



REQUIRED

This Account Application and Agreement, together with all of the agreements, acknowledgments, representations, warranties, notices, disclaimers and assumptions of risk which it contains or incorporates by reference to other documents that are part of this application package, as any of the foregoing may from time to time be modified or amended by TradeStation by posting any such modifications or amendments on the www.TradeStation.com Web site (the "Web Site"), or by e-mail, postcard, regular mail or by any other reasonable method of notice to you (collectively, the "Agreement"), sets forth your agreement with TradeStation's divisions and affiliates, including, but not limited to, TradeStation Technologies, Inc., and TradeStation Securities, Inc. Your access to and use of your account, and the services provided by TradeStation, and all services provided by its divisions and affiliates are subject to this Agreement (or the applicable portion thereof). PLEASE READ ALL DOCUMENTS CAREFULLY. The Agreement, including each document that is a part thereof, constitutes a legally binding commitment made by you in favor of TradeStation's divisions and affiliates. The following Trading Experience is representative of the Primary Authorized Representative.

In order to expedite your account opening process, if you have been working with a Representative, please enter that person's name below:

Representative's Name: **Promotional Code:**

Please select the account type you would like to open within the next 90 days: **Equities** **Futures**

For Equities accounts only:
Please select your Equity account commission schedule:

This is an important decision that should be made by you after careful analysis of the fee commission choices found at TradeStation.com. If you do not mark a box, your equity account commission schedule will be set up on Per-Trade basis.

Per-Share **Per-Trade**

For Futures accounts only: What type of futures trading will you be doing with this account?
If you do not make a selection below, your futures account will be coded for Electronic Trading Only which means that you intend to trade directly via the TradeStation Platform.

Electronic trading only
(Trading directly through the TradeStation Platform)

Futures Options trading
(Trading through an external online application that has been interfaced with the TradeStation Platform. NOTE: Electronic contracts may also be traded through the external application. This means you will be doing all trading through the external application unless you open a second account to trade electronic futures through the TradeStation Platform)

For IRA accounts only (US citizens and US residents only.):
Please select your account preference: **Traditional IRA** **Roth IRA** **SEP IRA** **Simple IRA** **Beneficiary IRA (Equities only)**
For Futures IRA accounts only, please select your custodian: **Equity Trust** **Midland IRA** **Millennium Trust**

Primary Account Holder Information

If you have moved within the past 2 years, please include a copy of a utility bill or your driver's license with your current address.

First Name		Middle	Last
Date of Birth		Citizenship	U.S. Social Security #
U.S. residents: Driver's License #(or another form of government-issued ID):			
Type of ID: <input type="checkbox"/> Driver's License <input type="checkbox"/> Passport <input type="checkbox"/> Other:			State of issue:
Foreign residents: Please send a photocopy of your passport and indicate its corresponding number. Also, please include address verification such as a driver's license, utility bill, state ID, bank statement, or lease contract.			
Permanent Address (no P.O. Boxes)			
City	State/Province	Zip	Country
Mailing Address <input type="checkbox"/> Check here if same as Permanent Address			
City	State/Province	Zip	Country
Primary/Daytime phone number		Home/Evening phone number	
E-mail address		Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married	Number of dependents



REQUIRED

Employment Information

Are you employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange? No Yes
If yes, you must include a letter from the firm granting authorization for you to open an account with TradeStation. It should be on corporate letterhead and signed by a principal or compliance officer of the firm.

Are you a director, 10% shareholder or policy-making officer of a publicly-owned company? No Yes If Yes, please list trading symbol

Employment Status:
 Employed Self-Employed Retired Unemployed Student Homemaker

Current occupation		Type of business	Years there
Name of employer		Employers address	
City	State/Province	Zip	Country

Source of income
 Salary Gift Inheritance Insurance proceeds Legal settlement Savings/Investments/Real Estate Other _____(specify)

