



## Auditor's Report

The Management of Certsuperior S. de R.L. de C.V. (CERTSUPERIOR), and Symantec Corporation:

We have examined the assertion by the management of CERTSUPERIOR that during the period October 1<sup>st</sup> of 2013 through September 30<sup>th</sup>, 2014 for its Registration Authority (RA) operations at Santa Fe, Mexico City, Mexico has:

- Disclosed its Certificate practices and procedures and its commitment to provide SSL Certificates in conformity with the applicable CA/Browser Forum Guidelines
- Maintained effective controls to provide reasonable assurance that:
  - Subscriber information was properly collected, authenticated and verified;
  - The integrity of keys and certificates it manages was established and protected throughout their life cycles.
- Maintained effective controls to provide reasonable assurance that:
  - Logical and physical access to RA systems and data was restricted to authorized individuals;
  - The continuity of key and certificate management operations was maintained; and
  - RA systems development, maintenance and operations were properly authorized and performed to maintain RA systems integrity.

in accordance with the [WebTrust<sup>®</sup> for Certification Authorities – SSL Baseline Requirements Audit Criteria version 1.1.](#)

Our audit was conducted in accordance with International Standards on Assurance Engagements and, accordingly, included:

1. obtaining an understanding of CERTSUPERIOR's SSL certificate life cycle management practices and procedures, including its relevant controls over the issuance, renewal and revocation of SSL certificates,
2. selectively testing transactions executed in accordance with disclosed SSL certificate life cycle management practices,
3. testing and evaluating the operating effectiveness of the controls, and
4. performing such other procedures as we considered necessary in the circumstances.



The relative effectiveness and significance of specific controls at CERTSUPERIOR and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors, present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.

Because of the nature and inherent limitations of controls, CERTSUPERIOR's ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct, error, fraud, unauthorized access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions based on our findings to future periods is subject to the risk that changes may alter the validity of such conclusions.

This report does not include any representation as to the quality of CERTSUPERIOR's registration services beyond those covered by the WebTrust® for Certification Authorities – SSL Baseline Requirements Audit Criteria, or the suitability of any of CERTSUPERIOR's services for any customer's intended purpose.

A handwritten signature in black ink, appearing to read "Antonio Pecora".

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Antonio Pecora CISA, CISM, CGEIT, CRISC

TIC Inteligentes S. de R.L. de C.V. (Smart IT)  
Bosque de Duraznos No. 75 1102  
Col. Bosques de las Lomas  
CP 11700, México, D.F. México

October 10<sup>th</sup>, 2014



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**Assertion by Management of Certsuperior S. de R.L. de C.V. Regarding  
Its Disclosure of its Certificate Practices and its Controls Over  
Its SSL Registration Authority Services During the Period October 1st of 2013  
Through September 30th, 2014**

The Management of Certsuperior S. de R.L. de C.V. (CERTSUPERIOR), and Symantec Corporation has assessed the disclosure of its certificate practices and its controls over its SSL - RA services located at Santa Fe, Mexico City, Mexico for the period October 1st of 2013 through September 30th, 2014.

Based on that assessment, in CERTSUPERIOR Management's opinion, in providing its SSL - RA services at Santa Fe, Mexico City, Mexico, during the October 1st of 2013 through September 30th, 2014, CERTSUPERIOR:

- Disclosed its Certificate practices and its commitment to provide SSL Certificates in conformity with the applicable CA/Browser Forum Guidelines
- Maintained effective controls to provide reasonable assurance that:
  - The Certificate Policy and/or Certificate Practice Statement are available on a 24x7 basis and updated annually;
  - Subscriber information was properly collected, authenticated and verified;
  - The integrity of keys and certificates it manages was established and protected throughout their life cycles;
  - Logical and physical access to RA systems and data was restricted to authorized individuals;
  - The continuity of key and certificate management operations was maintained; and
  - RA systems development, maintenance and operations were properly authorized and performed to maintain RA systems integrity.

in accordance with the AICPA/CICA WebTrust<sup>®</sup> for Certification Authorities – SSL Baseline Requirements Audit Criteria.

Roger Werner  
Director of Sales

October 10<sup>th</sup>, 2014