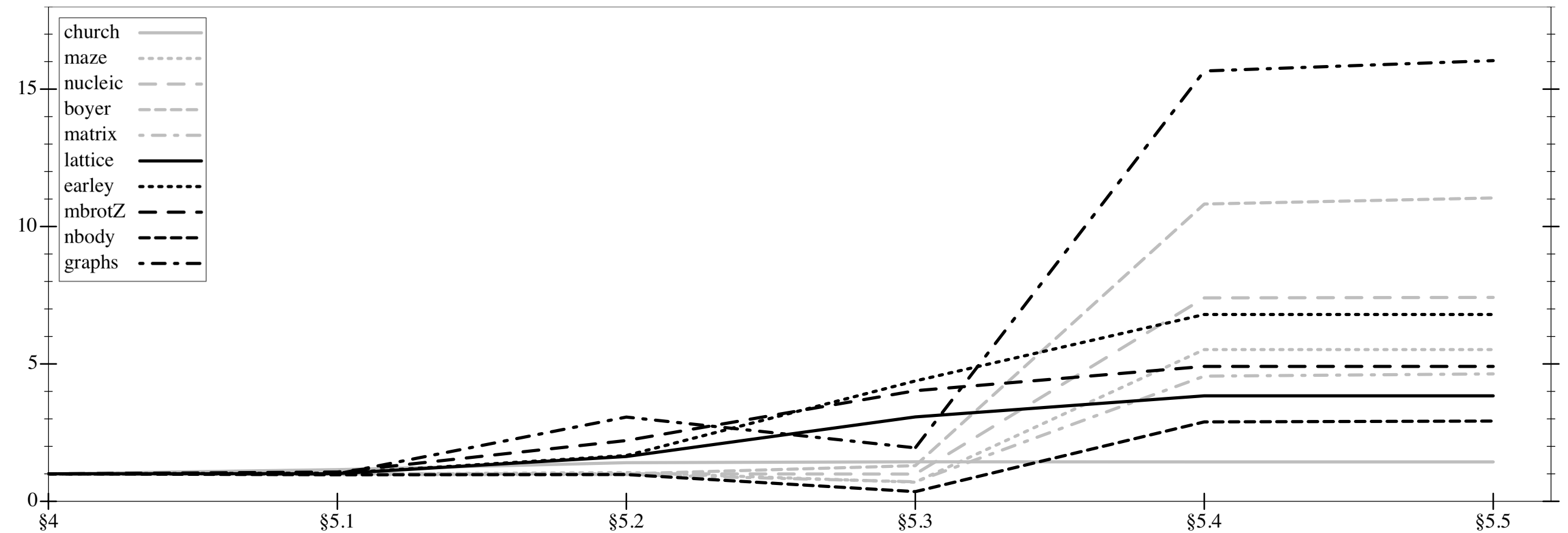


**SOLUTION: ABSTRACT COMPILATION**

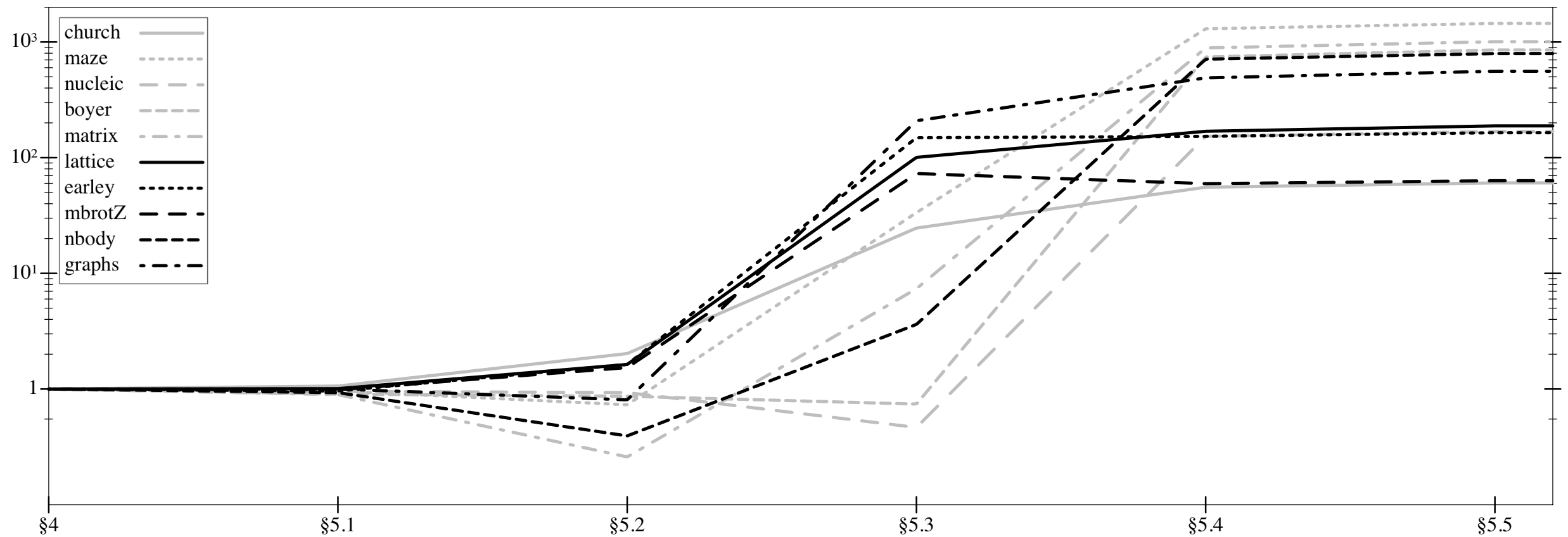
# PROBLEM: LONG CORRIDORS



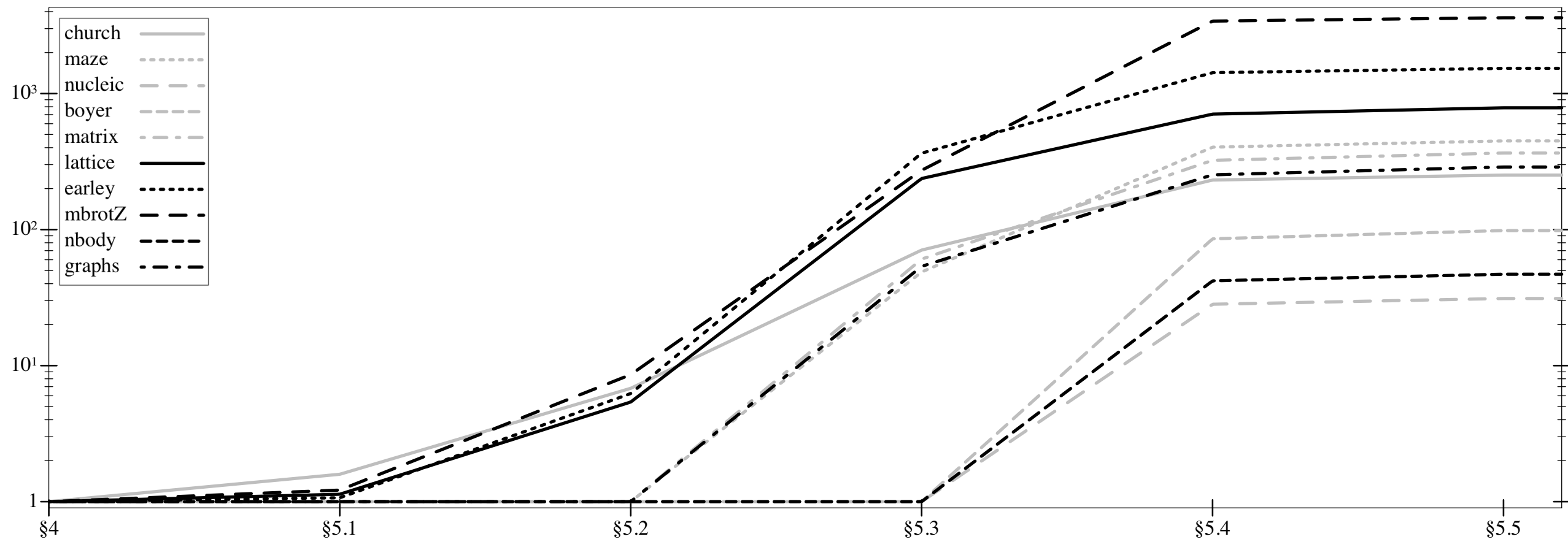
# SOLUTION: ABSTRACT COMPILATION



**FACTOR IMPROVEMENT OF  
PEAK MEMORY USAGE**



**FACTOR IMPROVEMENT OF  
SPEED OF TRANSITIONS**



**FACTOR IMPROVEMENT OF  
ANALYSIS TIME**



# **Behavioral Software Contract Verification**

```
/**
 * @param left a sorted list of elements
 * @param right a sorted list of elements
 * @return the contents of the two lists, merged, sorted
 */
List merge(List left, List right);
```

```
@Requires({
    "Collections.isSorted(left)",
    "Collections.isSorted(right)"
})
@Ensures({
    "Collections.containsSame(result, Lists.concatenate(left, right))",
    "Collections.isSorted(result)"
})
List merge(List left, List right);
```

```

/**
 * @param left a sorted list of
 * @param right a sorted list of
 * @return the contents of the
 */
List merge(List left, List right)

```

```

@Requires({
  "Collections.isSorted(left)",
  "Collections.isSorted(right)"
})
@Ensures({
  "Collections.containsSame(result, left)",
  "Collections.isSorted(result)"
})
List merge(List left, List right);

```

snake.rktl - DrRacket

snake.rktl (define ...) Debug Check Syntax Macro Stepper Run Stop

```

#lang racket/load
;; -- Primitive modules
(module image racket
  (require 2htdp/image)
  (provide/contract
    [image? (any/c . -> . boolean?)]
    [circle (exact-nonnegative-integer? string? string? . -> . image?)
     [empty-scene (exact-nonnegative-integer? exact-nonnegative-integer?
      [place-image (image? exact-nonnegative-integer? exact-nonnegative-integer?
        . -> . image?)])])])

;; -- Source
(module data racket
  (struct posn (x y))
  (struct snake (dir segs))
  (struct world (snake food))

  ;; Contracts
  (define direction/c
    (one-of/c 'up 'down 'left 'right))
  (define posn/c
    (struct/c posn
      exact-nonnegative-integer?
      exact-nonnegative-integer?))
  (define snake/c
    (struct/c snake
      direction/c
      (non-empty-listof posn/c)))
  (define world/c
    (struct/c world
      snake/c
      posn/c))

  ;; posn=? : Posn Posn -> Boolean
  .. Are the posns the same?

```

Welcome to [DrRacket](#), version 5.3.1.1--2012-10-13(2b902d0e/d) [3m].  
 Language: [racket/load](#) [custom]; memory limit: 1024 MB.  
 >

Determine language from source custom 4:23 196.14 MB

```
/**
 * @param left a sorted list of
 * @param right a sorted list of
 * @return the contents of the
 */
List merge(List left, List right)
```

```
@Requires({
    "Collections.isSorted(left)",
    "Collections.isSorted(right)"
})
@Ensures({
    "Collections.containsSame(result, List left)",
    "Collections.isSorted(result)"
})
List merge(List left, List right);
```

The screenshot shows the DrRacket IDE with a Racket program loaded. The program defines a snake game world and a function to check if two positions are the same. The program is as follows:

```
#lang racket/load
;; -- Primitive modules
(module image racket
  (require 2htdp/image)
  (provide/contract
    [image? (any/c . -> . boolean?)]
    [circle (exact-nonnegative-integer? string? string? . -> . image?)
     [empty-scene (exact-nonnegative-integer? exact-nonnegative-integer?
                  [place-image (image? exact-nonnegative-integer? exact-nonnegative-integer?

;; -- Source
(module data racket
  (struct posn (x y))
  (struct snake (dir segs))
  (struct world (snake food))

  ;; Contracts
  (define direction/c
    (one-of/c 'up 'down 'left 'right))
  (define posn/c
    (struct/c posn
              exact-nonnegative-integer?
              exact-nonnegative-integer?))
  (define snake/c
    (struct/c snake
              direction/c
              (non-empty-listof posn/c)))
  (define world/c
    (struct/c world
              snake/c
              posn/c))

  ;; posn=? : Posn Posn -> Boolean
  .. Are the posns the same?
```

The program is executed, and the output window shows the following message:

```
Welcome to DrRacket, version 5.3.1.1--2012-10-13(2b902d0e/d) [3m].
Language: racket/load [custom]; memory limit: 1024 MB.
>
```

The main window displays a visual representation of the snake game world. It shows a snake (a red circle) moving towards the right, and a world (a red circle) containing the snake. The snake is currently at the top of the world.



# Contracts for Higher-Order Functions

Robert Bruce Findler<sup>1</sup>    Matthias Felleisen  
Northeastern University  
College of Computer Science  
Boston, Massachusetts 02115, USA

## Abstract

Assertions play an important role in the construction of robust software. Their use in programming languages dates back to the 1970s. Eiffel, an object-oriented programming language, wholeheartedly adopted assertions and developed the “Design by Contract” philosophy. Indeed, the entire object-oriented community recognizes the value of assertion-based contracts on methods.

In contrast, languages with higher-order functions do not support assertion-based contracts. Because predicates on functions are, in general, undecidable, specifying such predicates appears to be meaningless. Instead, the functional languages community developed type systems that statically approximate interesting predicates.

In this paper, we show how to support higher-order function contracts in a theoretically well-founded and practically viable manner. Specifically, we introduce  $\lambda^{\text{CON}}$ , a typed lambda calculus with assertions for higher-order functions. The calculus models the assertion monitoring system that we employ in DrScheme. We establish basic properties of the model (type soundness, etc.) and illustrate the usefulness of contract checking with examples from DrScheme’s code base.

We believe that the development of an assertion system for higher-order functions serves two purposes. On one hand, the system has strong practical potential because existing type systems simply cannot express many assertions that programmers would like to state. On the other hand, an inspection of a large base of invariants may provide inspiration for the direction of practical future type system research.

**Categories & Subject Descriptors:** D.3.3, D.2.1; **General Terms:** Design, Languages, Reliability; **Keywords:** Contracts, Higher-order Functions, Behavioral Specifications, Predicate Typing, Software Reliability

<sup>1</sup>Work partly conducted at Rice University, Houston TX. Address as of 9/2002: University of Chicago; 1100 E 58th Street; Chicago, IL 60637

This is a technical report version of a paper that appeared in ICFP in 2002 [6]. This version includes everything that the conference version does, but also includes the complete proofs in an appendix.

## 1 Introduction

Dynamically enforced pre- and post-condition contracts have been widely used in procedural and object-oriented languages [11, 14, 17, 20, 21, 22, 25, 31]. As Rosenblum [27] has shown, for example, these contracts have great practical value in improving the robustness of systems in procedural languages. Eiffel [22] even developed an entire philosophy of system design based on contracts (“Design by Contract”). Although Java [12] does not support contracts, it is one of the most requested extensions.<sup>1</sup>

With one exception, higher-order languages have mostly ignored assertion-style contracts. The exception is Bigloo Scheme [28], where programmers can write down first-order, type-like constraints on procedures. These constraints are used to generate more efficient code when the compiler can prove they are correct and are turned into runtime checks when the compiler cannot prove them correct.

First-order procedural contracts have a simple interpretation. Consider this contract, written in an ML-like syntax:

```
 $f : \text{int}[\gt 9] \rightarrow \text{int}[0,99]$   
 $\text{val rec } f = \lambda x. \dots$ 
```

It states that the argument to  $f$  must be an **int** greater than 9 and that  $f$  produces an **int** between 0 and 99. To enforce this contract, a contract compiler inserts code to check that  $x$  is in the proper range when  $f$  is called and that  $f$ ’s result is in the proper range when  $f$  returns. If  $x$  is not in the proper range,  $f$ ’s caller is blamed for a contractual violation. Symmetrically, if  $f$ ’s result is not in the proper range, the blame falls on  $f$  itself. In this world, detecting contractual violations and assigning blame merely means checking appropriate predicates at well-defined points in the program’s evaluation.

This simple mechanism for checking contracts does not generalize to languages with higher-order functions. Consider this contract:

```
 $g : (\text{int}[\gt 9] \rightarrow \text{int}[0,99]) \rightarrow \text{int}[0,99]$   
 $\text{val rec } g = \lambda \text{proc}. \dots$ 
```

The contract’s domain states that  $g$  accepts **int**  $\rightarrow$  **int** functions and must apply them to **ints** larger than 9. In turn, these functions must produce **ints** between 0 and 99. The contract’s range obliges  $g$  to produce **ints** between 0 and 99.

