

## Report of Independent Practitioner

To the Management of Trend Micro, Inc. and its wholly-owned subsidiary AffirmTrust LLC, Certification Authorities:

We have examined the assertion by the management of Trend Micro, Inc. and its wholly-owned subsidiary AffirmTrust, LLC, Certification Authorities (collectively herein, “Trend Micro”) that in providing its SSL Certification Authority (CA) services, Trend Micro, during the period April 1, 2015 through March 31, 2016 has:

- Disclosed its Certificate practices and its commitment to provide SSL Certificates in conformity with the applicable CA/Browser Forum Guidelines.
- Maintained effective controls to provide reasonable assurance that:
  - The Certificate Policy and/or Certificate Practice Statement are available on a 24x7 basis and updated annually;
  - Subscriber information was properly collected, authenticated (for the registration activities performed by Trend Micro) and verified;
  - The integrity of keys and certificates it manages was established and protected throughout their life cycles;
  - Logical and physical access to CA systems and data was restricted to authorized individuals;
  - The continuity of key and certificate management operations was maintained; and
  - CA systems development, maintenance and operations were properly authorized and performed to maintain CA systems integrity.

for the following roots:

AffirmTrust Commercial Root  
AffirmTrust Networking Root  
AffirmTrust Premium Root  
AffirmTrust Premium ECC Root

based on the AICPA/CICA WebTrust for Certification Authorities – SSL Baseline Requirements Audit Criteria, version 1.1 (Amended).

Trend Micro's management is responsible for its assertion. Our responsibility is to express an opinion on management's assertion based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants, and accordingly, included (1) obtaining an understanding of Trend Micro's key and SSL certificate life cycle management business practices and its controls over key and SSL certificate integrity, over the continuity of key and certificate life cycle management operations, and over the development, maintenance, and operation of systems integrity; (2) testing transactions executed in accordance with disclosed key and certificate life cycle management business practices; (3) testing and evaluating the operating effectiveness of the controls; and (4) performing such other procedures as we considered necessary in the circumstances.

We believe that our examination provides a reasonable basis for our opinion.

The relative effectiveness and significance of specific controls at Trend Micro and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.

Because of the nature and inherent limitations of controls, Trend Micro's ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct, error, fraud, unauthorized access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions based on our findings to future periods is subject to the risk that changes may alter the validity of such conclusions.

In our opinion, for the period April 1, 2015 through March 31, 2016, Trend Micro management's assertion, as set forth in the first paragraph, is fairly stated, in all material respects, based on the AICPA/CICA WebTrust for Certification Authorities – Baseline Requirements Audit Criteria, v1.1 1 (Amended).

This report does not include any representation as to the quality of Trend Micro's certification services beyond those covered by the AICPA/CICA WebTrust for Certification Authorities – Baseline Requirements Audit Criteria v1.1 1 (Amended), nor the suitability of any of Trend Micro's services for any customer's intended purpose

Trend Micro's and AffirmTrust's use of the WebTrust for Certification Authorities – Baseline Requirements Seal constitutes a symbolic representation of the contents of this report and it is not intended, nor should it be construed, to update this report or provide any additional assurance.

*Grant Thornton LLP*

June 30, 2016



**Assertion by Management Regarding  
Its Disclosure of its Certificate Practices and its Controls Over  
Its SSL Certification Authority Services During the Period  
April 1, 2015 through March 31, 2016**

June 30, 2016

Trend Micro, Inc. and its wholly-owned subsidiary AffirmTrust, LLC (collectively herein, "Trend Micro") has assessed the disclosure of its certificate practices and its controls over its SSL - CA services for the period April 1, 2015 to March 31, 2016. Based on that assessment, in Trend Micro Management's opinion, in providing its SSL - CA services Trend Micro, during the period from April 1, 2015 through March 31, 2016, has:

- Disclosed its Certificate practices and its commitment to provide SSL Certificates in conformity with the applicable CA/Browser Forum Baseline Requirements.
- Maintained effective controls to provide reasonable assurance that:
  - The Certificate Policy and/or Certificate Practice Statement are available on a 24x7 basis and updated annually;
  - Subscriber information was properly collected, authenticated (for the registration activities performed by Trend Micro) and verified;
  - The integrity of keys and certificates it manages was established and protected throughout their life cycles;
  - Logical and physical access to CA systems and data was restricted to authorized individuals;
  - The continuity of key and certificate management operations was maintained; and
  - CA systems development, maintenance and operations were properly authorized and performed to maintain CA systems integrity.

in accordance with the AICPA/CICA WebTrust® for Certification Authorities – SSL Baseline Requirements Audit Criteria, v.1.1 (Amended).

Very truly yours,

A handwritten signature in blue ink, appearing to read "Felix Sterling".

Felix Sterling  
Executive Vice President and Chief Legal Officer

**Trend Micro Incorporated**