

June 06, 2017

Mrs. NERISSA AGUILERA

Superintendencia de Servicios de
Certificación Electrónica
Av. Andrés Bello
Edificio BFC, Piso 13
Distrito Capital. Venezuela.

Subject: PSC – FII 2017 CA and RA Auditor’s Compliance
Opinion

Reference: Trust Service Provider Annual Technical Audit
Auditor’s Report, code 2017-IDEF-PSC-FII-01, dated June
05, 2017

Dear Mrs. Aguilera:

It is a requisite that an annual PKI compliance audit is to be conducted on Fundación Instituto de Ingeniería para la Investigación y Desarrollo Tecnológico (PSC – FII) certification authority (CA) and registry practices (RA) in order to get its accreditation renewal. This compliance audit verified that the PSC – FII PKI practices were in compliance with the governing certificate policy (CP), the Bolivarian Republic of Venezuela regulation on PKI, issued by SUSCERTE, “Trust Service Providers practice” in ETSI EN 319 411-1 Part 1: General requirements, specifying a policy or policies appropriate to the trust bit(s) being applied for; and “Trust Service Providers practice” in ETSI EN 319 411-2 Policy and security requirements for Trust Service Providers issuing certificates; Part 2: Requirements for trust service providers issuing EU qualified certificates.

I, Dany Romero Sanzonetty, acted in my condition of independent Auditor inscribed in SUSCERTE Auditors Registry (http://www.suscerte.gob.ve/?page_id=490), which is a requisite in Venezuela to perform PKI audits both for public and private TSPs. My qualifications satisfy current government and industry requirements for experience and professional qualifications required for conducting PKI audits. I am a Certified Information Systems Auditor (CISA), and Certified Information Security Manager (CISM) professional in good standing with the Information Systems Audit and Control Association (ISACA). I have more than 15 years of cumulative enterprise IT domain experience including over 11 years of experience as an internal systems auditor in the public sector.

My independence as an auditor was established by these three factors:

1. By SUCERTE regulations, no auditor is allowed to perform consecutive audits over the same TSP, so I am not reviewing a previous work executed by myself;
2. I do not hold or are assigned to any trusted role positions within SUSCERTE or the PSC-FII domains; and
3. I did not write any controls exhibited within the CP or CPS.

To determine the methodology's audit scope, the management assertions contained within Venezuela’s Law on Data Messages and Electronic Signatures and its bylaw, ISO 27002 standard,

ETSI EN 319 411-1 and EN 319 411-2 were identified and assessment tools and instruments were accordingly customized with the exemption of ETSI checklists, which were applied in English language without modifications to its core structure.

This audit analyzed the PSC – FII TSP (CA and RA) solution under the SUSCERTE regulation in effect during the stated audited period of June 14, 2016 through April 30, 2017 to the PSC – FII combined CP to validate if the management assertions related to the proper issuance and management of CA digital certificates was adhered to as defined. This compliance audit project was conducted during the period of May 1, 2017 through June 5, 2017.

For this audit the lead auditor requested the PSC – FII to provide evidence of the previous year PKI audit report and performed follow up activities.

It is the lead auditor’s “unqualified opinion” that the PSC - FII Issuing CA solution and registration practices (RA) are satisfactory, with reasonable assurance, that effective control practices are in place for the PSC – FII certification authorities and therefore the result of the audit is **According to local regulations but with observations.**

Respectfully,

DANY ROMERO SANZONETTY, CISA, CISM, SUSCERTE
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ISACA Member No. 537453
SUSCERTE Nro. 011
danyqromero@gmail.com
058 414/9057428