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## IEC 61508/IEC 61511

Functional safety in the Process Industry – risk reduction with Safety Instrumented Systems



## Safety Integrity Level

### Safety Instrumented Systems

Classified and evaluated instrumentation in the process industry, an important contribution to the safety of personnel, the environment and equipment.

In most countries, safety requirements for man, equipment and the environment, follow state-of-the-art technology, as a binding and legal requirement. This standardis IEC 61508\* (Functional safety of electrical/electronic/programmable electronic safety-related systems).

\* also avaliable as DIN EN 61508



#### What is SIL?

Safety Instrumented Systems (SIS) are designed and used to prevent or mitigate hazardous events to protect people or the environment or prevent damage to process equipment.

Safety Integrity Level means risk reduction to a tolerable level. The IEC 61508 standard specifies both the risk assessment (risk graph) and the measures to be taken in the design of safety functions consisting of sensor, logic solver and actuator. These measures include "fault avoidance" (systematic faults) and "fault control" (systematic and random faults).

This generic standard specifies the pertinent requirements for components and systems used in safety functions. It also enables application sector specific standards to be developed (e.g. IEC 61511 "Functional safety: Safety instrumented systems for the process industry sector"). For example, the IEC 61511 defines selection criteria for components of safety functions, like "prior use" demonstration of sensors and actuators.



When is IEC 61508 used?

IEC 61508 applies for all applications where electrical, electronic or programmable electronic safety-related systems are used to perform safety functions. It covers all those applications where system malfunctions have a decisive effect on the safety of personnel, the environment and equipment concerned.





### The advantages of SIL standardisation

- Internationally harmonised procedures in the evaluation of safety-related systems.
- Evaluation of process control safetyrelated systems regarding systematic fault and proven random fault statistics.
- Defined "Life Cycle Management" i.e. documentation of all function-relevant steps involved in design and development.
- Complete evaluation of the entire safety function (sensor/transmitter, controller, actuator).
- The required safety can be attained with the SIL evaluated instrumentation; without extensive change of the process technology.





### Complete evaluation of a safety function (Safety Loop)



## IEC 61508 / IEC 61511

## Safety evaluation and requirements

## Differences between IEC 61508 and previous standards

For the first time a standard now requires quantitative proof for the residual risk, based on calculating the probabilities of dangerous failures.

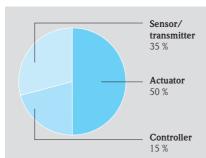
This calculation is carried out for the complete safety loop, consisting of measuring point (sensor), controller (e.g. PLC) and actuator (valve).

The probabilities of failure calculated for all individual components are added (PFD) and considered over the entire safetyrelated technical circuit such as 1001 (voting one out of one) or 2003. Applying this safety standard, it is not only the individual instrumentation but also its development and production which must be considered (safety lifecycle).

SIL	PFD <sub>av</sub>
41)	$\geq 10^{-5} < 10^{-4}$
3	$\geq 10^{-4} < 10^{-3}$
2	$\geq 10^{-3} < 10^{-2}$
1	$\geq 10^{-2} < 10^{-1}$

Dependance of SIL on the allowable average probability of failure of a safety-related system operating in low demand mode of operation.

The  $PFD_{av}$  is generally classified for the entire safety system as follows:

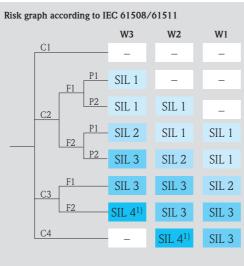


# Relationship between IEC 61508 und IEC 61511 Process sector safety instrument



## For risk reduction, both standards IEC 61508 and IEC 61511 basically define the following steps:

- Risk definition and assessment according to detailed probabilites of failure from sensor over controller to actuator for the overall component life time.
- Specification and implementation of measures for risk reduction.
- Use of suitable instrumentation (evaluated or certified).
- Periodic test for correct operation of the safety functions.



#### Consequences

- C1 minor injury
   C2 serious permanent injury to one or more persons; death of one person.
   C3 death of several persons
- C4 very many people killed

#### Exposure time

- F1 rare to more often
- F2 frequent to permanent

#### Avoidance of hazard

P1 possible under certain circumstancesP2 almost impossible

Probability of unwanted occurance W1 very slight W2 slight W3 relatively high

 SIL 4 cannot be achieved only with components.

## SFF, HFT, SIL

### Relationship between safety-related parameters

### Safe Failure Fraction (SFF)

Fraction of failures which do not have the potential to put the safety-related system in a hazardous or fail-to-function state.

### Hardware Fault Tolerance (HFT)

Ability of a functional unit (hardware) to continue to perform a required function in the presence of faults or errors. An HFT of N means, that N+1 faults could cause a loss of the safety function.

In addition to holding at maximum for probabilities of failure (PFD) the **Safety Integrity Level (SIL)** of a safety function according to IEC 61508 depends on the combination of SFF and HFT.





### The difference between "simple" and "complex" devices

The behaviour of "simple" (type A) devices under fault conditions can be completely determined. The failure modes of all constituent components are well defined. Such components are metal film resistors, transistors, relays, etc.

The behaviour of "complex" (type B) devices under fault conditions cannot be completely determined. The failure mode of at least one component is not well defined. Such components are e. g. microprocessors, ASICs.