<sup>1</sup><http://developer.java.sun.com/developer/bugParade/top25rfes.html>







# Semantics for Symbolic PCF with Contracts $E \longmapsto E'$

if  $V \ E_1 \ E_2 \longmapsto E_1$  if  $\delta(\text{false?}, V) \ni \text{ff}$

if  $V \ E_1 \ E_2 \longmapsto E_2$  if  $\delta(\text{false?}, V) \ni \text{tt}$

$(\lambda X:T.E) \ V \longmapsto [V/X]E$

$\mu X:T.E \longmapsto [\mu X:T.E/X]E$

$O(\vec{V}) \longmapsto A$  if  $\delta(O, \vec{V}) \ni A$

$(\bullet^{T \rightarrow T'}/C) \ V \longmapsto \bullet^{T'}/\{[V/X]C_2 \mid C_1 \mapsto \lambda X:T.C_2 \in \mathcal{C}\}$

$(\bullet^{T \rightarrow T'}/C) \ V \longmapsto \text{havoc}_T \ V$

[OOPSLA'12]

Contracts for Higher-Order Functions

Robert Bruce Findler<sup>1</sup>    Matthias Felleisen<sup>1</sup>  
Northeastern University  
College of Computer Science  
Boston, Massachusetts 02115, USA

Abstract

Asynchronous play an important role in the construction of robust software. This paper presents a language design for a higher-order, typed, and object-oriented programming language, which is designed to support the construction of robust software. We describe the design of the language and the "Design by Contract" philosophy. Finally, we discuss the role of contracts in supporting the value of asynchronous-based contracts on methods.

1 Introduction

Historically, software and hardware contracts have been used to specify and enforce the behavior of software and hardware components. In the past, these contracts have been used to specify the behavior of software and hardware components. In the past, these contracts have been used to specify the behavior of software and hardware components. In the past, these contracts have been used to specify the behavior of software and hardware components.

Semantics for Symbolic PCF with Contracts

$E \mapsto E'$

if  $V \ E_1 \ E_2 \mapsto E_1$  if  $\delta(\text{false?}, V) \ni \text{ff}$

if  $V \ E_1 \ E_2 \mapsto E_2$  if  $\delta(\text{false?}, V) \ni \text{tt}$

$(\lambda X:T.E) \ V \mapsto [V/X]E$

$\mu X:T.E \mapsto [\mu X:T.E/X]E$

$O(\vec{V}) \mapsto A$  if  $\delta(O, \vec{V}) \ni A$

$(\bullet^{T \rightarrow T'}/C) \ V \mapsto \bullet^{T'}/\{[V/X]C_2 \mid C_1 \mapsto \lambda X:T.C_2 \in \mathcal{C}\}$

$(\bullet^{T \rightarrow T'}/C) \ V \mapsto \text{havoc}_T \ V$

A vintage manual meat grinder, likely from the early 20th century. It features a heavy-duty metal body with a large, flared hopper at the top for meat. A wooden handle is attached to the side, and a metal crank is visible at the bottom. The front of the machine has a circular opening with a grid of small holes, presumably for the ground meat. The overall design is functional and robust, typical of manual kitchen appliances from that era.

[OOPSLA'12]



### Contracts for Higher-Order Functions

Robert Bruce Findler<sup>1</sup>    Matthias Felleisen<sup>2</sup>  
<sup>1</sup>Northeastern University  
<sup>2</sup>College of Computer Science  
Boston, Massachusetts 02115, USA

#### Abstract

Asynchronous play, an important role in the construction of robust software. This paper presents a new technique for specifying and verifying contracts for higher-order functions. We present a new type system for contracts, which we call *Contracts for Higher-Order Functions*. This system is designed to be used in conjunction with the *Contracts* library, which provides a rich set of contract combinators for specifying and verifying contracts for higher-order functions. We present a new type system for contracts, which we call *Contracts for Higher-Order Functions*. This system is designed to be used in conjunction with the *Contracts* library, which provides a rich set of contract combinators for specifying and verifying contracts for higher-order functions.

### Semantics for Symbolic PCF with Contracts

$E \mapsto E'$

- if  $V \ E_1 \ E_2 \mapsto E_1$  if  $\delta(\text{false?}, V) \ni \text{ff}$
- if  $V \ E_1 \ E_2 \mapsto E_2$  if  $\delta(\text{false?}, V) \ni \text{tt}$
- $(\lambda X:T.E) \ V \mapsto [V/X]E$
- $\mu X:T.E \mapsto [\mu X:T.E/X]E$
- $O(\vec{V}) \mapsto A$  if  $\delta(O, \vec{V}) \ni A$
- $(\bullet^{T \rightarrow T'} / C) \ V \mapsto \bullet^{T'} / \{[V/X]C_2 \mid C_1 \mapsto \lambda X:T.C_2 \in \mathcal{C}\}$
- $(\bullet^{T \rightarrow T'} / C) \ V \mapsto \text{havoc}_T \ V$



snake.rktl

snake.rktl (define ...) Save Debug Check Syntax Macro Stepper Run Stop

```
#lang var
;; -- Primitive modules
(module image racket
  (require 2htdp/image)
  (provide/contract
    [image? (any/c . -> . boolean?)]
    [circle (exact-nonnegative-integer? str
      [empty-scene (exact-nonnegative-integer
        [place-image (image? exact-nonnegative-integer?

;; -- Source
(module data racket
  (struct posn (x y))
  (struct snake (dir segs))
  (struct world (snake food))

;; Contracts
(define direction/c
  (one-of/c 'up 'down 'left 'right))
(define posn/c
  (struct/c posn
    exact-nonnegative-integer?
    exact-nonnegative-integer?))
(define snake/c
  (struct/c snake
    direction/c
    (non-empty-listof posn/c)))
```

World

Welcome to [DrRacket](#), version 5.3.1.1--2012-10-13(2b902d0e/d) [3m].  
Language: **var**; memory limit: **128 MB**.  
>

Determine language from source 3:2 461.37 MB

[OOPSLA'12]

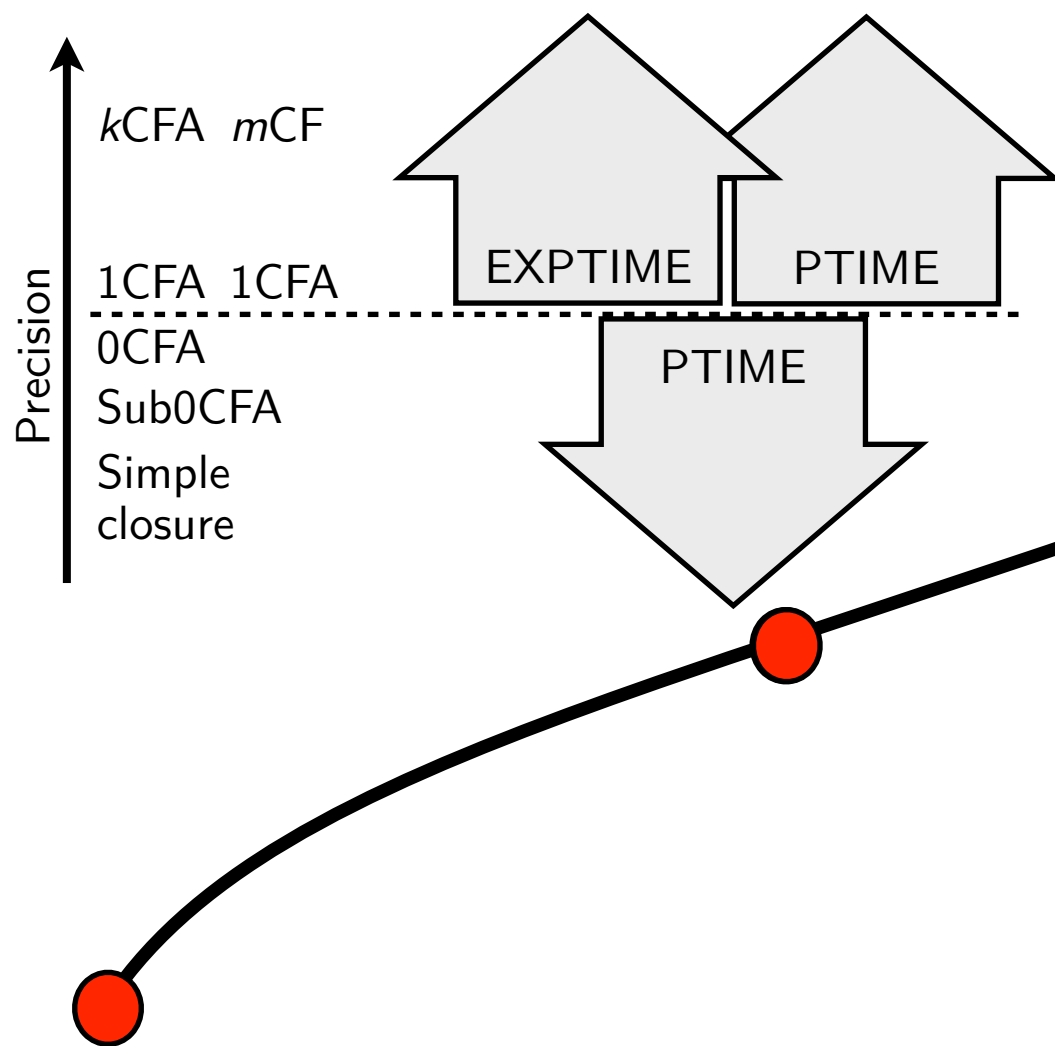
# CONCLUSION & PERSPECTIVE



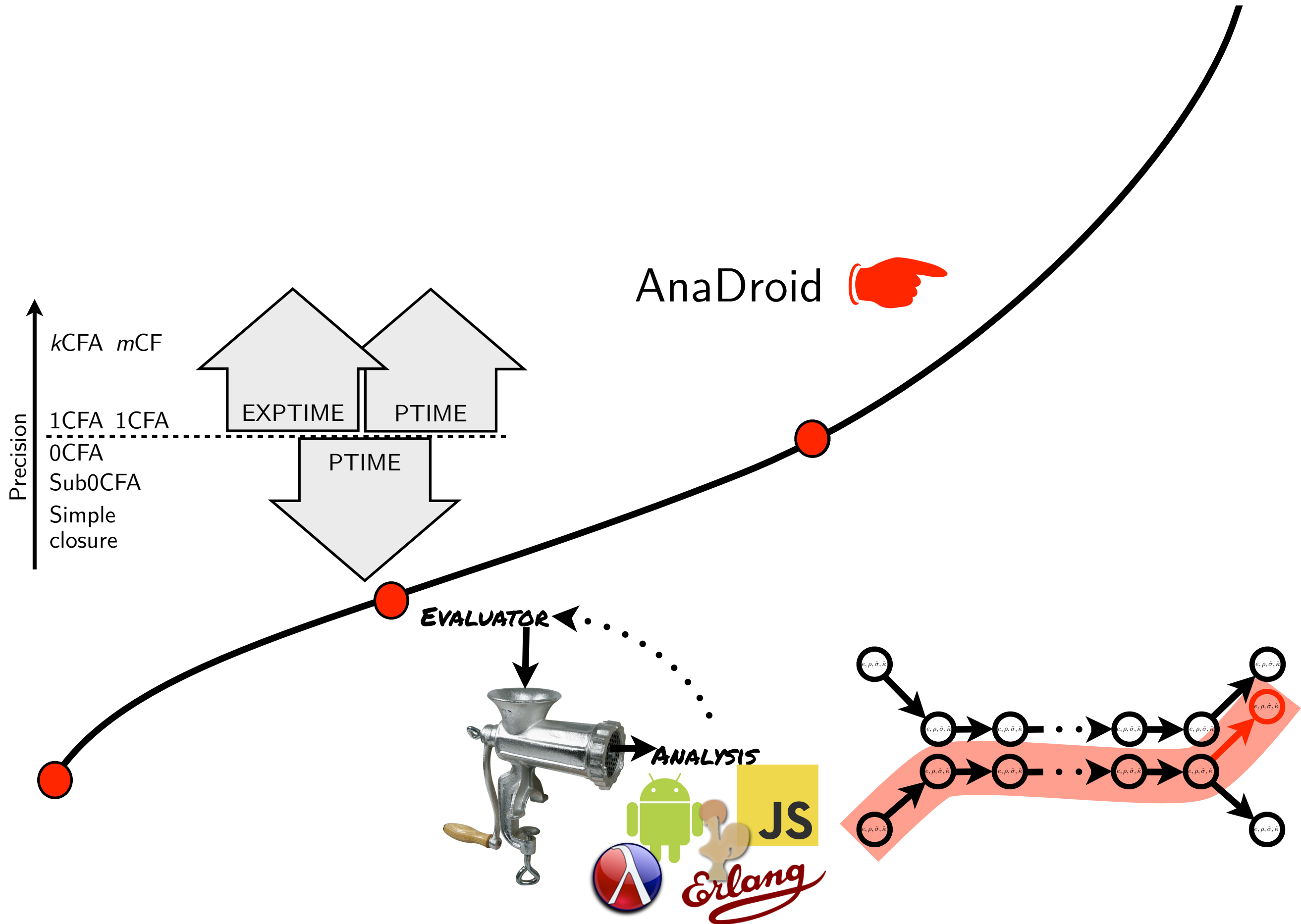
To trust software, we must predict its (mis)behavior.

AnaDroid 🖐️





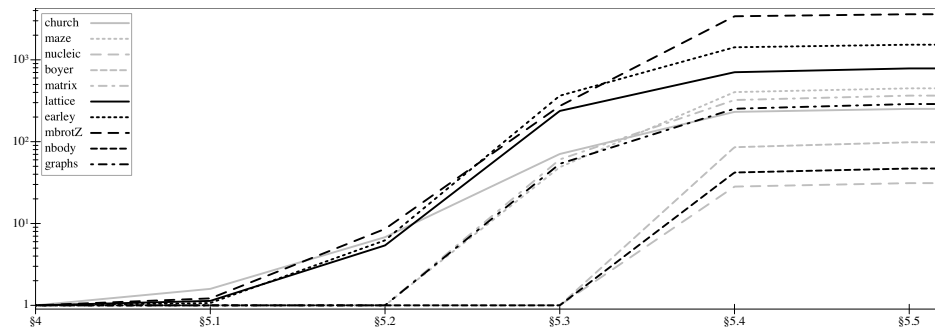
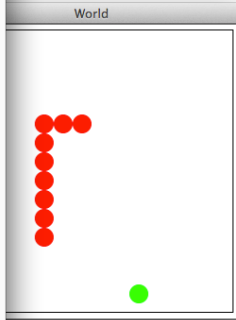
AnaDroid 🖐



```

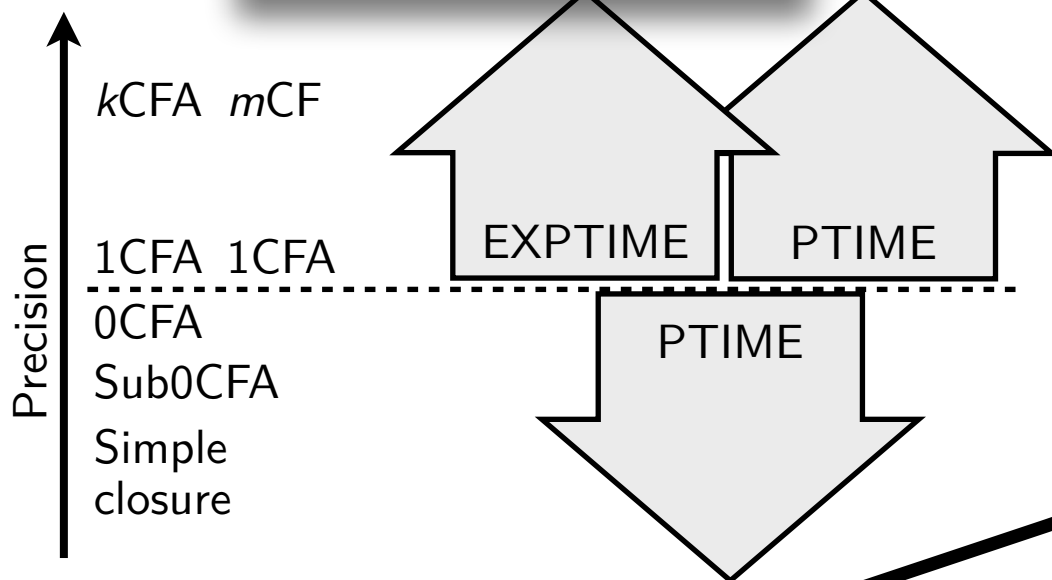
#lang var
;; -- Primitive modules
(module image racket
  (require 2htdp/image)
  (provide/contract
    [image? (any/c . -> . boolean?)]
    [circle (exact-nonnegative-integer? string? string? .
      [empty-scene (exact-nonnegative-integer? exact-nonneg-
        [place-image (image? exact-nonnegative-integer? exact-

