

## Report of Independent Accountants

To the Management of GlobalSign NV/SA,

We have examined the assertion by the management of GlobalSign NV/SA, that in providing its Certification Authority (CA) services known as "GlobalSign Root CA" and "Adobe Certified Document Services CA" at Leuven, Martelarenlaan 38, for the Root CAs "GlobalSign Root CA - R1", "GlobalSign Root CA - R2", "GlobalSign Root CA - R3", "GlobalSign Root CA - R4", "GlobalSign Root CA - R5", "GlobalSign Root CA - R6", "GlobalSign Primary SHA256 CA for Adobe", "GlobalSign CA for Adobe", "AlphaSSL G2", "NAESB Issuing CA - SHA256", "AlphaSSL G2 - SHA256" and "GlobalSign CA for AATL - SHA256 - G2" during the period from April 1, 2014 to March 31, 2015, GlobalSign NV/SA has:

- ▶ Disclosed its [Certificate Practice Statement](#) and its commitment to provide SSL certificates in conformity with [CA/Browser Forum Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates](#)
- ▶ Maintained effective controls to provide reasonable assurance that:
  - Subscriber information was properly collected, authenticated (for the registration activities performed by the CA and subcontractor) and verified;
  - The integrity of keys and certificates it manages was established and protected throughout their life cycles;
  - Logical and physical access to CA systems and data was restricted to authorized individuals;
  - The continuity of key and certificate management operations was maintained; and
  - CA systems development, maintenance and operations were properly authorized and performed to maintain CA systems integrity

based on the [AICPA/CPA Canada WebTrust for Certification Authorities - SSL Baseline Requirements Audit Criteria](#).

GlobalSign NV/SA's management is responsible for its assertion. Our responsibility is to express an opinion on management's assertion based on our examination.

GlobalSign NV/SA makes uses of external registration authorities for specific subscriber registration activities as disclosed in GlobalSign NV/SA's business practice disclosures. Our examination did not extend to the control of external registration authorities.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants, and accordingly, included (1) obtaining an understanding of GlobalSign NV/SA's key and certificate life cycle management business and information privacy practices and its controls over key and certificate integrity, over the authenticity and privacy of subscriber and relying party information, over the continuity of key

and certificate life cycle management operations, and over development, maintenance and operation of systems integrity; (2) selectively testing transactions executed in accordance with disclosed key and certificate life cycle management business and information privacy practices; (3) testing and evaluating the operating effectiveness of the controls; and (4) performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion.

Because of inherent limitations in controls, errors or fraud may occur and not be detected. Furthermore, the projection of any conclusions, based on our findings, to future periods is subject to the risk that the validity of such conclusions may be altered because of changes made to the system or controls, the failure to make needed changes to the system, or deterioration in the degree of effectiveness of the controls.

In our opinion, for the period April 1, 2014 to March 31, 2015, GlobalSign NV/SA management's assertion, as set forth in the first paragraph, is fairly stated, in all material respects, based on the AICPA/CPA Canada WebTrust for Certification Authorities - SSL Baseline Requirements Audit Criteria.

The WebTrust seal of assurance for certification authorities on GlobalSign NV/SA's Web site constitutes a symbolic representation of the contents of this report and it is not intended, nor should it be construed, to update this report or provide any additional assurance.

The relative effectiveness and significance of specific controls at GlobalSign NV/SA and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.

This report does not include any representation as to the quality of GlobalSign NV/SA's services beyond those covered by the WebTrust for Certification Authorities - SSL Baseline Requirements Audit Criteria, nor the suitability of any of GlobalSign NV/SA's services for any customer's intended purpose.

Ernst & Young Bedrijfsrevisoren



Christel Weymeersch

Partner

June 15<sup>th</sup>, 2015



**GlobalSign**  
GMO INTERNET GROUP

## **Assertion of Management as to its Disclosure of its Business Practices and its Controls over its SSL Certification Authority Services during the period from April 1, 2014 through March 31, 2015**

*June 15<sup>th</sup>, 2015*

### **Our Commitment to Security, Controls and Integrity:**

GlobalSign NV/SA is committed to providing the highest level of security, controls, and integrity to provide SSL certificates in accordance with its disclosed practices described in the [Certification Practice Statement](#). To that end, we have subjected our certification authority business practices to the AICPA/CPA Canada WebTrust for Certification Authorities – SSL Baseline Requirements Audit Criteria.

### **Our Assertion With Respect to WebTrust for SSL Baseline Requirements**

Management has assessed the controls over its SSL certificate operations. Based on that assessment, in GlobalSign management's opinion, in providing its SSL certificate services at Leuven, Martelarenlaan 38, location for the Root CAs "GlobalSign Root CA – R1", "GlobalSign Root CA – R2", "GlobalSign Root CA – R3", "GlobalSign Root CA – R4", "GlobalSign Root CA – R5", "GlobalSign Root CA – R6", "GlobalSign Primary SHA256 CA for Adobe", "GlobalSign CA for Adobe", "AlphaSSL G2", "NAESB Issuing CA – SHA256", "AlphaSSL G2 - SHA256" and "GlobalSign CA for AATL - SHA256 - G2" during the period from April 1, 2014 to March 31, 2015, GlobalSign NV/SA has:

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based on the [AICPA/CPA Canada WebTrust for Certification Authorities – SSL Baseline Requirements Audit Criteria](#)



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Koji Takenobu  
Board member