

SYSTEM NOTICE 09-30-0036

The following changes to System Notice No. 09-30-0036 were printed in the Federal Register, Vol. 59, No. 248, Wednesday December 29, 1994, beginning on page 67077.

Changes

The following minor changes have been made to the system of records as follows:

09-30-0036**System Name:**

Alcohol, Drug Abuse, and Mental Health Epidemiologic and Biometric Research Data, HHS/SAMHSA/OA.

Minor alterations have been made to this system of records notice. The following categories should be revised in their entirety:

SAFEGUARDS:

1. Authorized Users: Access to identifiers and to link files is strictly limited to those authorized personnel whose duties require such access. Procedures for determining authorized access to identified data are established as appropriate for each location. Personnel, including contractor personnel, who may be so authorized include those directly involved in data collection and in the design of research studies, e.g., interviewers and interviewer supervisors; project managers; and statisticians involved in designing sampling plans.

2. Physical safeguards: Records are stored in locked rooms, locked file facilities. Personal identifiers and link files are separated as much as possible and stored in locked files. Computer data access is limited

Individuals who are the subjects of epidemiologic, methodologic, services, evaluations, and

through the use of key words known only to authorized personnel.

3. Procedural safeguards: Collection and maintenance of data is consistent with legislation and regulations in the protection of human subjects, informed consent, confidentiality, and confidentiality specific to drug and alcohol abuse patients where these apply. When a SAMHSA component or a contractor provides anonymous data to research scientists for analysis, study numbers which can be matched to personal identifiers will be eliminated, scrambled, or replaced by the agency or contractor with random numbers which cannot be matched.

Contractors who maintain records in this system are instructed to make no further disclosure of the records. Privacy Act requirements are specifically included in contracts for survey and research activities related to this system. The HHS project directors, contract officers, and project officers oversee compliance with these requirements.

4. Implementation guidelines: DHHS Chapter 45-13 and supplementary Chapter PHS.hf: 45-13 of the General Administration Manual and Part 6, "Automated Information Systems Security" of the HHS Information Resources Management Manual.

SYSTEM MANAGER(S) AND ADDRESS:

Office of Applied Studies, Office of the Director, Room 16-105, Parklawn Building, 5600 Fishers Lane, Rockville, Maryland 20857
Center for Substance Abuse Prevention, Office of the Director, Room 9D10, Rockwall II Building, 5600 Fishers Lane, Rockville, Maryland 20857
Center for Substance Abuse

longitudinal studies and surveys of mental health and alcohol and drug use/abuse. These individuals are

Treatment, Office of the Director, Room 10-75, Rockwall II Building, 5600 Fishers Lane, Rockville, Maryland 20857
Center for Mental Health Services, Office of the Director, Room 15-105, Parklawn Building, 5600 Fishers Lane, Rockville, Maryland 20857

Systems Notice No. 09-30-0036 as printed in the Federal Register Vol. 58, No. 248, Wednesday, December 29, 1993, Beginning on page 68999.

09-30-0036**System Name:**

Alcohol, drug abuse, and Mental Health Epidemiologic Data, HHS/SAMHSA/OA.

SECURITY CLASSIFICATION:

None

SYSTEM LOCATION

Records are located at facilities which collect or provide service evaluations for this system under contract to the agency. Contractors may include, but are not limited to, research centers, clinics, hospitals, universities, research foundations, national associations, and coordinating centers. Records may also be located at the Office of Applied Studies, Center for Substance Abuse prevention, the Center for Substance Abuse Treatment, and the Center for Mental Health services. A current list of sites is available by writing to the appropriate System manager at the address below.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

selected as representative of the general adult and/or child population or of special groups. Special groups