```



FACTOR IMPROVEMENT OF ANALYSIS TIME

AnaDroid

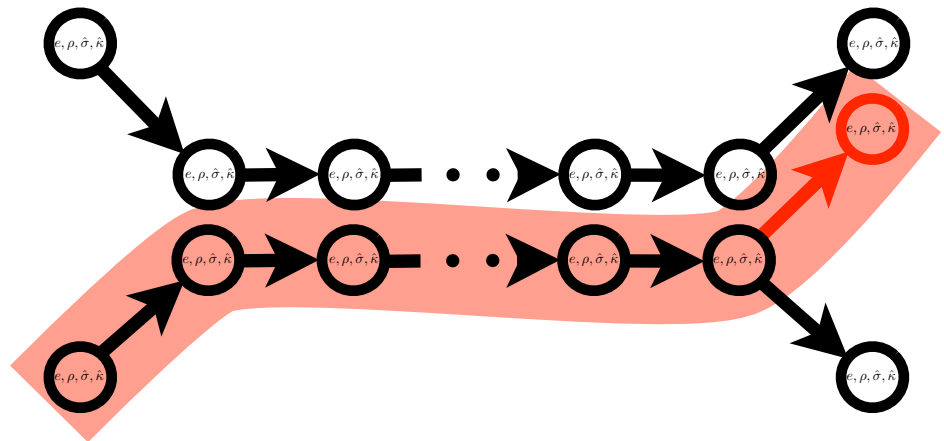


Program	Variable points-to	Throw-Catch edges	Time
antlr 35KLOC	(40503, 614) (681, 2)	2277 65	>4 hours 1.1 hours
lusearch 87KLOC	(22970, 348) (709, 2)	2378 59	46 minutes 46 minutes
pmd 55KLOC	(25286, 343) (1017, 2)	2284 38	56 minutes 22 minutes

EVALUATOR



ANALYSIS



```

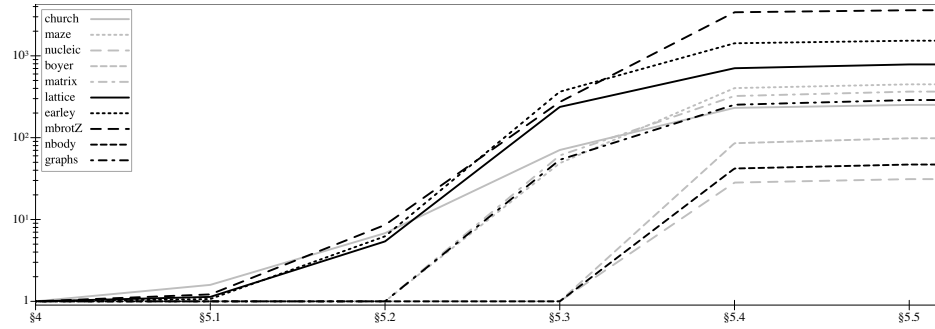
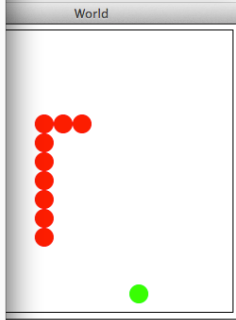
#lang var
;; -- Primitive modules
(module image racket
  (require 2htdp/image)
  (provide/contract
    [image? (any/c . -> . boolean?)]
    [circle (exact-nonnegative-integer? string? string? .
      [empty-scene (exact-nonnegative-integer? exact-nonneg-
        [place-image (image? exact-nonnegative-integer? exact-

;; -- Source
(module data racket
  (struct posn (x y))
  (struct snake (dir segs))
  (struct world (snake food))

;; Contracts
(define direction/c
  (one-of/c 'up 'down 'left 'right))
(define posn/c
  (struct/c posn
    exact-nonnegative-integer?
    exact-nonnegative-integer?))
(define snake/c
  (struct/c snake
    direction/c
    (non-empty-listof posn/c)))

Welcome to DrRacket, version 5.3.1.1--2012-10-13(2b902d0e/d) [3m].
Language: var; memory limit: 128 MB.
>

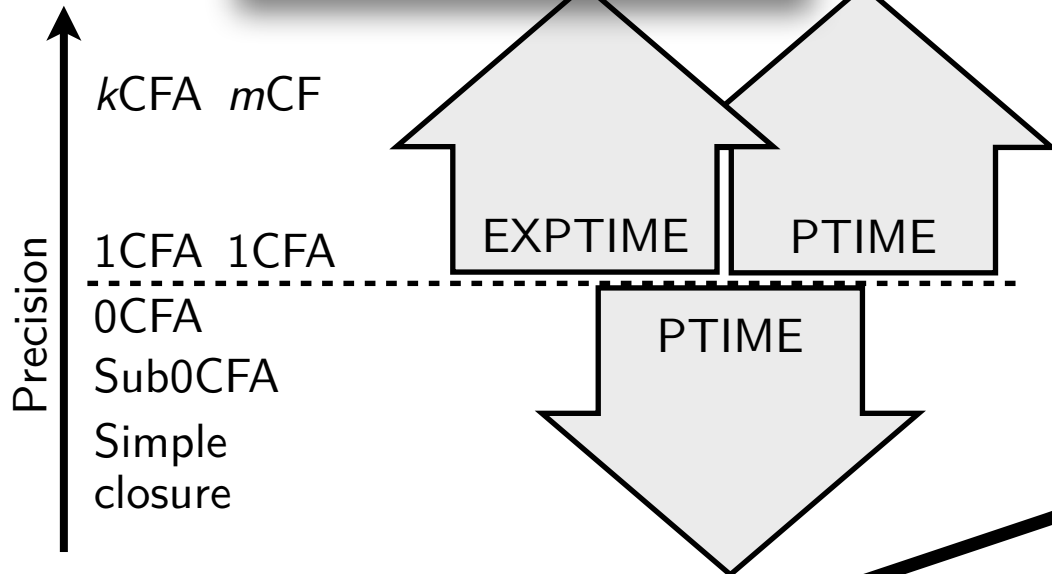
```



# AnaDroid



FACTOR IMPROVEMENT OF ANALYSIS TIME

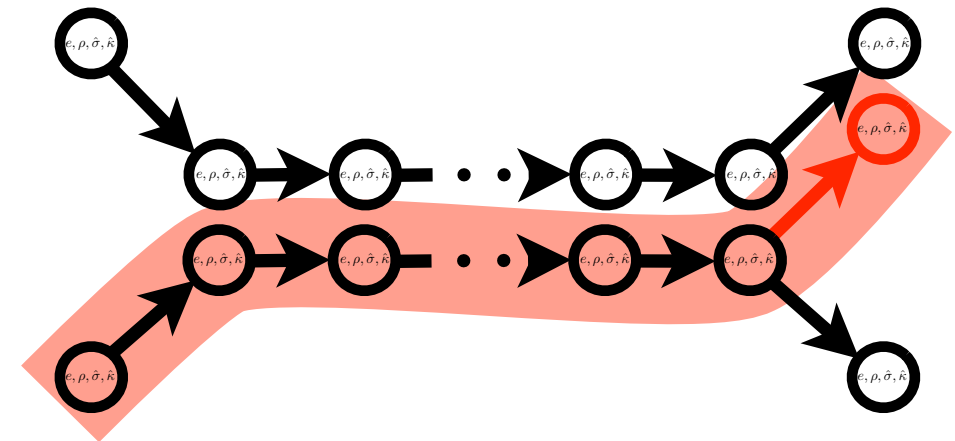


Program	Variable points-to	Throw-Catch edges	Time
antlr 35KLOC	(40503, 614) (681, 2)	2277 65	>4 hours 1.1 hours
lusearch 87KLOC	(22970, 348) (709, 2)	2378 59	46 minutes 46 minutes
pmd 55KLOC	(25286, 343) (1017, 2)	2284 38	56 minutes 22 minutes

EVALUATOR



ANALYSIS





```

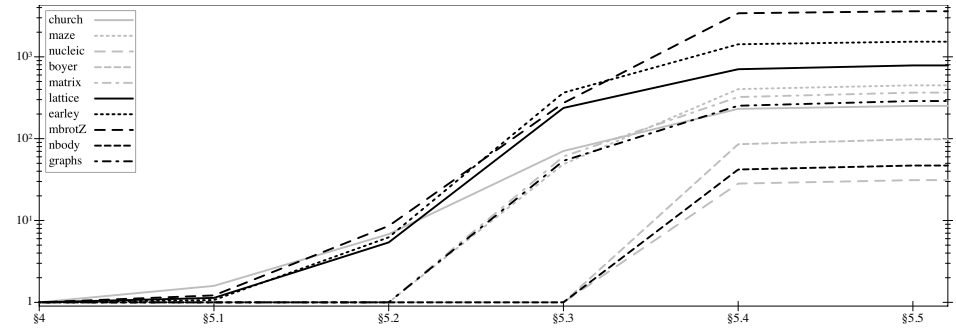
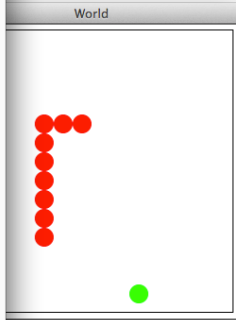
#lang var
;; -- Primitive modules
(module image racket
  (require 2htdp/image)
  (provide/contract
    [image? (any/c . -> . boolean?)]
    [circle (exact-nonnegative-integer? string? string? .
      [empty-scene (exact-nonnegative-integer? exact-nonneg
        [place-image (image? exact-nonnegative-integer? exact-

;; -- Source
(module data racket
  (struct posn (x y))
  (struct snake (dir segs))
  (struct world (snake food))

;; Contracts
(define direction/c
  (one-of/c 'up 'down 'left 'right))
(define posn/c
  (struct/c posn
    exact-nonnegative-integer?
    exact-nonnegative-integer?))
(define snake/c
  (struct/c snake
    direction/c
    (non-empty-listof posn/c)))

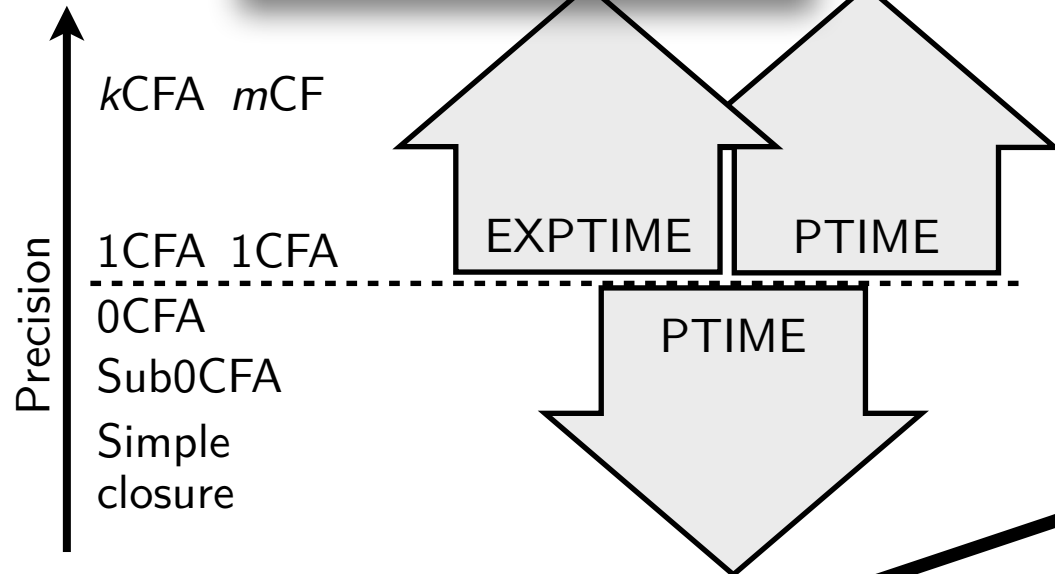
Welcome to DrRacket, version 5.3.1.1--2012-10-13(2b902d0e/d) [3m].
Language: var; memory limit: 128 MB.
>

```



FACTOR IMPROVEMENT OF ANALYSIS TIME

AnaDroid

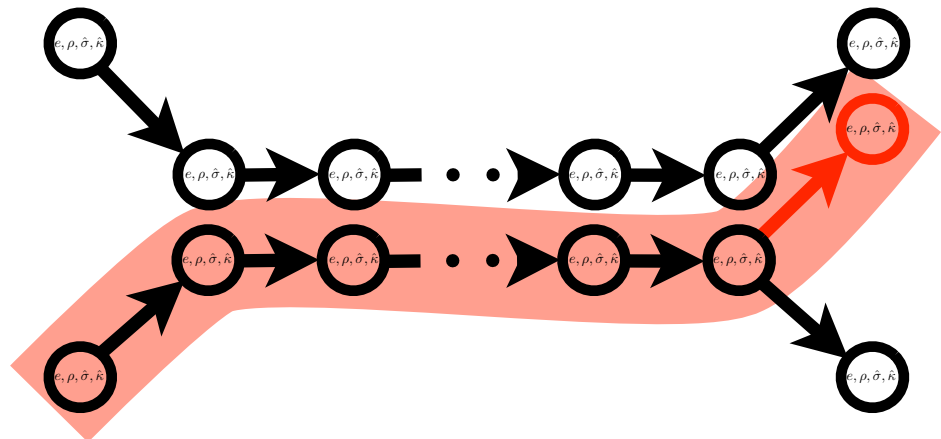


Program	Variable points-to	Throw-Catch edges	Time
antlr 35KLOC	(40503, 614) (681, 2)	2277 65	>4 hours 1.1 hours
lusearch 87KLOC	(22970, 348) (709, 2)	2378 59	46 minutes 46 minutes
pmd 55KLOC	(25286, 343) (1017, 2)	2284 38	56 minutes 22 minutes

