

Sectigo WebTrust Audit: Explanatory Letter related to the delayed issuance of WebTrust Seals for audit period April 1, 2019 through March 31, 2020.

After the finalization of the WebTrust audit reports (WebTrust for CA, WebTrust SSL Baseline with Network Security, WebTrust Extended Validation SSL, WebTrust Publicly Trusted Code Signing and WebTrust Extended Validation Code Signing), signed by the auditor on July 2, 2020 and received by Sectigo on July 3, 2020, Sectigo, having seen DigiCert's related bug (https://bugzilla.mozilla.org/show_bug.cgi?id=1650910) on intent vs. capability, informed the auditor on July 13, 2020 that information provided during the audit and relevant for the audit period was not correct. Concretely, the provided list of Certification Authorities in scope of the audit period did not correctly reflect the type of certificates that could have been issued during the audit period by these Certification Authorities.

Sectigo indicated that the total population of Certification Authorities in scope for the audit period included in the WebTrust for CA report, was accurate. As a result, the auditor approved the issuance of the WebTrust for CA seal. However, further investigation was required to clarify the identified discrepancies for the remaining reports. Given the incorrect certificate type definition Certificate Authorities can issue, the remaining requested seals were not approved by the auditor.

Sectigo provided an updated Certification Authority listing to the auditor on July 16, 2020. The auditor enquired whether certificates were issued by the Certification Authorities amended in the list on July 23, 2020. On August 19, 2020, Sectigo provided the auditor with the number of certificates issued by each Certification Authority. The auditor identified that the number of certificates issued during the audit period, communicated in the update sent on August 19, 2020, largely exceeded the certificate population provided to the auditor during the execution of the audit.

Sectigo was informed by the auditor on August 27, 2020 on the large discrepancy between the issued certificates during the audit period and the provided population, and was informed that resampling and testing was required to cover the population in scope of the audit period and needed to be documented in re-issued auditor reports for all abovementioned WebTrust Frameworks.

Sectigo investigated the cause and origin of the identified discrepancies, provided feedback to the auditor, and provided corrected certificate population listings on September 1, 2020. The auditor inspected the newly provided certificate population between September 1, 2020 and September 25th, 2020.

Given the auditor's opinion documented in all issued WebTrust reports did not cover the complete population in scope of the audit period, Sectigo was informed on September 11, 2020 of the auditor's intention to request suspension of the issued WebTrust for CA seal until the current situation is clarified and rectified. The auditor contacted CPA Canada on September 17, 2020 (PST) to initiate the temporary suspension of the WebTrust for CA seal (seal ID 10475).

Sectigo
Bradford, England, United Kingdom

EY Bedrijfsrevisoren BV
Diegem, Belgium



Signed by: J. Robin Alden
Function: Chief Compliance Officer



Christel Weymeersch, Partner*
September 30, 2020
* Acting on behalf of a BV