Financial Information

<p>Annual Income in USD:</p> <input type="checkbox"/> If under \$50,000, specify _____ <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$249,999 <input type="checkbox"/> \$250,000-\$999,999 <input type="checkbox"/> Over \$1 million	<p>Total Net Worth in USD (excluding residence): This includes all checking, savings, real estate holdings, and other assets, minus all obligations, debts and liabilities.</p> <input type="checkbox"/> If under \$50,000, specify _____ <input type="checkbox"/> \$50,000-\$74,999 <input type="checkbox"/> \$75,000-\$99,999 <input type="checkbox"/> \$100,000-\$199,999 <input type="checkbox"/> \$200,000-\$499,999 <input type="checkbox"/> \$500,000-\$999,999 <input type="checkbox"/> \$1,000,000-\$4,999,999 <input type="checkbox"/> Over \$5 million	<p>Liquid Net Worth in USD: This includes cash and marketable securities, minus all obligations, debts and liabilities.</p> <input type="checkbox"/> If under \$25,000, specify _____ <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$74,999 <input type="checkbox"/> \$75,000-\$99,999 <input type="checkbox"/> \$100,000-\$199,999 <input type="checkbox"/> \$200,000-\$499,999 <input type="checkbox"/> \$500,000-\$999,999 <input type="checkbox"/> \$1,000,000-\$4,999,999 <input type="checkbox"/> Over \$5 million
--	--	---

Source of assets to be deposited to fund your TradeStation account:
 Salary Gift Inheritance Insurance proceeds Legal settlement Savings/Investments/Real Estate Other _____(specify)

Current Bank Reference: Firm Name	City	State
-----------------------------------	------	-------

If this is a foreign account, please provide the account number:

Trading Experience

EQUITIES		OPTIONS		FUTURES	
No. of years trading	Avg. trades per month	No. of years trading	Avg. trades per month	No. of years trading	Avg. trades per month
<input type="checkbox"/> None	<input type="checkbox"/> None	<input type="checkbox"/> None	<input type="checkbox"/> None	<input type="checkbox"/> None	<input type="checkbox"/> None
<input type="checkbox"/> If under 1, specify _____	<input type="checkbox"/> 1-5 <input type="checkbox"/> 6-25 <input type="checkbox"/> 26-100+	<input type="checkbox"/> If under 1, specify _____	<input type="checkbox"/> 1-5 <input type="checkbox"/> 6-25 <input type="checkbox"/> 26-100+	<input type="checkbox"/> If under 1, specify _____	<input type="checkbox"/> 1-5 <input type="checkbox"/> 6-25 <input type="checkbox"/> 26-100+
<input type="checkbox"/> 1-2 <input type="checkbox"/> 2+		<input type="checkbox"/> 1-2 <input type="checkbox"/> 2+		<input type="checkbox"/> 1-2 <input type="checkbox"/> 2+	

For EEA Residence

CFDS OR FINANCIAL SPREAD TRADING		FOREX	
No. of years trading	Avg. trades per month	No. of years trading	Avg. trades per month
<input type="checkbox"/> None	<input type="checkbox"/> None	<input type="checkbox"/> None	<input type="checkbox"/> None
<input type="checkbox"/> If under 1, specify _____	<input type="checkbox"/> 1-5 <input type="checkbox"/> 6-25 <input type="checkbox"/> 26-100+	<input type="checkbox"/> If under 1, specify _____	<input type="checkbox"/> 1-5 <input type="checkbox"/> 6-25 <input type="checkbox"/> 26-100+
<input type="checkbox"/> 1-2 <input type="checkbox"/> 2+		<input type="checkbox"/> 1-2 <input type="checkbox"/> 2+	

Do you have control or have a financial interest in any other account with TradeStation? No Yes If Yes, please list:

Have you ever had a brokerage account (either alone or with others) or been an authorized trader on someone else's brokerage account (for E.E.A. residents)?
 No Yes (If Yes, please list firm(s) below.)

Firm Name	Account is currently: <input type="checkbox"/> Open <input type="checkbox"/> Closed
Firm Name	Account is currently: <input type="checkbox"/> Open <input type="checkbox"/> Closed



OPTIONS

Would you like the ability to trade options with this account?
 Yes No

If yes, please provide the following additional information.