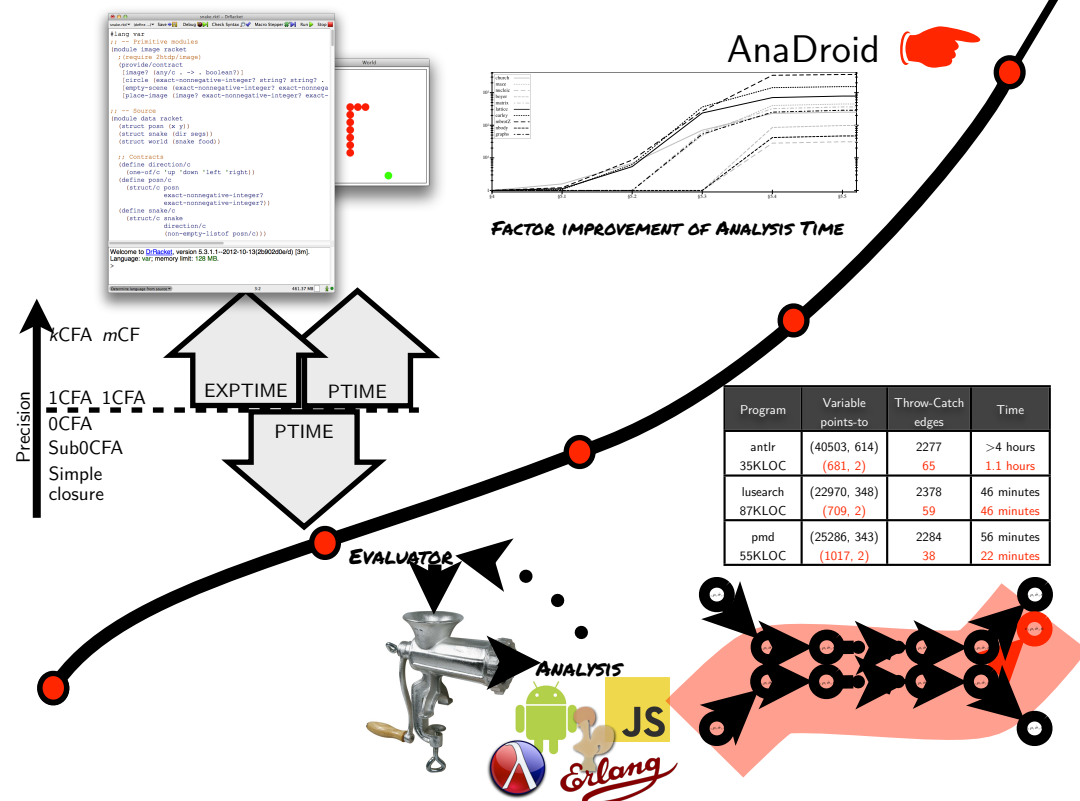
EVALUATOR

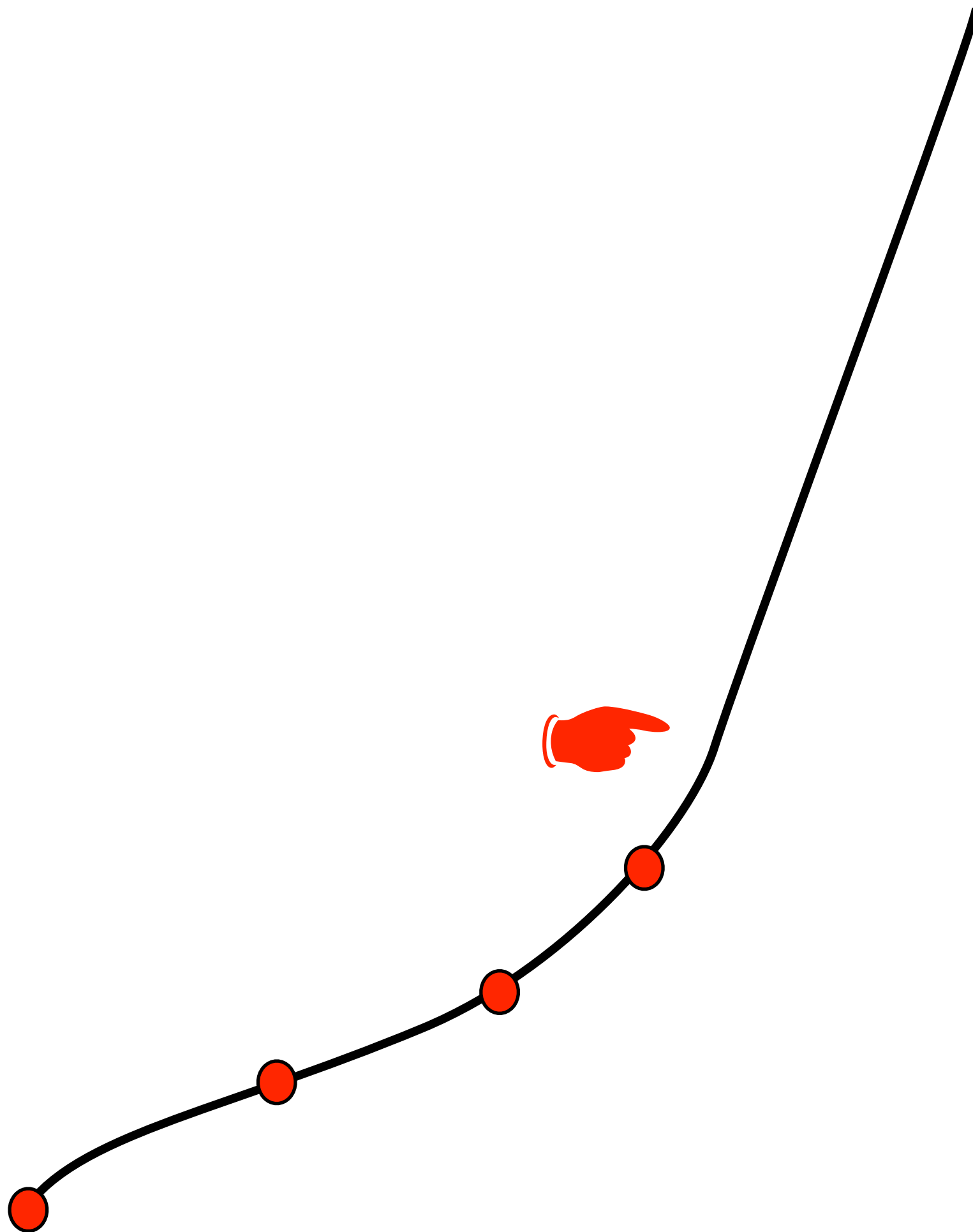


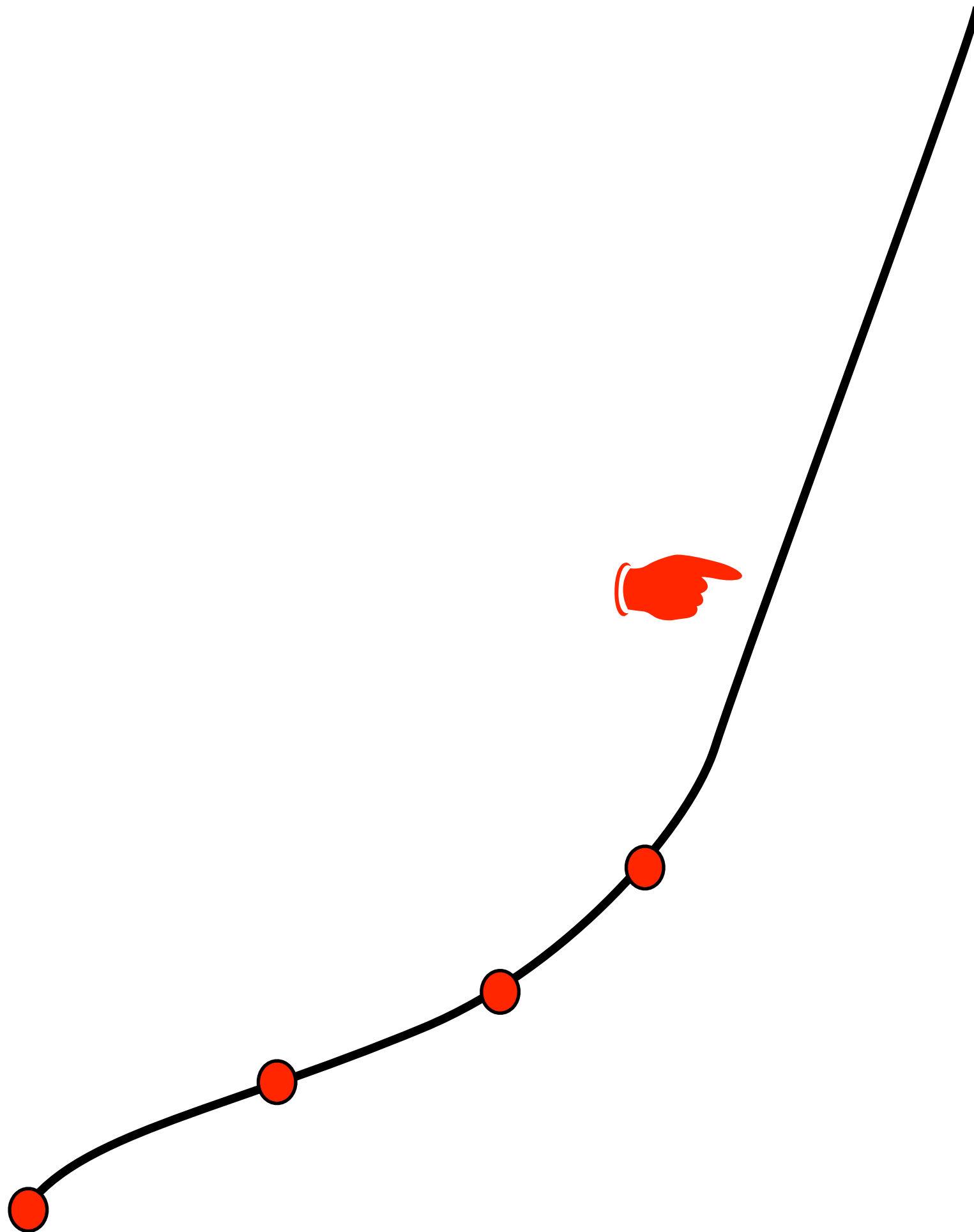
ANALYSIS

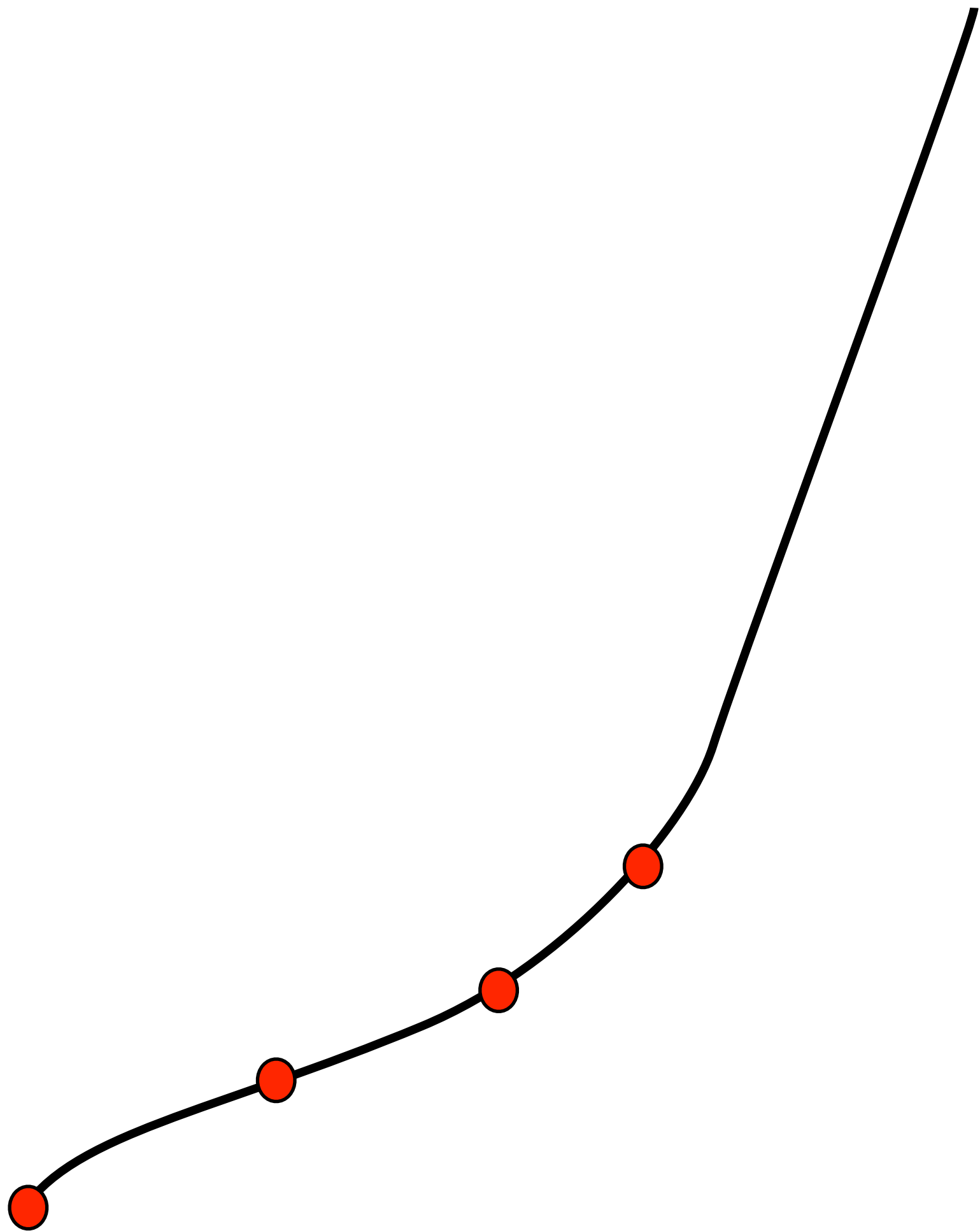


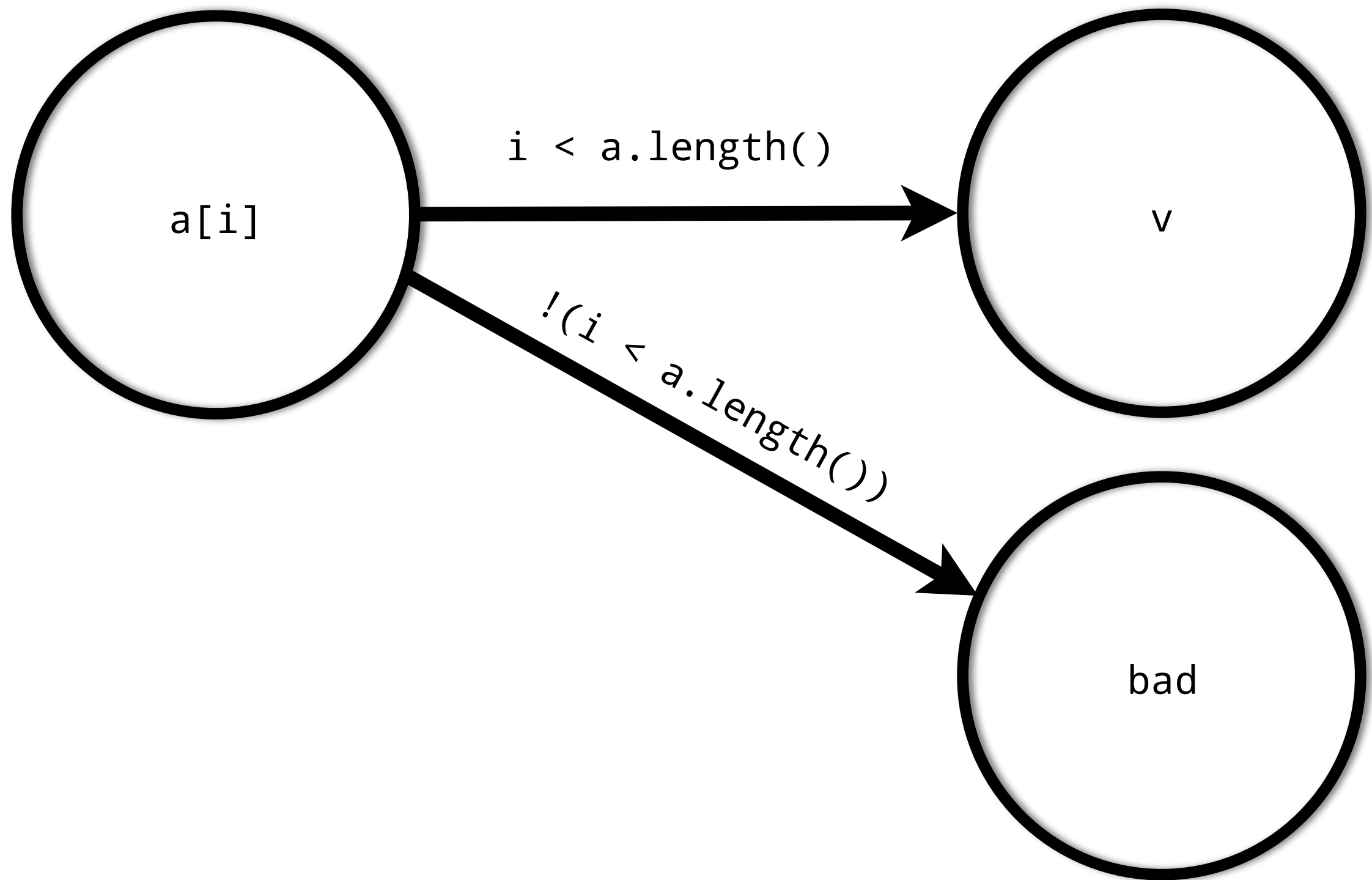
# Robust, Reliable Software and Trustworthy Systems