The failure rates of these components can be found in reference tables.



#### Type A: "simple" devices (all faults known and describable)

			·
SFF Safe Failure Fraction	HFT Hardware Fault Tolerance		
	0	1	2
< 60 %	SIL 1	SIL 2	SIL 3
60 - < 90 %	SIL 2	SIL 3	SIL 4
90 - < 99 %	SIL 3	SIL 4	SIL 4
≥ 99 %	SIL 3	SIL 4	SIL 4

### Type B: "complex" devices (not all faults known and describable)

SFF Safe Failure Fraction	HFT Hardware Fault Tolerance		
	0	1	2
< 60 %	not allowed	SIL 1	SIL 2
60 - < 90 %	SIL 1	SIL 2	SIL 3
90 - < 99 %	SIL 2	SIL 3	SIL 4
≥ 99 %	SIL 3	SIL 4	SIL 4

For "prior use" instruments, the HFT may be reduced by 1 (for SIL  $\leq$  3 only) under defined conditions, according to IEC 61511.

## Parameters

## which are required for planning



### There are several procedures to evaluate components such as sensors and actuators:

## Complete evaluation according to IEC 61508

Complete evaluation of the hardware (HW) and software (SW). Fault avoidance and fault control measures are observed during the development, production and operation of the product (safety lifecycle). This is usually carried out for products to be developed.

### • "Prior use" according to IEC 61508/61511

For the evaluation of already developed and manufactured components, IEC 61511 specifies the suitability of an instrument based on the proven-inuse capability of an instrument including the instruments software and the accompanying modification procedures.

### Information required by the planner or user

In order to carry out all necessary measures, the requirements for the Safety Integrity Level, resulting from risk graph analysis, must be met. Depending on the determined Safety Integrity Level (SIL 1, 2, 3 or 4), all important parameters for the safety-related implementation of an application have to be defined.

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Safety	Freedom from unacceptable risk
E/E/PES	Electrical/electronic/programmable electronic system System for control, protection or monitoring based on one or more E/E/PES
Functional safety	The ability of a system to carry out necessary actions to achieve or maintain a defined safe state.
Safety function	Function to be implemented by an $E/E/PE$ safety-related system, other technology safety- related system or external risk reduction facilities, which is intended to achieve or maintain a safe state for the EUC (equipment under control), in respect of a specific hazardous event
SIL (Safety Integrity Level)	IEC 61508 defines four discrete Safety Integrity Levels (SIL1 to SIL4). Each level corresponds to a probability range for the failure of a safety function. The higher the Safety Integrity Level of the safety-related systems, the lower the probability that they will not perform the requested safety function.
SFF (Safe Failure Fraction)	Percentage of failures without the potential to put the safety-related system into a dangerous or fail-to-function state.
PFD <sub>av</sub>	Average probability of failure on demand
$\lambda_{\text{SD}}$	Failure rate for all safe detected failures
$\lambda_{SU}$	Failure rate for all safe undetected failures
$\lambda_{DD}$	Failure rate for all dangerous detected failures
$\lambda_{\text{DU}}$	Failure rate for all dangerous undetected failures
HFT (Hardware Fault Tolerance)	Ability of a functional unit (hardware) to continue to perform a required safety function in the presence of faults or errors. A Hardware Fault Tolerance of N means that $N+1$ faults could cause a loss of the safety function.
T <sub>1</sub> (years)	Proof-test interval Periodic test performed to detect failures in a safety-related system.
MTBF	Mean time between failures
MTTR	Mean time to restoration
Voting "MooN"	M out of N channel architecture: Classification and description of safety-related systems regarding redundancy and applied selection process. "N" denotes how often the safety function is performed (redundancy). "M" denotes how many channels have to work properly. Pressure measurement example: 1002 architecture – A safety-related system decides that a predefined pressure limit is exceeded when one of two pressure sensor reaches this limit. If a 1001 architecture is used, there is only one pressure sensor available.
MooND	M out of N channel architecture with diagnostics
Low demand mode	Mode of operation where the frequency of demands for operation made on a safety-related system is no greater than one per year and no greater than twice the proof-test frequency.
High demand or continuous mode	Mode of operation where the frequency of demands for operation made on a safety-related system is greater than one per year or greater than twice the proof-test frequency.
IEC 61508 (part 1 to 7)	Functional safety of electrical/electronic/programmable electronic safety-related systems (Target group: Manufacturers and Suppliers of Devices)
IEC 61511 (part 1 to 3)	Functional safety: Safety Instrumented Systems for the process industry sector (Target group: Safety Instrumented Systems Designers, Integrators and Users)

### Your added benefits with Endress+Hauser

- All important safety-related parameters from a single source, standard up to SIL 2: pressure – temperature – level – flowsystem components.
- Uniform and compact "safety manuals" for transparency and safety in planning, commissioning and performance checks of safety-related systems.
- Safety-related evaluation of software updates to the existing standard according to IEC 61508.







Endress+Hauser develops instrumentation according to IEC 61508 for all important areas in process technology.

The newest listing of SIL field instrumentation and documentation (e.g. safety manuals) is found under www.endress.com/SIL.

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09.03/MMC