

CCADB		EY	
Error(s)	Recommended Actions	Response	False-Positive?
[R00001182] Failed to validate EKU 'Code Signing' because the standard names and standard policies are not found in the audit letters (case insensitive): "webtrust for certification authorities - publicly trusted code signing certificates, 1.0.1; 1.0"	Auditor must provide an audit statement that clearly specifies the audit standards applied during the audit. Reference http://aka.ms/auditreqs	Report does outline the WebTrust Principles and Criteria for Certification Authorities – Publicly Trusted Code Signing Certificates v1.0.1. Text in EY report = "WebTrust Principles and Criteria for Certification Authorities – Publicly Trusted Code Signing Certificates v1.0.1" Looks like string matching error.	Yes
[R00001183] Failed to validate EKU 'Code Signing' because the standard names and standard policies are not found in the audit letters (case insensitive):	Auditor must provide an audit statement that clearly specifies the audit standards applied during the audit. Reference http://aka.ms/auditreqs	Report does outline the WebTrust Principles and Criteria for Certification Authorities – Publicly Trusted Code Signing Certificates v1.0.1. Text in EY report = "WebTrust Principles and Criteria for Certification Authorities – Publicly Trusted Code Signing Certificates v1.0.1" Looks like string matching error.	Yes
[R00001183] Failed to validate EKU 'CodeSigningEV' because the standard names and standard policies are not found in the audit letters (case insensitive): "webtrust for ca - extended validation - code signing, 1.1"; "webtrust for ca - extended validation - code signing, 1.4"; "webtrust for ca - extended validation - code signing, v1.4.1"; "webtrust principles and criteria for certification authorities, extended validation code signing v1.4.1"	Auditor must provide an audit statement that clearly specifies the audit standards applied during the audit. Reference http://aka.ms/auditreqs	Report does outline the WebTrust Principles and Criteria for Certification Authorities – Publicly Trusted Code Signing Certificates v1.0.1. Text in EY report = "WebTrust Principles and Criteria for Certification Authorities – Publicly Trusted Code Signing Certificates v1.0.1" Looks like string matching error. QuoVadis does NOT issue EV Code Signing Certificates.	Yes
[StandardAudit] Audit letter not found in certified location.	Audit letter will be manually reviewed. Audit letter should be hosted by an approved certifying authority.	WebTrust Seal process is underway. Seals due to be published soon.	No
[CodeSigningAudit] Audit letter not found in certified location.	Audit letter will be manually reviewed. Audit letter should be hosted by an approved certifying authority.	WebTrust Seal process is underway. Seals due to be published soon.	No
[CodeSigningAudit] The audit period specified (AuditPeriodStart = '01/01/2019' and AuditPeriodEnd = '12/31/2019') cannot be found in the audit letter.	Please request that your auditor provide an updated audit statement that clearly specifies the Audit Period Start and End dates.	Audit period included in the first paragraph of the audit letter / report. Text in EY report = 'throughout the period 1 January 2019 to 31 December 2019'. This matches CCADB Policy accepted date format.	Yes
[CodeSigningAudit] StartDate and EndDate missing in request and system is unable to extract suitable audit period from letter.	Please ensure that your audit statement clearly specifies the Audit Period Start and End dates. Validate the Audit Period dates in the CCADB record.	Audit period included in the first paragraph of the audit letter / report. Text in EY report = 'throughout the period 1 January 2019 to 31 December 2019'. This matches CCADB Policy accepted date format.	Yes
[CodeSigningAudit] Validation of StatementDate '03/31/2020' skipped due to the failure of validating Audit Period.	Auditor must provide an audit statement that clearly specifies the Audit Period Start and End dates. If the dates are correct in the audit letter, please update the dates in the CCADB record to match the dates in the letter.	Audit period included in the first paragraph of the audit letter / report. Text in EY report = 'throughout the period 1 January 2019 to 31 December 2019'. This matches CCADB Policy accepted date format.	Yes
[BRAudit] Audit letter not found in certified location.	Audit letter will be manually reviewed. Audit letter should be hosted by an approved certifying authority.	WebTrust Seal process is underway. Seals due to be published soon.	No

[BRAudit] The audit period specified (AuditPeriodStart = '01/01/2019' and AuditPeriodEnd ='12/31/2019') cannot be found in the audit letter.	Please request that your auditor provide an updated audit statement that clearly specifies the Audit Period Start and End dates.	Audit period included in the first paragraph of the audit letter / report	Yes
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[EVSSLAudit] Audit letter not found in certified location.	Audit letter will be manually reviewed. Audit letter should be hosted by an approved certifying authority.	WebTrust Seal process is underway. Seals due to be published soon.	No
[EVSSLAudit] The audit period specified (AuditPeriodStart = '01/01/2019' and AuditPeriodEnd ='12/31/2019') cannot be found in the audit letter.	Please request that your auditor provide an updated audit statement that clearly specifies the Audit Period Start and End dates.	Audit period included in the first paragraph of the audit letter / report. Text in EY report = 'throughout the period 1 January 2019 to 31 December 2019'. This matches CCADB Policy accepted date format.	Yes
[EVSSLAudit] StartDate and EndDate missing in request and system is unable to extract suitable audit period from letter.	Please ensure that your audit statement clearly specifies the Audit Period Start and End dates. Validate the Audit Period dates in the CCADB record.	Audit period included in the first paragraph of the audit letter / report. Text in EY report = 'throughout the period 1 January 2019 to 31 December 2019'. This matches CCADB Policy accepted date format.	Yes
[EVSSLAudit] Validation of StatementDate '03/31/2020' skipped due to the failure of validating Audit Period.	Auditor must provide an audit statement that clearly specifies the Audit Period Start and End dates. If the dates are correct in the audit letter, please update the dates in the CCADB record to match the dates in the letter.	Audit period included in the first paragraph of the audit letter / report. Text in EY report = 'throughout the period 1 January 2019 to 31 December 2019'. This matches CCADB Policy accepted date format.	Yes