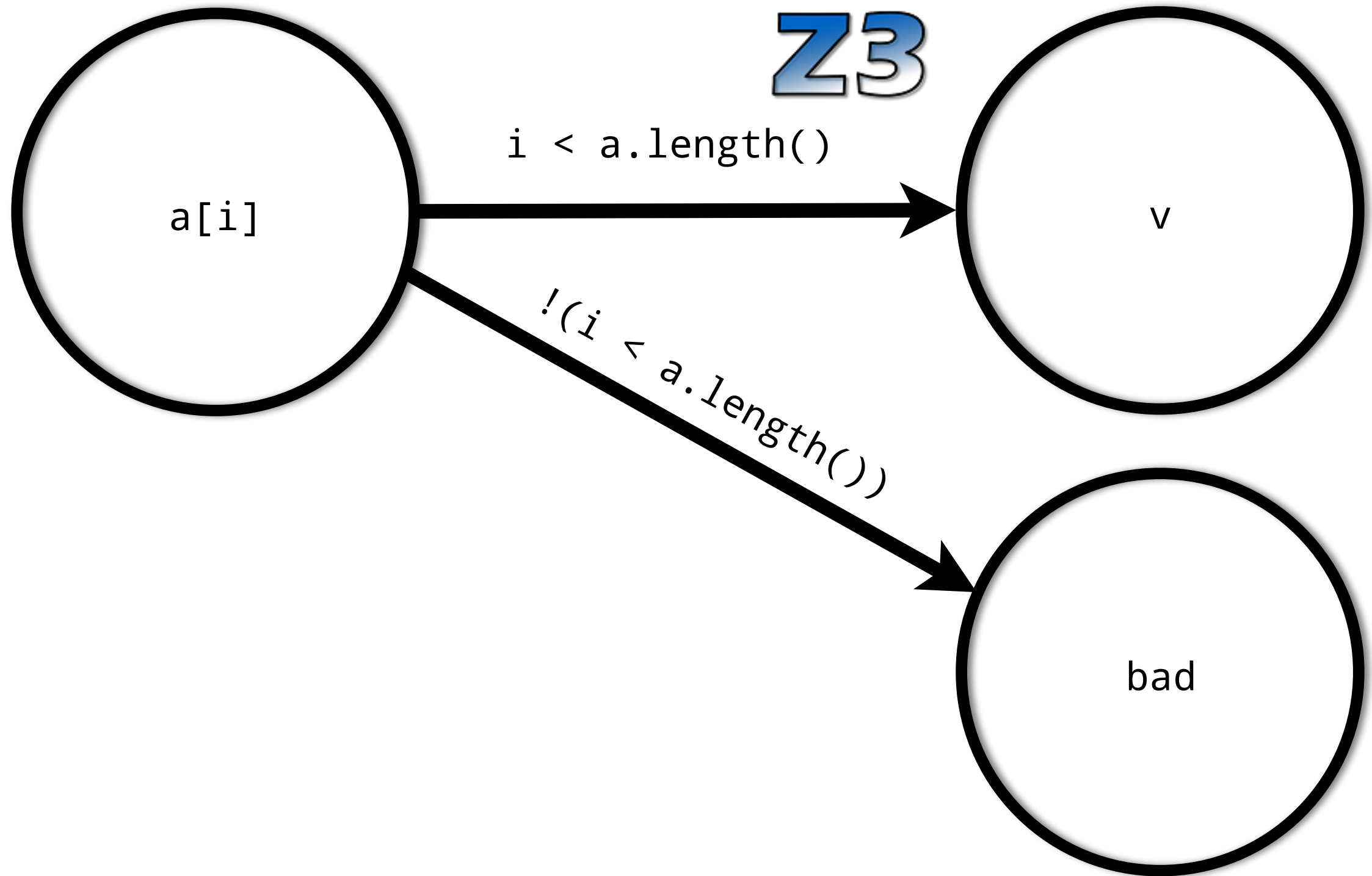


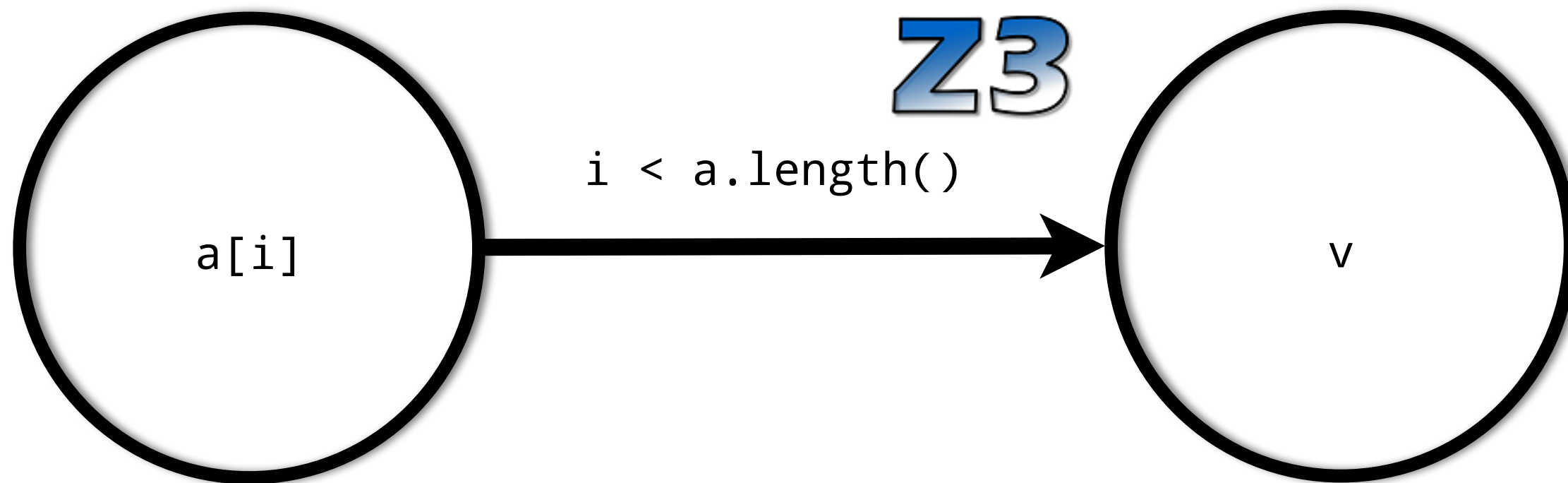




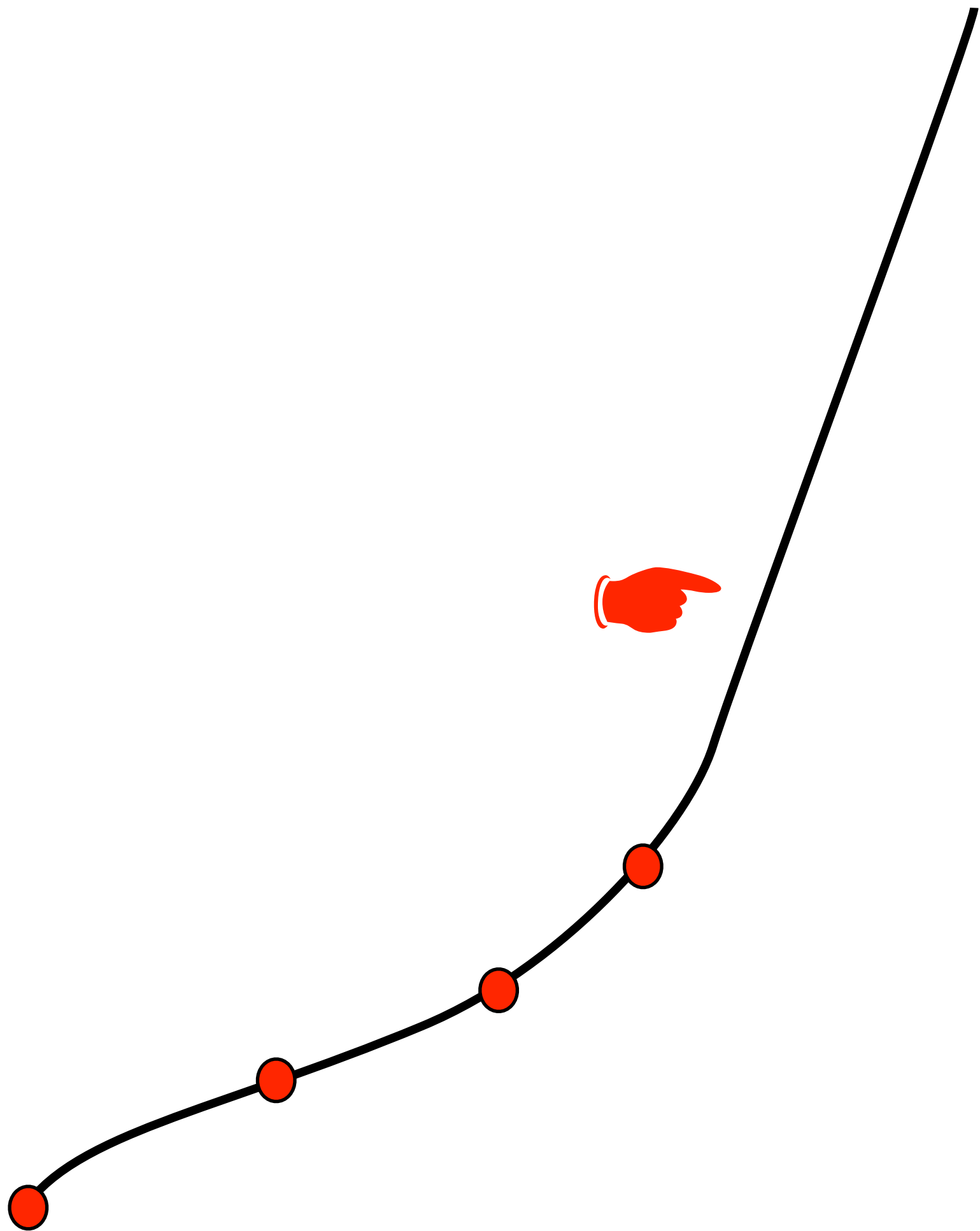


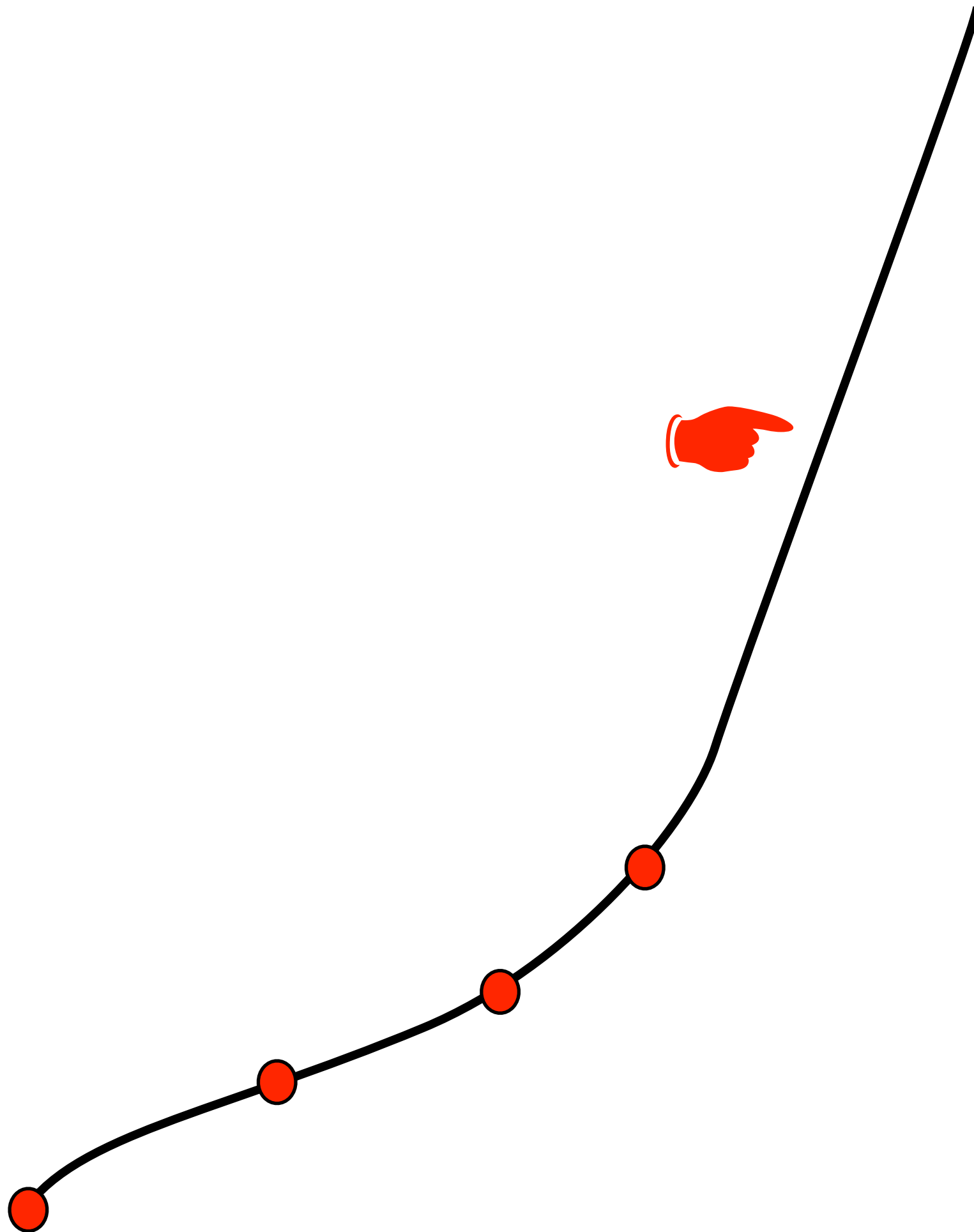
**Z3**

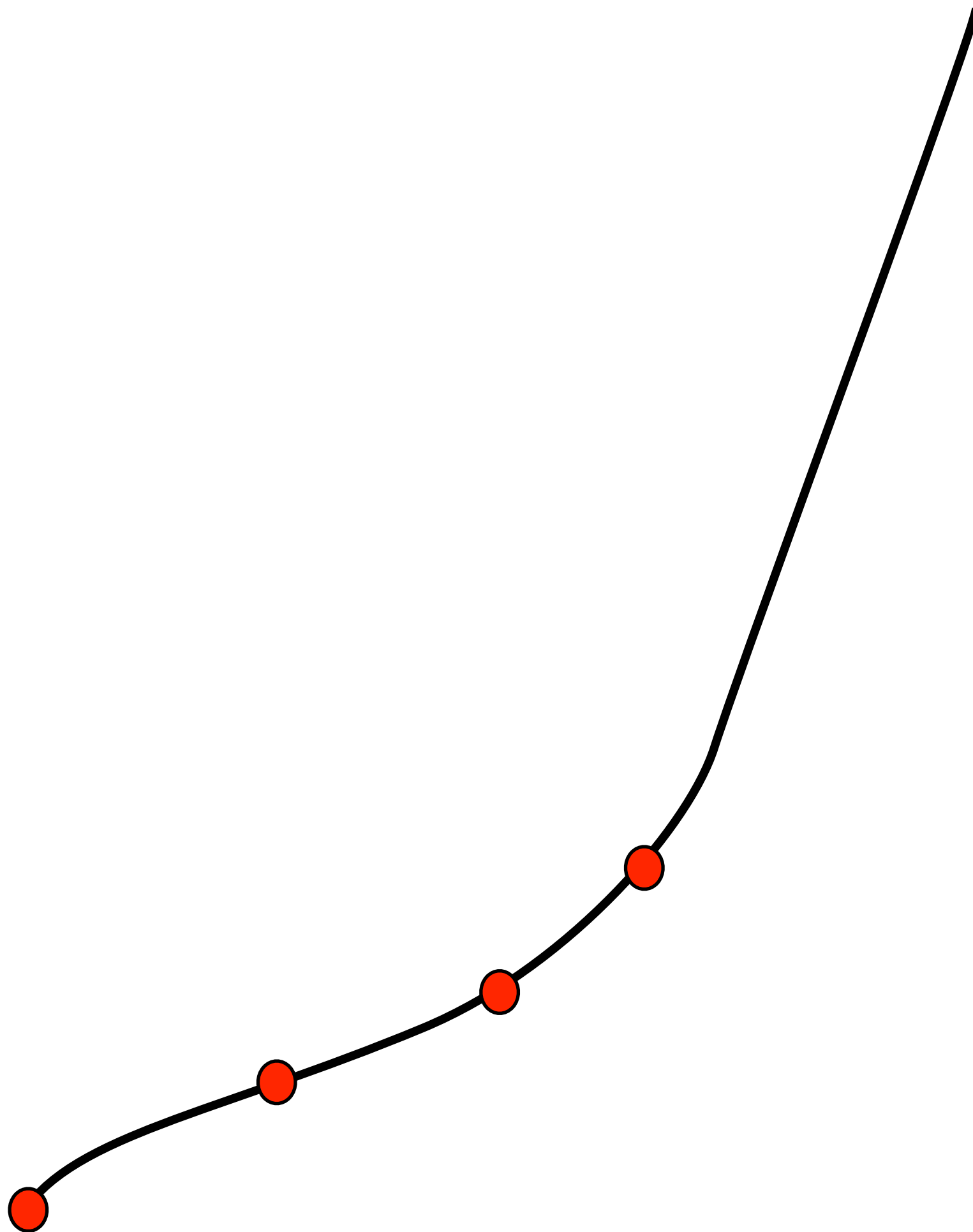


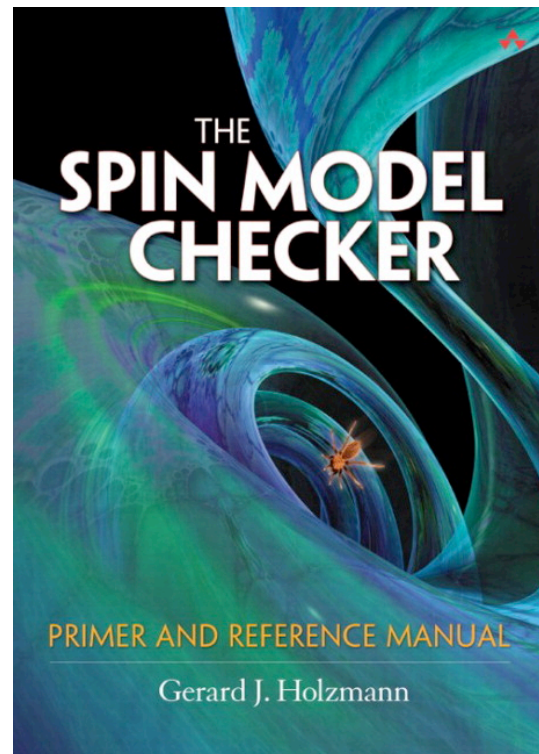
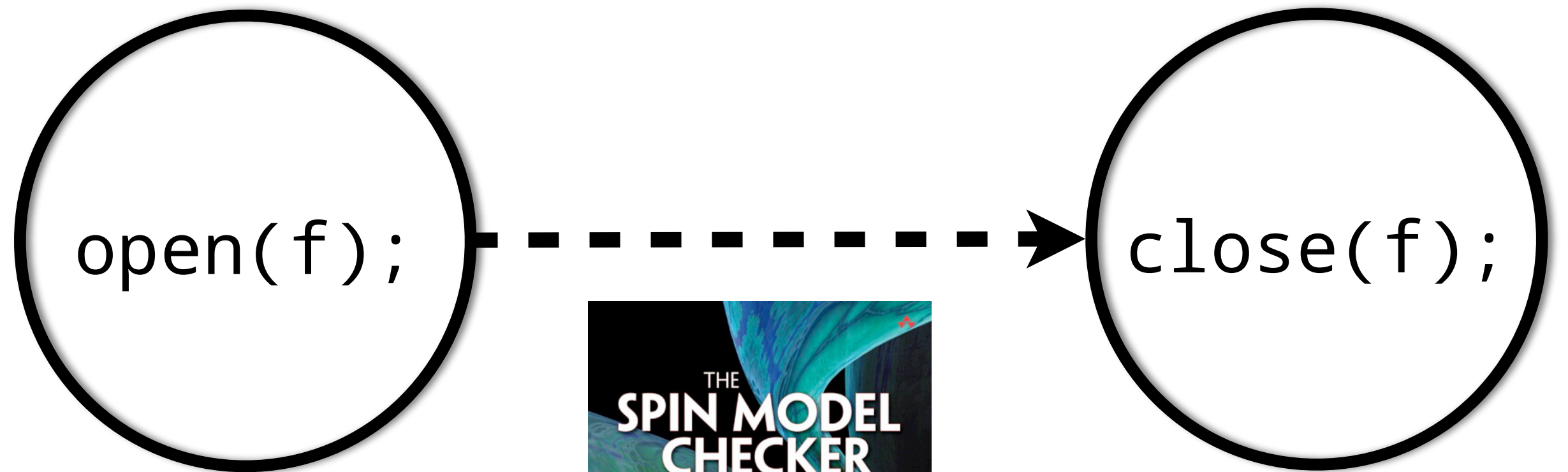


