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Date
01-November-2016

Audit Attestation - ETSI Assessment 2016, D-TRUST CA's

To whom it may concern,

This is to confirm, that TÜV Informationstechnik GmbH¹ has successfully audited the D-TRUST CA's operated by

D-TRUST GmbH, Kommandantenstraße 15, 10969 Berlin, Germany
registered under "HRB 74346 B" at Amtsgericht Charlottenburg (Berlin), Germany.

The audit took place from October 4th until 7th, 2016 according to the appropriate ETSI Technical Specifications. TÜViT is accredited to perform such audits by DAkkS under registration D-ZE-12022-01-00². The audit was performed and is documented and referred to in the according certificates, which were issued in June 2016:

1. TUVIT-CA6764.16: E.ON CAs fulfil all requirements defined in the technical specification ETSI EN 319 411-1, V1.1.1 (2016-02), policy LCP
2. TUVIT-CA6765.16: D-TRUST CAs fulfil all requirements defined in the technical specification ETSI EN 319 411-1, V1.1.1 (2016-02), policy OVCP
3. TUVIT-CA6766.16: D-TRUST CAs fulfil all requirements defined in the technical specification ETSI EN 319 411-1, V1.1.1 (2016-02), policy EVCP
4. TUVIT-CA6767.16: D-TRUST CAs fulfil all requirements defined in the technical specification ETSI EN 319 411-1, V1.1.1 (2016-02), policy LCP
5. TUVIT-CA6768.16: D-TRUST CAs fulfil all requirements defined in the technical specification ETSI EN 319 411-1, V1.1.1 (2016-02), policy LCP

¹ in the following termed shortly „TÜViT“

² <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-00>

6. TUVIT-CA6769.16: Uniper CAs fulfil all requirements defined in the technical specification ETSI EN 319 411-1, V1.1.1 (2016-02), policy LCP

The D-TRUST, E.ON and Uniper CAs have been issued under the following Root CAs which had been covered and successfully audited according to ETSI EN 319 411-1, V1.1.1 (2016-02):

Root 1, Friendly Name: "D-TRUST Root CA 3 2013", audited for policy LCP,

CN: D-TRUST Root CA 3 2013

Thumb Print (SHA-1): 6c 7c cc e7 d4 ae 51 5f 99 08 cd 3f f6 e8 c3 78 df 6f ef 97

Certificate Serial #: 0f dd ac

Root 2, Friendly Name: "D-TRUST Root Class 3 CA 2 2009", audited for policy OVCP,

CN: D-TRUST Root Class 3 CA 2 2009

Thumb Print (SHA-1): 58 e8 ab b0 36 15 33 fb 80 f7 9b 1b 6d 29 d3 ff 8d 5f 00 f0

Certificate Serial #: 09 83 f3

Root 3, Friendly Name: "D-TRUST Root Class 3 CA 2 EV 2009", audited for policy EVCP,

CN: D-TRUST Root Class 3 CA 2 EV 2009

Thumb Print (SHA-1): 96 c9 1b 0b 95 b4 10 98 42 fa d0 d8 22 79 fe 60 fa b9 16 83

Certificate Serial #: 09 83 f4

The assessment covered the period from October 9th, 2015 until October 7th, 2016.

The next assessment has to be successfully finalized before November 31st, 2017 in order to maintain the certificate validity as stated in the corresponding certificates issued by TÜVIT.

The assessment performed covered all relevant CAs, including their roots as described in the aforementioned certificate that has been issued to D-TRUST. The full PKI hierarchy has been documented during the audited – see Appendix I to this audit attestation.

D-TRUST may publish this audit attestation in a publicly-accessible location, as required.

In case of any question, please contact Mr Clemens Wanko (phone: 586, fax. –555, email: C.Wanko@tuvit.de).

With kind regards
TÜV Informationstechnik GmbH
IT Infrastructure

i. V.

Dr. Christoph Sutter

i. A.

Clemens Wanko

Enclosure: Appendix I – D-TRUST PKI hierarchy

Appendix I: D-TRUST PKI hierarchy

RootCA:

D-TRUST Root CA 3 2013

IntermediateCA:

E.ON Group CA 2 2013

Uniper Group CA 2 2015

LCP SubCA:

- E.ON CA 2 2013 XXI
- E.ON CA 2 2013 XXII
- E.ON CA 2 2013 XXIII
- Partner CA 2 2013 XXIV
- Uniper CA 2 2015 XXXI
- Uniper CA 2 2015 XXXII
- Uniper CA 2 2015 XXXIII
- D-TRUST Application Certificates CA 3-1 2013

RootCA:

D-TRUST Root Class 3 CA 2 2009

OVCP SubCA:

- D-TRUST SSL Class 3 CA 1 2009

RootCA:

D-TRUST Root Class 3 CA 2 EV 2009

EVCP SubCA:

- D-TRUST SSL Class 3 CA 1 EV 2009

All relevant CAs as stated above have been covered by the audit.