SCIENTIA, a.s.

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Audit Report Statement

SUBJECT: **POLICY REQUIREMNETS FOR CERTIFICATION AUTHORITIES ISSUING PUBLIC KEY CERTIFICATES COMPLIANCE AUDIT**

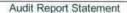PREPARED BY: ING. RASTISLAV MACHEL, CISSP (certificate number 20981)

PARTICIPATION: ING. MARTIN MACH, MCSE

ING. MARTIN SPÁL, CISA (certificate number 972934)

DATE: 13. November 2009

AUDIT SUMMARY

POLICY REQUIREMNETS FOR CERTIFICATION AUTHORITIES ISSUING PUBLIC KEY CERTIFICATES COMPLIANCE AUDIT

Independent audit team, composed of certified professionals (CISSP, CISA) has completed a compliance security audit of the Certification Authority „CA Disig „ – registration number CA-409/2006 - with the following technical specification:

ETSI TS 102042 v1.3.4 *ELECTRONIC SIGNATURES AND INFRASTRUCTURES (ESI); POLICY REQUIREMNETS FOR CERTIFICATION AUTHORITIES ISSUING PUBLIC KEY CERTIFICATES.*

Security audit focused on understanding and analyzing the controls that are implemented by the operator of the Certification Authority CA Disig to prevent and detect potential misuse or fraud and the compliance of this controls with the specific requirements on CA practices defined by the ETSI 102042 technical specification.

CONCLUSION

Compliance security audit of the Certification Authority „CA Disig" was conducted with regard to specific requirements identified in the technical specification ETSI 102042 v1.3.4. Through the detailed examination of the implemented controls the audit team verified, that Certification Authority „CA Disig" adheres to the specified requirements stated in the TS ETSI 102042 v1.3.4.

Additional interest, resulting from CA Disig requirement, was focused on areas related to Mozilla CA root inclusion requirements:

- updating the CP and CPS to provide required sufficient details and publishing CPS,
- ensuring proper Domain Name ownership/control verification (SK and Non-SK),
- ensuring proper Email Address control verification,
- RAs documented verification procedures.

Verification of the:

- registration authority security and functionality,
- registration authority knowledge in the certification services providing,
- adherence to defined certification practice statements and verification procedures,
- availability of the registration authority operational documentation,
- completeness of the records concerning certificates issuing and revocation,

was part of the audit.

Most of the recommendation related to minor findings listed in the last year audit report was accepted and reasonable countermeasures were implemented. Even if some minor findings still remain, audit team found no evidence of violating the Certification Authority policies, practices or procedures that could have material impact on the security of the certification services.

AUDIT DETAILS

COMPANY: Disig, a.s.

LOCATION: Bratislava, Slovak Republic

CONTACT PERSON (operator of the CA):   Ing. Miškovič Peter

STANDARD: ETSI TS 101456 v1.4.3

DATE: 13. November 2009

LEAD AUDITOR: Ing. Rastislav Machel, CISSP

PARTICIPANT: Ing. Martin Mach, MCSE
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SIGNATURE:

LEAD AUDITOR