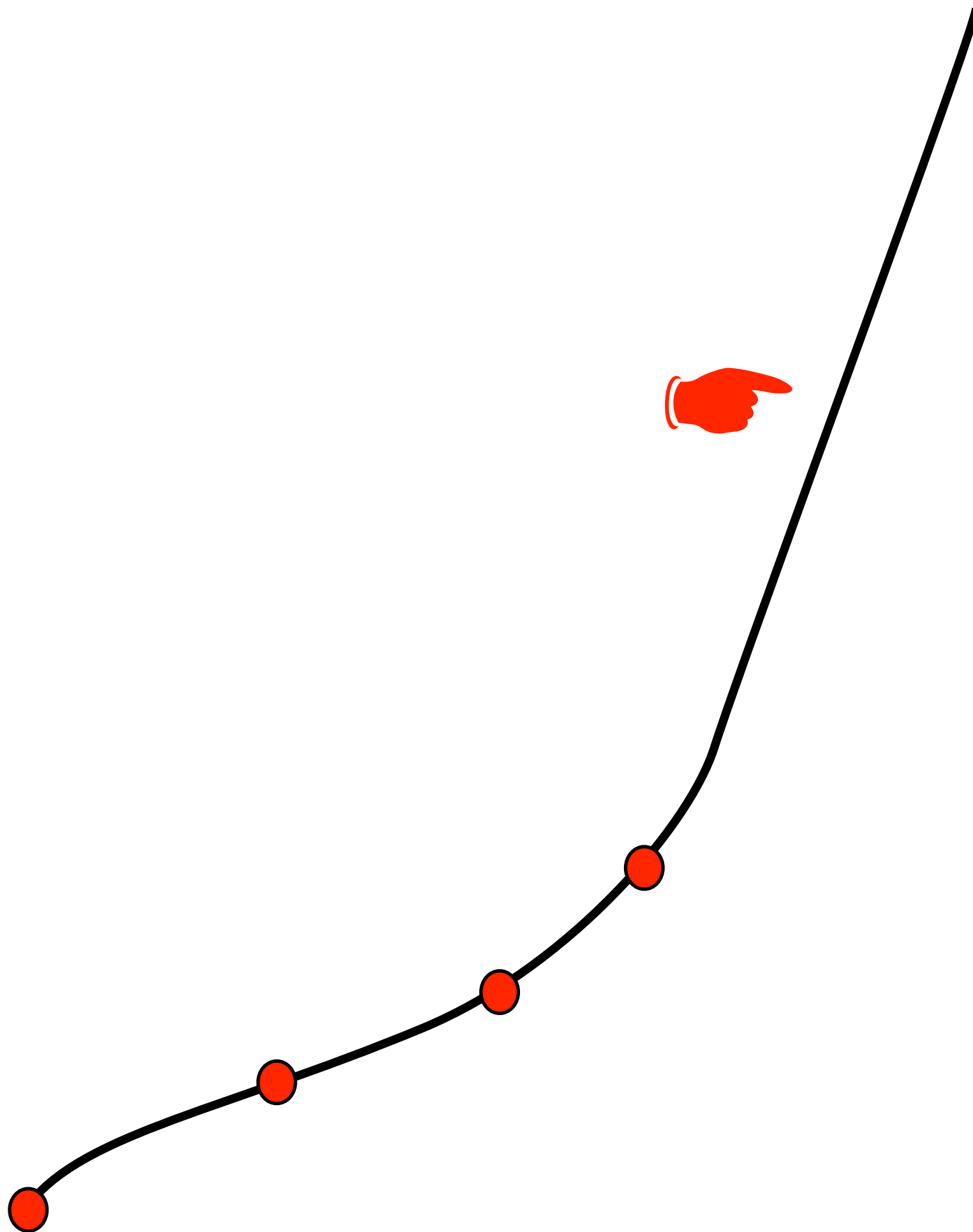


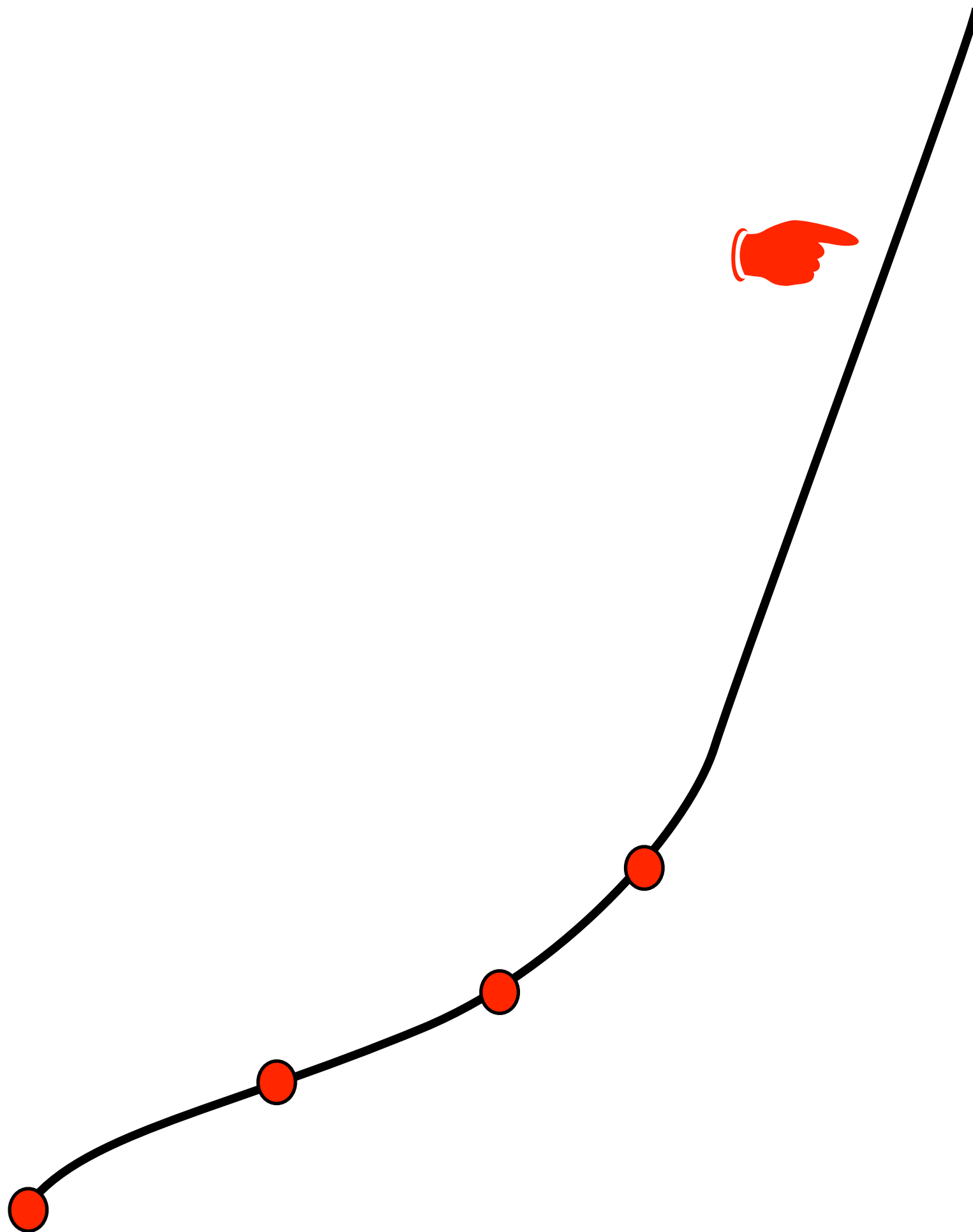


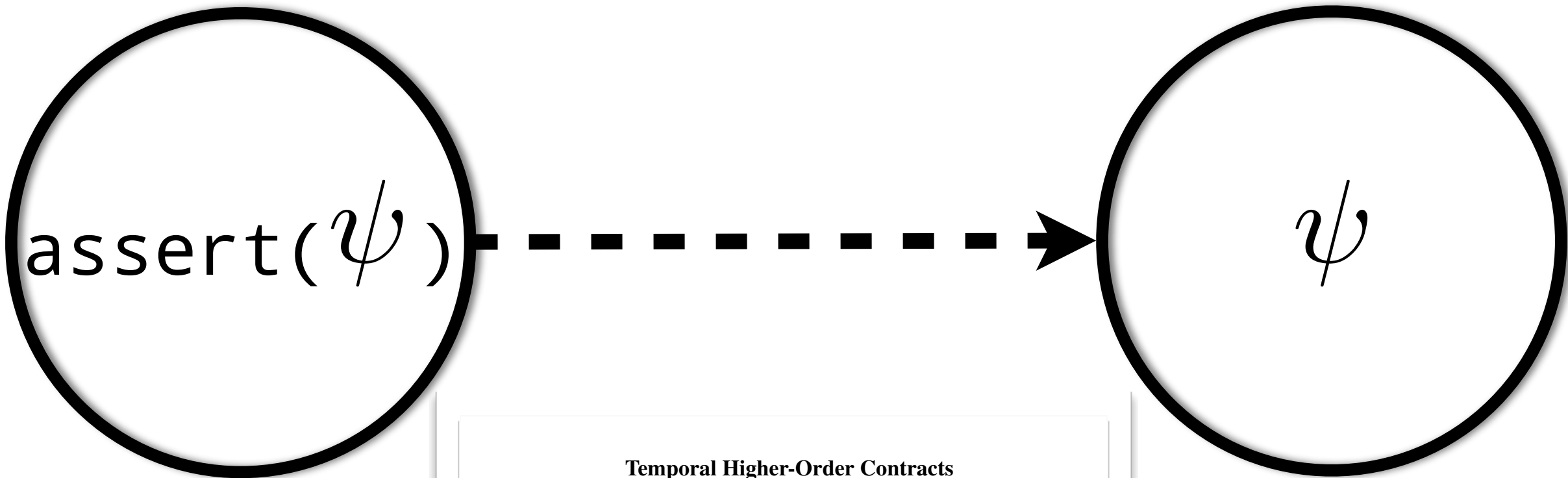












## Temporal Higher-Order Contracts

Tim Disney                      Cormac Flanagan                      Jay McCarthy  
University of California, Santa Cruz      University of California, Santa Cruz      Brigham Young University

### Abstract

Behavioral contracts are embraced by software engineers because they document module interfaces, detect interface violations, and help identify faulty modules (packages, classes, functions, etc). This paper extends prior higher-order contract systems to also express and enforce temporal properties, which are common in software systems with imperative state, but which are mostly left implicit or are at best informally specified. The paper presents both a programmatic contract API as well as a temporal contract language, and reports on experience and performance results from implementing these contracts in Racket.

Our development formalizes module behavior as a trace of events such as function calls and returns. Our contract system provides both non-interference (where contracts cannot influence correct executions) and also a notion of completeness (where contracts can enforce any decidable, prefix-closed predicate on event traces).

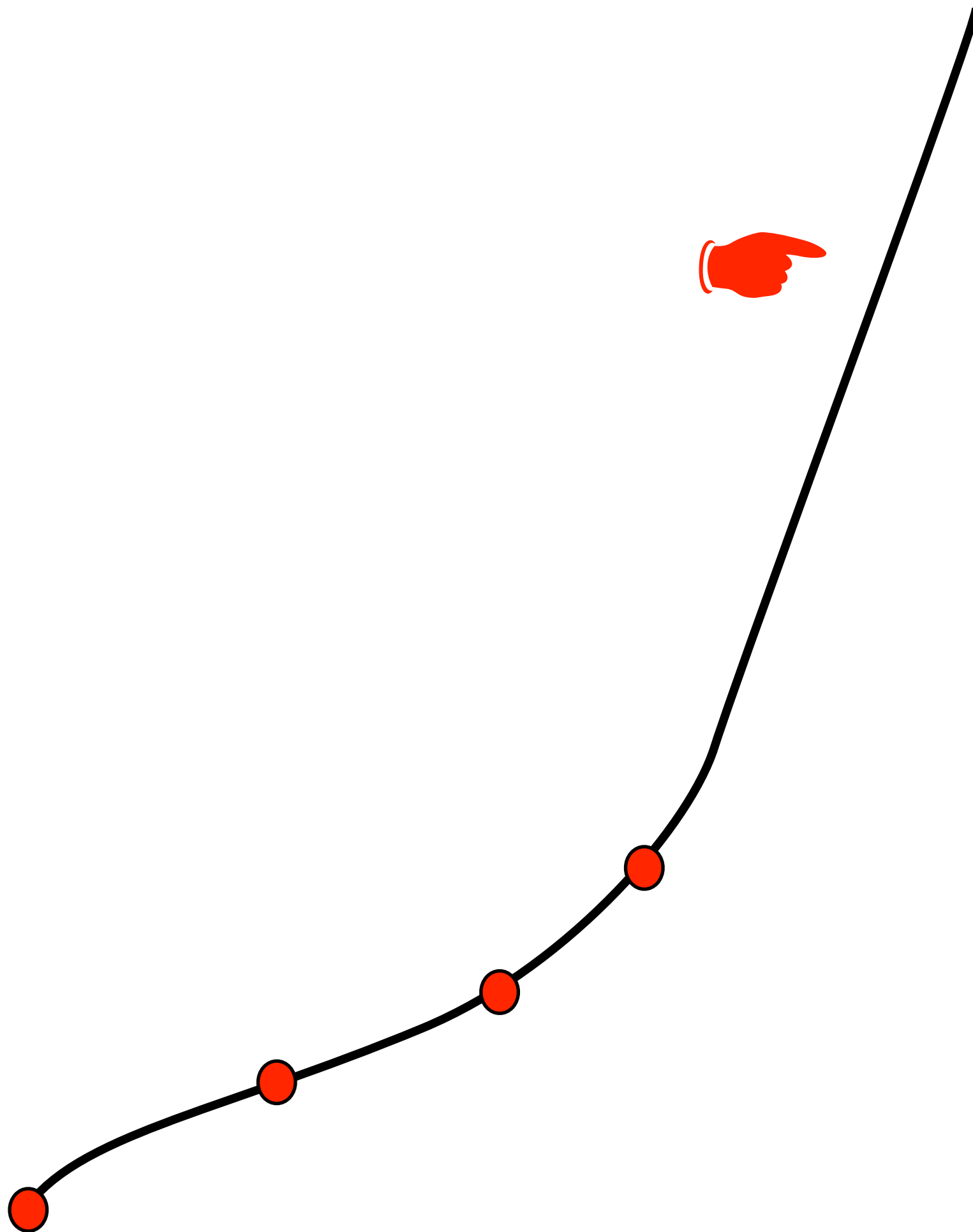
**Categories and Subject Descriptors** D.3.1 [Formal Definitions and Theory]: Semantics; D.3.3 [Language Constructs and Features]: Constraints