Other Investments: <input type="checkbox"/> Real Estate <input type="checkbox"/> Tax Shelters <input type="checkbox"/> Savings
Investment Objectives: <input type="checkbox"/> Income <input type="checkbox"/> Growth <input type="checkbox"/> Trading Profits

Please check one or more of the option strategies you may wish to employ:

- 1. Covered call writing, Protective puts
- 2. Put/call buys, Collars, Covered puts (speculative)
- 3. Put/call spreads (speculative)
- 4. Put writing (speculative), Cash-covered puts (cash accounts only)
- 5. Uncovered call writing (This is a highly speculative activity)

Financial Information

Annual Income in USD:

- If under \$50,000, specify _____
- \$50,000-\$99,999
- \$100,000-\$249,999
- \$250,000-\$999,999
- Over \$1 million

Total Net Worth in USD (excluding residence):

This includes all checking, savings, real estate holdings, and other assets, minus all obligations, debts and liabilities.

- If under \$50,000, specify _____
- \$50,000-\$74,999
- \$75,000-\$99,999
- \$100,000-\$199,999
- \$200,000-\$499,999
- \$500,000-\$999,999
- \$1,000,000-\$4,999,999
- Over \$5 million

Liquid Net Worth in USD:

This includes cash and marketable securities, minus all obligations, debts and liabilities.

- If under \$25,000, specify _____
- \$25,000-\$49,999
- \$50,000-\$74,999
- \$75,000-\$99,999
- \$100,000-\$199,999
- \$200,000-\$499,999
- \$500,000-\$999,999
- \$1,000,000-\$4,999,999
- Over \$5 million

Trading Experience

EQUITIES

- No. of years trading
- None
 - If under 1, specify _____
 - 1-2
 - 2+
- Avg. trades per month
- None
 - 1-5
 - 6-25
 - 26-100+

OPTIONS

- No. of years trading
- None
 - If under 1, specify _____
 - 1-2
 - 2+
- Avg. trades per month
- None
 - 1-5
 - 6-25
 - 26-100+

FUTURES

- No. of years trading
- None
 - If under 1, specify _____
 - 1-2
 - 2+
- Avg. trades per month
- None
 - 1-5
 - 6-25
 - 26-100+

For EEA Residence

CFDS OR FINANCIAL SPREAD TRADING

- No. of years trading
- None
 - If under 1, specify _____
 - 1-2
 - 2+
- Avg. trades per month
- None
 - 1-5
 - 6-25
 - 26-100+

FOREX

- No. of years trading
- None
 - If under 1, specify _____
 - 1-2
 - 2+
- Avg. trades per month
- None
 - 1-5
 - 6-25
 - 26-100+

Options trading is not suitable for all investors. If you would like the ability to trade options through a TradeStation account, please click here to view the disclosure document titled Characteristics and Risks of Standardized Options (link to <http://www.optionsclearing.com/components/docs/riskstoc.pdf>)

NOTE: FOR JOINT ACCOUNTS, TWO (2) AUTHORIZED SIGNATURES ARE REQUIRED. FOR ENTITY OR TRUST ACCOUNTS, ALL AUTHORIZED OFFICERS OR TRUSTEES ARE REQUIRED TO SIGN.

Account Signature	SIGN HERE	Date
Print Authorized Signor's Name		Date
Account Signature	SIGN HERE	Date
Print Authorized Signor's Name		Date

FOR INTERNAL USE ONLY

Date approved	R.R. Signature	Approved option levels: <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Date approved	ROP Signature	
Date disclosure document sent	Date statement of risks for uncovered options writers sent	

REQUIRED

I (We) represent, warrant and covenant to TradeStation and its affiliates that any and all funds deposited, or that will be deposited, in my (our) account are and will be my (our) personal funds, have not been and will not be solicited from any third party, and no third party has or will have any direct or indirect ownership or other beneficial or financial interest in those funds or in any securities, contracts, currencies, financial instruments or other property purchased with or through the use of such funds.

