



AUDIT STATEMENT REPORT

**POLICY REQUIREMENTS FOR CERTIFICATION
AUTHORITIES ISSUING PUBLIC KEY CERTIFICATES
COMPLIANCE AUDIT**

AUDIT DETAILS

Subject of audit:	Certification Authority „CA Disig“ registration number "CA-409/2006"
Company:	Disig, a.s.
Location:	Bratislava, Slovak republic
Contact person:	Ing. Peter Miškovič
Standard:	ETSI 102042 v.2.1.2
Lead auditor:	ING. JURAJ ZELENAY, CISA certificate number 1196166
Participation:	ING. RASTISLAV MACHEL, CISSP, certificate number 20981
Date:	28.11.2011

SUMMARY

Independent audit team, headed by certified information systems auditor (CISA), has conducted and completed a compliance security audit of the Certification Authority „CA Disig“ with the following technical specification:

ETSI TS 102042 v2.1.2 ELECTRONIC SIGNATURES AND INFRASTRUCTURES (ESI); POLICY REQUIREMENTS FOR CERTIFICATION AUTHORITIES ISSUING PUBLIC KEY CERTIFICATES COMPLIANCE AUDIT.

The main objective was to verify the level and effectiveness of existing controls within the Certification Authority CA DISIG infrastructure and to obtain the statement if the Certification Authority CA DISIG meets the ETSI 102042 requirements.

Secondary focus was aimed on related Mozilla CARoot requirements.

CONCLUSION

According to security audit compliance results based on ETSI 102042 requirements the independent audit team formulate following statement:

"audit team verified that the requirements identified in the technical specification ETSI 102042 v2.1.2.) are met."

Some minor findings without material impact on the security of the certification services are stated in the audit report. No evidence of significant violating the Certification Authority policies, practices or procedures was found.

Related Mozilla CARoot requirements were verified in following areas:

- multi-factor authentication for all account capable of directly causing certificate issuance
- automatic blocks in place for high-profile domain names
- issuance restriction for external third party that issues certificates or can directly cause the issuance of certificates
- registration authority security, functionality and knowledge in the certification services providing.
- compliance with defined certification practice statements, guidance and procedures.
- registration authority operational documentation availability.
- records management concerning certificates issuing and revocation

with the same positive results.

Compliance security audit was conducted in the time period from 15.11.2011 to 28.11.2011 and is taking into account the actual conditions and situation.

Lead auditor

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STATEMENT





CERTIFIED INFORMATION SYSTEMS AUDITOR®

Juraj Zelenay

ISACA hereby certifies that

has successfully met all requirements and is qualified as a Certified Information Systems Auditor, in witness whereof, we have subscribed our signatures to this certificate.

Requirements include prerequisite professional experience; adherence to the ISACA Code of Professional Ethics and the CISA continuing professional education policy; and passage of the CISA exam.

11 November 2011

Date of Certification

Kenneth L. VanderWal

Interim and President of ISACA and IITG

31 January 2015

Expiration Date

[Signature]

Chair, CISA Certification Committee

1196166

Certificate Number