**General Terms** Languages, Reliability, Security, Verification.

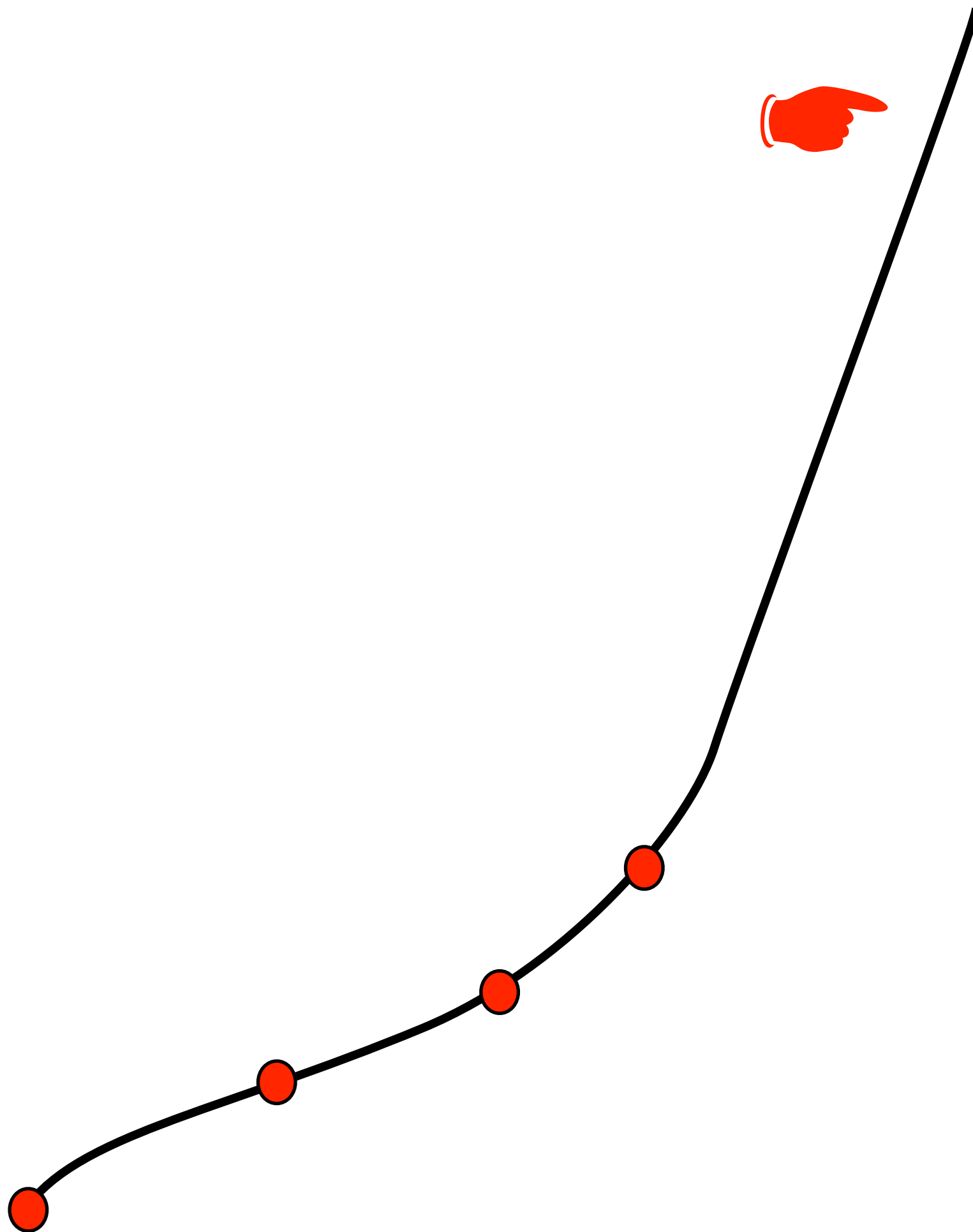
**Keywords** Higher-order Programming, Temporal Contracts

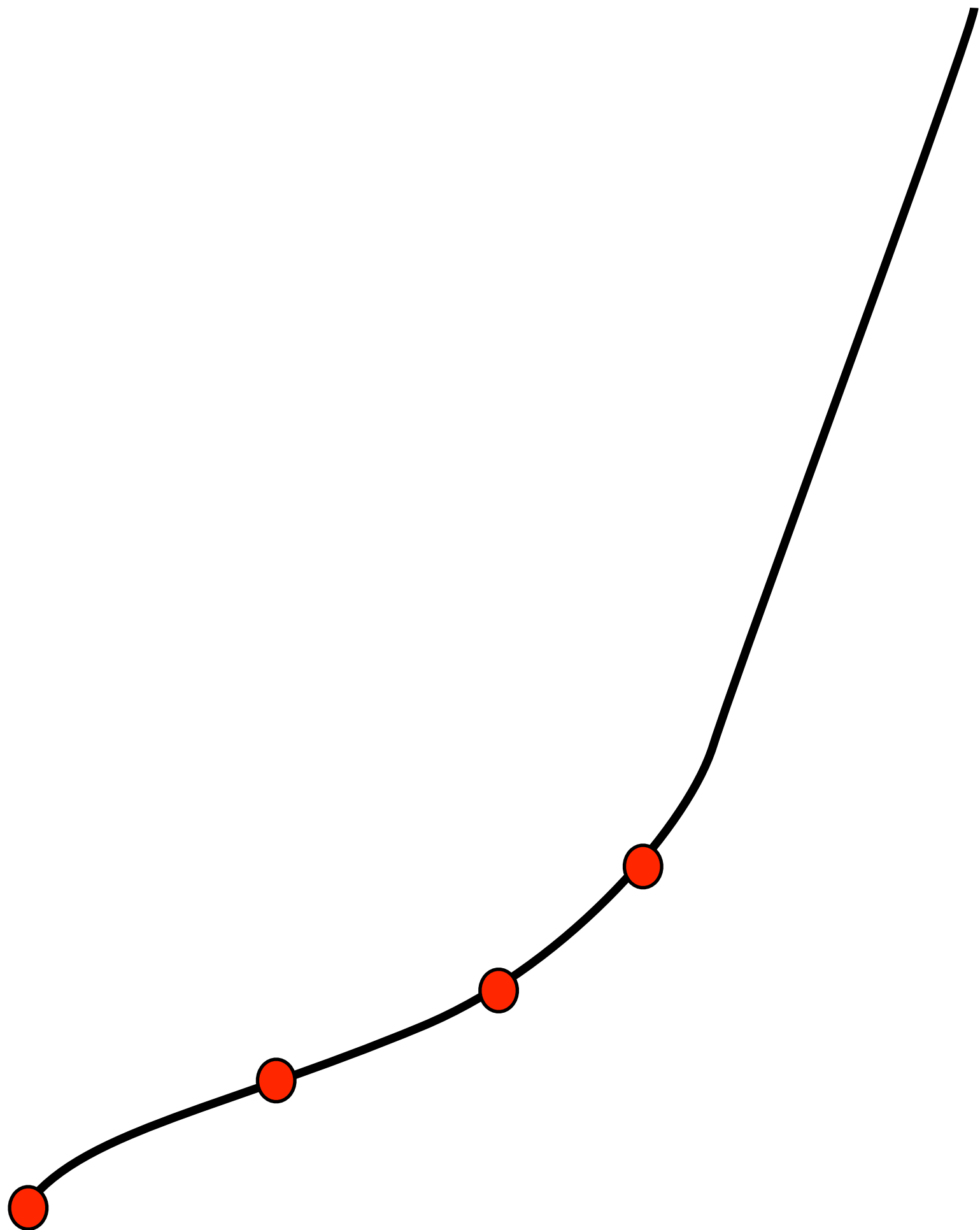
a `sort` routine, not all of which are supported by existing contract systems.

1. *The `sort` function takes two arguments, an array of positive integers and a comparison function `cmp`.*  
This standard, first-order precondition constrains *how* `sort` should be called, that is, what arguments are valid. These kinds of basic first-order contracts are supported by most contract systems, for example, Eiffel [36].
2. *The argument function `cmp` in turn requires two arguments, both positive integers.*  
This higher-order precondition constrains how the `sort` module can call the function argument `cmp`, and so is a guarantee provided by `sort` rather than an obligation on the client. Higher-order contract systems [19, 15, 22, 24, 45] support such preconditions by wrapping the `cmp` argument to enforce this property dynamically.
3. *The `sort` function is not re-entrant—it can only be called after all previous `sort` invocations have completed.*  
Unlike the previous contracts that constrain how functions may be called, this temporal contract constrains *when* `sort` can be called [12, 13]. This constraint implies that `sort` must be used





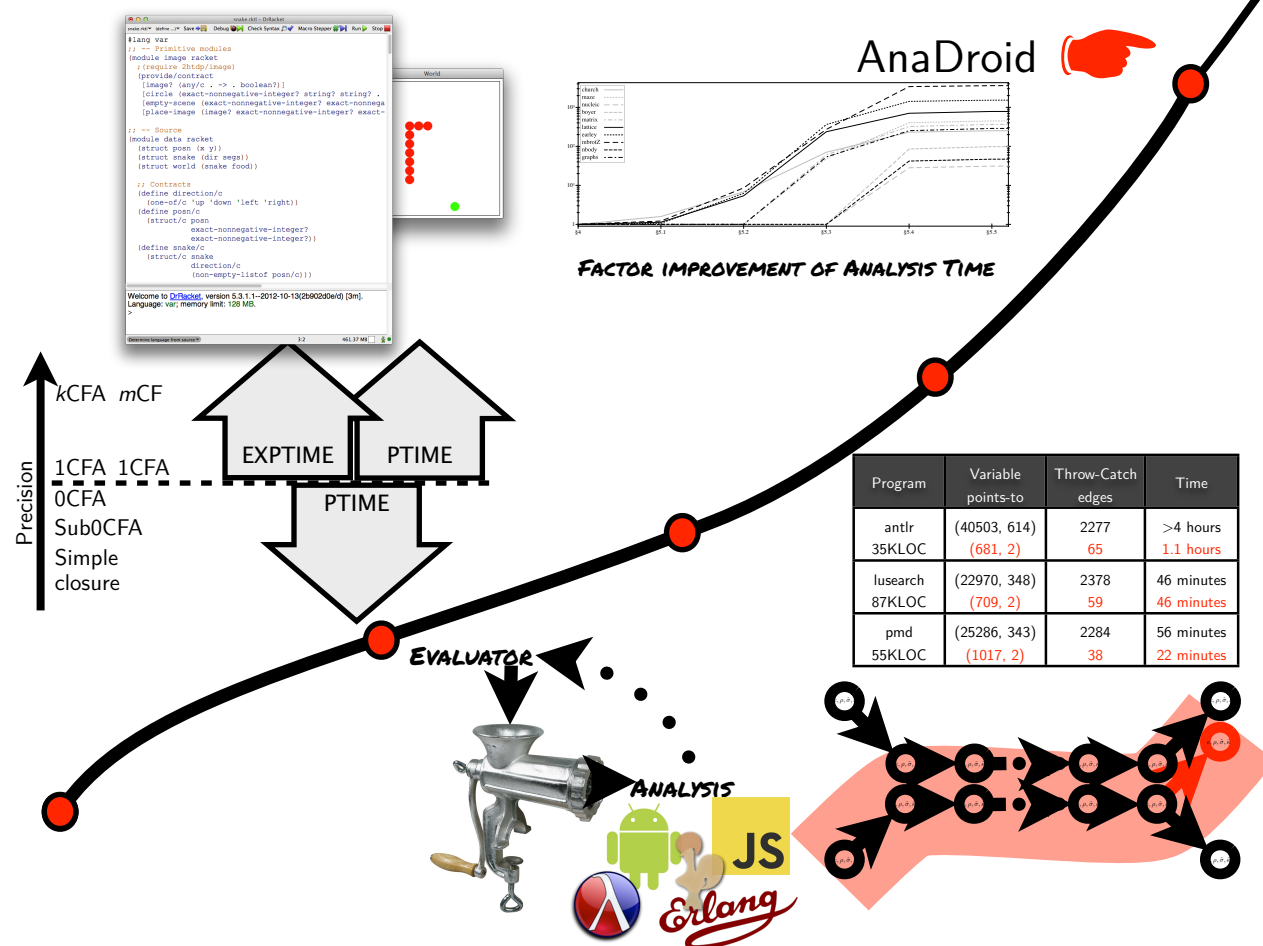






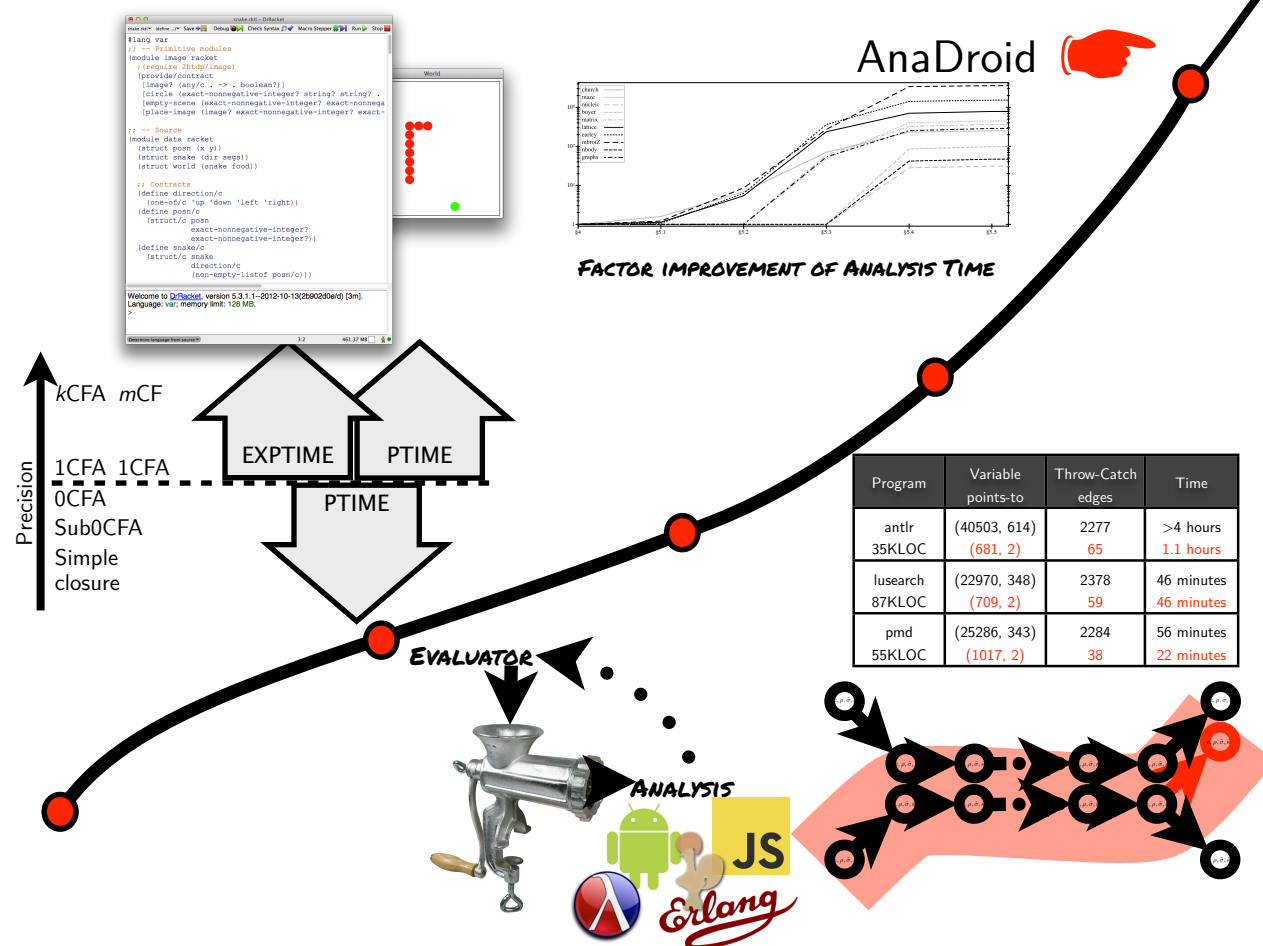
# Robust, Reliable Software and Trustworthy Systems