Special Provisions for Joint Accounts. If this is a joint account, "We" and "us" (and not "I" or "me") applies, and refers to all account holders, and each of the account holders agrees that any account holder, acting alone, has authority on behalf of the joint account to: (a) buy, sell (including short sales), and otherwise deal in foreign currencies, derivatives, contracts, stocks, bonds, options, and other securities and securities derivatives or futures products and other financial instruments, on margin or otherwise; (b) receive demands, notices, confirmations, reports, statements of account, and communications of every kind on behalf of the joint account; (c) receive money, securities, and property of every kind and dispose of the same on behalf of the joint account; (d) make agreements relating to any of the foregoing matters and to terminate, modify, or waive any of the provisions of the Agreement or applicable law (that may be waived) on behalf of the joint account; (e) deal with TradeStation as fully and completely as if she/he alone were interested in this account and without notice to the other account participants, and (f) elect and terminate account-related products and services. All obligations and liabilities arising under this account are joint and several and may be enforced by TradeStation against any or all account holders.

TradeStation shall have authority to follow the instructions of any joint account holder in every respect and to deliver any or all monies, securities, or other property to any joint account holder upon the instructions of any joint account holder, or to any other person upon such instructions, even if such delivery or payment is to that joint account holder personally and not to the other(s). TradeStation will be under no obligation to inquire into the purpose or propriety of such delivery or payment and is not bound to inquire into the disposition or application of such delivery or payment. This authority remains in force until written notice to the contrary is addressed to TradeStation and delivered to its main office in Plantation, Florida, Attention: Director of Brokerage Client Service. TradeStation, solely in its discretion and solely for its protection, may require the written consent of all account holders prior to acting upon the instruction of any account holder.

Laws governing joint ownership of property vary from country to country. The account holders are responsible for verifying that the joint registration selected is valid in their country. Generally, for joint tenants with rights of survivorship, in the event of the death of either tenant, the entire interest in the joint account shall be vested in the surviving joint tenant(s) on the same terms and conditions. For tenants in common, the interest in each tenancy shall be equal unless specified and in the event of death of either tenant the interest in its, his or her share of the tenancy shall vest in the decedent's legal representative. Laws regulating community property vary from country to country. Account holders should consult their own legal advisor.

U.S. citizens and U.S. residents only – Tax Withholding Certification. Under penalty of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. person (which includes being a U.S. resident alien). I understand that I must cross out item 2 above if I have been notified by the IRS that I am currently subject to backup withholding because I have failed to report all interest and dividends on my tax return. **Note: The IRS does not require consent to any provision of this paragraph other than the certification required to avoid backup withholding. If this is a joint account, each joint account owner is separately making the certification.**



FOREIGN RESIDENTS ONLY. If I reside outside of the United States, I confirm and represent that TradeStation did not solicit me to establish and open this account.



For Equities Accounts: By signing below, I (We) have read and agree to the **pre-dispute arbitration** provisions set forth in **section 37** of the **TradeStation Securities Equities Account Agreement**.

For Futures accounts: ELECTION TO ARBITRATE DISPUTES			
I (We) have read the Arbitration provisions set forth in paragraph 41 of the TradeStation Securities Futures Account Agreement .			
If this is a futures account, I (We) make the following election:			
I ACCEPT THE ARBITRATION PROVISION	I DECLINE THE ARBITRATION PROVISION	ELECTION NOT APPLICABLE TO EQUITIES ACCOUNTS.	
Initials <input style="width: 50px; height: 30px;" type="text"/>	Initials <input style="width: 50px; height: 30px;" type="text"/>	Initials <input style="width: 50px; height: 30px;" type="text"/>	Initials <input style="width: 50px; height: 30px;" type="text"/>

I (We) confirm that the details provided by me (us) during the online and/or full application process are complete, accurate and not misleading in any respect, and I (We) will promptly notify you in writing if any of the representations contained herein shall materially change or cease to be true and correct.

IN WITNESS WHEREOF, I (We), the undersigned, hereby execute and deliver the Agreement, intending to be legally bound, as of the date set forth below.

Main Account Owner's Signature		Date
Joint Account Owner's Signature (if a joint account)		Date

Authorized Signature (if an entity account)		Date
Print Authorized Signor's Name and Title		
Authorized Signature (if an entity account)		Date
Print Authorized Signor's Name and Title		