Thank you



# Robust, Reliable Software and Trustworthy Systems

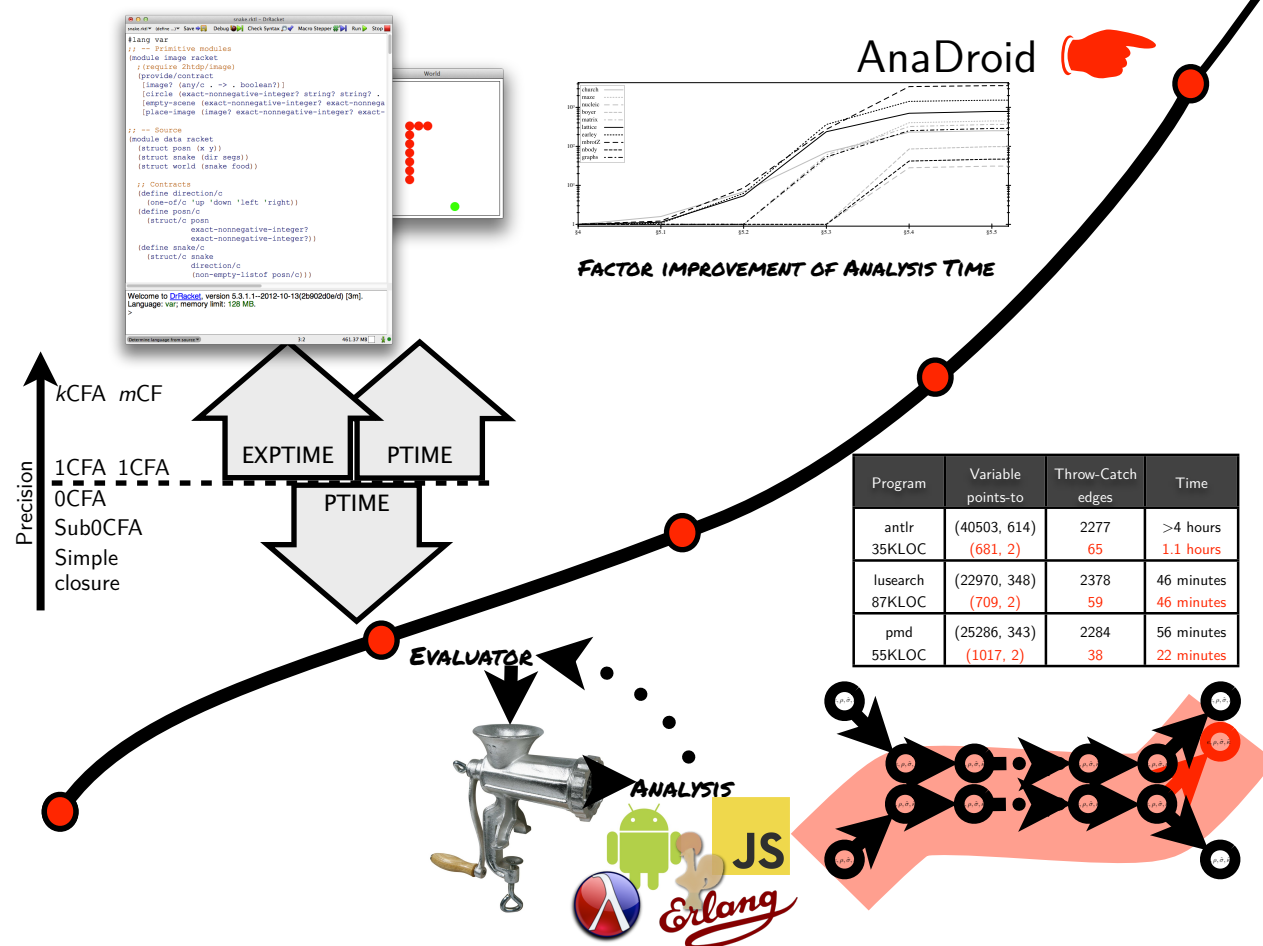
Thank you





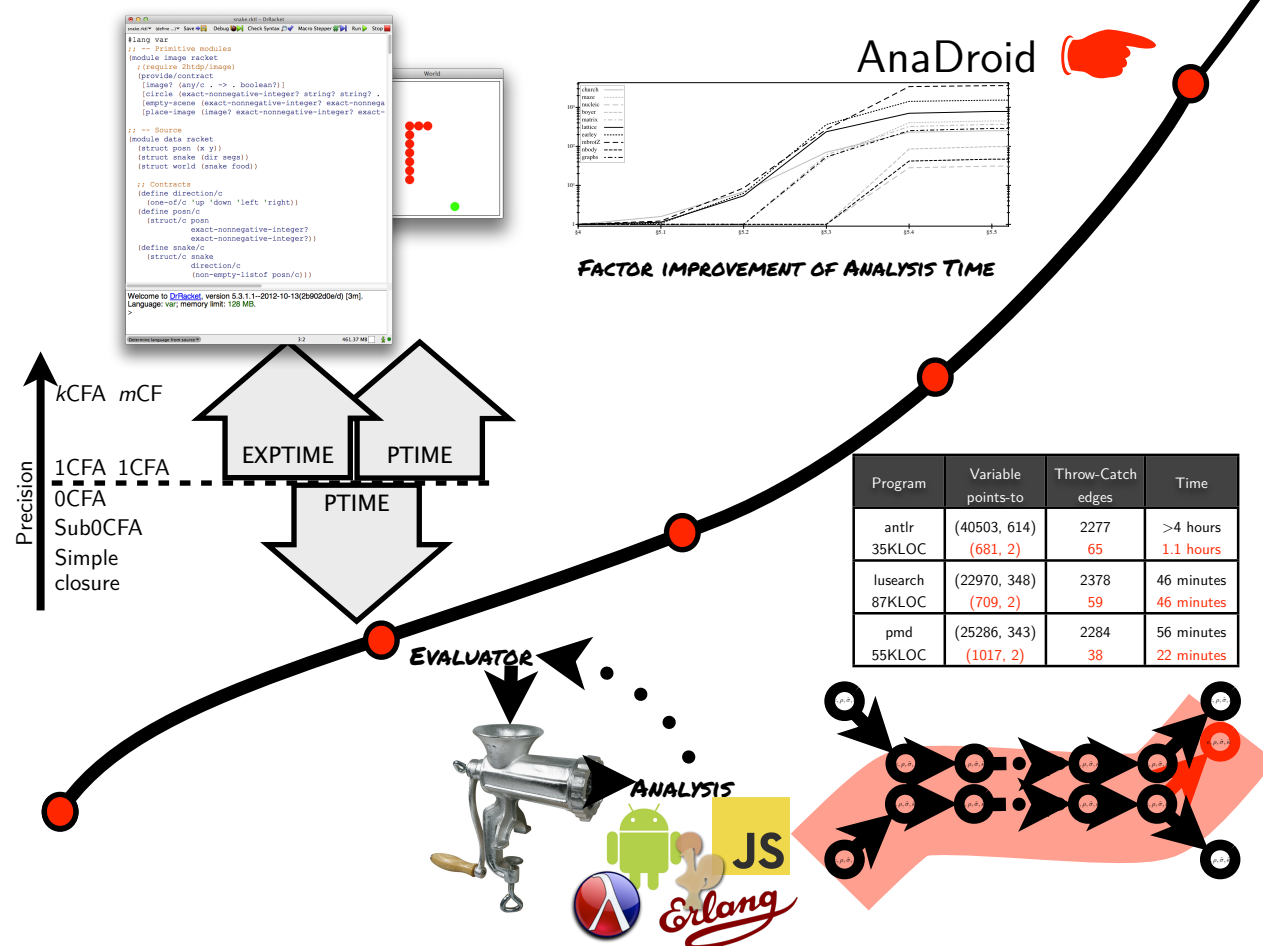
# Robust, Reliable Software and Trustworthy Systems

Thank you



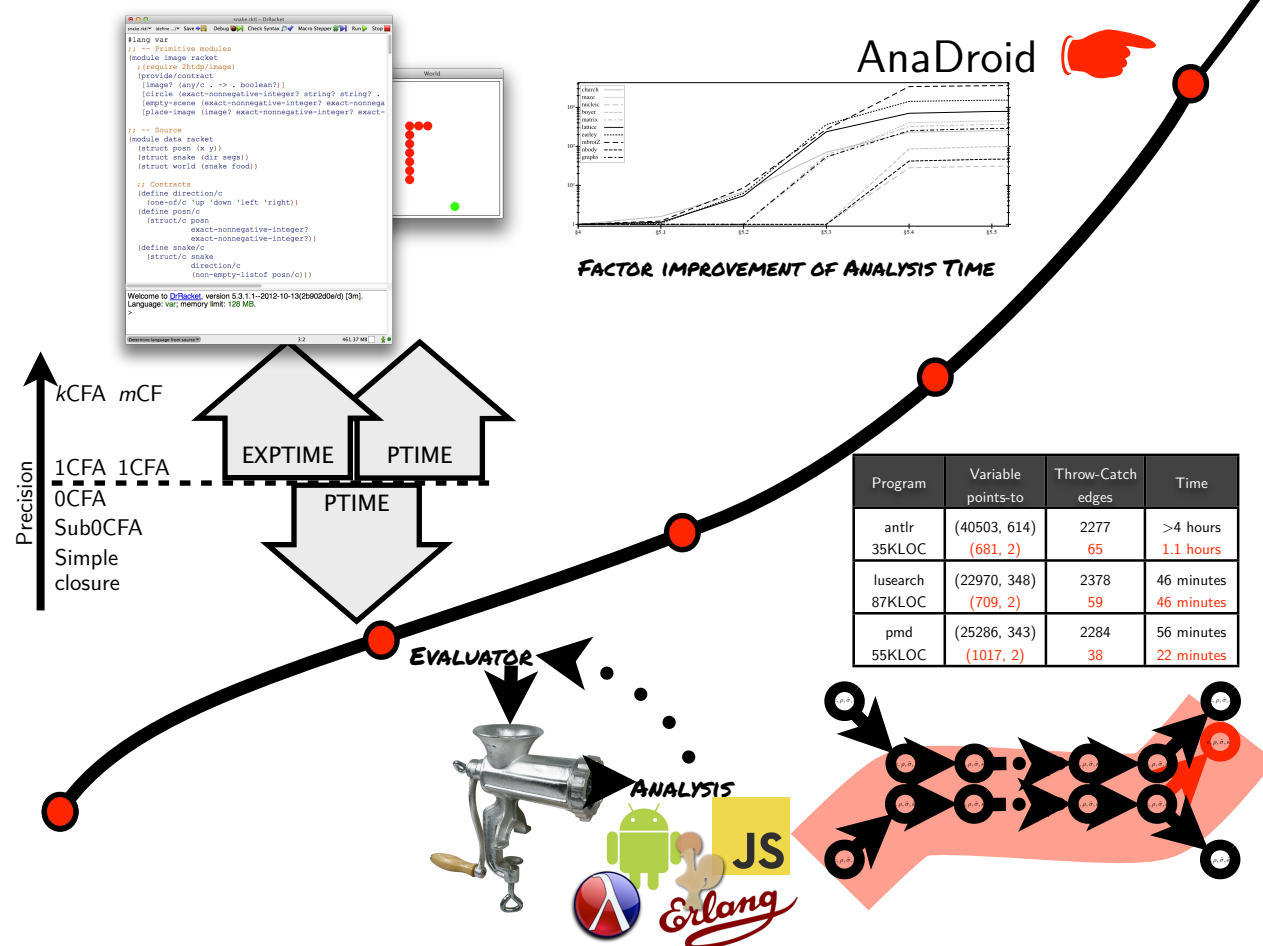
# Robust, Reliable Software and Trustworthy Systems

Thank you



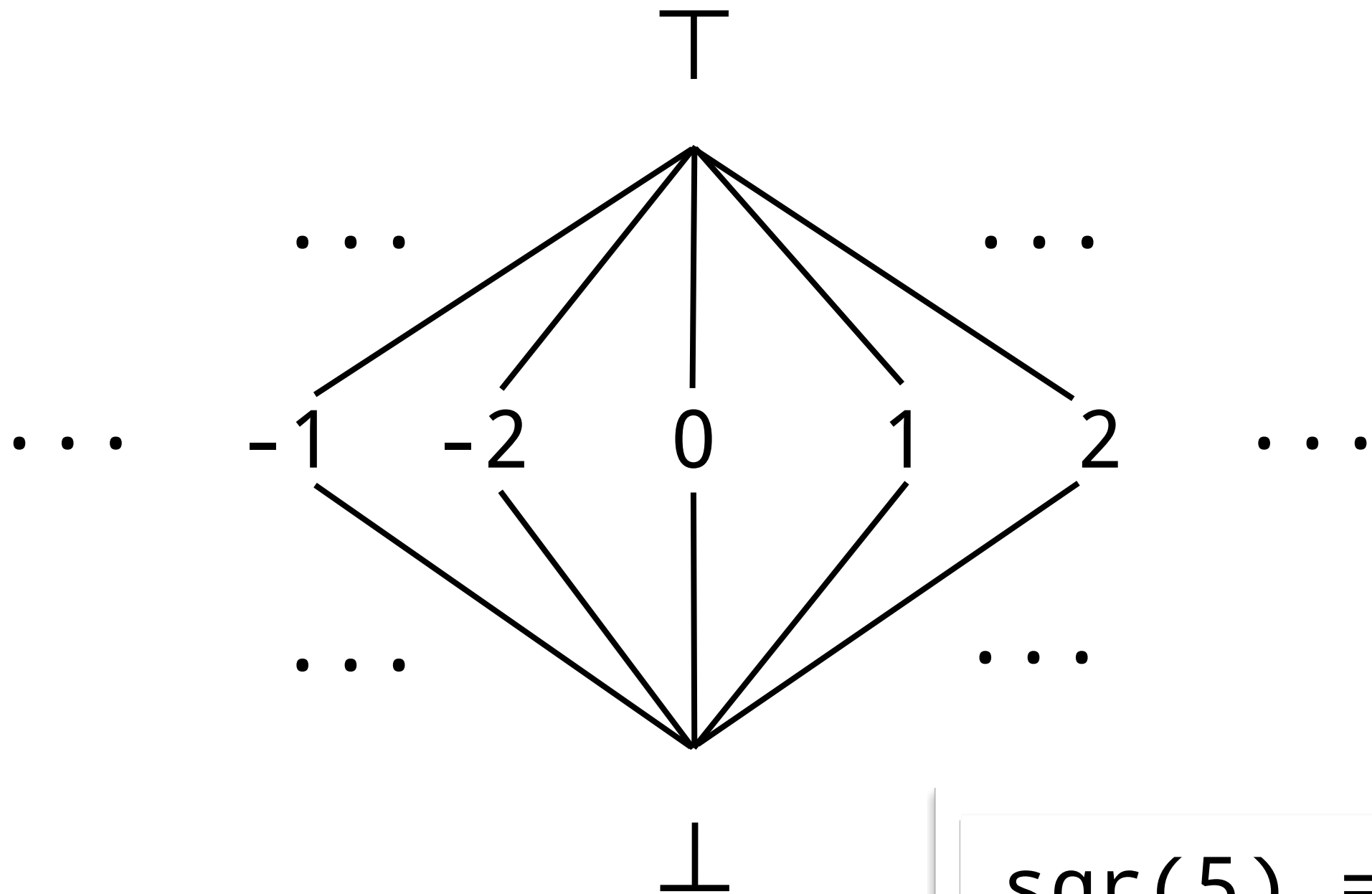
# Robust, Reliable Software and Trustworthy Systems

Thank you



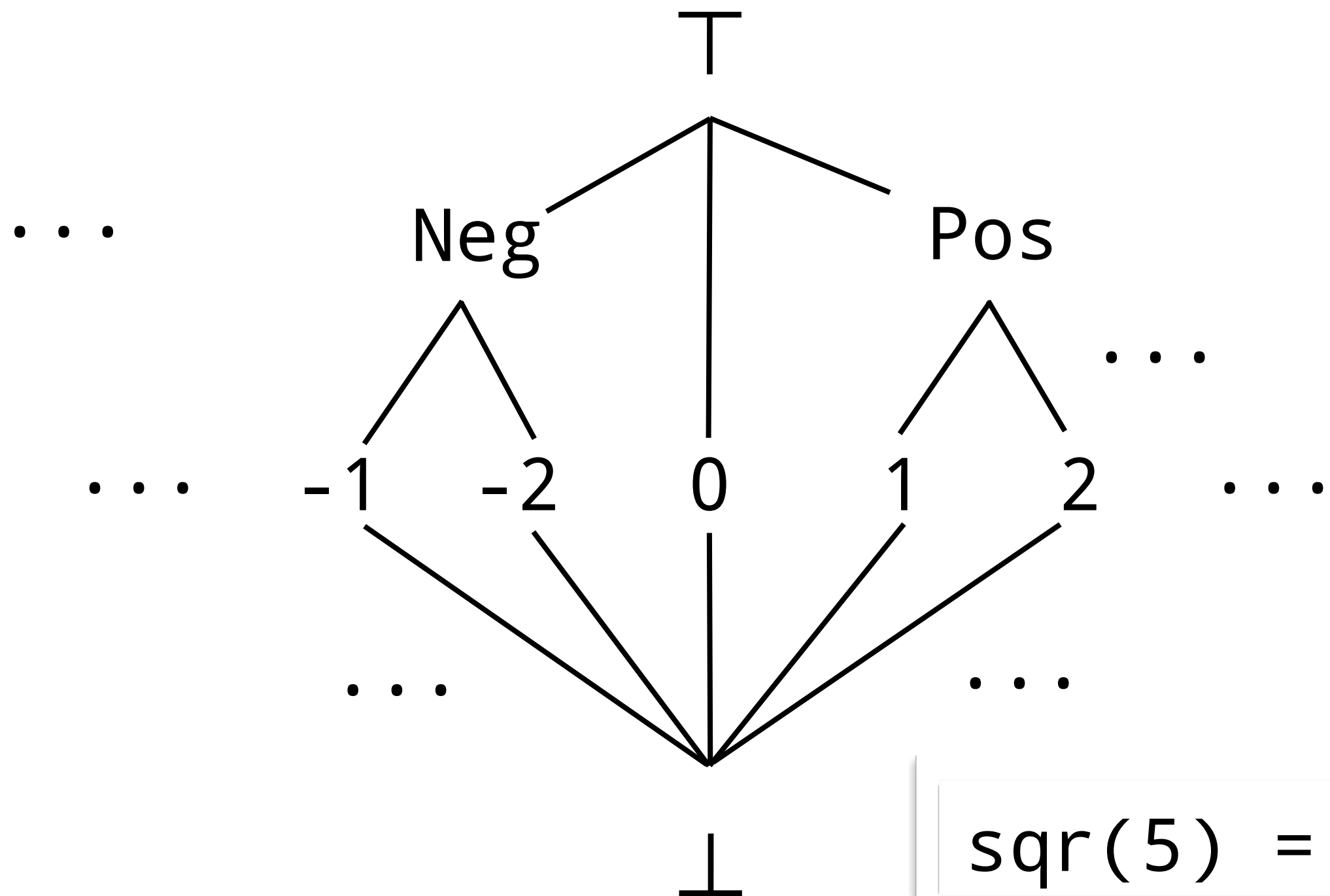


What about numbers, strings, arrays, etc.?



`sqr(5) = T`

What about numbers, strings, arrays, etc.?



`sqr(5) = Pos`